# EPISODIC CONTROL-BASED ADVERSARIAL POLICY LEARNING IN TWO-PLAYER COMPETITIVE GAMES

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#### Abstract

Training adversarial agents to attack neural network policies has proven to be both effective and practical. However, we observe that existing methods can be further enhanced by distinguishing between states leading to win or lose and encouraging the policy training to prioritize winning states. In this paper, we address this gap by introducing an episodic control-based approach for adversarial policy training. Our method extracts the historical evaluations for states from historical experiences with an episodic memory, and then incorporating these evaluations into the rewards to improve the adversarial policy optimization. We evaluate our approach using two-player competitive games in MuJoCo simulation environments, demonstrating that our method establishes the most promising attack performance and defense difficulty against the victims among the existing adversarial policy training techniques.

#### 023 024 1 INTRODUCTION

025 It has been proved that deep reinforcement learning (DRL) policies are vulnerable to adversarial 026 attacks (Huang et al., 2017; Kos & Song, 2017). Most existing attacks on DRL policies are executed 027 by searching the adversarial examples and manipulating the environment (Huang et al., 2017; Kos & Song, 2017; Nguyen & Reddi, 2019). However, such adversarial examples may not be applicable in 029 the real world (Gleave et al., 2020). Recently, training adversarial agents as attackers to DRL policies in two-player games has been proven effective and practical (Gleave et al., 2020; Wu et al., 2021; 031 Guo et al., 2021; Bui et al., 2022). These kind of attacks first reduce the two-player environments to single-player environments by fixing the victim agents, and then train the other agent to be an adversarial agent which can be trained by conventional single-agent policy training method. Known 033 034 as adversarial policy training, these attacks generate natural observations that are adversarial to the victim agents, achieving significant results. 035

While the aforementioned adversarial policy training methods have proven effective, there is still room to improve adversarial training by exploring how states influences game outcomes. We believe that utilizing the adversarial agent's historical experiences can enable policy training to distinguish between states that lead to win or lose and facilitate the learning of winning states through reward adjustment, leading to an improvement in the effectiveness of adversarial policy training. In this paper, we introduce a novel adversarial policy training approach that leverages the analysis of information from past episodes to assess game states and adjust rewards, thereby assisting the adversarial agent in achieving better performance.

044 Technically, we propose an episodic control-based adversarial policy training method for two-player competitive games. Inspired by previous works on improving the performance of DRL using episodic control (Blundell et al., 2016; Pritzel et al., 2017; Li et al., 2023), our method develops 046 a neural network-based episodic memory to store historical experiences. We utilize this episodic 047 memory to generate historical evaluations, which are used for reward revision. In our experiments, 048 we evaluate our method on two-player competitive games in MuJoCo domains (Todorov et al., 2012) 049 and compare it with state-of-the-art adversarial policy training approaches (Gleave et al., 2020; Guo 050 et al., 2021; Wu et al., 2021). Our experimental results show that our method establishes the most 051 promising attack performance and defense difficulty. 052

In summary, this paper presents four contributions. First, we propose an episodic control-based adversarial policy learning method for two-player competitive games. Second, we introduce a

method for generating state evaluation from historical experiences, implemented through our pro posed episodic memory. Third, we propose a reward revision approach to incorporate the historical
 evaluations into the rewards. Fourth, our work demonstrates that by identifying and highlighting
 the winning states with historical experiences, adversarial agents can achieve higher winning rates
 against fixed victim agents and possess the capability to integrate multiple winning strategies to
 defeat the victims.

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#### 2 RELATED WORK

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#### 2.1 ADVERSARIAL ATTACKS ON DRL POLICIES

066 Previous attacks against DRL policies mainly focus on manipulating the environment to fail the 067 victim agents. One type of attack focuses on perturbing the victim's observations, forcing its policy 068 network to output sub-optimal actions, and thus failed the victim agent (Russo & Proutiere, 2019; 069 Sun et al., 2020; Zhang et al., 2021; Madry et al., 2018; Pattanaik et al., 2018; Pan et al., 2022; Zhao 070 et al., 2020). Another kind of attack directly perturbs the trajectory of the victim, specifically actions 071 the victim agent takes (Lee et al., 2020; Pan et al., 2022) or the rewards it receives (Ma et al., 2019; 072 Yang et al., 2019; Lykouris et al., 2021) to effectively attack the victim. However, the above attacks 073 are argued to be unrealistic since the real-world environment can not be manipulated (Gleave et al., 2020; Guo et al., 2021; Wu et al., 2021). 074

075 Unlike the above attacks, to simulate the real-world scenarios, Gleave *et al.* has successfully trained 076 adversarial agents by PPO algorithm (Schulman et al., 2017) in two-player competitive games un-077 der a strict zero-sum assumption and demonstrated the effectiveness of training adversarial agents against fixed black-box victims (Gleave et al., 2020). Wu et al. further improved the attack performance by exploring the minimal observation differences of the shared environment to maximize 079 deviations of the victim actions (Wu et al., 2021). Guo et al. relaxed the zero-sum assumptions 080 of previous works and demonstrated that such attack could be achieved by maximizing the gap be-081 tween the adversary and victim rewards which are approximated by observations of the adversarial agent (Guo et al., 2021). On the other hand, Bui et al. adopted imitators of the victim policies 083 learned by imitation learning algorithms (e.g., GAIL (Ho & Ermon, 2016)) to roll out the victim 084 actions for the attacker and reached better performances (Bui et al., 2022). However, this attack is 085 based on the specification that the victim's actions are visible and accessible to the imitators.

