# HoneyBee: Progressive Instruction Finetuning of Large Language Models for Materials Science

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### Abstract

We propose an instruction-based process for trustworthy data curation in materials 1 2 science (MatSci-Instruct), which we then apply to finetune a LLaMa-based language model targeted for materials science (HoneyBee). MatSci-Instruct helps 3 4 alleviate the scarcity of relevant, high-quality materials science textual data available in the open literature, and HoneyBee is the first billion-parameter language 5 model specialized to materials science. In MatSci-Instruct we improve the trust-6 worthiness of generated data by prompting multiple commercially available large 7 language models for generation with an Instructor module (e.g. Chat-GPT) and ver-8 9 ification from an independent Verifier module (e.g. Claude). Using MatSci-Instruct, we construct a dataset of multiple tasks and measure the quality of our dataset along 10 multiple dimensions, including accuracy against known facts, relevance to materials 11 science, as well as completeness and reasonableness of the data. Moreover, we iter-12 atively generate more targeted instructions in a finetuning-evaluation-feedback loop 13 leading to progressively better performance for our finetuned HoneyBee models. 14 15 Our evaluation on the MatSci-NLP benchmark shows HoneyBee's outperformance 16 of existing language models on materials science tasks and iterative improvement in successive stages of instruction refinement. We study the quality of HoneyBee's 17 language modeling through automatic evaluation and analyze case studies to further 18 understand the model's capabilities and limitations.<sup>1</sup> 19

# 20 1 Introduction

Natural language processing (NLP) holds considerable promise in expediting the discovery and 21 understanding of novel material systems, which will be crucial for addressing contemporary societal 22 challenges like climate change and drug discovery. The potential impact of NLP in materials science 23 is chiefly underpinned by the vast reservoir of materials science knowledge contained in text-based 24 resources, such as textbooks, scientific journals, and assorted reports. In spite of the prospective 25 richness of materials science textual data available from diverse sources, a number of challenges 26 continue to significantly hinder the effective digestion and comprehension of relevant materials 27 science textual knowledge [Song et al., 2023, Kononova et al., 2021]. Some of the challenges relate to 28 the general availability of data, while other relate to the ability to effectively process domain-specific 29 information, such as chemical notation and data contained in figures and tables. This scarcity of 30 31 readily accessible, high-quality text corpora suitable for efficient language model training has in turn slowed the development of comprehensive language models capable of spanning the extensive 32 conceptual range within the highly interdisciplinary materials science field. 33

While data availability remains an ongoing challenge in applying modern NLP tools for materials science, recent advancements have led to the emergence of large language models (LLMs) proficient

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<sup>&</sup>lt;sup>1</sup>We plan to release all relevant code, datasets, and finetuned models upon publication.

in handling general language tasks that concurrently demonstrate substantial aptitude in areas like
chemistry and materials science [Bran et al., 2023, Boiko et al., 2023]. Such advancements provide
the potential to harness the implicit knowledge encapsulated in these models, which have been trained
on vast text corpora spanning a broad range of subjects, to generate accessible, instruction-based
datasets for specialized domains like materials science.

41 Yet, while we can generate targeted instruction-

based data to make applying NLP for materials 42 science more accessible, the quality of these in-43 structions requires rigorous evaluation before be-44 ing utilized for language model training. This is 45 particularly salient in the context of complex sci-46 entific applications like materials science, which 47 encompasses a wide range of subfields that to-48 gether describe the properties and behavior of 49 matter that make up materials systems. This 50 need for trustworthy and pertinent instructions 51 necessitates the creation of a robust process to 52 validate the quality of instructions for down-53 stream applications. 54

Aside from data scarcity in scientific domains, 55 another significant impediment to the applica-56 tion of NLP in materials science is the limited 57 presence of specialized language models that in-58 corporate both in-depth materials science knowl-59 edge and a robust understanding of general lan-60 guage. The bulk of today's available language 61 models for materials science are built on the 62 BERT architecture [Gupta et al., 2022, Walker 63 et al., 2021, Huang and Cole, 2022], whose per-64 formance in general NLP tasks has been su-65 perseded by several more advanced language 66 model architectures in recent years [Touvron 67 et al., 2023, Scao et al., 2022, Brown et al., 2020, 68 Chung et al., 2022]. This highlights the need 69 for the development of more capable language 70 models in materials science that can accommo-71 72 date a broader knowledge base while effectively

73 performing pertinent materials science language tasks.

#### **MatSci-Instruct Example**

**Instruction:** How was the ME coupling down to the 2D limit verified in the study?

**Input:** [...] In particular, stripy antiferromagnets often exhibit broken crystal and magnetic symmetries, which may bring about the magnetoelectric (ME) effect and enable the manipulation of intriguing properties and functionalities by electrical means. [...] This work reports the ME effect in the 2D stripy antiferromagnetic insulator CrOCl down to a single layer. By measuring the tunneling resistance of CrOCl on the parameter space of temperature, magnetic field, and applied voltage, we verified the ME coupling down to the 2D limit and unraveled its mechanism. [...]

**Output:** The ME coupling down to the 2D limit was verified by measuring the tunneling resistance of CrOCl on the parameter space of temperature, magnetic field, and applied voltage in the study.

Accuracy 90/100	<b>Relevance</b> 100/100		
<b>Completeness</b>	Reasonableness		
90/100	90/100		

Figure 1: Example instruction generated by the MatSci-Instruct process used to train the Honey-Bee language model that contains general language knowledge and is specialized in materials science. The relevant text to correctly answer the instruction is highlighted. MatSci-Instruct follows a structured instruction generation template and ensures instruction quality through an iterative verification loop described in Section 3.1.

