

StateAct: State Tracking and Reasoning for Acting and Planning with Large Language Models

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Abstract

001 Planning and acting to solve ‘real’ tasks using
002 large language models (LLMs) in interactive
003 environments has become a new frontier for AI
004 methods. While recent advances allowed LLMs
005 to interact with online tools, solve robotics
006 tasks and many more, long range reasoning
007 tasks remain a problem for LLMs. Existing
008 methods to address this issue are very resource
009 intensive and require additional data or human
010 crafted rules, instead, we propose a simple
011 method based on few-shot in-context-learning
012 alone to enhance ‘chain-of-thought’ with
013 state-tracking for planning and acting with
014 LLMs. We show that our method establishes
015 the new state-of-the-art on Alfworld for
016 in-context-learning methods (+14% over the
017 previous best few-shot in-context-learning
018 method) and performs on par with methods that
019 use additional training data and additional tools
020 such as code-execution. We also demonstrate
021 that our enhanced ‘chain-of-states’ allows the
022 agent to both solve longer horizon problems
023 and to be more efficient in number of steps
024 required to solve a task. Finally, we also
025 conduct ablation studies and show that ‘chain-
026 of-thoughts’ helps state-tracking accuracy, while
027 a json-structure harms overall performance. We
028 open-source our code and annotations at **anony-
029 mous URL**.

030 1 Introduction

031 Using the in-build world- and commonsense-
032 knowledge¹ of large language models (LLMs),
033 such as GPT-3, Mixtral, Gemini (Brown et al.,
034 2020; Jiang et al., 2024; Anil et al., 2023) to
035 perform interactive reasoning tasks has become
036 a frontier in AI research, with “AI Agents”
037 now able to solve a range of multi-modal
038 complex tasks (Durante et al., 2024). These
039 range from solving (simulated) robotics tasks

¹Commonsense- and world- knowledge as explored by Lauscher et al. (2020) for example.

040 et al., 2021) and digital tasks such as online
041 shopping (Yao et al., 2023a) and navigating
042 operating systems (Liu et al., 2023), to
043 playing a variety of games (Côté et al., 2019;
044 Liu et al., 2023).

045 While LLMs are increasingly successful in
046 solving AI agent tasks, Li et al. (2023b) show
047 that LLMs struggle with long range
048 understanding and Coelho et al. (2024) show
049 that LLMs often focus on the beginning of
050 the textual history, further amplifying the
051 problem. Existing efforts to address these
052 issues are resource intensive, Wu et al. (2024)
053 required human expert annotations of rules,
054 Sun et al. (2023) a code execution environment
055 with carefully crafted code-based prompts,
056 Fu et al. (2024) use additional training data
057 together with retrieval augmented generation
058 (RAG) to help the AI agent.

059 To overcome these challenges, we introduce
060 “StateAct” a novel LLM agent based on
061 few-shot in-context-learning that tracks the
062 goal by ‘reminding’ the agent of the goal and
063 explicitly keeps track of the agent’s state
064 (such as location and inventory). We also
065 utilise ‘chain-of-thought’ (i.e. explicit
066 reasoning (Wei et al., 2023)) as an optional
067 addition. At the core of the method lies a
068 simple annotation with goal-, state- and
069 reasoning- traces of the few-shot examples,
070 that we call ‘chain-of-states’.

071 Our method establishes a new state-of-the-art
072 for Alfworld (Shridhar et al., 2021) for
073 few-shot in-context-learning based methods,
074 outperforming the previous best method by
075 14% and even outperforms methods that use
076 external tools by 2.5%. Furthermore, while
077 our method does not require additional data
078 or external tools and only minimal and easy
079 human annotations, we perform on par with
080 the current state-of-the-art that is much more
081 resource intensive and requires additional data
082 and expert human annotations. Comparing to
083 in-context-learning methods, we also
084 demonstrate that our method is both more
085 efficient in terms of number of steps to solve
086 a task and is able to solve longer-horizon
087 tasks, validating the hypothesis that explicit
088 state-

081 tracking and goal-tracking help with solving longer
082 horizon reasoning and planning tasks.

