#### 000 Retrieval Augmented Zero-Shot Enzyme Gen-001 ERATION FOR SPECIFIED SUBSTRATE 002 003

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#### ABSTRACT

The ability to generate novel enzymes that catalyze specific target molecules is a critical advancement in biomaterial synthesis and chemical production. However, a significant challenge arises when no recorded enzymes exist for the target molecule, making it a zero-shot generation problem. This absence of known enzymes complicates the training of generative models tailored to the target substrate. To address this, we propose a retrieval-augmented generation method that leverages 016 existing enzyme-substrate data to overcome the lack of direct examples. Since there is no recorded catalytic performance between the enzymes and the new target molecule, the challenge shifts to identifying enzymes that helpful for generation. Our approach tackles this by retrieving enzymes whose substrates exhibit structural similarities to the target molecule, thereby exploiting functional similarities reflected in the enzymes' catalytic capability. This leads to the next challenge: how to utilize the retrieved enzymes to generate a novel enzyme capable of catalyzing the target molecule, given that none of the retrieved enzymes directly catalyze it. To solve this, we employ a conditioned discrete diffusion model that takes the aligned retrieved enzymes to generate a new enzyme. We train the generator with guidance from an enzyme-substrate relationship classifier to make it output the optimal protein sequence distribution for different target molecules. We evaluate our model on enzyme design tasks involving a diverse set of real-world substrates, and our results including catalytic rate predictions, foldability assessments, and docking position analyses, demonstrate that our model outperforms existing protein generation methods for substrate-specified enzyme generation. Additionally, we formally define the zero-shot substrate-specified enzyme generation task and contribute a comprehensive dataset with evaluation methods.

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#### INTRODUCTION 1

Substrate-specified enzyme generation aims to design new proteins that catalyze reactions to spe-037 cific new molecules and benefits a wide array of scientific fields, including biomaterials synthesis and chemical production innovation (Meghwanshi et al., 2020; Robinson, 2015; Jegannathan & Nielsen, 2013; Paraschiv et al., 2022; Nam et al., 2024). Taking the artificial compound of 1,2,3-040 trichloropropane (TCP) as an example, it is extensively utilized as a chemical intermediate and solvent 041 despite its toxicity and resistance to biodegradation (ATSDR, 2021; Cheremisinoff & Rosenfeld, 042 2011), which leads to persistent groundwater contaminant. Researchers are actively engaged in 043 discovering or engineering enzymes capable of biodegrading TCP (Bogale et al., 2020; Samin & 044 Janssen, 2012). Since there is no existing natural enzymes for TCP, the synthesis paradigm only relies on the expertise of replicating molecular structure of other natural heme-proteins (Zambrano et al., 2022) and lacks the efficiency to discover novel and effective enzymes for the specific substrate. 046

047 The recent emergence of deep learning based protein generation shows great potential for enzyme 048 design due to their unprecedented accuracy in structure and function prediction. A portion of these methods falls under the category of unconditional generation, such as ProGen2 (Nijkamp et al., 2023) and ProtGPT2 (Ferruz et al., 2022), possessing the capability to generate protein sequences that fold 051 into stable and functional structures and resemble real proteins, without relying on the predefined substrate. The other subset of these methods is characterized by conditional generation, consisting 052 of ligand-conditioned sequence design and structure generation. The ligand-conditioned sequence design models (Gruver et al., 2023; Martinkus et al., 2023) are proposed to synthesize therapeutic antibodies treating well to the antigen ligands. On the other hand, the ligand-conditioned structure generation methods, like LigandMPNN (Dauparas et al., 2023) and RFdiffusionAA (Krishna et al.), generate proteins structurally docking to a given target. By ensuring spatial compatibility, these
 methods generate effective proteins associated with enhanced biological function and stability in complex cellular environments.

While the unconditional approaches fail to match requirements, existing work of conditional gen-060 eration cannot be repurposed directly to generate desired enzymes that catalyze specific substrates 061 represented as small molecules. Particularly, the ligand condition of these models is amino acid 062 sequences of antigens but our substrates are small molecules. The enzyme substrates exhibit a vast 063 chemical space with high structural diversity, including variations in functional groups, stereochem-064 istry, and electronic properties, which make it challenging to learn the interactions with enzymes. In addition, the catalytic capability of an enzyme is not solely determined by how it structurally 065 interacts with the substrate molecule, so these models are not yet capable of synthesizing functional 066 enzymes. The label-conditioned generative method, i.e. ZymCTRL (Munsamy et al., 2022), takes an 067 Enzyme Commission (EC) number and outputs a corresponding enzyme sequence. It requires prior 068 knowledge about the expected enzyme's EC, which relays human expertise heavily. 069

In this study, we formally define the task of zero-shot substrate-specified enzyme generation and 071 identify two primary challenges associated with it. The first challenge is the complete absence of positive samples. For instance, without any effective enzymes for TCP as training data, it is difficult to 072 train or fine-tune a model to generate enzymes that catalyze TCP. A potential solution to this challenge 073 is the Retrieval-Augmented Generation (RAG). Specifically, RAG-based methods sample protein 074 sequences as prompts and subsequently instruct models to generate sequences that are structurally 075 and/or functionally similar (Ma et al., 2023; Alamdari et al., 2023; Lewis et al., 2020). However, 076 the problem of retrieving proteins without relying on an exemplar enzyme needs to be addressed, as 077 the only input is the target substrate. The second challenge is the generation of proteins that diverge from training data. The generated TCP enzyme must differ from recorded enzymes, as none in the 079 record can effectively catalyze TCP molecules. This divergence requirement extends to enzymes for other new substrates. Since the mainstream training methods focus on recovering recorded data, 081 a new approach is required—one that trains models to generate enzymes that are both divergent from existing records and capable of catalyzing different target molecules. Furthermore, there is 083 currently no comprehensive evaluation framework for zero-shot enzyme generations. While Johnson et al. (2024) and Song et al. (2024) introduced certain metrics for computationally designed enzymes, 084 there is a lack of refined datasets for zero-shot settings and multiple-perspective evaluations, as the 085 substrate-specified enzyme generation task has not yet been fully formulated.

To address these two challenges, we propose Substrate-specified enzyme generator (SENZ). Our
 main contributions are as follows:

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- We formally define the **task** of substrate-specified enzyme generation and present a curated dataset. This dataset consists of the substrate-enzyme pairs that are extracted from the known enzymes. We further partition it into training and test subsets without overlap in terms of proteins and small molecules to secure the zero-shot setting.
- We propose a substrate-indexed **retrieval** method to search the functionally-similar enzymes as prompting signals. The key merit is the enzymes associated with the structurally close substrates exhibit similar catalyzing properties. Considering a query substrate, we compare the structural closeness with other stored molecules and retrieve the pairwise enzyme data of top-ranking molecules. This approach is distinct from traditional protein retrieval since it retrieves based on substrate similarity instead of protein similarity, as traditional protein retrieval does.
- We employ a discrete diffusion model to generate new enzymes based on the retrieved ones and utilize a substrate-enzyme catalyzing classifier as **guidance** for the generative process. The classifier transforms the complicated catalytic relationship into a continuous and differentiable function for optimizing the generator. With different substrates, it guides the generation toward different directions distinct from the whole record data distribution.
- Experimental results in designing enzymes for particular substrates demonstrate that our model can generate novel enzymes of superior quality. Compared with rule-, unconditioned-, sequence-, and structure-based methods, our framework generates proteins showing high enzymatic capability and high foldability.

