# When Does Self-supervision Improve Few-shot Learning? - A Reproducibility Report

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# **Reproducibility Summary**

## 2 Scope of Reproducibility

<sup>3</sup> The paper investigates applying self-supervised learning (SSL) as a regularizer to meta-learning based few-shot learners.

4 The authors claim that SSL tasks reduce the relative error of few-shot learners by 4% - 27% even when the datasets

5 are small, and the improvements are greater when the amount of supervision is lesser or the task is more challenging.

<sup>6</sup> Further, they observe that incorporating unlabelled images from other domains for SSL can hurt the performance, and

7 propose a simple algorithm to select images for SSL from other domains to provide further improvements.

## 8 Methodology

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9 We reimplement the algorithms in PyTorch, starting with the author's codebase as a reference. We had to correct several

<sup>10</sup> bugs in the author's codebase, and reimplement the domain selection algorithm from scratch since the codebase did not

11 contain it. We conduct experiments involving combinations of supervised and self-supervised learning on multiple

12 datasets, on 2 different architectures and perform extensive hyperparameter sweeps to test the claim. We used 4 GTX

13 1080Ti GPUs throughout, and all our experiments including the sweeps took a total compute time of 980 GPU hours.

#### 14 **Results**

<sup>15</sup> On the ResNet-18 architecture and an image size of 224 that the paper uses throughout, our results on 6 datasets overall

16 verify the claim that SSL regularizes few-shot learners and provide higher gains with difficult tasks. Further, our

results also verify that out-of-distribution images for SSL hurt the accuracy, and the domain selection algorithm that

18 we implement from scratch also verifies the paper's claim that the algorithm can choose images from a large pool of

<sup>19</sup> unlabelled images from other domains, and improve the performance.

20 Going beyond the original paper, we also conduct SSL experiments on 5 datasets with the Conv-4-64 architecture with

an image size of 84, and find that self-supervision *does not* help boost the accuracy of few-shot learners in this setup. Further, we also show results on a practical real-world benchmark on *cross-domain few-shot learning*, and show that

using self-supervision when training the base models degrades performance when evaluated on these tasks.

## 24 What was easy

The paper was well written and easy to follow, and provided a clear description of the experiment. The author's code implementations were relatively easy to understand and mostly reflected the experiments described in the paper.

## 27 What was difficult

Since the codebase was not fully complete, it took us a lot of time to identify and solve bugs, and reimplement the algorithms not present in the code. Further, multiple datasets needed a lot of preprocessing to be used. The number of hyperparameters being too many but each proving to be important, and evaluating all the claims of the paper on 5 datasets and 2 architectures was difficult to the number of experiment configurations, resulting in a very high computational cost of 980 GPU hours.

## 33 Communication with original authors

We maintained contact with the authors throughout the challenge to clarify several implementation details and questions regarding the domain selection algorithm. The authors were responsive and replied promptly with detailed explanations.

## 36 **1 Introduction**

Deep learning has made major advances, however this has been possible only due to the availablity of large annotated datasets for each task. Methods such as data augmentation and regularization alleviate overfitting in low-data regimes,

<sup>39</sup> but not completely. This motivated research in few-shot learning, in which we aim to build a classifier that should be

<sup>40</sup> adapted to learn new classes not seen in training, with very few samples in each class. In this work, we reproduce the

<sup>41</sup> paper "When Does Self-supervision Improve Few-shot Learning?" by Su et al. (13) (henceforth referred to as "the

42 original paper" or "the paper") which investigates using self-supervised learning (SSL) in such low-data regimes to

<sup>43</sup> improve the performance of meta-learning based few-shot learners.

## 44 **2** Scope of reproducibility

45 The paper claims that

With *no additional training data*, adding self-supervised tasks such as jigsaw and rotation as an auxiliary task
 improves the performance of existing few-shot techniques on benchmarks across several different domains

• The benefits of self-supervision *increase* with the difficulty of the task, for example when training with a base dataset with less labelled data, or with images of lesser quality/resolution

- Additional unlabelled data from dissimilar domains, when used for self-supervision, negatively impacts the performance of few-shot learners
- The proposed domain selection algorithm can alleviate this issue by learning to pick images from a large and generic pool of images

We thoroughly reproduce all the experiments, and investigate whether the claims hold true, with the model and the six benchmark datasets used by the authors. Beyond the paper, we find that the results are biased towards the architecture used, and demonstrate that the gains do not hold when the input image size and architecture differ from those reported in the paper. We also report results on the more practical cross-domain few-shot learning setup, where we find that self-supervision does not help ImageNet-trained few-shot learners generalize to new domains better.

