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# Modelling Working Memory using Deep Recurrent Reinforcement Learning

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Pravish Sainath<sup>1,2,3</sup>

Pierre Bellec<sup>1,3</sup>

Guillaume Lajoie<sup>2,3</sup>

<sup>1</sup>Centre de Recherche, Institut Universitaire de Gériatrie de Montréal

<sup>2</sup>Mila - Quebec AI Institute

<sup>3</sup>Université de Montréal

## Abstract

1 In cognitive systems, the role of a working memory is crucial for visual reasoning  
2 and decision making. Tremendous progress has been made in understanding the  
3 mechanisms of the human/animal working memory, as well as in formulating  
4 different frameworks of artificial neural networks. In the case of humans, the  
5 visual working memory (VWM) task <sup>[1]</sup> is a standard one in which the subjects are  
6 presented with a sequence of images, each of which needs to be identified as to  
7 whether it was already seen or not. Our work is a study of multiple ways to learn a  
8 working memory model using recurrent neural networks that learn to remember  
9 input images across timesteps in supervised and reinforcement learning settings.  
10 The reinforcement learning setting is inspired by the popular view in Neuroscience  
11 that the working memory in the prefrontal cortex is modulated by a dopaminergic  
12 mechanism. We consider the VWM task as an environment that rewards the  
13 agent when it remembers past information and penalizes it for forgetting. We  
14 quantitatively estimate the performance of these models on sequences of images  
15 from a standard image dataset (CIFAR-100 <sup>[2]</sup>) and their ability to remember  
16 and recall. Based on our analysis, we establish that a gated recurrent neural  
17 network model with long short-term memory units trained using reinforcement  
18 learning is powerful and more efficient in temporally consolidating the input spatial  
19 information. This work is an initial analysis as a part of our ultimate goal to model  
20 the behavior and information processing of the working memory of the brain and to  
21 use brain imaging data captured from human subjects during the VWM cognitive  
22 task to understand various memory mechanisms of the brain.

## 23 1 Introduction

24 Memory is an essential component for solving many tasks intelligently. Most sequential tasks  
25 involve the need for a mechanism to maintain a *context*. In the brain, working memory serves as  
26 a work space to encode and maintain the most relevant information over a short period of time, in  
27 order to use it to guide behavior for cognitive tasks. Several cognitive tasks have been proposed  
28 in the Neuropsychology literature to study and understand the working memory in animals. The  
29 Visual Working Memory Task (VWM task) [1] or the classic N-back task is one of the most simple  
30 and popular ones. It involves showing sequences of images to subjects and record their responses  
31 indicating whether they have seen the image already.

32 On the other hand, with artificial intelligence systems, there has been very good progress in models  
33 that learn from sequences of inputs using artificial neural networks as memory for all types of learning

34 (supervised, unsupervised and reinforcement). We intend to use these developments as an ideal  
 35 opportunity for synergy to computationally model the working memory system of the brain.  
 36 As memory is an important aspect of both artificial intelligence and neuroscience, there are some  
 37 good studies that helped choose our models as discussed in Section 2.

## 38 2 Models

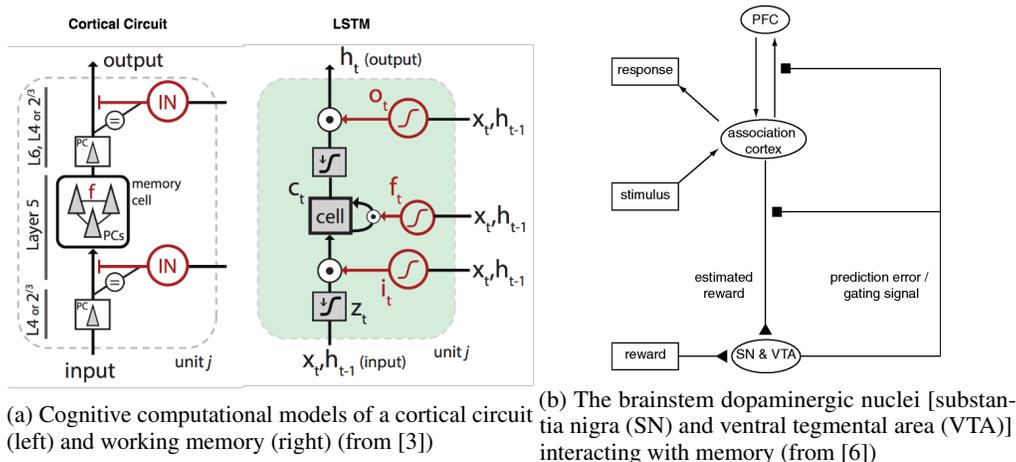


Figure 1: Inspirations for our models and training settings

39 **Gated recurrent-units as cortical circuits** [3] highlights that Long short-term memories (LSTMs  
 40 [4]), the most commonly used gated recurrent units with memory cells controlled by input, output  
 41 and forget gates and these can be considered (at a high-level) as an abstraction of a cortical circuit  
 42 unit composed of layers of pyramidal cells with gating as shown in Figure 1a.

