SCALING VALUE ITERATION NETWORKS TO 5000 LAYERS FOR EXTREME LONG-TERM PLANNING

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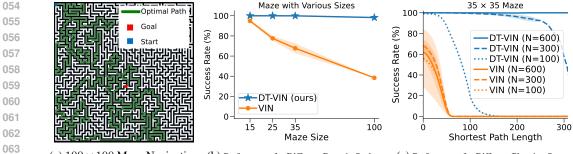
ABSTRACT

The Value Iteration Network (VIN) is an end-to-end differentiable architecture that performs value iteration on a latent Markov Decision Process (MDP) for planning in reinforcement learning (RL). However, VINs struggle to scale to long-term and large-scale planning tasks, such as navigating a 100×100 maze—a task that typically requires thousands of planning steps to solve. We observe that this deficiency is due to two issues: the representation capacity of the latent MDP and the planning module's depth. We address these by augmenting the latent MDP with a dynamic transition kernel, dramatically improving its representational capacity, and, to mitigate the vanishing gradient problem, introduce an "adaptive highway loss" that constructs skip connections to improve gradient flow. We evaluate our method on 2D maze navigation environments, the ViZDoom 3D navigation benchmark, and the real-world Lunar rover navigation task. We find that our new method, named Dynamic Transition VIN (DT-VIN), scales to 5000 layers and solves challenging versions of the above tasks. Altogether, we believe that DT-VIN represents a concrete step forward in performing long-term large-scale planning in RL environments.

028 1 INTRODUCTION 029

Planning is the problem of finding a sequence of actions that achieve a specific pre-defined goal.
As the aim of both some older algorithms (e.g., Dyna (Sutton, 1991), A* (Hart et al., 1968), and
others (Schmidhuber, 1990a;b)) and many recent ones (e.g., the Predictron (Silver et al., 2017), the
Dreamer family of algorithms (Hafner et al., 2020; 2021; 2023), SoRB (Eysenbach et al., 2019),
SA-CADRL (Chen et al., 2017), and the LLM-planner (Song et al., 2023)), effective planning is a
long-standing and important challenge in artificial intelligence (AI).

Traditional search-based planning algorithms like A* require an accurate environmental model. 037 Thus, these algorithms are limited in their effectiveness when faced with unknown Markov decision 038 processes. In such scenarios, a policy can be learned either through imitation learning (IL), which 039 leverages expert demonstrations, or through trial and error with reinforcement learning (RL). Within RL and IL, the Value Iteration Network (VIN) (Tamar et al., 2016) stands out as quite unique due 040 to its distinctive architecture that integrates a differentiable latent "planning module" into the deep 041 neural network, rather than maintaining an explicit learned environment model like Dreamer (Hafner 042 et al., 2020) or MuZero (Schrittwieser et al., 2020). This integrated architecture allows VINs to be 043 trained end-to-end, meaning that both the state representation components and the planning com-044 ponents are implicitly trained jointly. Additionally, the integrated planning capability of VINs still 045 enables them to effectively generalize to related but unseen tasks. VINs have been shown to perform 046 well in some small-scale short-term planning situations, like path planning (Pflueger et al., 2019; Jin 047 et al., 2021), autonomous navigation (Wöhlke et al., 2021), and complex decision-making in dy-048 namic environments (Li et al., 2021). However, they still struggle to solve larger-scale and longer-049 term planning problems. We refer to *large-scale planning tasks* as those with high-dimensional 050 observation space (e.g., the maze size), and *long-term planning tasks* as those necessitating ex-051 tended planning horizons to achieve the goal. For example, in a 100×100 maze navigation task, the success rate of VINs in reaching the goal drops to well below 40% (see Figure 1(b)). Even in smaller 052 35×35 mazes, the success rate of VINs drops to 0% when the required planning steps exceed 60 (see Figure 1(c)).



(a) 100×100 Maze Navigation (b) Performance for Different Domain Scales (c) Performance for Different Planning Steps

Figure 1: (a) shows an example of 100×100 maze navigation task, where the green line shows the optimal path from the start position (blue) to the goal position (red). See Figure 8 in Appendix B for more examples of mazes with other sizes. (b) shows the success rate of VIN and DT-VIN on the maze navigation tasks as a function of maze size. The reported results are computed in expectation over different shortest path lengths for each maze size. (c) shows the success rate of VIN (Tamar et al., 2016) and our DT-VIN as a function of planning steps on the 35×35 maze benchmark.

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Our work identifies that the principal deficiency causing this is the mismatch between the complexity of planning and the comparatively weak representational capacity of the relatively shallow networks that it uses. And while there has been moderate success in learning more complicated networks (e.g., GPPN (Lee et al., 2018) and Highway VINs (Wang et al., 2024a)), until now, VINs of a scale capable of long-term or large-scale planning have not been computationally tractable due to persistent issues with vanishing and exploding gradients—a fundamental problem of deep learning (Hochreiter, 1991).

080 In this work, we aim to surgically correct deficiencies in VIN-based architectures to enable large-081 scale long-term planning. Specifically, we first identify the limitations of the latent MDP in the 082 planning module of VIN and propose a dynamic transition kernel to dramatically increase the rep-083 resentational capacity of the network. We then build on existing work that identifies the connection between network depth and long-term planning (Wang et al., 2024a) and propose an "adaptive 084 highway loss" that selectively constructs skip connections to the final loss according to the actual 085 number of planning steps. This approach helps mitigate the vanishing gradient problem and enables the training of very deep networks. With these changes, we find that our new Dynamic Transition 087 Value Iteration Network (DT-VIN), is able to be trained with 5000 layers and scale to 1800 planning 088 steps in a 100×100 maze navigation task (compared to the original VIN, which only scaled to 120 089 planning steps in a 25×25 maze). We apply our method to top-down image-based maze navigation 090 tasks, the first-person image-based ViZDoom benchmark (Wydmuch et al., 2019), and real-world 091 Lunar rover navigation tasks (Berlin, 2018). We find that DT-VINs can solve both despite these 092 problems requiring hundreds to thousands of planning steps. Together, these demonstrate the practical utility of our method on vision-based tasks that previous methods are simply unable to solve. 094 This also serves to highlight the potential of our method to scale to increasingly complex planning tasks alongside the increasing availability of computing power.

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2 PRELIMINARIES

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099 **Reinforcement Learning (RL) and Imitation Learning (IL).** The most common formalism used 100 for RL is that of the Markov Decision Process (MDP) (Bellman, 1957). We consider an MDP—as 101 per Puterman (2014)—to be the 6-tuple $(\mathcal{S}, \mathcal{A}, \mathcal{T}, \mathcal{R}, \gamma, \mu)$, where \mathcal{S} is a countable state space, \mathcal{A} 102 is a finite action space, $\mathcal{T}(s'|s,a)$ represents the probability of transitioning to state $s' \in \mathcal{S}$ when 103 being in state $s \in S$ and taking action $a \in A$, $\mathcal{R}(s, a, s')$ is the scalar reward function, $\gamma \in [0, 1)$ is a discount factor, and μ is a distribution over initial states. The behaviour of an artificial agent in 104 an MDP is defined by its policy $\pi(a|s)$, which specifies the probability of taking action a in state s. 105 The state value function $V^{\pi}(s)$ is the expected discounted sum of rewards from state s and following 106 policy π , i.e., $V^{\pi}(s) \triangleq \mathbb{E}\left[\sum_{t=0}^{\infty} \gamma^{t} \mathcal{R}(s_{t}, a_{t}, s_{t+1}) | s_{0} = s; \pi\right]$. The goal of RL is usually to find an 107 optimal policy π^* that achieves the highest expected discounted sum of rewards. The value function

108 of an optimal policy is denoted by $V^*(s) = \max_{\pi} V^{\pi}(s)$, and satisfies $V^{\pi^*}(s) = V^*(s) \forall s$. The 109 Value Iteration (VI) algorithm iteratively applies the following update to all states to obtain the 110 optimal value function: $V^{(n+1)}(s) = \max_{a} \sum_{s'} \mathcal{T}(s'|s,a) \left[\mathcal{R}(s,a,s') + \gamma V^{(n)}(s') \right]$, where n 111 is the iteration number. In situations where designing a comprehensive reward function is difficult, 112 imitation learning (IL) offers a practical alternative. IL techniques enable an agent to learn behaviors 113 by observing demonstrations from human or algorithmic experts. Approaches such as Behavioral Cloning directly mimic the actions of an expert in similar states, whereas Inverse Reinforcement 114 Learning (IRL) (Ng et al., 2000) involves inferring the underlying reward function based on the 115 expert's behavior, thereby enabling the agent to optimize its own policy (Schaal, 1996). 116

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Value Iteration Networks (VINs). VIN is an end-to-end differentiable neural network architecture for planning which demonstrates strong generalization to unseen domains through the incorporation of an explicit planning module (Tamar et al., 2016). The main idea of VIN is to map observations into a latent MDP $\overline{\mathcal{M}}$ and then use the embedded planning module to perform value iteration (VI) on this latent MDP. Below, we use $\overline{}$ to denote all the terms associated with the latent MDP $\overline{\mathcal{M}}$.

