# **ELLE: Efficient Lifelong Pre-training for Emerging Data**

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#### Abstract

Current pre-trained language models (PLM) are typically trained with static data, ignoring that in real-world scenarios, streaming data of var-004 ious sources may continuously grow. This requires PLMs to integrate the information from all the sources in a lifelong manner. Although this goal could be achieved by exhaustive pretraining on all the existing data, such a process is known to be computationally expensive. To this end, we propose ELLE, aiming at efficient lifelong pre-training for emerging data. Specifically, ELLE consists of (1) function preserved 013 model expansion, which flexibly expands an existing PLM's width and depth to improve the efficiency of knowledge acquisition; and (2) pre-trained domain prompts, which disentangle the versatile knowledge learned during pre-017 training and stimulate the proper knowledge for downstream tasks. We experiment ELLE with streaming data from 5 domains on BERT and GPT. The results show the superiority of ELLE over various lifelong learning baselines in both 023 pre-training efficiency and downstream performances. All the data, model parameters and 024 codes used will be available upon publication.

#### 1 Introduction

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Pre-trained language models (PLM) have broken the glass ceiling for various natural language processing (NLP) tasks (Radford et al., 2018; Devlin et al., 2019; Han et al., 2021). However, most of the existing PLMs are typically trained with a static snapshot of the web information, ignoring that in real-world scenarios, streaming data from various sources may continuously grow, e.g., the gatherings of literary works (Zhu et al., 2015), news articles (Zellers et al., 2019) and science papers (Lo et al., 2020). In addition, the distribution of incoming data may also vary over time. This requires PLMs to continually integrate the information from all the sources to grasp the versatile structural and semantic knowledge comprehensively, so that PLMs could utilize the proper knowledge to boost the performance in various downstream tasks.

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A simple yet effective way to integrate all the information is to pre-train PLMs on all the existing data exhaustively. However, such a process is computationally expensive (Schwartz et al., 2019), especially under the information explosion era when tremendous data is continually collected. This leaves us an important question: with limited computational resources, how can we efficiently adapt PLMs in a lifelong manner? We formulate it as the *efficient lifelong pre-training* problem. Similar to conventional lifelong learning, PLMs are expected to continually abosrb knowledge from emerging data, and in the meantime, mitigate the catastrophic forgetting (McCloskey and Cohen, 1989) on previously learned knowledge.

In addition, efficient lifelong pre-training poses two new challenges: (1) efficient knowledge growth. When the overall data scale accumulates to a certain magnitude, packing more knowledge into a fixed-sized PLM becomes increasingly hard, which significantly impacts the efficiency of PLM's knowledge growth. This is because larger PLMs show superior sample efficiency and training efficiency over their smaller counterparts (Kaplan et al., 2020; Li et al., 2020) due to overparameterization (Arora et al., 2018). That is, larger PLMs learn knowledge in a more efficient way. Therefore, timely model expansions are essential for efficient knowledge growth; (2) proper knowledge stimulation. During pre-training, various knowledge from all domains is packed into PLMs hastily. However, a certain downstream task may largely require the knowledge from a specific domain. Thus it is essential for PLMs to disentangle different kinds of knowledge and properly stimulate the needed knowledge for each task.

In this paper, we propose ELLE, targeting at Efficient LifeLong pre-training for Emerging data. Specifically, (1) to facilitate the efficiency of knowl-

edge growth, we propose the **function preserved model expansion** to flexibly expand an existing PLM's width and depth. In this way, we increase PLM's model size and thus improve its training efficiency. Before being adapted to a new domain, the expanded PLM performs a function recovering warmup to regain the functionality of the original PLM; (2) for proper knowledge stimulation, we pre-implant **domain prompts** during pre-training to prime the PLM which kind of knowledge it is learning. Therefore, versatile knowledge from multiple sources can be disentangled. During downstream fine-tuning, we could further utilize these implanted prompts and manipulate the PLM to stimulate the proper knowledge for a specific task.

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To demonstrate the effectiveness of ELLE, we simulate the scenario where streaming data from 5 domains sequentially comes. We pre-train two typical PLMs (BERT and GPT) and expand their model sizes each time when the new data is available. We experiment when the number of parameters is sequentially grown from both 30M to 125M and 125M to 355M. The experimental results show the superiority of ELLE over multiple lifelong learning baselines in both pre-training efficiency and downstream task performances. In addition, we conduct sufficient experiments to verify the effectiveness of each component of ELLE. In general, we provide a promising research direction and hope this work could inspire more future attempts towards efficient lifelong pre-training.

## 2 Related Work

Lifelong Learning for PLMs. Lifelong learning 115 aims at incrementally acquiring new knowledge, 116 and in the meantime, mitigating the catastrophic 117 forgetting issue. Numerous efforts have been spent 118 towards this goal, including (1) memory-based 119 120 methods (Rebuffi et al., 2017; Rolnick et al., 2019), which perform experience replay with authentic 121 data (de Masson d'Autume et al., 2019), automat-122 ically generated data (Sun et al., 2020), or previ-123 ously computed gradients (Lopez-Paz and Ranzato, 124 2017) conserved in the memory, (2) consolidation-125 based methods (Kirkpatrick et al., 2017; Aljundi 126 et al., 2018), which introduce additional regulariza-127 tion terms to consolidate the model parameters that 128 are important to previous tasks, and (3) dynamic 129 architecture methods (Rusu et al., 2016; Yoon et al., 130 2018), which fix trained network architectures in 131 old tasks and dynamically grow branches for new 132

tasks. Lifelong learning is also a hot topic for PLMs. Some target at domain adaptation through continual pre-training (Gururangan et al., 2020), parameter-efficient adapters (He et al., 2021) and sparse expert models (Gururangan et al., 2021). Others focus on the incremental acquisition of factual knowledge that changes over time (Dhingra et al., 2021; Jang et al., 2021). However, the existing works seldom consider our lifelong learning setting where streaming data from multiple sources is sequentially gathered. A concurrent work (Jin et al., 2021) conducts empirical studies on conventional continual learning algorithms for PLM adaptation. However, they do not focus on PLM's training efficiency, which is different from our setting. More detailed comparisons are left in appendix F.

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**Efficient Pre-training in NLP.** Many attempts have been made towards improving the efficiency of pre-training, such as designing novel pretraining tasks (Clark et al., 2020), model architectures (Zhang and He, 2020), optimization algorithms (You et al., 2020) and parallel architectures (Shoeybi et al., 2019; Shazeer et al., 2018). Until recently, researchers propose to "back distill" the knowledge from existing PLMs to accelerate large PLMs' pre-training (Qin et al., 2021). Another line of work proposes progressive training to dynamically expand an existing PLM's size through parameter recycling (Gong et al., 2019; Gu et al., 2021; Chen et al., 2021). However, these methods typically focus on training PLMs on one static corpus, and thus cannot be directly applied to our lifelong pre-training setting.

## 3 Methodology

## 3.1 Preliminaries

**Background for PLM.** A PLM  $\mathcal{M}$  generally consists of an embedding layer and L Transformer (Vaswani et al., 2017) layers. Given an input x consisting of a series of tokens, i.e.,  $\mathbf{x} = \{w_1, \dots, w_{|\mathbf{x}|}\}, \mathcal{M}$  first converts the input into embeddings  $\{\mathbf{h}_1^0, \dots, \mathbf{h}_{|\mathbf{x}|}^0\}$ , which are sequentially processed by each Transformer layer into contextualized hidden representations  $\mathbf{H}^l = \{\mathbf{h}_1^l, \dots, \mathbf{h}_{|\mathbf{x}|}^l\}$ , where  $1 \le l \le L$ .

**Task Definition.** Assume a stream of corpus  $\overline{\mathcal{D}}_N$  from N domains (e.g., news articles, web content and literary works) is sequentially gathered, i.e.,  $\overline{\mathcal{D}}_N = \{\mathcal{D}_1, \dots, \mathcal{D}_N\}$ , where  $\mathcal{D}_i = \{\mathbf{x}_i^j\}_{j=1}^{|\mathcal{D}_i|}$ . The



Figure 1: Illustration of ELLE when adapting an existing PLM  $\mathcal{M}_{i-1}$  trained on previous data  $\overline{\mathcal{D}}_{i-1}$  to a new corpus  $\mathcal{D}_i$ . We also visualize the mechanism of width / depth expansion and pre-trained domain prompts.

whole training process can be partitioned into several stages. Initially, we have a PLM  $\mathcal{M}_1$ , which has been well trained on  $\mathcal{D}_1$ , and for the *i*-th stage (i > 1), we obtain a new collection of data  $\mathcal{D}_i$ . Assume in this stage, we only have limited computational resources  $\mathcal{R}_i$ , our goal is to continually pretrain the existing PLM  $\mathcal{M}_{i-1}$  to learn new knowledge on  $\mathcal{D}_i$ , and obtain a new PLM  $\mathcal{M}_i$ . Meanwhile, we expect the adapted PLM  $\mathcal{M}_i$  should not forget the previously learned knowledge of  $\overline{\mathcal{D}}_{i-1}$ .