This paper seeks to concurrently address the previously outlined challenges of trustworthy instruction generation and capable, open-source language models for materials science. We propose MatSci-Instruct to generate reliable, instruction-based data from large language models. This data is then used to train HoneyBee, a billion-parameter specialized materials science language model based on the LLaMa architecture [Touvron et al., 2023]. The key contributions of our research are as follows:

• MatSci-Instruct - A Two-Step Framework for Trustworthy Instruction Generation: We 79 propose a universally applicable methodology suited for instruction generation in scientific 80 domains. MatSci-Instruct generates specialized instructions using a two-step framework -81 Generation and Verification. In the Generation step, an instructor model (Chat-GPT<sup>2</sup>) creates 82 domain-specific instructions focused on materials science. During the Verification step, 83 these instructions are cross-verified by a separate verifier model (Claude <sup>3</sup>) for accuracy and 84 relevance as shown by the example in Figure 1. Moreover, we conduct human evaluations 85 that suggest good alignment of our generated MatSci-Instruct Dataset with human experts 86

<sup>&</sup>lt;sup>2</sup>https://platform.openai.com/docs/api-reference/chat

<sup>&</sup>lt;sup>3</sup>https://docs.anthropic.com/claude/docs

across several dimensions: accuracy against known facts, relevance to materials science,
 and the completeness and reasonableness of the language model output. <sup>4</sup>

• HoneyBee – A High-Performance LLaMa-Based Model Progressively Trained via 89 MatSci-Instruct: Utilizing the MatSci-Instruct two-step framework, we apply a Progressive 90 Refinement-Feedback strategy to finetune a LLaMa model, culminating in the HoneyBee 91 model. In this strategy, the HoneyBee model's performance on MatSci-Instruct instructions 92 guides subsequent instruction generation. This iterative process results in further refined 93 instructions, ensuring the progressive acquisition of specialized knowledge by the model. 94 We evaluate the performance of HoneyBee using a materials science language benchmark 95 [Song et al., 2023], and thoroughly analyze its strengths and limitations. 96

# 97 2 Related Work

Large Language Models Large Language Models (LLMs) have gained substantial attention from 98 the NLP research and wider technology communities due to their remarkable proficiency in language 99 understanding and generative tasks. Pioneers like GPT-3 [Brown et al., 2020], with its 175 billion 100 parameters, demonstrated the capacity to capture complex linguistic patterns, and subsequent models 101 like Gopher Rae et al. [2022], GLM Zeng et al. [2022], PaLM Chowdhery et al. [2022], BloomZ Scao 102 et al. [2022], Chincilla [Hoffmann et al., 2022], and OPT Zhang et al. [2022] continue to drive 103 progress. Commercial models like ChatGPT OpenAI [2022] and Claude Bai et al. [2022] further 104 expand the landscape of performant LLMs. Compared to commercial LLMs, LLaMa Touvron 105 et al. [2023] stands out for its greater accessibility and good performance, offering an efficient and 106 accessible platform for domain-specific finetuning in various domains, including materials science. 107

**NLP for Materials Science** NLP applications within materials science are constrained by the 108 dual shortage of openly accessible, high-quality data and high-performing language models. While 109 strides have been made towards enhancing data availability [Song et al., 2023, Olivetti et al., 2020, 110 Kononova et al., 2021, Gao et al., 2020], the primary focus has been on generating expert-annotated 111 data for finetuning BERT-based models, which lack the advanced capabilities of contemporary LLMs. 112 For a detailed review of the performance of various BERT models on materials science language 113 tasks, we refer the reader to Song et al. [2023]. The prevailing scarcity of data and specialized LLMs 114 in materials science motivates us to propose MatSci-Instruct, an instruction-based method for data 115 creation, and HoneyBee, a specialized LLM tailored for materials science. 116

**Instruction Finetuning LLMs** LLMs consistently demonstrate profound improvements when 117 finetuned for specialized tasks, as seen with biomedical models like ChatDoctor Li et al. [2023] and 118 HuaTuo Wang et al. [2023]. While the large model size of LLMs poses a challenge for effective 119 finetuning, several efficient methods have been proposed Mangrulkar et al. [2022], such as P-120 Tuning Liu et al. [2021], Prefix Tuning Li and Liang [2021], Prompt Tuning Lester et al. [2021], and 121 LoRA Hu et al. [2021]. Among these, LoRA utilizes low-rank matrix decomposition to limit the 122 additional parameters required for fine-tuning. For data curation in specialized fields, instructions-123 based fine-tuning extracts detailed data directly from LLMs [Ouyang et al., 2022], reducing human 124 annotation effort and providing scalable solutions. For example, Alpaca [Taori et al., 2023, Wang 125 et al., 2022] exploits LLMs to generate synthetic instructions for model finetuning. However, LLM-126 synthesized data still suffer from data quality issues, which is especially critical for science domains. 127 To address these concerns, we design a generation-verification strategy for trustworthy data generation 128 and a progressive refinement-feedback strategy for finetuning LLMs on specialized instructions. 129

### 130 **3 Method**

Our work consists of two interacting components: 1) *MatSci-Instruct*: a trustworthy instruction generation framework for obtaining scientific textual data from LLMs; 2) *HoneyBee*: a materials science LLM progressively finetuned from LLaMA [Touvron et al., 2023] using MatSci-Instruct generated data. We connect HoneyBee to MatSci-Instruct with a refinement-feedback loop to

<sup>&</sup>lt;sup>4</sup>We plan to release all MatSci-Instruct data upon publication given its high-quality and materials science relevance.

progressively generate new data and finetune HoneyBee based on its training status as shown in

136 Figure 2.



Figure 2: MatSci-Instruct and HoneyBee training workflow. We start with a series of predetermined structured instruction generation prompts that contain both topic and task descriptions. The Instructor (Chat-GPT) then generates a series of instructions that are then passed through the Verifier (Claude). The instructions that receive high scores with the Verifier are used for progressive finetuning in HoneyBee. The Evaluator (GPT-4) then evaluates HoneyBee's outputs and poor instructions that lead to bad performance are subsequently regenerated from the beginning creating an instruction generation feedback loop for greater instruction quality.