123 For each decision, VIN first maps an observation x, e.g., an image of a maze and the current position 124 of the agent, to $\overline{\mathcal{M}}$. $\overline{\mathcal{M}}$ is described by the latent state space $\overline{\mathcal{S}} = \{(i, j)\}_{i, j \in [m]}$; a fixed discrete 125 latent action space \overline{A} ; a latent reward matrix $\overline{R} = f^{\overline{R}}(x) \in \mathbb{R}^{m \times m}$, where $f^{\overline{R}}$ is a learnable NN 126 called a *reward mapping module*; and a latent transition matrix (or kernel) $\overline{T}^{inv} \in \mathbb{R}^{|\overline{A}| \times F \times F}$ with 127 F representing the dimension of the kernel. The latent transition matrix is a parameter matrix that 128 is invariant for each latent state (i, j), independent of the observation x, and not restricted to satisfy 129 the probabilistic property, i.e., its elements are not required to represent probabilities or sum to one. 130 Next, VIN conducts VI on the latent MDP $\overline{\mathcal{M}}$ to approximate the latent optimal value function 131 \overline{V}^* . To ensure the differentiability of the VI computation, a differentiable VI module is proposed. 132 This module simulates VI computation using differentiable CNN operations, i.e., convolutional and max-pooling operations: $\overline{V}_{i,j}^{(n)} = \max_{\overline{a}} \sum_{i',j'} \overline{\mathsf{T}}_{\overline{a},i',j'}^{\text{inv}} \left(\overline{\mathsf{R}}_{i-i',j-j'} + \overline{V}_{i-i',j-j'}^{(n-1)}\right), i, j \in [m]$. This equation sums over a matrix patch centered around position (i, j). 133 134 135

136 After the above, by stacking the VI module for N layers, the latent value function is then fed 137 to a policy mapping module by f^{π} to represent a policy that is applicable to the actual MDP 138 \mathcal{M} . Here, $f^{\pi}\left(\overline{V}^{(n)}(x),a\right)$ represents the probability of taking action a given observation x. Finally, the model can be trained by standard RL and IL algorithms with the following general loss: $\mathcal{L}\left(\theta\right) = \frac{1}{|\mathcal{D}|} \sum_{(x,y)\in\mathcal{D}} \ell\left(f^{\pi}\left(\overline{V}^{(N)}(x),\cdot\right),y\right)$, where $\mathcal{D} = \{(x,y)\}$ is the training 139 140 141 142 data, x is the observation, y is the label, and ℓ is the sample-wise loss function. The specific 143 meaning of these items varies depending on the task. For example, in imitation learning, where 144 the expert data is provided, the label y is the expert action and ℓ is the cross-entropy loss, i.e., $\ell\left(f^{\pi}\left(\overline{V}^{(N)}(x)\right), y\right) = -\sum_{a \in \mathcal{A}} \mathbb{1}_{\{a=y\}} \log f^{\pi}\left(\overline{V}^{(n)}(x), a\right), \text{ where } \mathbb{1} \text{ is the indicator function.}$ 145 146

3 Method

In this section, we discuss how to train scalable VINs for long-term large-scale planning tasks. Our method addresses the two key issues with VIN that are identified as hampering its scalability: the capacity of the latent MDP representation and the depth of the planning module.

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3.1 INCREASING THE REPRESENTATION CAPACITY OF THE LATENT MDP

Motivation. VIN utilizes the computational similarities between VI and CNNs to directly implement VI through a CNN-based VI module, as described in Section 2. However, there is a discrepancy between the CNN-based VI module and the general VI computation process.

CNN-based VIN uses an invariant *latent transition kernel* $\overline{\mathsf{T}}^{\text{inv}} \in \mathbb{R}^{|\overline{\mathcal{A}}| \times F \times F}$ as a learnable parame-*ter, which is the same for each latent state* $\overline{s} = (i, j)$ and independent of the current observation, e.g., *the map of the maze.* This severely limits the representational capacity of the latent MDP which, 162 to be effective, should model what will in practice be the complex and state-dependent transition

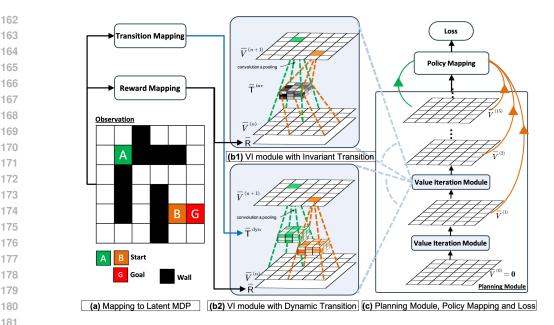


Figure 2: The architecture of VIN and DT-VIN in the maze navigation task. (a) shows the observation of the maze, which is mapped to the latent reward/transition matrix of the latent MDP through the reward/transition mapping module. (c) shows the "planning module", the policy mapping module and the loss. The "planning module" contains numerous stacked Value Iteration (VI) modules. The green and orange connections show an example of adaptive highway loss for planning tasks starting from A and B, respectively. (b1) shows the VI module of the original VIN with invariant $\overline{z}^{inv} = \overline{z}^{inv} = \overline{z}^{inv}$

transition $\overline{\mathsf{T}}^{\text{inv}} \in \mathbb{R}^{|\mathcal{A}| \times F \times F}$. (b2) shows the VI module of DT-VIN with dynamic transition kernel $\overline{\mathsf{T}}^{\text{dyn}} \in \mathbb{R}^{m \times m \times |\mathcal{A}| \times F \times F}$.

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191 function of the actual MDP. For example, in the maze navigation problem shown in Figure 1(a), 192 the transition probabilities are quite different if the adjacent cell is a wall versus an empty cell. 193 Additionally—as the latent transition kernel of VIN is independent of the real observation—VIN is 194 unable to exploit any information in the observations to simultaneously model the different transition dynamics of different environments. In the maze example, this means that it will greatly struggle because the model is employed to plan on completely different mazes. Altogether, this lack of rep-196 resentation capacity does not affect VIN's performance in small-scale, short-term planning tasks (as 197 were tested on in the original work) where the state space is limited and only a few steps are needed to reach the goal. However, we found it to be a major barrier to VIN's effectiveness in large-scale, 199 long-term planning tasks. As we have shown in Figure 1(c), VIN fails on large-scale 100×100 200 maze navigation tasks and long-term planning tasks requiring more than 60 steps. 201

202 **Method.** Due to the above, we aim to increase the representation capacity of VIN's latent MDP. 203 To this end, we propose a new architecture called Dynamic Transition VINs (DT-VINs). Instead 204 of using an invariant latent transition kernel, DT-VINs employ a dynamic latent transition kernel 205 $\overline{\mathsf{T}}^{\mathrm{dyn}} = f^{\overline{\mathsf{T}}}(x) \in \mathbb{R}^{m \times m \times |\overline{\mathcal{A}}| \times F \times F}$. For this dynamic kernel, we adhere the same framework 206 outlined in the original VIN paper, which inputs the observation into a learnable transition mapping 207 module $f^{\overline{T},1}$ With a dynamic transition kernel, we condition on the latent state $\overline{s} = (i, j)$, allowing it 208 to vary alongside \overline{s} , whereas in classical VIN, the kernels $\overline{T}^{inv} \in \mathbb{R}^{\overline{A} \times F \times F}$ remain invariant across 209 all latent states 3. The augmented dynamic transition VI module is computed as follows: 210

$$\overline{V}_{i,j}^{(n)} = \max_{\overline{a}} \sum_{i',j'} \overline{\mathsf{T}}_{i,j,\overline{a},i',j'}^{\mathrm{dyn}} \left(\overline{\mathsf{R}}_{i-i',j-j'} + \overline{V}_{i-i',j-j'}^{(n-1)} \right).$$
(1)

¹Although the original VIN paper proposes a general framework where the latent transition kernel depends on the observation, i.e., $\overline{T} = f^{\overline{T}}(x)$, they implement it as an independent parameter.

