Compact representations and pruning in residual networks

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Abstract

1	We show that residual networks encode their input signals in the transient dynamics
2	of the neurons in each layer. These representations are similar for inputs from
3	the same class, and distinct for inputs from different classes. Based on the neural
4	transient dynamics, we provide a sufficient criterion to determine the depth of such
5	networks during training. This criterion is based on the convergence of the neural
6	dynamics in the last two successive layers of the residual block. This method
7	compresses the depth of the network and removes unnecessary deep layers.

8 1 Introduction

Residual networks (Resnets) [1] have been more successful in classification tasks in comparison 9 with many other standard methods. This success is attributed to the skip connections between layers 10 that facilitate the propagation of the gradient throughout the network, and in practice allow very 11 deep networks to undergo a successful training. Apart from mitigating the gradient problem in deep 12 networks, the skip connections introduce a dependency between variables in different layers that can 13 be seen as a system state. This novelty provides an opportunity for interesting theoretical analysis of 14 their functioning, and has been the underlying pillar for some interesting analysis of such networks 15 from a dynamical system point of view [2, 3, 4, 5, 6, 7, 8]. 16

Some studies on Resnets have focused on tracking the features layer by layer [9, 10], and have chal-17 lenged the idea that deeper layers in neural networks build up abstract features that are different than 18 those formed in lower layers. One supporting evidence for this challenge comes from lesion studies 19 on Resnets [11] and Highway networks [12] which show that after the network is trained, perturbing 20 the weights in the deep layers does not have a fundamental effect on the network performance, and 21 therefore, does not bring the performance to chance level. However, changing the weights which 22 are closer to initial layers, have a more damaging effect. Empirical studies in [9, 10] suggest an 23 alternative explanation for feature formation in deep layers; that is, successive layers estimate the 24 same features which, along the depth of the network, are more refined, and yield an estimate with 25 smaller standard deviation than earlier layers. Our approach in this paper is similar to the latter 26 studies, however, to understand the classification mechanism in Resnets, we focus on the role of the 27 intrinsic dynamics of the residuals over different layers of the network. Our study supports the idea in 28 29 [9, 10] by showing that features in different layers of a Resnet are formed by the transient dynamics 30 of residuals that may converge to their steady state values if they are stable. Based on this finding, we suggest an algorithm that estimates the depth of the network adaptively during training. 31

32 **2** Neural dynamics in Resnets

We consider a dense Resnet with N input dimensions, and arbitrary T layers with exactly N neurons at each layer. In this network, the activity of neuron i at layer t is represented as $y_i(t)$, and the

Submitted to 32nd Conference on Neural Information Processing Systems (NIPS 2018). Do not distribute.

activity of all neurons in the same layer is represented by the vector $\mathbf{y}(t)$. After the integration 35 of the output from layer t-1, the output of layer t is represented by $\mathbf{x}(t)$. The components of 36 these residuals $\mathbf{y}(t)$ are calculated based on a linear function of $\mathbf{x}(t)$, i.e. $z_i(t) = \sum_{i=1}^N w_{ij}(t)x_j(t)$ followed by a nonlinear function $f(z_i)$. Any hidden layer t represents a sample of the dynamical 37 38 states x after t steps. This implies that the network at different layers calculates samples of $\mathbf{x}(t)$. 39 Input data is considered as the initial condition of the system, and is depicted by $\mathbf{x}(0)$. Interpreting 40 the network as a dynamical system which evolves throughout the layers, the dynamics of neural 41 activations are $\mathbf{x}(t+1) = \mathbf{x}(t) + \mathbf{y}(t+1)$, where $\mathbf{y}(t)$ is the output of the neurons, and in the 42 rest of the paper, they are called "residuals". This equation implies a difference equation for the 43 variable $\mathbf{x}(t)$, that is $\mathbf{x}(t+1) - \mathbf{x}(t) = \mathbf{y}(t+1)$. The left side of this equation resembles the forward 44 Euler method of derivative of a continuous system, when the discretization step is equal to 1. This 45 approximates a continuous system with dynamics that follow $\dot{x}_i(t) = y_i(t)$. The latter equation 46 implies $x_i(t) = \int_0^t y_i(\tau) d\tau + x_i(0)$ where $x_i(0)$ stands for the input data that neuron *i* receives. In other words, $\mathbf{x}(t)$ sums up the input data as well as the activities of the neurons (residuals) over the 47 48 layers. This signal feeds the next block in the network, or the classifier in the output layer. 49

To study the properties of the neural activities in each layer that shape the cumulative signal $\mathbf{x}(t)$, we 50 considered a 784 dimensional network with the MNIST dataset as inputs. After training a 15-layer 51 Resnet using the back-propagation algorithm, we studied the dynamics of the residuals (the signal 52 of neural activities from the input layer up to the last layer). We observed rapid changes in the first 53 initial layers of the network, and more steady behavior close to the final layers (figure 1A, B, see also 54 [13] for more details on the layer-dependent dynamics of the residuals and their fixed points). The 55 dynamics of the residuals do not change significantly after the 6th layer (figure 1A), and the standard 56 deviation of the trajectories for different samples approaches zero thereafter (figure 1B). This implies 57 that different trajectories for each sample converge to the same fixed point.