### 137 3.1 MatSci-Instruct

The challenges of cost-effectively generating 138 high-quality instruction data are not unique to 139 materials science, but rather, pervasive across 140 various scientific domains. Our proposed solu-141 tion, MatSci-Instruct, is an innovative, domain-142 agnostic methodology that leverages the power 143 of large language models (LLMs) to gener-144 ate specialized instruction sets for subsequent 145 model finetuning. 146

Depicted in Figure 2, *MatSci-Instruct* employs a
trifecta of distinct LLMs. The *Instructor* model
crafts instructions using structured prompts en-

capsulating topic and task details. The *Verifier* 

MatSci-Instruct Statistics	
# instructions for first stage	52,658
# open-ended instructions	9,931
# content-based instructions	39,170
# instructions with empty input	3,557
# instructions for subsequent stages	3,020
avg. input length (in words)	920.8
avg. instruction length (in words)	76.5
avg. output length (in words)	211.2

Table 1: Statistics of instruction data generated by MatSci-Instruct spanning diverse instruction types.

then evaluates these instructions against accuracy, relevance, completeness, and reasonableness crite-151 ria, ensuring only dependable instructions advance to fine-tuning. Finally, the Evaluator assesses the 152 output of the fine-tuned model along similar dimensions as the Verifier. Poorly executed instructions 153 are flagged for further refinement, verification, and evaluation. Ultimately, we generate 52k instruc-154 tions spanning content-based and open-ended tasks, some of which include empty inputs. Table 1 155 shows that the number of instructions gets reduced in later stages of the progressive-refinement-156 feedback loop mainly due to greater emphasis on quality. A full example of iteratively finetuning 157 with MatSci-Instruct is shown in Appendix B. 158

### 159 3.1.1 Instructor Module

The *Instructor* module of our framework, embodied by ChatGPT, performs the generation of material science instruction data. This module employs a concise instruction schema composed of three elements: <instruction>, <input>, and <output>. The <instruction> outlines the task using a standardized NLP task set, the <input> contains the relevant data, and the <output> generates a pertinent response to the task.

We query ChatGPT with this schema, populating the <instruction> and <input> fields with a selection of 20 NLP tasks and 20 materials science subtopics shown in Figure 3, to ensure task and content diversity. These selections are manually verified before they're utilized in structured prompts for generating detailed fine-tuning instructions. Detailed lists of prompts and materials science topics are available in Appendix D and Appendix G.

Following the schema, we engage in a random 170 sampling process, selecting five candidate topics 171 and five tasks, then applying them to the instruc-172 tion prompts for data generation. For robustness, 173 we direct ChatGPT to flag in the <output> field 174 any instruction that cannot be processed based 175 solely on the <input> and <instruction>. To 176 control task difficulty and boost diversity, we 177 occasionally limit the length of <instruction> 178 or <output>. 179

To enhance the diversity and robustness of the 180 instruction generation process, our design incor-181 porates several additional strategies. One such 182 strategy employs an open-ended task where the 183 <input> field remains intentionally blank, al-184 lowing the model to generate responses without 185 pre-defined constraints. This approach tests the 186 generative abilities of the model under uncer-187 tainty and promotes more varied outcomes. An-188 other key strategy is content-based instruction 189 190 generation. Instead of relying on predefined topics and tasks, this approach utilizes real-world 191

electronic materials carbon nanotubes construction materials ceramics semiconductors magnetic materials bio-inspired materials nanomaterials polymers superconductors glass smart materials energy materials self-healing materials composites graphene metalsbiomaterials optical materials

Figure 3: Wordcloud of diverse materials science topics contained in the MatSci-Instruct instructions dataset.

materials science literature. We select a random open-access paper from the materials science category on arXiv and extract a specific fragment to fill the <input> field. This method not only diversifies the instruction set but also aligns the generated instructions more closely with practical, domain-specific contexts.

To conclude the instruction generation process, ChatGPT compiles ten representative instruction samples from the options above. These samples are formatted in a standardized JSON format, readily available for use in the subsequent steps of the *MatSci-Instruct* process. This approach ensures a comprehensive and diverse set of instructions, which in turn contributes to a robust and adaptable language model during finetuning.

#### 201 3.1.2 Verifier Module

Generating high-quality instruction data can be challenging, and the presence of low-quality data in finetuning a model can lead to misleading results. To address this issue, MatSci-Instruct employs a two-step framework by incorporating a *Verfier* model to improve the trustworthiness of generated data. Specifically, we use Claude as the *Verifier* to ensure the quality of the instructions generated by the *Instructor* (Chat-GPT).

Our evaluation is based on four dimensions: accuracy, relevance, completeness, and reasonableness. Similar to the instruction generation, instruction verification is based on a standard set of prompts, shown in Appendix G, which include precise definitions of the evaluation criteria along with the complete instructions generated by the *Instructor*. Concretely, the evaluation criteria are:

- Accuracy: The accuracy of the instruction data is evaluated by comparing it with known facts or credible sources. This involves checking the accuracy of any claims or statements made in the text and verifying that they are supported by evidence.
- **Relevance**: The relevance of the instruction data is assessed by determining how directly it relates to materials science. This is achieved by analyzing the text's content and ascertaining its applicability to the field.
- Completeness: Completeness is an essential dimension to ensure that the instructions comprehensively address the given task, inclusive of all sub-questions. This involves considering both depth and conciseness to ensure that the output is complete and comprehensive.
- **Reasonableness:** The reasonableness of the instruction data is about logical consistency. This dimension ensures no evident contradictions exist within the generated data.