## 59 **3** Methodology

<sup>60</sup> The goal of a few-shot learner is to generalize is to learn representations of base classes that lead to good generalization

on novel classes. To this end, the proposed framework combines meta learning approaches for few-shot learning

with *self-supervised learning*. In general, learning consists of estimating functions f, the feature extractor and g, the

classifier that minimize the empirical loss  $\ell$  over the training data from base class  $D_s = \{(x_i, y_i)\}_{i=1}^n$  consisting of

images  $x_i \in \mathcal{X}$  and labels  $y_i \in \mathcal{Y}$ , along with suitable regularization  $\mathcal{R}$ . This can be written as:

$$L_s = \sum_{(x_i, y_i) \in D_s} \ell(g \circ f(x_i), y_i) + \mathcal{R}(f, g)$$

In the original paper, the meta-learning based prototypical networks (ProtoNet) are used as part of the supervised loss. 65 During meta-training, the ProtoNet computes the mean of the embeddings of all samples in a class. Then, a distance 66 metric such as Euclidean distance or cosine distance is used to classify every query sample into one of the classes, using 67 the distance from the class-prototypes. The loss over the query samples is backpropagated to the network, and this 68 procedure is repeated for multiple episodes with n randomly sampled classes in each episode, with k examples in each 69 class, hence referred to as the n-way k-shot setup. Hence the network meta-learns to provide useful class-prototypes 70 from very few examples. At meta-test time, class prototypes are recomputed for classification and query examples are 71 classified based on the distances to the class prototypes. 72

Apart from the supervised losses, the paper uses self-supervised losses  $\ell_s s$  that are based on data  $(\hat{x}, \hat{y})$  whose labels can be derived automatically without any human labelling:

$$L_{ss} = \sum_{(x_i) \in D_{ss}} \ell(h \circ f(\hat{x_i}), \hat{y_i})$$

The *jigsaw* task splits an image into 9 regions (3x3) and permutes the parts to obtain the input  $\hat{x}$ . The target label  $\hat{y}$  is

the index of the permuatation. The total number of indices are 9! which is reduced to 35 indices [cite - 41] by grouping

<sup>77</sup> the possible permutations to control the difficulty of the task.

- The *rotation* task rotates the image by an angle  $\theta \in 0^{\circ}, 90^{\circ}, 180^{\circ}, 270^{\circ}$  to obtain  $\hat{x}$ , with  $\hat{y}$  being the index of the angle.
- <sup>79</sup> The paper uses a weighted combination of the two losses  $L = (1 \alpha) * L_s + (\alpha) * L_{ss}$ . The paper studies self-supervised <sup>80</sup> learning as a regularizer for representation learning, in the context of few-shot learning tasks.
- <sup>81</sup> The author also propose an algorithm to select images from a large-dataset for self-supervision when  $D_s$  and  $D_{ss}$  are
- The author also propose an algorithm to select images from a large-dataset for self-supervision when  $D_s$  and  $D_{ss}$  are different. Here, a classifier is trained to distinguish the ResNet-101 features of images from  $D_s$  and images from  $D_{ss}$ , and the ten h images according to the ratio  $p(p \in D_s)/p(p \in D_s)$  are selected for self-supervision
- and the top-k images according to the ratio  $p(x \in D_s)/p(x \in D_p)$  are selected for self-supervision.

# 84 **4** Experimental settings

## **4.1 Details regarding the code**

The authors provide a public implementation of the code<sup>1</sup>, which is built upon a popular codebase <sup>2</sup> from Chen et al (1). We find that there are a lot of errors and bugs in the code, which took a lot of time to debug. This took up a considerable part of our time. Further, the code for the domain selection algorithm was not present, and hence we had to *reimplement it from scratch*. Our code <sup>3</sup> reuses multiple files from the original codebase, corrects several errors, provides easier interfaces to train and test models, and also provides an implementation of the domain selection algorithm. We also

provide interfaces to train models with a different architecture, and to evaluate models in a cross-domain setup.

#### 92 4.2 Model descriptions

<sup>93</sup> The authors use a well-known architecture ResNet-18 for their experiments. The ResNet18 gives a 512-dimensional

<sup>94</sup> feature for each input. For the jigsaw task, a single fully-connected (fc) layer with 512-units is added on top. Nine

patches of an image give nine 512-dimensional feature vectors, which are concatenated, and projected to 4096

<sup>96</sup> dimensions using an fc layer, and then to a 35-dimensional output using another fc layer, corresponding to the 35

97 permutations for the jigsaw task.

For rotation prediction task, the 512-dimensional output of ResNet-18 is passed through three fc layers consecutively with 128, 128, 4 units. The 4 predictions of the last layer correspond to the four rotation angles. Between each fc layer, a ReLU activation and a dropout layer with a dropout probability of 0.5 are added. We leave this dropout probability as is, as it would result in too many hyperparameters that we would not have been able to optimize for every experimental setup.

Apart from the ResNet-18 architecture used in the paper, we use another architecture that is equally adapted in many few-shot learning papers (1) (12) (14) (3), the Conv-4-64 architecture, which is a simpler architecture with 3x3 kernel size and 64 filters at each layer. A similar extension is made for the jigsaw and rotation tasks. In multiple works in the literature, this architecture has been used to process  $84 \times 84$  images, while the ResNet variants have been used to process  $224 \times 224$  images. We follow the works and report results with the respective image sizes for each architecture. Both the architectures are represented diagrammatically in table 16 and table 15 respectively in the appendix.

