Advancing Vision-Language Models with Adapter Ensemble Strategies

Anonymous ACL submission

⁰⁰¹ Abstract

 CLIP [\(Radford et al.,](#page-9-0) [2021\)](#page-9-0) revolutes vision- language pretraining by using contrastive learn- ing on paired web data. However, the sheer size of these pretrained models makes full-model finetuning exceedingly costly. One common solution is the "adapter", which finetunes a few additional parameters while freezing the backbone. It harnesses the heavy-duty back-**bone while offering a light finetuning for small** downstream tasks. This synergy prompts us to explore the potential of augmenting large- scale backbones with traditional machine learn- ing techniques. Often employed in traditional fields and overlooked in the large-scale era, 016 these techniques could provide valuable en- hancements. Herein, we delve into the "adapter ensembles" in the realm of large-scale pre- trained vision-language models. We begin with a proof-of-concept study to establish the effi- cacy of combining multiple adapters. We then present extensive evidence showing these en- sembles excel in a variety of settings, particu- larly when employing a Multi-Scale Attention **(MSA)** approach thoughtfully integrated into the ensemble framework. We further incorpo-027 rate the LoRA to mitigate the additional pa- rameter burden. We focus on vision-language retrieval, using different backbones under con- straints of minimal data, parameters, and fine- tuning budgets. This research paves the way for a synergistic blend of traditional, yet effective, strategies with modern large-scale networks.

034 1 Introduction

 Large-scale pretraining leverages massive data, robust architectures with strategic training to push [p](#page-9-1)erformance boundaries [\(Devlin et al.,](#page-8-0) [2018;](#page-8-0) [Rad-](#page-9-1) [ford et al.,](#page-9-1) [2018;](#page-9-1) [Li et al.,](#page-9-2) [2022;](#page-9-2) [Radford et al.,](#page-9-0) [2021\)](#page-9-0). It notably advances vision-language capa- bilities, exemplified by CLIP [\(Radford et al.,](#page-9-0) [2021\)](#page-9-0), which through contrastive learning on a vast image- text corpus, seamlessly integrates visual and lin-guistic modalities.

(a) Attn ensemble ablation.

(b) FFN ensemble ablation.

Figure 1: CLIP ViT-B/16 ensemble ablation on selfattention and feedforward (Sec. [2\)](#page-1-0). Y-axis/x-axis are the retrieval accuracy and the unit number of learnable parameters in each layer. Baselines (On-Top, RB, MLP) and our Ens are finetuned/evaluated on YFCC. Sharing the same amount of learnable parameters, ensemble outperforms baselines and derives improvement when the number of ensemble parameters increases.

Various studies further advance vision-language **044** pretraining by integrating auxiliary supervision **045** (e.g., self-supervision/captioning loss) or extra in- **046** [f](#page-9-3)ormation (e.g., tags/bounding boxes) [\(Ramesh](#page-9-3) **047** [et al.,](#page-9-3) [2022;](#page-9-3) [Saharia et al.,](#page-9-4) [2022;](#page-9-4) [Tewel et al.,](#page-9-5) **048** [2022;](#page-9-5) [Chen et al.,](#page-8-1) [2022a;](#page-8-1) [Mokady et al.,](#page-9-6) [2021;](#page-9-6) **049** [Jia et al.,](#page-8-2) [2021;](#page-8-2) [Mu et al.,](#page-9-7) [2022\)](#page-9-7). However, **050** the necessity for extensive datasets and complex **051** training pipelines for pretraining remain a chal- **052** lenge, particularly affecting finetuning efficiency. **053** Adapter [\(Houlsby et al.,](#page-8-3) [2019\)](#page-8-3) is a favored tech- **054** nique for efficient finetuning, initially for language **055** models like BERT [\(Devlin et al.,](#page-8-0) [2018\)](#page-8-0) and re- **056** cently adapted for the visual domain [\(Chen et al.,](#page-8-4) **057** [2022b;](#page-8-4) [Gao et al.,](#page-8-5) [2021\)](#page-8-5). Along with its vari- **058** ants such as LoRA [\(Hu et al.,](#page-8-6) [2021\)](#page-8-6) and Com- **059** pactor [\(Karimi Mahabadi et al.,](#page-8-7) [2021\)](#page-8-7), adapter **060** offers the solution by updating a few additional **061** parameters with limited data while fixing the pre- **062** trained backbone. These approaches combine large- **063** scale pretraining with small-sized efficient adapters, **064** proposing a unified modeling pipeline. This fusion **065** compels us to consider if we can borrow certain tra- **066**

 ditional machine learning techniques, which work well on previous small-sized scenarios but are eas- ily ignored in the current large-scale era, to benefit the popular pretrained models. Informed by this, our study delves the classic *ensemble* on adapter for large-scale vision-language pretrained models and assesses its impact on cross-modal retrieval.

 Ensemble has long been a cornerstone in tradi- tional machine learning, combining diverse base learners to harness collective intelligence, thereby [e](#page-8-8)nhancing model performance and robustness [\(Di-](#page-8-8) [etterich,](#page-8-8) [2000;](#page-8-8) [Sagi and Rokach,](#page-9-8) [2018;](#page-9-8) [Rokach,](#page-9-9) [2010\)](#page-9-9). In past decades, early methods provided weak yet cheap base learners using limited data, the ensemble compensated by pooling their strengths. Recently neural networks, with more data and com- plex models, present base learners of greater indi- vidual capability. Yet, the ensemble continues to offer performance boosts [\(Li et al.,](#page-9-10) [2019;](#page-9-10) [Lee et al.,](#page-8-9) [2018\)](#page-8-9), albeit at a cost, given the non-negligible resources to entirely train each deep network as a base learner. Nowadays, the focus shifts towards leveraging single, robustly pretrained models, leav- ing ensembles less tapped for these larger models due to their prohibitive computational demands. However, our curiosity lies in applying ensemble to efficiently finetune large-scale pretrained models using adapters, which act as a nexus for integrating large-scale backbone and small-sized techniques.

 This study marks the initial exploration into the use of the adapter-based ensembles in large-scale pretrained models. We infuse the pretrained model with parallel learnable parameters in an ensemble fashion while fixing original weights. Our proof- of-concept study (Sec. [2\)](#page-1-0) shows substantial perfor- mance gain of ensemble over baselines (Fig. [1\)](#page-0-0). We further extensively validate its effectiveness with a well-designed Multi-Scale Attention (MSA) in an ensemble framework (Sec. [3\)](#page-2-0). Finally, we [e](#page-8-6)nhance our strategy by incorporating LoRA [\(Hu](#page-8-6) [et al.,](#page-8-6) [2021\)](#page-8-6) technique, managing the extra param- eter overhead to maintain efficiency with compet- itive performance even when scaling to ensemble applications. We summarize contributions of our study as below:

 • Driven by the adapter efficiency, we are in- trigued by the potential of leveraging classical small-sized machine learning techniques to enhance the large-scale model performance.

116 • We recall the ensemble, which is a classi-**117** cal practice but mostly overlooked in current

large-scale era. Herein, we use *adapter en-* **118** *semble* as an intermediary between large-scale **119** pretrained model and small-sized technique **120** to improve pretrained model under efficient **121** finetuning budget. **122**

• We conduct 1) a proof-of-concept study, **123** promising our exploration as a valuable per- **124** spective; 2) an extensive ensemble test, showing consistent performance gain over different **126** settings; 3) a simple ensemble-style Multi- **127** Scale Attention (MSA), reaching the largest 128 performance gain of cross-modal retrieval **129** (e.g., 6% YFCC zero-shot improvement with **130** only 0.1M Laion finetuning data); 4) an in- **131** corporation with LoRA into our ensemble **132** to maintain the adapter parameter efficiency **133** (e.g., 2.2% additional parameters with com- **134** petitive performance). **135**

2 Ensemble Proof-of-Concept Study **¹³⁶**

Ensemble is often interpreted as a weighting **137** strategy [\(Rokach,](#page-9-9) [2010;](#page-9-9) [Dietterich,](#page-8-8) [2000\)](#page-8-8), where 138 data or feature fusion can be regarded as an ensem- **139** ble process to some extent. For example, residual **140** connection [\(He et al.,](#page-8-10) [2016\)](#page-8-10) is an ensemble process **141** fusing identity mapping and learned residual infor- **142** mation. In this section, we conduct an instructive **143** empirical analysis as a proof-of-concept study to **144** show the effectiveness of using an ensemble strat- **145** egy on adapter. We finetune (using limited 0.1M **146** data) and test on YFCC [\(Thomee et al.,](#page-9-11) [2016\)](#page-9-11) to **147** compare our ensemble (Att-Ens/FFN-Ens) with **148** three baselines (On-Top, Att-RB/FFN-RB, Att- **149** MLP/FFN-MLP) on CLIP backbone. **150**