083 2 Background 132

084 AI agents have historically used reinforcement
085 learning (RL) to solve tasks (Sutton and Barto,
086 2018). With the dawn of LLMs works such as
087 Li et al. (2022); Nottingham et al. (2023) combined
088 LLMs and RL and trained additional policies or
089 value functions to make predictions. 133

090 2.1 In-context-learning approaches 140

091 Huang et al. (2022a,b); Singh et al. (2022) were
092 among the first to use LLMs directly to act in an in-
093 teractive environment, their method produces agent
094 actions as output after receiving environment ob-
095 servations as input. 141

096 ReAct (Yao et al., 2023b) took this work further
097 by combining ‘acting’ (Huang et al., 2022a) and
098 ‘chain-of-thought’ (Wei et al., 2023). ReAct estab-
099 lishes state-of-the-art for in-context-learning only
100 based approaches and while it is a very scalable
101 method, the performance (i.e. success rate) is still
102 limited. 142

103 ExpeL (Zhao et al., 2023) uses additional train-
104 ing data to generate ‘insights’ and ‘success trajec-
105 tories’ during training. At inference time they look-
106 up the closest ‘success trajectories’ as few-shot
107 examples to the agent (as opposed to fixed few-
108 shot examples that we use) and augment them with
109 these ‘insights’ to perform the final inference. They
110 achieve 59% on Alfworld using retrieved ‘success
111 trajectories’ (as few shot examples) + ‘insights’ and
112 50% using the same few-shot examples as ReAct +
113 ‘insights’. 143

114 2.1.1 In-context-learning and additional tools 144

115 The current state-of-the-art for in-context-learning
116 based approaches in combination with additional
117 tools is AdaPlanner (Sun et al., 2023). They intro-
118 duce a code-based prompt (Li et al., 2023a) and use
119 code-execution as an additional tool to execute the
120 LLM generations to feed them back into the next
121 prompt. The short-coming of Adaplaner is that it
122 requires very complex human crafted prompts that
123 are hard to scale to new environments as well as
124 the additional step of requiring code-execution. 145

125 2.2 State tracking in LLM-based agents 146

126 Concurrent work to ours, AutoGuide (Fu et al.,
127 2024), uses ReAct as the base agent and addi-
128 tional training data to create ‘state-aware’ text- 147

129 based guidelines for the LLM-agent, they then use
130 a type of retrieval augmented generation (RAG)
131 process to guide the decision making process. They
132 embed the current observation as a summary (this
133 is what they call ‘state-aware’) and use a LLM
134 to ‘look up’ the relevant ‘state-aware’ guideline,
135 which is then fed into a final LLM to generate an
136 action. Using training data and LLM-based RAG
137 they achieve 79.1% on top of a ReAct agent on Alf-
138 world. Their training and RAG approach could be
139 used complimentary to our StateAct LLM agent². 140

141 Chen et al. (2024) propose state-tracking as a
142 way to help the agent solve the task, without train-
143 ing data. Their method differs to ours two-fold.
144 Firstly, they employ a complex sequence of com-
145 ponents working together (an LLM-based attention
146 over the observations, a LLM-based compilation
147 of a complex state and finally a prediction of a
148 program). Secondly, their system involves execu-
149 tion of actual programs. Our method on the hand
150 requires a straight-forward extension of ‘chain-of-
151 thought’ and uses a single LLM call to produce
152 the state, thought and action and we do not require
153 program execution. 148

153 2.2.1 Fine-tuning approaches 154

154 Previous fine-tuning approaches did not signifi-
155 cantly enhance performance (Zhou et al., 2024;
156 Yao et al., 2023b; Shridhar et al., 2021). A con-
157 current work, however, ActRe (Yang et al., 2024b)
158 achieves 83% by fine-tuning gpt-3.5-1106 on addi-
159 tional training data. 155