This paper adopts the same setting as (Gleave et al., 2020; Guo et al., 2021; Wu et al., 2021), wherein we have control solely over the adversarial agent and treat the victim agent as a black box, rendering its observations, actions, and rewards inaccessible. Meanwhile, unlike (Gleave et al., 2020; Guo et al., 2021; Wu et al., 2021), we concentrate on leveraging the historical experiences from adversarial agents to emphasize the winning states to improve the adversarial policy training.

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#### 2.2 MODEL-FREE EPISODIC CONTROL

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Episodic Control (Lengyel & Dayan, 2007) has demonstrated the effectiveness of utilizing past 096 experiences to address sample inefficiency in various tasks, such as multi-agent tasks (Zheng et al., 097 2021), model-based reinforcement learning (Le et al., 2021), and continuous control (Zhang et al., 098 2019; Kuznetsov & Filchenkov, 2021). Previous works primarily adopt a tabular episodic memory to save experiences of past scenes, leveraging the information gained during exploration and retrieving 099 past experiences of similar scenes to expedite policy optimization (Blundell et al., 2016). This 100 memory uses the state as a key and a measurement of the state (e.g., the Q-value of the state) as 101 the value, storing these key-value pairs. Then, a distance-based analysis (e.g., KNN) is adopted to 102 retrieve a summary statistic of similar states from the episodic memory, and the retrieved statistic 103 can be used to guide the training process (Hansen et al., 2018). 104

In this paper, we adopts the episodic control to generate historical evaluations to measure the quality
 of the states. Unlike the episodic memory proposed by previous works, our method proposes a neural
 network-based episodic memory which uses state sequences as the basis of historical experiences
 analysis and predict historical evaluations for states based on the learned experiences.

### <sup>108</sup> 3 METHODOLOGY

In this work, we propose an adversarial training approach for training adversarial agents. Our method utilizes the conventional adversarial policy training framework and improves the rewards used for training. The workflow of our approach is shown in Figure 1. First, the adversarial agent interacts with the environment and gathers information (states, actions, rewards) from the episodes. This information is adjusted using our proposed reward revision method, and then saved in the experience storage (a a replay buffer). We calculate the objective function based on the revised rewards.

rience storage (e.g., replay buffer). We calculate the objective function based on the revised rewards sampled from the experience storage and update the agent's policy with the objective function. In the following, we mainly elaborate on our reward revision method based on historical experiences analysis.



Figure 1: The workflow of our adversarial policy training.

#### 3.1 Adversary in Two-player Markov Game Environment

A two-player Markov game environment can be modeled as  $E = (S, (A_{\alpha}, A_{\nu}), T, (R_{\alpha}, R_{\nu}))$ . Here, we use  $\alpha$  and  $\nu$  to represent the adversary and victim respectively. S represents a state set, and both  $A_{\alpha}$  and  $A_{\nu}$  are action sets. T denotes a joint state transition function  $T : S \times A_{\alpha} \times A_{\nu} \to \Delta(S)$ , where  $\Delta(S)$  is a probability distribution on S. The reward function  $R_i : S \times A_{\alpha} \times A_{\nu} \times S \to \mathbb{R}$ depends on the current state, actions taken by both agents and the next state.

Gleave *et al.* discovered that by fixing the victim agents, the two-player competitive games can be reduced to single-player games. In such environment, the other agent can be trained as an adversarial agent with conventional single-agent policy training methods (e.g., PPO) to attack the victim (Gleave et al., 2020). The training for the adversarial agent to defeat the fixed victim agent is called adver-sarial policy training. Under this setting, the two-player game reduces to a single-player MDP:  $E_{\alpha} = (S, A_{\alpha}, T_{\alpha}, R'_{\alpha})$  as the victim policy can be treated as a part of the environment. S be-comes the state set of the adversary and the state transition function and reward function change to  $T_{\alpha}: S \times A_{\alpha} \times S \to \Delta(S) \text{ and } R'_{\alpha}: S \times A_{\alpha} \times S \to \mathbb{R}.$ 

Our threat model We use the same setting as Gleave *et al.* and assumes that our threat model has control over the adversarial agent and black-box access to the information of the victim agent. The adversarial agent can only interact with the environment, which is the common practice in adversarial policy training.

157 3.2 REWARD REVISION BASED ON HISTORICAL EXPERIENCES158

The reward  $R'_{\alpha}$  obtained by the adversarial agent only includes the evaluations from a single game and does not contain evaluations from the historical experiences. To enhance the adversarial policy training, our key insight is to integrate state evaluations from historical experiences into the rewards, thereby providing the adversarial policy with more comprehensive rewards for learning. As a two-player Markov game is not deterministic, past episodes starting from the same state may include different state sequences. Therefore, we use state sequences, referred to as patterns, as the basis for performance evaluation in past episodes. Our choice of utilizing state sequence for performance evaluation aligns with existing research (Sutton & Barto, 2018; Li et al., 2023), which has proved that failures in adversarial games are often the result of a series of poor decisions rather than isolated states. Based on the qualification of these patterns from historical experiences, we can assign higher rewards to states that lead to good patterns and lower rewards to states that lead to bad patterns, thereby integrating evaluations from historical experiences into the rewards.