Att-Ens/FFN-Ens. **151**

We make a simple implementation to include a few 152 sets of learnable parameters for ensemble, which is **153** [d](#page-8-3)ifferent from typical bottleneck adapter [\(Houlsby](#page-8-3) **154** [et al.,](#page-8-3) [2019\)](#page-8-3). Given a feature $f \in \mathbb{R}^d$ after multihead attention (Att) or feedforward (FFN) in each **156** transformer block, we project the copied and con- **157** catenated feature using a pyramid layer: **158**

$$
fens = f + ([f, ..., f])Wens, \t(1)
$$

where $W^{\text{ens}} \in \mathbb{R}^{Nd \times d}$ and we omit bias term for **160** convenience (Fig. [2a\)](#page-2-1). N is the number of copied 161 feature to be concatenated. In this way, each d-dim **162** sub-matrix in W^{ens} can be treated as a base learner. 163 The pyramid projection is an ensemble module. **164**

(a) Att-Ens/FFN-Ens add a pyramid projection to ensemble concatenated copied features for MHA or FFN.

(b) On-Top adds additional parameter (reverse bottleneck) on the top of both CLIP vision/language towers.

reverse bottleneck as addi-(c) Att-RB/FFN-RB add a

tional parameters after MHA

(d) Att-MLP/FFN-MLP add projections (same dimension) as learnable parameters after MHA or FFN.

explorers. Instance we analysis to site wear enforced states, $\langle 1g, 2x \rangle$ were settled than satellites $\langle 1g, 2e, 2e, 2e \rangle$ while sharing the same number of additional learnable parameters overall. We adjust 1) the numb Figure 2: Instructive analysis to show our ensemble strategy (Fig. [2a\)](#page-2-1) works better than baselines (Fig. [2b](#page-2-1) [2c](#page-2-1) [2d\)](#page-2-1) feature for Att-Ens/FFN-Ens (Fig. [2a\)](#page-2-1); 2) the hidden dimension in reverse bottleneck for On-Top/Att-RB/FFN-RB (Fig. [2b](#page-2-1) [2c\)](#page-2-1); 3) the number of hidden layers for Att-MLP/FFN-MLP (Fig. [2d\)](#page-2-1) to keep the same amount of additional parameter for all methods. All four methods are deployed in both vision and language towers. In figures, green and blue blocks represent learnable and frozen modules, respectively.

or FFN.

 Accordingly, we can conveniently calculate the total number of additional learnable parameters. Assuming we have total L blocks in pretrained CLIP, the totally amount of additional parameters 169 is $L \times Nd \times d$. We regard $d \times d$ as an adapter **unit and** $L \times N$ **is the number of the total units. To** show the benefits of ensemble strategy, we make a comparative analysis with the following three de- signed baselines, w.r.t. different numbers of units of additional parameters, shown as the number of x-axis in Fig. [1.](#page-0-0)

176 On-Top

 To eliminate any potential ensemble effect, we use CLIP to extract feature f and place all the additional learnable parameters as a reverse bottle- neck on the top (Fig. [2b\)](#page-2-1) without any residual skip, which is given by

$$
f^{\text{top}} = (f \cdot W^1)W^2, \tag{2}
$$

183 where $W^1 \in \mathbb{R}^{d \times (LNd/2)}$ and $W^2 \in \mathbb{R}^{(LNd/2) \times d}$. **184** This is the most basic baseline, with no ensemble **185** influence.

186 Att-RB/FFN-RB

 We insert a reverse bottleneck after Att or FFN in each block (Fig. [2c\)](#page-2-1). Residual skip is used here to relatively involve ensemble factor and alleviate the non-ensemble constraint compared with On-Top, given by:

$$
f^{rb} = f + (f \cdot W^1)W^2, \tag{3}
$$

193 where $W^1 \in \mathbb{R}^{d \times (Nd/2)}$ and $W^2 \in \mathbb{R}^{(Nd/2) \times d}$. Skip connection involves ensemble concept but the reverse bottleneck is not for ensemble compared with Att-Ens/FFN-Ens.

Att-MLP/FFN-MLP **197**

We insert an MLP after Att or FFN in each block **198** (Fig. [2d\)](#page-2-1). This is another version to allow ensemble **199** by using skip connection, given by **200**

$$
f^{rb} = f + (f \cdot W^1)W^2 \cdots W^N, \qquad (4)
$$

where $W^i \in \mathbb{R}^{d \times d}$, $i = \{1, 2, ..., N\}$. We keep the 202 same dimension for all hidden layers across *i*. 203

For a fair comparison, we keep the same total **204** number of additional parameters $(L \times Nd \times d)$ 205 for all four methods through adjusting the number **206** of layers for Att-MLP/FFN-MLP and hidden di- **207** mension for others. All of four methods (Fig. [2\)](#page-2-1) **208** are deployed on both vision and language towers **209** simultaneously. Fig. [1](#page-0-0) shows the performance com- **210** parison between ensemble and baselines. *-Ens **211** consistently outperforms others. With more addi- **212** tional parameters, we also observe the increasing **213** ensemble performance. *-**RB** and *-**MLP** using 214 ensemble to some extent obtain competitive results, **215** even if adding more units of parameters damages **216** the learning process for *-MLP due to no skip **217** connection inside. On-Top with no ensemble has **218** lowest performance and adding more parameters **219** fails to improve more. Based on these observations, **220** we conclude relaxing a few learnable parameters to **221** execute a light-weight ensemble is effective in effi- **222** ciently improving a pretrained large-scale model. **223**

3 Adapter Ensemble **²²⁴**

We show the effectiveness of involving an **225** adapter ensemble into a pretrained model in Sec. [2.](#page-1-0) 226 Next, we introduce a bottleneck adapter baseline, a **227** pyramid ensemble, and a well-designed multi-scale **228**

229 attention (MSA) ensemble for our comprehensive **230** validation on multiple settings. Furthermore, we

- **232** semble design to ease the parameter burden caused
- **233** by ensemble operation.

234 Bottleneck Adapter/Pyramid Ensemble

231 easily adopt LoRA [\(Hu et al.,](#page-8-6) [2021\)](#page-8-6) into our en-

 We follow the typical adapter [\(Houlsby et al.,](#page-8-3) [2019\)](#page-8-3) and insert two bottlenecks after self-attention and feedforward modules, and ensemble them together with the skip connections, given by

239
$$
f^{bo} = f + F((f \cdot W^1)W^2, (f \cdot W^3)W^4), \quad (5)
$$

240 where $W^1, W^3 \in \mathbb{R}^{d \times d_a}$ and $W^2, W^4 \in \mathbb{R}^{d_a \times d_a}$. d_a is the hidden dimension. $F(\cdot, \cdot)$ serves as an ensemble operation implemented as averaging in our case. The pyramid ensemble is based on our introduction in Fig. [2a.](#page-2-1) The same feature is en- coded several times by different sub-matrices in the pyramid projection and integrated in an ensemble **fashion.** Specifically, we set $N = 2$ to ensemble two base learners for our extensive validation.