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**Overall Framework.** As illustrated in Figure 1, 191 starting from  $\mathcal{M}_{i-1}$ , which is trained on previous 192 data  $\overline{\mathcal{D}}_{i-1}$ , we first expand  $\mathcal{M}_{i-1}$ 's width and depth 193 and construct an enlarged PLM  $\mathcal{M}_{i-1}^{WD}$  to improve 194 its training efficiency. Then we perform function 195 recovering warmup and train  $\mathcal{M}_{i-1}^{\text{WD}}$  to inherit the knowledge of  $\mathcal{M}_{i-1}$  to obtain  $\mathcal{M}_{i-1}^{\text{WD}+}$ . The above 196 197 procedures are dubbed as function preserved 198 model expansion (§ 3.2). After that, we continually pre-train  $\mathcal{M}_{i-1}^{\text{WD+}}$  to gain new knowledge on  $\mathcal{D}_i$ . 201 To mitigate the catastrophic forgetting on the previously learned knowledge, we employ data-based 202 memory replay on a subset of previously gathered data  $\overline{\mathcal{D}}_{i-1}^{sub} = \{\mathcal{D}_1^{sub}, \dots, \mathcal{D}_{i-1}^{sub}\}$  conserved in the memory, where  $\mathcal{D}_k^{sub} = \{x_k^1, \dots, x_k^B\} \in \mathcal{D}_k$  $(1 \le k \le i - 1)$  and B is the constrained memory size for each domain. To help PLMs disentangle 207 the knowledge during pre-training and also stimulate the needed knowledge for each downstream task, we implant domain prompts into PLMs dur-210 ing the whole training process ( $\S$  3.3). 211

#### **3.2 Function Preserved Model Expansion**

To accumulate knowledge more efficiently, each time when a new corpus  $\mathcal{D}_i$  comes, we expand both  $\mathcal{M}_{i-1}$ 's width and depth to attain the superior sample efficiency and fast convergence brought by larger model capacity (Li et al., 2020).

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Width Expansion. For width expansion, we borrow the function preserving initialization (FPI) from Chen et al. (2021). For a brief introduction, FPI expands the matrices of all modules of a Transformer layer to arbitrary larger sizes and constructs an enlarged PLM  $\mathcal{M}_{i-1}^{W}$ .  $\mathcal{M}_{i-1}^{W}$  is initialized using the corresponding matrices of the original  $\mathcal{M}_{i-1}$  through parameter replication. For example, as visualized in Figure 1, the core principle of FPI is to divide the product of  $o \times x_1$  into multiple partitions, e.g.  $\frac{o}{2} \times x_1 + \frac{o}{2} \times x_1$ . Formally, FPI expands a matrix  $W \in \mathbb{R}^{h_1 \times h_2}$  of  $\mathcal{M}_{i-1}$  to an enlarged matrix  $W' \in \mathbb{R}^{(h_1 + \Delta_{h_1}) \times h_2}$  of  $\mathcal{M}_{i-1}^{W}$  as follows:

$$m(i) = \begin{cases} i & i \in [1, h_1] \\ U(\{1, \dots, h_1\}) & i \in (h_1, h_1 + \Delta_{h_1}], \end{cases}$$

$$C_i = \sum_{i'=1}^{h_1 + \Delta_{h_1}} \mathbb{I}(m(i') = m(i)), \qquad (1)$$

$$\mathbf{W}'_{(i,*)} = \frac{1}{C} \cdot \mathbf{W}_{(m(i),*)} + \mathbb{I}(C_i > 1) \cdot \boldsymbol{\delta}_i,$$

where  $U(\cdot)$  denotes a uniform sampling function,  $m(\cdot)$  denotes the mapping function between two 233 matrices,  $\mathbb{I}(\cdot)$  is an indicator function,  $C_i$  counts 234 how many partitions a specific neuron is splitted and  $\delta_i \in \mathbb{R}^{h_2}$  is a random gaussian noise. FPI ensures that both  $\mathcal{M}_{i-1}^{W}$  and  $\mathcal{M}_{i-1}$  have approx-237 imately the same functionality, i.e., both models 238 have almost the same output given the same input. 239 Besides function preservation, the initialized model 240 could serve as a good starting point for further op-241 timization. We refer readers to Chen et al. (2021) 242 for more details about width expansion. Different 243 from Chen et al. (2021), we additionally introduce random noises  $\delta_i$  into the newly copied parameters 245

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of W' during initialization. These slight noises would break the symmetry after the replication and accelerate later pre-training.

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**Depth Expansion.** For depth expansion, previous works generally resort to stacking all the original PLM layers into  $2 \times$  layers through parameter replication (Gong et al., 2019). Such initialization is demonstrated to improve training efficiency.

However, the above layer stacking method restricts the number of layers of the enlarged PLM  $\mathcal{M}_{i-1}^{\mathrm{D}}$  to be integer multiples of that of the original PLM  $\mathcal{M}_{i-1}$ , which is not flexible for practical uses. To improve the expansion flexibility so that  $\mathcal{M}_{i-1}$ could be expanded with arbitrary number of layers, we propose a novel layer insertion method to construct a new PLM  $\mathcal{M}_{i-1}^{\mathsf{D}}$  with L + L' layers, where  $1 \leq L' \leq L$ . Specifically, we randomly select L'layers from  $\mathcal{M}_{i-1}$ , copy each layer's parameters and insert the replication layer right before / after the original layer. We found empirically that inserting the copied layer into other positions would cause a performance drop, and the reason is that it will violate the processing order of the original layer sequence and break the PLM's original functionality. At each expansion stage when new data comes, since different layers have different functionalities, we always choose those layers that have not been copied before to help PLMs develop in an all-around way, instead of just developing a certain kind of functionality. Since both width expansion and depth expansion are compatible with each other, we simultaneously expand both of them to construct an enlarged model  $\mathcal{M}_{i-1}^{WD}$ , which inherits  $\mathcal{M}_{i-1}$ 's knowledge contained in the parameters.

Function Recovering Warmup. Since the above model expansion cannot ensure exact function preservation and inevitably results in functionality loss and performance drops, we pre-train the initialized PLM  $\mathcal{M}_{i-1}^{WD}$  on the previous corpora  $\overline{\mathcal{D}}_{i-1}^{sub}$  conserved in the memory to recover the language abilities lost during model expansion, which is dubbed as function recovering warmup (FRW). After the warmup, we obtain  $\mathcal{M}_{i-1}^{WD+}$ , which successfully inherits the knowledge from  $\mathcal{M}_{i-1}$  and is also well-prepared for the next training stage.

## 3.3 Pre-trained Domain Prompt

Instead of training a separate model for each domain, we expect a single compact PLM to integrate the knowledge from all the sources. When confronted with a downstream task from a specific domain, the PLM needs to expose the proper knowledge learned during pre-training. To facilitate both knowledge acquisition during pre-training and knowledge exposure during fine-tuning, we resort to prompts as domain indicators and condition the PLM's behavior on these prompts.

Specifically, during pre-training, to disentangle the knowledge from different sources, we implant a soft prompt token into the input to prime the PLM which kind of knowledge it is learning. The prompt of domain *i* is a tunable vector  $\mathbf{p}_i$ . We prepend  $\mathbf{p}_i$  before the original token embeddings  $\mathbf{H}^0 =$  $\{\mathbf{h}_1^0, \dots, \mathbf{h}_{|\mathbf{x}|}^0\}$  for an input  $\mathbf{x} \in \mathcal{D}_i$ , resulting in the modified input  $\mathbf{H}^{0*} = {\mathbf{p}_i; \mathbf{h}_1^0, \dots, \mathbf{h}_{|\mathbf{x}|}^0}$ , which is then processed by all the Transformer layers. Each  $\mathbf{p}_i$  is optimized together with other parameters of the PLM during pre-training. During fine-tuning, when applying the PLM on a similar domain of data seen before, we could leverage the trained domain prompt and prepend it before the input of downstream data. In this way, we manually manipulate the PLM to stimulate the most relevant knowledge learned during pre-training.

#### **4** Experiments

#### 4.1 Experimental Setting

**Data Streams.** We simulate the scenario where streaming data from 5 domains is gathered sequentially, i.e., the concatenation of WIKIPEDIA and BOOKCORPUS (WB) (Zhu et al., 2015), NEWS ARTICLES (NS) (Zellers et al., 2019), AMA-ZON REVIEWS (REV) (He and McAuley, 2016), BIOMEDICAL PAPERS (BIO) (Lo et al., 2020) and COMPUTER SCIENCE PAPERS (CS) (Lo et al., 2020). For each corpus  $\mathcal{D}_i$ , we roughly sample 3,400M tokens, and the quantity for each  $\mathcal{D}_i$  is comparable to the pre-training data of BERT (Devlin et al., 2019). In addition, considering that in practice, the expense of storage is far cheaper than the computational resources for pre-training, we maintain a relatively large memory compared with conventional lifelong learning settings by randomly sampling 200M tokens ( $\mathcal{D}_i^{sub}$ ) for each corpus  $\mathcal{D}_i$ .

