DM-CODEC: <u>D</u>ISTILLING <u>M</u>ULTIMODAL REPRESENTATIONS FOR SPEECH TOKENIZATION

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Abstract

Recent advancements in speech-language models have yielded significant improvements in speech tokenization and synthesis. However, effectively mapping the complex, multidimensional attributes of speech into discrete tokens remains challenging. This process demands acoustic, semantic, and contextual information for precise speech representations. Existing speech representations generally fall into two categories: acoustic tokens from audio codecs and semantic tokens from speech self-supervised learning models. Although recent efforts have unified acoustic and semantic tokens for improved performance, they overlook the crucial role of contextual representation in comprehensive speech modeling. Our empirical investigations reveal that the absence of contextual representations results in elevated Word Error Rate (WER) and Word Information Lost (WIL) scores in speech transcriptions. To address these limitations, we propose two novel distillation approaches: (1) a language model (LM)-guided distillation method that incorporates contextual information, and (2) a combined LM and self-supervised speech model (SM)-guided distillation technique that effectively distills multimodal representations (acoustic, semantic, and contextual) into a comprehensive speech tokenizer, termed DM-Codec. The DM-Codec architecture adopts a streamlined encoder-decoder framework with a Residual Vector Quantizer (RVQ) and incorporates the LM and SM during the training process. Experiments show DM-Codec significantly outperforms state-of-the-art speech tokenization models, reducing WER by up to 13.46%, WIL by 9.82%, and improving speech quality by 5.84% and intelligibility by 1.85% on the LibriSpeech benchmark dataset.

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1 INTRODUCTION

In recent years, the advent of Large Language Models (LLMs) has revolutionized various domains, offering unprecedented advancements across a wide array of tasks (OpenAI, 2024). A critical com-037 ponent of this success has been the tokenization of input data, enabling vast amounts of information processing (Du et al., 2024; Rust et al., 2021). Inspired by these breakthroughs, significant attention has shifted towards replicating similar successes in the realm of speech understanding and genera-040 tion (Défossez et al., 2022; Hsu et al., 2021). However, tokenizing speech into discrete units presents 041 unique challenges compared to text, as speech is inherently continuous and multidimensional, re-042 quiring various speech attributes such as acoustic properties, semantic meaning, and contextual clues 043 (Ju et al., 2024). Traditional approaches using feature representations such as Mel-Spectrograms 044 (Sheng et al., 2019), Mel-frequency cepstral coefficients (MFCCs) (Juvela et al., 2018), and Waveforms (Kim et al., 2021) have proven inadequate in capturing this full spectrum of information, resulting in suboptimal performance in downstream tasks such as speech synthesis (Ju et al., 2024). 046

These limitations led researchers to explore various approaches, and one prominent direction leading to audio codecs (Borsos et al., 2023). Notable examples include SoundStream (Zeghidour et al., 2021) and EnCodec (Défossez et al., 2022), which utilize Residual Vector Quantizers (RVQ) within a neural codec framework, iteratively refining quantized vectors to discretize speech into acoustic tokens. Concurrently, self-supervised speech representation learning models such as HuBERT (Hsu et al., 2021) and wav2vec 2.0 (Baevski et al., 2020) facilitated extracting speech representations as semantic tokens (Borsos et al., 2023). Efforts to unify acoustic and semantic representations have led to two notable approaches: SpeechTokenizer (Zhang et al., 2024a), which utilizes semantic dis-



Figure 1: Overview of speech tokenization using discrete acoustic, semantic, and contextual tokens. DM-Codec integrates these features for robust and comprehensive speech representation.

tillation from HuBERT, and FACodec (Ju et al., 2024), which proposes a factorized vector quantizer
 to disentangle speech representation into different subspaces using separate RVQs with supervision.

072 While these approaches have shown promising results, they often overlook a crucial aspect of speech 073 representation: the integration of contextual language information. Language models (LMs) have 074 demonstrated a remarkable ability to learn contextual representations that capture the meaning of to-075 kens based on their broader linguistic context (Devlin et al., 2019). These contextual representations 076 can provide essential insights into speech representation, allowing for a more nuanced understanding 077 of words in varying linguistic contexts. Our empirical investigations also reveal that existing discrete speech representation models struggle to align reconstructed speech with accurate textual form, resulting in elevated Word Error Rates (WER) and Word Information Lost (WIL) scores in speech 079 transcription tasks. This observation underscores the need for a more comprehensive approach to speech tokenization that incorporates contextual language information. 081

082 To address these challenges, we propose DM-Codec, a novel speech tokenizer that unifies multi-083 modal language and speech representations. Our approach builds on a neural codec architecture incorporating RVQ with encoder, decoder, and discriminator components. Central to our innovation 084 is the introduction of an LM-guided distillation method that effectively incorporates contextual rep-085 resentations into the speech tokenization process. This technique allows DM-Codec capturing the nuances of linguistic context often missed by existing models. Building upon the LM-guided ap-087 proach, we further propose a hybrid distillation method combining both LM and speech model (SM)-088 guided techniques. Moreover, we introduce a [CLS]-token-based distillation strategy that leverages 089 sequence-level holistic representations from the LM, effectively capturing global contextual infor-To the best of our knowledge, we are the first to attempt to integrate all three essential mation. 091 aspects of speech representation-acoustic, semantic, and contextual-within a single codec. See 092 Figure 1 for a depiction. In addition, to demonstrate the impact of multimodal representation and 093 generalizability of DM-Codec in downstream tasks, we introduce DM-Codec-TTS, a novel multimodal representation distilled neural codec-based text-to-speech model. 094

Through extensive experimentation on the LibriSpeech benchmark dataset (Panayotov et al., 2015), 096 we demonstrate the superiority of DM-Codec, which achieves significantly lower WER and WIL compared to state-of-the-art baseline speech tokenizers. Specifically, DM-Codec achieves a WER 098 of 4.05 and a WIL of 6.61, outperforming SpeechTokenizer (4.49, 7.10), FACodec (4.68, 7.33), and EnCodec (4.53, 7.17). Furthermore, DM-Codec exhibits improved speech quality, as evidenced by 099 its Virtual Speech Quality Objective Listener (ViSQOL) score of 3.26 and human evaluated Mean 100 Opinion Score (MOS) of 3.72, surpassing the performance of EnCodec (3.08, 3.09), SpeechTok-101 enizer (3.09, 3.67), and FACodec(3.13, 3.70). Similarly, DM-Codec-TTS excels in LibriSpeech and 102 VCTK evaluations, outperforming USLM and Vall-E in content preservation and naturalness. On 103 LibriSpeech, it achieves a WER of 5.08, WIL of 7.32, MOS of 3.70, and SMOS of 3.89, while 104 on VCTK, it achieves a WER of 3.58, WIL of 5.65, MOS of 3.78, and SMOS of 3.85. Notably, 105 DM-Codec-TTS-small achieves a WER of 10.26, WIL of 13.79, MOS of 3.24, and SMOS of 3.20, 106 surpassing USLM_libri across all metrics despite using the same smaller dataset.

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Our research makes the following key contributions:

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 We introduce DM-Codec, a novel speech tokenizer that incorporates contextual representations via the LM-guided distillation method.

- We present a novel combined LM and SM-guided representation distillation approach, uniting acoustic, semantic, and contextual representations into a unified framework.
- We propose a [CLS]-token-based distillation strategy that captures global contextual information from the LM, facilitating better alignment and transfer of contextual features.
- We introduce DM-Codec-TTS, a novel multimodal representation distilled neural codecbased text-to-speech model, demonstrating the applicability and generalizability of the DM-Codec framework in downstream speech synthesis tasks.
- Through comprehensive experiments and ablation studies, we demonstrate the effectiveness of DM-Codec in preserving increased contextual information and enhancing the retention of acoustic and speech information in reconstructed speech

2 PROPOSED METHOD

125 In this section, we present DM-Codec, a novel speech tokenizer designed to encapsulate a compre-126 hensive fusion of multimodal (acoustic, semantic, and contextual) representations. As illustrated 127 in Figure 2, we propose two distinct training approaches to incorporate these representations: (i) 128 a language model (LM)-guided distillation method, and (ii) a combined LM and self-supervised speech model (SM)-guided distillation method. The first approach distills contextual representa-129 tions from the LM and integrates them with learned acoustic representations. The second approach 130 combines SM and LM to further incorporate semantic representations with contextual and acoustic 131 representations. It ensures that DM-Codec captures the essential elements of speech by harmo-132 nizing the acoustic features with contextual and semantic information. In addition, we propose a 133 [CLS]-token-based distillation method, which focuses on leveraging the holistic sequence-level rep-134 resentations encoded by the [CLS] tokens of the LM. Moreover, we introduce the DM-Codec-TTS, a 135 novel multimodal representation distilled neural codec-based text-to-speech model that incorporates 136 DM-Codec into a neural codec language model architecture. The following subsections detail our 137 proposed distillation methods (§2.1), DM-Codec model details (§2.2) and training objectives (§2.3), 138 and DM-Codec-TTS model details and training objectives (§2.4).