249 Multi-Scale Attention

 Recall that the success of ensemble leveraging on diverse base learners to achieve the *crowd intelli- gence* [\(Rokach,](#page-9-9) [2010;](#page-9-9) [Ganaie et al.,](#page-8-11) [2021\)](#page-8-11). The learners' diversity can be reflected from differ- ent aspect by different fashions [\(Dietterich,](#page-8-8) [2000;](#page-8-8) [Rokach,](#page-9-9) [2010\)](#page-9-9). For example, base learners can be trained from different datasets for ensemble. They can also come from different models such as neural network, decision tree, etc. Similarly, since neural networks are commonly trained by SGD introduc- ing randomness into the trained model, repeatedly training model is also an effective way for ensem- ble [\(Li et al.,](#page-9-10) [2019;](#page-9-10) [Lee et al.,](#page-8-9) [2018\)](#page-8-9). Here, we are motivated by the Longformer [\(Beltagy et al.,](#page-8-12) [2020\)](#page-8-12) to tailor a multi-scale attention (MSA) to diversify our attention features. We propose a sim- ple ensemble-based approach to implement this strategy. Formally, self-attention in transformer is originally given by

$$
Att(Q, K, V) = \text{softmax}(\frac{QK^T}{\sqrt{d_k}})V, \quad (6)
$$

 where Q, K, V are query, key, and value vectors **after projections.** d_k is the feature dimension of K. We separate the original attention into three differ- ent scales (large, middle, and small) by applying different masks. For language tower, we define the **275** mask as

 $M_C^*[i, j] = \begin{cases} 1, & |i - j| < D_C^*, \\ 0, & |i - j| > D^*. \end{cases}$ 276 $M_C^*[i, j] = \begin{cases} -2, & -1 \le i \le 1 \\ 0, & |i - j| \ge D_C^*, \end{cases}$ (7)

where $M_C^* \in \mathbb{R}^{T_C \times T_C}$ and T_C is the number of 277 caption tokens. D_C^* is the length of scale $*$ and **278** $* \in \{L, M, S\}$ for large, middle and small scales, 279 respectively. Since the language token is a 1D **280** sequence, the mask for language is just as a banded **281** matrix (Fig. [3\)](#page-4-0). Similarly, we define the mask for **282** the image tower as **283**

$$
M_I^*[i,j] = \begin{cases} 1, & \max(|x_i - x_j|, |y_i - y_j|) < D_I^*, \\ 0, & \max(|x_i - x_j|, |y_i - y_j|) \ge D_I^*, \end{cases} \tag{8}
$$

(8) **284**

)V, (9) **294**

where x_*, y_* are the 2D visual patch positions 285 converted from the 1D token sequence given by **286** $x_k = |k/P_I|, y_k = k - x_k \cdot P_I$. P_I is the number 287 of patches in each row (or column) in a given im- **288** age. The converting step makes the mask not as **289** a banded matrix but representing different scales **290** in the original 2D visual scenario (Fig. [3\)](#page-4-0). After **291** defining M_C and M_I , we describe the MSA by 292 revising Eq. [6](#page-3-0) as 293

$$
Att^*(Q, K, V) = \text{softmax}(\frac{QK^T \odot M^*}{\sqrt{d_k}})V, \tag{9}
$$

for different scales in vision/language towers. ⊙ **295** applies mask on corresponding attention score ma- **296** trix. We ensemble the MSA features from Eq. [9](#page-3-1) **297** as **298**

$$
fens = f + [fL, fM, fS]Wens, (10)
$$

where W^{ens} is the pyramid projection to ensemble 300 f^L , f^M , and f^S for large, middle, and small scales, $\qquad \qquad$ 301 respectively. In addition, we also add a bottleneck **302** adapter after feedforward layer with our MSA to **303** further enhance network capacity. **304**

LoRA Adoption **305**

Our MSA integrates multiple branches as basic **306** learners for ensemble and may also cause addi- **307** tional parameter burden for finetuning, even if we **308** only focus on the adapter module. We simply adopt **309** a low-rank [\(Hu et al.,](#page-8-6) [2021\)](#page-8-6) design here to solve **310** this concern. We replace the ensemble operation **311** (Eq. [10\)](#page-3-2) by adding a learnable low-rank matrix on **312** each scale branch as **313**

$$
f^* = Att^*(f^*) + BA^*f^*, \tag{11}
$$

where $* \in \{L, M, S\}$ are different branches. B 315 and A^* are learnable low-rank matrices, where *B* 316 is shared for all branches. We add features of all **317** branches for ensemble as $f^{ens} = f^L + f^M + f^S$ **318** instead of using a pyramid layer. We also replace **319** the bottleneck adapter after FFN in MSA with this **320**

Figure 3: Illustration of multi-scale attention (MSA). It is specifically designed to benefit ensemble strategy by extracting diverse representations from multiple different scales. It consists of two parts: 1) MSA and 2) FFN adapter shown on the left. Different masks of large, middle, and small scales are applied on self-attention score matrix to yield different features representing corresponding scales. Given a scale, corresponding masks are constructed for vision and language shown on the right. Visual and language tokens are originally placed in 2D and 1D, respectively. A pyramid projection is used to make multi-scale ensemble and map back to original dimension. The FFN adapter is realized by typical bottleneck adapter. Blue and green parts on the left represent frozen and learnable modules.

321 low-rank structure. Detailed implementations and **322** discussions of the LoRA structure are provided in **323** the supplementary material.

³²⁴ 4 Empirical Validation

325 4.1 Vision-Language Retrieval on CLIP

326 Datasets

 We use Laion [\(Schuhmann et al.,](#page-9-12) [2021\)](#page-9-12), [Y](#page-9-13)FCC [\(Thomee et al.,](#page-9-11) [2016\)](#page-9-11), and MS-COCO [\(Lin](#page-9-13) [et al.,](#page-9-13) [2014\)](#page-9-13) for CLIP backbones. We randomly choose 0.1 million subset from Laion and YFCC to make light finetuning. We use 10K, 60K, and 5K evaluation sets for Laion, YFCC, and MS-COCO, respectively.

334 Settings

 We use CLIP (pretrained on Laion) with ViT-B/16 **and ViT-L/[1](#page-4-1)4 as backbone¹ and set three finetuning** settings: 1) Regular uses Laion for both finetuning and evaluation; 2) Zero-shot finetunes and vali- dates the pretrained model on different datasets (e.g., finetuning on Laion and validating on YFCC or MS-COCO); 3) Adaptation finetunes and vali- dates the model on the same data but different from pretraining dataset (e.g., finetuning and testing on YFCC). In addition, we also include the model eval- uated on Laion but finetuned on YFCC, which is not a common scenario but for a comprehensive validation. As image retrieval is more commonly used for practice (e.g., searching engine) compared with text retrieval, we only report image retrieval

results for real-world large-scale datasets (Laion, **350** YFCC). We still report both image and text retrieval **351** for COCO, which is a typical evaluation for this **352** small dataset. **353**

Comparison Methods 354

We include zero shot performance on CLIP (CLIP- **355** ZS) and CLIP-Adapter [\(Gao et al.,](#page-8-5) [2021\)](#page-8-5) (CLIP- **356** Ada) as two baselines. We refer the bottleneck **357** adapter/pyramid ensemble as Bo/Py, respectively. **358** Bo and Py can be used after multi-head attention **359** (MHA), feedforward (FFN), or Both. Thus, there **360** are several combinations, such as pyramid emsem- **361** ble with multi-head attention (PyMHA), bottle- **362** neck adapter with feedforward (BoFFN), etc. De- **363** tailed combinations are show in Fig. [4](#page-5-0) and Fig. [5.](#page-6-0) 364 We refer the multi-scale attention/multi-scale at- **365** tention with LoRA adoptation as MSA/MSA-Lo, **366** respectively. All comparisons are separeted into **367** two groups for a clear analysis as below. **368**

Bottleneck Adapter/Pyramid Ensemble **369**

Fig. [4](#page-5-0) shows the comparisons using ViT-B/16 CLIP. **370** Y-axis means the Top1 accuracy and X-axis rep- **371** resents the ratio of additional learnable parame- **372** ter compared with original CLIP. We conclude 1) **373** both Bo/Py achieve sizable performance gains com- **374** pared with CLIP-ZS and CLIP-Ada. 2) improving **375** Laion performance is harder compared with that **376** of YFCC (e.g., (b)/(d) have larger improvements **377** than $(a)/(c)$). 3) Py-family ensemble is generally 378 better than Bo-family. 4) FFN and MHA ensem- **379** bles have comparable results. 5) adding ensemble **380** after both MHA and FFN always outperforms each **381**

¹ https://github.com/openai/CLIP

(a) Image retrieval on ViT-B/16 CLIP: model is finetuned and tested both on Laion (regular setting) with several ensemble strategies and baselines.

(b) Image retrieval on ViT-B/16 CLIP: model is finetuned on Laion and tested on YFCC (zero-shot setting) with several ensemble strategies and baselines.

(c) Image retrieval on ViT-B/16 CLIP: model is finetuned on YFCC and tested on Laion (see Sec. [4.1\)](#page-4-2) with several ensemble strategies and baselines.

(d) Image retrieval on ViT-B/16 CLIP: model is finetuned and tested both on YFCC (adaptation setting) with several ensemble strategies and baselines.