**Evaluated Models.** We mainly follow the model architectures of BERT and GPT (Radford et al., 2018). We use byte-level BPE vocabulary (Radford et al., 2018) to ensure there are few unknown tokens in each corpus. We experiment with the initial PLM  $\mathcal{M}_1$  of 6 layers and hid-

Domain	V	VB		Ns	]	Rev		Bio	(	CS
Metrics	AP	AP+	AP	AP+	AP	AP+	AP	AP+	AP	AP+
Growing from BERTL6	D384 to I	<b>BERT</b> L1	2_D768							
Naive (Lower Bound)	7.96	-	8.03	5.54	13.52	21.42	13.86	17.67	9.93	9.81
EWC	7.96	-	8.09	5.65	13.40	20.98	13.92	17.75	9.94	9.82
MAS	7.96	-	8.08	5.65	13.44	21.17	13.87	17.67	9.91	9.75
A-GEM	7.96	-	8.82	6.72	13.31	20.06	14.73	18.89	10.56	10.58
ER	7.96	-	6.85	1.59	6.99	4.09	6.66	3.62	6.39	3.16
Logit-KD	7.96	-	7.60	0.99	7.19	1.95	7.08	2.02	6.92	1.92
PNN	7.96	-	6.52	0.00	5.29	0.00	4.84	0.00	4.76	0.00
ELLE (ours)	7.92	-	5.62	-0.20	4.81	0.64	4.41	0.64	4.06	0.44
Growing from BERTL12	2_D768 to	BERTL	.24_D1024							
ER	4.54	-	4.33	1.31	4.02	1.46	3.73	1.15	3.82	1.28
ELLE (ours)	4.52	-	3.89	0.47	3.61	0.75	3.66	0.97	3.29	0.54
Growing from GPTL6_D	384 to G	$PT_{L12_D}$	768							
Naive (Lower Bound)	46.54	-	52.91	37.96	81.28	177.22	94.44	160.51	60.64	80.48
MAS	46.54	-	53.12	38.44	81.23	177.20	93.21	157.93	60.62	80.28
ER	46.54	-	44.49	12.42	35.46	21.78	33.24	23.38	31.94	19.83
Logit-KD	46.54	-	48.93	5.41	37.60	9.97	34.60	11.74	33.67	11.19
PNN	46.54	-	39.90	0.00	26.84	0.00	22.19	0.00	21.43	0.00
ELLE (ours)	46.50	-	36.84	2.25	25.60	4.38	22.29	5.88	20.49	4.31

Table 1: Average perplexity (AP) and average increased perplexity (AP<sup>+</sup>) of PLMs trained by different lifelong learning methods with the same train wall time. PLMs are trained with streaming data from WB, NS, REV, BIO and CS domain sequentially. We evaluate the performance each time when PLMs finish training on one domain.

den size of 384 (around 30M parameters, denoted as BERT<sub>L6\_D384</sub> / GPT<sub>L6\_D384</sub>), and linearly enlarge the PLM's number of parameters for 4 times, to the final PLM  $\mathcal{M}_5$  of 12 layers and hidden size of 768 (around 125M parameters, denoted as BERT<sub>L12\_D768</sub> / GPT<sub>L12\_D768</sub>). We also experiment on a larger model size, i.e., growing the PLM from BERT<sub>L12\_D768</sub> (125M) to BERT<sub>L24\_D1024</sub> (355M). Details of each  $\mathcal{M}_i$ 's architecture are listed in appendix C. We also discuss the effect of expanded model size at each stage in appendix B<sup>1</sup>.

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367 368 **Training Details.** We train our model for 62, 500 steps for the first corpus. For the following domain i (i > 1), after the model expansion, we perform function recovering warmup for 5,000 steps, then train the resulting PLM for 20,000 steps on the new data together with memory replay. Following Chaudhry et al. (2019b), we jointly train PLMs on a mixture samples from both  $\mathcal{D}_i$  and  $\overline{\mathcal{D}}_{i-1}^{sub}$  in each batch, and the sampling ratio of  $\mathcal{D}_i$  and  $\overline{\mathcal{D}}_{i-1}^{sub}$  in and Ba, 2015) is chosen as the optimizer. All the experiments are conducted under the same environment of 8 V100 GPUs with a batch size of 2,048. More training details of pre-training are left in appendix C. We also experiment with fewer computational budgets and memory budgets in appendix I.

**Evaluation Metrics.** We deem one algorithm to be more efficient if it could achieve the same performance with other methods utilizing fewer computations. For PLM, this is equivalent to achieving better performance using the same computations since pre-training with more computations almost always results in better performance (Clark et al., 2020). We evaluate the PLM's performance during both pre-training and downstream fine-tuning.

Specifically, for pre-training, we propose two metrics to evaluate how PLMs perform on the learned domains following Chaudhry et al. (2019a): (1) average perplexity (AP) and (2) average increased perplexity (AP<sup>+</sup>). We record the train wall time (Li et al., 2020) during pre-training. For a model checkpoint at time step T when learning the *j*-th domain, we measure the checkpoint's perplexity PPL<sub>*T*,*i*</sub> on the validation set of each domain *i*. Let PPL<sup>*f*</sup><sub>*i*,*i*</sub> be the perplexity on the *i*-th domain when the PLM finishes training on the *i*-th domain, the above metrics are calculated as follows:

$$AP = \exp\left(\frac{1}{j} \sum_{i=1}^{j} \log PPL_{T,i}\right),$$

$$AP^{+} = \frac{1}{j-1} \sum_{i=1}^{j-1} (PPL_{T,i} - PPL_{i,i}^{f}),$$
(2) 392

where AP measures the average performance on all 393 the seen data  $\{D_1, \ldots, D_j\}$ . Lower AP indicates 394

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<sup>&</sup>lt;sup>1</sup>Being the first work towards efficient lifelong pre-training, this paper experiments on an ideal setting that the corpus size of each domain is the same, and the number of parameters is grown linearly. We encourage future work to explore the effect of the size of streaming data and optimal expanded model size.



Figure 2: Average perplexity (AP) of different lifelong learning methods with  $BERT_{L6_D384}$  as the initial PLM. The trend curves for AP<sup>+</sup> and other PLMs are left in appendix E.

the PLM generally learns more knowledge from existing domains;  $AP^+$  measures the influence of current data  $D_j$  on previous data  $\overline{D}_{j-1}$ . Lower  $AP^+$ means PLMs forget less knowledge learned before.

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To evaluate PLMs' performance in downstream tasks, for each domain, we select a representative task that is relatively stable, i.e., MNLI (Williams et al., 2018), HYPERPARTISAN (Kiesel et al., 2019), HELPFULLNESS (McAuley et al., 2015), CHEMPROT (Kringelum et al., 2016) and ACL-ARC (Jurgens et al., 2018) for WB, NS, REV, BIO and CS, respectively. Training details for finetuning are left in appendix D.

**Baselines.** Keeping most of the experimental set-408 tings the same, we choose the following baselines 409 for comparison: (1) Naive, which is a naive ex-410 tension of Gururangan et al. (2020) to continu-411 ally adapt PLMs for each domain and can be seen 412 413 as the lower bound; (2) EWC (Schwarz et al., 2018), which adopts elastic weight consolidation 414 to add  $L_2$  regularization on parameter changes; (3) 415 MAS (Aljundi et al., 2018), which estimates pa-416 rameter importance via the gradients of the model 417 outputs; (4) ER (Chaudhry et al., 2019b), which 418 alleviates forgetting by jointly training models on a 419 mixture samples from new data  $\mathcal{D}_i$  and the memory 420  $\overline{\mathcal{D}}_{i-1}^{sub}$ . ELLE is based on ER and additionally intro-421 duces the model expansion and pre-trained domain 422 prompts. For ER, we set the sampling ratio of  $\mathcal{D}_i$ 423 and  $\overline{\mathcal{D}}_{i-1}^{sub}$  to be 9 : 1 in every batch same as ELLE; 424 (5) A-GEM (Chaudhry et al., 2019a), which con-425 strains the new parameter gradients to make sure 426 that optimization directions do not conflict with 427 gradients on old domains; (6) Logit-KD, which 428