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2.1 SPEECH AND LANGUAGE MODEL GUIDED DISTILLATION

142 Our approach first transcribes the raw speech x into its corresponding text x' using an Automatic 143 Speech Recognition (ASR) model M_{ASR} , such that $\mathbf{x}' = M_{ASR}(\mathbf{x})$, serving as an automation tool 144 for converting audio to text, with no other influence on later steps. For simplicity, we omit any post-145 processing techniques on the \mathbf{x}' . Subsequently, we pass the text \mathbf{x}' through a pretrained language model M_{LM} to obtain contextual representations of \mathbf{x}' , tokenized into a set of tokens, $\mathcal{T} = \{t_i\}_{i=1}^n$. 146 For each token t_i , we extract its corresponding layer-wise hidden representations $\{\mathbf{h}_i^l\}_{l=1}^L$, where 147 L denotes the total number of layers in M_{LM} . We utilize all layer representations to derive the 148 representations for each token, as each layer of a pre-trained language model captures hierarchical 149 and contextually distinct information (Niu et al., 2022; Kovaleva et al., 2019; Hao et al., 2019). To 150 obtain the contextual representation S_i for token t_i , we average the hidden representations across all 151 layers, yielding $\mathbf{S}_i = \frac{1}{L} \sum_{l=1}^{L} \mathbf{h}_i^l$, where $\mathbf{S}_i \in \mathbb{R}^D$ where D is the hidden dimension. Consequently, we obtain the contextual representations $\mathbf{S} = {\mathbf{S}_i}_{i=1}^n$ for the speech input \mathbf{x} , which captures the 152 153 contextually diverse information from M_{LM} . 154

Simultaneously, we process the raw speech x through an Encoder $\mathbf{E}(\mathbf{x})$ to obtain the latent feature v, with sequence length T'. We then pass v through a Residual Vector Quantizer (RVQ) to obtain quantized features $\mathbf{Q} = {\mathbf{Q}_k}_{k=1}^K$, where K represents the number of quantization layers in the RVQ, and $\mathbf{Q}_k \in \mathbb{R}^{D'}$ where D' is the hidden dimension of k^{th} RVQ layer. These quantized features are subsequently used to reconstruct the audio $\hat{\mathbf{x}}$ via a decoder. To align the quantized feature \mathbf{Q}_k with the LM distilled features \mathbf{S}_i , we apply a linear transformation $\mathbf{Q}'_k = \mathbf{W}\mathbf{Q}_k$, where $\mathbf{W} \in \mathbb{R}^{D' \times D}$, ensuring the dimensional consistency for the distillation process. To match the sequence length of \mathbf{Q}_k with \mathbf{S}_i , we pad the tokens n to the latent acoustic sequence length T'.



178 Figure 2: DM-Codec framework consists of an encoder that extracts latent speech representations, quantized using a Residual Vector Quantizer (RVQ). We propose two distillation approaches: (i) from a language model (LM), and (ii) from both an LM and a speech model (SM), integrating acoustic, semantic, and contextual features to enhance speech representations for downstream tasks.

LM Guided Distillation: In this approach, we distil the LM representations S. To calculate the distillation loss, we adopt a continuous representation distillation technique, similar to the one employed by SpeechTokenizer (Zhang et al., 2024a), which maximizes the cosine similarity at the dimension level across all time steps. In our case, we calculate the continuous representation distillation of the transformed quantized features \mathbf{Q}'_k and the LM representation features \mathbf{S} as follows:

$$\mathcal{L}_{L} = -\frac{1}{D} \sum_{d=1}^{D} \log \left(\sigma \left(\frac{\mathbf{Q}_{k}^{\prime(:,d)} \cdot \mathbf{S}^{(:,d)}}{\|\mathbf{Q}_{k}^{\prime(:,d)}\| \| \mathbf{S}^{(:,d)} \|} \right) \right)$$
(1)

192 Here, the notation (:, d) indicates a vector that includes values from all time steps at the d^{th} dimen-193 sion. The function $\sigma(\cdot)$ represents the sigmoid activation function.

194 Combined LM and SM Guided Distillation: To further enhance the capabilities of DM-Codec, 195 we propose a hybrid approach that utilizes both audio and text modalities. To derive semantic 196 representations from the speech model (SM), we adopt a similar distillation strategy as we used for 197 the LM. We first pass the raw speech x through the pretrained speech model M_{SM} , which generates its own set of layer-wise hidden representations $\{\mathbf{h}_{i}^{l}\}_{l=1}^{L}$. The semantic features are derived by 199 averaging the hidden states across all layers, yielding $\mathbf{A}_j = \frac{1}{L} \sum_{l=1}^{L} \mathbf{h}_j^l$, where $\mathbf{A}_j \in \mathbb{R}^D$. This process results in the semantic representations $\mathbf{A} = {\mathbf{A}_j}_{j=1}^n$ for the speech input \mathbf{x} . The distillation 200 201 loss in this case considers both the LM and SM representations, jointly optimizing for the alignment 202 of the quantized features \mathbf{Q}'_k with the representations A and S derived from M_{SM} and M_{LM} , 203 respectively. Finally, the distillation loss for the SM, \mathcal{L}_S , is first computed, followed by averaging 204 with the LM distillation loss, \mathcal{L}_L , to ensure a balanced contribution from both losses, as follows:

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$$\mathcal{L}_{S} = -\frac{1}{D} \sum_{d=1}^{D} \log \left(\sigma \left(\frac{\mathbf{Q}_{k}^{\prime(:,d)} \cdot \mathbf{A}^{(:,d)}}{\|\mathbf{Q}_{k}^{\prime(:,d)}\| \|\mathbf{A}^{(:,d)}\|} \right) \right)$$
(2)

$$\mathcal{L}_{LS} = \frac{1}{2} \left(\mathcal{L}_L + \mathcal{L}_S \right) \tag{3}$$

211 This formulation ensures that DM-Codec effectively integrates both acoustic and semantic knowl-212 edge from SM, along with the contextual information provided by LM, resulting in a more robust 213 and comprehensive set of features for speech discretization. 214

[CLS] Token Guided Distillation: We introduce a [CLS]-token-based distillation strategy, leverag-215 ing the [CLS] token's sequence-level holistic representation to capture global contextual information

from LM. This approach eliminates the need for fine-grained temporal alignment while preserving essential linguistic features. For this method, we use the layer-wise hidden representations of the [CLS] token alone. These representations, averaged across all layers, are denoted as $\mathbf{S}_{[CLS]} = \frac{1}{L} \sum_{l=1}^{L} \mathbf{h}_{[CLS]}^{l}$, where $\mathbf{S}_{[CLS]} \in \mathbb{R}^{D}$. To match the sequence length T' of the quantized features \mathbf{Q}'_{k} , the [CLS] token representation is repeated T' times, yielding $\mathbf{S}' = {\mathbf{S}_{[CLS]}, \mathbf{S}_{[CLS]}, \dots, \mathbf{S}_{[CLS]}}$.

The distillation loss follows the same formulation as the LM-guided distillation loss (Eqn. 1), replacing S with S'. This enables the distillation process to leverage the global contextual information encoded in the [CLS] token while ensuring alignment with the sequence length T'.

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2.2 **DM-CODEC:** MODEL DETAILS

227 Our framework builds upon the Residual Vector Quantizer with Generative Adversarial Networks 228 (RVQ-GAN) architecture, incorporating state-of-the-art components and novel distillation tech-229 niques. The core of our model consists of an Encoder E and Decoder D with an RVQ architecture, 230 inspired by Encodec (Défossez et al., 2022) and SpeechTokenizer (Zhang et al., 2024a). Moreover, we employ a multi-discriminator framework, comprising: Multi-Scale Discriminator (MSD), Multi-231 Period Discriminator (MPD), and Multi-Scale Short-Time Fourier Transform (MS-STFT) Discrim-232 inator, adopted from HiFi-Codec (Yang et al., 2023) and HiFi-GAN (Kong et al., 2020). Detailed 233 architectural specifications for these components are provided in the Appendix D. This foundation 234 provides a robust basis for speech quantization. To further enhance the quantizer with distilled 235 multimodal representations, we use wav2vec 2.0 (wav2vec2-base-960h) as M_{ASR} (Baevski et al., 236 2020), BERT (bert-base-uncased) as M_{LM} (Devlin et al., 2019), and HuBERT (hubert-base-ls960) 237 as M_{SM} (Hsu et al., 2021). We extract the quantized output from the first layer of the RVQ (RVQ-1) 238 for LM-guided distillation and the average of the quantized features across all eight layers (RVQ-239 1:8) for SM-guided distillation. Our experiments reveal LM distillation at the RVQ-1 layer best 240 preserves contextual nuances, while SM distillation at the RVQ1:8 layers ensures superior semantic retention. A detailed ablation study of RVQ layers is presented in Appendix C.2. 241

243 2.3 DM-CODEC: TRAINING OBJECTIVE

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Our training strategy employs a GAN-guided framework, following methodologies established in recent work (Zhang et al., 2024a; Yang et al., 2023). In addition to the distillation loss described in Section 2.1, we utilize reconstruction losses, adversarial and feature matching losses, and a commitment loss to guide the learning process. For the original speech x and the reconstructed speech \hat{x} , we calculate the losses as described below.