43 **Dopaminergic modulation of working memory** As detailed in [5] and [6] and shown in Figure 1b,  
 44 the stimulus receive a reward from the dopaminergic nuclei based on which it is stored in the prefrontal  
 45 cortex (PFC) as persistent activations. Thus, biologically there is a reward-processing mechanism  
 46 (temporal difference, TD) that is operating outside the cortical memory.

47 **Deep Recurrent Reinforcement Learning** As an extension of Deep Q-Networks (DQN)[7] with  
 48 recurrent units were proposed as DRQNs by [8] for partially observable environments. This model  
 49 naturally suits the non-Markov decision process involved in learning. ([9; 10; 11])

50 **Supervised Learning models** We consider 2 different models for training recurrent networks to  
 51 output the probability of past occurrence of each image in the sequence using supervised learning:  
 52 LSTM and LSTM-Attention (LSTM-A). The attention augmented version ([12]) helps learn the  
 53 context better. These are used in conjunction with a CNN and trained using backpropagation through-  
 54 time (without truncation), as shown in Figure 2a.

55 **Reinforcement Learning models** We consider an environment where each image in the sequence  
 56 is a state that the DRQN uses as input to approximate the Q-values of the two different action : 0  
 57 for *not seen* and 1 for *seen*. An  $\epsilon$ -greedy policy is learned using these Q-values to select the action  
 58 and receive a reward from the environment (positive value for correct action and negative value for  
 59 wrong action) as shown in Figure 2b. These are trained using common TD control algorithms such as  
 60 Q-learning and SARSA, indicated as Q+DQRN and SARSA+DQRN respectively.

## 61 3 Experiments and Results

62 For all experiments in both supervised and reinforcement learning settings, 100 images were drawn  
 63 from CIFAR-100 ([2]) dataset for each sequence. A CNN that was pre-trained on the same dataset