## <sup>108</sup> 2 SUBSTRATE-SPECIFIED ENZYME GENERATION TASK

We define the substrate-specified enzyme generation task by specifying the model's input and output, along with the training and testing data and evaluation methods.

112 **Problem definition.** The task involves generating a protein that serves as the enzyme for the target 113 molecule. Let m denote the Simplified Molecular-Input Line-Entry System (SMILES) (Weininger, 114 1988) string representation of the molecule and let x denote the protein sequence. We have x =115  $(a_1, a_2, a_3, ..., a_l) \in \mathbb{A}^l$  where  $a_i$  is an amino acid and  $\mathbb{A}$  is the vocabulary of amino acids together 116 with related tokens including gap ("-"). Henceforth, the amino acid a can be represented as a one-hot 117 vector, and we do not differentiate between the protein sequence and the sequence of one-hot vectors, 118 which means  $\mathbf{x} \in \mathbb{A}^l$  is a matrix with shape  $l \times |\mathbb{A}|$ . Let  $\mathbb{P}$  denote the domain of all protein sequences 119 and let  $\mathbb{M}$  denote the domain of all molecular SMILES strings. The function  $G: \mathbb{M} \to \mathbb{P}$  means the 120 task of substrate-specified enzyme generation, which can be defined as  $\mathbf{x} = G(\mathbf{m}; \boldsymbol{\theta})$  where  $\boldsymbol{\theta}$  is the set of G's parameters. If G is a machine-learning model, the training process is given by: 121

$$\boldsymbol{\theta}^* = \arg\min_{\boldsymbol{\theta}} \mathcal{L}(G(\mathbf{m}_{\mathcal{D}}; \boldsymbol{\theta}), \mathbf{x}_{\mathcal{D}}, \mathbf{m}_{\mathcal{D}}).$$
(1)

123  $\mathbf{x}_{\mathcal{D}}$  and  $\mathbf{m}_{\mathcal{D}}$  are the enzyme and molecule in training set  $\mathcal{D}$ , respectively,  $\boldsymbol{\theta}^*$  is the optimal parameters, 124 and  $\mathcal{L}$  is the loss function. The input can include various types of data: Enzyme Commission (EC) 126 label of string  $s_{\rm EC} = N_1 . N_2 . N_3 . N_4$ , three-dimensional conformation structure of the target substrate 126  $\mathbf{C}_{\mathbf{m}}$  or an existing enzyme  $\mathbf{C}_{\mathbf{x}}$ . The generative function can be extended as below:

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$$\mathbf{x} = G(\mathbf{m}, s_{\rm EC}, \mathbf{C}_{\mathbf{m}}, \mathbf{C}_{\mathbf{x}}),\tag{2}$$

where  $s_{\rm EC}$ ,  $C_{\rm m}$ ,  $C_{\rm x}$  are all optional input parameters for  $G(\cdot)$ , but m is the required input.

130 **Data construction.** For this task, we construct a dataset of substrate-enzyme pairwise relationships 131 extracted from public raw data, as illustrated in Fig. 1(a). Each record in raw data comprises the SMILES representations of a chemical reaction with a specific enzyme. To identify the specific 132 substrate in each chemical reaction, we select the least common reactant among all reactants in the 133 database, treating it as the specific substrate for the enzymes involved in that reaction. This approach 134 is grounded in the established observation of substrate specificity (Jackson et al., 2010). Consequently, 135 we define the "substrate-enzyme" relation  $(\mathbf{m}, \mathbf{x})$  as protein  $\mathbf{x}$  being the enzyme of molecule  $\mathbf{m}$ , and 136 the training dataset  $\mathcal{D}$  can be defined as follows: 137

$$\mathcal{D} = \{ (\mathbf{m}, \mathbf{x}) \}, \ \mathbf{x} \text{ is the enzyme of } \mathbf{m}.$$
(3)

The substrate-enzyme pair  $(\mathbf{m}, \mathbf{x})$  is the element of the dataset as in Eq. (3).

140 **Zero-shot data split.** All substrate-enzyme pairs  $(\mathbf{m}, \mathbf{x})$  are split into  $\mathcal{D}$  for training,  $\mathcal{D}_{valid}$  for 141 validation and  $\mathcal{D}_{\text{test}}$  for testing. To avoid of data leakage, two rules are designed for any two  $(\mathbf{m}_1, \mathbf{x}_1)$ 142 and  $(\mathbf{m}_2, \mathbf{x}_2)$  in different subsets: 1. Molecules from different subsets should not be the same, i.e.  $\mathbf{m}_1 \neq \mathbf{m}_2$ ; 2. Any two protein sequences from different subsets, i.e.,  $\mathbf{x}_1$  and  $\mathbf{x}_2$ , should not have 143 an overlap of more than 30% (with an identity exceeding 30%). The split forms a zero-shot setting. 144 Take the target molecule TCP as an example. TCP is in  $\mathcal{D}_{test}$  and the model G is generating enzyme 145 for TCP. G has never trained with TCP because TCP is not in  $\mathcal{D}$ . G has never seen proteins similar 146 to TCP's ground truth enzymes because all of them are only in  $\mathcal{D}_{test}$ , and all proteins in  $\mathcal{D}$  have at 147 least 70% different from them. Therefore generating enzyme for TCP and any molecules in  $\mathcal{D}_{test}$  is 148 zero-shot. 149

Evaluation. Regardless of the input data, models should be evaluated using consistent metrics. An evaluation model  $f_{\text{eval}}$  scores the generated protein x as follows:

$$y = f_{\text{eval}}(\mathbf{x}, \mathbf{m}),\tag{4}$$

where m is optional. If the evaluation focuses solely on the generated protein, m is not required. Given different functions of  $f_{eval}$ , the ideal training process should be framed as a multi-objective optimization problem. However, in the substrate-specified enzyme generation task, we prioritize catalytic capability above all and thus focus primarily on the corresponding  $f_{eval}$ .

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### 3 SUBSTRATE-SPECIFIED ENZYME GENERATOR

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We present Substrate-specified **enz**yme generator (SENZ), a novel approach designed to **retrieve** enzymes based on a new target substrate and subsequently **generate** new enzymes from the retrieved ones with the help of a **guidance** training method.