The transition mapping module $f^{\overline{T}}$ can be any type of neural network, such as CNNs or fully connected networks. In our Maze Navigation tasks, $f^{\overline{T}}$ includes only one convolutional layer with a kernel size of $F \times F$, which iteratively maps each local patch of the maze to a $|\overline{\mathcal{A}}| \times F \times F$ latent transition kernel for each latent state. This architecture requires $|\overline{\mathcal{A}}|F^4$ number of parameters, compared to the original VIN's $|\overline{\mathcal{A}}|F^2$. Note that in practice, a small kernel size F of 3 is used and is sufficient to produce strong performance. Thus, this alternative module greatly improves the representation capacity of VIN, but typically does not introduce a significant change in training cost.

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3.2 INCREASING DEPTH OF PLANNING MODULE

Motivation. Recent work on Highway VIN has demonstrated the relationship between the depth 227 of VIN's planning module and its planning ability (Wang et al., 2024a). A deeper planning module 228 implies more iterations of the value iterations process, which is proved to result in a more accu-229 rate estimation of the optimal value function (see Theorem 1.12 (Agarwal et al., 2019)). How-230 ever, training very deep neural networks is challenging due to the vanishing or exploding gradient 231 problem (Hochreiter, 1991). Highway VINs address this issue by incorporating skip connections 232 within the context of reinforcement learning, showing similarities to existing works for classifica-233 tion tasks (Srivastava et al., 2015a; He et al., 2016). Although Highway VINs can be trained with up 234 to 300 layers, they still fail to achieve perfect scores in larger-scale and longer-term planning tasks 235 and necessitate a more intricate implementation. Here, we present a more simple, easy-to-implement 236 method for training very deep VINs. 237

Method. To facilitate the training of very deep VINs, we also adopt the skip connections structure but implement it differently. Our central insight is that short-term planning tasks generally require fewer iterations of value iteration compared to long-term planning tasks. This is because the information from the goal position propagates to the start position in fewer steps when their distance is short. Therefore, we propose adding additional loss to shallower layers directly when the task requires only a few steps. We achieve this by introducing the following *adaptive highway loss*:

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 $\mathcal{L}(\theta) = \frac{1}{K|\mathcal{D}|} \sum_{(x,y,l)\in\mathcal{D}} \sum_{1\leq n\leq N} \mathbb{1}_{\{n\geq l\}} \ell\left(f^{\pi}\left(\overline{V}^{(n)}(x),\cdot\right),y\right),\tag{2}$

Here, $K = \sum_{(x,y,l)\in\mathcal{D}} \sum_{1\leq n\leq N} \mathbb{1}_{\{n\geq l\}}$, $\mathbb{1}$ is the indicator function, and l is the length of planning

path or trajectory, which can be computed from the training data. For example, in the imita-252 tion learning of the maze navigation task, for each maze in the dataset, l is the length of the 253 provided expert path from the start to the goal, and the loss function in Equation (2) can be 254 written as $\mathcal{L}(\theta) = \frac{1}{K|\mathcal{D}|} \sum_{(x,y,l)} \sum_n \mathbf{1}_{\{n \ge l\}} \left(-\sum_a \mathbb{1}_{\{a=y\}} \log f^{\pi} \left(\overline{V}^{(n)}(x), a \right) \right)$. In RL, where the policies are learned through policy gradient, the loss function can be rewritten as $\mathcal{L}(\theta) = \mathcal{L}(\theta)$ 255 256 257 $\frac{1}{K|\mathcal{D}|} \sum_{(x,y,R,l)\in\mathcal{D}} \sum_{1\leq n\leq N} \mathbf{1}_{\{n\geq l\}} \Big(-R\log f^{\pi}\left(\overline{V}^{(n)}(x), y\right) \Big), \text{ where } y \text{ is the excuted action,}$ 258 and R the cumulative future reward. As Equation (2) implies, it constructs skip connections for 259 the hidden layers to improve information flow, similar to existing works such as Highway Nets and 260 Residual Nets (Srivastava et al., 2015a; He et al., 2016). However, we connect the hidden layers 261 directly to the final loss, while existing works typically connect skip connections between the in-262 termediate layers. Note that we construct skip connections for each layer $n \ge l$ rather than at the 263 specific layer n = l. This is because it would be beneficial for a relatively deeper VIN with depth 264 n > l to also output the correct action in short-term planning tasks. Additionally, during the exe-265 cution phase, the actual planning steps are unknown, so only the output of the last layer of the VIN 266 will be used. Note that this additional loss will not alter the inherent structure of the value iteration 267 process and will be removed during the execution phase. To avoid the gradient exploding problem, we enforce a softmax operation on the values of the latent transition kernel for each latent state \overline{s} . 268 This gives a statistical semantic meaning to the latent transition kernel. This change is simple but 269 critical to training stability, as will be shown in experimental results in Section 4.1 and Figure 4(d).

270 4 EXPERIMENTS

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272 We perform several experiments to test if our modifications to VIN's planning module allow train-273 ing very deep DT-VINs for large-scale long-term planning tasks. Following previous work (Tamar 274 et al., 2016; Lee et al., 2018), we focus on the imitation learning scenario, where we leverage expert 275 demonstrations to evaluate planning capabilities. IL offers a more stable and controlled setting by 276 reducing the variability that typically arises from the exploratory processes in RL. In line with pre-277 vious works (e.g., (Lee et al., 2018)), we assess our planning algorithms on navigation tasks within 2D mazes and 3D ViZDoom (Wydmuch et al., 2019) environments (see Sections 4.1 and 4.2, re-278 spectively). Each task includes a start position and a goal position, and the agent navigates the four 279 adjacent cells by moving one step at a time in any of the four cardinal directions. Our experiments 280 look at each method's effectiveness over several versions of the tasks with the different versions 281 having different shortest path lengths (SPLs). The SPLs are precomputed using Dijkstra's algorithm 282 and serve as a good proxy measure for the complexity of the planning task. We say that an agent 283 has succeeded in a task if it generates a path from the start position to the goal position within a pre-284 determined number of steps (m^2 in our paper). We further say that the agent has found an optimal 285 path if the corresponding path has a minimal length. We follow GPPN and use these for the success 286 rate (SR), which is the rate at which the algorithm succeeds in the task, and the *optimality rate (OR)*. 287 which is the rate at which the algorithm generates an optimal path. In addition to the above, we 288 also test the generality of the DT-VIN approach to two additional tasks. In the style of a benchmark examined in the original VIN paper, a lunar rover navigation task (see Section 4.3); and, to 289 demonstrate the potential for complex action spaces, a continuous control task (see Appendix C.3). 290

On the above tasks, we compare our DT-VIN method with several advanced neural networks designed for planning tasks, including the original VIN (Tamar et al., 2016), GPPNs (Lee et al., 2018), and Highway VIN (Wang et al., 2024a). The models are trained through imitation learning using a labelled dataset. We then identify the best-performing model based on its results on a validation dataset and evaluate it on a separate test dataset. Following the methodology from the GPPN paper, we conduct evaluations using three different random seeds for each algorithm. This is sufficient to provide a reliable performance estimate here due to the low standard deviation we observe in the tasks. All figures that show learning curves report the mean and standard deviation on the test set.