160 2.3 “Multi-Agent Conversation” approaches 161

161 A new trend is to use multiple LLMs concurrently
162 to ‘chat’ to one another to produce a result. A
163 recent work in this direction by (Wu et al., 2023)
164 achieves 67% on Alfworld. 162

165 2.4 Joined rule and LLM based agents 166

166 StateFlow (Wu et al., 2024) uses Finite State Ma-
167 chines (FSMs) combined with LLMs to solve Alf-
168 world. These FSMs are human-expert crafted
169 states, transitions and rule-based heuristics, where
170 the LLM is asked to perform limited tasks in each
171 of the given states. While their performance is 82%
172 on Alfworld, we believe this result is more compa-
173 rable to a rule-based ‘experts’ than an LLM-based
174 agent (notably close to 100% can be achieved on 173

²The cost of using RAG at every generation step could be significantly higher than our method, however. 174

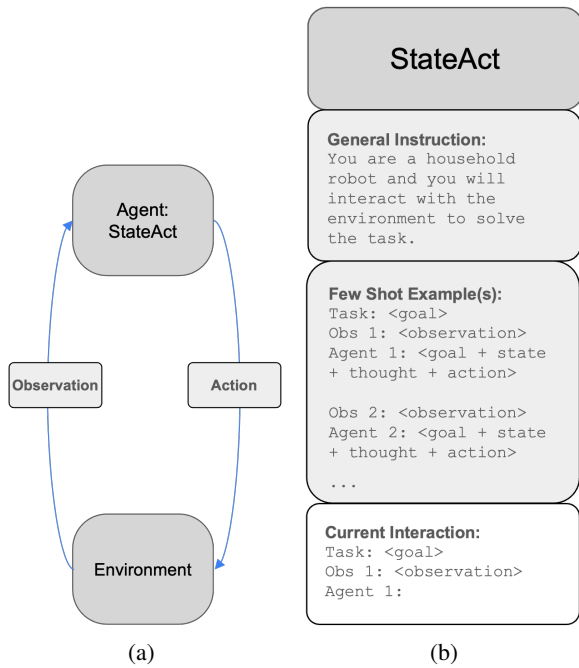


Figure 1: StateAct interacts with the environment directly, without additional tools or resources (a). A skeleton of the StateAct prompt (b).

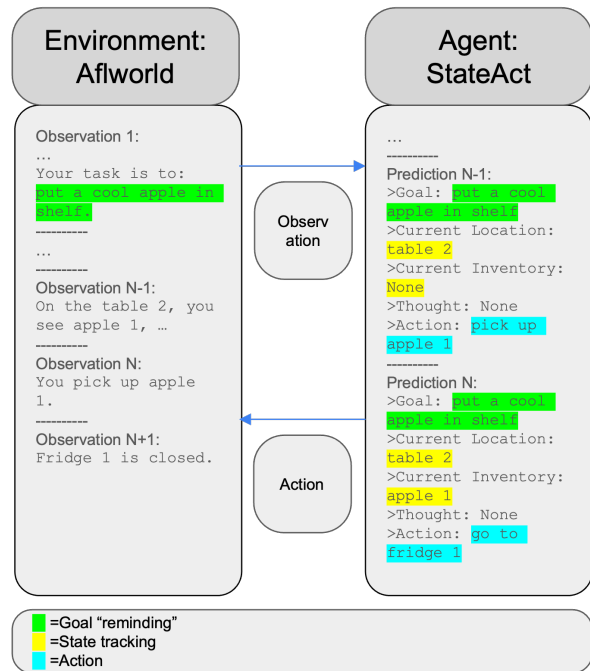


Figure 2: An example of how StateAct is working. The depicted traces are from the Alfworld environment.

Alfworld using hand-crafted ‘experts’ (Shridhar et al., 2021)).

2.5 Approaches with multiple trials

Works such as Reflexion (Shinn et al., 2023) or work by Sun et al. (2023); Yang et al. (2024a) are methods that perform several evaluations on the same test-environments and perform learning loops (during evaluation) on these test-set environments to improve the score. While the score necessarily goes up (as only the failed environments are re-run) we do not dive into this, as this does not compare to the actual agent performance and ‘reflection’ can be used on top of most LLM-based agents (e.g. Reflexion uses ReAct as the base agent).