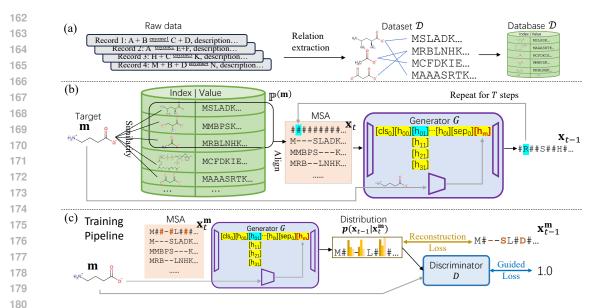


Figure 1: (a) Database extraction. Extracting substrate-enzyme relation from records and constructing 181 a relational database indexing with substrates. (b) Sample pipeline. Retrieve enzymes from the 182 database based on their substrates' similarity to the target molecule. Align them in MSA for the 183 generator and insert a fully masked sequence on top. Predict masks of the top sequence every iteration until the full sequence is unmasked. (c) Training pipeline. A partly masked ground truth enzyme 185 sequence is inserted on top of the retrieved sequences' MSA, and the generator outputs the distribution 186 of amino acids on masked positions. The reconstruction loss measures the distribution difference 187 between the generated and ground truth sequence of one timestep before. The guided loss is the gap between the score of the generated sequence given by a discriminator and the maximum score of 1. 188

#### 190 3.1 SUBSTRATE-INDEXED ENZYME RETRIEVAL MODULE

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Since there are no existing enzymes for a target substrate in the zero-shot generation setting, it is crucial to retrieve the related data record without relying on the ground truth enzyme sequence as an anchor. In order to retrieve a set of related proteins  $\mathbb{P}^{(m)}$  for the target molecule m, a relational database is constructed and a substrate-similarity based retrieval rule is designed. The superiority is demonstrated by only querying with molecule m, while traditional protein retrieval methods require an anchor sequence to search for similar sequences.

**Substrate-enzyme relational database.** We adopt training set  $\mathcal{D}$  in Eq. (3) as a relational database of substrate-enzyme pairs ( $\mathbf{m}, \mathbf{x}$ ).  $\mathcal{D}$  contains substrate-indexed enzymes, in which substrates are non-unique indices for corresponding protein sequences as shown in Fig. 1(b) green part.

**Retrieval by substrate-similarity.** Based on relational database  $\mathcal{D}$ , we then retrieve enzymes whose substrates exhibit high similarity to the target molecule, with the expectation that the generated enzyme will incorporate beneficial features from the retrieved ones. This approach is based on the observation that enzymes catalyzing highly similar substrates may also share some similarities (Goldman et al., 2022). We denote all molecules in  $\mathcal{D}$  as set  $\mathcal{D}_m$ . Querying  $\mathcal{D}$  with a molecule m gets a protein set  $\mathbb{P}^{(m)}$  as follows:

$$\{ \mathbf{x} | (\mathbf{m}, \mathbf{x}) \in \mathcal{D} \}, \qquad \mathbf{m} \in \mathcal{D}_{\mathbf{m}}, \quad (5a)$$

$$\mathbb{P}^{(\mathbf{m})} = \begin{cases} \bigcup_{i=1}^{d} \mathbb{P}^{(\mathbf{m}_i)} \text{ where } \mathbf{m}_i \in \mathcal{D}_{\mathbf{m}} \text{ and } \frac{\mathrm{T}(\mathbf{m}_{i-1},\mathbf{m})}{\mathrm{T}(\mathbf{m}_i,\mathbf{m})} > 1, i = 2, ..., d, \quad \mathbf{m} \notin \mathcal{D}_{\mathbf{m}}. \end{cases}$$
(5b)

We consider two cases to retrieve the related enzymes. On one hand, if **m** is stored in the relational database as shown in Eq. (5a), all protein indexed with **m**, i.e., **m**'s enzymes, are obtained by tablechecking; otherwise, if **m** is not stored ( $\mathbf{m} \notin \mathcal{D}_{\mathbf{m}}$ ) as in Eq. (5b), which is the case in the zero-shot enzyme generation,  $\mathbb{P}^{(\mathbf{m})}$  consists of a number of *d* enzymes selected from  $\mathcal{D}$  according to following rules. First, all the substrates  $\mathbf{m}_i$  in  $\mathcal{D}$  are compared with target molecule **m** to determine the Tanimoto similarity of their one-hot Morgan fingerprint, which is  $T(\mathbf{m}_i, \mathbf{m}) \in [0, 1]$  in Eq. (5b). The top- $d \mathbf{m}_i$  are selected in descending order based on the similarity to  $\mathbf{m}$ , represented as  $\mathbf{m}_1, ..., \mathbf{m}_d$ . Finally a number of d enzymes are gathered from  $\mathbb{P}^{(\mathbf{m}_1)}, ..., \mathbb{P}^{(\mathbf{m}_d)}$  to form the retrieval result  $\mathbb{P}^{(\mathbf{m})}$ .

# 219 3.2 MSA-BASED GENERATOR MODULE

With retrieved enzyme sequences, we transform them into Multiple Sequence Alignment (MSA)
 format as input and employ a discrete diffusion model generator to derive a new enzyme. MSAs are
 matrices of protein sequences aligned to uniform length through strategic gap insertions, facilitating
 the comparative analysis of homologous positions across related sequences.

**Discrete noising for enzyme generator.** Our generator G, depicted in Fig. 1(b), is an order-agnostic autoregressive diffusion model (Hoogeboom et al., 2022) with an MSA transformer (Rao et al., 2021) backbone. G generates protein sequence by gradually denoising from a fully noised sequence. To begin with, a number of d enzymes within  $\mathbb{P}^{(m)}$  are aligned into MSA matrix by ClustalW algorithm (Thompson et al., 1994):  $\mathbf{X}^{(m)} = \text{ClustalW}(\mathbb{P}^{(m)}) \in \mathbb{A}^{d \times l}$ . A partly noised sequence  $\mathbf{x}_t$  is inserted on the top of  $\mathbf{X}^{(m)}$  as a new row to formulate data point  $\mathbf{X}_t$  at time step  $t \leq T$  in the diffusion model:

$$\mathbf{X}_{t} = \begin{bmatrix} \mathbf{x}_{t} \\ \mathbf{X}^{(\mathbf{m})} \end{bmatrix} \in \mathbb{A}^{(d+1) \times l}, \text{ where } \mathbf{x}_{t} = (a_{1}, a_{2}, ..., a_{l}) \text{ and } \sum_{i=1}^{l} \mathbf{1}_{\{a_{i} = \#\}} = k \cdot t.$$
(6)

where  $a_i = \#$  means position *i* of  $\mathbf{x}_t$  is masked.  $\mathbf{1}_{\{a_i = \#\}} = 1$  if  $a_i = \#$  otherwise 0. There are  $k \cdot t$ masks in  $\mathbf{x}_t$ . *k* is the number of increasing masked positions from  $\mathbf{x}_t$  to  $\mathbf{x}_{t+1}$ , so  $k \cdot T = l$ . Therefore  $\mathbf{x}_T = \#^l$  is a totally noised (masked) sequence, and  $\mathbf{x}_0$  is the finally generated sequence.