Domain	WB	Ns	Rev	BIO	CS	AVG
Growing fr	om BE	RT <sub>L6_D3</sub>	384 to B	ERT <sub>L12</sub>	_D768	
Naive	77.2	72.8	60.6	77.1	64.8	70.5
EWC	77.4	72.8	61.6	77.5	59.6	69.8
MAS	77.1	73.7	60.7	77.5	68.2	71.5
A-GEM	76.6	71.4	61.5	76.9	67.5	70.8
ER	77.6	72.2	61.9	78.3	63.5	70.7
Logit-KD	77.2	69.5	63.9	76.8	58.9	69.2
PNN	76.0	64.9	64.2	55.1	30.5	58.1
ELLE	83.2	81.8	68.5	82.9	72.7	77.8
Growing fr	om BE	$RT_{L12_I}$	<sub>768</sub> to E	3ERT <sub>L2</sub>	4_D1024	
ER	84.7	83.3	68.0	82.7	71.4	78.0
ELLE	86.3	90.4	70.5	84.2	73.8	81.0

Table 2: Final downstream performance (F1) of BERT on each domain after finishing pre-training on all domains. Experiments of Ns domain are repeated for 10 times with different seeds and others are repeated for 5 times. More detailed results at different pre-training stages are illustrated in appendix D.

prevents forgetting by distilling knowledge from the previous model  $\mathcal{M}_{i-1}$  using the old data in the memory; (7) **PNN** (Rusu et al., 2016), which fixes the old PLM  $\mathcal{M}_{i-1}$  to completely avoid knowledge forgetting and grows new branches for learning new knowledge. For a fair comparison, we control the total train wall time of ELLE and all the baselines to be the same at each training stage, so that each method consumes the same computational costs. 429

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#### 4.2 Main Results

Table 1 summarizes the pre-training performance each time when the PLM finishes training on a specific domain. Figure 2 depicts the trend of AP for BERT w.r.t. train wall time, other trend curves are illustrated in appendix E. We also report the final downstream performance for discriminative PLMs (BERT) on each domain after finishing the whole pre-training in Table 2. The intermediate downstream performance each time when the PLM finishes training on one domain is left in appendix D.

**Superiority of ELLE.** (1) From the results in Table 1, we observe that, compared with all the baselines, ELLE achieves the lowest AP and satisfying  $AP^+$  after finishing training on each domain. This demonstrates that, given limited computational resources, ELLE could acquire more knowledge and in the meantime, mitigate the knowledge forgetting problem. (2) We also observe from Figure 2 that the AP of ELLE descends the fastest, showing the superior training efficiency of ELLE over all baselines. (3) Besides, ELLE performs the best on all downstream tasks, indicating that the knowledge

		Domain			W	'B	J	Ns	R	EV	В	IO	С	S
WE	DE	FRW	$\delta_N$	РТ	AP	AP+	AP	AP+	AP	AP+	AP	AP+	AP	AP+
					7.96	-	6.85	1.59	6.99	4.09	6.66	3.62	6.39	3.16
$\checkmark$		$\checkmark$			7.96	-	6.23	0.78	5.34	1.42	4.98	1.20	4.48	0.89
	$\checkmark$	$\checkmark$			7.96	-	5.81	0.03	5.49	1.43	5.16	1.32	4.79	0.94
$\checkmark$	$\checkmark$	$\checkmark$			7.96	-	5.78	0.02	4.91	0.76	4.49	0.73	4.13	0.52
$\checkmark$	$\checkmark$				7.96	-	5.79	0.09	5.09	1.13	4.58	0.88	4.22	0.65
$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$		7.96	-	5.69	-0.13	4.85	0.67	4.45	0.69	4.09	0.47
$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	7.92	-	5.62	-0.20	4.81	0.64	4.41	0.64	4.06	0.44

Table 3: AP and AP<sup>+</sup> of different combinations of strategies when growing  $BERT_{L6_D384}$  to  $BERT_{L12_D768}$ .

461 learned during pre-training could be properly stim-462 ulated and leveraged for each downstream task. (4) 463 The superiority of ELLE is consistently observed on the larger model size, i.e., BERT<sub>L24 D1024</sub> and 464 other model architectures, i.e., GPT<sub>L12 D768</sub>. This 465 shows that ELLE is agnostic to both the model size 466 and the specific PLM model architecture chosen. 467 468 We expect future work to apply ELLE on other PLM architectures and extremely large PLMs. 469

470 **Comparisons with Baselines.** (1) First of all, consolidation-based methods (EWC and MAS) per-471 form almost comparable with the naive baseline 472 in either pre-training or downstream tasks. This 473 means that parameter regularization may not be 474 beneficial for PLMs' knowledge acquisition. (2) 475 Among memory-based methods, gradient-based 476 reaply (A-GEM) exhibits poorer performance in 477 pre-training, on the contrary, data-based replay (ER 478 and Logit-KD) achieve lower AP and AP<sup>+</sup> than 479 the naive baseline, demonstrating that replaying 480 real data points could more efficiently mitigate the 481 knowledge forgetting problem. Meanwhile, all of 482 the memory-based methods perform comparable 483 or worse than the naive baseline in downstream 484 performance. (3) Although PNN achieves signifi-485 cantly lower AP than other baselines, and is also 486 immune to knowledge forgetting  $(AP^+=0)$ , it per-487 forms extremely poorly on downstream tasks. This 488 indicates that although PNN acquires much knowl-489 edge during pre-training, such knowledge is not 490 stimulated and leveraged during fine-tuning. 491

#### 5 Analysis

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In this section, we conduct analyses to investigate the effect of ELLE's components. We follow the setting in § 4 by choosing  $BERT_{L6_D384}$ as the initial model and continually growing it to BERT<sub>L12\_D768</sub>. Specifically, we investigate the effect of (1) width expansion (WE), (2) depth expansion (DE), (3) function recovering warmup (FRW), (4) the random noises added into the newly constructed parameters during model expansion ( $\delta_N$ ) and (5) the pre-trained domain prompts (PT). We test ELLE under different combinations of the above components and compare the results. The experimental results of pre-training and downstream tasks are summarized in Table 3 and Table 4, respectively. Detailed trend curves for AP and AP<sup>+</sup> are illustrated in appendix E. We also show in appendix A that the expanded PLM by ELLE exhibits similar functionality to the original PLM. 500

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Effect of Width / Depth Expansion. First, we compare the differences of conducting only width expansion (WE+FRW), only depth expansion (DE+FRW) and expansion on both width and depth (WE+DE+FRW) before function preserving warmup. For a fair comparison, we keep the total number of  $\mathcal{M}_i$ 's increased parameters for the above three strategies almost the same at each stage *i*. The specific model architectures are listed in appendix H. The results show that: (1) compared with the non-expanding baseline, all these three strategies achieve better pre-training and downstream performance, showing that with the growth of model size, the sample efficiency and training efficiency are extensively increased. Therefore, PLMs could gain more knowledge with limited computational resources and perform better in downstream tasks; (2) compared with expanding only width or depth, expanding both of them is more efficient and can also achieve better downstream performance on almost all domains, except the Ns domain. This is also aligned with previous findings that PLM's growth favors compound scaling (Gu et al., 2021). We also conclude from the trend curves in appendix E that only expanding depth will make the training process unstable.

**Effect of Function Recovering Warmup.** We compare the performance of the model expansion

WE	DE	FRW	$\delta_N \mathbf{PT}$	WB	Ns	Rev	Βιο	CS	AVG
				77.6	72.2	61.9	78.3	63.5	70.7
$\checkmark$		$\checkmark$		81.9	77.5	64.9	80.3	70.7	75.1
	$\checkmark$	$\checkmark$		82.4	79.9	66.2	80.4	71.0	75.9
$\checkmark$	$\checkmark$	$\checkmark$		83.4	74.7	67.4	82.4	72.2	76.0
$\checkmark$	$\checkmark$			82.6	75.7	67.4	82.3	71.4	75.9
$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	83.5	77.1	66.9	83.3	71.3	76.4
$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	83.2	81.8	68.5	82.9	72.7	77.8

Table 4:  $BERT_{L12_D768}$ 's downstream performance (F1) on each domain after being continually pre-trained on all domains with different combinations of strategies.

w/ and w/o FRW, i.e., WE+DE and WE+DE+FRW. For a fair comparison, we keep the total train wall time for either strategy the same, in other words, for WE+DE, PLMs can be trained for more steps on the new domain due to the removal of FRW. However, the results show that WE+DE achieves worse AP and AP<sup>+</sup>, indicating that without FRW, PLM would learn new knowledge slower and also forget more previous knowledge. The trend curve in appendix E also shows that AP and  $AP^+$  decrease faster with FRW. This demonstrates the necessity of the warmup after model expansion, i.e., PLMs could better recover the knowledge lost during model expansion and also get prepared for learning new knowledge. Meanwhile, WE+DE+FRW performs slightly better than WE+DE in most of the downstream tasks, except the NS domain.

