## **HMoE: Heterogeneous Mixture of Experts for Language Modeling**

#### Anonymous ACL submission

#### Abstract

Mixture of Experts (MoE) offers remarkable performance and computational efficiency by selectively activating subsets of model parameters. Traditionally, MoE models use homogeneous experts, each with identical capacity. However, varying complexity in input data necessitates experts with diverse capabilities, while homogeneous MoE hinders effective expert specialization and efficient parameter utilization. In this study, we propose a novel Heterogeneous Mixture of Experts (HMoE) framework, where experts differ in size and thus possess diverse capacities. This heterogeneity allows for more specialized experts to handle varying token complexities more effectively. To 016 address the imbalance in expert activation, we propose a novel training objective that encour-017 ages the frequent activation of smaller experts, so as to improve computational efficiency and parameter utilization. Extensive experiments demonstrate that HMoE achieves a lower loss 021 rate with fewer activated parameters and outper-022 forms conventional homogeneous MoE models on various pre-training evaluation benchmarks. Codes will be released upon acceptance.

## 1 Introduction

037

041

Mixture of Experts (MoE) (Jacobs et al., 1991; Shazeer et al., 2017; Lepikhin et al., 2020; Fedus et al., 2022; Jiang et al., 2024; Dai et al., 2024) is a cutting-edge technique in the field of large language models (LLMs) (Brown et al., 2020; Achiam et al., 2023; Ouyang et al., 2022; Touvron et al., 2023a,b; Dubey et al., 2024) that excels in both performance and computational efficiency. At its core, MoE operates on the principle of dividing a model into multiple components, known as experts (Shazeer et al., 2017), each specializing in different tasks or aspects of the data. This specialization allows MoE to activate a subset of parameters, significantly enhancing the model's robustness and flexibility. The main advantage of MoE lies in that



Figure 1: Comparisons of our heterogeneous MoE-3B with conventional homogeneous MoE-3B. Our proposed HMoE is superior on both performance and efficiency.

it can scale with model parameters without incoming extra computational costs. 042

043

044

045

047

049

051

057

060

061

062

063

064

065

067

068

069

070

071

The specialization of experts (Oldfield et al., 2024; Chen et al., 2023; Krishnamurthy et al., 2023; Qiu et al., 2025) is crucial for improving computational efficiency and performance under sparse activation. However, almost all MoE models (Jiang et al., 2024; Dai et al., 2024; Wu et al., 2024; Huang et al., 2024) rely on identical experts with similar representational capacities. This design often leads to convergence, where experts learn similar features over time, thereby reducing their uniqueness and specialization (Zhou et al., 2022; Cai et al., 2024). Such uniformity limits the model's ability to generalize effectively across tasks and undermines its performance. Moreover, the lack of functional differentiation among experts makes it challenging for MoE models to efficiently handle complex inputs in NLP (Huang et al., 2024). When all experts have equivalent representational capacities, the system fails to utilize its parameters optimally. As a result, the potential depth and diversity required for processing nuanced inputs are lost, which compromises the effectiveness of the MoE architecture.

To address these challenges, a simple idea is to change the current homogeneous experts to heterogeneous ones. **Homogeneous** indicates that all experts share identical architecture and size, while **heterogeneous** indicates that they do not. However, the challenges of heterogeneous MoE mainly exist in the following aspects: (a) *How to introduce appropriate heterogeneity to experts?* This fundamental difference between homogeneous and heterogeneous MoE significantly impacts performance. (b) *How to design and guide the desired load distributions for heterogeneous experts?* The optimal activation of heterogeneous experts is different from that in conventional MoE. We should first conclude what kind of expert activation distribution is optimal for heterogeneous MoE, and then provide effective guidance towards such activation, balancing both parameter efficiency and model effectiveness.

072

073

074

090

100

101

102

103

104

105 106

107

108

110

111

112

113

114

115

116

117

118 119

120

121

122

123

In this study, We introduce a novel **Heterogeneous Mixture of Experts (HMoE)** pre-trained language model with varied expert sizes to create heterogeneity. However, it is found that, without training guidance, intuitive HMoE version does not outperform traditional MoE. Larger experts get more activation, while smaller ones are underused, reducing the model's representational capacity and hindering heterogeneous expert utilization.

Therefore, we propose novel HMoE training objectives, **P-Penalty Loss**, that *encourages the activation of smaller experts*, leading to a more rational allocation of activated parameters and improved model capability. Besides, we analyze three strategies of designing different heterogeneous expert size distributions, discovering the insights of *optimal heterogeneity of experts in HMoE*.

We conduct extensive experiments to verify the effectiveness and efficiency of our proposed HMoE, along with in-depth analyses. We contribute to the success of our enhanced HMoE for following reasons: (a) Experts of varying sizes provide diverse capacities and promote higher specialization. (b) Expert heterogeneity ensures complex input get the necessary resources while simpler input are processed economically. (c) Leveraging MoE's inherent imbalance by activating more small experts to enhance their overall capability and further reduce computing costs.

We summarize our contributions as follows:

(1) We introduce a novel HMoE model, improving both effectiveness and efficiency. To the best of our knowledge, this work is the first work exploring heterogeneous MoE as a base language model.

(2) We propose a new training objective that encourages the activation of smaller experts, leading to more efficient utilization of experts and preventing the disproportionate reliance on larger experts in HMoE. (3) Our experiments show that HMoE performs better while activating fewer parameters, thus boosting computational efficiency while enhancing downstream outcomes. 124

125

126

127

128

129

130

131

132

133

134

135

136

137

138

139

140

141

142

143

144

145

146

147

148

149

150

151

152

153

154

155

156

157

158

160

161

162

163

164

165

166

167

168

169

170

171

172

173

## 2 Methodology

## 2.1 Classical Mixture of Experts

Unlike dense models, most MoE models (Lepikhin et al., 2020; Fedus et al., 2022; Huang et al., 2024; Dai et al., 2024; Jiang et al., 2024) replace the FFN layer of the transformer (Vaswani et al., 2017) block with a MoE layer. The MoE layer consists of a router  $g_i(\cdot)$  and multiple experts  $\{e_1, e_2, ..., e_N\}$ . The experts are composed of a set of independent Feed-Forward Network (FFN) layers. Experts are responsible for processing input data according to their specialized knowledge. For each token, a subset of experts is activated to execute computations, and the router generates a probability distribution. The probability of this distribution indicates the likelihood of assigning the token to each expert.

**Routing Strategy.** The routing strategy is applied to select experts to be activated from N experts. The **Top-K Routing** (Shazeer et al., 2017) strategy is the most widely-used strategy, which always activates a fixed number of experts for each token. It calculates the score which represents the probability of selecting each expert. We select the top k experts with the highest scores to activate.

Recently, Top-P Routing (Huang et al., 2024) is proposed to dynamically activate different numbers of experts for each token. Specifically, it first sorts scores from highest to lowest. Then given a fixed threshold p, if the highest probability is larger than the threshold, we only activate one expert. Otherwise, we progressively add additional experts until the cumulative probability exceeds the threshold p. Issues of Homogeneous MoE. Currently, most MoE work employs a homogeneous design. Each expert in the MoE layer usually has the same structure and size. Undoubtedly, this is a simple design that avoids introducing more hyperparameters. However, it also brings the following problems: (1) Lack of Expert Specialization: Different experts within a homogeneous MoE show a tendency towards similarity (Zhou et al., 2022). Since homogeneous experts have the same modeling capabilities, the router may randomly distributes tokens to them during pre-training. Without differentiation mechanisms, multiple experts may focus on similar features, resulting in low specialization. Our



(a) Conventional homogenerous MoE.

(b) Our proposed heterogeneous MoE.