**Discrete denoising at the generative process.** We adopt matrix  $p \in [0, 1]^{l \times |\mathbb{A}|}$  to represent the probability of selecting each vocabulary on each position in a length *l* sequence, and  $p(\mathbf{x}_{t-1}|\mathbf{x}_t)$ to represent the conditional probability distribution of  $\mathbf{x}_{t-1}$  from unmasking *k* positions of  $\mathbf{x}_t$ . Apparently  $\mathbf{x}_{t-1} \sim p(\mathbf{x}_{t-1}|\mathbf{x}_t)$  when  $\mathbf{x}_t$  is fixed. Our generator *G* is defined as follows:

$$\mathbf{z} = G(\mathbf{X}_t, \mathbf{m}) = G(\mathbf{x}_t, \mathbf{m}). \tag{7}$$

$$p(\mathbf{x}_{t-1}|\mathbf{x}_t) = \operatorname{softmax}(\mathbf{z}).$$
(8)

The Eq. (7)'s second equation holds because  $\mathbf{X}_t = [\mathbf{x}_t; \mathbf{X}^{(\mathbf{m})}]$  and  $\mathbf{X}^{(\mathbf{m})}$  is decided by  $\mathbf{m}$ .  $\mathbf{z}$  is the model output log-likelihood. Eq. (8) outputs distribution  $p(\mathbf{x}_{t-1}|\mathbf{x}_t)$  for sampling by time step. The fully masked sequence  $\mathbf{x}_T$  can be denoised step by step to the final result  $\mathbf{x}_0$ :  $\mathbf{x}_{T-1}$  can be sampled from  $p(\mathbf{x}_{T-1}|\mathbf{x}_T)$ , and so on  $\mathbf{x}_0$  can be sampled from  $p(\mathbf{x}_0|\mathbf{x}_1)$ . Those are the denoising steps.

249 Molecule and protein representation fusion: To inject the target substrate m into the generative learn-250 ing process, we adopt a learnable molecule encoder (Ahmad et al., 2023). Specifically, a Graph 251 Attention Network (GAT) (Veličković et al., 2018) is used to encode the molecule's graph structure to embedding  $h_m$ , which has the same shape as token embedding in generative function G.  $h_m$  is 252 appended at the end of each row in the MSA representation as an additional token, as illustrated in red 253 in Fig. 1(b). This design respects the relative size relationship in terms of atom numbers between an 254 amino acid and the substrate in the real world. Since the MSA transformer in G performs row-wise 255 attention and tied column-wise attention on the MSA matrix, the integration allows m to influence 256 the generation in G together with the retrieved MSA  $\mathbf{X}^{(\mathbf{m})}$ . 257

**Training to mimic distribution.** With ground truth substrate-enzyme pair  $(\mathbf{m}, \mathbf{x}^{\mathbf{m}})$  in training set, Goutput distribution  $p(\mathbf{x}_{t-1}|\mathbf{x}_t^{\mathbf{m}}) = \operatorname{softmax}(G(\mathbf{x}_t^{\mathbf{m}}, \mathbf{m}))$  from  $\mathbf{x}_t^{\mathbf{m}}$  is trained to consist with training set distribution  $p(\mathbf{x}_{t-1}|\mathbf{x}_t^{\mathbf{m}}) = \operatorname{softmax}(G(\mathbf{x}_t^{\mathbf{m}}, \mathbf{m}))$  from  $\mathbf{x}_t^{\mathbf{m}}$  is trained to consist with training set distribution  $p(\mathbf{x}_{t-1}|\mathbf{x}_t^{\mathbf{m}})$ . Ground truth protein  $\mathbf{x}^{\mathbf{m}}$  is the enzyme of molecule  $\mathbf{m}$ .  $\mathbf{x}_t^{\mathbf{m}}$  is partly noised (masked)  $\mathbf{x}^{\mathbf{m}}$  at time step t with kt masks. Denoting  $P = p(\mathbf{x}_{t-1}^{\mathbf{m}}|\mathbf{x}_t^{\mathbf{m}})$  and  $Q = p(\mathbf{x}_{t-1}|\mathbf{x}_t^{\mathbf{m}})$ , KL-divergence is used to measure the difference:

$$D_{\mathrm{KL}}(\boldsymbol{p}(\mathbf{x}_{t-1}^{\mathbf{m}}|\mathbf{x}_{t}^{\mathbf{m}})||\boldsymbol{p}(\mathbf{x}_{t-1}|\mathbf{x}_{t}^{\mathbf{m}})) = D_{\mathrm{KL}}(P||Q) = H(P,Q) - H(P).$$
(9)

$$\mathcal{L}_{r} = H(P,Q) = -\sum_{|\mathbb{A}|} P(i) \log Q(i) = CE(\mathbf{x}_{t-1}^{\mathbf{m}}, \operatorname{softmax}(G(\mathbf{x}_{t}^{\mathbf{m}}, \mathbf{m}))).$$
(10)

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267  $D_{\text{KL}}$  is performed on the vocabulary probability dimension of  $\boldsymbol{p}$ . The second equation in Eq. (10) 268 is derived from  $P = \boldsymbol{p}(\mathbf{x}_{t-1}^{\mathbf{m}}|\mathbf{x}_{t}^{\mathbf{m}}) = \mathbf{x}_{t-1}^{\mathbf{m}}$  and  $Q = \boldsymbol{p}(\mathbf{x}_{t-1}|\mathbf{x}_{t}^{\mathbf{m}}) = \operatorname{softmax}(G(\mathbf{x}_{t}^{\mathbf{m}},\mathbf{m}))$ . Since 269 H(P) is a constant given  $\mathbf{x}^{\mathbf{m}}$ , H(P,Q) can measure the difference of our model's distribution to the training set and is adopted as reconstruction loss  $\mathcal{L}_{r}$ .  $y^*$ 

270 <u>Variable sequence length:</u> Although  $\mathbf{x}_{t-1}$  has a fixed length l, the represented protein sequence may 271 have a different length. MSA inserts gap tokens ("-") into the origin protein sequence of amino acids 272 to align them.  $\mathbf{x}_{t-1}^{\mathbf{m}}$  and  $\mathbf{x}_{t}^{\mathbf{m}}$  are masked from sequence in MSA  $\mathbf{X}^{(\mathbf{m})}$ , so there are also many "-" in 273  $\mathbf{x}_{t-1}^{\mathbf{m}}$ . Based on Eq. (10), *G* is learned to output the training set sequence distribution  $p(\mathbf{x}_{t-1}^{\mathbf{m}}|\mathbf{x}_{t}^{\mathbf{m}})$ . 274 As a result, the probability of "-" can be high in some positions in *G*'s output  $p(\mathbf{x}_{t-1}|\mathbf{x}_{t}^{\mathbf{m}})$ , just as the 275 training target  $p(\mathbf{x}_{t-1}^{\mathbf{m}}|\mathbf{x}_{t}^{\mathbf{m}})$ . Then "-" will probably be sampled at some position in  $\mathbf{x}_{t-1}$ . Gaps "-" in 276 the fully sampled sequence  $\mathbf{x}_{0}$  will be removed and thus  $\mathbf{x}_{0}$  is shorter than l.