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4.1 2D MAZE NAVIGATION

301 Setting. In our evaluation, we use 2D maze navigation tasks with sizes M set to 15, 25, 35, and 302 100. Many of these mazes require hundreds or thousands of planning steps to be solved. To assess 303 the performance of each algorithm, we test various neural network depths N. Specifically, for 304 mazes of size M = 15 and M = 25, we examine depths in N = 30, 100, 200. For M = 35, we 305 examine depths in N = 30, 100, 300, 600. For the largest mazes, M = 100, we examine depths of 306 N = 600, 5000. For each maze size, we generate a dataset following the methodology in GPPN (Lee 307 et al., 2018). Each sample has a starting position, a visual representation of the $m \times m$ map, and an 308 $m \times m$ matrix indicating the position of the goal. For more details, see Appendix B.1.

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Results and Discussion. Figure 3(a) and Table 1 show the success rates (SRs) of our method and 310 the baseline methods, as a function of the SPLs. For each algorithm and environment configuration, 311 we report the performance of the NN with the best depth N across the ranges specified in the 312 previous paragraph (see Figure 9 in Appendix C for other values of N). Here, DT-VIN outperforms 313 all the other methods on all the maze navigation tasks under all the various sizes M and SPLs. 314 Notably, on small-scale mazes with size in M = 15, 25, 35, DT-VIN achieves approximately 100% 315 SRs on all the tasks. For the most challenging environment with M = 100, DT-VIN performs best 316 with the full 5000 layers, and it maintains an SR of approximately 100% on short-term planning 317 tasks with SPL ranging in [1, 200] and an SR of approximately 88% on tasks with SPLs over 1200. 318 Comparatively, VIN performs well on small-scale and short-term planning tasks. However, even on 319 a small-scale maze with size M = 15, VIN's SRs drop to 0% when the SPL exceeds 30. Moreover, 320 when the maze size increases to 100, VIN only achieves an SR of less than 40%—even on short-321 term planning tasks with SPL within [1, 100]. GPPN performs well on short-term planning tasks, but it fails to generalize well on long-term planning tasks, which also decreases to an SR of 0% as 322 the SPL increases. Highway VIN performs well across tasks with various SPLs on a small-scale 323 maze with M = 15, 25. However, it shows a performance decrease on larger-scale maze tasks with Table 1: The success rates for each method under tasks with different ranges of shortest path length.
For each algorithm, we choose the best result from a range of depths. Specifically, for our DT-VIN,
the optimal depth consistently corresponds to the maximum value in the range: 600 for size 35, and
5000 for size 100. For other compared methods, the optimal depth differs depending on the task.
In the maze of size 100, the optimal depth for all the baselines is 600. For additional results, see
Figure 9 in Appendix C.

о 	Maze Size		35×35			100×100	
1	SPL	[1,100]	[100, 200]	[200, 300]	[1,600]	[600, 1200]	[1200, 1800]
2	VIN (Tamar et al., 2016)	$68.41_{\pm 6.25}$	$0.0_{\pm 0.00}$	$0.00_{\pm 0.00}$	$45.05_{\pm 0.04}$	$0.00_{\pm 0.00}$	$0.00_{\pm 0.00}$
0	GPPN (Lee et al., 2018)	$95.71_{\pm 0.33}$	$0.39_{\pm 0.27}$	$0.00_{\pm 0.00}$	$75.72_{\pm 0.64}$	$0.00_{\pm 0.00}$	$0.00_{\pm 0.00}$
5	Highway VIN (Wang et al., 2024a)	$90.67_{\pm 3.92}$	65.50 ± 5.59	$54.40_{\pm 10.2}$	$69.12_{\pm 0.02}$	$0.00_{\pm 0.00}$	$0.00_{\pm 0.00}$
4	DT-VIN (ours)	$100.00_{\pm 0.00}$	$99.99_{\pm 0.01}$	$99.77_{\pm 0.23}$	$99.98_{\pm 0.00}$	$99.56_{\pm 0.20}$	$88.65_{\pm 4.76}$

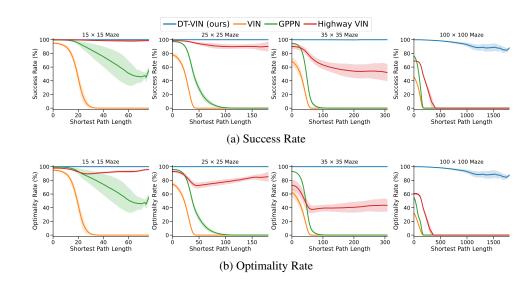


Figure 3: SRs and ORs for different algorithms as a function of the shortest path length and the maze size. For each algorithm, we select the best result across various depths. Specifically, for our DT-VIN, the optimal depth consistently corresponds to the maximum value in the range: 200 for mazes of size 15 and 25, 600 for size 35, and 5000 for size 100. For other methods, the optimal depth differs per task. In the maze of size 100, the optimal depth for all the baselines is 600. See Figure 9 and Figure 10 in Appendix C for additional results at other depths.

 $\begin{array}{ll} 360 \\ 361 \\ 361 \\ 362 \\ 362 \\ 363 \end{array} \qquad M = 35,100. \mbox{ Figure 3(b) shows the optimality rates (ORs) of the algorithms, which measure the rate at which the model outputs the optimal path. Our DT-VIN maintains consistent ORs compared to SRs. However, some other methods—especially Highway VIN—exhibit a clear decrease in ORs, indicating that the paths generated by these methods is often sub-optimal. \end{array}$

Ablation Study. We perform multiple ablation studies with a M = 35 maze and an NN with depth N = 600 to assess the impact on DT-VIN of (1) the dynamic latent transition kernel, as described in Section 3.1; (2) the network depth, as outlined in Section 3.2; (3) the adaptive highway loss, also covered in Section 3.2; and (4) the softmax function on the latent transition kernel, as mentioned in Section 3.2. Unless otherwise indicated, all these elements are present.

Dynamic Latent Transition Kernel. Figure 5(a) gives an illustration of DT-VIN's dynamic transition kernels and Figure 4(a) shows the SRs of our method with the proposed dynamic and the origi-nal invariant latent transition kernel. When using only the invariant transition kernel, a large drop in performance is observed. It is important to note here that the additional adaptive highway loss requires a high representational capacity of the latent MDP, meaning that removing the dynamic property of the kernel without removing the additional adaptive highway loss would be expected to adversely affect the performance of the original VIN. The dynamic transition kernel would be expected to be more beneficial in environments characterized by complex transitions-something common in advanced reinforcement learning domains. Indeed, as illustrated in Figure 5(b), the per-

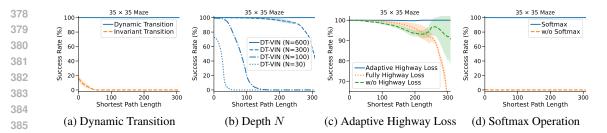


Figure 4: The results of ablation studies of our DT-VIN with 600 layers. (a) shows the performance of DT-VIN using a dynamic versus an invariant latent transition kernel. (b) shows the performance of DT-VIN over various depths of the planning module. (c) shows the performance of DT-VIN over different loss functions. (d) shows the performance of DT-VIN with and without the softmax operation on the latent transition kernel.

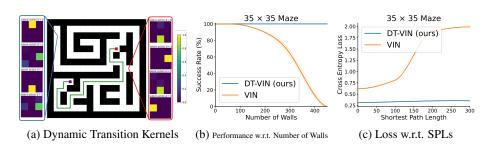


Figure 5: (a): Dynamic transition kernels of our DT-VIN, illustrated in a maze navigation example. The kernels on the left and right sides correspond to two distinct positions, respectively. Note that the size of latent action space is 4, resulting in 4 kernel matrices for each position. (b): Performance of our DT-VIN and VIN relative to the number of walls in the mazes. (c): Cross-entropy loss comparisons for the methods across tasks with varying SPLs.

formance gap between our DT-VIN and VIN grows when the number of walls increases. Likewise,
the dynamic transition kernel is expected to be useful in long-term planning because it increases the
representational capacity of the latent MDP. As demonstrated in Figure 5(c), this is the case here,
with our DT-VIN exhibiting reduced compounding model errors over extended planning horizons
when compared to the original VIN.