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#### 3.2.1 EVALUATION OF PATTERN PERFORMANCE

As mentioned above, a pattern refers to a sequence of states within an episode. For a given episode e, a *k*-step pattern starting from time step t, denoted as  $p_t$ , refers to k consecutive states in e, i.e.,  $p_t = s_t, \ldots, s_{t+k-1}$ . For example,  $p_1 = s_1, \ldots, s_k$  exemplifies a k-step pattern from the first state s<sub>1</sub>. Notice that a state can be considered a special case of a pattern, specifically a 1-step pattern.

176 To evaluate the past performance of the patterns, we propose that a pattern can be considered **high**-177 **performing** if the past episodes containing this pattern result in more wins than losses. Conversely, 178 a pattern can be considered **poor-performing** if the past episodes containing it result in more losses 179 than wins. Based on this idea, we introduce a **historical score** for each pattern to quantify its past 180 performance, defined as the average cumulative reward received in past episodes that include that 181 pattern. The cumulative reward for an episode, which quantifies the adversarial agent's performance, 182 tends to be higher in episodes where the agent wins than in those it loses. As the performance of adversarial agents improves, these cumulative rewards increase. Therefore, using the average 183 cumulative reward of episodes including the pattern effectively reflects its past performance. The 184 historical score  $h_{-score}(p_t)$  can be calculated as: 185

$$\_score(p_t) = M(p_t), \tag{1}$$

where M is an episodic memory we proposed to collect and analyze patterns in past episodes. In Section 3.3.1, we will further explain how we implement the episodic memory.

## 190 3.2.2 CONDITIONAL REWARD REVISION191

Based on the historical scores of patterns, we incorporate the historical evaluations into rewards by reward revision. If the historical score of a pattern falls below the average cumulative rewards of all past episodes, we cannot consider such a pattern as a desirable one. Thus, we propose episodic feedback, which is defined as the difference between the historical score of a pattern and the average cumulative reward of all past episodes:

$$\delta(p_t) = h\_score(p_t) - \overline{\mathcal{R}}.$$
(2)

where  $h\_score(p_t)$  is the historical score of pattern  $p_t$  and  $\overline{\mathcal{R}}$  is the average cumulative reward of all past episodes.

With the episodic feedback, we conditionally add the episodic feedback of a pattern to the reward of its initial state. Specifically, for an episode e, depending on the outcome of e (whether the adversarial agent wins), we revise the rewards of the initial states of patterns in e in two cases. Assume that  $s_t$ is the initial state of pattern  $p_t$  in episode e, whose reward is  $r_t$ , and  $\delta(p_t)$  is the episodic feedback for  $p_t$ , the conditional reward revision can be formulated as:

$$\hat{r}_{t} = \begin{cases} r_{t} + \delta(p_{t}) \times \epsilon, & \text{if the adversarial agent wins} \\ & and \ \delta(p_{t}) > 0, \\ r_{t} + \delta(p_{t}) \times \epsilon, & \text{if the adversarial agent loses} \\ & and \ \delta(p_{t}) < 0, \\ r_{t}, & \text{otherwise,} \end{cases}$$
(3)

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where  $\hat{r}_t$  is the revised reward and  $\epsilon$  is a coefficient used to regulate the magnitude of encouragement and punishment. After the revision, we update  $r_t$  with  $\hat{r}_t$ .

The reason we only revise the reward in the two cases mentioned above is that the revision must adhere to the win-loss rules of the two-player competitive game environment, even though this environment reduces to a single-player game environment during the training process. In a competitive game, we believe only states from a winning episode of the adversarial agents should be rewarded ( $\delta(p_t) > 0$ ), while states from the losing episode should be penalized ( $\delta(p_t) < 0$ ). We will further analyze other cases in Section 4.3.3.

- 219
- 220 3.3 EPISODIC CONTROL-BASED ADVERSARIAL POLICY TRAINING
   221
- 222 3.3.1 NEURAL NETWORK-BASED EPISODIC MEMORY

As we stated in Section 3.2.1, we propose an episodic memory to generate historical scores for patterns based on the historical experiences. The architecture of our episodic memory, as shown in Figure 1, includes an LSTM network followed by a multi-layer perceptron (MLP). The LSTM encodes the patterns into abstract vectors, while the MLP aggregates these encodings to produce historical scores for the patterns.

During the training, new episodes are used to update the episodic memory. Assume a newly produced episode e has a cumulative reward of  $\mathcal{R}$  and contains the set of patterns P. The episodic memory M is then trained to map patterns in P to the new cumulative reward  $\mathcal{R}$ . Note that for a pattern in P, the learning target is the historical score of the pattern, which is not a fixed value and will change as new episodes occur. Then, during the reward revision, M predicts historical scores for the patterns as Equation 1 to generate historical evaluations for reward revision. More details of our implementation of the episodic memory can be found in Appendix A.2.