Figure 2: Two distinct model structures for Mixtures of Experts are compared: (a) conventional homogeneous MoE model with all experts having identical parameter sizes; (b) our proposed heterogeneous MoE model (HMoE) characterized by substantial variations in parameter sizes of each expert, incorporating a parameter penalty loss during training to promote utilization of experts with smaller parameter volumes.

analysis in section 3.4 shows this tendency. (2) In-174 efficient Parameter Allocation: Intuitively, simpler 175 inputs can be effectively handled by smaller experts 176 with less computational capacity, whereas more 177 complex inputs require the enhanced capability of larger experts. However, homogeneous MoE mod-179 els use experts with identical capacities, resulting in redundant computations for simple inputs and 181 insufficient computational resources for complex ones. While Top-P Routing (Huang et al., 2024) introduces dynamic routing by assigning varying 184 numbers of experts to different tokens, its reliance 185 on fixed thresholds and simplistic difficulty modeling limits its ability to adapt effectively to diverse inputs. (3) Representation Collapse and Load Imbalance: Homogeneous MoE has a trend toward representation collapse (Chi et al., 2022), which oc-190 curs when the majority of input tokens are assigned 191 to only a few experts. This phenomenon also leads 192 to load imbalance. The interconnected nature of 193 representation collapse and load imbalance ham-194 pers the model's performance and efficiency.

#### 2.2 Heterogeneous Mixture of Experts

197To alleviate the above issues in homogeneous MoE,198we propose Heterogeneous Mixture of Experts.199HMoE includes a router and expert network, with200the key distinction that the models of experts within201the same layer are different. To achieve an HMoE,202we could design different structures and different203sizes for experts. However, within the transformer204model, experts with different structures make the205training process extremely unstable. Therefore, in206this work, we mainly explore HMoE with different207expert sizes, as shown in Figure 2.



Figure 3: Experimental results of intuitive exploration on HMoE. (a) The left figure compares the results of the intuitive HMoE and conventional Homogeneous MoE. Average performance is the average score of six evaluation benchmarks as introduced in section 3.1. The Homogeneous MoE adapts load balancing loss while the intuitive Hetergeneous MoE does not utilize any auxiliary loss. (b) The right figure shows the activated ratios of experts in the intuitive HMoE. The relative expert sizes in HMoE are  $\{9, 11, 13, 15, 17, 19, 21, 23\}$ , matching experts *a* to *h*.

## 2.2.1 An Intuitive Exploration on HMoE

For each expert  $e_i$ , we follow the FFN design in LLaMa (Touvron et al., 2023a). The detailed computation is as follows:

$$e_{i}(\mathbf{x}) = \mathbf{W}_{o,i} \cdot (\text{SiLU}(\mathbf{W}_{g,i} \cdot \mathbf{x}) \odot (\mathbf{W}_{p,i} \cdot \mathbf{x})),$$
(1)

208

209

210

211

213 214

215

216

217

218

219

221

223

SiLU(
$$\mathbf{z}$$
) =  $\mathbf{z} \cdot \sigma(\mathbf{z})$ ,  $\sigma(\mathbf{z}) = \frac{1}{1 + e^{-\mathbf{z}}}$ , (2)

where  $\mathbf{W}_{g,i} \in \mathbb{R}^{h_{\text{input}} \times h_{\text{ffn},i}}$ ,  $\mathbf{W}_{p,i} \in \mathbb{R}^{h_{\text{input}} \times h_{\text{ffn},i}}$ and  $\mathbf{W}_{o,i} \in \mathbb{R}^{h_{\text{ffn},i} \times h_{\text{input}}}$  are trainable parameters of expert  $e_i$ .  $h_{\text{input}}$  and  $h_{\text{ffn},i}$  are dim of input x and hidden state in FFN. To bring in heterogeneity for exploration, We intuitively change the hidden dim  $h_{\text{ffn},i}$  to control the size of each expert  $e_i$ .

### 2.2.2 Results of The Intuitive HMoE

We implement the aforementioned intuitive HMoE and conduct evaluation. Contrary to our expecta-

227

230

232

233

237

240

241

242

243

245

247

250

251

252

253

256

257

259

260

261

tions, the results do not demonstrate an improvement over homogeneous MoE. Figure 3 shows the results and activation ratios of experts in HMoE.

Upon investigation, we discovered that the primary reason for this underperformance was the highly imbalanced load distribution among experts in the intuitive HMoE. Larger experts were activated more frequently, while smaller ones were rarely utilized. This imbalance led to a decline in the model's overall representational capacity. The root cause is the Matthew's effect that the larger experts possess stronger capabilities compared to the smaller ones, prompting the router to preferentially activate the larger experts more often, which results in the insufficient learning of smaller experts.

#### 2.3 Enhanced Heterogeneous MoE

Considering the above-mentioned issues, we propose the following strategies to enhance HMoE.

#### 2.3.1 Activating More Small Experts

In HMoE, the presence of both large and small experts introduces a challenge where the optimization goal of the language model naturally favors the frequent activation of larger experts due to their superior performance. This tendency results in smaller experts being underutilized, while larger experts are activated more often, leading to a significant increase in activated parameters. This phenomenon diverges from the intended model objective, where we aim to align the tasks handled by large and small experts with their respective capacities. Specifically, we want larger experts to focus on more complex understanding and reasoning tasks, while smaller experts handle simpler tasks. This ensures that all specialized experts are effectively utilized and sufficiently trained according to their strengths.

Previous work (Fedus et al., 2022) adapts load balancing loss  $\mathcal{L}_{lb}$  to eliminate load unbalancing among different experts in Homogeneous MoE:

$$\mathcal{L}_{lb} = N \sum_{i=1}^{N} \mathcal{T}_{i} * \hat{\mathcal{P}}_{i},$$
  
$$\mathcal{T}_{i} = \frac{1}{T} \sum_{t=1}^{T} 1\{e_{i} \in E^{t}\}, \quad \hat{\mathcal{P}}_{i} = \frac{1}{T} \sum_{t=1}^{T} P_{i,t},$$
  
(3)

where  $\mathcal{T}_i$  represents the partation of tokens assigned to expert  $e_i$ .  $\hat{\mathcal{P}}_i$  represents the gating probability assigned to  $e_i$ .  $P_{i,t}$  represents the gating probability assigned to  $e_i$  for token  $x_t$ .  $E^t$  represents the set of activated experts for the token  $x_t$ . The objective of the load balancing loss is to achieve experts evenly activated. Nevertheless, it does not satisfy our motivation for designing HMoE. Because of the disparity in expert sizes, the load-balancing loss fails to stop the model from preferring to activate larger experts. To address the issue where larger experts are predominantly utilized, leading to the underutilization of smaller experts and a considerable rise in activated parameters, we introduce a novel training objective **parameter penalty (P-Penalty) loss**  $\mathcal{L}_{P-Penalty}$  as: 268

269

270

271

272

273

274

275

276

277

278

279

280

281

282

283

284

285

286

288

290

291

292

293

294

295

296

297

299

300

301

302

303

304

305

306

307

308

309

$$\mathcal{L}_{\text{P-Penalty}} = N \sum_{i=1}^{N} \mathcal{M}_{i} * \hat{\mathcal{P}}_{i},$$

$$\mathcal{M}_{i} = \frac{1}{T} \sum_{t=1}^{T} 1\{e_{i} \in E^{t}\} \times h_{\text{ffn},i}.$$
(4)

 $\mathcal{M}_i$  represents the average dimension of the hidden state of the expert  $e_i$  on the entire input x. It imports the influence of expert size into the loss. When the model employs more large experts, the loss rises. Hence, it will direct the model to more economically utilize smaller experts. In contrast, for necessary occasions, using larger experts can yield greater benefits than parameter penalties. At this point, larger experts will also be activated to take part in the calculation. To be noted, if all expert has the same size, our parameter penalty loss is equal to the classical load balancing loss.