414 Depth of Planning Module. Figure 4(b) shows the SRs of our DT-VIN with various depths. Here, 415 increasing the depth dramatically improves the long-term planning ability. For example, for tasks 416 with an SPL of 200, the variant with depth N = 300 performs much better than the variant with 417 depth N = 100. Moreover, for tasks with an SPL of 300, the deeper variant with depth N =418 600 performs much better. Other methods like VIN and GPPN do not show a clear performance 419 improvement when the depth increases. Figure 9 in Appendix C shows the performance of other 420 methods over all depths.

421 Adaptive Highway Loss. We evaluate two variants of our DT-VIN, the first without the highway loss, 422 and the second with a "fully highway loss," where the latter enforces a highway loss for each hidden 423 layer without adaptive adjustment based on the actual planning steps. As shown in Figure 4(c), the variant without the highway loss suffers a decrease in performance, and the one with the fully 424 highway loss performs even worse. These results imply that enforcing additional loss on hidden 425 layers without any adjustment could harm performance. See Appendix C.4 for additional ablations 426 on the highway loss components, the choice of the hyperparameter l, and the impact of the softmax 427 operation on gradient stability. 428

Softmax Latent Transition Kernel. As shown in Figure 4(d), the variant without the softmax operation on the latent transition kernel fails on all the tasks. This failure is due to exploding gradients, wherein the gradient becomes extremely large, eventually resulting in the model's parameters overflowing and becoming a NaN (Not a Number) value.

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432 4.2 3D VIZDOOM NAVIGATION 433

434 Following the methodology of the GPPN paper, we test our method 435 on 3D ViZDoom (Wydmuch et al., 2019) environments. Here, in-436 stead of directly using the top-down 2D maze as in the previous experiments, we use the observation consists of RGB images capturing 437 438 the first-person perspective of the environment, as illustrated in Figure 6(a). Then, a CNN is trained to predict the maze map from the 439 440 first-person observation. The map is then given as input to the planning model, using the same architecture and hyperparameters as the 441 2D maze environments (see Appendix B.2 for more implementation 442 details). For each algorithm, we select the best result across the vari-443 ous network depths N = 30, 100, 300, 600. We find that the optimal 444 depth for DT-VIN is 600, for GPPN is 300, for VIN is 300, and for 445 Highway VIN is 300. We evaluated the algorithm on 3D ViZDoom 446 mazes with grid 35×35 , where each cell in the grid corresponds to a 447 64×64 map unit area, the standard spatial measurement in the game 448 engine. Figure 6(b) shows the SRs. Predictably, the performance of 449 all the baselines decreases compared to the 2D maze environments 450 due to the additional noise introduced by the predictions. Here, DT-VIN outperforms all the methods compared to the task over all the 451 various SPLs. 452

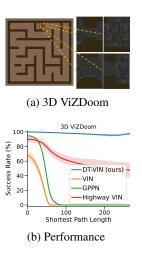


Figure 6: (a) an example of a ViZDoom 3D maze and the first-person view of the environment with each of the corresponding four orientations. (b) the success rates of the algorithms over various SPLs.

4.3 ROVER NAVIGATION

456 We further evaluate the algorithms on the rover navigation task, where the algorithm must conduct 457 planning based on an orthomosaic image (e.g., Figure 7(a)) created from aerial photographs. These 458 images are usually more available than elevation data (e.g., Figure 7(b)), which involve more com-459 plex processing using stereo image pairs (Goodchild, 2009). Therefore, we directly evaluate the 460 path planning abilities of DT-VIN on orthomosaic images. We evaluate the Apollo 17 landing tasks, 461 featuring images with a resolution of 0.5 meters per pixel, generated from images taken by the Lunar 462 Reconnaissance Orbiter Camera's Narrow Angle Camera (Berlin, 2018). We crop the orthomosaic 463 image into patches of various sizes, each 18×18 patch defining a cell. The expert paths are generated using external elevation data. The cell is considered a wall if the associated area exhibits 464 an elevation angle exceeding 10 degrees. Note that elevation data are utilized solely for creating 465 expert paths to train the algorithms and assessing algorithm performance, not as input to the neural 466 networks. Please refer to Appendix B.3 for details on the task setting and the models' architecture. 467 This task is challenging as orthomosaic image data does not typically include elevation information. 468 Our DT-VIN outperforms all compared methods across various image sizes. Notably, with larger 469 image sizes (particularly 630×630) our DT-VIN outperforms VIN by more than 5%. We also com-470 pare with an unfair baseline: $\text{CNN}+A^*$, which first trains a CNN to classify whether an 18×18 471 image patch is an obstacle with the elevation data and then use A^* to conduct planning based on 472 the prediction. While this unsuprisingly is able to outperform VIN, it still is itself outperformed by 473 DT-VIN—despite DT-VIN being given access to only to expert trajectories and not to the elevation data (representing an overall weaker assumption). 474

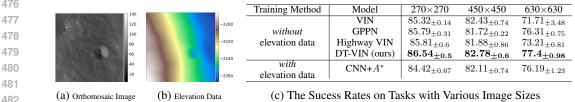


Figure 7: (a) and (b) shows a patch of orthomosaic image and elevation data from Apollo 17 landing tasks. (c) lists the success rates of the algorithms on rover navigation tasks with various image sizes.

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486 5 RELATED WORK

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488 Variants of Value Iteration Networks (VINs). Several variants of VIN (Tamar et al., 2016) have 489 been proposed in recent years. Gated Path Planning Networks employ gating recurrent mechanisms 490 to reduce the training instability and hyperparameter sensitivity seen in VINs (Lee et al., 2018). 491 To mitigate overestimation bias (which is detrimental to learning here), dVINs were proposed and 492 use a weighted double estimator as an alternative to the maximum operator (Jin et al., 2021). For addressing challenges in irregular spatial graphs, Generalized VINs adopt a graph convolution op-493 erator, extending the traditional convolution operator used in VINs (Niu et al., 2018). To improve <u>191</u> scalability, AVINs introduce an abstraction module that extracts higher-level information from the 495 environment and the goal (Schleich et al., 2019). For transfer learning, Transfer VINs address the 496 generalization of VINs to target domains where the action space or the environment's features differ 497 from those of the training environments (Shen et al., 2020). More recently, VIRN was proposed 498 and employs larger convolutional kernels to plan using fewer iterations as well as self-attention to 499 propagate information from each layer to the final output of the network (Cai et al., 2022). Simi-500 larly, GS-VIN also uses larger convolutional kernels but to stabilize training and also incorporates a 501 gated summarization module that reduces the accumulated errors during value iteration (Cai et al., 502 2023). Most related to DT-VIN is other recent work that focused on developing very deep VINs 503 for long-term planning. Specifically, Highway VIN (Wang et al., 2024a) incorporates the theory of Highway Reinforcement Learning (Wang et al., 2024b) to create deep planning networks with up 504 to 300 layers for long-term planning tasks. Highway VIN modifies the planning module of VIN 505 by introducing an exploration module that injects stochasticity in the forward pass and uses gating 506 mechanisms to allow selective information flow through the network layers. Our method, however, 507 achieves even deeper planning by incorporating a dynamic transition matrix in the latent MDP and 508 adaptively weighting each layer's connection to the final output. 509

510 **Neural Networks with Deep Architectures.** There is a long history of developing very deep 511 neural networks (NNs). For sequential data, this prominently includes the LSTM architecture and 512 its gated residual connections, which help alleviate the "vanishing gradient problem" (Hochreiter & 513 Schmidhuber, 1997; Hochreiter, 1991). For feedforward NNs, a similar gated residual connection ar-514 chitecture was used in Highway Networks (Srivastava et al., 2015a) and later in the ResNet architec-515 ture (He et al., 2016), where the gates were kept open. Such residual connections are still ubiquitous 516 in modern language architectures, such as the Generative Pre-trained Transformer (GPT) (Achiam et al., 2023). Our method dynamically employs skip connections from select hidden layers to the 517 final loss, utilizing a state and observation map-dependent transition kernel. This approach is more 518 closely aligned with the computation of the true VI algorithm. Similar kernels, dependent on an in-519 put image (Chen et al., 2020) or the coordinates of an image (Liu et al., 2018), have been previously 520 used in Computer Vision. 521

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6 CONCLUSIONS

525 Planning is a long-standing challenge in the field of artificial intelligence and its subfield: reinforcement learning. Previous work proposed VIN as an end-to-end differentiable neural network 526 architecture for this task. While VINs have been successful at short-term small-scale planning, they 527 start to fail quite rapidly as the horizon and the scale of the planning grows. We observed that this 528 decay in performance is principally due to limitations in the (1) representational capacity of their 529 network and (2) its depth. To alleviate these problems, we propose several modifications to the 530 architecture, including a dynamic transition kernel to increase the representation capacity and an 531 adaptive highway loss function to ease the training of very deep models. Altogether, these modifi-532 cations have allowed us to train networks with 5000 layers. In line with previous work, we evaluate 533 the efficacy of our proposed Dynamic Transition VINs (DT-VINs) on 2D maze, 3D ViZDoom, and 534 rover navigation environments. We find that DT-VINs scale to longer-term and larger-scale planning 535 problems than previous attempts. To the best of our knowledge, DT-VINs is, at the time of publica-536 tion, the current state-of-the-art planning solution for these specific environments. We note that the upper bound for this approach (i.e., the scale of the network and, consequentially, the scale of the 537 planning ability) remains unknown. As our experiments were limited mostly by computational cost 538 and did not observe instability, we expect that with the growth of available computational power, our method will scale to even longer-term and larger-scale planning.