Reconstruction Loss. To ensure that the model preserves the key attributes of speech, we employ both time-domain and frequency-domain reconstruction losses. The time-domain loss \mathcal{L}_t is computed as the L1 distance between x and \hat{x} . For the frequency-domain loss \mathcal{L}_f , we combine L1 and L2 losses over 64-bin Mel-spectrograms Mel_i, with varying window sizes of 2^i , hop lengths of $2^i/4$, and scales $e = \{5, ..., 11\}$.

$$\mathcal{L}_{t} = \|\mathbf{x} - \hat{\mathbf{x}}\|_{1} \tag{4}$$

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$$f = \sum_{i \in e} (\|\text{Mel}_{i}(\mathbf{x}) - \text{Mel}_{i}(\hat{\mathbf{x}})\|_{1} + \|\text{Mel}_{i}(\mathbf{x}) - \text{Mel}_{i}(\hat{\mathbf{x}})\|_{2})$$
(5)

Adversarial Loss. The adversarial loss promotes the generator to produce realistic and indistinguishable speech. We apply a hinge loss formulation to compute the adversarial loss for both the generator \mathcal{L}_g and the discriminator \mathcal{L}_d . These losses are computed across all three discriminators: the multi-scale discriminator (MSD), multi-period discriminator (MPD), and the multi-scale STFT guided (MS-STFT) discriminator (details are in the Appendix D).

$$\mathcal{L}_g = \frac{1}{N} \sum_{n=1}^{N} \max(1 - R_n(\hat{\mathbf{x}}), 0)$$
(6)

$$\mathcal{L}_{d} = \frac{1}{N} \sum_{n=1}^{N} \left(\max(1 - R_{n}(\mathbf{x}), 0) + \max(1 + R_{n}(\hat{\mathbf{x}}), 0) \right)$$
(7)

where N is the number of discriminators and R_n represents the n^{th} discriminator.

Feature Matching Loss. To prevent the generator from overfitting to the discriminator's decisions, we apply a feature matching loss \mathcal{L}_{fm} . This loss compares features from each discriminator R_n 's internal layers M across all dimensions, promoting stability and better generalization.

$$\mathcal{L}_{fm} = \frac{1}{NM} \sum_{n=1}^{N} \sum_{m=1}^{M} \frac{\|R_n^m(\mathbf{x}) - R_n^m(\hat{\mathbf{x}})\|_1}{\text{mean}(\|R_n^m(\mathbf{x})\|_1)}$$
(8)

RVQ Commitment Loss. To guide the encoder to produce outputs that closely match their corresponding quantized values in the residual vector quantization (RVQ) process, we introduce a commitment loss \mathcal{L}_w . For N_q quantization vectors, where \mathbf{q}_i represents the current residual and \mathbf{q}_{c_i} is the closest entry in the corresponding codebook for the i^{th} entry, the \mathcal{L}_w is computed as:

$$\mathcal{L}_{w} = \sum_{i=1}^{N_{q}} \|\mathbf{q}_{i} - \mathbf{q}_{c_{i}}\|_{2}^{2}$$
(9)

Overall Generator Loss. The total generator loss \mathcal{L}_G is a weighted sum of the individual loss components, including the distillation loss $\mathcal{L}_{L/LS}$ (which is either \mathcal{L}_L or \mathcal{L}_{LS} depending on the chosen distillation method). We use the corresponding weighting factors $\lambda_{L/LS}$, λ_t , λ_f , λ_g , λ_{fm} , and λ_w to control the influence of each loss component on the overall training objective as:

$$\mathcal{L}_G = \lambda_{L/LS} \mathcal{L}_{L/LS} + \lambda_t \mathcal{L}_t + \lambda_f \mathcal{L}_f + \lambda_g \mathcal{L}_g + \lambda_{fm} \mathcal{L}_{fm} + \lambda_w \mathcal{L}_w$$
(10)

This comprehensive training objective ensures DM-Codec learns acoustic speech representations while incorporating semantic and contextual representation through novel distillation approaches.

2.4 DM-CODEC-TTS: MODEL DETAILS AND TRAINING OBJECTIVE

Following SpeechTokenizer (Zhang et al., 2024a) and VALL-E (Wang et al., 2023), we propose
DM-Codec-TTS, a novel multimodal representation distilled neural codec-based Text-To-Speech
(TTS) model. Extending upon general neural codec language models, DM-Codec-TTS, leverages
the strength of contextual and semantic representation distilled on our neural codec, DM-Codec.

Problem Formulation. For zero-shot TTS, the task is to synthesize speech for a given speaker. We frame it as a conditional codec language modeling problem, where the objective of DM-Codec-TTS is to predict the quantized acoustic features $\mathbf{Q} = {\{\mathbf{Q}_k\}_{k=1}^K}$, conditioned on a phoneme sequence **u** and an acoustic prompt $\tilde{\mathbf{P}} \in \mathbb{R}^{T' \times K}$ extracted from the input enrolled recording.

Training Objective. The model integrates both autoregressive (AR) and non-autoregressive (NAR) components to hierarchically encode information in speech. The AR component focuses on modeling content and speaker identity by predicting tokens \mathbf{Q}_1^t from the first Residual Vector Quantization (RVQ) layer using a transformer decoder-only architecture ϕ_{AR} . The AR training objective is:

$$\mathcal{J}_{\text{AR}} = -\sum_{t=0}^{T} \log p\left(\mathbf{Q}_{1}^{t} \mid \mathbf{Q}_{1}^{< t}, \mathbf{u}; \phi_{\text{AR}}\right)$$

In contrast, the NAR component focuses on acoustic details by predicting tokens Q_k (k = 2, ..., 8) from subsequent RVQ layers. The NAR training objective is:

$$\mathcal{J}_{\text{NAR}} = -\sum_{k=2}^{8} \log p\left(\mathbf{Q}_{k} \mid \mathbf{Q}_{< k}, \tilde{\mathbf{P}}, \mathbf{u}; \phi_{\text{NAR}}\right)$$

During inference, the AR model predicts tokens \mathbf{Q}_1 based on \mathbf{u} , while the NAR model iteratively generates $\mathbf{Q}_{2:8}$ using the AR output and the acoustic prompt $\tilde{\mathbf{P}}$. The combined tokens $\mathbf{Q} = \{\mathbf{Q}_1, \mathbf{Q}_{2:8}\}$ are decoded into a speech waveform via the neural codec.

Model Details. The AR and NAR models share an identical transformer structure, comprising 12 layers, 16 attention heads, a 1024-dimensional embedding space, 4096-dimensional feed-forward layers, and a dropout rate of 0.1.

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3 EXPERIMENTAL SETUP

Dataset. We trained DM-Codec using the LibriSpeech training set of 100 hours of clean speech 331 (Panayotov et al., 2015). This dataset was selected primarily because of its successful use for training 332 and evaluation in various speech tokenizer and modeling tasks (Zhang et al., 2024a; Ju et al., 2024; 333 Hsu et al., 2021). Before training, we made the data uniform by randomly cropping each sample 334 to three seconds and ensuring a consistent sample rate of 16 Hz. For DM-Codec-TTS, we utilized 335 LibriHeavy (Kang et al., 2024), a 50k-hour dataset of read English speech derived from LibriVox. 336 For training AR and NAR models of DM-Codec-TTS, we selected speech samples with durations 337 between 0.5 and 15 seconds; all data are sampled at 16 kHz. We also trained a DM-Codec-TTS 338 version, termed DM-Codec-TTS-small, on LibriTTS (Zen et al., 2019), a dataset comprising 585 339 hours of speech data sampled at 24 kHz from 2,456 speakers, along with the corresponding texts.

340 Training. We trained DM-Codec utilizing 2 to 4 A100 GPUs until the model converged within 100 341 epochs. The batch size ranged from 6 to 20, depending on GPU resource availability. We applied a 342 learning rate of 1×10^{-4} using the Adam optimizer with a 0.98 learning rate decay. The embedding 343 size was set to 1024 for RVQ and 768 for the LM and SM. For all experiments, we used a random 344 seed of 42 to ensure reproducibility. For the overall generator loss, we select the weight coefficients 345 proportionally as follows: $\lambda_{L/LS} = X$, $\lambda_f = 0.375X$, $\lambda_t = 4.15X$, $\lambda_w = 0.085X$, and set $\lambda_q = 1$ and $\lambda_{fm} = 1$. For DM-Codec-TTS, we trained the autoregressive (AR) and non-autoregressive 346 (NAR) models separately, each for 4 epochs, while DM-Codec-TTS-small was trained with 100 347 epochs for the AR model and 196 epochs for the NAR model. The batch size was determined 348 dynamically based on the maximum number of audio seconds, set to 280 for AR and 200 for NAR. 349 We employed a base learning rate of 0.05 using the ScaledAdam optimizer with warmup steps of 350 200. We also share our training code with the entire configuration file and a docker file to reproduce 351 the training environment in the Appendix A. 352