3 Method

StateAct is an LLM-based AI agent that works on top of pre-trained large language models. StateAct takes the *textual* ‘observation’ from the environment and after a single call to the pre-trained LLM returns the ‘action’ back to the environment (without the use of additional tools or resources), see Figure 1a.

StateAct utilises in-context-learning (Brown et al., 2020; Wei et al., 2023) to make the agent interact with the environment. At the core of the approach is a prompt that consists of few-shot ex-

amples of successful ‘interaction traces’ as well as the current ‘interaction trace’ up to the current step in the environment, see Figure 1b. An ‘interaction trace’ consists of alternating ‘observations’ from the environment and desired (or actual) ‘outputs’ from the LLM. In the case of StateAct the LLM is tasked to generate the ‘goal’, ‘state’, ‘thought’ and ‘action’. The ‘action’ is then extracted and passed to the environment to produce the next observation, see Figure 2. For example a sample of the trace for step n in Alfworld:

Observation n:
On the countertop 1, you see a tomato 1.
LLM Output n:
>Goal: Put a clean tomato in fridge
Current Location: countertop 1
Current Inventory: None
Thought: I find the tomato, now I need to take it.
Action: take tomato 1

In the above example the agent produces the action ‘take tomato 1’, in response to the observation ‘On the countertop 1, you see a tomato 1’.

3.1 Goal-reminders and state-tracking using LLMs

In order to make StateAct more precise we introduce simple notation. By denoting π as the policy

of an AI agent, in the standard case at time step t the policy predicts action a_t , given the history of observations and actions $[o_t, a_{t-1}, \dots, a_0, o_0]$.

$$\pi(a_t|o_t, a_{t-1}, \dots, a_0, o_0) \quad (1)$$

Where a_t is the action produced by the agent at step t and o_t is the observation produced by the environment at step t after receiving action a_t as input. Usually, the first observation o_0 also contains the ‘goal’ description for the given environment.

For our case we need to enhance the policy to incorporate the ‘state’. Similar to previous work (Yao et al., 2023b) we introduce the *context* vector, c_t . The context vector contains the action, as well as the other additional predictions of the agent, i.e. $c_t = (g_0, s_t, r_t, a_t)$. Where g_0 is the goal and always remains the same (for a given environment) and uses the goal extracted from o_0 , s_t represents the predicted state at time step t , r_t represents ‘chain-of-thought’ style ‘reasoning’ at time step t , and a_t represents the action at time step t , as before. The new policy π then becomes:

$$\pi_{contextual}(c_t|o_t, c_{t-1}, \dots, c_0, o_0) \quad (2)$$

In our case the LLM acts as $\pi_{contextual}$ and produces the context vector at every time step.

4 Experimental setup

Our aim is to study long-range acting, planning and reasoning capabilities of LLM-based agents. To achieve this, in line with previous work, we turn to simulated environments as an evaluation framework and to API-based state-of-the-art large language models. Specifically, we use Alworld (Shridhar et al., 2021), a household robotics environment, and Webshop (Yao et al., 2023a), an online shopping environment, as simulated environments. As LLM we use OpenAI’s³ gpt-3.5-turbo-1106.

4.1 Alworld

Alworld (Shridhar et al., 2021) is based on a 3D, visual, household robotics environment called Alfred (Shridhar et al., 2020), which was translated into a text-based environment for ease of use for language based AI models, see Figure 3. Alworld has a total of 135 test-set examples and six environment types. It features long-time horizons, partial observability, an out-of-distribution evaluation set

³<https://openai.com>, last accessed June 2024.