Figure 4: Evaluation of image retrieval using ViT-B/16 CLIP. Four evaluation settings are tested based on Laion and YFCC datasets for finetuning or testing. Two ensemble strategies, bottleneck adapter and pyramid ensemble, are tested by being deployed after multi-head attention (MHA), feedforward (FFN), or both. The zero-shot evaluation using pretrained CLIP without finetuning (CLIP-ZS) and CLIP adapter (CLIP-Ada) are used as baselines. Y-axis means the Top1 retrieval accuracy and X-axis denotes the ratio of additional learnable parameter size to the original CLIP. Several ensemble designs generally outperform two baselines.

 individual one except for the setting (c). It may be caused by using YFCC to finetune but testing on Laion which is also used for pretraining. 6) Compared with CLIP, the number of additional pa- rameter for all settings is relatively small. The most expensive setting PyBoth requires around 30% ad- ditional learnable parameters but others still derive promising improvement.

 Fig. [5](#page-6-0) shows the ViT-L/14 CLIP results. Ensem- ble on larger model performs differently compared with a smaller one: 1) improving Laion perfor- mance is even harder as it originally pretrained on Laion and less improvement potential left in larger CLIP. Performance gain in (a) and (c) is smaller than ViT-B/16 and performance may drop sometimes after finetuning. 2) Ensemble on FFN is better than MHA here while they are almost comparable in ViT-B/16. Please note even if our adapter ensemble requires more additional parame- ters compared with the typical adapter (shown in x-axis in Fig. [4](#page-5-0) and Fig. [5\)](#page-6-0), our exploration uses an very limited 0.1M data, which is 1/4000 of the original 400M pretraining Laion data and a few epochs (5 in our cases). We use the 256/128 batch size for ViT-B/16 and ViT-L/14 CLIP. They are more memory efficient, unlike recently methods us- ing a much larger batch size [\(Radford et al.,](#page-9-0) [2021\)](#page-9-0). Overall, we observe significant improvements on various settings, validating our adapter ensemble is effective for vision-language retrieval based on the pretrained CLIP. The parameter efficiency solution and corresponding discussion are provided next.

Table 1: MSA evaluation on Regular, Zero-shot, and Adaptation settings using ViT-B/16 CLIP. The ratio of learnale parameter compared with backbone is in the last row. Three ablations, w/o MSA, V-MSA, and L-MSA, are provided. MSA-Lo obtains competitive performance with much less additional parameters.

Table 2: MSA evaluation on Regular, Zero-shot, and Adaptation settings using ViT-L/14 CLIP. The ratio of leranable parameter compared with backbone is in the last row. Three ablations, w/o MSA, V-MSA, and L-MSA, are provided. MSA-Lo obtains competitive performance with much less additional parameters.

MSA Performance **417**

Tab. [1](#page-5-1) [2](#page-5-2) shows the MSA results with different set- **418** tings on ViT-B/16 and ViT-L/14 backbones. CLIP- **419** ZS is the pretrained CLIP zero-shot evaluation. w/o **420** MSA is the model without MSA. V-MSA, L-MSA, **421** and MSA represent using MSA on vision only, lan- **422** guage only, both towers, respectively. MSA-Lo **423** means MSA with LoRA adoption. We test on Reg- **424**

416

(a) Image retrieval on ViT-L/14 CLIP: model is finetuned and tested both on Laion (regular setting) with several ensemble strategies and baselines.

(b) Image retrieval on ViT-L/14 CLIP: model is finetuned on Laion and tested on YFCC (zero-shot setting with several ensemble strategies and baselines.

(c) Image retrieval on ViT-L/14 CLIP: model is finetuned on YFCC and tested on Laion (see Sec. [4.1\)](#page-4-2) with several ensemble strategies and baselines.

(d) Image retrieval on ViT-L/14 CLIP: model is finetuned and tested both on YFCC (adaptation setting) with several ensemble strategies and baselines.

Figure 5: Evaluation of image retrieval using ViT-L/14 CLIP. Four evaluation settings are tested based on Laion and YFCC datasets for finetuning or testing. Two ensemble strategies, bottleneck adapter and pyramid ensemble, are tested by being deployed after multi-head attention (MHA), feedforward (FFN), or both. The zero-shot evaluation using pretrained CLIP without finetuning (CLIP-ZS) and CLIP adapter (CLIP-Ada) are used as baselines. Y-axis means the Top1 retrieval accuracy and X-axis denotes the ratio of additional learnable parameter size to the original CLIP. Several ensemble designs generally outperform two baselines.

 ular, Zero-shot, and Adaptation settings and the ra- tio of additional parameter to the original backbone is shown in the last row. Our MSA outperforms the zero-shot baseline and the ablated model for all settings. Further, employing MSA on vision tower is more effective than language tower and sometimes even better than using MSA on both. The MSA involves more additional parameter, yet, the MSA with LoRA (MSA-Lo) significantly re- duces the number of additional parameters and still obtains competitive performance. It ensures the parameter efficiency for our adapter ensemble strat- egy. Please note, herein, we mainly consider the parameter aspect for the model efficiency. It is di- rectly related to disk space instead of latency and flops which are mainly for model compression and out of the scope of this study. In addition, we also evaluate our MSA strategy with its ablated models using MS-COCO dataset on a zero-shot retrieval setting (Tab. [3\)](#page-6-1).

445 Ablation

 We make ablation analysis using MS-COCO dataset on zero-shot evaluation. Specifically, we remove different branches in our MSA to validate the effectiveness of the multi-scale strategy (Tab. [4\)](#page-6-2). As the large-scale branch represents the full atten- tion score matrix, we remove middle and small branches to observe the performance changes. We find that adding each of them benefits the model to achieve better performance and three scales work- ing together in an ensemble fashion obtains the best performance gain.

Table 3: MSA zero-shot evaluation of MS-COCO on ViT-B/16 and ViT-L/14 CLIP. The CLIP zero-shot baseline and three ablated models, without MSA (w/o MSA), vision-only MSA (V-MSA), and language-only MSA (L-MSA), are also provided.

Table 4: MSA ablation study by removing branches for different scales on zero-shot MS-COCO evaluation. Large, middle, and small scales are referred by "L", "M", and "S", respectively. Our complete MSA obtains the best performance.

4.2 Further Analysis **457**

Feature Visualization **458**

The MSA provides diverse visual and language rep- **459** resentations from different scales, which benefits **460** the ensemble strategy. To provide a better intu- **461** ition of the ensemble operation, we use PCA to **462** show the feature distribution variations between **463** MSA and w/o MSA on YFCC (Fig. [6a\)](#page-7-0). Compared 464 with model without MSA, the vision and language 465 representations are further pulled closer by MSA **466**

(a) PCA visualization of model features with and without MSA.

(b) t-SNE visualization for feature distributions of different scale models.

Figure 6: Visualization analysis of feature distributions of MSA (Fig. [6a\)](#page-7-0) and different branches (Fig. [6\)](#page-7-0). Features are extracted from ViT-L/14 CLIP finetuned on YFCC dataset.

 operation which improves the cross-modal retrieval performance. In addition, we use t-SNE to show the features from large, middle, and small scales (Fig. [6b\)](#page-7-0). They are clearly separated and provide diverse features, benefiting the ensemble strategy.

472 Due to the limited space, we leave retrieval visu-**473** alizations (see Sec. [A.5\)](#page-12-0) and backbone generaliza-**474** tion results (see Sec. [A.3\)](#page-11-0) in the appendix.

⁴⁷⁵ 5 Related Works

 Vision-language retrieval is pioneered by VSE++ [\(Faghri et al.,](#page-8-13) [2017\)](#page-8-13), using hard-negative mining. SCAN [\(Lee et al.,](#page-8-9) [2018\)](#page-8-9) designs cross-modal encoding for fine-grained features. VSRN [\(Li et al.,](#page-9-10) [2019\)](#page-9-10) uses graph and recurrent networks to reason visual semantics. Large-scale pretraining boosts the performance using massive [w](#page-8-2)eb data [\(Radford et al.,](#page-9-0) [2021\)](#page-9-0). Recent works [\(Jia](#page-8-2) [et al.,](#page-8-2) [2021;](#page-8-2) [Kim et al.,](#page-8-14) [2021;](#page-8-14) [Ramesh et al.,](#page-9-3) [2022;](#page-9-3) [Saharia et al.,](#page-9-4) [2022\)](#page-9-4) explore different strategies for pretraining such as CoCa [\(Yu et al.,](#page-9-14) [2022\)](#page-9-14) jointly [u](#page-9-2)sing retrieval and captioning loss and BLIP [\(Li](#page-9-2) [et al.,](#page-9-2) [2022\)](#page-9-2) utilizing cross-modal encoding. They significantly improves retrieval performance yet requires much more resource. Herein, we explore an efficient ensemble, combined with adapter, to further enhance the pretrained vision-language backbones for retrieval tasks.