#### 109 4.3 Datasets

Following the few-shot setup, each dataset is split into three disjoint sets, each having a different set of classes. A

model is trained on the *base* set, validated on the *validation* set, and tested on the *test* set. Following the paper, we

experiment with multiple datasets across diverse domains and denote the number of classes in the *base, val, test* splits incide breakets. CUB 200, 2011 (2) (4, 12, 20). Stanford Com (C) (09, 40, 40). ECVC simulation (C) (50, 25, 25). Stanford

<sup>113</sup> inside brackets: CUB-200-2011 (2)(64, 12, 20), Stanford Cars (6) (98, 49, 49), FGVC-aircraft (9) (50, 25, 25), Stanford dogs (5) (60, 30, 30), Oxford flowers (10) (51, 26, 26). These 5 datasets are henceforth referred to as "the smaller

datasets". Apart from these, we also experiment with a benchmark dataset for few-shot learning, the miniImageNet

dataset (16) (64, 16, 20). The original paper also reports results on Tiered-ImageNet, but we could only work with

<sup>117</sup> miniImageNet due to compute and time constraints.

We use the same base-validation-novel class split as the paper, which they provide in their official repository. Each class contains 3 files, one for each in base,val and novel, and lists the classes to be used, along with all the image paths for each class. These files follow from the repository of Chen et al (1) whose codebase they borrow.

<sup>&</sup>lt;sup>1</sup>https://github.com/cvl-umass/fsl\_ssl

<sup>&</sup>lt;sup>2</sup>https://github.com/wyharveychen/CloserLookFewShot

<sup>&</sup>lt;sup>3</sup>https://github.com/ashok-arjun/fsl\_ssl\_working/

Among the small datasets, we found that there were no versions of flowers and cars dataset that could be used directly.

Hence we had to preprocess the two datasets and contribute them to Kaggle for public use 45. With the miniImageNet dataset, we found that all the directly-downloadable versions (11) (8) contained images resized to 84x84, however we

dataset, we found that all the directly-downloadable versions (11) (8) contained images resized to 84x84, however we needed a dataset that could be resized to either 84x84 or 224x224 adaptively. Hence, we had to download the ImageNet

dataset (155 GB) and process the dataset from scratch, which caused storage issues and also took up a significant part

of our time. To this end, we also open-source the miniImageNet dataset with image sizes same as that in ImageNet, to

save other researchers' time in preprocessing the dataset from scratch  $^{6}$ . To the best of our knowledge, we are the first

128 to release such a version.

For the domain selection algorithm, the authors use the training sets of two large datasets - Open Images v5 (7) and iNaturalist (15), which are 500 GB and 200 GB in size respectively. These sizes far exceeded our storage capacity, and

131 we instead could only use the validation sets of each of the datasets as unlabelled images for self-supervision.

## 132 4.4 Hyperparameters

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We perform hyperparameter sweeps each having 10 runs, amounting to 130 runs in total. The hyperparameter sweeps were conducted using Weights and Biases. Each sweep uses **random search** to search over two hyperparameters:

- Learning Rate: *uniform*(0.0001, 0.03)
- **Batch normalization mode**:
  - 1. Use batch normalization, accumulate statistics throughout training, and use the statistics during testing
    - 2. Use batch normalization, but do not track the running mean and variance during training; estimate them from batches during training and test
- 140 3. No batch normalization
- $\alpha$ , the weightage of the SSL term in the loss (only where self-supervision is applied)

We use these modes for batch-norm, as the paper (Page 21, Appendix A.5) states that especially for jigsaw tasks, the authors found batch-norm mode 2 to be optimal, as in jigsaw, the inputs contain both full-sized images and small patches, which might have different statistics. To verify this and for completeness, we conducted the search over the batch normalization modes also. All models are trained with the Adam optimizer with  $\beta_1 = 0.9$  and  $\beta_2 = 0.999$ .

We then use the configuration which gives the best *validation accuracy* computed for 100 epochs, computed over 600 randomly sampled episodes. We search hyperparameters for certain datasets only, and reuse the hyperparameters found for similar datasets due to computational constraints. The selected experiment configurations are given in the appendix due to space constraints.

Across 100% of our sweeps, we notice that  $\alpha$  stays below 0.6, and does not go below 0.3 in our runs. Hence, we infer that an adequate amount of supervision is also needed for good performance, and too much self-supervision hurts accuracy. For the miniImageNet datset, we find the values close 0.3 work the best, which the paper reiterates. The paper reports that they use 0.5 for all the SSL experiments on the small datasets, which we confirm as our  $\alpha$  term converges to values 0.4 and 0.6 for the small datasets. All of our reported results are with the best hyperparameters found. We report

<sup>155</sup> more details on the hyperparameter searches in Appendix.

## **156 4.5 Computational requirements**

We used 4 Nvidia 1080Ti GPUs for all experiments. The run-times differ for each experiment configuration when incorporating self-supervision. We report the average epoch time for each experimental setup (1 epoch = 100 episodes) in table 6 in the appendix.