Besides, with the Top-P routing strategy, we find that MoE tends to activate an increasing number of experts during training, which reduces the efficiency of MoE. Therefore, we implement the router entropy loss (Huang et al., 2024) to prevent the model from using too many parameters, maintaining its ability to selectively activate experts as:

$$\mathcal{L}_{entropy} = N \sum_{i=1}^{N} \mathbf{P}_i \times \log(\mathbf{P}_i).$$
 (5)

In our HMoE, besides the original *language modeling loss*, the final loss for both Top-K and Top-P routing strategies further includes the *parameter penalty loss*  $\mathcal{L}_{P-Penalty}$ , with Top-P additionally incorporating the *router entropy loss*  $\mathcal{L}_{entropy}$ .

## **2.3.2** Designing More Optimal Heterogeneity

Intuitively, the specific sizes of each heterogeneous expert have a large impact on the final results. In this work, we mainly explore three types of heterogeneity structures for experts:

4

262

263

264

(1) *Geometric strategy*. The geometric strategy assigns expert sizes in a geometric sequence, such as  $\{1, 2, 4, 8, 16, 32, 64, 128\}$  as relative size proportions of the experts. This design emphasizes a few large-scale experts, which can lead to unbalanced resource allocation and neglect of smaller experts, potentially causing severe load imbalance and limiting its suitability for tasks requiring balanced processing.

310

311

312

313

315

316

317

318

319

321

323

324

325

328

329

330

331

335

336

340

341

342

343

344

(2) Arithmetic strategy. The arithmetic strategy assigns expert sizes in an arithmetic sequence, such as  $\{9, 11, 13, 15, 17, 19, 21, 23\}$ . This approach can ensures balanced resource allocation and smaller size gaps between experts, giving smaller experts meaningful expressive abilities and improving training stability. This study primarily adopts this strategy for research on HMoE.

(3) *Hybrid strategy*. The hybrid strategy that jointly combines both homogeneous and heterogeneous such as  $\{1, 1, 1, 1, 2, 2, 4, 4\}$  is also a good competitor. We designed this setup based on the assumption that the MoE model requires multiple experts with similar capabilities or functionalities. Especially in scenarios involving expert combinations, completely differentiated experts might have drawbacks. It has the flexibility to adjust the proportion of homogeneous and heterogeneous parts based on different task requirements.

As a pioneer of HMoE, we propose three strategies of different heterogeneity levels and conduct extensive evaluations on different settings for more insights. More optimal HMoE distributions and structures will be explored in the future.

### **3** Experiments

#### 3.1 Experimental Settings

Pre-training Datasets. For our pre-training data, we used the RedPajama (Computer, 2023) dataset. It is an open-source dataset consisting of various sources like the common crawl, C4 (Raffel et al., 2020), GitHub, Wikipedia, books (Gao et al., 2020), arXiv, and StackExchange.

Competitors. In our main experiment, we evaluated Dense, homogeneous MoE and our HMoE model: (1) Dense, which are standard Transformer decoder-only models, following the design of LLaMa (Touvron et al., 2023a), without MoE layers, implemented with 0.2B and 1B parameters. (2) Homogeneous MoE, where FFN layers are replaced with MoE Layers including eight homogeneous experts, implemented with 0.4B, 3B and 16B total parameters, using both Top-K (k=2) and Top-P (p=0.6) routing strategies. (3) **HMoE**, our proposed method with Heterogeneous MoE Layers replacing FFN layers, also implemented with 0.4B, 3B and 16B total parameters with both Top-K (k=2) and Top-P (p=0.6) strategies. To reflect the difference in performance between pure heterogeneous models and conventional homogeneous models, the expert size distribution employs an arithmetic strategy (The relative expert sizes are  $\{9, 11, 13, 15, 17, 19, 21, 23\}$ ). The detailed setting is introduced in the Appendix A and B. 360

361

362

363

364

365

366

367

369

370

371

372

373

374

375

376

377

378

379

380

381

382

385

388

389

390

391

392

393

394

395

396

397

398

399

400

401

402

403

404

405

406

407

408

409

**Evaluation.** We evaluated these models on six different benchmarks (Gao et al., 2021) including PIQA (Bisk et al., 2020), hellaswag (Zellers et al., 2019), BoolQ (Clark et al., 2019), ARC (Clark et al., 2018), winogrande (Sakaguchi et al., 2021) and SIQA (Sap et al., 2019). These tasks examine models' language understanding, logical reasoning, knowledge utilization, and social awareness capabilities. The average performance depicted in Figures 1, 3, 5, and 6 is the average score obtained across these six benchmarks. Since the activated parameters of different methods are varied, we ensure a fair comparison by basing our model evaluations on **identical computational training costs** (FLOPs) instead of the number of training tokens.

### 3.2 Main Results

## 3.2.1 IsoFLOP Analysis

We conduct isoFLOP comparisons as shown in Figure 4. The isoFLOP analysis is a methodology used to evaluate model performance and training efficiency by fixing the training computation budget (measured in FLOPs) and comparing different model configurations. For this analysis, we adapt TopP routing (p=0.6) strategy and trained 16 conventional MoE models and 16 HMoE models of different sizes, ranging from 100M to 3B parameters, and recorded their activation parameters and loss values at different training FLOP levels. At each FLOP point, the activation parameter corresponding to the lowest loss is selected as the optimal activation parameter for that specific FLOP budget. This approach enables a systematic comparison of model efficiency and performance under equivalent computational constraints.

We find that if the training FLOPs are too few, the loss of HMoE is not superior to traditional MoE. However, from early stages of training (around  $2.5 \times 10^{19}$  FLOPs), HMoE shows a stable trend



Figure 4: Analysis of isoFLOP for conventional MoE (Top-P) and our poposed HMoE (Top-P). The medium figure shows examples of the activated model parameters and loss for three training FLOPs. The medium figure shows the optimal activated model parameters for various training FLOPs. The right figure shows the variations in loss as FLOPs increase, given the optimal activated parameter settings.

of outperforming its homogeneous MoE. Further-410 more, across different training costs, the optimal ac-411 tivation parameter for HMoE consistently remains 412 lower than that of homogeneous MoE. As the train-413 ing cost increases, the gap in optimal activation pa-414 rameters widens, highlighting the significant model 415 efficiency advantage of HMoE. This should sug-416 gest that with larger models and more data, the 417 benefits of heterogeneity may become even more 418 pronounced, both in performance and efficiency. 419

#### 3.2.2 Performance on Benchmarks

420

421

422

423

424

425

426

427

428

429

430

431

432

433

434

435

436

437

438

439

440

441

442

443

444

445

Table 1 presents a comparative analysis of the results of various models on benchmarks, we have:

(1) The results shows MoE outperform Dense models across all metrics, with HMoE showing particularly outstanding results. The HMoE models achieved superior performance in almost all evaluation metrics, significantly surpassing conventional MoE and Dense models.

(2) For models utilizing  $7 \times 10^{19}$  FLOPs, the HMoE-0.4B model, particularly with the Top-P routing strategy, stands out. It achieves an average improvement of 1.21% compared to the Dense-0.2B model and 1.85% compared to the MoE-0.4B model. As the computational budget increases to  $2.6 \times 10^{20}$  FLOPs, the HMoE-3B model maintains its lead. With the Top-P routing strategy, it achieves an average performance gain of 1.50% over the Dense-1B model and a competitive edge of 0.91% over the MoE-3B model. At an even higher computational budget of  $9 \times 10^{20}$  FLOPs, the HMoE-16B model continues to exhibit its effectiveness. With the Top-P routing strategy, it delivers improvement of 0.69% over the MoE-16B model.