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#### 3.3.2 GROUP-BASED EPISODIC FEEDBACK

In practice, we find that later-generated episodes exhibit higher winning rates than earlier-generated episodes during the training process. To achieve better performance in finding the optimal policy, we compare the historical score of a pattern with the average cumulative reward from recently generated episodes when computing the episodic feedback. To achieve this, we divide the episodes into groups of size n, where n is a hyper-parameter, and calculate the average reward of past episodes in the group. The average reward of the *i*th episode of group m can be calculated by

$$\overline{\mathcal{R}}_{i}^{m} = \frac{\sum_{j=1}^{j=i} \mathcal{R}_{j}^{m}}{i}, \ 1 \le i \le n.$$

$$\tag{4}$$

Thus, we compute the episodic feedback of pattern  $p_t$  in the *i*th episode of group *m* by

$$\delta(p_t) = h\_score(p_t) - \overline{\mathcal{R}}_i^m,\tag{5}$$

which is implemented in our experiments.

#### 250 3.3.3 Adversarial Policy Training with Episodic Memory

We implement our policy training as Algorithm 1. First, we have the adversarial agent interact 252 with the environment and generate states, actions and rewards (Line 2-3). When an episode is 253 ended, we extract patterns from the episode (In practical, we use sliding window) and calculate 254 the cumulative reward of the episode (Line 5-6). We then update the episodic memory with the 255 patterns and the cumulative reward (Line 7), and predict the historical score for each pattern with 256 the episodic memory(Line 8). Subsequently, we calculate the average cumulative reward of the 257 group with Equation 4 (line 9-11), and then utilize the average cumulative reward to calculate the 258 episodic feedback for each pattern following Equation 5 (Line 12). With the episodic feedbacks, 259 the rewards of the states could be revised by Equation 3 (Line 13) under the condition stated in Section 3.2.2. After the reward revision, we store the states, actions and the revised rewards into 260 the experience storage (Line 14). When the update condition is satisfied (e.g., storage is full), we 261 will sample some data from the storage and calculate the objective function from our selected policy 262 training method (Line 17-19). The adversarial agent will be updated with the objective function 263 (Line 20). Iteration will end when the maximum training step is reached. 264

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#### 4 EVALUATION

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In this section, we conduct a comprehensive evaluation of our approach. We compare the performances of our approach with state-of-the-art adversarial policy training techniques and show its effectiveness and efficiency in the context of two-player competitive games.

270	Algorithm 1 Episodic control-based Adversarial Policy Training								
271	Inp	<b>Input</b> : $A$ : Adversarial Agent, $E$ : Environment, $M$ : Our episodic memory, $B$ : Experience Storage,							
272	O: Objective Function								
273	<b>Parameter</b> : k: Pattern Length, n: Group Size, $\epsilon$ : Revision Coefficient								
274	Out	<b>put</b> : $A$ : A Well-trained Adversarial Agent							
275	1:	while Training does not reach the maximum step do							
276	2:	$\mathcal{A}$ interacts with E and generate state s, action a, reward r.							
277	3:	S.add(s), A.add(a), R.add(r).							
278	4:	if An episode ends then							
279	5:	$P \leftarrow Pattern(k, S)$							
280	6:	$R_{cum} \leftarrow Cumulative\_reward(R)$							
281	7:	$M.update(P, R_{cum})$							
282	8:	$H\_Score(P) \leftarrow M(P)$							
283	9:	if The episode is the <i>i</i> th episode in Group <i>m</i> then							
284	10:	$\overline{\mathcal{R}}_{i}^{m} \leftarrow Average\_Reward(i,m)$							
285	11:	end if							
286	12:	$\Delta(P) \leftarrow Episodic\_Feedback(H\_Score(P), \mathcal{R}_i^m)$							
287	13:	$R' \leftarrow Reward_Revision(R, \Delta(P), \epsilon)$							
288	14:	$\mathcal{B} \leftarrow S, A, R'$							
289	15:	Clear S, A, R							
290	16:	end if							
291	1/:	If Check_Update() is true then							
292	18:	$Experiences \leftarrow Sample(B)$							
202	19:	O(Experiences) A undata( $O$ )							
20/	20:	A.upuuie(U)							
205	21. 22.	end while							
290	22. 23.	return A							
290	<u></u>								

#### 4.1 EXPERIMENT SETUP

301 In our experiment, our method use PPO (Schulman et al., 2017) as the basic single-agent policy 302 training method to train the adversarial agents to attack well-trained Zoo agents (Bansal et al., 2018). 303 We take three state-of-the-art approaches (Gleave et al., 2020; Wu et al., 2021; Guo et al., 2021) as 304 baselines to show the effectiveness of our method. It is important to note that Gleave et al. 's 305 method fundamentally incorporates PPO for the adversarial policy training without modifying the 306 training mechanisms of PPO. Therefore, when we draw comparisons with the outcomes achieved by Gleave et al. 's method, we are also comparing our method against a PPO baseline. To main-307 tain the fairness of the experiments, we use the same two-player competitive games in the MuJoCo 308 robotics simulator as our baselines, which are YouShallNotPassHumans, KickAndDefend, SumoHu-309 mans and SumoAnts, and run 5 seeds on each environment to evaluate our proposed adversarial 310 training method. Hyper-parameters setting are shown in the Appendix A.1. 311