In general, among experiments involving self-supervised learning, rotation took the maximum amount of time. This is because 4 rotations of the same image are needed at every instance, which is more expensive than loading a single

<sup>162</sup> image. The jigsaw task took lesser time than rotation, and the combination of jigsaw and rotation took the highest

amount of time per epoch. Since the paper reports results on the combination only for the first set of experiments (claim

164 1), we also do the same. Further, the computational time restricted us from performing more experiments combining the

165 two.

<sup>&</sup>lt;sup>4</sup>https://www.kaggle.com/arjun2000ashok/vggflowers/

<sup>&</sup>lt;sup>5</sup>https://www.kaggle.com/hassiahk/stanford-cars-dataset-full

<sup>&</sup>lt;sup>6</sup>https://www.kaggle.com/arjunashok33/miniimagenet

<sup>166</sup> In total, apart from the hyper-parameter sweeps, we perform **250 experiments**, across different experimental setups <sup>167</sup> and multiple datasets. All of these experiments took approximately **700 GPU hours**. Along with the hyperparameter

sweeps which were lesser in duration, the experiments took approximately **980 hours** of compute time.

## 169 **4.6 Experimental setup and code**

Following the authors, we train, evaluate and report results on the 5-way 5-shot setting; we also explore 20-way 5-shot setting but we could not continue after a few runs, restricted by the large training and testing time of 20-way 5-shot models. Following the paper, we use 16 query examples to evaluate the models.

<sup>173</sup> On verifying that the core claim of the paper (claim 1) for all the 5 small datasets, we choose 2 to 3 representative

datasets for other experiments - CUB, dogs (representing natural images) and cars (representing the other group). We

could not perform all the experiments on all the 5 datasets due to computational constraints. For the domain selection,

we evaluate on all the 5 datasets to verify our implementation of the algorithm.

The batch size cannot be set in episodic few-shot learners, and are by default  $n\_way * (n\_support + n\_query)$ . We use 16 query images following the paper, and as a result, our batch sizes are 105 in 5-way 5-shot experiments, and 420 in 20-way 5-shot experiments. Following all previous work in few-shot learning, we sample 100 episodes (batches) per epoch, and conduct experiments on about 600 - 800 epochs. Following the paper, we use only 5 query images when training models for experiments that use lesser labelled data since the {20, 40, 60, 80}% splits of dataset do not contain

182 16 query images in all classes.

In every iteration, an equal number of unlabelled images are sampled at random from the respective dataset(s) for self-supervised learning. Following our paper and the baseline from previous work (1) in few-shot learning and our original paper, we use the following data augmentation: For label and rotation predictions, images are first resized to 224 pixels for the shorter edge while maintaining aspect ratio, from which a central crop of 224 is obtained. For jigsaw

<sup>186</sup> 224 pixels for the shorter edge while maintaining aspect ratio, from which a central crop of 224 is obtained. For jigsaw <sup>187</sup> puzzles, a random crop of 255 is done from the original image with random scaling between [0.5, 1.0], then split into

<sup>188</sup> 3×3 regions, from which a random crop of size 64×64 is picked.

We implement the domain selection algorithm following the paper: For each dataset among the small datasets, we select negative images uniformly at random with 10 times the size of the positive images. The loss for the positive class is scaled by the inverse of its frequency to account for the significantly larger number of negative examples. We then train a binary logistic regression classifier using LBFGS for 10000 iterations and use the logits to compute the ratio  $p(x \in D_s)/p(x \in D_p)$ . We then choose k as 80% of the total dataset size, and sample k negative images to use as unlabelled samples.

For evaluation at meta-test time, we use 600 randomly sampled episodes, and report the mean accuracy and 95% confidence intervals. Due to the large number of experiments and the datasets across which the claims had to be verified, we could only perform one set of experiments in all sections, with the seed is set to 42.

# 198 5 Results

## 199 5.1 Results reproducing original paper

Here, we consider the same architecture that the paper uses - ResNet-18, with an input image size of 224.

## 201 5.1.1 Self-supervision improves few-shot learning

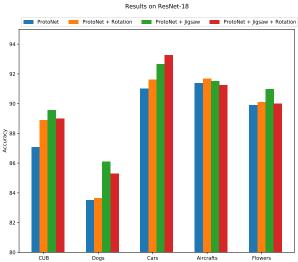
Here, we successfully verify claim 1 of the paper that with no additional unlabelled data, SSL improves few-shot learning when applied as an auxiliary task. We conduct experiments across all the 5 small datasets as well as the large-scale miniImageNet dataset. We also reproduce results on the baseline from (1), and MAML and MAML+Jigsaw. We could not reproduce results on MAML due to computational constraints. We present results in Figure 1, Table 1 and Table 2. All results are on 5-way 5-shot classification. We find that the jigsaw task leads to the best results on 3 out of 6

207 datasets.