353 **Baselines.** We compared DM-Codec with the baseline speech tokenizers: EnCodec (Défossez et al., 2022), SpeechTokenizer (Zhang et al., 2024a), and FACodec (NaturalSpeech3) (Ju et al., 2024). We 354 reproduced SpeechTokenizer using the official training code and used official model checkpoints of 355 EnCodec and FACodec as the baselines. Additionally, we compared DM-Codec-TTS with neural 356 codec language models, USLM (from SpeechTokenizer (Zhang et al., 2024a)) and VALL-E (Wang 357 et al., 2023). As VALL-E and USLM's official training codes and models are not open-source, we 358 relied on results reported in their respective papers. For DM-Codec-TTS-small, we used USLM 359 (libri) as the baseline, an official model checkpoint trained on LibriTTS and shared via GitHub. 360

Evaluation Dataset. To evaluate DM-Codec, we randomly selected 300 audio samples from the 361 LibriSpeech test subset, following a similar practice of sampling test data used in our baselines 362 (Zhang et al., 2024a; Zeghidour et al., 2021) and to align the experimental setup with that of Speech-Tokenizer. In our experiments, we sampled the test subset of LibriSpeech using a random seed of 364 42. We also evaluated the baseline models with the same sampled test dataset for a fair comparison. 365 We evaluated DM-Codec-TTS on two distinct datasets: LibriSpeech test-clean subset, featuring 40 366 speakers, and VCTK, featuring 110 speakers. To align with VALL-E's (Wang et al., 2023) experi-367 mental setup, we constructed a 2.2-hour subset from LibriSpeech test-clean, selecting samples with 368 durations between 4 and 10 seconds. During synthesis, a separate utterance from the same speaker 369 was randomly chosen, and a 3-second segment was cropped as a reference speech for enrollment. For consistency and reliability, each experiment was repeated three times, and the average score was 370 reported. Following the setup of SpeechTokenzier (Zhang et al., 2024a) for VCTK, we selected a 371 3-second utterance from each speaker to serve as the prompt. A separate utterance from the same 372 speaker, containing different textual content, was used as input text for synthesis. 373

Evaluation Metrics. We employed different metrics to compare and evaluate the reconstructed
 speech from DM-Codec and synthesized speech from DM-Codec-TTS. First, we used the Word
 Error Rate (WER) and Word Information Lost (WIL) metrics to evaluate context preservation by
 calculating the amount of word-level transcription errors and key information missing in transcription, respectively. For these metrics, we used the Whisper (whisper-medium) (Radford et al., 2023)

378 model to extract the transcription from the reconstructed speech. To provide a fairer comparison 379 and indicate the level of transcription error by the Whisper model, we also included the Groundtruth 380 WER and WIL scores for the Whisper's transcribed text from the original speech versus the true 381 text. Next, we assessed the acoustic and semantic information preservation in reconstructed speech 382 using the ViSQOL (Virtual Speech Quality Objective Listener) (Hines et al., 2012) and Short-Time Objective Intelligibility (STOI) metrics, respectively. The ViSQOL metric measures the similarity between a reference and a test speech sample using a spectro-temporal measure and produces a 384 MOS-LQO (Mean Opinion Score - Listening Quality Objective) score ranging from 1 (worst) to 5 385 (best). For this metric, we used the wideband model suited for speech evaluation. Lastly, the STOI 386 metric evaluates the perceived intelligibility of speech by analyzing short-time correlations between 387 original and reconstructed speech, with scores ranging from 0 to 1. 388

We conducted human evaluations to measure the Mean Opinion Score (MOS) and Similarity Mean Opinion Score (SMOS) using 50 English-proficient participants. Evaluations used randomized, anonymized samples rated on a 1-to-5 scale, with higher scores indicating better performance. MOS assesses the naturalness, intelligibility, and clarity of reconstructed and synthesized speech, while SMOS evaluates similarity to the prompt speaker's voice. Additionally, speaker similarity (Similarity) between the synthesized speech and the prompt speech was quantified using cosine similarity between normalized speaker embeddings extracted with WavLM-TDNN (Chen et al., 2022). Details on human evaluations are in Appendix G.

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4 EXPERIMENTAL RESULTS AND DISCUSSION

To evaluate the performance of DM-Codec, we conducted a comprehensive set of experiments assessing speech reconstruction quality and contextual retention. Our analysis compared variants of DM-Codec —DM-Codec (LM), employing LM-guided distillation, DM-Codec (LM+SM), incorporating both LM and SM-guided distillation, and DM-Codec (CLS), which integrates [CLS]token guided distillation—against state-of-the-art (SOTA) speech tokenization models. Moreover, we compare DM-Codec-TTS and DM-Codec-TTS-small with SOTA neural codec language models.

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4.1 SPEECH RECONSTRUCTION EVALUATION

Table 1: Evaluation of speech reconstruction quality of DM-Codec and comparison with baselines.
DM-Codec (LM+SM) achieves the best performance in WER, WIL, ViSQOL, and MOS, highlighting its enhanced content preservation and speech quality. [∞] means the results were reproduced using
the official training code. [◊] means the results were obtained using official model checkpoints. (LM)
indicates LM-guided distillation method. (LM+SM) indicates combined LM and SM-guided distillation
lation method. CLS indicates [CLS]-token based distillation method. Baseline indicates DM-Codec
without any distillation method. Bold highlights the best and <u>underline</u> the second-best result.

Model	WER \downarrow	$\textbf{WIL}\downarrow$	ViSQOL ↑	STOI ↑	Similarity \uparrow	MOS ↑
Groundtruth	3.78	6.03	-	-	-	-
EnCodec [♦]	4.53	7.17	3.08	0.920	0.980	3.09
SpeechTokenizer [♡]	4.49	7.10	3.09	0.923	0.993	3.67
FACodec♦	4.68	7.33	3.13	0.949	0.996	3.70
DM-Codec (Baseline)	4.97	8.02	2.95	0.935	0.991	3.13
DM-Codec (LM)	4.36	7.06	3.18	0.935	0.994	3.69
DM-Codec (LM+SM)	4.05	6.61	3.26	0.937	0.994	3.72
DM-Codec (CLS)	4.47	7.08	3.12	0.926	0.993	3.65

We compared the quality of DM-Codec's discrete speech representations by reconstructing speech from quantized vector features and comparing it with state-of-the-art speech tokenization models: EnCodec, SpeechTokenizer, and FACodec. For this evaluation, we select DM-Codec variants where the first Residual Vector Quantizer layer (RVQ-1) was used for LM distillation and all RVQ layers (RVQ-1:8) were employed for SM distillation.

Results: The performance results, summarized in Table 1, demonstrate that all variants of DM-Codec either surpass or closely compete with the baselines. Specifically, DM-Codec (LM) outperforms baselines on content preservation (WER 4.36, WIL 7.06, and ViSQOL 3.18) and EnCodec and SpeechTokenier on speech quality (ViSQOL 0.935, STOI 0.994, MOS 3.69). DM-Codec (LM+SM) with combined LM and SM-guided distillation outscores DM-Codec (LM) and all previous scores

with 4.05 WER, 6.61 WIL, 3.26 ViSQOL, and 3.72 MOS, while acquiring second best 0.937 STOI and 0.994 Similarity scores. In addition, DM-Codec (CLS) with [CLS]-token based distillation also outperforms the baselines in terms of WER (4.47) and WIL (7.08), and maintains a compatible ViSQOL (3.12), STOI (0.926), Similarity (0.993), and MOS (3.65) scores.

436 Discussion: The superior performance of DM-Codec (LM) is attributed to its innovative LM-437 guided distillation, which incorporates contextual representations into the model. This approach 438 enhances the global alignment of speech features with contextual cues, leading to significant re-439 ductions in WER and WIL, as well as improvements in speech characteristics, as evidenced by the 440 strong ViSQOL, STOI, and MOS scores. The combined LM- and SM-guided distillation in DM-441 Codec (LM+SM) further amplifies these benefits by integrating semantic representations into the 442 model. This dual representation-contextual alignment from LM and semantic understanding from SM—enables a more coherent and natural reconstruction of speech, yielding superior results across 443 all evaluation metrics. Additionally, the [CLS]-token-guided distillation offers a holistic representa-444 tion of the entire contextual input, facilitating enhanced alignment of contextual cues. This method's 445 ability to retain meaningful content is reflected in its competitive WER and WIL scores and balanced 446 performance across other metrics. The impact of these distillation techniques becomes evident when 447 compared to the DM-Codec (Baseline) model, which does not use any distillation and demonstrates 448 significantly lower performance across all metrics. This highlights the critical role of distillation in 449 enhancing both the contextual and semantic dimensions of speech representation. 450

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Table 2: Significance Analysis on LibriSpeech Test Set. Significance analysis is conducted at $\alpha = 0.05$ between LM and SM-guided **DM-Codec (D)**, EnCodec (E), SpeechTokenizer (S), and FACodec (F). Comparisons are performed row vs. column (e.g., D vs. E, E vs. S). Results reveal DM-Codec consistently achieves significantly better scores in key metrics across all individual samples. A \checkmark indicates significance, a \star denotes dominance, and a \checkmark means no significance. Avg and Std mean the average and standard deviation of each score.