540 **Reproducibility Statement** 541

542 The details of model architecture, along with specific training protocols, can be found in Section 4 543 and Appendix B. We will open-source the code for reproducing the results of this paper after publi-544 cation.

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702 LIMITATIONS AND FUTURE WORKS А

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The principal limitation of our work compared to VIN and Highway VIN is the increased computa-705 tional cost (see Appendix C.5). This is a consequence of the scale of the network. The past decades 706 have seen AI dominated by the trend of scaling up systems (Sutton), so this is not likely a long-term 707 issue. Other limitations include the requirement to know the length of the shortest path l in the 708 highway loss in imitation learning. In a general RL problem, such a quantity could be estimated 709 online. Future work will explore the impact of a more sophisticated transition mapping module (this 710 work uses a single CNN layer for this purpose) in more challenging real-world applications, such as real-time robotics navigation in dynamic and unpredictable environments. 711

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В **EXPERIMENTAL DETAILS**

716 The below subsections detail specific information about the experiments that have been deemed too minor to appear in the main text. 717

- 718
- 719 **B.1** 2D MAZE NAVIGATION 720

721 Figure 8 shows some visualizations of some of the different 2D maze navigation tasks we experiment 722 with. Our experimental setup follows the guidelines established in the GPPN paper (Lee et al., 723 2018). For these tasks, the datasets for training, validation, and testing comprise 25000, 5000, and 724 5000 mazes, respectively. Each maze features a goal position, with all reachable positions selected as potential starting points. Note that this setting, as done by GPPN, produces a distribution of mazes 725 with non-uniform SPLs, which is skewed towards shorter SPLs. Table 3 shows the hyperparameters 726 used by our method. Note that, while DT-VIN consistently uses 3 for the size of the latent transition 727 kernel F and 4 for the size of the latent action space $|\vec{A}|$, other methods instead used their best-728 performing sizes from between 3 and 5, and between 4 and 150, respectively. 729

730 Moreover, to reduce the computational complexity of highway loss, we apply adaptive highway loss 731 only to layers n satisfying the condition $n \mod J = 0$, where J is a hyperparameter set to 10 in our experiments. Here, the main idea is to build the highway connections at interval J, for example, 732 every 10 neural network layers. Using this, the number of the loss terms will reduce to only 1/J of 733 the original one. Table 2 shows the magnitude of the computational speedup as a concequence of 734 this implementation detail. 735

	Wall-Clock Time (hours)	[1,100]	[100,200]	[200,300]
J = 1	37	$100.00_{\pm 0.00}$	$99.99_{\pm 0.01}$	$99.78_{\pm 0.21}$
J = 10	12.1	$100.00_{\pm 0.00}$	$99.99_{\pm 0.01}$	$99.77_{\pm 0.23}$
J = 50	7.1	$100.00_{\pm 0.00}$	$99.98_{\pm 0.02}$	$99.69_{\pm 0.27}$

Table 2: Training Time and Success Rate (%) across Different Ranges of SPLs for DT-VIN with Different J Values.

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B.2 3D VIZDOOM

748 To be in line with previous work, we use a state representation preprocessing stage for the 3D 749 ViZDoom environment similar to that used in the GPPN paper and others (Lee et al., 2018; Lample 750 & Chaplot, 2017). In 3D ViZDoom, a maze is designed on a grid of $M \times M$ cells. Each cell in 751 this grid corresponds to an area of 64×64 map units within the 3D ViZDoom environment. The 752 map unit is the basic measure of space used in the ViZDoom game engine to define distances and sizes. Specifically, for each cell in the $M \times M$ 3D maze, the RGB first-person views for each of the 753 four cardinal directions are given as state to a preprocessing network (see Figure 6(a)). This network 754 then encodes this state and produces an $M \times M$ binary maze matrix. The hyperparameters and exact 755 specification of the network are given in Table 5.

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785			(c) 35×35 Maze		(d) 100×100 Ma	aze
786 787			Figure 8: Some examples	of the 2D	moza navigation task	
788			Figure 6. Some examples	of the 2D	maze navigation task	.5.
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791			Table 3: 2D Maze N	lavigation	Hyperparameters	
792			Hyperparameter		Value	
793			Transition Mapping Modu	ıle	Conv with 3×3 kern	nel
794			Reward Mapping Module		Conv with 1×1 kern	
795			Latent Transition Kernel S	Size (F)	3	
796			Latent Action Space Size		4	
797			Optimizer	- 17 - 17	RMSprop	
798			Learning Rate		1e-3	
799			Batch Size		32	
800					15×15 maze: 200 25 × 25 maze: 200	
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807	B.3	ROVER NAV	VIGATION			
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Table 4 shows the hyperparameters of DT-VIN for the rover navigation tasks. For the transition and reward mapping modules, we employ 10-layer CNNs, with the first 8 layers shared between them.

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816	Table 4. Deven M	vication II. mamoran atom	
817		wigation Hyperparameters	
818	Hyperparameter	Value	
819	Transition Mapping Module	A 10-layer CNN	
820	Reward Mapping Module	A 10-layer CNN (sharing the first 8 la	
821	Latent Transition Kernel Size (F)	with Transition Mapping Module) 3	
822	Latent Action Space Size (\overline{A})	4	
823	Optimizer	RMSprop	
824	Learning Rate	1e-3	
825	Batch Size	32	
826		$270 \times 270:50$	
827	Depth of Planning Module	$450 \times 450 : 100$	
828		$630 \times 630:200$	
829 830			
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842	Table 5: 3D ViZDo	om Preprocessing Network	
843	Hyperparameter	Value	
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845	Batch Size (B)	32	
846	Image Directions (<i>L</i> Image Channels (<i>C</i>)		
847	Image Width (W)	24	
848	Image Height (H)	32	
849	Input Size	(B, M, M, D, W, H, C)	
850	Layer 1 (Convolutio	n) $(3, 32, 8, 4, 1)$	
851	Layer 2 (Convolutio		
852	Layer 3 (Linear)	(384, 256)	
853	Layer 4 (Convolutio		
854	Layer 5 (Convolutio Output Size	n) $(64, 1, 3, 1, 1)$ (B, M, M)	
855 856	Optimizer	Adam	
857	Learning Rate	1e-3	
858	Betas	(0.9, 0.999)	
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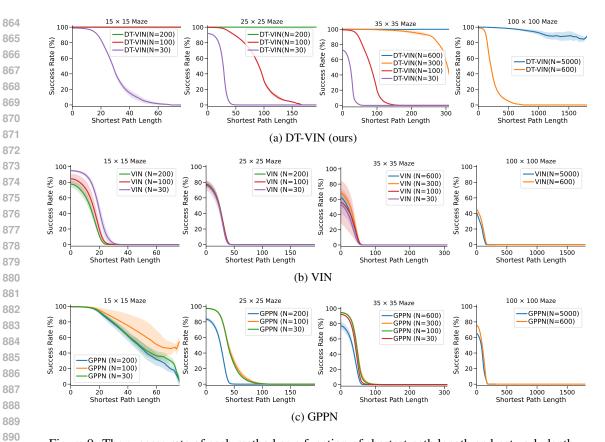


Figure 9: The success rate of each method as a function of shortest path length and network depth. The green and red curves overlap in the first plot of (a).