(3) We observe that HMoE demonstrates a more pronounced performance improvement on the

ARC-Easy and HellaSwag tasks compared to conventional MoE. The rationale could be that these two tasks are comparatively easier, and P-penalty loss in HMoE is employed to guarantee sufficient training for the small experts. Meanwhile, because HMoE allocates more parameters to the larger expert, the model's performance on more challenging tasks remains uncompromised. 446

447

448

449

450

451

452

453

454

455

456

457

458

459

460

461

462

463

464

465

466

467

468

469

470

471

472

473

474

475

476

477

478

479

480

(4) Furthermore, the comparison between Top-K and Top-P routing within the HMoE model is also insightful. The Top-P routing strategy generally yields better results, implying that the dynamic routing strategy cooperates well with heterogeneous experts. We attribute this to the fact that both Top-P routing and heterogeneous experts are designed to adapt to the complexity of the input.

### 3.3 Ablation Study

We conduct an ablation study to analyze auxiliary losses and expert heterogeneity. All experiments are based on models with 400M total parameters.

### 3.3.1 Effectiveness of Auxiliary Losses

Our proposed P-Penalty loss plays a key role in HMoE's performance. To better understand the impact of auxiliary losses, we conduct an ablation study. As shown in Figure 5 (left), the P-Penalty loss helps HMoE achieve the best results among all auxiliary losses. Additionally, Figures 3 (right) and 5 (right) illustrate how auxiliary losses influence expert activation. We observe that the load balancing loss does not alleviate the tendency of larger experts being activated more frequently than smaller experts. This imbalance may limit HMoE's ability to outperform conventional MoE. In contrast, the P-Penalty loss appears to better align the model's objectives by encouraging the activation of smaller

Method	Activated Parameters	PIQA	hellaswag	BoolQ	ARC-Easy	winogrande	SIQA	AVG
$7  imes 10^{19}$ FLOPs Training								
Dense-0.2B	176M	56.20	26.83	61.43	31.05	51.69	32.65	43.30
MoE-0.4B (Top-K)	163M	57.67	27.81	62.13	29.70	50.59	32.82	43.45
MoE-0.4B (Top-P)	173M	56.92	27.73	56.54	30.18	51.67	32.89	42.66
HMoE-0.4B (Top-K)	153M	56.67	28.26	59.80	31.93	52.49	32.91	43.68
HMoE-0.4B (Top-P)	173M	58.98	28.10	60.78	34.14	52.21	32.83	44.51
$2.6 \times 10^{20}$ FLOPs Training								
Dense-1B	1.32B	58.92	29.57	61.70	35.26	51.85	32.86	45.03
MoE-3B (Top-K)	0.77B	61.92	32.80	60.06	33.96	52.51	32.58	45.64
MoE-3B (Top-P)	1.23B	61.42	32.16	61.47	33.51	52.27	32.91	45.62
HMoE-3B (Top-K)	0.70B	61.04	32.89	60.26	36.14	52.49	32.82	45.94
HMoE-3B (Top-P)	0.68B	61.79	33.22	61.69	36.49	52.96	33.00	46.53
$9 \times 10^{20}$ FLOPs Training								
MoE-16B (Top-P)	3.83B	64.96	41.33	62.56	41.40	51.85	32.91	49.16
HMoE-16B (Top-P)	1.77B	65.12	43.03	61.40	44.21	52.09	33.27	49.85

Table 1: Results on six pre-training model evaluation benchmarks. Our HMoE consistently outperforms Homogenerous MoE. To be noted, in order to ensure a relatively fair comparison, in the experimental results of each block, although the activation parameters of different models are different, they are all trained with the same training cost (FLOPs), rather than based on the same number of training tokens.



Figure 5: The left figure shows the effectiveness of auxiliary losses. The right figure shows the activated parameter ratio varying by model size across load balancing loss (above) and our P-Penalty loss (below).

experts more frequently, thereby contributing to improved model performance and efficiency.

## 3.3.2 Analyses on Expert Heterogeneity

481

482

483

484

485

486

487

488

489

490 491

492

493

494

495

The expert size distribution in HMoE significantly influences model performance. Figure 6 (left) compares HMoE across various distributions: geometric, arithmetic, and hybrid. Our results show that the geometric distribution performs the worst. Figure 6 (right) shows that smaller experts in the geometric progression are less frequently activated. Even with P-Penalty loss, this may suggest their capacity is insufficient because of their too-small size. Conversely, the hybrid model outperforms the arithmetic one. This finding may indicate that a mix of experts with both similar and varied sizes offers



Figure 6: Analysis of expert heterogeneity through ablation. The figure on the left illustrates a performance comparison across various expert-size design strategies. The right figure displays the activation ratios of experts in HMoE using a *geometric* strategy.

greater potential for exploration and optimization within the HMoE model.

496

497

498

499

500

501

502

503

504

505

506

507

508

509

510

511

512

513

514

#### 3.4 In-depth Analyses on HMoE Experts

To compare the expert specialization in our proposed Heterogeneous Mixture of Experts (HMoE) and traditional Homogeneous Mixture of Experts (MoE), we analyzed the behavior of experts in both setups. Figure 7 provides a similarity analysis using heatmaps, where each cell represents the Wasserstein distance between the token distributions of expert pairs on downstream tasks. In the Homogeneous MoE framework, the experts primarily cluster into two groups, suggesting limited differentiation among experts in this framework. This indicates that homogeneous setups may struggle to promote diverse expert specialization effectively. In contrast, the HMoE framework demonstrates a more refined expert specialization. Experts of similar sizes exhibit higher similarity, forming distinct



Figure 7: Similarity study of the homogeneous and heterogeneous experts. In the homogeneous MoE, all experts have identical sizes. In the heterogeneous MoE, the relative expert sizes are

{9, 11, 13, 15, 17, 19, 21, 23} as experts from *a* to *h*.

Ea	<sup>159</sup> The-	40.9	12.5	10.7	6.6	7.7	5.1	8.5	7.9
	_such -	36.7	18.4	10.2	14.3	4.1	6.1	8.2	2.0
	day -	11.1	11.1	25.0	19.4	5.6	11.1	13.9	2.8
Token	_heavy -	23.7	18.4	15.8	23.7	2.6	0.0	15.8	0.0
	_ability -	24.0	15.6	12.5	9.4	19.8	10.4	4.2	4.2
	_periodic -	15.8	28.9	10.5	13.2	5.3	2.6	21.1	2.6
Ha	_coord -	28.9	15.6	6.7	6.7	11.1	6.7	8.9	15.6
nu	Ind	a Small	b	ċ	d	ė	ŕ	ġ	h Large
		Expert							

Figure 8: Visualization of activated experts ratio to tokens with different understanding difficulty. The expert size design is the same as Figure 7.

clusters (e.g., expert pairs a/b, c/d, and f/g). This clustering may suggests that experts with comparable sizes tend to develop similar capabilities. The heterogeneous design thus encourages specialized expert behavior, emphasizing the advantages of introducing heterogeneity in fostering diversity and differentiation among experts.

515

516

517

518

519

520

522

523

524

528

530

531

534

Figure 8 shows the activation ratios of experts for tokens with varying difficulty levels. The activation ratio is the frequency that a token activates each expert divided by the total activations. We observe relative "hard" tokens (tokens with multiple meanings or tokens with low frequency of occurrence) activate larger experts more often, while smaller experts are consistently activated may due to their general capabilities. It is noteworthy that, although we present only a few examples, this phenomenon is universally observed. This should suggests that our HMoE model effectively allocates tokens to appropriate experts.