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3.3 GUIDED TRAINING METHOD

We employ guidance from a catalyzing discriminator to train generator G. The discriminator because whether a molecule  $\mathbf{m}$  and a protein  $\mathbf{x}$  are a substrate-enzyme pair with a score  $y = D(\mathbf{x}, \mathbf{m})$ . D is pre-trained on training set  $\mathcal{D}$  and remains frozen during the generator's training.

**Gradient guidance from discriminator.** To generate enzyme x containing catalytic capability to a molecule m, the frozen D guides the training of G by constructing guided loss  $\mathcal{L}_q$  as follow:

$$\mathbf{x}^* = \boldsymbol{p}(\mathbf{x}_{t-1}|\mathbf{x}_t^{\mathbf{m}}) = g(\mathbf{z}), \tag{11}$$

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$$= D(\mathbf{x}^*, \mathbf{m}),$$
(12)  
= 1 - y^\*, (13)

$$\mathcal{L}_g = 1 - y^*, -\partial \mathcal{L}_g / \partial \boldsymbol{\theta}_G = \partial D(\mathbf{x}^*, \mathbf{m}) / \partial \boldsymbol{\theta}_G = \partial D(\mathbf{x}^*, \mathbf{m}) / \partial \mathbf{x}^* \cdot \partial \mathbf{x}^* / \partial \boldsymbol{\theta}_G$$

$$= \nabla_{\mathbf{x}^*} D(\mathbf{x}^*, \mathbf{m}) \cdot \partial \boldsymbol{p}(\mathbf{x}_{t-1} | \mathbf{x}_t^{\mathbf{m}}) / \partial \boldsymbol{\theta}_G.$$
(14)

z is the model output log-likelihood in Eq. (7),  $g(\cdot)$  is Gumbel-softmax function (Jang et al., 2017) 291 and  $\theta_G$  is the parameters of G. The gradients derived from the discriminator can be decoupled 292 into three steps: soft protein sequence generation, loss construction, and gradient derivation. First, 293 Eq. (11) transforms the output of G into distribution  $p(\mathbf{x}_{t-1}|\mathbf{x}_t^{\mathbf{m}})$  associated with differentiable 294 noises. The  $p(\mathbf{x}_{t-1}|\mathbf{x}_t^m)$  can be regarded as a "soft" protein sequence, i.e.,  $\mathbf{x}^*$ , at which each token is 295 a continuous amino acid probability instead of one-hot vector. Second, let  $y^*$  denote the predicted 296 catalyzing score for  $\mathbf{x}^*$  as shown in Eq. (12). We thus construct the guided loss  $\mathcal{L}_q$  as the difference 297 between  $y^*$  and maximum score 1. By minimizing loss  $\mathcal{L}_g$ , generator G should be supervised to 298 synthesize soft enzyme sequence  $\mathbf{x}^*$  with a score close to 1. Third, when updating generator via 299  $\boldsymbol{\theta}_G \leftarrow \boldsymbol{\theta}_G - \eta \cdot \partial \mathcal{L}_g / \partial \boldsymbol{\theta}_G$ , two items needed to be computed according to Eq. (14):  $\nabla_{\mathbf{x}^*} D(\mathbf{x}^*, \mathbf{m})$ 300 means the gradient direction of  $x^*$ , to which the soft distribution changes can lead to an effective 301 enzyme functioning higher catalyzing probability for target molecule m;  $\partial p(\mathbf{x}_{t-1}|\mathbf{x}_t^m)/\partial \theta_G$  is the Jacobian matrix describing if the soft sequence changes, how should the parameters within model G302 correspondingly updates in order to synthesize proteins adhere to the desired distribution of molecule 303 m's enzymes. 304

Therefore, both  $\mathcal{L}_g$  and  $\mathcal{L}_r$  function by providing a changing direction for the output distribution  $p(\mathbf{x}_{t-1}|\mathbf{x}_t^{\mathbf{m}})$ , except they are for different purposes: the former one pursues an effective enzyme for m while the later regularize the generative enzymes to be close to training set  $p(\mathbf{x}_{t-1}^{\mathbf{m}}|\mathbf{x}_t^{\mathbf{m}})$ . The final loss  $\mathcal{L}$  is the sum of reconstruction loss  $\mathcal{L}_r$  from Eq. (10) and the guidance loss  $\mathcal{L}_g$  from Eq. (13), expressed as  $\mathcal{L} = \mathcal{L}_r + \mathcal{L}_g$ , which are used to update the generator.

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- 4 EXPERIMENT
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4.1 DATASET FOR SUBSTRATE-SPECIFIED ENZYME GENERATION TASK

We provide a substrate-enzyme relationship dataset extracted from RHEA<sup>1</sup> database to better evaluate model performance on the substrate-specified enzyme generation task. Statistics of the dataset are shown in Table. 7. The two *rules* in Sec. 2 are strictly followed to avoid data overlap.

4.2 CATALYTIC ACTIVITY EVALUATION

Research question: Can SENZ generate proteins with catalytic capability for specified target molecules? This section compares our model with eight baselines and the ground truth enzymes to evaluate the generated proteins' catalytic capability. Ten sequences are generated in each design task.

<sup>&</sup>lt;sup>1</sup>https://www.rhea-db.org

324 **Baselines.** We compare our model with 4 kinds of baselines. The rule-based methods include: 325 a) the ground truth proteins that are recorded to be the enzymes of target molecule; b) randomly 326 generated amino acids sequences as random proteins; c) single position mutation of the ground truth 327 enzymes; and d) the retrieved enzymes based on our substrate-index enzyme retrieval method. The 328 unconditional generation models include ProtGPT2 (Ferruz et al., 2022) and ProGen2 (Nijkamp et al., 2023), which generates protein sequences with a distribution like natural ones while having some distance. The Sequence generation models include: ZymCTRL (Munsamy et al., 2022), which takes 330 an Enzyme Commission (EC) number and outputs a corresponding enzyme; and NOS (Gruver et al., 331 2023), which is a guided diffusion model for antibody infilling with our modified guided function 332 same as our model for enzyme generation. The structure-based model is LigandMPNN (Dauparas 333 et al., 2023), which refines proteins based on the binding of small molecules. 334

**Metric.** We adopt the turnover number of the enzyme ( $k_{cat}$ ) to measure its catalytic capability. A 335 well-accepted predictor, UniKP (Yu et al., 2023), is used to predict  $\log_{10}(k_{cat})$  value for the generated 336 enzyme on the target molecule. UniKP is trained on the dataset of enzyme-substrate reaction  $k_{cat}$ .