C ADDITIONAL EXPERIMENTAL RESULTS

Due to space constraints, the below results could not appear in the main text.

C.1 PERFORMANCE OF MODELS ACROSS DIFFERENT DEPTHS

Figure 9 shows the success rate of all the algorithms on the 15×15 , 25×25 , 35×35 , 100×100 mazes as a function of the shortest path length and the depth of the network. Similarly, Figure 10 shows the corresponding optimality rates.

C.2 DIFFERENT TRANSITION KERNELS

Following the GPPN paper (Lee et al., 2018), We have run an additional ablation using different transition kernels: the *Differential Drive* transition kernel, where the agent can move forward along its orientation or rotate 90 degrees left or right, and the *MOORE* transition kernel, where the agent can relocate to any of the eight adjacent cells that comprise its Moore neighborhood. As shown in Table 6 and 7, DT-VIN consistently outperforms all the compared methods regardless of the kernel used.

- 915 C.3 EXPERIMENTS ON CONTINUOUS CONTROL
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 917 To further demonstrate the generalizability of DT-VIN to different domains, we compare its performance to VIN, GPPN, and Highway VIN on Point Maze (He et al., 2016), a continuous control

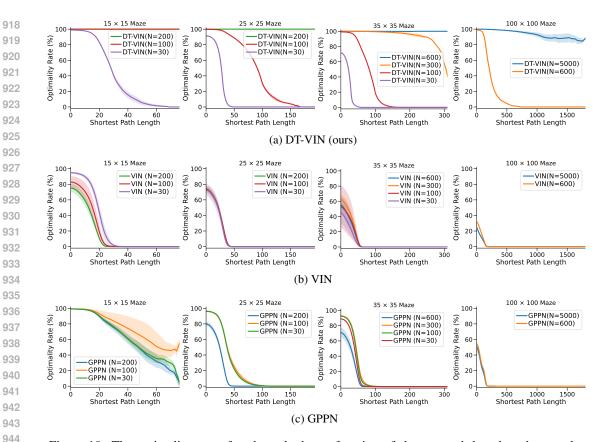


Figure 10: The optimality rate of each method as a function of shortest path length and network depth. The green and red curves overlap in the top-left plot.

Table 6: The success rate (%) for each method in 35×35 2D maze navigation with *Differential Drive* transition kernel, where the agent can move forward along its orientation or rotate 90° left or right.

Shortest Path Length	[1,150]	[150,300]	[300,500]
VIN	$68.44_{\pm 3.12}$	$0.03_{\pm 0.01}$	$0.00_{\pm 0.00}$
GPPN	$83.1_{\pm 1.23}$	$0.31_{\pm 0.01}$	$0.0_{\pm 0.0}$
Highway VIN	$87.1_{\pm 3.73}$	$57.1_{\pm 3.98}$	$49.1_{\pm 8.73}$
DT-VIN (ours)	$100.00_{\pm 0.00}$	$100.00_{\pm 0.00}$	$99.99_{\pm 0.01}$

domain. Here, as shown in Figure 11, the agent needs to apply force to a ball to navigate a maze and reach the goal within 800 steps. Table 8 shows the results of this experiment. Again, DT-VIN is able to solve the mazes at a much higher rate than all the baseline methods and typically ends episodes with the ball much closer to the goal.

C.4 ABLATION ON SOFTMAX OPERATION AND HIGHWAY LOSS

Ablation on Highway Loss We conduct an ablation study for the adaptive highway loss by evaluating the following variants in shorter planning tasks:

Implement skip connections for intermediate layers of the planning module of VIN, like what has been done in Residual Nets (He et al., 2015) and Highway Nets (Srivastava et al., 2015b). As shown in Table 9, this variant performs poorly, achieving only 61.35% success rate in comparison to DT-VIN's 99.98% on 100 × 100 Maze. These results are consistent with those in existing work (Wang et al., 2024a).

Table 7: The success rate for each method in 35×35 2D maze navigation with *Moore* transition kernel, where the agent can relocate to any of the eight adjacent cells that comprise its Moore neighborhood.

976	Shortest Path Length	[1,100]	[100,200]	[200,250]
977	VIN	$66.44_{\pm 3.21}$	$0.00_{\pm 0.00}$	$0.00_{\pm 0.00}$
978	GPPN	$89.94_{\pm 1.31}$	$0.04_{\pm 0.01}$	0.00 ± 0.00
979	Highway VIN	$83.14_{\pm 2.21}$	$37.1_{\pm 1.98}$	$25.1_{\pm 3.28}$
980	DT-VIN (ours)	$100.0_{\pm 0.00}$	$98.9_{\pm 0.72}$	$96.7_{\pm 1.23}$
981		10.00	10.12	11.20
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Figure 11: The Point Maze environment (He et al., 2016).

Table 8: Comparison of Final Distance to the Goal and Success Rate for Different Models in the Continuous Control Setting.

	Final Distance to the Goal (Euclidean Distance)	Success Rate (%)
VIN	5.12 ± 3.19	62.00 ± 2.18
GPPN	$4.12{\pm}2.18$	$68.12 {\pm} 4.17$
Highway VIN	$4.98{\pm}3.28$	$67.31 {\pm} 3.28$
DT-VIN (ours)	2.28 ± 1.20	$\textbf{82.00}{\pm}\textbf{3.89}$

^{2.} $\mathbf{1}_{\{n=l\}}$, only building highway loss for a specific layer n which satisfies n = l. As shown in Table 9, this variant performs worse than the adaptive highway loss, showing that the component n > l plays an important role in the performance.

3. Building highway loss for all intermediate layers n, without the term $\mathbf{1}_{\{n>l\}}$. This variant is already verified to be less effective in Figure 4(c).

Table 9: Success rates for the variants of adaptive highway loss on 2D Maze.

Method	35 × 35, SPL [1,100]	100×100 , SPL [1,600]
Skip Connections for intermediate layers	$90.35_{\pm 2.53}$	$61.35_{\pm 3.43}$
$1_{\{n \geq l\}}$ (Adaptive Highway Loss)	$100.00_{\pm 0.00}$	$99.98_{\pm 0.00}$
$1_{\{n=l\}}$ (without $1_{\{n\geq l\}}$)	$98.35_{\pm 2.23}$	$92.81_{\pm 3.78}$
Without $1_{\{n \ge l\}}$ (Fully Highway Loss)	$98.11_{\pm 1.23}$	$91.11_{\pm 2.00}$

Gradient and Loss Analysis The softmax operation ensures that the values of the dynamic transition kernels remain within [0,1], helping to prevent the gradient exploding problem. In our ex-periments, we found that the gradient of DT-VIN lacking softmax operation explodes at the first forward-backward pass of training, resulting in the loss escalating to NaN (Not a Number) during the training process. Figure 12 shows the gradient and the loss of DT-VIN with and without Softmax Operation.

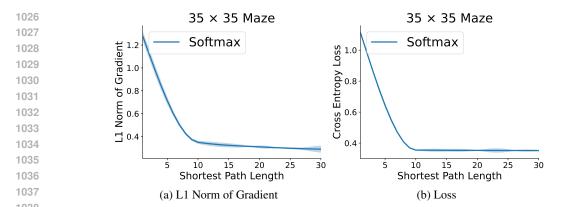


Figure 12: The L1 norm of gradient averaged over the first 10 layers and the loss during the training process for DT-VIN with Softmax Operation, evaluated on 35×35 2D maze with depth N = 600. The result of DT-VIN without softmax operation is missing, as the gradient explodes at the first forward-backward pass of training, resulting in the loss escalating to NaN (Not a Number) during the training process.

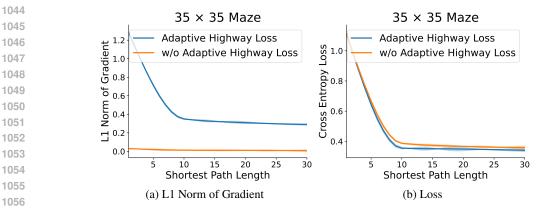


Figure 13: The L1 norm of gradient averaged over the first 10 layers and the loss during the training process for DT-VIN with and without Adaptive Highway Loss, evaluated on 35×35 2D maze with depth N = 600.