		W	ER ↓	-				W	ΊL↓				ViS	QOL	1				ST	OI↑			
	Avg	Std	D	Е	S	F	Avg	Std	D	Е	S	F Avg	Std	D	Е	S	F	Avg	Std	D	Е	S	F
D	4.774	0.100	-	1	1	1	7.510	0.139	-	1	1	✓ 3.197	0.184	-	*	1	1	0.937	0.021	-	1	1	X
E	4.828	0.100	X	-	1	1	7.593	0.137	X	-	1	✓ 3.064	0.201	X	-	X	X	0.917	0.021	X	-	X	X
s	4.942	0.101	X	X	-	X	7.725	0.138	X	X	-	× 3.080	0.190	X	1	-	X	0.920	0.025	X	1	-	X
F	4.914	0.103	X	X	1	-	7.643	0.141	X	X	1	- 3.113	0.250	X	1	1	-	0.946	0.023	1	1	1	-

4.2 SIGNIFICANCE ANALYSIS OF SPEECH TOKENIZER PERFORMANCE

468 We conducted a significance analysis at $\alpha = 0.05$ on the LibriSpeech test-clean subset containing 469 2,620 samples. We follow the approach of Dror et al. (2019), to measure the stochastic dominance of DM-Codec over the baselines: EnCodec, SpeechTokenizer, and FACodec. Specifically, we 470 computed inverse cumulative distribution functions (CDFs) for all reconstructed speech samples' 471 individual WER, WIL, ViSQOL, and STOI scores. Significance was evaluated using the ϵ value and 472 categorized as: significantly better when $0.0 < \epsilon \le 0.5$, significantly dominant when $\epsilon = 0.0$, and 473 not significantly better when $\epsilon > 0.5$. For this analysis, we selected DM-Codec (LM+SM), trained 474 with combined LM and SM-guided distillation. To the best of our knowledge, we are the first to 475 conduct significance analysis to measure the effectiveness of different speech tokenizers. 476

Results and Discussion: The results in Table 2 show that DM-Codec significantly outperforms the 477 baselines in WER, WIL, ViSQOL, and STOI scores. The improved average values (4.774 WER, 478 7.510 WIL, 3.197 ViSQOL, 0.937 STOI) and consistent standard deviations (0.100 WER, 0.139 479 WIL, 0.184 ViSQOL, 0.021 STOI) further demonstrate the statistical significance. Notably, DM-480 Codec's performance in WER and WIL underscores the importance of contextual representation 481 distillation for enhanced speech reconstruction. Additionally, its strong performance in ViSQOL 482 and STOI, especially over EnCodec, highlights the benefits of combining LM and SM distillation 483 for retaining semantic-acoustic fidelity. While DM-Codec does not achieve significance over FA-484 Codec in terms of STOI, it significantly outperforms the baselines across all other metrics. Among 485 the baseline, FACodec achieves significance over SpeechTokenizer, whereas EnCodec outperforms SpeechTokenizer in WER and WIL, SpeechTokenizer excels in ViSQOL and STOI over EnCodec.

Table 3: Evaluation of DM-Codec-TTS on the LibriSpeech and VCTK datasets. Results show that 486 DM-Codec-TTS outperforms other models in terms of WER, WIL, Similarity, MOS, and SMOS. 487 denotes results obtained using officially shared model checkpoints, and [†] indicates results directly 488 obtained from the paper. * indicates model trained with LibriTTS dataset. 489

	LibriSpeech Evaluation						VCTK Evaluation							
Model	WER \downarrow	$\textbf{WIL}\downarrow$	Similarity \uparrow	$\textbf{MOS} \uparrow$	$\mathbf{SMOS} \uparrow$	WER \downarrow	WIL \downarrow	Similarity \uparrow	$\textbf{MOS} \uparrow$	SMOS ↑				
DM-Codec-TTS	5.08	7.32	0.82	3.70	3.89	3.58	5.65	0.82	3.78	3.85				
Vall-E [†]	5.90	-	0.58	-	4.38	-	-	0.38	-	3.81				
USLM [†]		-	-	-	-	6.50	-	0.84	3.63	3.45				
DM-Codec-TTS*	10.26	13.79	0.82	3.24	3.20	5.02	8.21	0.79	3.39	3.28				
USLM_libri 👌 🐥	16.72	25.65	0.80	3.11	2.83	14.79	23.24	0.78	2.94	2.63				

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4.3 SPEECH SYNTHESIS TEXT-TO-SPEECH EVALUATION

500 We compared the Zero-shot TTS ability of DM-Codec-TTS with baseline USLM and VALL-E. We use DM-Codec (LM+SM) to encode acoustic prompt features as input and decode quantized 502 acoustic features predicted by DM-Codec-TTS.

Results and Discussion. The results in Table 3 show that DM-Codec-TTS outperforms USLM 504 and VALL-E baselines. In both benchmark evaluations, DM-Codec achieves the lowest WER (5.08, 505 3.58), WIL (7.32, 5.65), and highest MOS (3.70, 3.78), while achieving closely aligned Similarity to 506 USLM in VCTK, and superior SMOS 3.85 compared to VALL-E and USLM in VCTK. Moreover, 507 DM-Codec-small significantly outperforms USLM in all metrics in both benchmarks.

508 The improved performance strongly indicates that hierarchical modeling in DM-Codec-TTS effec-509 tively utilizes the distilled contextual and semantic knowledge in DM-Codec. This highlights the 510 benefits of hierarchical modeling to bridge the gap between linguistic content and acoustic fidelity. 511 The improved performance strongly indicates that hierarchical modeling in DM-Codec-TTS effec-512 tively utilizes the contextual and semantic knowledge distilled in DM-Codec. Unlike VALL-E, 513 which relies on EnCodec to model audio tokens, DM-Codec-TTS leverages distilled multimodal 514 representation cues to integrate fine-grained acoustic details with high-level semantic understanding. 515 This demonstrates the strength of contextual and semantic-aware hierarchical modeling in bridging 516 linguistic content with acoustic fidelity, leading to more natural and intelligible speech synthesis.

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5 **RELATED WORK**

520 The adoption of textual LMs for speech-related tasks is a promising direction. Generally, an audio 521 encoder converts audio signals into discrete representations, which are passed to pre-trained textual 522 LLMs. This approach has been explored by (Hassid et al., 2024), (Wang et al., 2024), (Zhang et al., 523 2023), (Fathullah et al., 2023), (Shu et al., 2023), and (Rubenstein et al., 2023). Another method 524 involves the corresponding text to feed directly into an LM (Zhang et al., 2024b). Most of these 525 approaches aim to extract representations through LMs while focusing on speech reconstruction 526 training objectives. Recently, LAST (Turetzky & Adi, 2024), explored a language model to tokenize speech toward improved sequential modeling, using the LLM to perform the next token prediction of 527 quantized vectors. However, these approaches significantly differ from our method and do not focus 528 on combining multimodal representations. More details are reported in the Technical Appendix E. 529

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CONCLUSION 6

In this work, we introduced DM-Codec, a speech tokenizer with novel distillation methods lever-534 aging multimodal (acoustic, semantic, and contextual) representations from language and speech 535 self-supervised models. Experimental results and ablation studies show that distilling multimodal 536 representations enables DM-Codec to introduce salient speech information in discrete speech to-537 kens. Our significance analysis further revealed that DM-Codec with comprehensive multimodal representations consistently outperforms existing speech tokenizers. This approach highlights the 538 potential of multimodal representations to enhance speech tokenization in various domains, including multilingual and code-switched speech processing.

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Technical Appendix

A **RESOURCES**

We provide the code for training DM-Codec, trained model checkpoints, and Dockerfile for a reproducible code environment. The links are shared anonymously for the double-blind review process. We will publicly share all resources after the completion of the review timeline.

- Model training codebase: Codebase
- Trained model checkpoints for inference: Model-checkpoints
- Dockerfile for reproducible environment: Docker
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B REPRESENTATION ALIGNMENT IN LM DISTILLATION

A core principle of our approach is that strict temporal alignment between text and acoustic representations is not necessary for effective contextual knowledge distillation. The discrete representations produced by the Residual Vector Quantizer (RVQ) inherently encode holistic information about the speech segment rather than temporally localized features. This characteristic enables the distillation of contextual representations into discrete tokens without reliance on strict temporal correspondence.

As illustrated in Figure 2, the RVQ outputs $\{Q_1, \ldots, Q_{T'}\}$ capture comprehensive speech information across the entire utterance. Temporal alignment between these discrete representations and contextual representations (e.g., from BERT) or semantic representations (e.g., from HuBERT) is therefore not essential. The effectiveness of dimension-level semantic representation distillation without temporal alignment has been previously demonstrated in SpeechTokenizer (Zhang et al., 2024a), providing a robust theoretical foundation for this approach.

Vector quantizer output representations are not inherently aligned with time steps, but instead encode holistic speech information. This capability has been established in prior work (Zhang et al., 2024a; Huijben et al., 2024; Islam et al., 2024; 2023), which demonstrates the potential of vector quantization to discretize input data into intermediate representations that capture essential features across the feature dimension. Consequently, imposing a temporal alignment between vector quantizer outputs and hidden layer representations from language or semantic models would neither align with the methodological objectives nor enhance the efficacy of the proposed distillation approach.