The verifier module (i.e., Claude) evaluates the instruction data based on the four dimensions 222 mentioned above and identifies any low-quality data that falls below a predetermined threshold. This 223 rigorous verification ensures the use of high-quality data in model fine-tuning, thereby improving 224 the overall efficacy and accuracy of the system. Our verification protocol is designed for modularity 225 and extensibility. This modular design facilitates the incorporation of additional agents into a multi-226 agent system, each assessing instruction data based on the pre-defined criteria. The final decision 227 228 on data quality is then reached through a consensus mechanism, augmenting the robustness and comprehensiveness of the verification process, ensuring high-quality data for model fine-tuning. 229

### 230 **3.1.3 Evaluator Module**

The Evaluator model assesses the output of the HoneyBee language model along similar evaluation 231 dimensions as the Verifier, namely: accuracy, completeness, and reasonableness. We no longer 232 consider relevance at this stage since the verification step filtered out all instructions with little 233 relevance to materials science. In this paper, we use GPT-4<sup>5</sup> [OpenAI, 2023] as the Evaluator model, 234 which provides an additional independent LLM that is different, and potentially more advanced, than 235 the Instructor and Verifier LLMs. The Evaluator helps with the identification of poorly formulated 236 instructions according to the performance of the HoneyBee model. These instructions are then passed 237 back to the Instructor for additional iterative refinement. 238

### 239 3.2 HoneyBee

Upon obtaining a set of trustworthy instruction data from the *Verifier*, we can use the generated instruction dataset to finetune a LLaMa-based model for a specific domain. In this work, we finetune a model for materials science using a progressive finetuning technique to convert a standard LLaMa model to a specialized model in material science: HoneyBee.

### 244 3.2.1 Progressive Instruction Finetuning

In our approach, as depicted in Figure 2, we harness a progressive instruction finetuning methodology that relies on a feedback loop. This loop enables the progressive generation of new instruction data that takes into account the evaluated model's performance on different criteria, tasks, and topics.

Instructions leading to suboptimal performance by HoneyBee are returned to the *Instructor*, triggering the creation of more detailed and targeted instructions for future iterations. This iterative process also includes instruction evaluation by the *Instructor*, enabling the generation of more precise instruction data for subsequent rounds. For instance, should HoneyBee score low on 'Completeness' for a particular instruction, we inform the *Instructor* of this deficiency, providing the criteria for 'Completeness'. Consequently, the *Instructor* generates enhanced instructions to improve HoneyBee's completeness in responding to similar tasks.

Our progressive finetuning process for the language model is based on LoRA [Hu et al., 2021], where 255 we create and train a separate set of low-rank matrices  $\psi$  that bypass the need for changing the 256 actual parameters of the language model  $\phi$ . Since  $\psi$  consists of low rank-matrices, it is significantly 257 more parameter and compute efficient for model finetuning. In our finetuning process, we assume 258 that the *Instructor* + *Verifier* models act as the teacher model and the HoneyBee model acts as the 259 student model. In this setting, the student model will continually learn from the instruction data and 260 undergo testing during the learning process, allowing us to monitor its performance in real-time. The 261 finetuning process continues for a set number of epochs with early stopping if the student model 262 converges to a given loss value. Next, we evaluate the response quality of the student model for 263 any given instruction with the Evaluator. In our progressive finetuning strategy, we monitor the 264 evaluation scores after each stage, denoted as  $S_{val_{best}}$ , and terminate the process when the  $S_{val_{best}}$ 265 stops yielding significant improvements. In our experiments in Section 4, we perform three stages of 266 progressively finetuning both the instructions and the HoneyBee model parameters. 267

<sup>&</sup>lt;sup>5</sup>https://openai.com/research/gpt-4

### **268 4 Experiments**

Our experiments mainly focus on assessing the ability of MatSci-Instruct to create high-quality, trustworthy instructions relevant to materials science, as described in Section 3.1, along with understanding the capabilities and limitations of HoneyBee.

#### 272 4.1 MatSci-Instruct Evaluation

A critical piece of the MatSci-Instruct pipeline 273 is the independent verification and evaluation 274 of the instructions generated by the Instructor 275 model. Given the importance of the Verifier and 276 Evaluator in ensuring the quality of the instruc-277 278 tion data, and the fact that understanding materials science textual data requires deep domain 279 understanding, we conducted an evaluation with 280 human experts on the trustworthiness of the in-281 structions generated by MatSci-Instruct. In our 282 human expert evaluation, we asked two graduate 283 students majoring in material science to evalu-284 ate 50 randomly selected instruction data along 285 the same evaluation dimensions as the Verifier 286 module (accuracy, relevance, completeness, rea-287 sonableness). Next, we conducted a verification 288 and evaluation of the same 50 instructions using 289 Claude and GPT-4 respectively. We measure 290 291 agreement between the human experts and the LLMs by calculating Spearman and Pearson cor-292 relation coefficients between the scores along 293 each of the dimensions. 294



Figure 4: Correlation between human evaluation and LLM evaluation (Claude, GPT-4). Both Spearman and Pearson correlation coefficients consistently exceed 0.6 between both methods indicating good agreement.

As shown in Figure 4, both Claude and GPT-4 had correlation coefficients higher than 0.6 for each dimension and an overall coefficient as high as 0.8 when compared to manual evaluation. This indicates a decent level of consistency between manual and automatic evaluations for a random sample of instructions, which gives us confidence in the ability of MatSci-Instruct to generate trustworthy, high-quality instructions for HoneyBee finetuning.

### 300 4.2 HoneyBee Task Evaluation

The results in Table 2 show that HoneyBee gets progressively better with each iteration of MatSci-Instruct for both HoneyBee-7b and HoneyBee-13b. HoneyBee without verification also outperforms LLaMA and Alpaca LLMs of equal size indicating the value of the progressive finetuning approach on specialized materials science instructions. HoneyBee-13b closely matches, and in some exceeds, the evaluation performance of Chat-GPT which served as the Instructor. Notably, HoneyBee-13b is  $\sim 10x$  more parameter efficient than GPT-3.