The adaptive highway loss improves gradient flow toward shallower layers. As shown in Figure 13, without adaptive highway loss, the L1 norm of the gradient for DT-VIN is closer to zero in the first 10 layers of the network. The adaptive highway loss can reduce this vanishing gradient problem, resulting in lower loss.

Ablation on the Choice of l The knowledge of the length l of the expert path naturally exists in the imitation learning case. However, for the case where such information is unknown, one can use either the length of non-expert data or some heuristic methods to estimate l when the actual l is completely unknown, e.g., using the distance between the start and the goal position.

To measure the effect of overestimation/underestimation, we experiment with various estimated 1071 values of the length of the shortest path l, which are 0, l/2, l, 2l, N (where l is the actual length of 1072 the shortest path, N is the depth of the planning module). Second, to evaluate the case when the 1073 etsimation of l has variance, we use $l \cdot \max(\epsilon, 0)$ as the estimation, with ϵ sampled from a Gaussian 1074 distribution $\mathcal{N}(1,1)$. Third, we also assess two additional variants for estimating l: (a) One variant 1075 that utilizes the length of non-expert trajectories for l; (b) Another variant that estimates the shortest 1076 path length heuristically using the L1 distance between the start (x_s, y_s) and the goal (x_a, y_a) , i.e., 1077 $D = |x_s - x_q| + |y_s - y_q|.$ 1078

As indicated in Table 10, both overestimation and underestimation lead to a performance degradation of no more than 7%. Additionally, we find that leveraging non-expert data or the heuristic L1

distance only yields a nearly 3% degradation in performance, and performs better than the case when the optimal length is extremely overestimated/underestimated. These results imply that employing the information from non-expert data or heuristic estimation could be taken as an alternative when the optimal length is not available.

Table 10: Ablation study for using various estimated lengths of optimal paths for adaptive highway loss, under 35×35 ViZDoom navigation. The best results are highlighted.

Shortest Path Length	[1,100]	[100, 200]	[200,300]
$\hat{l} = 0$ (connected to all hidden layers)	$99.49_{\pm 0.35}$	$94.51_{\pm 0.77}$	$89.1_{\pm 3.56}$
$\widehat{l} = l/2$	$99.62_{\pm 0.91}$	$96.21_{\pm 0.44}$	$91.24_{\pm 1.68}$
$\widehat{l} = l$	$99.67_{\pm 0.22}$	$97.92_{\pm 0.11}$	$96.41_{\pm 0.3}$
$\widehat{l} = 2 * l$	$99.61_{\pm 0.18}$	$96.29_{\pm 0.48}$	$93.12_{\pm 0.73}$
$\hat{l} = N$ (connected to only last layer)	99.52 ± 0.29	95.52 ± 0.86	$91.12_{\pm 1.64}$
$\hat{l} = l \cdot \max(\epsilon, 0), \epsilon \sim \mathcal{N}(1, 1)$	$99.62_{\pm 0.50}$	$96.19_{\pm 0.15}$	$93.21_{\pm 0.92}$
$\hat{l} = len(\text{non-expert path})$	$99.62_{\pm 0.12}$	$97.01_{\pm 0.69}$	$93.31_{\pm 0.32}$
$\hat{l} = D$ (L1 distance)	$99.64_{\pm 0.49}$	$96.92_{\pm 0.05}$	$93.52_{\pm 0.8}$

C.5 SCALING EXPERIMENTS

Compute As we have discussed in Section 3.1, our approaches only require $|\overline{\mathcal{A}}| \times F^4$ parameters, where we set $|\overline{A}| = 4$ and F = 3 in our experiments. Table 12 shows the memory consumption and training time on NVIDIA A100 GPUs for DT-VIN and the compared methods when using 5000 layers and training for 90 epochs on 100×100 maze. As shown in the table below, our DT-VIN consumes significantly less GPU memory compared to GPPN, while requiring a similar amount of GPU hours. These results are generally consistent with those observed in the 35×35 2D maze in Table 11.

Table 11: The computational complexity during traning of each method, employing 600 layers and trained over 30 epochs, evaluated in a 35×35 2D maze navigation.

1111								
1112		Method	GPU Memory (GB)	Wall-Clock Time (h)	GPU Hours (h)			
1113		VIN	4.2	8.4	8.4			
1114		GPPN	182	4.2	12.6			
1115		Highway VIN	41.3	14.3	14.3			
1116		DT-VIN	53.3	12.1	12.1			

Table 12: Computational complexity during of training of each method using 5000 layers and train-ing for 90 epochs, evaluated on a 100×100 2D maze navigation.

e	- ·		e	
	Method	GPU Memory (GB)	Wall-Clock Time (h)	GPU Hours (h)
	VIN	35	36	36
	GPPN	710	31	310
	Highway VIN	111	112	224
	DT-VIN (ours)	182	98	294

Model size In our experiments, the depth of the network required to solve the problem is close to linear with the number of planning steps required by the problem. For maze size M = 15, 25, 35,we test DT-VIN models at increasing depths in increments of 100 until the optimal performance is achieved. For instance, for mazes of size 25×25 , we assess depths of 100, 200, 300, 400. For maze size M = 100, we assess depths of 4000, 5000, 6000. As Table 13 illustrates, the depth of the smallest network that can solve the task increases slightly more than linearly with the required planning steps. Therefore, it might be feasible to continue increasing the network depth as the problems become more complex.

Maze Siz	ze Longest Lengt	h of Optimal Pa	th Minimal	Depth of DT-VIN
15		80		100
25		200		300
35		300		500
100		1800		5000
Data The scale of ne model depth. Ur equires additional d epth does not reduc	ata. As shown in Ta	of the problem able 14, without	, we didn [•] t fir	nd that increasing i
Aoreover, even in si	ituations where dat	a is rare, DT-VI	N still outper	forms compared n
hown in Table 15,				
hethods. We also h				
Table 16, where DT- while the best-perform				
fille the best-perior	ming comparison i	lieulou, OFFIN,	incurs a degra	idation of hearry 1.
	ss rate of DT-VIN a	cross various m	odel depths N	, maintaining the
ne original dataset.				
Sh	ortest Path Length	[1,100]	[100,200]	[200,300]
	N = 300	$99.99_{\pm 0.01}$	$99.81_{\pm 0.13}$	$92.11_{\pm 1.31}$
	N = 600	$100.00_{\pm 0.00}$	$99.99_{\pm 0.01}$	$99.77_{\pm 0.23}$
	N = 1200	$100.00_{\pm 0.00}$	$99.99_{\pm 0.01}$	$99.81_{\pm 0.11}$
Table 15: The succ	ess rate for each me	ethod, using a da	ataset reduced	to 50% of the ori
	ortest Path Length	[1,100]	[100,200]	[200,300]
	VIN	$32.41_{\pm 4.25}$	$0.00_{\pm 0.00}$	$0.00_{\pm 0.00}$
	GPPN	$83.11_{\pm 1.33}$	0.00 ± 0.00 0.01 ± 0.01	0.00 ± 0.00 0.00 ± 0.00
	Highway VIN	$45.41_{\pm 4.13}$	$37.41_{\pm 3.25}$	$21.41_{\pm 6.98}$
	DT-VIN (ours)	$99.96_{\pm 0.01}$	$99.8_{\pm 0.12}$	$96.01_{\pm 0.32}$
]		70101		
]		10101		
]		10.01		
able 16: The <i>chan</i>		e for each meth		
able 16: The <i>chan</i>	red to the full-sized	e for each meth		
able 16: The <i>chan</i> riginal size, compa		e for each meth		
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able 16: The <i>chan</i> riginal size, compa Short	red to the full-sized test Path Length VIN GPPN ighway VIN	e for each meth dataset (more r [1,100] $-36.00_{\pm 3.12}$ $-12.60_{\pm 1.29}$ $-45.26_{\pm 3.48}$	the second state is we have been second state is we show the second state is the second state is shown as the second state is shown	rse). [200,300] $0.00_{\pm 0.00}$