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Effect of Random Noises. Different from the 556 original FPI (Chen et al., 2021), ELLE addition-557 ally adds random noises into the newly copied pa-558 rameters after expanding the width of PLMs as mentioned in § 3.2. By comparing the model per-560 formance w/ and w/o this trick, i.e., WE+DE+FRW and WE+DE+FRW+ $\delta_N$ , we can see that the added noises significantly speed up pre-training and also 563 conduce to improving PLM's overall downstream 564 performance. This validates our hypothesis that random noises are useful for breaking the symme-566 try of the copied parameters, thus providing a better initialization that further optimization favors. 568

569Effect of Pre-trained Domain Prompts. To570investigate the effect of pre-trained domain571prompts, we first compare the performance w/572and w/o them, i.e., WE+DE+FRW+ $\delta_N$  and573WE+DE+FRW+ $\delta_N$ +PT. From the results we can574conclude that when aided with domain prompts,575PLMs achieve lower AP and AP<sup>+</sup> during pre-576training, showing that domain prompts could accel-

Domain	WB	Ns	Rev	BIO	CS	AVG
$ELLE - PT_{fine-tune}$	82.9	79.9	67.0	82.1	67.7	75.9
$ELLE + \neg PT_{fine-tune}$	83.1	80.6	68.1	81.7	70.8	76.9
ELLE	83.2	81.8	68.5	82.9	72.7	77.8

Table 5: BERT<sub>L12\_D768</sub>'s downstream performance (F1) on each domain when no prompt / a wrong prompt is prepended in the input.

erate pre-training and alleviate catastrophic forgetting by disentangling the knowledge from different sources. Furthermore, domain prompts generally improve downstream performance by stimulating the proper knowledge needed for each task.

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To rigorously investigate how domain prompts stimulate the knowledge during fine-tuning, for a PLM pre-implanted with prompts during pretraining, we test its downstream performance when (1) no prompt is prepended in the input (i.e., ELLE-PT<sub>fine-tune</sub>) during fine-tuning and (2) a prompt from a random wrong domain is prepended in the input (i.e., ELLE +  $\neg PT_{fine-tune}$ ). The results in Table 5 show that both of the above strategies have lower downstream performance than prepending the right prompt (ELLE). We hypothesize the reasons are two-fold: (1) firstly, for ELLE- PT<sub>fine-tune</sub>, there exists a great gap between the formats of input during pre-training and fine-tuning, and such a gap would hinder the successful knowledge transfer; (2) secondly, for ELLE +  $\neg PT_{fine-tune}$ , although the above gap disappears, the PLM is primed with a wrong domain prompt, and thus cannot properly stimulate the knowledge that is most relevant to the downstream task. Although manually deciding the most relevant domain prompt for a specific downstream task is relatively easy and fast, such a process can also be automated by training a domain discriminator, which is left as future work.

#### 6 Conclusion

In this paper, we present the efficient lifelong pretraining problem, which requires PLMs to continually integrate the information from emerging data efficiently. To achieve our goal, we propose ELLE and progressively expand PLMs to acquire knowledge efficiently and mitigate the knowledge forgetting. We also pre-implant domain prompts during pre-training and use them to stimulate the needed knowledge for downstream tasks. The experimental results show the superiority of ELLE over various lifelong learning baselines in both pre-training efficiency and downstream performances.

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# A Attention Pattern Visualization of a Stream of PLMs

Through the function preserved model expansion, PLMs inherit the knowledge of their "ancestors" contained in the parameters. Intuitively, the descendant PLM (the expanded larger PLM) should have similar functionalities to the ancestor PLM (the original PLM before model expansion). In this section, we investigate such functionality similarity through the lens of attention patterns of each attention head in the Transformer layer.

Specifically, we visualize the attention patterns of a stream of PLMs ({ $M_1, \ldots, M_5$ }) trained by ELLE when growing BERT<sub>L6\_D384</sub> to BERT<sub>L12\_D768</sub>. We checkpoint each PLM  $M_i$ when it finishes training on the emerging data  $D_i$ . We input the same data into these checkpoints to derive the attention patterns.

The results are illustrated in Figure 3, from which we observe that the attention patterns of a head in a descendant PLM are surprisingly similar to those of its "ancestors", even if the descendant PLM is further trained on the new data and enlarged many times. This indicates that the expanded PLM by ELLE successfully inherits the knowledge from its "ancestor", and thus exhibits similar functionality to some extent.

## B Additional Analysis on Function Preserved Model Expansion

In addition to the analyses of function preserved model expansion conducted in our main paper, in this section, we further analyze the effect of (1) the expanded model size at each training stage and (2) the choice of copied layer during depth expansion. We experiment on the combination of WE+DE+FRW as mentioned in § 5 and choose BERT<sub>L6\_D384</sub> as the initial PLM  $\mathcal{M}_1$ . Other settings are kept the same as § 5.

Effect of Expanded Model Size. In our main 961 experiments, we assume that the data size of each 962 emerging corpus is the same and linearly enlarge 963 the model size when conducting model expansion. 964 In this section, we explore the effect of expanded model size given limited computational resources. 966 We conduct experiments on a stream of data from 3 967 domains, i.e., WB, NS and REV domain. We start 968 from the initial PLM BERT<sub>L6 D384</sub> and continually 969 adapt it to new corpora. Under the same training 970

environment, we control the computational costs (train wall time) of each domain to be 7200 seconds. We compare the performances when the PLM expands 0, 2, 4, and 6 layers and heads for each domain, respectively. Note the PLMs expanded with a larger size would be trained with fewer steps to control the train wall time.

The results are shown in Table 6, from which we can conclude that the best performance is obtained when the model expands 2 layers and heads at each expansion stage, and expanding more or fewer parameters leads to a performance drop. The reasons are two-fold: (1) firstly, as mentioned before, expanding the model size improves the sample efficiency (Kaplan et al., 2020; Li et al., 2020), which is beneficial for PLMs' knowledge acquisition; (2) secondly, when increasing the expanded model size, the benefits from inheriting the knowledge of a small PLM would become less and less evident. To sum up, expanding with an intermediate size strikes the best trade-off between the above two reasons, and there may exist an optimal expanded size when performing model expansion.

Intuitively, the optimal expanded model size may be influenced by many factors, e.g., the computational budgets, the amount of emerging data, the PLM's model architecture, etc. And systematically analyzing the effects of all these factors is beyond the scope of this paper, thus we expect future works to design algorithms to accurately estimate the optimal expanded size for model expansion.

**Choice of Copied Layer.** As mentioned in § 3.2, each time when we conduct width expansion, we choose those layers that have not been copied before. To demonstrate the benefit of this trick, we compare three expansion strategies: (1) always replicating those layers that have not been copied before (WE+DE+FRW); (2) always replicating the first layer (WE+DE<sub>first</sub>+FRW) and (3) always replicating the last layer (WE+DE<sub>last</sub>+FRW).

The results in Figure 4 show that AP and AP<sup>+</sup> descend the fastest when we always replicate those layers that have not been copied before (i.e., WE+DE+FRW). This demonstrates that, since different layers have different functionalities, choosing those layers that have not been expanded before would help PLMs develop in an all-around way, instead of just developing a certain kind of functionality. Furthermore, we find empirically that when pre-training PLMs continually on multiple domains, if we always choose those layers



Figure 3: The visualization of the attention patterns of different attention heads in  $\mathcal{M}_1$  (BERT<sub>L6\_D384</sub>),  $\mathcal{M}_2$  (BERT<sub>L8\_D512</sub>),  $\mathcal{M}_3$  (BERT<sub>L10\_D640</sub>),  $\mathcal{M}_4$  (BERT<sub>L11\_D708</sub>) and  $\mathcal{M}_5$  (BERT<sub>L12\_D768</sub>) after finishing training on the new corpus  $\mathcal{D}_i$ . Note that in this figure, all the attention heads of a PLM  $\mathcal{M}_i$  are expanded from all its ancestors  $\{\mathcal{M}_1, \ldots, \mathcal{M}_{i-1}\}$  in the same column. We observe similar attention patterns between the descendant PLM and the ancestor PLM, demonstrating the descendant PLM successfully preserves the functionality of its ancestors.

Domain	W	В	N	EWS	Rev	IEW
Metrics	AP	$AP^+$	AP	$AP^+$	AP	$AP^+$
Expand 0 layers and heads per domain	13.09	-	8.99	-0.49	8.24	2.80
Expand 2 layers and heads per domain	13.09	-	8.28	-1.44	7.25	1.11
Expand 4 layers and heads per domain	13.09	-	8.62	-0.95	7.53	1.30
Expand 6 layers and heads per domain	13.09	-	9.08	-0.24	7.92	1.49

Table 6: AP and AP<sup>+</sup> of PLMs trained with ELLE that expands 0, 2, 4 and 6 layers and heads during model expansion, respectively. AP and AP<sup>+</sup> are evaluated when each PLM finishes training on each domain.

that have not been expanded before at each depth expansion stage, then the final performance is not sensitive to choosing which layers to expand first.