 Ensemble leverages on diverse base learners to achieve crowd intelligence. It is seen as a weight- ing/voting strategy. Ensemble is simple yet effec- tive for traditional machine learning [\(Dietterich,](#page-8-8) [2000;](#page-8-8) [Sagi and Rokach,](#page-9-8) [2018\)](#page-9-8). It is also applied to [n](#page-9-15)eural networks [\(Ganaie et al.,](#page-8-11) [2021\)](#page-8-11). Dropout [\(Sri-](#page-9-15) [vastava et al.,](#page-9-15) [2014\)](#page-9-15) as a common way to avoid overfitting can be interpreted from an ensemble as- pect. Different applications using ensemble derive promising performance compared with individual

model [\(Li et al.,](#page-9-10) [2019;](#page-9-10) [Lee et al.,](#page-8-9) [2018\)](#page-8-9). Recent 504 model soups [\(Wortsman et al.,](#page-9-16) [2022\)](#page-9-16) manages to 505 integrate several checkpoints of a large pretrained **506** models in an ensemble fashion to boost final per- 507 formance. Different from them, our study focuses **508** on introducing ensemble into current large-scale **509** backbones, combined with adapter, to improve the **510** pretrained model in an efficient manner. **511**

Adapter is originally proposed for efficient fine- **512** tuning of language model [\(Houlsby et al.,](#page-8-3) [2019\)](#page-8-3). **513** It leverages on the large-scale pretrained mod- **514** els and relaxes a few learnable parameters which **515** is friendly to limited downstream data. Several **516** parameter-efficient strategies are designed to re- **517** lieve the finetuning difficulties of pretrained lan- **518** [g](#page-8-7)uage models [\(Hu et al.,](#page-8-6) [2021;](#page-8-6) [Karimi Mahabadi](#page-8-7) **519** [et al.,](#page-8-7) [2021;](#page-8-7) [Eichenberg et al.,](#page-8-15) [2021;](#page-8-15) [He et al.,](#page-8-16) **520** [2021\)](#page-8-16). This insight is also adopted into vision and **521** vision-language fields to benefit various pretrained **522** [m](#page-8-4)odels for several downstream applications [\(Chen](#page-8-4) **523** [et al.,](#page-8-4) [2022b;](#page-8-4) [Zhang et al.,](#page-9-17) [2021;](#page-9-17) [Sung et al.,](#page-9-18) [2022;](#page-9-18) **524** [Gao et al.,](#page-8-5) [2021;](#page-8-5) [Chen et al.,](#page-8-17) [2023;](#page-8-17) [Zheng et al.,](#page-10-0) **525** [2023;](#page-10-0) [Upadhyay et al.,](#page-9-19) [2023;](#page-9-19) [Zhang et al.,](#page-9-20) [2023a,](#page-9-20)[b\)](#page-9-21). **526** In our study, we are inspired by the adapter insight. **527** However, instead of injecting one set of learnable **528** parameters, we propose to supplement a few sets **529** of learnable parameters with diverse focuses (e.g. **530** multi-scale attention) for efficient ensemble on pre- **531** trained large-scale models. **532**

6 Conclusion **⁵³³**

Our curiosity lies in exploring how traditional **534** machine learning techniques, typically used for **535** small-sized models, can be leveraged to benefit re- **536** cent large-scale pretrained vision-language models. **537** We identify *adapter ensemble* as an ideal fusion **538** point, effectively finetuning large-scale models **539** while seamlessly integrating small-sized method- 540 ologies. Through a proof-of-concept study, we **541** validate the ensemble adapter efficacy. We then **542** demonstrate its effectiveness for vision-language **543** retrieval on different settings. Specifically, a multi- **544** scale attention (MSA) is designed to benefit ensem- **545** ble operation. Furthermore, to address the potential **546** increase in parameter requirements brought by the **547** ensemble, we integrate the LoRA for MSA, signifi- **548** cantly reducing the parameter overhead. Our em- **549** pirical results showcase the ensemble capacity to **550** enhance the performance of large-scale pretrained **551** models, achieving efficiency in data, parameter, **552** and finetuning budgets. **553**

⁵⁵⁴ 7 Limitations

555 This work proposes to explore ensemble, a typ-**556** ical machine learning technique, in current large-

557 scale model era. We mainly take CLIP backbone as

558 a study case and make evaluation on cross-modal **559** retrieval task. Due to the limited computational

574 Philip HS Torr, Xiao-Ping Zhang, and Yansong Tang.

- **560** resource, we do not include other model backbones
- **561** and tasks like language models or multi-modal **562** models. However, the proposed adapter ensem-
- **563** ble can be easily extended to other scenarios and
- **564** we leave it into our future work.
- **⁵⁶⁵** References
- **566** Iz Beltagy, Matthew E Peters, and Arman Cohan. 2020.

567 Longformer: The long-document transformer. *arXiv* **568** *preprint arXiv:2004.05150*.

- **569** Soravit Changpinyo, Piyush Sharma, Nan Ding, and
- **570** Radu Soricut. 2021. Conceptual 12M: Pushing web-**571** scale image-text pre-training to recognize long-tail

572 visual concepts. In *CVPR*. **573** Guangyi Chen, Xiao Liu, Guangrun Wang, Kun Zhang,

- **575** 2023. Tem-adapter: Adapting image-text pretraining **576** for video question answer. In *Proceedings of the* **577** *IEEE/CVF International Conference on Computer* **578** *Vision*, pages 13945–13955. **579** Jun Chen, Han Guo, Kai Yi, Boyang Li, and Mohamed
- **580** Elhoseiny. 2022a. Visualgpt: Data-efficient adapta-**581** tion of pretrained language models for image caption-
- **582** ing. In *Proceedings of the IEEE/CVF Conference* **583** *on Computer Vision and Pattern Recognition*, pages **584** 18030–18040.
- **585** Zhe Chen, Yuchen Duan, Wenhai Wang, Junjun He, **586** Tong Lu, Jifeng Dai, and Yu Qiao. 2022b. Vision **587** transformer adapter for dense predictions. *arXiv*

588 *preprint arXiv:2205.08534*. **589** Jia Deng, Wei Dong, Richard Socher, Li-Jia Li, Kai Li,

590 and Li Fei-Fei. 2009. Imagenet: A large-scale hier-**591** archical image database. In *2009 IEEE conference*

- **592** *on computer vision and pattern recognition*, pages **593** 248–255. Ieee.
- **594** Jacob Devlin, Ming-Wei Chang, Kenton Lee, and **595** Kristina Toutanova. 2018. Bert: Pre-training of deep
- **596** bidirectional transformers for language understand-**597** ing. *arXiv preprint arXiv:1810.04805*.

598 Thomas G Dietterich. 2000. Ensemble methods in ma-**599** chine learning. In *International workshop on multi-*

600 *ple classifier systems*, pages 1–15. Springer. **601** Constantin Eichenberg, Sidney Black, Samuel Wein-

602 bach, Letitia Parcalabescu, and Anette Frank. 2021. **603** Magma–multimodal augmentation of generative

604 models through adapter-based finetuning. *arXiv* **605** *preprint arXiv:2112.05253*.