Туре	Model	Sepiap- terin	Propylene oxide	Levo- glucosan	cGMP	L-Pro	Pyri- doxine	leukotriene A4(1-)
Rule	Ground Truth	0.247	0.785	0.719	0.132	0.107	0.508	0.371
	Random	-0.056	0.076	0.359	-0.203	0.037	0.269	-0.215
	Mutation	0.387	0.752	0.740	0.006	0.030	0.480	0.316
	Retrieved	0.139	0.701	0.728	-0.004	0.039	0.234	0.575
Uncond	ProtGPT2	0.410	0.441	0.491	0.194	0.244	0.432	0.302
	ProGen2	0.234	0.423	0.529	0.410	0.385	0.517	0.351
Sequence	ZymCTRL	-0.091	0.444	0.505	0.174	0.109	0.549	0.268
	NOS	0.066	0.331	0.370	-0.071	0.193	0.265	0.229
Structure	LigandMPNN	0.125	0.641	0.707	0.079	0.358	0.333	0.429
	Ours	0.705	0.802	0.788	0.464	0.462	0.745	1.288

Table 1: Average  $\log_{10}(k_{cat})$  of generated enzymes towards different targets of 7 tasks.

 $\triangleright$  Table 1 shows the  $\log_{10}(k_{cat})$  of different methods' generated enzymes with targets, from which we observe our model generated proteins have the highest catalytic capability among all. The predicted  $\log_{10}(k_{cat})$  of Ground Truth enzymes are much higher than those of random protein sequences, suggesting the effectiveness of the evaluation metric. Our model generated enzymes have the highest average turnover number among all the compared methods in the designing tasks. The result shows our model is able to generate enzymes with high turnover numbers when evaluated in silico. Table 1 also suggests that generated enzymes can outperform Ground Truth natural enzymes, which suggests the natural enzymes are possibly not the most efficient.

### 4.3 PROTEIN PROPERTIES EVALUATION

Research question: Can SENZ generate proteins with good quality as well as catalytic capa-364 **bility?** We evaluate the generated sequences for all 389 substrates in the test set with six  $f_{\text{eval}}$  to 365 validate our model's generated sequence in different protein properties. 10 enzymes are generated for 366 each substrate.

**Metric.** Protein property predictors  $f_{\text{eval}}$  are adopted in the evaluation, including: a) the predicted 368 local distance difference test (pLDDT) of ESMFold (Lin et al., 2023), which is the confidence score 369 of protein structure prediction in [1, 100]; b) identity with the nearest different known sequence got by 370 BLASTp<sup>2</sup> in SwissProt database<sup>3</sup>; c) the number of clusters with identity over 30%; d) the length of 371 repeat amino acids (Johnson et al., 2024); and e) the successful rate, which quantifies the proportion 372 of successfully generated sequences relative to the total desired number of sequences. Wasserstein 373 distance is used following (Martinkus et al., 2023) in b), and d), and the absolute difference is 374 calculated in c), aiming to describe the distribution difference between the test set and generated 375 enzymes for each target molecule individually.

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<sup>&</sup>lt;sup>2</sup>https://blast.ncbi.nlm.nih.gov/doc/blast-help/downloadblastdata.html <sup>3</sup>https://ftp.ncbi.nlm.nih.gov/blast/db/swissprot.tar.gz

Туре	Model	$k_{cat} \uparrow$	pLDDT ↑	WD↓ (BLASTp)	Absolute difference↓ (#cluster)	WD↓ (#repeat AA)	success rate↑(%)
Rule	Test set Random Mutation Retrieved	0.363 0.185 0.354 0.351	- 20.2 - <b>85.9</b>	- 38.3 - <b>19.6</b>	- 8.59 - 1.87	- - -	- - -
Uncond	ProtGPT2 ProGen2	0.322 0.352	55.2 55.5	31.5 26.7	8.58 8.47	1.41 161.04	100 100
Sequence	ZymCTRL NOS	0.375 0.224	62.5 23.1	23.0 36.5	4.12 8.59	0.78 0.65	99.2 100
Structure	LigandMPNN	0.342	31.0	33.6	8.52	3.14	<u>99.5</u>
	Ours	0.380	62.8	20.8	1.74	0.90	100

Table 2: Different properties predicted by their  $f_{eval}$  of the generated enzymes for test set.

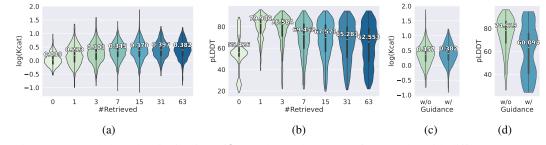
 $\triangleright$  Table 2 presents the properties of our method-generated enzymes, highlighting their superior catalytic capability ( $\log_{10}(k_{cat})$ ) and foldability (pLDDT) compared to other neural network methods. Notably, ZymCTRL exhibits similar properties, but it relies on ground truth EC numbers as input. The process of mapping the target substrate to the correct EC number requires more human expertise than our model. The Wasserstein distance with the test set on BLASTp and the difference in cluster number shows that our model can generate new proteins that have a similar distribution with the test set, suggesting our generated proteins cluster properly to be specific for each target substrate, just like natural enzymes.

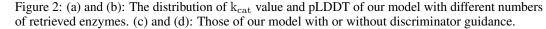
**What is SENZ generated sequences' quality in terms of foldability?** We calculate the portion of generated proteins over a certain pLDDT to further evaluate the foldability. The result is in Table 3.

Table 3: Portion of SENZ generated enzymes over different pLDDT.

pLDDT	0.8	0.7	0.6	0.5
The portion of proteins over the pLDDT	0.23	0.42	0.59	0.72

▷ *From Table 3, it can be seen that the generated proteins have good foldability.* 23% of generated proteins have a pLDDT over 0.8, and 72% have a pLDDT over 0.5. The result suggests that a great porotion of the generated enzymes are likely to fold into stable structures.





#### 4.4 RETRIEVAL EFFECTIVENESS

**Research question: Does the retrieval of enzymes contribute to enzyme generation?** We modified the number of retrieved enzymes and generated 10 enzymes for each of the 389 target substrates to evaluate the effectiveness of the retrieval method. Results are shown in Fig. 2(a) and Fig. 2(b).

Comparing generation with 0 and 1 retrieved protein in Fig. 2(a) and Fig. 2(b), it can be concluded that even a single retrieved enzyme is crucial to the generation of enzymes with catalytic capability and foldability. It shows the effectiveness of the retrieval method.