## 208 5.1.2 The benefits of self-supervision increase with the difficulty of the task

We successfully verify claim 2 of the authors that the relative gains of using SSL are more when the difficulty of the task is higher. The authors experiment with two types of difficult tasks: one with low-resolution/greyscale images as input, and another with less labelled data from the base training set. We experiment with 3 selected datasets and were

successful in reproducing the results. We report the results on figures 2 and 4. The exact numbers are given on tables 12



Method	Accuracy
ProtoNet	$74.07 \pm 0.71$
ProtoNet + Jigsaw	77.29 ± 0.73
ProtoNet + Rotation	$74.93 \pm 0.9$
ProtoNet + Jigsaw + Rotation	$76.23 \pm 0.9$

Table 1: miniImageNet Results with ResNet-18

Figure 1: Results on applying SSL tasks to Prototypi-
cal networks, across 6 datasets

Method	CUB	Cars	Aircrafts	Dogs	Flowers
Softmax	$81.92 \pm 0.54$	$88.16 \pm 0.47$	$89.57 \pm 0.38$	$78.18 \pm 0.56$	$90.44 \pm 0.47$
Softmax + Jigsaw	$83.96 \pm 0.52$	$91.2 \pm 0.49$	$89.93 \pm 0.39$	$78.3 \pm 0.57$	$90.85 \pm 0.49$
ProtoNet	$87.09 \pm 0.48$	$91.0 \pm 0.41$	91.90 ± 0.35	$83.52 \pm 0.54$	$89.92 \pm 0.51$
ProtoNet + Jigsaw	89.57 ± 0.43	$92.67 \pm 0.39$	$91.72 \pm 0.39$	86.1 ± 0.51	$90.98 \pm 0.47$
ProtoNet + Rotation	$88.9 \pm 0.55$	$91.61 \pm 0.40$	$91.69 \pm 0.40$	$83.94 \pm 0.58$	$90.12 \pm 0.5$
ProtoNet + Jigsaw + Rotation	$88.98 \pm 0.45$	$93.27 \pm 0.38$	$91.26 \pm 0.4$	$85.29 \pm 0.54$	$90.01 \pm 0.51$

Table 2: ResNet-18's performance on the 5 small datasets.

and 10 respectively in the appendix. We find that the claims of the paper hold true, and that self-supervision has higher gains in harder tasks.

## 215 5.1.3 Unlabelled data for SSL from dissimilar domains negatively impacts the few-shot learner

Verifying claim 3 of the paper, we replace a portion of the labelled data, starting from 20% of the data to 80% of the data, with data from other domains. Here, we combine the data from all other datasets together, and sample images at random. We present results on 3 chosen datasets, again, to save computation and time for other results. Results are given in figure 3 and table 11 (appendix). The claim that using data from dissimilar domains for self-supervision is detrimental to few-shot classification holds true.

# 2215.1.4The proposed domain selection algorithm can alleviate this issue by learning to pick images from a222large and generic pool of images

To verify claim 4, we implement the domain selection algorithm from scratch, and verify it across all 5 small datasets as given in the paper, to make sure that we have got the implementation right. Results are presented in 5 and table 13 in the appendix. Results are shown on using only 20% of the labelled data for learning, only selecting images from other domains at random, and on using the proposed domain selection algorithm. We successfully verify and demonstrate that the algorithm proposed by the authors for selecting images from multiple dissimilar domains.

## 228 5.2 Results beyond original paper

#### 229 5.2.1 Results on a different architecture - Conv4

Here, we aim to investigate whether the claims of the paper hold when a small architecture that needs a smaller image size (84x84) is used. In particular, we investigate claim 1 of the paper extensively. Note that the authors do not report results with this architecture. Results are given in figure 6 and table 7 and table 9 (appendix). We find that the results **do** 

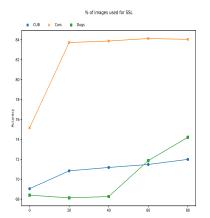


Figure 2: Results of applying SSL when the amount of labelled data for supervision is lesser. The gains obtained by SSL grow with the amount of labelled data

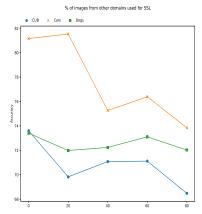


Figure 3: Performance on tasks where a portion of the labelled data is replaced with data from other domains

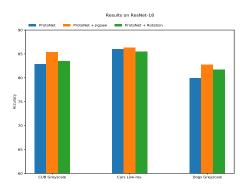


Figure 4: Results of applying selfsupervised learning on artificially constructed harder tasks.