- 789 To achieve effective contextual and semantic knowledge transfer, we employ a continuous distil-790 lation loss that maximizes cosine similarity at the feature dimension level between the selected 791 RVQ layer outputs and the teacher representations across all time steps. Unlike conventional meth-792 ods that rely on time-step-wise loss calculations (Zhang et al., 2024a; Chang et al., 2022), this 793 dimension-level cosine similarity loss ensures that DM-Codec captures contextual and semantic 794 knowledge through LM-Guided Distillation and Combined LM and SM-Guided Distillation mech-795 anisms, without requiring strict temporal alignment.
- In addition, we propose a [CLS]-token-based distillation strategy to address alignment concerns.
 The [CLS] token encodes sequence-level holistic representations, capturing global contextual information from language models. By leveraging this token, our method eliminates the need for fine-grained temporal alignment while preserving essential linguistic features. This complements the dimension-level distillation strategy by focusing on global sequence features, enabling the adaptability of our approach to scenarios where fine-grained alignment is infeasible or unnecessary.
- To validate the effectiveness of the [CLS]-token-based distillation strategy, additional experiments
 were conducted. As shown in Table 1, all DM-Codec variants—including DM-Codec (CLS), DM-Codec (LM-guided), and DM-Codec (LM+SM-guided)—consistently outperform baseline models
 (EnCodec, SpeechTokenizer, and FACodec) in content preservation metrics (WER and WIL) while
 maintaining competitive performance in speech quality metrics (ViSQOL and STOI). These results
 corroborate the robustness of the proposed approach.
- By prioritizing the holistic integration of multimodal knowledge into discrete speech representations,
 rather than strict temporal alignment, DM-Codec achieves significant advancements in both content
 preservation and speech quality.

⁸¹⁰ C ABLATION STUDIES

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843 844 We conducted a comprehensive analysis of DM-Codec's performance and the impact of each methodological choice in LM-guided and combined LM and SM-guided distillation. Unless otherwise stated, we use distillation for both LM and SM to the first Residual Vector Quantizer layer (RVQ-1) for comparison consistency and simplicity. The following ablation studies were conducted concurrently with a similar configuration and model design except for the explicitly noted changes.

C.1 ABLATION STUDY: IMPACT OF COMBINED SEMANTIC DISTILLATION



Figure 3: Effects of weights on combined distillation from Speech Model (SM) and Language Model (LM). Higher LM weight generally results in improved WER, suggesting its stronger contribution to content preservation. Here, λ_{SM} is the weight added to SM and λ_{LM} is the weight added to LM, where ($\lambda_{SM} + \lambda_{LM} = 1$).

We conducted experiments with different weighted combinations of LM and SM distillation loss to evaluate their impact on reducing WER. The combined distillation loss from Equation 3 was updated using SM and LM weights (λ_{SM} and λ_{LM}), ranging from 0.0 to 1.0, with the constraint $\lambda_{SM} + \lambda_{LM} = 1$.

 $\mathcal{L}_{LS} = \frac{1}{2} \left(\lambda_{LM} \cdot \mathcal{L}_L + \lambda_{SM} \cdot \mathcal{L}_S \right) \tag{11}$

Results and Discussion: The experimental results are presented in Figure 3, showing the speech 845 reconstruction results with WER scores for different weighted combinations. From the values, we 846 notice a trend showing that incorporating LM representations generally improves WER, especially 847 when LM distillation is dominant. The lowest WER score of 4.07 occurs with a weight of $\lambda_{LM} =$ 848 0.8 for LM, while $\lambda_{SM} = 0.2$ for SM, highlighting the strong influence of LM distillation on captur-849 ing contextual information. A balanced weighting of $\lambda_{SM} = 0.5$ and $\lambda_{LM} = 0.5$ produces a WER 850 of 4.18, confirming that distillation from both LM and SM is beneficial. However, as the weighting 851 shifts more in favor of SM ($\lambda_{SM} > 0.7$), WER deteriorates, reaching 4.83 when relying entirely on 852 SM. This underscores that over-reliance on SM distillation compromises contextual accuracy in fa-853 vor of raw speech features. Notably, the interaction between LM and SM weights plays a crucial role, as the combined distillation influences the overall WER beyond individual distillation contributions. 854 For instance, the higher WER observed at $\lambda_{LM} = 0.9$ compared to $\lambda_{LM} = 0.3$ or $\lambda_{LM} = 0.5$ high-855 lights the importance of tuning both weights synergistically, rather than favoring one in isolation. 856

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C.2 ABLATION STUDY: IMPACT OF DISTILLATION ON DIFFERENT RVQ LAYERS

We evaluated the effect of applying distillation at various Residual Vector Quantizer (RVQ) layers, including the first layer (RVQ-1), the average of eight layers (RVQ-1:8), and the last layer (RVQ-8). Table 4 shows the full results.

Results and Discussion: In LM-guided distillation, RVQ-1:8 achieves the best WER and WIL scores (4.23 and 6.94), though with lower ViSQOL and STOI scores (3.12 and 0.929) compared to

Table 4: Analysis of different RVQ layers effect on speech reconstruction. LM-guided distillation on RVQ-1 layer ensures greater content preservation, while SM-guided distillation on RVQ-1:8 layer is more effective at preserving semantic representation. LM-layer and SM-layer indicate the RVQ layer used for respective distillation. (LM) indicates LM-guided Distillation. (LM+SM) indicates combined LM and SM-guided Distillation. Bold highlights the best result and underline the second-best result.

Tokenizer	LM-Layer	SM-Layer	WER \downarrow	WIL \downarrow	ViSQOL ↑	STOI ↑
DM-Codec (LM)	RVQ-1	-	4.36	7.06	3.18	0.935
DM-Codec (LM)	RVQ-1:8	-	4.23	6.94	3.12	0.929
DM-Codec (LM)	RVQ-8	-	4.44	7.22	3.28	0.935
DM-Codec (LM+SM)	RVQ-1	RVQ-1	4.18	6.84	3.13	0.933
DM-Codec (LM+SM)	RVQ-1:8	RVQ-1	4.59	7.34	3.21	0.937
DM-Codec (LM+SM)	RVQ-8	RVQ-1	4.49	7.24	3.30	0.938
DM-Codec (LM+SM)	RVQ-1	RVQ-1:8	4.05	6.61	3.26	0.937
DM-Codec (LM+SM)	RVQ-1	RVQ-8	4.39	7.08	3.33	0.939

RVQ-8 (3.28 and 0.935). The RVQ-1 layer provides the best overall balance between content preser-vation and perceptual quality, with WER, WIL, ViSQOL, and STOI scores of 4.36, 7.06, 3.18, and 0.935. This demonstrates RVQ-1:8 prioritizes contextual integrity, while RVQ-8 favors perceptual quality. Thus, we select RVQ-1 for LM-guided distillation due to its balanced performance.

For LM and SM-based distillation, the RVQ-1 and RVQ-1:8 combination achieves the best WER and WIL scores (4.05 and 6.61), with RVQ-1 and RVQ-1 as the second-best (4.18 and 6.84). In contrast, the RVQ-1 and RVQ-8 combination yields the highest ViSQOL and STOI scores (3.33 and 0.939), followed by RVQ-8 and RVQ-1 (3.30 and 0.938). RVQ-1 captures contextual representation more effectively due to its simpler quantized vector, while RVQ-1:8 incorporates more nuanced semantic and acoustic aspects. Overall, this ablation shows that selecting RVQ layers for LM and SM-based distillation greatly affects the balance between contextual accuracy and semantic-acoustic fidelity, allowing layer combinations to be tailored to task requirements.

C.3 ABLATION STUDY: IMPACT OF DIFFERENT MODELS ON DISTILLATION

Table 5: Analysis of representation distillation from different models. BERT can be effectively combined with HuBERT or wav2vec 2.0, however, ELECTRA in LM-guided distillation outperforms BERT. (LM) indicates LM-guided Distillation. (LM+SM) indicates combined LM and SM-guided Distillation. Bold highlights the best result and underline the second-best result.

Tokenizer	LM	SM	WER \downarrow	$\textbf{WIL}\downarrow$	ViSQOL ↑	STOI 1
DM-Codec (LM)	BERT	-	4.36	7.06	3.18	0.935
DM-Codec (LM)	ELECTRA	-	4.12	6.63	3.10	<u>0.936</u>
DM-Codec (LM+SM)	BERT	HuBERT	4.18	6.84	3.13	0.933
DM-Codec (LM+SM)	BERT	wav2vec 2.0	4.13	6.77	3.15	0.942
DM-Codec (LM+SM)	ELECTRA	wav2vec 2.0	4.70	7.51	3.14	0.933
DM-Codec (LM+SM)	ELECTRA	HuBERT	4.67	7.58	2.94	0.932

We experimented with different LM and SM distillations to analyze performance variations based on different model selections. In addition to our selected BERT (Devlin et al., 2019) and HuBERT (Hsu et al., 2021), we experiment with ELECTRA (electra-base-discriminator) (Clark et al., 2020) as the LM and wav2vec 2.0 (wav2vec2-base-960h) (Baevski et al., 2020) as the SM. Table 5 shows the full results.