#### C Pre-training Hyper-parameters

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In Table 7, we list the architectures and the hyperparameters for the PLMs we pre-trained with ELLE in this paper, including the total number of trainable parameters ( $n_{\text{params}}$ ), the number of layers ( $n_{\text{layers}}$ ), the number of units in each bottleneck layer ( $d_{\text{model}}$ ), the number of attention heads ( $n_{\text{heads}}$ ), the inner hidden size of FFN layer ( $d_{\text{FFN}}$ ), the learning rate (lr), the training steps of FRW (SF), the training steps of adaptation after FRW (STF) when learning the new corpus, the ratio of learning rate warmup (RW), and the total train wall time (TWT). We set the dropout rate for each model to 0.1, weight decay to 0.01 and use linear learning rate decay for BERT and inverse square root decay 1039 for GPT. We adopt Adam (Kingma and Ba, 2015) 1040 as the optimizer. The hyper-parameters for the opti-1041 mizer is set to  $1 \times 10^{-6}$ , 0.9, 0.98 for  $\epsilon$ ,  $\beta_1$ ,  $\beta_2$ , re-1042 spectively. We reset the optimizer and the learning rate scheduler each time when the PLM finishes FRW or the training on new corpus. All experi-1045 ments are conducted under the same computation environment with 8 NVIDIA 32GB V100 GPUs. 1047 All the pre-training implementations are based on 1048 fairseq<sup>2</sup> (Ott et al., 2019) (MIT-license).

# D Implementation Details and Additional Experiments for Downstream Fine-tuning

Implementation Details.Table 8 describes the1053hyper-parameters for fine-tuning PLMs on down-1054

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<sup>&</sup>lt;sup>2</sup>https://github.com/pytorch/fairseq



Figure 4: AP and AP<sup>+</sup> of PLMs trained by ELLE using different depth expansion strategies: WE+DE+FRW, WE+DE<sub>first</sub>+FRW and WE+DE<sub>last</sub>+FRW w.r.t train wall time.

Model	$n_{\text{params}}$	$n_{\text{layers}}$	$d_{\text{model}}$	$n_{\rm heads}$	$d_{\rm FFN}$	lr	SF	STF	RW	TWT(s)
Growing	from BEI	$T_{L6_{D384}}$	to BERT	L12_D768						
$\mathcal{M}_1$	30.3M	6	384	6	1536	$5.0 \times 10^{-4}$	-	62.5k	8%	$6.0 \times 10^4$
$\mathcal{M}_2$	51.5M	8	512	8	2048	$5.0 \times 10^{-4}$	5k	20k	8%	$2.4 \times 10^4$
$\mathcal{M}_3$	82.2M	10	640	10	2560	$5.0 \times 10^{-4}$	5k	20k	8%	$5.0 \times 10^4$
$\mathcal{M}_4$	102M	11	704	11	2816	$5.0 \times 10^{-4}$	5k	20k	8%	$5.8 \times 10^4$
$\mathcal{M}_5$	125M	12	768	12	3072	$5.0 \times 10^{-4}$	5k	20k	8%	$6.8 \times 10^4$
Growing	from BEI	RT <sub>L12_D76</sub>	8 to BER	$\Gamma_{L24_{D1024}}$	1					
$\mathcal{M}_1$	125M	12	768	12	3072	$5.0 \times 10^{-4}$	-	62.5k	8%	$1.9 \times 10^5$
$\mathcal{M}_2$	216M	15	960	15	3840	$2.5 \times 10^{-4}$	1k	20k	20%	$6.5  imes 10^4$
$\mathcal{M}_3$	280M	18	1024	16	4096	$2.5 \times 10^{-4}$	1k	20k	20%	$1.4 \times 10^5$
$\mathcal{M}_4$	318M	21	1024	16	4096	$2.5 \times 10^{-4}$	1k	20k	20%	$1.7  imes 10^5$
$\mathcal{M}_5$	355M	24	1024	16	4096	$2.5 \times 10^{-4}$	1k	20k	20%	$2.2 \times 10^5$
Growing	from GP	Γ <sub>L6_D384</sub> t	o GPT <sub>L12</sub>	_D768						
$\mathcal{M}_1$	29.9M	6	384	6	1536	$5.0 \times 10^{-4}$	-	62.5k	16%	$6.7  imes 10^4$
$\mathcal{M}_2$	51.0M	8	512	8	2048	$5.0 \times 10^{-4}$	5k	20k	16%	$3.9 \times 10^4$
$\mathcal{M}_3$	81.4M	10	640	10	2560	$5.0 \times 10^{-4}$	5k	20k	16%	$5.6 \times 10^4$
$\mathcal{M}_4$	101M	11	704	11	2816	$5.0 \times 10^{-4}$	5k	20k	16%	$6.8  imes 10^4$
$\mathcal{M}_5$	124M	12	768	12	3072	$5.0 \times 10^{-4}$	5k	20k	16%	$7.8  imes 10^4$

Table 7: Model architectures, learning rate (lr), steps of FRW (SF), steps of training after FRW (STF), the ratio of steps for learning rate warmup (for both FRW and pre-training) (RW), and train wall time (TWT) for all the models pre-trained with ELLE in this paper. We list the details when growing  $BERT_{L6_{-}D384}$  to  $BERT_{L12_{-}D768}$ ,  $BERT_{L12_{-}D768}$ ,  $BERT_{L24_{-}D1024}$  and  $GPT_{L6_{-}D384}$  to  $GPT_{L12_{-}D768}$ , respectively. The total train wall time consumed by the above three settings is  $2.57 \times 10^5$  seconds,  $7.79 \times 10^5$  seconds, and  $3.08 \times 10^5$  seconds, respectively.

stream tasks of each domain. The implementations of MNLI are based on fairseq<sup>3</sup> (Ott et al., 2019) (MIT-license). The implementations of HY-PERPARTISAN, HELPFULNESS CHEMPROT, and ACL-ARC are based on (Gururangan et al., 2020)<sup>4</sup>.

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Additional Experiments. Figure 5 visualizes the average F1 on all downstream tasks of seen domains  $\{1, \ldots, i\}$  of PLMs trained with MAS, ER, Logit-KD, PNN and ELLE after finishing training on each domain *i* when we choose BERT<sub>L6\_D384</sub> as the initial PLM  $\mathcal{M}_1$ . The average F1 when fin-

ishing training on the *i*-th domain is calculated as follows:

$$F1^{i}_{avg} = \frac{1}{i} \sum_{j=1}^{i} F1^{j}$$
(3)

where  $F1^{j}$  is the F1 score on the downstream task of the *j*-th domain. In addition to the overall performance in Figure 5, we also list the detailed results for each task in Table 9, covering all PLMs trained by each lifelong learning method.

The results in both Figure 5 and Table 9 show that ELLE outperforms all the lifelong learning baselines after finishing training on each domain, demonstrating that ELLE could properly stimulate the learned knowledge during pre-training and 1066

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<sup>&</sup>lt;sup>3</sup>https://github.com/pytorch/fairseq <sup>4</sup>https://github.com/allenai/

dont-stop-pretraining

HyperParam	MNLI	HyperPartisan	HELPFULNESS	CHEMPROT	ACL-ARC
Learning Rate	$1 \times 10^{-5}$	$2 \times 10^{-5}$	$2 \times 10^{-5}$	$2 \times 10^{-5}$	$2 \times 10^{-5}$
Batch Size	32	256	256	256	256
Weight Decay	0.1	0.1	0.1	0.1	0.1
Max Epochs	10	10	10	10	10
Learning Rate Decay	Linear	Linear	Linear	Linear	Linear
Warmup Ratio	0.06	0.06	0.06	0.06	0.06

Table 8: Hyper-parameters for fine-tuning on downstream tasks of each domain. As mentioned in the main paper, for each domain, we select a representative task that is relatively stable, i.e., MNLI (Williams et al., 2018), HYPERPARTISAN (Kiesel et al., 2019), HELPFULLNESS (McAuley et al., 2015), CHEMPROT (Kringelum et al., 2016) and ACL-ARC (Jurgens et al., 2018) for WB, NS, REV, BIO and CS, respectively.

1079 boost the performance in downstream tasks.

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#### E Trend Curves for AP and AP<sup>+</sup>

For the experiments in § 4, the trend curves of average perplexity (AP) and average increased perplexity (AP<sup>+</sup>) w.r.t train wall time are shown in Figure 7 (growing from BERT<sub>L6 D384</sub> to  $BERT_{L12 D768}$ ), Figure 8 (growing from BERT<sub>L12\_D768</sub> to BERT<sub>L24\_D1024</sub>), and Figure 9 (growing from GPT<sub>L6 D384</sub> to GPT<sub>L12 D768</sub>). Each figure illustrates the performance of different lifelong learning methods. The above results reflect that, compared with all the baselines, AP and  $AP^+$ of ELLE descend with the fastest speed, demonstrating that ELLE could acquire knowledge and mitigate the knowledge forgetting on previous domains more efficiently. Thus given limited computational resources, PLMs trained by ELLE could integrate more information from different domains.