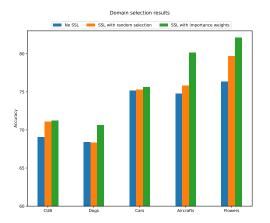


Figure 5: Results of the domain selection algorithm

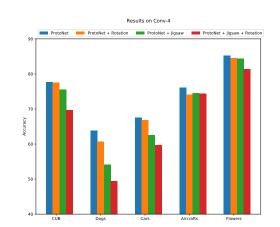


Figure 6: Results of using SSL with the Conv4 architecture

not hold true when a smaller architecture and image size is used, and that claim depends heavily on the architecture 233 and image size. We present results across all the 5 small datasets for completeness, across both SSL tasks. To confirm 234 our claims, we also rerun results with another seed, but get similar results (Table 8 in appendix). Apart from the reported 235 results with the optimal  $\alpha$  found by hyperparameter search, we study the effect of  $\alpha$  on the results, with the CUB and 236 cars datasets in tables 3 and 4. Here we find that the value of  $\alpha$  plays an important role in the performance, and that 237 high values cause too much supervision when the model is small. Even across training and testing with multiple  $\alpha$ 238 values, we find that the self-supervision provides only a marginal boost in 1 out of 4 cases, invalidating claim 1 of the 239 paper that self-supervision provides a stable boost to few-shot learners. 240

Rotation	CUB	Cars	Jigsaw	CUB	Cars
$\alpha = 0$ (no SSL)	$77.72 \pm 0.71$	67.6 ± 0.84	$\alpha = 0$ (no SSL)	77.72 ± 0.71	$67.6 \pm 0.84$
$\alpha = 0.1$	$77.6 \pm 0.73$	$66.83 \pm 0.75$	$\alpha = 0.1$	$75.57 \pm 0.73$	$62.548 \pm 0.75$
$\alpha = 0.3$	$77.22 \pm 0.9$	$65.53 \pm 0.73$	$\alpha = 0.3$	$64.91 \pm 0.9$	$51.83 \pm 0.73$
$\alpha = 0.5$	$75.04 \pm 0.81$	$60.74 \pm 0.73$	$\alpha = 0.5$	$75.04 \pm 0.81$	$60.74 \pm 0.73$
Table 3: Conv-4	4's performance	on Rotation	Table 4: Conv	-4's performanc	e on Jigsaw

#### 241 5.2.2 Results on cross-domain few-shot learning

In another effort to extend the paper's results, we test the results of our trained models on the BSCD-FSL benchmark for 242 cross-domain few-shot learning, introduced by (4) with their code<sup>7</sup>. The benchmark requires ImageNet-based trained 243 few-shot models to evaluated on four cross-domain datasets: CropDiseases, EuroSAT, ISIC2018, and ChestX datasets, 244 which covers plant disease images, satellite images, dermoscopic images of skin lesions, and X-ray images, respectively. 245 The selected datasets reflect real-world use cases for few-shot learning since collecting enough examples from above 246 domains is often difficult, expensive, or in some cases not possible. We use this benchmark to find out if models trained 247 with self-supervision provide gains over normal supervised models when tested on *real-world datasets*. We test our 248 mini-ImageNet trained models on this benchmark, to find out if self-supervision improves results on cross-domain 249 datasets. Results on the ResNet-18 models are reported in table 5. Results on the Conv-4 models are deferred to the 250 appendix table 14. We find that self-supervision results in learning heavily domain-specific representations, and that the 251 results of the fully-supervised learner are much better than those with auxiliary tasks as self-supervision. 252

Method	ChestX	Crop Disease	EuroSAT	ISIC
ProtoNet	$24.32 \pm 0.41$	83.36 ± 0.63	76.09 ± 0.74	$41.60 \pm 0.58$
ProtoNet + Jigsaw	$23.97 \pm 0.39$	77.86 ± 0.69	$72.72 \pm 0.68$	$41.22 \pm 0.56$
ProtoNet + Rotation	$23.84 \pm 0.39$	79.11 ± 0.68	$72.47 \pm 0.69$	43.79 ± 0.61
ProtoNet + Jigsaw + Rotation	$23.73 \pm 0.38$	$77.39 \pm 0.68$	$71.91 \pm 0.7$	$40.05 \pm 0.55$

Table 5: CDFSL Benchmark for ResNet-18

## 253 6 Discussion

We find that the central claims of the author as given in Section 2 hold true, when the same architecture is used. 254 Considering the ResNet-18 model used in the paper with an input image size of 224, we find that self-supervision 255 - in particular the jigsaw task, provides a boost in the case of small datasets. Experimentally, we verify claim 1 of 256 the paper on all small datasets and miniImageNet. However, going beyond the paper's architecture, we find that the 257 results depend heavily on the image size and architecture and do not give the same gains with Conv-4-64, another 258 architecture common in the few-shot learning literature, with an input image size of 84. Further ablation reveals that the 259 jigsaw task in particular has a strong influence in this setup, and the rotation task requires tuning the  $\alpha$  parameter to 260 even reach the accuracy of the fully-supervised model. Future work may investigate ways to boost the performance of 261 few-shot classifiers when the input sizes are small, and may also find out better architectures to use when the input size 262 is small. Future work may also experiment with other available architectures, and find out if self-supervision increases 263 performances across all configurations. 264

Regarding claims 2 and 3 such as on harder tasks and scenarios with lesser labelled data in the base dataset, our experiments on selected datasets verify that the claims hold true, with the ResNet-18 backbone. Further, we verify claim 4 of the paper by implementing the domain selection algorithm from scratch and our experiments on all the 5 datasets show that relative gains are achieved. Future work may also investigate if the same claims hold true when different architectures were used.