Results and Discussion: In LM-guided distillation, the ELECTRA model significantly enhances performance, achieving WER and WIL scores of 4.12 and 6.63, respectively, compared to BERT's scores of 4.36 and 7.06. This indicates the architecture of ELECTRA's effectiveness for the proposed LM-guided distillation, demonstrating its superior contextual representation. These results are consistent with ELECTRA's better performance in general natural language processing tasks. However, we select BERT for its simplicity and established performance.

In LM and SM-guided distillation, the combination of BERT and wav2vec 2.0 achieves the highest
overall performance, with scores of WER 4.13, WIL 6.77, ViSQOL 3.15, and STOI 0.942. However,
the combination of BERT and HuBERT closely follows with second-best scores of WER 4.18, WIL
6.84, and ViSQOL 0.933. These findings demonstrate that different speech models can be effectively
integrated with the BERT model.

C.4 Ablation Study: Impact of Different Distillation Layer(s)

Table 6: Analysis of different distillation layers representation on speech reconstruction. Average layer provides more comprehensive representations. (LM) indicates LM-guided Distillation. (LM+SM) indicates combined LM and SM-guided Distillation. **Bold** highlights the best result and <u>underline</u> the second-best result.

Tokenizer	Distillation Layer(s)	WER \downarrow	$\textbf{WIL}\downarrow$	ViSQOL ↑	STOI ↑
DM-Codec (LM)	Average	4.36	7.06	3.18	0.935
DM-Codec (LM)	Last	4.62	7.56	2.95	0.926
DM-Codec (LM)	9 th	4.75	7.80	2.88	0.925
DM-Codec (LM+SM)	Average	4.18	6.84	3.13	0.933
DM-Codec (LM+SM)	Last	4.68	7.55	3.03	0.933
DM-Codec (LM+SM)	9^{th}	4.52	7.43	3.00	0.933

We evaluated speech reconstruction using different distillation layers of the LM and SM, examining
 which combination of layers yields the most relevant representations of semantic and contextual
 information. For this ablation, we considered the average of all layer representations, the 9th layer
 representations, and the last layer representations. Table 6 shows the full results.

Results and Discussion: In LM-guided distillation, the use of the average layer achieves superior
overall performance, with a WER of 4.36, WIL of 7.06, ViSQOL of 3.18, and STOI of 0.935, compared to the variants utilizing the last and 9th layers. Similarly, in LM and SM-guided distillation, the average layer yields superior results compared to the last and 9th layer variants.

The results indicate that averaging all layers leads to more comprehensive representations of seman tic or contextual information. In the case of LM, the averaging process provides greater contextual
 representation and synergizes syntactic information from earlier layers and abstract word relations
 from higher layers. In combined LM and SM-guided distillation, averaging all SM layers provides a
 more nuanced understanding of the earlier layer's phonetic information and the higher layers' richer
 semantic information. Conversely, relying solely on the last layer or the 9th layer fails to capture the
 overall context and semantic information, yielding less relevant representation distillation.

C.5 ABLATION STUDY: IMPACT OF LOW BIT RATE (S)

Table 7: Low Bit Rate Speech Reconstruction Evaluation. DM-Codec (LM+SM) showcases its robustness at reduced bitrates, outperforming baselines at 3 kbps and maintaining competitive content preservation scores (WER, WIL) and superior speech quality (ViSQOL, STOI) at 1.5 kbps. f_s represents the audio sample rate, and f_r the codec frame rate. \heartsuit means the results were reproduced using the official training code. \diamondsuit means the results were obtained using official model checkpoints. (LM) indicates LM-guided Distillation method. (LM+SM) indicates combined LM and SM-guided Distillation method.

Model	f_s	f_r	Bitrate	WER \downarrow	WIL \downarrow	ViSQOL \uparrow	STOI ↑
DM-Codec (LM+SM)	16 kHz	50 Hz	3 kbps	4.29	7.04	3.070	0.928
DM-Codec (LM)	16 kHz	50 Hz	3 kbps	4.38	7.09	3.042	0.924
SpeechTokenizer♡	16 kHz	50 Hz	3 kbps	4.70	7.43	2.905	0.911
EnCodec [♦]	24 kHz	50 Hz	3 kbps	4.80	7.80	2.550	0.872
DM-Codec (LM)	16 kHz	50 Hz	1.5 kbps	6.14	10.13	2.644	0.880
DM-Codec (LM+SM)	16 kHz	50 Hz	1.5 kbps	6.19	10.16	2.662	0.894
SpeechTokenizer♡	16 kHz	50 Hz	1.5 kbps	5.61	9.02	2.500	0.846
EnCodec [♦]	24 kHz	50 Hz	1.5 kbps	10.53	16.63	2.443	0.809

We evaluated speech reconstruction with reduced bitrates of 1.5kbps and 3kbps in DM-Codec and compared it with SpeechTokenizer and EnCodec. Both DM-Codec and SpeechTokenizer are trained on 16kHz sample rates and produce 50Hz codec frame rates, whereas EnCodec is trained on 24kHz
sample rates and also produces 50Hz codec frame rates. To reduce the bitrate of DM-Codec and SpeechTokenizer, we limited RVQ levels, specifically keeping the first 3 RVQ layers to achieve 1.5kbps and 6 RVQ layers for 3kbps. For EnCodec, we kept the first two VQ layers for 1.5kbps and 4 VQ layers for 3kbps. Table 7 shows the full results.

979 Results and Discussion: At a 3kbps bitrate, LM-guided DM-Codec (LM) maintains its performance
980 and consistency, surpassing the baseline with scores of 4.38 WER, 7.09 WIL, 3.042 ViSQOL, and
981 0.924 STOI. Combined LM and SM-guided DM-Codec (LM+SM) further improves these scores to
982 4.29 WER, 7.04 WIL, 3.070 ViSQOL, and 0.928 STOI, outperforming all baselines.

At 1.5kbps, both DM-Codec (LM) and DM-Codec (LM+SM) slightly lag behind SpeechTokenizer
in WER and WIL scores but maintain excellent speaker quality, achieving the best ViSQOL and
STOI scores of (2.644, 0.880) and (2.662, 0.894), respectively. We hypothesize that the performance
degradation in WER and WIL is due to the loss of contextual representation at lower bitrates, as the
reduced bandwidth limits the model's ability to capture and preserve nuanced contextual details
incorporated into DM-Codec through distillation.

- D MODEL COMPONENTS
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Encoder Decoder. The encoder-decoder architecture in DM-Codec is based on SEANet (Tagliasac-993 chi et al., 2020), leveraging the successful design employed in recent speech tokenization models 994 (Zhang et al., 2024a; Défossez et al., 2022; Zeghidour et al., 2021). The architecture is designed to 995 efficiently process and reconstruct speech signals while maintaining high fidelity. The Encoder E 996 consists of a 1D convolution layer with C channels and a kernel size of 7, followed by B residual 997 convolutional blocks. Each block contains a strided convolutional downsampling layer with kernel 998 size K (where K = 2S, and S represents the stride), paired with a residual unit. The residual unit 999 comprises two convolutional layers with a kernel size of 3 and a skip connection, while the number 1000 of channels is doubled at each downsampling stage. This is followed by a two-layer BiLSTM and 1001 a final 1D convolutional layer with D output channels and a kernel size of 7. The Decoder D mirrors the encoder's structure but replaces BiLSTM with LSTM, strided convolutions with transposed 1002 convolutions, and employs reversed strides for up-sampling. The final audio output is reconstructed 1003 from **D**. For the experiments, we use the following configuration: C = 32, B = 4, and S = (2, 4, 5, 5)1004 8). 1005

1006 Residual Vector Quantizers. The Residual Vector Quantizer (RVQ) plays a central role in our tokenization process, quantizing the encoder's outputs. Our implementation is inspired by the train-1007 ing procedures described in Encodec (Défossez et al., 2022) and SpeechTokenizer (Zhang et al., 1008 2024a). The RVQ projects input vectors to the most similar entry in a codebook, and the residual is 1009 calculated and processed in subsequent quantization steps, each utilizing a different codebook. The 1010 codebook entries are updated using an exponential moving average (EMA) with a decay rate of 0.99 1011 for the matched item, while unmatched entries are replaced by candidates from the current batch. To 1012 ensure proper gradient flow during training, we employ a straight-through estimator. A commitment 1013 loss is also computed and added to the total training loss to promote stability. In our experiments, 1014 we utilize a codebook size of 1024 and 8 quantization levels.