#### 307 4.3 HoneyBee Performance on MatSci-NLP

In addition to evaluating the performance of HoneyBee based on LLM assessment in Section 4.2, we 308 investigate the performance of HoneyBee on MatSci-NLP, a broad benchmark of materials science 309 NLP tasks [Song et al., 2023]. We study HoneyBee's performance under two settings: 1. Low-data 310 training setting as applied in the original paper by Song et al. [2023]; 2. Zero-shot performance 311 on MatSci-NLP tasks shown in Table 3. MatSci-NLP contains a wide range of text data related to 312 material science that spans a wide range of NLP tasks and types of materials, including but not limited 313 to fuel cells, inorganic materials, glasses, and superconductors. For evaluation on MatSci-NLP, we 314 follow the same convention as in Song et al. [2023] where we report both macro-F1 and micro-F1 315 scores in Table 3. 316

Model	Accuracy	cy Completeness Reasonable				
Zero-Shot LLMs						
Chat-GPT	92.55	98.74	99.84			
Llama-7b	78.81	90.36	97.64			
Llama-13b	84.22	91.22	98.33			
Alpaca-7b	Alpaca-7b 81.35 92.01		98.49			
Alpaca-13b	92.17	98.80				
HoneyBee without Verfication						
HoneyBee-7b	85.42	93.24	98.49			
HoneyBee-13b	88.76	93.99	98.93			
HoneyBee with MatSci-Instruct						
HB-7b-Stage1	88.81	93.42	99.07			
HB-7b-Stage2	89.99	94.84	99.64			
HB-7b-Stage3	91.95	95.78	99.90			
HB-13b-Stage1	94.17	94.42	99.40			
HB-13b-Stage2	96.42	95.42	99.78			
HB-13b-Stage3	98.11	97.00	99.89			

Table 2: Evaluation results for various LLMs based on performance on MatSci-Instruct data along with accuracy, completeness, and reasonableness performed by GPT-4. HoneyBee performs better with verification and gets progressively better with each iterative stage of MatSci-Instruct approaching and exceeding the performance of Chat-GPT in the case of HoneyBee-13b. We highlight scores that outperform Chat-GPT.

Low-Resource Finetuning: The results on low-resource finetuning in Table 3 show that both 317 HoneyBee-7b and HoneyBee-13b perform best overall while outperforming MatBERT [Walker et al., 318 2021] and MatSciBERT [Gupta et al., 2022] among all tasks in MatSci-NLP with the exception 319 of named entity recognition. MatBERT and MatSci-BERT are both BERT models pretrained on 320 different corpora of materials science textual data. While the domain-specific pretraining significantly 321 boosts the score of both models for MatSci-NLP tasks, HoneyBee shows better performance without 322 requiring pretraining on materials science textual data. This is a significant advantage of HoneyBee 323 and MatSci-Instruct given that large, high-quality corpora of materials science text are generally 324 difficult to obtain as described in Section 2. 325

**Zero-Shot Performance:** The zero-shot performance results in the lower part of Table 3 show 326 that HoneyBee outperforms both LLaMa and Alpaca models. Notably, HoneyBee-7b-Stage1, which 327 corresponds to only one round of MatSci-Instruct, outperforms both LLaMa and Alpaca models for 328 equal (7b) and larger (13b) parameter sizes. The data in Table 3 further confirms the results from 329 Table 2 that show progressive improvement with each stage of MatSci-Instruct where both HoneyBee-330 7 and Honey13b exhibit clear improvement in iterative stages. We also observe that model parameter 331 332 size matters for zero-shot performance with 13b parameter models outperforming 7b for HoneyBee and Alpaca, both of which are instruction finetuned models. Interestingly, LLaMA-7b generally 333 outperforms LLaMa-13b across most MatSci-NLP tasks and in the overall score on MatSci-NLP. 334

### 335 4.4 HoneyBee — Case Study

We perform a case study to further understand the capabilities and limitations of the various LLMs we studied, including HoneyBee, Alpaca, and Chat-GPT. Our case study results, with full data and text included in Appendix F, show that HoneyBee-13b generally produces outputs of the same quality as Chat-GPT while other models generally produce lower quality outputs. This provides additional weight to the results in Section 4.1 indicating that HoneyBee-13b can match the quality of Chat-GPT after multiple rounds of progressive refinement-feedback finetuning using MatSci-Instruct. Table 3: Low-resource finetuning and zero-shot evaluation results for various HoneyBee on MatSci-NLP tasks. For low-resource finetuning, we follow the method described in Song et al. [2023]. HoneyBee outperforms all models across the vast majority of tasks for both low-resource finetuning and zero-shot settings. MatSci-Instruct's Progressive-Refinement-Feedback method improves HoneyBee's performance for each consecutive stage. We report macro-F1 (top) and micro-F1 (bottom) scores highlighting the **best**, second-best and third-best performing LLM. Honey-7b and HoneyBee-13b outperform both ChatGPT and Claude and are generally competitive with GPT-4.