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4.2 MAIN RESULTS

315 The comparison of the winning rates and non-loss rates between our approach and the baseline ap-316 proaches are summarized in Figure 2. We can observe that our proposed method reaches 88% and 317 89% winning rates and outperforms the baseline methods significantly in YouShallNotPassHumans 318 and KickAndDefend. In SumoHumans, our method also surpasses all baselines. These results in-319 dicate that by leveraging historical experiences to highlight the high-performing states, our agents 320 demonstrate higher sample efficiency and have more potential to discover effective adversarial policies to defeat victim agents. In SumoAnts, Since the winning rates of all agents against the victim 321 are far below 50%, we use the non-loss rates to measure the effectiveness of our method. From 322 Figure 2(b), we observe that in SumoAnts, the non-loss rate of our agent is still able to surpass that 323 of agent trained by (Guo et al., 2021), which exhibits the second highest non-loss rate.



Figure 2: The performance of our adversarial agents and baseline adversarial agents in each environment. Dashed lines represent the highest rates of each agent. More details are shown in Table 4 and Table 5 in Appendix A.5.

Table 1: The non-loss rate of our adversarial agent and baseline adversarial agents against masked victim agents in 100 games. Each experiment has been done 4 times. 'Before' and 'After' indicate before and after masking the victim agent.

Environment	Our	(%)	Gleave e	et al. (%)	Guo et	al. (%)	al. (%)	
Environment	Before	After	Before	After	Before	After	Before	After
YouShallNotPassHumans	$96 \pm 2$	$73 \pm 4$	$66 \pm 2$	$0\pm 0$	$72 \pm 3$	$0\pm 0$	$50 \pm 2$	$0\pm 0$
KickAndDefend	$93 \pm 4$	$7\pm1$	$65 \pm 1$	$3\pm1$	$63 \pm 2$	$5\pm 1$	$69 \pm 4$	$5\pm1$
SumoAnts	$83 \pm 2$	$81 \pm 2$	$76 \pm 2$	$69 \pm 4$	$81 \pm 3$	$78 \pm 1$	$57\pm5$	$53 \pm 4$
SumoHumans	$92 \pm 1$	$90 \pm 1$	$92 \pm 1$	$91 \pm 1$	$92\pm0$	$91 \pm 1$	$94 \pm 2$	$92 \pm 1$

We share the videos of agents trained by our approach and baseline approaches in Appendix A.4 and compare their behaviors. In KickAndDefend and SumoHumans, all the adversarial agents perform similar adversarial actions to trick the victim into performing abnormal behaviors. These results align with the conclusion in (Gleave et al., 2020) that adversarial agents win by confusing the victim, instead of becoming a strong opponent. However, in YouShallNotPassHumans, while the three baseline agents attack the victim by convulsing on the ground, our agent simultaneously performs the adversarial action and obstructs the victim with its body. This indicates that our agent is not restricted to utilizing only one winning strategy. In fact, it is able to explore the optimal strategy by combining multiple winning strategies from historical experiences. Additionally, in SumoAnts, agents trained by our approach and (Guo et al., 2021) both jump out of the arena at the beginning since falling out of the arena without touching the opponent is considered a draw in this game, while agents trained by (Gleave et al., 2020) and (Wu et al., 2021) still fight with the victim. This suggests that same as (Guo et al., 2021), our agent is also capable of discovering and exploiting game imbalances. 

To validate whether our approach is difficult to defend against, we conduct retraining experiments on
the victim agents using the PPO algorithm. We report the results of our adversarial agent and baseline agents against the victim agents during the retraining in Figure 3. In *YouShallNotPassHumans*,
our agent maintains a relatively high winning rate during the retraining of victim agents, unlike
baseline agents whose winning rate quickly drops to a low level. In *KickAndDefend*, the winning
rates of our agent also decreases at a slower rate compared to the baseline agents. This indicates that
our approach is more difficult to defend than baseline approaches.



Figure 3: The comparison between our adversarial agent and baseline adversarial agents in each environment (Gleave et al., 2020; Guo et al., 2021; Wu et al., 2021) during the retraining. Specifically, we show winning rates of the agents in *YouShallNotPassHumans*, *KickAndDefend*, *SumoHumans* and non-loss rate in *SumoAnts*. The lowest rate of each agent is depicted with a dashed line. More details are shown in Table 6 in Appendix A.5.



Figure 4: The comparison of performances between agents guided by pattern-based and state-based historical evaluation. We show winning rates of the agents in *YouShallNotPassHumans*, *KickAnd-Defend*, *SumoHumans* and non-loss rate in *SumoAnts*. The highest rate of each agent is depicted with a dashed line. More details are shown in Table 7 in Appendix A.5.