1015 **Discriminators.** We incorporate a trio of discriminators to enhance the quality and realism of the 1016 generated speech: the Multi-Scale Discriminator (MSD), the Multi-Period Discriminator (MPD), 1017 and the Multi-Scale Short-Time Fourier Transform (MS-STFT) discriminator. The MS-STFT dis-1018 criminator follows the implementation outlined in (Défossez et al., 2022), operating on the real and 1019 imaginary components of multi-scale complex-valued STFTs. It begins with a 2D convolutional 1020 layer, followed by 2D convolutions with increasing dilation rates in the time dimension (1, 2, and 1021 4) and a stride of 2 across the frequency axis in each sub-network. A final 2D convolution with a kernel size of 3×3 and a stride of (1, 1) is applied to produce the prediction. The MSD and MPD 1023 discriminators follow the architectures introduced in (Kong et al., 2020), with adjustments to the channel numbers to align the parameter count more closely with the MS-STFT discriminator. This 1024 ensemble of discriminators works in concert to provide comprehensive feedback on various aspects 1025 of the generated speech, contributing to the overall quality and naturalness of the output.

1026 E RELATED WORK

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Tokenization Techniques in Speech. Tokenization in speech processing can be broadly catego-1031 rized into two main approaches: (i) speech encoder-based and (ii) language-based. In the speech 1032 encoder-based tokenization approach, a pretrained speech encoder serves as a teacher model, pro-1033 viding semantically rich audio representations. These representations are then used to guide the 1034 training model, either through an alignment network (Messica & Adi, 2024) or by optimizing spe-1035 cific losses (Zhang et al., 2024a; Liu et al., 2024). Language-based tokenization approach involves 1036 processing audio through a speech encoder to obtain discrete representations or using the corre-1037 sponding text to feed into a language model. The representations from the language model are then 1038 utilized either to learn a tokenizer for speech (Turetzky & Adi, 2024) or to reconstruct speech (Hassid et al., 2024; Zhang et al., 2024b; Wang et al., 2024). Besides, (Zhang et al., 2024b) proposed 1039 SpeechLM where two discrete tokenizers were introduced and learned in an unsupervised way and 1040 converted the speech and text in a shared discrete space. 1041

1042 **Discrete Speech Representation.** There are two well-known methods for discrete speech represen-1043 tation: semantic tokens and acoustic tokens. Semantic tokens are derived through self-supervised 1044 learning (SSL) techniques for speech (Baevski et al., 2019; Hsu et al., 2021; Chung et al., 2021) and capture abstract, high-level features that relate to general, symbolic aspects of speech, while 1045 omitting details related to speaker identity and acoustic characteristics. In contrast, acoustic tokens 1046 are obtained using neural audio codecs (Zeghidour et al., 2021; Défossez et al., 2022; Yang et al., 1047 2023) and focus on delivering precise reconstructions of acoustic features. However, recent models 1048 (Turetzky & Adi, 2024; Liu et al., 2024; Shi et al., 2024) have shown that speech models based 1049 on self-supervised learning (SSL) are effective at extracting acoustic representations where LMs be 1050 employed to refine these models further, enhancing their ability to extract more nuanced semantic 1051 representations. 1052

Textual Language Models in Speech. Research on speech models, including works by (Nguyen 1053 et al., 2023), (Borsos et al., 2023), and (Kharitonov et al., 2022), has focused on utilizing raw audio 1054 to extract prosodic features, identify speaker characteristics, and generate audio without depend-1055 ing on textual features or supervision from textual LMs. In contrast, many newer methods have 1056 started using audio encoders to transform audio signals into discrete tokens, which can be processed 1057 by textual LMs. TWIST method introduced by (Hassid et al., 2024) initializes the weights of the 1058 SpeechLM using a pre-trained text LM, showing that this combination significantly improves per-1059 formance. Similarly, the SELM model developed by (Wang et al., 2024) leverages GPT (Radford, 2018; Radford et al., 2019) as its foundation due to its enhanced parallel processing capabilities and capacity. However, text-based LLMs such as GPT-3 (Brown, 2020) and Llama (Touvron et al., 1061 2023) are essential for speech modeling. Once discrete audio representations are obtained, these 1062 large text models are trained to align with or enhance the original text embedding space, as explored 1063 in studies by (Zhang et al., 2023), (Fathullah et al., 2023), (Shu et al., 2023), and (Rubenstein et al., 1064 2023). This trend of integrating textual LMs into speech modeling has become increasingly popular in recent research. 1066

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We plot the Mel-Spectrogram of the original speech and the reconstructed speech from DM-Codec
and compare them with the reconstructed speech of EnCodec, SpeechTokenizer, and FACodec. Finegrained differences may not be readily apparent in the Mel-Spectrogram visually; therefore, we
encourage readers to click on the respective play button in Figure 4 for a hyperlink to the playable
audio file.

RECONSTRUCTED SPEECH COMPARISON



1134 HUMAN EVALUATION METHODOLOGY G

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1136 To evaluate the quality and effectiveness of our approach, we conducted human evaluations us-1137 ing Mean Opinion Score (MOS) and Similarity Mean Opinion Score (SMOS) metrics, following 1138 methodologies established in prior works such as SpeechTokenizer and Vall-E. The study was con-1139 ducted under an approved Institutional Review Board (IRB) protocol to ensure ethical compliance and participant safety. A total of 50 proficient English speakers, comprising graduate and undergrad-1140 uate students, were selected as evaluators based on their high language comprehensibility. These 1141 participants volunteered for the evaluation, were briefed on the study's purpose, and were provided 1142

no information that could bias their judgments. 1143

1144 The evaluation process involved each participant rating batches of fully anonymized and random-1145 ized speech samples via a web-based survey interface, with clear and standardized guidelines to 1146 ensure consistent and unbiased scoring. Each batch contained 16 samples, including outputs from both our proposed models and baseline systems. For the speech reconstruction task, participants 1147 rated the perceptual quality of the speech samples using the MOS, based on criteria such as natu-1148 ralness, intelligibility, and clarity, employing a 5-point Likert scale, where higher scores indicated 1149 superior quality. For the text-to-speech evaluation, participants provided two distinct ratings: MOS, 1150 to measure the overall naturalness of the generated speech, and SMOS, to evaluate the similarity 1151 of the generated speech to the original speaker's voice, both rated on a 1-to-5 scale with 1-point 1152 increments. To enhance reliability and mitigate individual evaluator bias, each sample was rated by 1153 multiple participants. 1154

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(a) Interface View 1

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1270	Similarity mean opinio	on score (SMOS):	Rate how similar	the speech is to	the original speak	er's voice.	
1271							
1272	1: Not similar at all						
1273	2: Slightly similar						
1274	3: Moderately similar						
1275	5: Identical to the origi	inal speaker					
1276							
1277		1	2	3	4	5	
1278	1 model X *					\bigcirc	
1279	I_III0del_X_"						
1280	1_model_Y_*	\bigcirc				\bigcirc	
1281							
1282	1_model_Z_*						
1283	0 model V *	\bigcirc			\bigcirc		
1284	2_model_X_^	0	0	0	0	0	
1285	2_model_Y_*		\bigcirc		0	0	
1286							
1287	2_model_Z_*	\bigcirc	\bigcirc	\bigcirc	\bigcirc	\bigcirc	
1288							
1289		(a) Interface	View 4			
1290							
1291							
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1230			
1297	Name	\uparrow	
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1302	E	1_1089_text_input.txt	
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1304	Q	1 model X gen-1089-134691-0015 way **	
1305			
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1300			
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1311	Ξ.	1_110del_2_gen=1069=134691=0015.wav	
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1315		0 model V man x005 OF(way as	
1316	•	2_model_Y_gen-p225_056.wav	
1317			
1318	Ω	2 model Z gen-p225 056.wav 🚢	
1319			
1320	-	o ooz "	
1321	Ω	2_p225_audio_prompt.flac	
1322			
1323		2 p225 text input.txt 🚓	
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1325			
1326			
1327		(a) Interface View 5	
1328		(a) Interface view 5	
1329	Figure 9: We	b-based survey interface and questionnaire used for evaluation.	
1330	e		
1332			
1333	H LIMITATIONS A	ND BROADER IMPACT	
133/			
1335	Limitations. In this wor	k, we present the effectiveness of our proposed method, DM-Coo	dec, based
1336	on the LibriSpeech data	set. Future research could investigate its performance across a	variety of
1337	datasets and domains. A	dditionally, exploring the capabilities of DM-Codec in multilingua	al contexts
1338	would be valuable. And	other limitation of our work is the absence of experiments with	emerging
1339	LLMS. Currently, we for	because solely on masked language models to derive representation	s. Further
1340	investigation into these of	recouct-based LLWIS impact on DWI-Codec can be studied and a	uuressea.
1341	Broader Impact. The in	tegration of language models in speech processing has traditional	ly focused
1342	on model-specific imple	mentations or specific training objectives. In this work, we propo	se a novel
1343	approach by leveraging	language models during the tokenization phase through our mo	odel, DM-
		- to many a second a second a second a final second file a second a second second second second second second s	and finder

Codec. By incorporating language-specific representations from the corresponding text, DM-Codec
enhances the quality of discrete speech representations. This method bridges the gap between language and speech models, offering a more unified approach to multimodal representation learning.
DM-Codec provides a robust framework for generating high-quality audio representations, with
potential applications in various domains, including multilingual speech processing, low-resource
languages, and other audio-related tasks. Our findings pave the way for more effective and contextually aware speech processing models, contributing to advancements in the broader field of speech and language technologies.