Model	Named Entity	Relation	Event Argument	Paragraph	Synthesis Action	Sentence	Slot	Overall
	Recognition	Extraction	Extraction	Classification	Retrieval	Classification	Filling	(All Tasks)
		I	ow-Resource Finet	uning on MatSci	-NLP			
MatSciBERT	0.707	0.791	0.436	0.719	0.692	0.914	0.436	0.671
[Gupta et al., 2022]	0.470	0.507	0.251	0.623	0.484	0.660	0.194	0.456
MatBERT	0.875	0.804	0.451	0.756	0.717	0.909	0.548	0.722
[Walker et al., 2021]	0.630	0.513	0.288	0.691	0.594	0.614	0.273	0.517
HoneyBee-7b	0.787	0.852	0.551	0.741	0.792	0.991	0.529	0.749
	0.644	0.518	0.389	0.641	0.617	0.711	0.391	0.559
HoneyBee-13b	0.860	0.921	0.653	0.761	0.853	0.998	0.554	0.80
	0.748	0.578	0.486	0.658	0.662	0.743	0.401	0.611
			Zero-Shot LL	M Performance				
LLaMA-7b	0.042	0.094	0.160	0.279	0.052	0.096	0.142	0.208
[Touvron et al., 2023]	0.064	0.013	0.042	0.218	0.013	0.087	0.010	0.064
LLaMA-13b	0.057	0.109	0.042	0.233	0.039	0.079	0.138	0.1
[Touvron et al., 2023]	0.066	0.016	0.054	0.189	0.009	0.074	0.008	0.059
Alpaca-7b	0.031	0.053	0.029	0.375	0.179	0.180	0.139	0.141
[Taori et al., 2023]	0.018	0.037	0.009	0.294	0.129	0.180	0.039	0.101
Alpaca-13b	0.053	0.016	0.111	0.310	0.442	0.375	0.110	0.202
[Taori et al., 2023]	0.046	0.035	0.072	0.237	0.278	0.334	0.015	0.145
Chat-GPT	0.063	0.232	0.204	0.433	0.300	0.320	0.368	0.274
[OpenAI, 2022]	0.052	0.145	0.203	0.450	0.183	0.318	0.280	0.233
Claude	0.063	0.232	0.195	0.442	0.280	0.329	0.393	0.276
[Bai et al., 2022]	0.048	0.143	0.169	0.467	0.177	0.326	0.305	0.234
GPT-4	0.189	0.445	0.453	0.679	0.743	0.788	0.502	0.543
[OpenAI, 2023]	0.121	0.432	0.353	0.522	0.677	0.689	0.483	0.468
		Z	ero-Shot HoneyBee	with MatSci-In	struct			
HoneyBee-7b-Stage1	0.173	0.138	0.196	0.380	0.592	0.416	0.292	0.301
	0.148	0.120	0.096	0.207	0.208	0.334	0.105	0.174
HoneyBee-7b-Stage2	0.243	0.199	0.237	0.440	0.612	0.467	0.344	0.363
	0.166	0.145	0.123	0.301	0.289	0.345	0.176	0.221
HoneyBee-7b-Stage3	0.267	0.245	0.290	0.490	0.688	0.490	0.393	0.409
	0.190	0.178	0.189	0.343	0.342	0.365	0.289	0.271
HoneyBee-13b-Stage1	0.369	0.301	0.389	0.500	0.701	0.512	0.467	0.463
	0.256	0.224	0.265	0.379	0.378	0.402	0.334	0.320
HoneyBee-13b-Stage2	0.391	0.367	0.437	0.576	0.765	0.557	0.508	0.514
	0.299	0.290	0.303	0.411	0.401	0.461	0.379	0.363
HoneyBee-13b-Stage3	0.429	0.412	0.481	0.611	0.801	0.589	0.578	0.557

# 342 5 Conclusion

In this work, we introduce MatSci-Instruct, an iterative instruction generation method for materials 343 science, and HoneyBee, a state-of-the-art large language model for materials science. To the best of 344 our knowledge, HoneyBee is the first billion-parameter scale language model that is specialized in 345 materials science. HoneyBee outperforms current state-of-the-art general language models (LLaMa, 346 Alpaca) and materials science BERT-based language models (MatBERT, MatSciBERT) in various 347 materials science NLP with HoneyBee's performance improvement with each successive instruction 348 generation. MatSci-Instruct provides a valuable framework for generating instructions to progressively 349 finetune LLMs where instructions from an Instructor are verified by a Verifier before being used 350 for finetuning. Additionally, poor instructions are refined based on feedback from an Evaluator 351 leading to higher quality instructions and model performance for the desired specialization as shown 352 by the results in Section 4. Future work also remains in augmenting materials science LLMs with 353 external knowledge, such as known scientific facts, which can further improve an LLM's reliability 354 and interpretability. 355

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# 471 Appendix

# 472 A Limitations

While HoneyBee outperforms current state-of-the-art methods in various materials science NLP 473 tasks, it remains unclear how well HoneyBee would generalize the tasks outside of the MatSci-NLP 474 benchmark and MatSci-Instruct instructions to solve complex materials science challenges. Such 475 challenges may include creating a synthesis recipe for a new materials or explaining the behavior of a 476 materials system based on fundamental scientific concepts. Materials science remains a wide-ranging 477 and complex field with many open questions remaining on the true capability of HoneyBee and 478 other LLMs to understand important materials science concepts. MatSci-Instruct also relies on the 479 availability of highly performant LLMs to serve as the Instructor, Verifier and Evaluator which can be 480 limited in their own capabilities. Furthermore, our work focuses primarily on the materials science 481 domain and additional studies are required to understand how applicable it would be to additional 482 scientific domains. 483

# **484 B MatSci-Intruct Example**

485	An example of the general procedure for MatSci-Instruct is as follows:
486	1. Instruction Generation and Finetuning
487	• Data Generation: Instructor creates training data $(data_train_0[1-10])$ .
488	• Verification: Veifier removes low-scored data (data_train_0[1,2])
489 490	• Fine-Tuning: LLAMA-7b becomes HoneyBee-7b-stage-1 with data (data_train <sub>0</sub> [3 – 10]).
491	2. Evaluation
492 493	• HoneyBee-7b-stage-1 performs inference on new test data ( <i>data_test</i> <sub>0</sub> ), crafted by the Instructor, with outputs evaluated by the Evaluator.
494	3. Feedback Response
495 496	• Response Generation: HoneyBee-7b-stage-1 generates responses for the test data ( <i>data_test</i> <sub>0</sub> ).
497	• Scoring Responses: The Evaluator spots weak responses ( <i>data_test</i> <sub>0</sub> [7,8]).
498	4. Instruction Adaptation and Improvement
499 500	• Focusing on Weaknesses: The Instructor crafts more training ( <i>data_train</i> <sub>1</sub> ) and test data ( <i>data_test</i> <sub>1</sub> ), focusing on issues identified by the Evaluator.
501	• Fine-Tuning Stage 2: HoneyBee-7b-stage-1 refines to HoneyBee-7b-stage-2.
502	• Re-Evaluation: HoneyBee-7b-stage-2 is tested with new test data ( $data\_test_1$ ).
503	This process is repeated in an iterative feedback loop for progressive refinement as shown in Figure 2.