406 In order to gain a better understanding of the effectiveness of our method, following the approach 407 in (Gleave et al., 2020), we have our adversarial agents play games against masked victim agents, 408 whose observation of the adversary's position is set to a static value corresponding to a typical initial 409 position so that the adversarial actions may not be effective. We show the performances of our 410 adversarial agents and baseline agents against masked victims in Table 1. We observe a significant 411 decline in the non-loss rates of the three baseline agents, while our agent maintains a high non-loss 412 rate against the masked victim in YouShallNotPassHumans. This could be attributed to the fact 413 that our agent not only relies on adversarial actions to attack the victim, but also incorporates non-414 adversarial actions like obstructing the victim with its body to win the game. Based on this finding, we demonstrate that in YouShallNotPassHumans, our agent can defeat the victim by performing 415 adversarial and non-adversarial actions simultaneously. 416

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- 4.3 ABLATION STUDY
- 420 4.3.1 PATTERNS

As mentioned in Section 3.2.1, we use historical score of the pattern to represent historical evaluation. To show the effectiveness of utilizing pattern as the basis, we calculate the episodic feedback with historical scores of both states and 3-step patterns and then revise the rewards with two episodic feedbacks. In Figure 4, we can see pattern-guided agents hold higher winning rates than state-guided agents, which proves that patterns can provide a richer, more contextual basis for the historical evaluation.

#### 428 4.3.2 Episodic Feedback

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In Section 3.2.2, we mention that the episodic feedback of a pattern is computed by the difference
 between the historical score and the average cumulative reward of the group containing the episode.
 If episodic feedback is greater than 0, the pattern can be considered a better pattern than patterns in



Figure 5: The comparison of performances between proposed training approach guided by episodic feedbacks and historical scores. We show winning rates of the agents in *YouShallNotPassHumans*, *KickAndDefend*, *SumoHumans* and non-loss rate in *SumoAnts*. The highest rate of each agent is depicted with a dashed line. More details are shown in Table 8 in Appendix A.5.



Figure 6: The comparison of performances between proposed training approach with different revision conditions. We show winning rates of the agents in *YouShallNotPassHumans, KickAndDefend, SumoHumans* and non-loss rate in *SumoAnts*. The highest rate of each agent is depicted with a dashed line. More details are shown in Table 9 in Appendix A.5.

recently generated episodes, thereby enabling the adversarial agent to search for an optimal strategy. To show the effectiveness of episodic feedback, we use both episodic feedback and historical score to revise the reward. Based on the results shown in Figure 5, we can find that the performances of agents trained with episodic feedback outperform agents trained with historical score, which indicates that compared to the historical score, episodic feedback is more effective in helping the agent find the optimal policy.

#### 4.3.3 **REVISION CONDITION**

As stated in Section 3.2.2, there are other cases in which we do not perform the reward revision. For example, we do not revise the reward when a state from a winning episode of the adversarial agents obtains negative episodic feedback. If we were to implement the revision for other cases, some states that lead to losses would be rewarded and some states that lead to wins would be penalized. This may make the adversarial agent learn a policy that aims at losing the episode. To better demonstrate the effectiveness, we also perform reward revisions in those cases and show the performances in Figure 6. We can observe that the winning rate drops when we revise the reward in all cases, especially in KickAndDefend. This proves that reward revision must comply with the rules of the two-player competitive games, even though our adversarial training method uses an single-player game environment. 

#### 5 DISCUSSION AND CONCLUSION

As stated in Section 4.1, our method utilizes PPO algorithm as the single-agent training method to train the adversarial agents. It is also important to note that our method is scalable and can be applied to various DRL algorithms. In the Appendix, we demonstrate the performances of our approach applied to the baseline algorithms (Wu et al., 2021; Guo et al., 2021) and compare the results with those of the original baseline algorithms in *YouShallNotPassHumans* and *KickAndDefend*. The results show that by leveraging historical evaluations to revise the rewards, the performances of all baseline approaches get improved.

486 In this paper, we propose an episodic control-based adversarial policy training method to train an 487 adversarial agent more effectively and efficiently. Our method introduces an episodic memory to 488 utilize the historical experiences to generate historical evaluations for the states and consequently 489 revise the rewards of the states based on the evaluation, thereby integrating the historical evaluations 490 into the rewards used for adversarial training to emphasize the high-performing states. In our experiments, we demonstrate that agents trained with our approach achieve the most promising attack 491 performance and defense difficulty. Additionally, by comparing the behaviors of adversarial agents, 492 we discover that our attack method can explore optimal strategies by integrating multiple winning 493 approaches. We believe our exploration of game states and use of historical experiences advance ad-494 versarial policy training methods. Future research could focus on extracting more specific insights 495 from these historical experiences to further enhance the effectiveness of adversarial learning. 496

Limitation Our method is designed for two-player competitive game environments. For other envi-497 ronments, the reward revision needs to be redesigned. 498

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#### APPENDIX А

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#### A.1 HYPER-PARAMETER ANALYSIS

Table 2: Hyper-parameters of episodic memory used in our experiments.

Hyper-parameter	
Pattern Length k	3
Group Size n	100
Epsilon $\epsilon$	0.1

For PPO hyper-parameter selections, we use the same parameters from (Gleave et al., 2020). The hyper-parameters for our episodic memory are listed in Table 2. As mentioned in (Li et al., 2023), 0.1 for  $\epsilon$  works well for episodic control method in MuJuCo games, so we follow this setting in our experiments. We further analyze the rest two hyper-parameters.