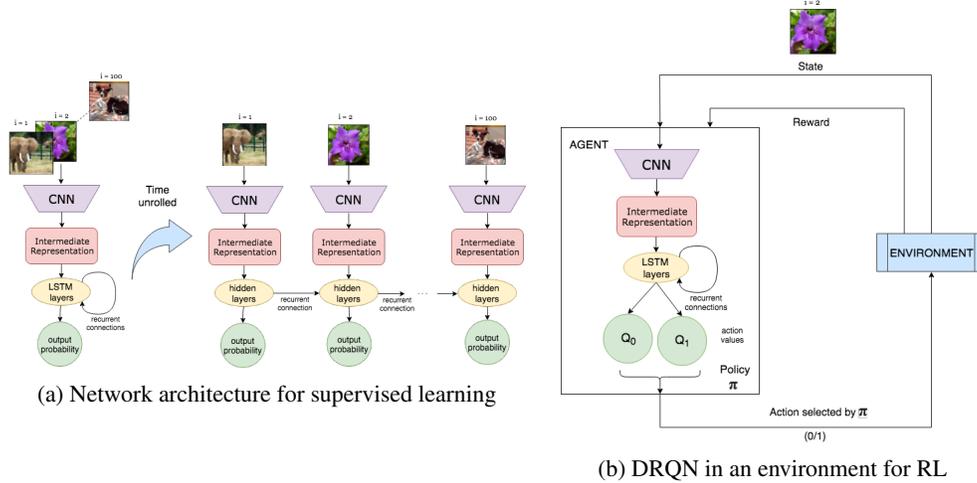


Figure 2: Model architectures

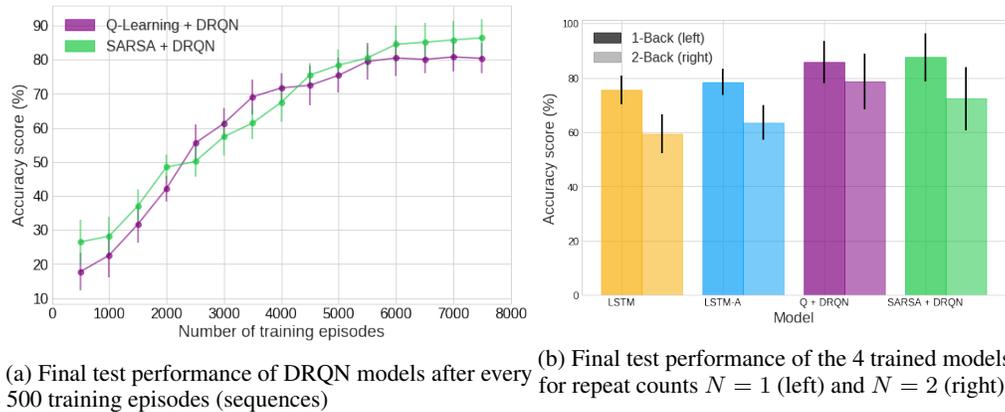


Figure 3: Final performance of the models (the lines indicate std. dev.)

64 for the classification task was used for intermediate representation before LSTM layers (as shown in  
65 Figure 2).

66 The problem solved by all the models is a binary classification problem, predicting  $unseen(0)/seen(1)$ .  
67 The performance of all the models in the experiments were measured using the accuracy metric  
68 calculated based on the number of correct predictions for the 100 images in a sequence (as a %). This  
69 evaluation was repeated for 10 independent trials as a part of ablation studies.

70 Figure 3a shows the test accuracy of both the reinforcement learning models after fixed training  
71 episodes to track the progress. Figure 3b depicts the performance of the different models using mean  
72 final accuracy scores for two different conditions of repetitions of the stimuli images:  $N = 1$  and  
73  $N = 2$ . Figure 4 indicates the variation of test accuracy of each of the trained models over the course  
74 of a testing sequence. It can be seen that the models trained in the reinforcement learning setting  
75 outperform both the LSTM and LSTM-A in the supervised setting. Both on-policy and off-policy  
76 methods help in solving the task and learning good parametric functions in the LSTMs.

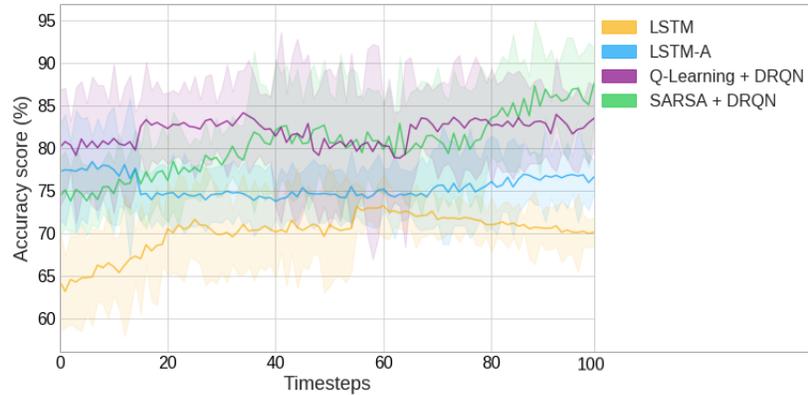


Figure 4: Performance of the trained models measured during test runs within a sequence

## 77 4 Conclusions and Future work

78 Popular literature in deep reinforcement learning ([8], [11]) outline many architectures and methods  
 79 to solve specific (mostly motor) decision making tasks in an environment. In our work, we have taken  
 80 an alternative view where we try to solve a strongly memory-oriented task using similar models used  
 81 in reinforcement learning to emulate the reward processing happening to store memory.

82 A good working memory model understands what to remember and what to forget. From our  
 83 study, we conclude that modelling a working memory using gated recurrent neural networks (such  
 84 as LSTMs) to train using a reward-based learning approach offers significant advantages giving a  
 85 reasonably superior performance. From the models studied, it can be seen that in addition to the  
 86 capacity of the recurrent network, a training in the reinforcement learning setting offers a better  
 87 generalization with its power for acting as a good computational model for the biological working  
 88 memory for explaining the VWM task. This seems to indicate that the dopaminergic control of  
 89 memory in the PFC is a high-level principle that is common to both artificial and biological neural  
 90 systems. Also, this observation could be attributed to the fact that deep reinforcement learning is a  
 91 better framework for learning in this case, given the non-stationary and non-Markov nature of the  
 92 task involved.

93 As a next step, we plan to model other cognitive tasks for memory as a reinforcement learning  
 94 problem and compare the performance of different algorithms and gating mechanisms in the networks.  
 95 Further, we would like to extend our work by using the brain responses of humans solving the task  
 96 from their fMRI data and identify the neural correlates of the visuo-temporal streams of information.  
 97 This would throw more light on the functional similarities of the biological and artificial neural  
 98 models explaining how the memory system functions computationally.

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