Finally, we evaluate the miniImageNet-trained models on a more practical setting of cross-domain few-shot learning
and find that SSL during the training time does not help few-shot learners generalize across domains better. Future
work may investigate why applying SSL results in domain-specific features, and propose methods to apply SSL in a
more domain-agnostic manner. We recommend future works in few-shot learning to train and evaluate in multiple
architectures with different image sizes and verify their work more thoroughly.

## 275 6.1 Communication with original authors

276 We maintained communication with the authors throughout our implementation and training phase, spanning two

months. We were able to clarify many implementation details in the original codebase, and the authors also re-ran an

experiment on their side to test if the numbers match. Further, we recieved a lot of help regarding implementation of the domain selection algorithm, and could also confirm the implementation with them. We acknowledge and thank the

authors for their help with the reproducibility of their paper.

<sup>&</sup>lt;sup>7</sup>https://github.com/IBM/cdfsl-benchmark

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# 317 7 Appendix

## 318 **7.1 Seconds per epoch**

Continuing section 4.5, we report the exact values per epoch across experiment configurations. We do so, since different architectures and datasets may require training for different number of epochs, however the epoch time remains the same across experiments.

Experiment	Setup (way,shot)	Seconds per epoch (Conv4 / ProtoNet
ProtoNet	(5,5)	20/25
ProtoNet	(20,5)	45/50
ProtoNet+Jigsaw	(5,5)	25/35
ProtoNet+Jigsaw	(20,5)	60/66
ProtoNet+Rotation	(5,5)	18/60
ProtoNet+Rotation	(20,5)	65/81
ProtoNet+Jigsaw+Rotation	(5,5)	42/70
ProtoNet+Jigsaw+Rotation	(20,5)	83/95

Table 6: Average seconds	per epoch across ex	sperimental setups and ways	3

## 322 7.2 Hyperparameter sweeps

<sup>323</sup> The selected experiment configs are as follows:

Each of the below experimental configurations are done for ProtoNet, ProtoNet+Jigsaw, ProtoNet+Rotation and

ProtoNet+Jigsaw+Rotation (4 configurations) in the <u>5-way 5-shot</u> setup. The sweeps optimize the **learning rate** and the mode of batch normalization, and  $\alpha$ .

The last two parameters are optimized only when self-supervision is applied. This is because  $\alpha = 0$  for fully supervised

learners and we find that using batch norm modes 2,3 is highly detrimental to fully supervised learners.

- miniImageNet Conv4: 4 sweeps
- miniImageNet ResNet-18: 4 sweeps
- CUB Conv4: **4** sweeps, reused for flowers and dogs datasets
- Cars Conv4: 4 sweeps, reused for aircrafs dataset
- CUB ResNet-18: 4 sweeps, reused for flowers and dogs datasets
- Cars ResNet-18: **4** sweeps, reused for aircrafs dataset
- Hence we do a total of **24** sweeps.

336 The sweeps and the exact hyperparameters obtained can be visualized at https://wandb.ai/meta-learners/

<sup>337</sup> FSL-SSL/sweeps. All the runs in the paper can be seen at https://wandb.ai/meta-learners.

## 338 7.3 Tables

339	7.3.1	Results on the	e applying self	-supervision to	few-shot learners

Method	CUB	Cars	Aircrafts	Dogs	Flowers
ProtoNet	$77.72 \pm 0.48$	67.99 ± 0.41	76.16 ± 0.69	$63.88 \pm 0.54$	85.29 ± 0.51
ProtoNet + Jigsaw	$75.57 \pm 0.7$	$62.54 \pm 0.39$	$74.53 \pm 0.68$	$54.27 \pm 0.51$	$84.4 \pm 0.47$
ProtoNet + Rotation	$77.5 \pm 0.55$	$66.8 \pm 0.40$	$74.16 \pm 0.40$	$60.74 \pm 0.58$	$84.55 \pm 0.5$
ProtoNet + Jigsaw + Rotation	$69.66 \pm 0.45$	$59.76 \pm 0.77$	$74.79 \pm 0.4$	$49.48 \pm 0.54$	$81.43 \pm 0.51$

Table 7: Conv-4's performance on few-shot learning tasks ( $\alpha = 0.5$ )

Method	CUB	Cars
ProtoNet	$76.43 \pm 0.3$	$67.45 \pm 0.85$
ProtoNet + Jigsaw	$65.09 \pm 0.42$	$60.39 \pm 0.76$
ProtoNet + Rotation	$75.05 \pm 0.35$	$66.61 \pm 0.6$

Method	Conv-4
ProtoNet	$66.78 \pm 0.84$
ProtoNet + Jigsaw	$64.94 \pm 0.75$
ProtoNet + Rotation	$66.41 \pm 0.73$
ProtoNet + Jigsaw + Rotation	$65.21 \pm 0.73$