431 ▷ Comparing generation with 1 or more retrieved proteins in Fig. 2(a) and Fig. 2(b), it can be concluded that retrieval enhances the generated enzymes' catalytic capability by a small concession of

432 *foldability*. Fig. 2(a) of k<sub>cat</sub> shows that the increase in retrieved sequences improves the performance 433 in terms of catalyzing. In Fig. 2(b) of pLDDT, the foldability decreases with the increase of retrieved 434 enzymes. The reason is that structure prediction examines the full sequence pattern with existing 435 proteins. The retrieved proteins do not resemble each other in full sequence, making the derived 436 generated sequence less similar to existing proteins. In fact, several short periods (enzymatic active site) in the retrieved sequences dominate the proteins' catalytic capability, which is different 437 from foldability's requirement on the full sequence. Therefore, there's a trade-off between the 438 enzyme's folding stability and catalytic capability. In fact, the trade-off has been reported in other 439 literature (Vanella et al., 2024), which is the same case in our generated sequences. With more 440 retrieved sequences, our model gives up sequence foldability for better catalytic performance. 441

442 4.5 **GUIDANCE EFFECTIVENESS** 443

**Research question:** Does the discriminator guidance contribute to enzyme generation? We removed the discriminator in our model and generated 10 enzymes for each of the 389 target substrates to evaluate the guidance effectiveness. The results are shown in Fig. 2(c) and Fig. 2(d).

447  $\triangleright$  Fig. 2(c) shows the necessity of guidance to generate the enzymes with high k<sub>cat</sub>. Fig. 2(c) and 448 Fig. 2(d) also suggest that our model performs the same trade-off in two circumstances with or 449 without guidance. Comparing the  $k_{cat}$  value of rule-based retrieved sequence in Table. 2 with w/o 450 guidance column in Fig. 2(c), it can be seen that the generated enzymes'  $k_{cat}$  is almost the same as 451 the retrieved ones. The reason is that the generator only learns to generate sequences resembling the 452 retrieved ones. 453

It is natural that adopting guidance decreases the foldability of generated enzymes. The discriminator 454 guides the generator to output proteins with a high score, which has a different distribution from 455 natural-like proteins. The pLDDT given by the structure prediction model suggests confidence, and it 456 is low when the evaluated sequence is not very natural-like.

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4.6 CASE STUDY TARGETING METHYLPHOSPHONATE(1-)

460 Research question: Why proteins generated by SENZ are predicted to have the better catalytic 461 capability? We perform docking between a substrate, methylphosphonate(1-), and generated enzymes with AutoDock-Vina<sup>4</sup> to closely examine the generated enzyme's structure and its interaction with 462 the target substrate. The docking result is presented in Fig. 3. 463

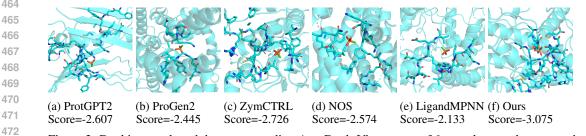


Figure 3: Docking result and the corresponding AutoDock-Vina scores of 6 neural network generated proteins with methylphosphonate(1-). The molecule with 5 atoms in red, orange, and green is methylphosphonate(1-). The generated protein is in blue. Protein's side chains within 5 Å to the substrate are shown. A lower score denotes a better binding position.

477  $\triangleright$  From Fig. 3(f), it is evident that the enzyme generated by our model achieves the lowest AutoDock-478 Vina score, indicating the highest likelihood of binding between the molecule and the protein. This 479 result is likely due to our generated protein possessing more side chains that extend toward the 480 substrate, resulting in a tighter binding. Although a favorable docking score does not necessarily ensure catalytic activity, it does demonstrate that our generated enzyme can effectively capture the substrate, which is a crucial prerequisite for the subsequent chemical reaction. 482

Research question: For the same substrate, how different are the proteins generated via the different benchmarked methods in terms of sequence and structure? We calculate the sequence

<sup>&</sup>lt;sup>4</sup>https://vina.scripps.edu

NOS

LigandMPNN

SENZ

0.20648

0.20929

0.21737

identity and structure TM-score between every pair of model-generated proteins for the same substrate
 methylphosphonate(1-). The result is demonstrated in Table 4 and Table 5 respectively.

Table 4: Generated enzymes' sequence identity to each other. Value is in percentage.

	ProtGPT2	ProGen2	ZymCTRL	NOS	LigandMPNN	
ProGen2	21.86	-	-	-	-	
ZymCTR	21.03	19.13 -		-	-	
NOS	20.18	20.61	16.44	-	-	
LigandMPNN	20.23	21.65	20.68	21.81		
SENZ	20.00	20.16	15 62	01.00	10.76	
SENZ	20.00	20.16	15.63	21.82	18.76	
Table	5: Generate	ed enzyme			other.	
Table	5: Generate ProtGPT2 Pro	ed enzyme	s' TM-scor	e to each LigandM	other. MPNN SENZ	
Table	5: Generate ProtGPT2 Pro	ed enzyme oGen2 Zymo 23789 0.12	s' <b>TM-scor</b> ctrl nos	e to each LigandM	other. MPNN SENZ 519 0.12544	

0.22723

0.21419

0.24737

<sup>503</sup> ▷ From Table 4 and Table 5, it can be concluded that the generated sequences has low similarity
 <sup>504</sup> to each other, both in terms of sequence and structure. Usually, an identity lower than 30% or a
 <sup>505</sup> TM-score lower than 0.3 indicates no clear relation. This result is likely due to the diversity of
 <sup>506</sup> theoretical possible enzymes for a given substrate, and it is natural for different models to have
 <sup>507</sup> different solutions.

0.20648

0.14044

0.27572

0.17088

0.25447

0.24652

0.23319

0.23912

0.15116

Research question: For the same substrate, how different are the proteins generated by the deep learning models from the natural enzymes in sequence? We calculate the sequence identity between model-generated proteins for the substrate methylphosphonate(1-) and its natural enzyme.
The result is demonstrated in Table 6.

Table 6: Sequence identity between the generated enzymes to the ground truth enzyme.

Pro	otGPT2	ProGen2	ZymCTRL	NOS	LigandMPNN	SENZ
Identity	-	0.23789	0.12233	0.12550	0.18619	0.12544

▷ From Table 6, it can be concluded that the generated sequences have low similarity to the ground *truth*. This result is likely due to the diversity of theoretical possible enzymes for a given substrate.

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#### 5 CONCLUSION

522 In this paper, we have formally defined the task of zero-shot substrate-specified enzyme generation, 523 wherein models are provided solely with a new target molecule and are required to output a protein 524 sequence possessing catalytic capabilities specific to that molecule. To address this task, we introduce the Substrate-specified enzyme generator (SENZ), an RAG method. SENZ utilizes a single molecule 525 as a query to retrieve enzymes based on their substrate similarity to the target, thereby enabling the 526 retrieval of known proteins from new molecules. This retrieval strategy capitalizes on the functional 527 similarity of enzymes as indicated by their substrates. To generate enzymes from the retrieved 528 sequences, we employ multiple sequence alignment (MSA) on them and introduce a diffusion model 529 generator guided by an enzyme-substrate classifier. This classifier guides the generated protein 530 distribution for different substrates, serving as the objective for the generator during training. In 531 experiments involving the generation of enzymes for real-world target molecules, evaluation functions 532 assessed turnover rate and foldability together with other properties, demonstrating the superiority of 533 our model in enzyme generation.