For the analysis in § 5, we visualize the trend curves of AP and AP<sup>+</sup> when choosing different combinations of strategies. Specifically, we investigate (1) the effect of width / depth expansion in Figure 10 (comparing WE+FRW, DE+FRW and WE+DE+FRW); (2) the effect of function recovering warmup in Figure 11 (comparing WE+DE and WE+DE+FRW); (3) the effect of random noises added into the newly initialized parameters during model expansion in Figure 11 (comparing WE+DE+FRW and WE+DE+FRW+ $\delta_N$ ) and (4) the effect of pre-trained domain prompts in Figure 12 (comparing ELLE and ELLE-PT). All of the above results again demonstrate the effectiveness of ELLE's each component.

# F Comparison between ELLE and Jin et al. (2021)

Since for PLMs, pre-training with more computations almost always results in better perfor-



Figure 5: Average F1 on downstream tasks of seen domains of different lifelong learning methods. For example, when the PLM finishes training on the *i*-th domain, the average performance of downstream tasks from domain  $\{1, \dots, i\}$  are reported. The initial PLM is chosen as BERT<sub>L6\_D384</sub>. The score is evaluated after each model finishes training on each domain.

mance (Clark et al., 2020; Li et al., 2020; Kaplan et al., 2020), a simple yet effective method to integrate the information from all domains is to continually pre-train existing PLMs on all the existing data exhaustively. In this regard, the most important consideration for lifelong pre-training should be the training efficiency. Therefore, when comparing different lifelong learning methods, it is important to equalize the computational costs consumed by each method. Conforming to this rule, we control the computational costs (estimated by train wall time (Li et al., 2020)) for all the methods in our experiments the same, and find that ELLE tends to be the most training efficient and could help PLMs acquire more knowledge.

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Different from our setting, a concurrent work (Jin et al., 2021) conducts sufficient empirical studies on conventional lifelong learning algorithms for incrementally adapting PLMs to emerging data, including (1) adapter-based methods, (2) memory replay approaches and (3) distillationbased methods. They find distillation-based methods tend to perform the best. When comparing these methods, they control the total **training steps** 

Domain	WB	Ns	Rev	Віо	CS	AVG
MAS						
$\mathcal{M}_1$	77.11					77.11
$\mathcal{M}_2$	78.13	76.75				77.44
$\mathcal{M}_3$	76.60	73.79	64.04			71.48
$\mathcal{M}_4$	76.09	71.90	61.83	80.62		72.61
$\mathcal{M}_5$	77.14	73.70	60.69	77.53	68.23	71.46
ER						
$\mathcal{M}_1$	77.11					77.11
$\mathcal{M}_2$	78.40	79.13				78.77
$\mathcal{M}_3$	78.18	78.04	63.98			73.40
$\mathcal{M}_4$	77.47	72.40	62.19	80.44		73.13
$\mathcal{M}_5$	77.57	72.15	61.92	78.25	63.49	70.68
PNN						
$\mathcal{M}_1$	76.04					76.04
$\mathcal{M}_2$	76.04	64.91				70.48
$\mathcal{M}_3$	76.04	64.91	64.20			68.38
$\mathcal{M}_4$	76.04	64.91	64.20	55.13		65.07
$\mathcal{M}_5$	76.04	64.91	64.20	55.13	30.45	58.15
Logit-KI	)					
$\mathcal{M}_1$	77.11					77.11
$\mathcal{M}_2$	76.33	69.77				73.05
$\mathcal{M}_3$	76.63	71.32	64.97			70.97
$\mathcal{M}_4$	76.84	69.12	64.30	76.96		71.81
$\mathcal{M}_5$	77.21	69.48	63.86	76.82	58.87	69.25
ELLE						
$\mathcal{M}_1$	77.12					77.12
$\mathcal{M}_2$	79.67	78.48				79.08
$\mathcal{M}_3$	81.99	86.75	69.32			79.35
$\mathcal{M}_4$	82.55	81.18	69.19	83.27		79.05
$\mathcal{M}_5$	83.17	81.83	68.47	82.87	72.69	77.81

Table 9: Specific F1 scores on downstream tasks from each domain. We evaluate PLMs trained with different lifelong learning methods that choose BERT<sub>L6\_D384</sub> as the initial model  $\mathcal{M}_1$ . For example, when the PLM finishes training on the *i*-th domain, the specific performances of downstream tasks from domain  $\{1, \dots, i\}$ are reported.

to be the same. However, reporting training steps does not account for the the computations consumed by (1) the newly introduced model parameters in adapters and (2) the teacher model's forward during knowledge distillation. The above reasons would make the consumed FLOPs or train wall time of the evaluated methods different<sup>5</sup>. As mentioned before, in our experiments, by controlling the **train wall time** to be the same, we find distillation-based methods (Logit-KD) tend to perform worse than the memory replay algorithms (ER) in AP and downstream performances, which is different from Jin et al. (2021)'s conclusion.

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Besides, our work mainly focuses on the domainincremental data stream for PLM adaptation. Different from our work, Jin et al. (2021) also experiment on the PLM lifelong adaptation towards



Figure 6: Average representational similarity (ARS) of a stream of PLMs comparing different lifelong learning algorithms. We choose  $BERT_{L6_D384}$  as the initial PLM  $\mathcal{M}_1$ .

chronologically-ordered tweet stream and discuss the data distribution shift. In general, we believe lifelong learning for PLMs is an interesting topic to explore and hope both Jin et al. (2021) and our work could inspire more future research attempts towards this field. 1157

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# G Representational Similarity of a Stream of PLMs

We investigate the representational similarity (Abnar et al., 2019) of a descendant PLM and its ancestors. Representational similarity measures how similar two PLMs represent the data. Specifically, we experiment on a stream of PLMs when growing BERT<sub>L6\_D384</sub> to BERT<sub>L12\_D768</sub>. For a model  $\mathcal{M}_j$  and its ancestor  $\mathcal{M}_i$  ( $1 \le i \le j - 1$ ), we randomly sample n [MASK] tokens from the raw corpus  $\mathcal{D}_j$ , and get the probability distributions  $p_k^i$  and  $p_k^j$  output by the LM head of  $\mathcal{M}_i$  and  $\mathcal{M}_j$ , respectively for each [MASK] token k, where  $1 \le k \le n$ . We calculate the average representational similarity (ARS) between  $\mathcal{M}_j$  and all its ancestors { $\mathcal{M}_1, \dots, \mathcal{M}_{j-1}$ } as follows:

$$\operatorname{ARS}_{j} = \frac{-1}{(j-1) \times n} \sum_{i=1}^{j-1} \sum_{k=1}^{n} \operatorname{KL}(\boldsymbol{p}_{k}^{i}, \boldsymbol{p}_{k}^{j}), \qquad (4)$$

where KL denotes the Kullback-Leibler divergence between two probability distributions. Higher ARS<sub>j</sub> means the representations of  $\mathcal{M}_j$  and its ancestors are more similar. To some extent, ARS<sub>j</sub> could reflect how much knowledge / functionality of the ancestors is preserved by  $\mathcal{M}_j$ .

We compare ARS of PLMs trained by Naive, MAS, ER, Logit-KD and ELLE and illustrate the results in Figure 6, from which we observe that Logit-KD has the highest ARS. This is because the training objective of knowledge distillation in

<sup>&</sup>lt;sup>5</sup>We refer to Li et al. (2020) for the comparison among training steps, FLOPs and train wall time.

Domain	١	NВ		Ns	F	REV	I	310	(	CS
Metrics	AP	AP+	AP	AP+	AP	AP+	AP	AP+	AP	AP+
Half train wal	ll time									
MAS	7.96	-	8.50	6.22	12.85	18.88	13.99	17.52	10.31	10.22
ER	7.96	-	7.12	1.98	7.11	4.14	6.83	3.77	6.53	3.78
Logit-KD	7.96	-	7.72	1.12	7.27	1.94	7.17	2.08	7.06	1.99
PNN	7.96	-	6.75	0.00	5.53	0.00	5.09	0.00	5.03	0.00
ELLE (ours)	7.92	-	6.05	0.26	5.21	1.04	4.83	0.96	4.42	0.68
Smaller memo	ory									
MAS	7.96	-	8.08	5.65	13.44	21.17	13.87	17.67	9.91	9.75
ER	7.96	-	6.99	2.09	7.15	4.53	6.86	4.09	6.49	3.42
Logit-KD	7.96	-	7.68	1.15	7.24	2.06	7.21	2.27	7.05	2.16
PNN	7.96	-	6.52	0.00	5.29	0.00	4.84	0.00	4.76	0.00
ELLE (ours)	7.92	-	5.85	0.39	5.04	1.13	4.58	0.98	4.20	0.70
Full train wal	l time &	& memo	ory (the	main res	ults in §	4)				
ELLE (ours)	7.92	-	5.62	-0.20	4.81	0.64	4.41	0.64	4.06	0.44

Table 10: Average perplexity (AP) and average increased perplexity (AP<sup>+</sup>) of PLMs trained by different lifelong learning methods with half train wall time on Ns, Rev, Bio, CS domains and smaller memory containing 34M tokens for each domain. We evaluate the performance each time when PLMs finish training on one domain.