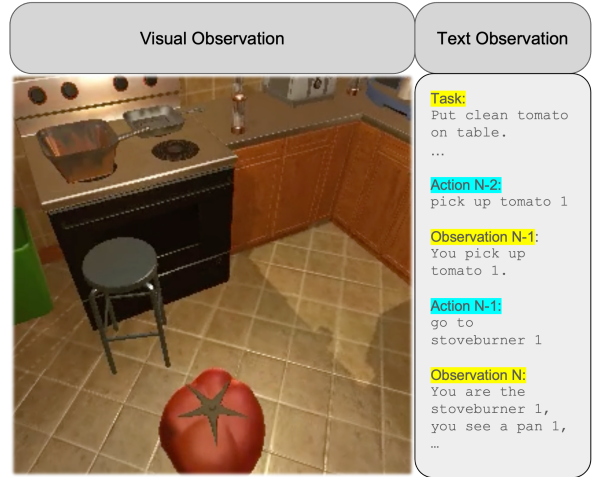


Figure 3: An example textual interaction in Alworld (right) and corresponding 3D rendering (left).

and text-based interactions. Alworld simulates a household environment with a household assistant robot tasked with solving problems, e.g. clean an apple and put it on a table. The robot (or agent) then needs to perform a series of ‘high-level’ operations to accomplish the tasks, e.g. ‘go to fridge 1’, ‘open fridge 1’. At every step the environment provides a textual observation or feedback that the command has failed, e.g. ‘You open the fridge 1’, ‘You see apple 1’. The underlying text engine is based on Textworld (Côté et al., 2019). See Appendix A for a complete list of commands and details on environments.

4.1.1 Alworld correction

In our research we identified that Alworld has a specific syntactic feature for the put command, namely put <object> in/on <place>, where “in/on” needs to be written exactly this way and using only “in” or only “on” produces a failed command. We observed this issue with LLMs on this environment and we propose a simple fix for it. We map: 1. “put <object> in <place>” and 2. “put <object> on <place>” to the command accepted by Alworld, namely “put <object> in/on <place>”.

Methods such as AdaPlanner (Sun et al., 2023) have avoided this issue because they use code-based prompts and regex parsers. However, methods such as ReAct (Yao et al., 2023b) and ExpeL (Zhao et al., 2023) have been affected, lowering their potential performance. In our work, we also report the results for ReAct using *corrections*.

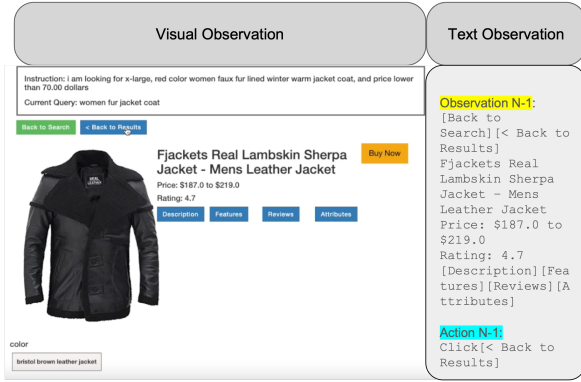


Figure 4: An example textual interaction in Webshop (right) and corresponding e-commerce website rendering (left).

4.2 Webshop

Webshop (Yao et al., 2023a) is a simulation of an online shopping experience. Given a task, e.g. “I want a blue water-proof winter jacket, less than \$100”, the agent needs to search a product catalogue, browse through the search results and select the most fitting product, select the attributes, e.g. colour, size, and then buy the product. In line with previous work we use the text-based version of Webshop, where all descriptions of the website are given in text form, see Figure 4. Webshop features a realistic large-scale product catalogue, a search engine and very varied product attributes depending on the category of product. See Appendix B for more details. In total the test set consists of 500 examples and each one is of the type “search and buy a product”. Overall, Webshop has a maximum of 15 steps and two commands: 1. search[<query>], 2. click[<button>].

4.3 In context learning

Since ReAct (Yao et al., 2023b) forms the underlying agent for many current (Zhao et al., 2023) and state-of-the-art approaches (Fu et al., 2024), we use the same few-shot ‘interaction traces’ as ReAct. The main reason is to have a fair comparison and isolate additional effect - such as performance change from different in-context examples.