#### A.1.1 PATTERN LENGTH

666 As stated in Section 3.2, we use state sequences, referred to as patterns, as the basis for performance evaluation in past episodes. To find out the best parameter for the length of patterns, we conduct 668 experiments with different pattern lengths and show the results in Figure 7. We have selected three different pattern lengths in our experiments. We can see from Figure 7 that the agents have the best average winning rates when the pattern length is 3. 670

A.1.2 GROUP SIZE

673 In Section 3.3.2, we compare the historical score of a pattern with an average cumulative reward of 674 recently generated past episodes to calculate episodic feedback. Group size n is introduced to control 675 the number of past episodes. If the group size is too large, some states that lead to bad patterns may 676 be erroneously rewarded, and the magnitude of rewards and penalties is reduced, thereby weakening 677 the ability to find the optimal policy. On the other hand, if the group size is too small, it is more 678 likely to wrongly penalize good states and reward bad states. Therefore, we conduct an analysis of 679 3 group sizes which are 50, 100 and 150. With the result shown in Figure 8, we find that the agents 680 have the best performances when the group size is 100. Therefore, we use 100 as the group size in 681 our experiments.

A.2 EPISODIC MEMORY

In this section we share more details about the implementation of the episodic memory introduced 685 in Section 3.3.1. 686

Table 3: The architecture of the episodic memory

600		
009	Module	e shape
690		1
691	LSTM	(64, 256, 1)
602	linear1	(256, 512)
092	Tanh	
693	linear?	(512, 1)
694		(312, 1)

695 In Table 3, we give the architecture of the episodic memory. The episodic memory consists of a 696 LSTM and a MLP (linear1, Tanh, linear2). 64 in the shape of LSTM refers to the length of the state 697 from environment and 256 refers to the hidden length of LSTM. The LSTM is used to encode a 698 pattern into an abstract vector so that the MLP can process. The MLP is used to output the historical 699 score of the pattern, which evaluates the average performance of the pattern in past episodes. 700

In the Algorithm 2, we show the forward process of the episodic memory. The LSTM receives a 701 pattern p as input and outputs an output sequence, the last hidden state vector and the last cell state

702 Algorithm 2 Forward process of the episodic memory. 703 **Input**: pattern *p* (shape:[3, 64]) 704 **Output**: historical score *h\_score* (shape:1) 705 1: output, hidden, cell = LSTM(p)706 2:  $h_{\text{score}} = \text{linear1(hidden[-1,])}$ 3:  $h\_score = Tanh(h\_score)$ 708 4:  $h\_score = linear2(h\_score)$ 709 710 Algorithm 3 Update process of the episodic memory. 711 Input: episode e 712 713 1: P = Pattern(e)714 2:  $R = \text{Cumulative}_\text{Reward}(e)$ 715 3: for p in P do 716 4:  $h\_score = Memory(p)$ 5:  $loss = MSELoss(h_score, R)$ 717 6: loss.backpropagation() 718 7: **end for** 719 720

vector of LSTM. The last hidden state vector will be processed with MLP, under the order of linear 1, Tanh, linear 2 and the MLP will output the historical score  $h\_score$  of the input pattern.

In the Algorithm 3, we show the update process of the episodic memory. After one episode is ended,
we extract patterns from the episode and calculate the cumulative reward of the episode. Then, we
predict the historical score for each pattern and calculate the MSELoss between the historical score
and the cumulative reward. The loss will be backpropagated to update the network.

#### A.3 SCALABILITY ANALYSIS

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In Section 5, we state that our approach is scalable and can be applied to various DRL algorithms.
Since Gleave *et al.* can be seen as PPO, we adopt our approach on the other two baseline attacks (Guo et al., 2021; Wu et al., 2021) and compare the performances with them. The results are
shown in Figure 9. We can see the baselines adopting our episodic memory outperform the original
baselines in *YouShallNotPassHumans* and *KickAndDefend*.



Figure 7: The comparison of winning rate between our adversarial agent with different input pattern lengths in *YouShallNotPassHumans* and *KickAndDefend*.

748 A.4 VIDEOS OF EXPERIMENTS

Due to the limited maximum file size for the supplementary materials, we have uploaded
the videos mentioned in Section 4.2 at https://drive.google.com/drive/folders/
11JmWA7y8-1nMs\_kOwzGlIMkjkPh2QVF6?usp=drive\_link.

#### 754 A.5 MAIN RESULTS SUPPLEMENTARY

Supplementary tables of the figures in the main text are provided on the subsequent pages.

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Figure 8: The comparison of winning rate between our adversarial agent with group size in *YouShall-NotPassHumans* and *KickAndDefend*.



Table 4: The highest winning rates of our agents and agents attacks against zoo victim agents are shown in Figure 2(a).