Domain	WB	Ns	Rev	BIO	CS	AVG
Half train	wall tin	ıe				
MAS	76.7	72.3	61.6	77.4	64.3	70.5
ER	78.0	71.0	61.1	77.4	65.8	70.7
Logit-KD	77.0	72.6	63.8	76.2	58.4	69.6
PNN	76.0	55.9	62.6	53.1	28.0	55.1
ELLE	82.0	78.4	68.7	81.7	74.0	77.0
Smaller me	emory					
MAS	77.1	73.7	60.7	77.5	68.2	71.5
ER	77.9	72.0	61.5	76.3	63.6	70.3
Logit-KD	77.0	73.1	63.3	75.9	57.4	69.3
PNN	76.0	64.9	64.2	55.1	30.5	58.1
ELLE	82.9	80.5	68.9	82.6	74.2	77.8
Full train v	vall tim	ie & me	emory (	the mai	n result	s in § 4)
ELLE	83.2	81.8	68.5	82.9	72.7	77.8

Table 11: Final downstream performance (F1) of BERT on each domain after finishing pre-training on all domains with half train wall time on Ns, Rev, Bio, CS domains and smaller memory containing 34M tokens for each domain. Experiments of Ns domain are repeated for 10 times with different seeds and others are repeated for 5 times.

Logit-KD is highly correlated with ARS. In addition, ELLE takes second place. We also find that, with PLMs continually absorbing new knowledge, the ASR generally decreases.

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## H Model Architectures for the Analysis of Model Expansion

In Table 12, we list the model architectures of all the investigated PLMs when conducting analysis of model expansion in § 5. Specifically, three strategies are investigated, including WE+FRW, DE+FRW and WE+DE+FRW. As mentioned in our main paper, for a fair comparison, we keep the total number of  $\mathcal{M}_i$ 's increased parameters for the above three strategies almost the same at each stage i.

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## I Performance of ELLE with Fewer Computational Budgets and Storage Budgets

To investigate the performance of ELLE under limited (1) computational budgets and (2) storage budgets, in this section, we take an initial step to investigate the effect of (1) training resources (train wall time) and (2) memory size for ELLE. Following the experimental setting in § 4, we continually grow BERT<sub>L6\_D384</sub> to BERT<sub>L12\_D768</sub> on a stream of data from 5 domains. We test the performance of ELLE and a series of lifelong learning baselines (MAS, ER, Logit-KD and PNN), by (1) reducing the train wall time by half (for Ns, REV, BIO and CS domain) and (2) randomly sample only 34M tokens (1% of the full corpus) as the memory  $\mathcal{D}_i^{sub}$ for each corpus *i*, compared with the memory size 200M in § 4.

The experimental results for the above two settings are listed in Table 10 (pre-training) and Table 11 (fine-tuning), respectively. We also illustrate the trend curves of AP and AP<sup>+</sup> in Figure 13 and Figure 14. From the above results, we find that: (1) when given fewer computational budgets and storage budgets, ELLE still outperforms all the lifelong learning baselines in both pre-training and downstream performance, which demonstrates the superiority of ELLE; (2) for ELLE, when PLMs are trained with fewer computational budgets, we observe significant performance drops in both pre-training (higher AP and AP<sup>+</sup>) and

downstream tasks (lower average F1). This shows
that pre-training with fewer computations would
harm PLMs' knowledge acquisition; (3) for ELLE,
when there are fewer memory budgets, although
we also observe slight performance drops in pre-
training (higher AP and AP <sup>+</sup> ), the performance
in downstream tasks is generally not influenced,
with the average F1 score keeping almost the same
(77.8). This shows the <b>data-efficiency</b> of PLMs,
i.e., PLMs could easily recall the learned knowl-
edge by reviewing small-scale data conserved in
the memory (as few as $1\%$ ). As mentioned before,
considering that for pre-training, the expense of
storage (e.g., hard disks) is far cheaper than the
computational resources (e.g., GPUs), the storage
space problem for memory seldom needs to be con-
sidered.

Model	$n_{ m params}$	$n_{\text{layers}}$	$d_{\text{model}}$	$n_{\rm heads}$	$d_{\rm FFN}$	lr
WE + FRW						
$\mathcal{M}_1$	30.3M	6	384	6	1536	$5.0 \times 10^{-4}$
$\mathcal{M}_2$	53.6M	6	576	9	2304	$5.0 \times 10^{-4}$
$\mathcal{M}_3$	82.2M	6	768	12	3072	$5.0 \times 10^{-4}$
$\mathcal{M}_4$	104M	6	896	14	3584	$5.0 \times 10^{-4}$
$\mathcal{M}_5$	129M	6	1024	16	4096	$5.0 \times 10^{-4}$
DE + FRW						
$\mathcal{M}_1$	30.3M	12	768	12	3072	$5.0 \times 10^{-4}$
$\mathcal{M}_2$	51.6M	18	768	12	3072	$2.5 \times 10^{-4}$
$\mathcal{M}_3$	83.6M	36	768	12	3072	$2.5 \times 10^{-4}$
$\mathcal{M}_4$	105M	48	768	12	3072	$2.5 \times 10^{-4}$
$\mathcal{M}_5$	126M	60	768	12	3072	$2.5 \times 10^{-4}$
WE + DE + FRW						
$\mathcal{M}_1$	30.3M	6	384	6	1536	$5.0 \times 10^{-4}$
$\mathcal{M}_2$	51.5M	8	512	8	2048	$5.0 \times 10^{-4}$
$\mathcal{M}_3$	82.2M	10	640	10	2560	$5.0 \times 10^{-4}$
$\mathcal{M}_4$	102M	11	704	11	2816	$5.0 \times 10^{-4}$
$\mathcal{M}_5$	$125 \mathrm{M}$	12	768	12	3072	$5.0 \times 10^{-4}$

Table 12: Model architectures the investigated PLMs of WE+FRW, DE+FRW, WE+DE+FRW. We keep the total number of  $M_i$ 's increased parameters for the above three strategies almost the same at each stage *i*.



Figure 7: AP and AP<sup>+</sup> of different lifelong learning methods with  $BERT_{L6_D384}$  as the initial PLM w.r.t train wall time. ELLE continually grows  $BERT_{L6_D384}$  to  $BERT_{L12_D768}$ .



Figure 8: AP and AP  $^+$  of ELLE when growing  $BERT_{L12\_D768}$  to  $BERT_{L24\_D1024}.$ 



Figure 9: AP and AP<sup>+</sup> of different lifelong learning methods with  $\text{GPT}_{\text{L6}_D384}$  as the initial PLM w.r.t train wall time. ELLE continually grows  $\text{GPT}_{\text{L6}_D384}$  to  $\text{GPT}_{\text{L12}_D768}$ .



Figure 10: AP and  $AP^+$  of PLMs trained with different model expansion strategies: expanding width only (WE+FRW), expanding depth only (DE+FRW) and expanding width and depth together (WE+DE+FRW) w.r.t train wall time.



Figure 11: AP and AP<sup>+</sup> of PLMs trained by WE+DE, WE+DE+FRW, WE+DE+FRW+ $\delta_N$  w.r.t train wall time.



Figure 12: AP and AP<sup>+</sup> of PLMs trained by ELLE with and without domain prompts w.r.t train wall time.



Figure 13: AP and AP<sup>+</sup> of different lifelong learning methods with  $BERT_{L6_D384}$  as the initial PLM w.r.t train wall time. The train wall time on News, Review, Bio, CS domains is half of the original experiment in Section 4. ELLE continually grows  $BERT_{L6_D384}$  to  $BERT_{L12_D768}$ .



Figure 14: AP and AP<sup>+</sup> of different lifelong learning methods with  $\text{BERT}_{\text{L6}_D384}$  as the initial with smaller memory PLM w.r.t train wall time. For domain *i*, we randomly sample only about 34M tokens as memory  $\mathcal{D}_i^{sub}$ , which is 1% of training corpus  $\mathcal{D}_i$ . ELLE continually grows  $\text{BERT}_{\text{L6}_D384}$  to  $\text{BERT}_{\text{L12}_D768}$ .