Table 9: miniImageNet Results on Conv4

## 340 7.3.2 Results on harder tasks

Method	20% CUB	20% Cars	20% Dogs
No SSL	73.61 ± 0.71	$75.16 \pm 0.84$	$68.4 \pm 0.64$
20% SSL	$70.84 \pm 0.73$	$83.72 \pm 0.75$	$68.13 \pm 0.9$
40% SSL	$71.48 \pm 0.9$	$83.87 \pm 0.73$	$68.26 \pm 0.87$
60% SSL	$70.71 \pm 0.81$	84.12 ± 0.73	74.21 ± 0.89
80% SSL	$71.99 \pm 0.65$	$84.04 \pm 0.78$	$71.86 \pm 0.81$

Table 10: Performance on tasks with lesser labelled data

Method	20% CUB	20% Cars	20% Dogs
No SSL	$73.61 \pm 0.82$	$75.16 \pm 0.84$	$68.4 \pm 0.64$
20% SSL	$69.83 \pm 0.79$	81.53 ± 0.79	$71.99 \pm 0.88$
40% SSL	$71.08 \pm 0.83$	$75.27 \pm 0.89$	$72.24 \pm 0.85$
60% SSL	$71.12 \pm 0.91$	$76.39 \pm 0.89$	73.11 ± 0.83
80% SSL	$68.48 \pm 0.87$	$73.85 \pm 0.89$	$72.03 \pm 0.91$

Table 11: Performance when a portion of data replaced with data from other domains

Method	CUB Greyscale	Cars Low-resolution	Dogs Greyscale
ProtoNet	$82.88 \pm 0.56$	$86.00 \pm 0.51$	$79.97 \pm 0.54$
ProtoNet + Jigsaw	$85.44 \pm 0.52$	86.34 ± 0.56	$82.82 \pm 0.50$
ProtoNet + Rotation	$83.51 \pm 0.55$	$85.53 \pm 0.53$	$81.74 \pm 0.59$

Table 12: Performance on artificially constructed harder tasks

# 341 7.3.3 Results on domain selection

Method	CUB	Cars	Aircrafts	Dogs	Flowers
No SSL	$69.05 \pm 0.48$	$75.15 \pm 0.41$	$74.8 \pm 0.35$	$68.4 \pm 0.54$	$76.34 \pm 0.51$
SSL Pool (Random)	$71.11 \pm 0.43$	$75.27 \pm 0.39$	$75.81 \pm 0.39$	$68.38 \pm 0.51$	79.71 ± 0.47
SSL Pool (Weight)	$71.25 \pm 0.55$	75.65 ± 0.40	$80.13 \pm 0.40$	70.66 ± 0.58	82.16 ± 0.5

Table 13: Domain selection results

# 342 7.3.4 Results on cross-domain few-shot learning

Method	ChestX	Crop Disease	EuroSAT	ISIC
ProtoNet	$24.46 \pm 0.39$	80.45 ± 0.66	$67.03 \pm 0.7$	$41.0 \pm 0.6$
ProtoNet + Jigsaw	$24.07 \pm 0.4$	$78.51 \pm 0.66$	$64.69 \pm 0.7$	$39.81 \pm 0.54$
ProtoNet + Rotation	$24.46 \pm 0.39$	$79.30 \pm 0.7$	$66.50 \pm 0.71$	$39.54 \pm 0.54$
ProtoNet + Jigsaw + Rotation	$24.16 \pm 0.37$	$78.67 \pm 0.66$	67.60 ± 0.66	$40.22 \pm 0.54$

Table 14: CDFSL Benchmark for Conv-4.

# 343 7.4 Architectures

Layer Name	Output Size	Conv-4-64
conv1	82 x 82 x 64	3 x 3, 64
conv2	41 x 41 x 64	2 x 2, max pool, stride 2
01172	41 X 41 X 04	3 x 3, 64
conv3	18 x 18 x 64	2 x 2, max pool, stride 2
conv5	10 x 10 x 04	3 x 3, 64
conv4	7 x 7 x 64	2 x 2, max pool, stride 2
	/ / / / 04	3 x 3, 64
average pool	1 x 1 x 64	7 x 7 average pool
fully connected	1024	64 x 1024 linear
fully connected	Х	1024 x X linear
softmax	Х	

Table 15: Conv-4 Architecture (X denotes the way)

Layer Name	Output Size	Conv-4-64
conv1	112 x 112 x 64	7 x 7, 64, stride 2
conv2 x	56 x 56 x 64	3 x 3 max pool, stride 2
conv2_x		[3 x 3, 64; 3 x 3, 64] x 2
conv3_x	28 x 28 x 128	[3 x 3, 128; 3 x 3, 128] x 2
conv4_x	14 x 14 x 256	[3 x 3, 256; 3 x 3, 256] x 2
conv5_x	7 x 7 x 512	[3 x 3, 512; 3 x 3, 512] x 2
average pool	1 x 1 x 512	7 x 7 average pool
fully connected	Х	512 x X fully connections
softmax	Х	

Table 16: ResNet-18 Architecture