## 4 Related Work

The Mixture of Experts (MoE) model was first proposed by Jacobs et al. (1991), where each expert independently learns a subset of the dataset and is then integrated into a unified system. Building on this, (Shazeer et al., 2017) introduced the Sparsely-Gated Mixture-of-Experts layer (SMoE), which employs a gating network for expert selection and proposes a Top-K routing strategy, where a fixed number of experts are selected for each token. Further advancements were made by Gshard (Lepikhin et al., 2020) and SwitchTransformer (Fedus et al., 2022), which incorporated MoE into the Transformer architecture's FFN layers, utilizing top-1 and top-2 routing, respectively. Expert-choice MoE (Zhou et al., 2022) introduced Expert Choice Routing, allowing each expert to independently select a certain number of tokens, thereby achieving load balancing. AutoMoE (Jawahar et al., 2022) establishes a search space tailored for small-scale heterogeneous MoE utilizing the top-1 routing strategy and employs Neural Architecture Search to derive a sub-network. Their experiments focus on machine translation tasks, and their approach is not suitable for pre-trained language models. Lu et al. (2024) illustrates that not all experts are equal in the MoE model. They discard less important experts and find the model that keeps the most performance. Huang et al. (2024) introduces the Top-P routing strategy, dynamically allocating the number of experts to each token. Qiu et al. (2025) proposes global-batch with LBL for expert specialization. To be noted, our work is the first work exploring HMoE as a base language model based on Top-K and Top-P routing, and demonstarate the superiority of HMoE in both performance and efficiency.

535

536

537

538

539

540

541

542

543

544

545

546

547

548

549

550

551

552

553

554

555

556

557

558

559

560

561

562

563

564

565

566

567

568

569

570

571

572

573

574

575

576

577

578

579

580

581

582

583

584

## 5 Conclusion

In this work, we propose a novel HMoE model, featuring experts of varying sizes to handle different token complexities. We enhance it by proposing a new training objective and exploring expert size distribution. Our experimental results show that HMoE improves both performance and computational efficiency. We believe that our work opens new avenues for the development of large language models. Future research could explore further optimization techniques and broader applications of heterogeneous expert architectures, potentially extending the benefits observed in this study to an even wider array of NLP tasks.

6

Limitation

exploration remain.

While our study highlights the substantial benefits

of HMoE, several pathways for enhancement and

ing costs increase, the efficiency and performance

advantages of HMoE become increasingly evident. Beyond conducting isoFLOP analyses, we also

pushed the experimental scale to a maximum 16B

MoE model, aligning with the scale used in recent

work. For example, XMoE (Yang et al., 2024)

experiments was validated on 0.5B models; the

Top-P routing (Huang et al., 2024) experiment

was validated on 3B models; Deepseek MoE (Dai

et al., 2024) was studied on 16B models. Although

we believe HMoE would exhibit even more pro-

nounced advantages at larger scales, we remain

curious about the extent of these benefits. To tackle

this, our upcoming endeavors will involve training

more larger-scale HMoE models and making them

Second, we validated our approach using two widely adopted and representative MoE routing

strategies: Top-P and Top-K. The results demon-

strated strong performance and broad applicabil-

ity, confirming the generalizability of our method.

However, we acknowledge the growing research in-

terest in advanced routing strategies, such as shared

experts (Dai et al., 2024). Notably, our proposed

expert size configurations are highly complemen-

tary to these advanced techniques, paving the way

Josh Achiam, Steven Adler, Sandhini Agarwal, Lama

Ahmad, Ilge Akkaya, Florencia Leoni Aleman,

Diogo Almeida, Janko Altenschmidt, Sam Altman,

Shyamal Anadkat, et al. 2023. Gpt-4 technical report.

Yonatan Bisk, Rowan Zellers, Jianfeng Gao, Yejin Choi,

et al. 2020. Piqa: Reasoning about physical com-

monsense in natural language. In Proceedings of the AAAI conference on artificial intelligence, volume 34,

Tom Brown, Benjamin Mann, Nick Ryder, Melanie

Subbiah, Jared D Kaplan, Prafulla Dhariwal, Arvind

Neelakantan, Pranav Shyam, Girish Sastry, Amanda

Askell, et al. 2020. Language models are few-shot

learners. Advances in neural information processing

for exciting opportunities in future work.

arXiv preprint arXiv:2303.08774.

pages 7432-7439.

systems, 33:1877–1901.

References

available to the open-source community.

First, our experiments demonstrate that as train-

- 586

590

- 593

595

- 604

610

611 612

614 615

616

617

618 619

- 623 624

627

- 628 630
- 631

634

Weilin Cai, Juyong Jiang, Fan Wang, Jing Tang,

Sunghun Kim, and Jiayi Huang. 2024. A survey on mixture of experts. arXiv preprint arXiv:2407.06204. 635

636

637

638

639

640

641

642

643

644

645

646

647

648

649

650

651

652

653

654

655

656

657

658

659

660

661

662

663

664

665

666

667

668

669

670

671

672

673

674

675

676

677

678

679

680

681

682

683

684

685

686

687

688

689

- Zitian Chen, Yikang Shen, Mingyu Ding, Zhenfang Chen, Hengshuang Zhao, Erik G Learned-Miller, and Chuang Gan. 2023. Mod-squad: Designing mixtures of experts as modular multi-task learners. In Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition, pages 11828–11837.
- Zewen Chi, Li Dong, Shaohan Huang, Damai Dai, Shuming Ma, Barun Patra, Saksham Singhal, Payal Bajaj, Xia Song, Xian-Ling Mao, et al. 2022. On the representation collapse of sparse mixture of experts. Advances in Neural Information Processing Systems, 35:34600-34613.
- Christopher Clark, Kenton Lee, Ming-Wei Chang, Tom Kwiatkowski, Michael Collins, and Kristina Toutanova. 2019. Boolq: Exploring the surprising difficulty of natural yes/no questions. arXiv preprint arXiv:1905.10044.
- Peter Clark, Isaac Cowhey, Oren Etzioni, Tushar Khot, Ashish Sabharwal, Carissa Schoenick, and Oyvind Tafjord. 2018. Think you have solved question answering? try arc, the ai2 reasoning challenge. arXiv preprint arXiv:1803.05457.
- Together Computer. 2023. Redpajama: an open dataset for training large language models.
- Damai Dai, Chengqi Deng, Chenggang Zhao, RX Xu, Huazuo Gao, Deli Chen, Jiashi Li, Wangding Zeng, Xingkai Yu, Y Wu, et al. 2024. Deepseekmoe: Towards ultimate expert specialization in mixture-of-experts language models. arXiv preprint arXiv:2401.06066.
- Abhimanyu Dubey, Abhinav Jauhri, Abhinav Pandey, Abhishek Kadian, Ahmad Al-Dahle, Aiesha Letman, Akhil Mathur, Alan Schelten, Amy Yang, Angela Fan, et al. 2024. The llama 3 herd of models. arXiv preprint arXiv:2407.21783.
- William Fedus, Barret Zoph, and Noam Shazeer. 2022. Switch transformers: Scaling to trillion parameter models with simple and efficient sparsity. Journal of Machine Learning Research, 23(120):1–39.
- Trevor Gale, Deepak Narayanan, Cliff Young, and Matei Zaharia. 2022. Megablocks: Efficient sparse training with mixture-of-experts. Preprint, arXiv:2211.15841.
- Leo Gao, Stella Biderman, Sid Black, Laurence Golding, Travis Hoppe, Charles Foster, Jason Phang, Horace He, Anish Thite, Noa Nabeshima, et al. 2020. The pile: An 800gb dataset of diverse text for language modeling. arXiv preprint arXiv:2101.00027.
- Leo Gao, Jonathan Tow, Stella Biderman, Sid Black, Anthony DiPofi, Charles Foster, Laurence Golding, Jeffrey Hsu, Kyle McDonell, Niklas Muennighoff, et al. 2021. A framework for few-shot language model evaluation. Version v0. 0.1. Sept, 10:8-9.