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Environment	Our (%)	Gleave et al. (%)	Guo <i>et al.</i> (%)	Wu <i>et al</i> . (%)
YouShallNotPassHumans	$87.62 \pm 7.38$	$60.08 \pm 6.22$	$65.77 \pm 7.60$	$48.60 \pm 8.99$
KickAndDefend	$89.06 \pm 7.98$	$64.37 \pm 8.61$	$65.32 \pm 6.92$	$64.76 \pm 8.55$
SomoAnts	$5.18 \pm 1.27$	$5.19\pm2.10$	$4.70 \pm 1.43$	$8.14 \pm 2.87$
SumoHumans	$76.35 \pm 8.29$	$69.24 \pm 12.16$	$64.49 \pm 7.15$	$62.22 \pm 16.86$

Table 5: The highest non-loss rates of c	our agents	and baseline	agents	against zoo	victim	agents are
shown in Figure 2(b).						

805	shown in Figure 2(b).				
806	Environment	Our (%)	Gleave et al. (%)	Guo <i>et al.</i> (%)	Wu et al. (%)
807	YouShallNotPassHumans	$87.62 \pm 7.38$	$60.08 \pm 6.22$	$65.77 \pm 7.60$	$48.60 \pm 8.99$
007	KickAndDefend	$90.01 \pm 7.56$	$65.17 \pm 8.87$	$66.56 \pm 7.14$	$65.27 \pm 8.45$
808	SomoAnts	$84.66 \pm 3.92$	$74.94 \pm 16.24$	$82.98 \pm 3.73$	$40.97 \pm 6.65$
809	SumoHumans	$91.68 \pm 7.52$	$91.88 \pm 12.18$	$90.49 \pm 5.48$	$92.55 \pm 14.40$

Table 6: The performances of our agents and baseline agents against retrained victim agents are shown in Figure 3. We show winning rates of the agents in *YouShallNotPassHumans*, *KickAndDefend*, *SumoHumans* and non-loss rate in *SumoAnts*.

Environment	Our (%)	Gleave et al. (%)	Guo <i>et al.</i> (%)	Wu et al. (%)		
YouShallNotPassHumans	$50.27 \pm 13.03$	$5.00\pm2.50$	$6.22 \pm 2.82$	$5.99 \pm 2.99$		
KickAndDefend	$51.82 \pm 6.84$	$28.02 \pm 7.33$	$29.33 \pm 9.84$	$32.38 \pm 9.54$		
SomoAnts	$83.15 \pm 2.98$	$79.78 \pm 2.28$	$82.49 \pm 2.77$	$90.13 \pm 3.42$		
SumoHumans	$6.17 \pm 7.60$	$6.03 \pm 5.35$	$7.61 \pm 5.88$	$10.71\pm6.95$		
YouShallNotPassHumans KickAndDefend SomoAnts SumoHumans	$50.27 \pm 13.03$ $51.82 \pm 6.84$ $83.15 \pm 2.98$ $6.17 \pm 7.60$	$5.00 \pm 2.50$ $28.02 \pm 7.33$ $79.78 \pm 2.28$ $6.03 \pm 5.35$	$\begin{array}{r} 6.22 \pm 2.82 \\ \hline 29.33 \pm 9.84 \\ \hline 82.49 \pm 2.77 \\ \hline 7.61 \pm 5.88 \end{array}$	$5.99 \pm 2.$ $32.38 \pm 9$ $90.13 \pm 3$ $10.71 \pm 6$		

Table 7: The performances of our agents guided by pattern-based and state-based historical evaluation against zoo victim agents is shown in Figure 4. We show winning rates of the agents in *YouShallNotPassHumans*, *KickAndDefend*, *SumoHumans* and non-loss rate in *SumoAnts*.

Environment	Pattern (%)	State (%)
YouShallNotPassHumans	$87.62 \pm 7.38$	$71.36 \pm 11.26$
KickAndDefend	$89.06 \pm 7.98$	$83.15 \pm 11.29$
SumoAnts	$84.66 \pm 3.92$	$83.73 \pm 4.15$
SumoHumans	$76.35 \pm 8.29$	$74.35 \pm 6.71$

Table 8: The performances of our agents trained with episodic feedback and historical score against zoo victim agents shown in Figure 5. We show winning rates of the agents in *YouShallNotPassHumans*, *KickAndDefend*, *SumoHumans* and non-loss rate in *SumoAnts*.

Environment	Episodic Feedback (%)	historical score (%)
YouShallNotPassHumans	$87.62 \pm 7.38$	$75.28 \pm 8.25$
KickAndDefend	$89.06 \pm 7.98$	$72.85 \pm 11.48$
SumoAnts	$84.66 \pm 3.92$	$83.66 \pm 2.30$
SumoHumans	$76.35 \pm 8.29$	$72.15 \pm 6.90$

Table 9: The performances of our agents with and without revision conditions against zoo victim agents is shown in Figure 6. We show winning rates of the agents in *YouShallNotPassHumans*, *KickAndDefend*, *SumoHumans* and non-loss rate in *SumoAnts*.

Environment	Our_two_case (%)	Our_all_case (%)			
YouShallNotPassHumans	$87.62 \pm 7.38$	$78.39 \pm 10.74$			
KickAndDefend	$89.06 \pm 7.98$	$30.64 \pm 9.10$			
SumoAnts	$84.66 \pm 3.92$	$80.44 \pm 3.73$			
SumoHumans	$76.35 \pm 8.29$	$68.40 \pm 4.14$			

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