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#### Reproducibility Statement

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We have described all necessary details to ensure reproducibility, including dataset informa tion, model architectures, hyperparameters, and evaluation protocols. The code is available at <a href="https://anonymous.4open.science/r/SENZ-2BE1/">https://anonymous.4open.science/r/SENZ-2BE1/</a>.

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### A APPENDIX

### A.1 EXPERIMENT DETAILS

Table 7: Enzyme distribution in the split of Enzyme-Substrate Relation Dataset

dataset	#entry	#mol	#enzyme	25%	#enzyn   50%	ne/mol 75%	max	#EC
training	26757	2294	8179	2	4	10	868	819
validation	4279	366	2617	1	3	6	501	381
testing	3946	389	2432	1	2	7	316	553
total	34982	3049	13228	1	4	9	868	1746

#### A.1.1 MORE DETAILS ABOUT BASELINES

ProGen2 (Nijkamp et al., 2023) and ProtGPT2 (Ferruz et al., 2022): We utilized the pre-trained
weights for both models to generate sequences with a maximum length of 1024. These models serve
as benchmarks for the capability of protein language models to generate sequences without specific
functional guidance.

ZymCTRL (Munsamy et al., 2022): This model employs pre-trained weights and uses the Enzyme
 Commission (EC) number as a prompt for the autoregressive generation process. It is worth noting
 that the EC number provides more detailed information about enzymatic function compared to the
 substrate alone, offering this baseline an advantage in generating enzyme sequences for the given
 tasks.

NOS (Gruver et al., 2023): We trained NOS following the methodology of its original paper. The original NOS framework uses a discriminator to score the binding affinity between an antibody and an antigen (two protein sequences). We replaced the original discriminator with our enzyme-substrate probability scoring model in our adaptation. Furthermore, we replaced the target protein sequence input with the target substrate molecule. During inference, the NOS generator is updated iteratively for 10 steps using the test set input before sampling, following a discrete diffusion model for sequence generation, as described in the original paper. These adjustments allow NOS to generate enzymes in our setting while preserving its original generative framework. 

LigandMPNN (Dauparas et al., 2023): This reverse folding model generates a protein sequence
based on a protein-ligand complex structure. To adapt it for our task, we randomly generated protein
sequences (length: 1024) and predicted their structures using ESMFold [1]. Using RDKit, we
generated the structure of the target substrate, and NeuralPLexer [2] was employed to dock the
substrate with the predicted protein structure, creating a complex structure. The resulting complex
was then input into LigandMPNN for sequence redesign.

# 756 A.1.2 COMPUTATION RESOURCES

All the experiments are conducted on a single virtual machine with 200 GB memory, 2 AMD EPYC
7742 64-Core CPUs, and 4 NVIDIA A100 GPUs with 80 GB memory each. The virtual machine is
created in an internal cluster. All used data in the experiment requires storage of less than 500 GB.

- The training time of the model is less than 20 hours.
- 763 A.1.3 HYPER-PARAMETERS 764

The training of the discriminator starts from the pre-trained weight of ProSimth Kroll et al. (2023),
with all the training set as positive samples, and randomly pair molecules and proteins that are not
positive samples as negative samples. The ratio of positive versus negative samples is 1:1. Adam
optimizer is adopted in the training. The learning rate is 5e-5. The real batch size is 256. A BCE loss
is used, with a 6.0 positive weight.

The training of the generator starts from the pre-trained weight of EvoDiff MSA-OADM version
Alamdari et al. (2023). Adam optimizer is adopted in the training. The learning rate is 3e-5. The real
batch size is 256.

- 773
- 774 A.2 RELATED WORK

775 **Unconditional protein generation.** Some research focuses on generating proteins that resemble 776 natural ones. Within this scope, the protein language model-based sequence-only approaches include 777 ProGen2 (Nijkamp et al., 2023), ProtGPT2 (Ferruz et al., 2022), and ESM-2 (Lin et al., 2023). These 778 models are trained to predict masked amino acids in natural protein sequences, thus learning to 779 generate proteins that mimic natural ones. The discrete diffusion models approach, aimed at this target, includes EvoDiff (Alamdari et al., 2023), which performs corruption and reconstruction on 781 multiple sequence alignments (MSA). Generative adversarial networks (GAN) approaches, such as 782 ProteinGAN (Repecka et al., 2021), use a discriminator to guide the generated protein to resemble natural ones, enabling the generation of natural-like enzymes when a template is provided. Structure-783 based methods include ProteinMPNN (Dauparas et al., 2022), which seeks to generate a protein 784 sequence likely to fold into a given structure. These methods do not target external generation 785 objectives or rely heavily on human-selected input templates to achieve specific functions. 786

Conditioned protein generation. Some researchers use non-protein data to guide protein generation.
 ZymCTRL (Munsamy et al., 2022) uses an Enzyme Commission (EC) number as a prompt to generate
 enzymes categorized in the corresponding EC. Progen (Madani et al., 2023) takes natural language
 protein labels to output corresponding protein sequence. LigandMPNN (Dauparas et al., 2023) and
 RFdiffusionAA (Krishna et al.) can recover a protein sequence and structure based on a binding
 molecule, which is derived from their prediction ability on the ligand-protein complex.

**Protein guided protein generation.** Some research aims to generate new proteins that bind to a given protein. The sequence approach includes NOS (Gruver et al., 2023), which merges antibody and antigen in one sequence and uses the diffusion method to train a transformer, while some property prediction models can be used in sampling to make the generated protein tend to have certain properties. The structure approach includes AbDiffuser (Martinkus et al., 2023), which uses a SE(3) equivariant neural network to model residue-to-residue relations. The generation target and output protein are both in the same protein modality.

**Enzyme evaluation.** Enzyme evaluation models can help with enzyme design. ProSmith (Kroll et al., 2023) predicts protein-small molecule interactions. UniKP (Yu et al., 2023) predicts the  $k_{cat}$  and  $K_m$  value of enzyme and substrate. NeuralPLexer (Qiao et al., 2024) and AlphaFold 3 (Abramson et al., 2024) can predict the protein-ligand complex structures. Johnson et al. (2024) proposes comprehensive methods for evaluating neural network-generated enzymes but does not include metrics related to catalytic activity.

Retrieval method. Some research develops retrieval methods to help with generation or prediction. RetMol (Wang et al., 2023) retrieves molecules based on similarity and desired properties to refine molecules. MSA transformer (Rao et al., 2021) and AlphaFold 2 (Jumper et al., 2021) uses evolutionary-based MSA to enhance structure prediction accuracy. They retrieve proteins with proteins by sequence similarity only.

# 810 A.3 LIMITATION 811

Currently, the implementation of our method can only deal with small molecule substrates. If users want to generate enzymes for polymer substrates like DNA, RNA, protein, or polysaccharides with our model, they have to derive the SMILES of the corresponding monomer or dimer manually for input.