Figure 1: A: Mean of the residuals for 200 samples of each class from the MNIST dataset as a function of network depth (extracted from [13]). B: Standard deviation of the residuals (extracted from [13]). C: Distance matrix for 200 samples for each class, normalized by the number of samples. D: Dimensionality reduction of the cumulative signals for each class, at the final layer of the network, before the classifier.

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⁵⁹ In order to show the similarities and differences of the internal dynamics corresponding to each class, ⁶⁰ we compared the l_2 distance between neural trajectories of all neurons for 200 examples from each

we compared the l_2 distance between neural trajectories of all neurons for 200 examples from each class. As illustrated in figure 1C, trajectories within each class have a smaller l_2 distance compared

with trajectories for samples from different classes. This shows that the network specifies different 62 forms of neural trajectories for inputs from each class, that are distinct from each other. Moreover, 63 in order to generalize the classification performance for inputs from the same class, this difference 64 for those trajectories is less, meaning that inputs from the same class are more similar. To compare 65 the cumulative signal $\mathbf{x}(t)$ among different classes, at the final hidden layer of the Resnet before the 66 classifier, we employed the t-SNE algorithm [14] to visualize the properties of these high dimensional 67 68 signals in a two-dimensional plot. As depicted in figure 1D, signals corresponding to different classes are mapped and clustered in different regions of the two-dimensional space. This reflects how Resnets 69 encode inputs in different and distinct forms of their neural transient (layer-dependent) dynamics 70 (even though residuals for different classes converge to identical values in this particular example). 71

72 **3** Compressing network's depth

In the MNIST network example, we observed that the neural trajectories (residuals) show less 73 variabilities at deeper layers, some of which have already converged to their steady state values 74 (figure 1A, B). Under this condition, the cumulative signal $\mathbf{x}(t)$ receives similar components in 75 successive deep layers, and hence no new information about the variabilities in neural trajectories 76 are encoded in this signal. In other words, almost always constant numbers (due to convergence) are 77 added to this signal without providing new information about the input-induced neural transitions. 78 Therefore, after achieving this state of neural transient dynamics, it seems viable to stop the forward 79 propagation of information, and cut the extra layers of the network without losing much information 80 in the cumulative signal. This provides the basis for an algorithm that adaptively sets the depth of the 81 network considering the difference between neural activities of the last two successive layers of the 82 network. The algorithm as such is the following: 83

while loss function is not minimum do

end

which compares the l_1 norm of the difference between the neural activities of the last two layers 85 [13]. If this difference is less than a threshold, the activities of the neurons could be considered 86 approximately identical. If this condition is fulfilled, the last layer of the network is removed. To 87 compress the network as much as possible, this algorithm was applied on the MNIST network with 88 shared weights between layers [6], and resulted in a 5-layer network (for threshold = 0.01) without 89 any significant changes in the classification accuracy. Note that the convergence criterion for the 90 residuals is a sufficient condition to remove the last hidden layer, not a necessary condition. This 91 means that even shallower networks might still be able to classify the inputs with the same accuracy, 92 however, our algorithm does not provide the necessary conditions to achieve the same level of 93 accuracy for those cases. This algorithm is more efficient than fully training shallower networks first, 94 95 and then adding extra layers to compensate for a high-value loss function and retraining the network.

96 4 Conclusion

In this letter, we showed the importance of neural transient dynamics on input classification in Resnets. 97 It was demonstrated here that the cumulative signal of the residuals has a distinct state for each 98 input class, in a high dimensional state space of the neural network. Also, the neural trajectories 99 across layers are similar for inputs of the same class while different for inputs from two distinguished 100 classes. This form of input representation in the transient dynamics of neural trajectories in Resnets 101 can potentially underlie more efficient methods of training in the future. Based on the convergence of 102 103 the neural dynamics to a steady state, we proposed an algorithm that determines the sufficient number of layers for the network during training. This can be considered as network depth compression 104 without losing the classification accuracy significantly. 105

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