- 702 705
- 706
- 710
- 711 712 713
- 715

- 717 718 719 721
- 723 724 725 726 727
- 728 729 730
- 733

731

- 734 735
- 736 737

738

739 740 741

742 743

744

- Quzhe Huang, Zhenwei An, Nan Zhuang, Mingxu Tao, Chen Zhang, Yang Jin, Kun Xu, Liwei Chen, Songfang Huang, and Yansong Feng. 2024. Harder tasks need more experts: Dynamic routing in moe models. arXiv preprint arXiv:2403.07652.
- Robert A. Jacobs, Michael I. Jordan, Steven J. Nowlan, and Geoffrey E. Hinton. 1991. Adaptive mixtures of local experts. Neural Computation, page 79-87.
- Ganesh Jawahar, Subhabrata Mukherjee, Xiaodong Liu, Young Jin Kim, Muhammad Abdul-Mageed, Laks VS Lakshmanan, Ahmed Hassan Awadallah, Sebastien Bubeck, and Jianfeng Gao. 2022. Automoe: Heterogeneous mixture-of-experts with adaptive computation for efficient neural machine translation. arXiv preprint arXiv:2210.07535.
- Albert Q Jiang, Alexandre Sablayrolles, Antoine Roux, Arthur Mensch, Blanche Savary, Chris Bamford, Devendra Singh Chaplot, Diego de las Casas, Emma Bou Hanna, Florian Bressand, et al. 2024. Mixtral of experts. arXiv preprint arXiv:2401.04088.
- Yechan Kim, Hwijoon Lim, and Dongsu Han. 2024. Scaling beyond the GPU memory limit for large mixture-of-experts model training. In Forty-first International Conference on Machine Learning.
- Yamuna Krishnamurthy, Chris Watkins, and Thomas Gaertner. 2023. Improving expert specialization in mixture of experts. arXiv preprint arXiv:2302.14703.
- Dmitry Lepikhin, HyoukJoong Lee, Yuanzhong Xu, Dehao Chen, Orhan Firat, Yanping Huang, Maxim Krikun, Noam Shazeer, and Zhifeng Chen. 2020. Gshard: Scaling giant models with conditional computation and automatic sharding. Cornell University - arXiv, Cornell University - arXiv.
- Xudong Lu, Qi Liu, Yuhui Xu, Aojun Zhou, Siyuan Huang, Bo Zhang, Junchi Yan, and Hongsheng Li. 2024. Not all experts are equal: Efficient expert pruning and skipping for mixture-of-experts large language models. arXiv preprint arXiv:2402.14800.
- James Oldfield, Markos Georgopoulos, Grigorios G Chrysos, Christos Tzelepis, Yannis Panagakis, Mihalis A Nicolaou, Jiankang Deng, and Ioannis Patras. 2024. Multilinear mixture of experts: Scalable expert specialization through factorization. arXiv preprint arXiv:2402.12550.
- Long Ouyang, Jeffrey Wu, Xu Jiang, Diogo Almeida, Carroll Wainwright, Pamela Mishkin, Chong Zhang, Sandhini Agarwal, Katarina Slama, Alex Ray, et al. 2022. Training language models to follow instructions with human feedback. Advances in neural information processing systems, 35:27730-27744.
- Adam Paszke, Sam Gross, Soumith Chintala, Gregory Chanan, Edward Yang, Zachary DeVito, Zeming Lin, Alban Desmaison, Luca Antiga, and Adam Lerer. 2017. Automatic differentiation in pytorch. In NIPS-W.

Zihan Qiu, Zeyu Huang, Bo Zheng, Kaiyue Wen, Zekun Wang, Rui Men, Ivan Titov, Dayiheng Liu, Jingren Zhou, and Junyang Lin. 2025. Demons in the detail: On implementing load balancing loss for training specialized mixture-of-expert models. arXiv preprint arXiv:2501.11873.

745

746

747

748

749

751

754

755

756

757

758

759

760

761

762

763

764

765

766

767

768

769

770

771

772

773

774

775

776

777

778

783

784

785

786

787

788

789

790

791

792

793

794

796

797

798

799

- Colin Raffel, Noam Shazeer, Adam Roberts, Katherine Lee, Sharan Narang, Michael Matena, Yanqi Zhou, Wei Li, and Peter J Liu. 2020. Exploring the limits of transfer learning with a unified text-to-text transformer. Journal of machine learning research, 21(140):1-67.
- Samyam Rajbhandari, Jeff Rasley, Olatunji Ruwase, and Yuxiong He. 2020. Zero: Memory optimizations toward training trillion parameter models. In SC20: International Conference for High Performance Computing, Networking, Storage and Analysis, pages 1-16. IEEE.
- Keisuke Sakaguchi, Ronan Le Bras, Chandra Bhagavatula, and Yejin Choi. 2021. Winogrande: An adversarial winograd schema challenge at scale. Communications of the ACM, 64(9):99-106.
- Maarten Sap, Hannah Rashkin, Derek Chen, Ronan Le Bras, and Yejin Choi. 2019. Social iqa: Commonsense reasoning about social interactions. In Proceedings of the 2019 Conference on Empirical Methods in Natural Language Processing and the 9th International Joint Conference on Natural Language Processing (EMNLP-IJCNLP), pages 4463-4473.
- Noam Shazeer, Azalia Mirhoseini, Krzysztof Maziarz, Andy Davis, QuocV. Le, GeoffreyE. Hinton, and Jeff Dean. 2017. Outrageously large neural networks: The sparsely-gated mixture-of-experts layer. arXiv: Learning, arXiv: Learning.
- Hugo Touvron, Thibaut Lavril, Gautier Izacard, Xavier Martinet, Marie-Anne Lachaux, Timothée Lacroix, Baptiste Rozière, Naman Goyal, Eric Hambro, Faisal Azhar, et al. 2023a. Llama: Open and efficient foundation language models. arXiv preprint arXiv:2302.13971.
- Hugo Touvron, Louis Martin, Kevin Stone, Peter Albert, Amjad Almahairi, Yasmine Babaei, Nikolay Bashlykov, Soumya Batra, Prajjwal Bhargava, Shruti Bhosale, et al. 2023b. Llama 2: Open foundation and fine-tuned chat models. arXiv preprint arXiv:2307.09288.
- Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, AidanN. Gomez, Lukasz Kaiser, and Illia Polosukhin. 2017. Attention is all you need. Neural Information Processing Systems, Neural Information Processing Systems.
- Xun Wu, Shaohan Huang, Wenhui Wang, and Furu Wei. 2024. Multi-head mixture-of-experts. arXiv preprint arXiv:2404.15045.
- Yuanhang Yang, Shiyi Qi, Wenchao Gu, Chaozheng Wang, Cuiyun Gao, and Zenglin Xu. 2024. XMoE:

- 80
- 810 811 812
- 813 814
- 815

817 818

819

820 821

8

8 8

825 826 827

830 831 832

835 836

838 839

8

844 845

846 847

> 848 849

850 851 Sparse models with fine-grained and adaptive expert selection. In *Findings of the Association for Computational Linguistics: ACL 2024*, pages 11664–11674, Bangkok, Thailand. Association for Computational Linguistics.

Rowan Zellers, Ari Holtzman, Yonatan Bisk, Ali Farhadi, and Yejin Choi. 2019. Hellaswag: Can a machine really finish your sentence? *arXiv preprint arXiv:1905.07830*.

Yanqi Zhou, Tao Lei, Hanxiao Liu, Nan Du, Yanping Huang, Vincent Zhao, Andrew M Dai, Quoc V Le, James Laudon, et al. 2022. Mixture-of-experts with expert choice routing. *Advances in Neural Information Processing Systems*, 35:7103–7114.