# 504 C Experimental Details

We finetune LLaMA models with 7B and 13B parameters using instructions from MatSci-Instruct. As shown in Table 2, we analyze the effect of various rounds of iterative instruction feedback. For finetuning, we use the AdamW optimizer with an initial learning rate of 1e-4 on 2 A100 GPUs for LLaMa-7b and 4 A100 GPUs for LLaMa-13b. We assign a batch size of 4 to each GPU with a gradient accumulation step of 32 and a maximum sequence length of 2048.

# **510 D Instruction Generation Details**

The full list of materials science topics and NLP tasks sampled for MatSci-Instruct instruction are included in Table 4 and Table 5. We sample a broad range of materials science topics and NLP that are generally balanced yielding a set of instructions that includes specialized materials science text as well as general language capabilities.

MatSci-Instruct Topics				
Bio-inspired Materials	221			
Self-Healing Materials	209			
Magnetic Materials	195			
Smart Materials	190			
Metals	189			
Semiconductors	188			
Carbon Nanotubes	184			
Polymers	182			
Thermoelectric Materials	180			
Optical Materials	180			
Superconductors	179			
Graphene	177			
Glass	174			
Energy Materials	166			
Composites	165			
Electronic Materials	163			
Construction Materials	158			
Ceramics	155			
Nanoaterials	153			
biomaterials	149			

Table 4: MatSci-Instruct samples a diverse set of materials science topic areas.

Table 5: MatSci-Instruct samples a diverse set of NLP tasks to generate instructions including general NLP tasks to main general language capabilities.

MatSci-Instruct NLP Tasks					
Machine Reading Comprehension	224				
Question Answering	224				
Open-Ended Generation	214				
Classification	203				
Information Extraction	201				
Relation Extraction	193				
Analysis	189				
Topic Modeling	188				
Writing	180				
Commonsense Reasoning	172				
Code Interpretation	172				
Event Extraction	167				
Grammar Correction	165				
Clustertin	162				
Named Entity Recognition	160				
Text Simplification	153				
Summarization	149				
Sentiment Analysis	149				
Part-of-Speech Tagging	146				
Editing	145				

# 515 E Quality of Instructions for each MatSci-Instruct Stage

The preset threshold for filtering low-quality data is an average score of 95 or above for all four dimensions and a score of no less than 90 for each individual dimension. As shown in Table 6, after filtering, the remaining instruction data exhibits significantly improved quality, approaching full

Score/Stage		1	2	3
avg. Accuracy	before	92.45	92.20	92.56
	after	100.0	100.0	100.0
avg. Relevance	before	86.01	87.18	86.96
	after	100.0	100.0	100.0
avg. Completeness	before	88.80	86.13	89.33
	after	99.98	99.99	99.99
avg. Reasonableness	before	97.75	98.21	98.16
	after	100.0	100.0	100.0

Table 6: Claude evaluation scores of MatSci-Instruct before and after removing low-quality instruction data

### 520 F LLM Case Study

521 This section provides the details for the case study in Section 4.4.

### 522 F.1 Open-ended Instruction

We analyze the response of different LLMs to the following open-ended query: *Analyze the properties and applications of electronic materials.* 

• Chat-GPT: Electronic materials are a class of materials that possess unique properties 525 that make them suitable for use in electronic devices and technologies. These materials 526 are characterized by their electrical conductivity, optical properties, magnetic properties, 527 and thermal properties. The electrical conductivity of electronic materials is a critical 528 property for materials used in electronic devices such as transistors, diodes, and integrated 529 circuits. Semiconductors such as silicon and germanium are widely used in microprocessors 530 and solar cells because of their unique electrical properties. Optical properties are also 531 important for electronic materials used in displays, touch screens, and flat panel displays. 532 Optoelectronic materials like indium tin oxide (ITO) and gallium nitride (GaN) possess 533 unique optical properties that make them ideal for use in these devices. Magnetic properties 534 are important for electronic materials used in magnetic sensors, hard drives, and magnetic 535 memory. Magnetic materials like iron, cobalt, and nickel possess unique magnetic properties 536 that make them ideal for use in these devices. Lastly, thermal properties are important for 537 electronic materials used in high-temperature electronic devices such as microprocessors 538 and power electronics. Materials like diamond and silicon carbide possess unique thermal 539 properties that make them ideal for use in these devices. 540

• HoneyBee-13b: Electronic materials have unique properties such as electrical conductivity, 541 optical properties, magnetic properties, and thermal properties, making them suitable for 542 use in electronic devices. Semiconductors such as silicon and germanium are used in 543 microprocessors and solar cells due to their unique electrical properties. Optoelectronic 544 materials like indium tin oxide (ITO) and gallium nitride (GaN) possess unique optical 545 properties, ideal for use in displays, touch screens, and flat panel displays. Magnetic 546 materials like iron, cobalt, and nickel are used in magnetic sensors, hard drives, and magnetic 547 memory due to their unique magnetic properties. Materials like diamond and silicon carbide 548 possess unique thermal properties ideal for use in high-temperature electronic devices. The 549 properties of electronic materials are critical for modern electronic devices, and research is 550 ongoing to discover new materials with even more unique properties. 551

HoneyBee-7B: Electronic materials possess unique properties that make them suitable for various applications. They exhibit high electrical conductivity, low resistivity, and excellent thermal conductivity. These materials are commonly used in electronic devices such as transistors, integrated circuits, and sensors. They also find applications in optoelectronics, energy storage devices, and flexible electronics. The properties of electronic materials enable efficient charge transport and facilitate the flow of electrons, making them essential components in modern technology.