- Fartash Faghri, David J Fleet, Jamie Ryan Kiros, and **606** Sanja Fidler. 2017. Vse++: Improving visual- **607** semantic embeddings with hard negatives. *arXiv* **608** *preprint arXiv:1707.05612*. **609**
- Mudasir A Ganaie, Minghui Hu, et al. 2021. En- **610** semble deep learning: A review. *arXiv preprint* **611** *arXiv:2104.02395*. **612**
- Peng Gao, Shijie Geng, Renrui Zhang, Teli Ma, **613** Rongyao Fang, Yongfeng Zhang, Hongsheng Li, **614** and Yu Qiao. 2021. Clip-adapter: Better vision- **615** language models with feature adapters. *arXiv* **616** *preprint arXiv:2110.04544*. **617**
- Junxian He, Chunting Zhou, Xuezhe Ma, Taylor Berg- **618** Kirkpatrick, and Graham Neubig. 2021. Towards a **619** unified view of parameter-efficient transfer learning. **620** *arXiv preprint arXiv:2110.04366*. **621**
- Kaiming He, Xiangyu Zhang, Shaoqing Ren, and Jian **622** Sun. 2016. Deep residual learning for image recog- **623** nition. In *Proceedings of the IEEE conference on* **624** *computer vision and pattern recognition*, pages 770– **625** 778. **626**
- Neil Houlsby, Andrei Giurgiu, Stanislaw Jastrzebski, **627** Bruna Morrone, Quentin De Laroussilhe, Andrea **628** Gesmundo, Mona Attariyan, and Sylvain Gelly. 2019. **629** Parameter-efficient transfer learning for nlp. In *In-* **630** *ternational Conference on Machine Learning*, pages **631** 2790–2799. PMLR. **632**
- Edward J Hu, Yelong Shen, Phillip Wallis, Zeyuan **633** Allen-Zhu, Yuanzhi Li, Shean Wang, Lu Wang, **634** and Weizhu Chen. 2021. Lora: Low-rank adap- **635** tation of large language models. *arXiv preprint* **636** *arXiv:2106.09685*. **637**
- Chao Jia, Yinfei Yang, Ye Xia, Yi-Ting Chen, Zarana **638** Parekh, Hieu Pham, Quoc V. Le, Yun-Hsuan Sung, **639** Zhen Li, and Tom Duerig. 2021. [Scaling up vi-](https://arxiv.org/abs/2102.05918) **640** [sual and vision-language representation learning with](https://arxiv.org/abs/2102.05918) **641** [noisy text supervision.](https://arxiv.org/abs/2102.05918) *CoRR*, abs/2102.05918. **642**
- Rabeeh Karimi Mahabadi, James Henderson, and Se- **643** bastian Ruder. 2021. Compacter: Efficient low-rank **644** hypercomplex adapter layers. *Advances in Neural* **645** *Information Processing Systems*, 34:1022–1035. **646**
- Wonjae Kim, Bokyung Son, and Ildoo Kim. 2021. Vilt: **647** Vision-and-language transformer without convolu- **648** tion or region supervision. In *Proceedings of the* **649** *38th International Conference on Machine Learning,* **650** *ICML 2021, 18-24 July 2021, Virtual Event*, volume **651** 139 of *Proceedings of Machine Learning Research*, **652** pages 5583–5594. PMLR. **653**
- Kuang-Huei Lee, Xi Chen, Gang Hua, Houdong Hu, **654** and Xiaodong He. 2018. Stacked cross attention for **655** image-text matching. In *Proceedings of the Euro-* **656** *pean conference on computer vision (ECCV)*, pages **657** 201–216. **658**

9

- **659** Junnan Li, Dongxu Li, Caiming Xiong, and Steven **660** Hoi. 2022. Blip: Bootstrapping language-image pre-**661** training for unified vision-language understanding **662** and generation. *arXiv preprint arXiv:2201.12086*.
- **663** Kunpeng Li, Yulun Zhang, Kai Li, Yuanyuan Li, and **664** Yun Fu. 2019. Visual semantic reasoning for image-**665** text matching. In *Proceedings of the IEEE/CVF in-***666** *ternational conference on computer vision*, pages **667** 4654–4662.
- **668** Tsung-Yi Lin, Michael Maire, Serge Belongie, James **669** Hays, Pietro Perona, Deva Ramanan, Piotr Dollár, **670** and C Lawrence Zitnick. 2014. Microsoft coco: **671** Common objects in context. In *European confer-***672** *ence on computer vision*, pages 740–755. Springer.
- **673** Ron Mokady, Amir Hertz, and Amit H Bermano. 2021. **674** Clipcap: Clip prefix for image captioning. *arXiv* **675** *preprint arXiv:2111.09734*.
- **676** Norman Mu, Alexander Kirillov, David Wagner, and **677** Saining Xie. 2022. Slip: Self-supervision meets **678** language-image pre-training. In *Computer Vision–* **679** *ECCV 2022: 17th European Conference, Tel Aviv, Is-***680** *rael, October 23–27, 2022, Proceedings, Part XXVI*, **681** pages 529–544. Springer.
- **682** Zhiliang Peng, Li Dong, Hangbo Bao, Qixiang Ye, and **683** Furu Wei. 2022. Beit v2: Masked image model-**684** ing with vector-quantized visual tokenizers. *arXiv* **685** *preprint arXiv:2208.06366*.
- **686** Alec Radford, Jong Wook Kim, Chris Hallacy, Aditya **687** Ramesh, Gabriel Goh, Sandhini Agarwal, Girish Sas-**688** try, Amanda Askell, Pamela Mishkin, Jack Clark, **689** et al. 2021. Learning transferable visual models **690** from natural language supervision. In *International* **691** *Conference on Machine Learning*, pages 8748–8763. **692** PMLR.
- **693** Alec Radford, Karthik Narasimhan, Tim Salimans, Ilya **694** Sutskever, et al. 2018. Improving language under-**695** standing by generative pre-training.
- **696** Aditya Ramesh, Prafulla Dhariwal, Alex Nichol, Casey **697** Chu, and Mark Chen. 2022. Hierarchical text-**698** conditional image generation with clip latents. *arXiv* **699** *preprint arXiv:2204.06125*.
- **700** Lior Rokach. 2010. Ensemble-based classifiers. *Artifi-***701** *cial intelligence review*, 33(1):1–39.
- **702** Omer Sagi and Lior Rokach. 2018. Ensemble learning: **703** A survey. *Wiley Interdisciplinary Reviews: Data* **704** *Mining and Knowledge Discovery*, 8(4):e1249.
- **705** Chitwan Saharia, William Chan, Saurabh Saxena, Lala **706** Li, Jay Whang, Emily Denton, Seyed Kamyar Seyed **707** Ghasemipour, Burcu Karagol Ayan, S Sara Mahdavi, **708** Rapha Gontijo Lopes, et al. 2022. Photorealistic **709** text-to-image diffusion models with deep language **710** understanding. *arXiv preprint arXiv:2205.11487*.
- Christoph Schuhmann, Richard Vencu, Romain Beau- **711** mont, Robert Kaczmarczyk, Clayton Mullis, Aarush **712** Katta, Theo Coombes, Jenia Jitsev, and Aran Komat- **713** suzaki. 2021. Laion-400m: Open dataset of clip- **714** filtered 400 million image-text pairs. *arXiv preprint* **715** *arXiv:2111.02114*. **716**
- Nitish Srivastava, Geoffrey Hinton, Alex Krizhevsky, **717** Ilya Sutskever, and Ruslan Salakhutdinov. 2014. **718** Dropout: a simple way to prevent neural networks **719** from overfitting. *The journal of machine learning* **720** *research*, 15(1):1929–1958. **721**
- Yi-Lin Sung, Jaemin Cho, and Mohit Bansal. 2022. **722** Vl-adapter: Parameter-efficient transfer learning for **723** vision-and-language tasks. In *Proceedings of the* **724** *IEEE/CVF Conference on Computer Vision and Pat-* **725** *tern Recognition*, pages 5227–5237. **726**
- Yoad Tewel, Yoav Shalev, Idan Schwartz, and Lior Wolf. **727** 2022. Zerocap: Zero-shot image-to-text generation **728** for visual-semantic arithmetic. In *Proceedings of* **729** *the IEEE/CVF Conference on Computer Vision and* **730** *Pattern Recognition*, pages 17918–17928. **731**
- Bart Thomee, David A Shamma, Gerald Friedland, Ben- **732** jamin Elizalde, Karl Ni, Douglas Poland, Damian **733** Borth, and Li-Jia Li. 2016. Yfcc100m: The new **734** data in multimedia research. *Communications of the* **735** *ACM*, 59(2):64–73. **736**
- Uddeshya Upadhyay, Shyamgopal Karthik, Massimil- **737** iano Mancini, and Zeynep Akata. 2023. Probvlm: **738** Probabilistic adapter for frozen vison-language mod- **739** els. In *Proceedings of the IEEE/CVF International* **740** *Conference on Computer Vision*, pages 1899–1910. **741**
- Mitchell Wortsman, Gabriel Ilharco, Samir Ya Gadre, **742** Rebecca Roelofs, Raphael Gontijo-Lopes, Ari S Mor- **743** cos, Hongseok Namkoong, Ali Farhadi, Yair Carmon, **744** Simon Kornblith, et al. 2022. Model soups: averag- **745** ing weights of multiple fine-tuned models improves **746** accuracy without increasing inference time. In *In-* **747** *ternational Conference on Machine Learning*, pages **748** 23965–23998. PMLR. **749**
- Jiahui Yu, Zirui Wang, Vijay Vasudevan, Legg Ye- **750** ung, Mojtaba Seyedhosseini, and Yonghui Wu. 2022. **751** Coca: Contrastive captioners are image-text founda- **752** tion models. *arXiv preprint arXiv:2205.01917*. **753**
- Renrui Zhang, Rongyao Fang, Peng Gao, Wei Zhang, **754** Kunchang Li, Jifeng Dai, Yu Qiao, and Hongsheng **755** Li. 2021. Tip-adapter: Training-free clip-adapter **756** for better vision-language modeling. *arXiv preprint* **757** *arXiv:2111.03930*. **758**
- Yi Zhang, Ce Zhang, Xueting Hu, and Zhihai He. 2023a. **759** Unsupervised prototype adapter for vision-language **760** models. *arXiv preprint arXiv:2308.11507*. **761**
- Yi Zhang, Ce Zhang, Zihan Liao, Yushun Tang, and Zhi- **762** hai He. 2023b. Bdc-adapter: Brownian distance co- **763** variance for better vision-language reasoning. *arXiv* **764** *preprint arXiv:2309.01256*. **765**