## A Detailed Model Setting

All methods are based on the Transformer decoderonly architecture following LLaMa (Touvron et al., 2023a). We employ the LLaMa2 (Touvron et al., 2023b) tokenizer with a vocabulary size of 32,000. We conducted a small-scale experimental exploration to determine the setting of model parameters. For the Dense-0.2B model, we configure 12 Transformer Blocks, with the hidden dimensions of the FFN layers being 3584. In the attention layer, we use 12 heads, each with a dimension of 64. For the Dense-1B model, we also configure 12 Transformer Blocks, but the hidden dimensions of the FFN layers are set to 32,768. In the attention layer, there are 16 heads, each maintaining a dimension of 64.

For both MoE (homogeneous MoE) and HMoE models, we utilize three different model sizes. (1) In the configuration with 0.4B total parameters, the total hidden dimension for all experts in each MoE layer sums to 12,288, and there are 12 Transformer Blocks. Each layer in the MoE model contains 8 experts. All other specifications align with Dense-0.4B settings. (2) In the configuration with 3Btotal parameters, the aggregate hidden dimension for all experts in each MoE layer is 32,768 and there are 12 Transformer Blocks. Each layer in the MoE model contains 8 experts. All other specifications match those of Dense-1B settings. (3) In the configuration with 16B total parameters, the aggregate hidden dimension for all experts in each MoE layer is 65536 and there are 40 Transformer Blocks. Each layer in the MoE model contains 16 experts. To be noted, the distribution of expert sizes in HMoE follows an arithmetic progression.

For Homogeneous MoE, we set the load balancing loss coefficient to  $1 \times 10^{-2}$ , as implemented

in Huang et al. (2024). For HMoE, we set the coefficient of parameter penalty loss as 0.1. For the Top-P routing strategy, we set the coefficient of router entropy loss as  $3 \times 10^{-2}$ .

852

853

854

855

856

857

858

859

860

861

862

863

864

865

866

867

868

869

870

871

872

873

874

875

876

877

878

879

880

881

882

883

884

885

886

887

888

889

890

891

892

893

894

895

896

897

898

899

900

## **B** Detailed Training Setting

Our models are trained utilizing NVIDIA A800 (80G memory) or H800 GPUs (80G memory). Models with fewer than 3 billion parameters are trained on a single node with 8 A800 GPUs. MoE with 16 billion parameters are trained using four nodes with a total of 32 H800 GPUs. The AdamW optimizer is used, with a first-moment decay of  $\beta_1 = 0.9$  and a second-moment decay of  $\beta_2 =$ 0.999. A weight decay of  $1 \times 10^{-5}$  is applied. The learning rate is gradually increased from 0 to  $1 \times 10^{-4}$  over the initial 1000 steps and is maintained thereafter. The context length is set to 4096, and the global accumulated batch size is 640. All experiments use a unified random seed value of 12345. We implemented the Zero2 (Rajbhandari et al., 2020) strategy to accelerate model training and gradient checkpointing to save GPU memory. All model and training code is developed with the torch (Paszke et al., 2017) library.

## C Efficient Training of Heterogeneous MoE

The efficient training of heterogeneous MoE models presents significant challenges to existing training approaches, necessitating innovative solutions to overcome these obstacles. One primary issue stems from the fact that experts do not have uniform shapes, which invalidates the traditional batched matrix multiplication method for expert computation. To address this challenge, Megablocks (Gale et al., 2022) implements efficient block sparse matrix multiplication kernels, which effectively handle the complexities introduced by variable-sized experts. Another concern is the problem of unbalanced computation and communication arising from the heterogeneous nature of experts, which can lead to inefficient resource utilization. To mitigate these issues, ES-MoE (Kim et al., 2024) introduces expert-wise offoading and dynamic expert placement strategy. This approach involves performing expert computation in a serialized manner. Expert parameters are offloaded to CPU memory and are fetched back to GPU memory as needed, based on the distribution of tokens. By doing so, ES-MoE not only reduces GPU memory overhead



Figure 9: Example of our heterogeneous expert parallelism strategy. We integrate large experts with low activation frequency and small experts with high activation frequency into the same device to achieve load balancing.

incurred by expert parameters but also alleviates the computation load imbalance issue, leading to better hardware resource utilization. Future research in the area may focus on developing more sophisticated load-balancing techniques and optimizing memory management strategies both for model states and activations.

901

902

903

904

905

906

908

909

910

911

912

913

914

915

916

917

919

920

## D Heterogeneous Expert Parallelism

To address the load imbalance issue caused by the frequent activation of small experts in our model, we propose a new heterogeneous expert parallelism strategy. The key problem arises from the fact that small experts are activated more often than large experts, leading to an imbalance in computational load across devices. When experts of different sizes are deployed on separate devices, this imbalance results in devices hosting small experts being frequently accessed and involved in computation, while devices hosting large experts are rarely utilized, causing resource wastage.

To mitigate this issue, we place both small and 921 large experts on the same device as shown in Fig-922 ure 9, ensuring that the combined size of large and small experts on each device is approximately balanced. This strategy allows for the efficient use of GPU memory across devices by optimizing the dis-926 tribution of experts. It also ensures a more balanced 928 access frequency across devices, as the load is better distributed between devices hosting both small and large experts. Through this strategy, we can solve the efficiency problem caused by the activation imbalance in HMoE, leading to better resource 932

utilization and overall system performance.

## E Efficiency Analysis

In this section, we compare the efficiency of the heterogeneous MoE with that of the traditional homogeneous MoE model in both training and inference stages, under the condition of having the same total number of parameters and experts. 933

934

935

936

937

938

939

940

941

942

943

944

945

946

947

948

949

950

951

952

953

954

955

956

957

958

959

960

961

962

963

964

965

966

967

968

969

970

971

972

973

974

975

976

977

978

979

Both the heterogeneous MoE and the homogeneous MoE demonstrate similar training speeds when the total parameter count and the number of experts are kept constant as shown in Table 2. However, due to the design of the parameter penalty loss in the heterogeneous MoE, the activation parameters of the experts gradually decrease throughout the training process. Initially, the activation parameters of the heterogeneous MoE are higher than those of the homogeneous MoE, leading to a slight reduction in training speed at the beginning. As training progresses, the training speeds of both models become comparable.

During inference, the heterogeneous MoE outperforms the homogeneous MoE in terms of speed. This is because the average activation parameters in the pre-trained heterogeneous MoE are smaller, which causes the model to favor selecting smaller experts for computation. As a result, even though the number of experts selected for each inference task may be similar between the two models, the computational load per expert is reduced in the heterogeneous MoE. This leads to faster inference times compared to the homogeneous MoE, which utilizes experts of a larger size.

#### F Detailed Introduction of MoE

## F.1 Mixture of Experts

Different from dense models, most MoE models replace the FFN layer of the transformer (Vaswani et al., 2017) block with the MoE layer. The MoE layer consists of a router  $g_i(\cdot)$  and multiple experts  $\{e_1, e_2, ..., e_N\}$ . The experts are composed of a set of independent Feed-Forward Network (FFN) layers. Experts are responsible for processing the input data according to their specialized knowledge. For each token, a subset of experts is activated to execute computations, and the router is responsible for generating a probability distribution. The probability of this distribution indicates the likelihood of assigning the token to each expert. We obtain the

Model		Training Samples per Second	Average Activated Parameters during Inference
HMoE-0	.4B	13.83	153M
MoE-0.4	В	13.86	163M

Table 2: Efficiency comparasion. We show the speed calculated for single A800 GPU.