- Alpaca-13b: Electronic materials are materials that have electrical properties and can be 559 used in a variety of applications. These materials can be classified into two categories: 560 conductors and insulators. Conductors are materials that allow the flow of electricity, while 561 insulators are materials that do not allow the flow of electricity. Electronic materials can 562 be used in a variety of applications, such as in semiconductors, transistors, and diodes. 563 Semiconductors are materials that have properties that are intermediate between those of 564 conductors and insulators and are used in the manufacture of integrated circuits. Electronic 565 materials are also used in the manufacture of solar cells, which convert light into electricity. 566
- Alpaca-7b: Electronic materials are materials that have the ability to control the flow 567 of electrons. These materials are used in a variety of applications, such as electronics, 568 optics, and sensors. Examples of electronic materials include semiconductors, which are 569 materials that can be doped to control the flow of electrons, and metallic materials, which 570 are conductors of electricity. Other examples include superconductors, which are materials 571 that can conduct electricity with zero resistance, and dielectrics, which are materials that 572 can store electricity. Electronic materials are used in a variety of applications, such as 573 electronics, optics, and sensors. 574

ChatGPT is the most comprehensive and relevant answer as it provides a detailed analysis of the 575 properties and applications of electronic materials, covering electrical conductivity, optical properties, 576 magnetic properties, and thermal properties. Chat-GPT also gives specific examples of materials and 577 their applications in various electronic devices. HoneyBee-13b is the second-best answer as it also 578 covers the key properties of electronic materials and their applications in various electronic devices. 579 HoneyBee-13b provides specific examples of materials and their unique properties that make them 580 ideal for use in different applications. However, HoneyBee-13b's output lacks specificity and does 581 not provide enough detail to fully address the question. HoneyBee-7b gives the third-best answer as 582 it gives a general overview of the unique properties of electronic materials and their applications in 583 various electronic devices. However, HoneyBee-7b's output lacks specificity and does not provide 584 enough detail. Alpaca-13b is the fourth-best answer as it provides a basic understanding of electronic 585 materials and their use in various applications but lacks detail and specificity. Alpaca-7b is the least 586 comprehensive and relevant answer as it provides a limited understanding of electronic materials 587 and their use in various applications. Alpaca-7b's output does not provide enough detail or specific 588 examples to support its claims. 589

### 590 F.2 Content-based Instruction

Next, we analyze the response of different LLMs to the following query: What are the exciton 591 interactions responsible for? with the following <input>: "Inspired by the recent experimental 592 observation of strongly coupled polaritons in a Moire heterobilayer, we study a model of dipole-593 interacting excitons localized on sites of a lattice and coupled to planar cavity photons. We calculate 594 the phase diagram of this system by computing fluctuations around the mean field and determining 595 the stability of the excitation spectrum. We find that the transition from the normal state to a polariton 596 condensate is intermediated by a series of ordered states at partial fillings of the exciton lattice, 597 stabilized by the exciton interactions. In particular we predict a supersolid phase in which a polariton 598 condensate coexists with spatial order." The replies from the different LLMs are below: 599

600 601 602 • **Chat-GPT:** The exciton interactions are responsible for stabilizing the ordered states at partial fillings of the exciton lattice, and in particular for predicting a supersolid phase in which a polariton condensate coexists with spatial order.

- HoneyBee-13b: The exciton interactions are responsible for stabilizing the ordered states at partial fillings of the exciton lattice, which are intermediates between the normal state and a polariton condensate. In particular, they are responsible for the predicted supersolid phase, where a polariton condensate coexists with spatial order.
- **HoneyBee-7b:** The exciton interactions are responsible for stabilizing the ordered phases that mediate the transition to the polariton condensate, including the supersolid phase.
- Alpaca-13b: The exciton interactions studied in the given text are responsible for the phase transition from the normal state to a polariton condensate, as well as the supersolid phase
- Alpaca-7b: The exciton interactions are responsible for the transition from the normal state to a polariton condensate, as well as the stabilization of the supersolid phase

The answers from HoneyBee-13b and Chat-GPT are better compared to the outputs of the other models. HoneyBee-13b and Chat-GPT directly address the question by mentioning the ordered states at partial fillings of the exciton lattice, which are intermediates between the normal state and a polariton condensate, and the predicted supersolid phase. The answers also use language that closely matches the language used in the original text, indicating a good understanding of the material.

# 618 G LLM Prompts

In this section we provide some of the prompts used for the different modules in MatSci-Instruct. We plan to make the full list of prompts, data and code available upon publication.

- "Evaluate accuracy of the given text by comparing with known facts or credible sources. This involves checking the accuracy of any claims or statements made in the text, and verifying that they are supported by evidence. The next line directly provide the text. {output\_text}
   Please return a score ranging from 0 to 100, with 0 being the worst and 100 being the best.
   Please use the strictest grading standard. The score should be in JSON format with a field name of 'score'. You should not output any other information or text."
- "Evaluate relevance of the given text by considering how directly the text is related to materials science. The next line directly provide the text. {output\_text} Please return a score ranging from 0 to 100, with 0 being the worst and 100 being the best. Please use the strictest grading standard. The score should be in JSON format with a field name of 'score'. You should not output any other information or text."
- "Evaluate completeness of the given text (including input, instruction and output) by assessing how fully the output addresses the instruction, including all sub-questions. Consider both depth and conciseness. The next 3 lines directly provide the input, instruction and output respectively. {input\_text} {instruction} {output\_text} Please return a score ranging from 0 to 100, with 0 being the worst and 100 being the best. Please use the strictest grading standard. The score should be in JSON format with a field name of 'score'. You should not output any other information or text."
- "Evaluate reasonableness of the given text by considering how logically consistent the content is, with no obvious contradictions. The next line directly provide text. {output\_text}
  The score should range from 0 to 100, with 0 being the worst and 100 being the best. Please use the strictest grading standard. The score should be in JSON format with a field name of 'score'. You should not output any other information or text."

# 644 H Broader Impact

Both HoneyBee and MatSci-Instruct can help promote research on NLP for material science both for
applying and training LLMs for practical applications in the field. The general frameworks described
in this paper can also be transferred to other scientific domain, such biology, physics and chemistry,
where trustworthy textual data is required.

649 Our research does not raise major ethical concerns.