 Kecheng Zheng, Wei Wu, Ruili Feng, Kai Zhu, Jiawei Liu, Deli Zhao, Zheng-Jun Zha, Wei Chen, and Yu- jun Shen. 2023. Regularized mask tuning: Uncover- ing hidden knowledge in pre-trained vision-language models. In *Proceedings of the IEEE/CVF Interna- tional Conference on Computer Vision*, pages 11663– 11673.

⁷⁷³ A Supplementary Material

774 A.1 Supplementary MS-COCO Performance

 We supplement the MSA-Lo and zero-shot text retrieval performance on MS-COCO dataset using both ViT-B/16 and ViT-L/14 CLIP. Specifically, we augment the image retrieval table (Tab.2 in the main draft) with MSA-Lo in Tab. [8,](#page-11-1) and we newly provide text retrieval results in Tab. [5.](#page-11-2) We also provide text retrieval ablation study in Tab. [6.](#page-11-3) We observe the consistent improvement compared with baselines and different ablated models and draw the similar conclusions as our main draft.

MS-COCO Zero-Shot Text Retrieval									
Backbone	CLIP.	w/o MSA	V-MSA	T-MSA	MSA	MSA-Lo			
$ViT-B/16$	517	53.5	53.5	54.5	54.9	54.7			
$ViT-I$ $/14$	56 1	56.7	57.8	59 2	59.5	594			

Table 5: MSA zero-shot text retrieval evaluation of MS-COCO on ViT-B/16 and ViT-L/14 CLIP.

Table 6: MSA ablation study by removing branches for different scales on zero-shot MS-COCO text retrieval.

785 A.2 Implementation Details

 We provide more implementation details for our adapter ensemble exploration. We run our exper- iments on 8 V100 GPUs. For bottleneck adapter used in our experiments, we consistently set 128 as hidden dimension. To maintain the near-identity initialization for finetuning the pretrained model, we initialize the values of weights using 0/1e-3 for means/variances values without bias for the bot- tleneck adapter. For the pyramid structure of our MSA, we initialize the sub-matrix, corresponding to the large-scale branch, as identity matrix and the other values using 0/1e-3 for means/variances. For LoRA structure in MSA-Lo, we add it paral- lel to the attention module for large-scale branch, and after the attention module for middle-scale and small-scale branches, setting 16 as low-rank hid- den dimension. The outputs of three branches are added as an ensemble operation. In addition, we also use the ensemble strategy for the LoRA struc- ture after FFN. Specifically, we use a shared matrix A and three different matrices B, and three outputs

are added together as an ensemble operation. For **807** all backbones used in our experiments, we follow **808** their original finetuning configurations to conduct **809** our adapter ensemble finetuning, except for the **810** available finetuning data and epochs (always 0.1M **811** available data and 5 epochs in our study). **812**

Herein, we also discuss the MSA-Lo implemen- **813** tation for the potential latency issue caused by **814** ensemble operations. We simply use the LoRA 815 structure after FFN as an example. Since several 816 different B matrices need multiple forward compu- **817** tations, we concatenate them along with the feature **818** dimension the achieve the parallel computation. In **819** this way, multiple branches of the ensemble can **820** be processed efficiently. The time consumption **821** comparison of the FFN ensemble operation in one **822** MSA-Lo block is shown in Tab. [7.](#page-11-4) "One-branch" **823** means a typical LoRA baseline. "Three-branch" **824** means the ensemble in three-time forward fashion. **825** "Three-branch (parallel)" is the parallel implemen- **826** tation of the ensemble. Results are based on 10 **827** runs average. We find leveraging on parallel imple- **828** mentation, the ensemble strategy can be achieved **829** in an efficient fashion without too much additional **830** latency cost. 831

One-branch	Three-branch	Three-branch (parallel)
1.34e-4	$3.52e-4$	1.58e-4

Table 7: Time consumption comparison of LoRA in one FFN block of MSA-Lo.

Table 8: MSA zero-shot image retrieval evaluation of MS-COCO on ViT-B/16 and ViT-L/14CLIP.

A.3 Backbone Generalization **832**

Besides of the CLIP architecture, we further **833** consider other backbones to validate the general- **834** izability of the proposed adapter ensemble strat- **835** egy. Specifically, SLIP [\(Mu et al.,](#page-9-7) [2022\)](#page-9-7) uses **836** self-supervised learning to help vision-language **837** pretraining. It further improve the cross-modal **838** modeling capacity compared with CLIP. We fol- **839** low its original paper to use a linear probing to **840** [e](#page-8-18)valuate image classification on Imagenet [\(Deng](#page-8-18) **841** [et al.,](#page-8-18) [2009\)](#page-8-18). We also use a 0.1M Imagenet subset **842**

Image classification on SLIP (ViT/B16)							
Pretraining Data	Zero-shot	Linear	w/o MSA	w/MSA			
CC3M	23.0	475	51.0	51.4			
CC12M	40.7	55.8	633	64.3			

Table 9: Image classification results of SLIP based on CC3M and CC12M pretraining dataset. We compare our MSA with zero-shot, linear baselines and the ablated w/o MSA model. Our MSA shows the generalizability on SLIP backbone.

Table 10: Image classification results of Beit V2 based on ViT-B and ViT-L backbones. We compare our MSA with zero-shot, linear baselines and the ablated w/o MSA model. Our MSA shows the generalizability on Beit V2 backbone.

 to finetune the pretrained backbone 5 epochs for our ensemble strategy. Tab. [9](#page-12-1) shows the compar- isons of MSA ensemble with baselines on SLIP with different pretraining datasets (e.g., CC3M and **CC12M** [\(Changpinyo et al.,](#page-8-19) [2021\)](#page-8-19)). The zero-shot is evaluated by using prompt template while others using typical label prediction.

 Beit V2 [\(Peng et al.,](#page-9-22) [2022\)](#page-9-22) is a backbone only for vision domain. Herein, we also include it to test the generalizability of our ensemble strategy on visual only task. We use a 0.1M Imagenet subset to finetune the pretrained backbone 5 epochs. Since the Beit V2 is pretrained in self-supervised fashion, it cannot perform zero-shot evaluation without fine- tuning. Similar to SLIP, we make a linear probing classifier as a baseline. Tab. [10](#page-12-2) shows the compar- isons of MSA ensemble with baselines on different backbones. We observe the proposed adapter en- semble is a general finetuning strategy for different backbones.