981

00

986

992

993

995

997

999

1000

1001

1002

1003

1004

1005

1006

1007

1008

1009

1010

1011

output of MoE layer based on following process:

$$MoE(\mathbf{x}) = \sum_{i}^{N} g_i(\mathbf{x}) \cdot e_i(\mathbf{x}),$$
  

$$e_i(\mathbf{x}) = FFN_i(\mathbf{x}),$$
(6)

where  $\mathbf{x}$  is the input states of current layer.

#### F.2 Routing Strategy

The routing strategy is applied to select experts to be activated from N experts. The **Top-K Routing** (Huang et al., 2024) strategy is one of the most widely-used strategy, which always activates a fixed number of experts for each token. We first calculate the probability distribution **P** using a softmax function. **P** represents the initial score of selecting each expert. Then, we keep the highest kscores and normalize them. The detailed computation is as:

$$\mathbf{P} = softmax(\mathbf{W}_{\mathbf{r}} \cdot \mathbf{x}) = \frac{\exp\left(\mathbf{W}_{\mathbf{r}} \cdot \mathbf{x}\right)}{\sum_{j=1}^{N} \exp\left(\mathbf{W}_{\mathbf{r}} \cdot \mathbf{x}\right)},$$
(7)
(7)

$$g_i(\mathbf{x}) = \begin{cases} \frac{P_i}{\sum_{j \in \text{Top-K}(\mathbf{P})} P_j}, & i \in \text{Top-K}(\mathbf{P})\\ 0, & i \notin \text{Top-K}(\mathbf{P}), \end{cases}$$
(8)

where Top-K(P) returns the indices of the largest k elements in P, and W<sub>r</sub> is a learnable router parameter.

Recently, **Top-P Routing** (Huang et al., 2024) is proposed to dynamically activate different number of experts for each token. Specifically, we first obtain  $\tilde{\mathbf{P}}$  by sorting  $\mathbf{P}$  from highest to lowest. Then given a fixed threshold p, which is a hyperparameter, if the highest probability is larger than threshold, we only use one expert. Otherwise, we progressively add additional experts until the cumulative probability exceeds the threshold p. The detailed computation is as:

$$t = \operatorname{argmin}_{k \in \{1...,N\}} \sum_{j < =k} \tilde{\mathbf{P}}_j \ge p, \tag{9}$$

1012 
$$\operatorname{Top-P}(\mathbf{P}) = \{\operatorname{Index}(1), ..., \operatorname{Index}(t)\},$$
 (10)



Figure 10: Various distributions of expert sizes in HMoE and their corresponding losses. All distributions follow arithmetic strategy. The x-axis represents the ratio of the size of the largest expert to the size of the smallest expert within the distribution.

$$g_{i}(\mathbf{x}) = \begin{cases} \frac{P_{i}}{\sum_{j \in \text{Top-P}(\mathbf{P})} P_{j}}, & i \in \text{Top-P}(\mathbf{P}) \\ 0, & i \notin \text{Top-P}(\mathbf{P}), \end{cases}$$
(11)

where t represents the minimum number of experts that need to be activated. Index(j) returns the indices of element  $\tilde{\mathbf{P}}_{i}$  in original distribution  $\mathbf{P}$ .

## G Further Ablation on Expert Heterogeneity

Our experiments reveal a strong correlation be-1020 tween loss and the performance of downstream 1021 tasks: lower loss generally leads to better perfor-1022 mance. With this insight, we investigated how to 1023 determine Expert Heterogeneity. Figure 10 illus-1024 trates the loss obtained by training HMoE using an 1025 arithmetic sequence strategy with varying levels of 1026 variance, all within the same computational budget. 1027 We observed that as the ratio between the largest 1028 and smallest experts increases (i.e., as the variance increases), the model's performance initially de-1030 grades but then improves. This suggests that in the 1031 heterogeneous design of HMoE, an optimal level 1032 of heterogeneity enhances performance compared 1033 to either excessive heterogeneity or complete ho-1034 mogeneity. This is consistent with the reason why 1035 the geometric distribution strategy has poor results. 1036 A large gap in expert ability is not conducive to model training and may lead to representation col-1038

1013

1014

1015

1016

1017

1018

Task	Activated Parameter Ratio
ARC-Challenge	21.09
ARC-Easy	20.23

Table 3: Average Activated parameter ratios (%) in HMoE layers for ARC (Clark et al., 2018) tasks.

Expert Dim	Top Tokens
2304	the, such, your, these, most, you,
	both, no, they, each
3328	tables, valley, sun, temper, places,
	day, war, water, through, clean
3840	known, least, lowest, immedi-
	ately, bare, heavy, known, higher,
	several, independent
5376	_ly, _zen, _icker, _last, _per, _var,
	_orous, _next, _end, _flat
5888	_decom, _iz, _ro, _inf, _scra,
	_coord, _er, problem, _och, _foss

Table 4: Top activated tokens for each expert.

lapse. Based on these findings, we have adopted a relatively balanced heterogeneous distribution in our main experiment.

1039

1040

1041

1042

1043

1044

1045

1046

1047

1048

1050

1051

1052

1054

1055

1056

1057

1058

1059

1060

1061

1062

1064

#### H Activated Parameter Ratio Analysis

We present the activated parameter ratios of ARC tasks in HMoE layers in Table 3. Specifically, we observe that ARC-Challenge activates more parameters compared to ARC-Easy. This implies that our model can dynamically activate parameters based on the difficulty of the task. This phenomenon is consistent with that in the MoE with Top-P routing strategy (Huang et al., 2024). By activating more parameters for more difficult tasks, the model achieves better performance, while for simpler tasks, it gains higher efficiency. This approach balances efficiency and performance. To be noted, the difference in activated ratios between difficult and simple tasks is not very large, ensuring stable computational costs.

#### I Expert Activation Patterns

We have recorded the tokens with the highest activation percentages for different sizes of experts in the ARC tasks. As shown in Table 4, smaller experts are most frequently activated by relative simple words or words with less phonetic information. In contrast, larger experts are most frequently acti-



Figure 11: Activated parameters of experts in HMoE (Top-P). The values in the legend indicate the hidden dimensions of the experts, which represent their sizes.

vated by suffix tokens. We believe that these suffix tokens may be more ambiguous and thus more difficult to understand. Medium-sized experts, on the other hand, are more frequently engaged with tokens that have clearer semantics. Importantly, this pattern emerges naturally through training rather than being intentionally designed, and the results in the table represent direct counts of activation frequency without any selective filtering. 1065

1066

1067

1068

1069

1070

1071

1072

1074

1075

1076

1077

1078

1079

1080

1081

1083

1085

1086

1089

1090

1091

## J Activated parameters of different experts

We explore the underlying causes of the stable or declining trend in activated parameters within HMoE with Top-P routing. As depicted in Figure 11, the activation of smaller experts increases over the course of training, while larger experts experience a decline in their activation rates. This highlights the effectiveness of our proposed P-Penalty loss. The increased activation rates of smaller experts enhance their capacity to comprehend general knowledge. This shift causes the role of smaller experts to increasingly resemble that of shared experts (Dai et al., 2024). Additionally, the activation frequency of different experts remains constant throughout the training process, indicating the router's consistent token allocation.

## K P-Penalty Loss during Training

This work proposes P-Penalty Loss to adjust the<br/>activation changes of experts of different sizes. To<br/>demonstrate the effectiveness of P-Penalty Loss,<br/>we show its changes during training in Figure 12.1093<br/>1094As training progresses, the language modeling loss<br/>continues to decline, while the P-Penalty Loss rises<br/>rapidly in the first 1,000 steps (approximately 3B<br/>tokens) before gradually decreasing. This is be-1092<br/>1093



Figure 12: P-Penalty Loss during training.

1100cause larger experts, due to their stronger expres-1101sive capabilities, yield a greater reduction in LM1102loss from activation compared to the penalty im-1103posed by P-Penalty Loss.