863 A.4 More Feature Distribution Visualizations

 We provide more feature distribution visualiza- tions for our multi-scale attention (MSA) on dif- ferent settings. The Regular setting finetunes and evaluates the pretrained model on Laion dataset us- ing CLIP backbone. Since it is a more challenging setting and its performance gain is not as much as other settings, we do not observe significant feature variations on this setting. Therefore, we mainly

show Adaptation and Zero-shot settings for fea- **872** ture distribution visualization. Like our main draft, **873** we show image and text feature distributions from **874** models w/ and w/o MSA (each subfigure (a)), and **875** image feature distributions of different scales (each **876** subfigure (b)). Fig. [9](#page-15-0) shows the zero-shot setting 877 visualization on ViT-L/14 CLIP. Fig. [10](#page-15-1) shows the **878** adaptation setting visualization on ViT-B/16 CLIP. **879** Fig. [11](#page-15-2) shows the zero-shot setting visualization **880** on ViT-B/16 CLIP. We find the adaptation setting **881** shows significant feature variations, which indi- **882** cates the features from different modalities become **883** closer with each other and improve the retrieval **884** performance. **885**

A.5 Retrieval Visualizations **886**

Retrieval Visualization **887**

We show retrieval results to compare the models **888** w/ and w/o MSA. In Fig. [7,](#page-14-0) MSA obtains the cor- **889** rect Recall@1 image retrieval in the first five sam- **890** ples but fails in the last. We observe compared **891** with w/o MSA, MSA retrieval better matches with 892 the query at different scales. For example, in the **893** first example, MSA retrieves the image with cor- **894** rect cat object and street corner background while **895** w/o MSA retrieves house and chair as background **896** which are incorrect. In Fig. [8,](#page-14-1) MSA successes in 897 the first five samples and fails in the last. Similarly, **898** MSA matches the query with more details for text **899** retrieval. For example, another standing woman on **900** the edge of the image is captured by our method **901** in the first example. The small zebra instead of **902** giraffe is accurately attended in the second. The **903** water background in both the second and third ex- **904** amples are captured by MSA but missed by w/o **905 MSA.** 906

We show more cross-modal retrieval visualiza- **907** tions on MS-COCO dataset using our model (w/ **908** MSA) and w/o MSA. We show text retrieval visual- **909** izations in Fig. [12,](#page-16-0) where the image query is shown **910** on the left and text retrieval with green color means **911** the groundtruth retrieval. Our model obtains the **912** correct results on Recall@1 in subfigure (a), (b), **913** and (c), where our MSA captures more fine-grained **914** patterns from different scales. For example, MSA **915** finds the "brick" element in (b) and the "bathroom" **916** element in (c) for cross-modal matching in a small **917** scale but w/o MSA ignores them. w/o MSA derives **918** the correct results on Recall@1 in subfigure (d), (e), **919** and (f). However, MSA also finds reasonable re- **920** trievals. For example, in (d), our MSA captures **921**

Figure 7: MS-COCO zero-shot image retrieval examples for ViT-B/16 CLIP backbone. MSA and w/o MSA represent if the model uses our multi-scale strategy. Caption queries are shown on the top and we show the Top1 image retrieval of both MSA and w/o MSA models. Our MSA obtains correct retrieval for the first five examples (in green) but fails at the last one (in red).

MSA: A woman sitting on a bench and a women standing waiting for the bus. **w/o MSA:** A women is sitting on a stool on a sidewalk.

MSA: A giraffe and a zebra are on a grassy field by the water. **w/o MSA:** An adult and a younger giraffe are facing the same direction.

MSA: Person standing near the water with a red disc in hand. **w/o MSA:** A man has a frisbee in his hand and is standing up

MSA: Urban downtown city center with a bicyclist and pedestrians. **w/o MSA:** The passage between the modern buildings is used by bicycle riders.

MSA: A person on her cell phone in a large crowd of people. **w/o MSA:** A young woman looking at her cell phone.

MSA: A double decker tour bus with the logo "SBS Transit". **w/o MSA:** A purple and white city bus pulling up to the curb.

Figure 8: MS-COCO zero-shot text retrieval examples for ViT-B/16 CLIP backbone. MSA and w/o MSA represent if the model uses our multi-scale attention strategy. Image queries are shown on the top and we show the Top1 text retrieval of both MSA and w/o MSA models. Our MSA obtains correct retrieval for the first five examples (in green) but fails at the last one (in red).

(a) Distribution visualization of model w/ and w/o MSA.

(b) Distribution visualization of different scales feature.

Figure 9: YFCC feature visualization on Zero-shot setting using ViT-L/14 CLIP.

(a) Distribution visualization of model w/ and w/o MSA.

(b) Distribution visualization of different scales feature.

Figure 10: YFCC feature visualization on Adaptation setting using ViT-B/16 CLIP.

Figure 11: YFCC feature visualization on Zero-shot setting using ViT-B/16 CLIP.

w/ MSA:
Top1: Some purple benches and a bird on it.
Top2: A bird sitting on top of a park bench.
Top3: A nice bird standing on a bench gazing at.
Top5: A areson sitting on a bench near many birds.
Top5: Man on park bench s w/o MSA:
Top1: A person sitting on a bench near many birds.
Top2: A bird sitting on top of a park bench.
Top2: Some purple benches and a bird on it.
Top4: A nice bird standing on a bench gazing at.
Top5: A small bird sitti

(a)

w/ MSA:
Ton1: Lad Top1: Lady standing in a retro pink and turquoise bathroom.
Chip2: Lady is standing in pastel colored bathroom in front of the bathtub and there are
christmas lights hanging up outside of the doorway.
Top3: Woman in high h w/o MSA: Top1: A little blonde girl standing in front of a fridge.

Top2: A lady dressed in khakis standing in a bathroom next to the sink. Top3: Woman in high heels in a crumbling room. Top4: **Lady standing in a retro pink and turquoise bathroom.** Top5: A woman in a yellow bathroom is holding a camera.

w/MSA:
Top1: An interesting kitchen renovation with brick and wood.
Top2: A wood paneled kitchen with dining table and tiled floor.
Top3: Wooden central counter-top in a tiled kitchen.
Top5: A very old fashioned kitchen wi

w/o MSA:
fop1: Wo
י^י A w Top1: Wooden central counter-top in a tiled kitchen.
Top2: A wood paneled kitchen with dining table and tiled floor.
Top3: Kitchen view with brick framework around the sink and by the oven
Top4: An interesting kitchen reno

(b)

w/ MSA:
Top1: A BMW motorcycle is parked on display in this field.
Top2: A man looking at motorcycles in a field.
Top4: A man looks at a motorcycle amongst others in a field.
Top4: A man looks at a motorcycle amongst other op5: A World War Military Motocycle on display at an e

w/o MSA<mark>:</mark>
Top1: <mark>A m</mark>
Ton2: Peoi **Iooking at motorcycles in a field.**
stand around an antique motorcycle in a gra Top2: People stand around an antique motorcycle in a grassy area.
Top3: A man looks at a motorcycle amongst others in a field.
Top4: A BMW motorcycle is parked on display in this field.
Top5: A group of people look at the

(d)

(c) w/ MSA: Top1: A kitchen with hardwood floors and a sink and oven. Top2: A kitchen that has a tile floor, a refrigerator, a microwave, and a toaster. Top3: The small kitchen with the spacious counters is clean. Top4: **An unadorned kitchen with oven, sink, cabinets, microwave, wood floor, and a** ...**...ww.**
pp5: The Top5: The small kitchen has large cabinets and two stoves. v/o MSA
ion1: **An** Top1: **An unadorned kitchen with oven, sink, cabinets, microwave, wood floor, and a**

ind two stoves.
ounters is clean Top3: The small kitchen with the spacious counters is clean. Top4: A kitchen that has a tile floor, a refrigerator, a microwave, and a toaster. Top5: A kitchen with hardwood floors and a sink and oven.

(e)

p2: The
m³: The

w/ MSA:
Top1: View from gate of jet connected to jet way for passengers to board or deplane.
Top2: An airplane sits outside, ready at the airport.
Top3: A Malaysian airplane that is stationary on the runway.
Top5: A person

w/O MSA:
Top1: An airplane sits outside, ready at the airport.
Top2: A person at an airport terminal with planed in view outside of the windows.
Top3: View from gate of jet connected to jet way for passengers to board or d

(f)

Figure 12: Text retrieval visualization on MS-COCO using w/ and w/o MSA models. Our model (w/ MSA) obtains the correct retrieval on Recall@1 in (a), (b), and (c). w/o MSA derives the correct retrieval on Recall@1 in (d), (e), and (f). Image query is shown on the left and text with green color means the groundtruth retrieval result.

A large clock tower is yellow and white.

(a)

An elderly person in a kitchen cooking food.

(b)

An office kitchen with open windows and no food.

(c)

Figure 13: Image retrieval visualization on MS-COCO. We compare the models w/ and w/o MSA strategy. For these three samples, our model (w/ MSA) obtains the correct retrieval on Recall@1. Text query is shown on the top and image retrieval with green box means the groundtruth retrieval result.

Altered photograph of very shiny motor cycles in a field.

(a)

A display of vintage animal toys on the floor.

(b)

Close up of a white kitchen setup with a coffee maker on counter.

(c)

Figure 14: Image retrieval visualization on MS-COCO. We compare the models w/ and w/o MSA strategy. For these three samples, w/o MSA derives the correct retrieval on Recall@1. Text query is shown on the top and image retrieval with green box means the groundtruth retrieval result.