In total, Alfworld has six types of tasks and ReAct uses two in-context examples per task type to prompt the language models. On average each ReAct example ranges from 352 words to 591 words (590 token to 935 tokens). For our study we reuse the observations, thoughts and actions and annotate these examples further with goal ‘reminders’ and state tracking, which results in a range from 484 to

Method	Version	AW	WS
ReAct	gpt-3.5-turbo-1106	40.7	18.2
ReAct	gpt-3.5-turbo-0125	30.37	14.6

Table 1: ReAct success rate on Alfworld (AW) and Webshop (WS) using available gpt-3.5 models.

911 words (807 tokens to 1458 tokens) per example. During our annotation we discovered minor errors in the ReAct prompts and fixed them as well. We release all our annotations with our code release. In comparison, AdaPlanner (Sun et al., 2023), uses a different code based approach and the prompt has 1104 words (2015 tokens) on average.

We use the two-shot examples from ReAct for Alfworld and the one-shot example from ReAct for Webshop for all our experiments.

4.4 Models

In line with previous work we focus our attention on the API based LLMs to compare performance. Many OpenAI models have become deprecated. Notably, all models from ReAct and AdaPlanner (Sun et al., 2023) davinci-002, gpt-3.5-turbo-0301 and gpt-3.5-turbo-0613 are deprecated now. Therefore, we re-implemented ReAct and ran the experiments to determine the most suitable model, see Table 1. We establish that gpt-3.5-turbo-1106 is the best performing (from the ones that remain available) on ReAct and we therefore chose this one. Furthermore, we did not opt for GPT-4 level models as these are prohibitively expensive⁴. Furthermore, we use temperature 0 for all experiments and sample only the top 1 response, see Appendix C the exact settings.

4.5 Metrics

In terms of metrics we use the pre-defined metrics of Alfworld and Webshop, namely success rate (SR). Success is a binary metric per each environment in the respective test sets (135 and 500 respectively). Success in Alfworld means the agent has successfully complete the whole task. In Webshop it means the agent has bought an item that has a hundred percent match with the desired item based on a partially hidden list of attributes of the shopping item (e.g. the colour, size, price, etc.).

⁴A single evaluation run on alfworld costs approx. \$8 using gpt-3.5 and ReAct, gpt-4 would cost 10+ times more.

5 Results

5.1 Alfworld

For Alfworld we present the results for ReAct, AdaPlanner with and without code execution and StateAct (ours), which consists of goal + state + thought + action. We also show StateAct without each of the components (i.e. without goal, state and thought). Interestingly we find, contrary to previous findings, that ‘thought’ or ‘reasoning’ actually sometimes harms the performance.

In Table 2, we can see that StateAct with all goal+state+thought and the correction performs the best. It outperforms ReAct with correction by around 13 points (using the same GPT model for ReAct) and by around 9 points (using the better model for ReAct). StateAct also outperforms ReAct by 22 points when corrections are not used. Furthermore, StateAct even outperforms AdaPlanner by 2.48 points, an approach that uses regex for command mapping (similar to our correction) and code-execution.

Perhaps the most surprising finding is that the simple correction described in Section 4.1.1 leads to a 16 and 23 point jump for ReAct and a 27 point jump for StateAct. This indicates that the model generally performs very well, however, struggles with minute differences in *domain specific* syntax.

5.2 Webshop

For Webshop we present results for ReAct and StateAct (ours). Similarly, to Alfworld we also present the results of StateAct without each of goal, state and thought. See Table 3. Interestingly, we see that removing thought produces the highest results and outperforms ReAct by 10 points. Our hypothesis is that *domain specific* syntax, which is more prevalent in Webshop than Alfworld, conflicts with using verbose thoughts.

5.3 Summary of results

In conclusion we found that our simple goal-reminding and state tracking approach that purely relies on in-context learning outperforms previous in-context learning approaches by more almost 10 points and even outperforms leading approaches that rely on code-execution. Interestingly, we found that the approaches are quite sensitive to domain specific syntax and that when this is the case ‘thoughts’ that are verbose can harm performance.

Method	GPT-3.5	SR %
<i>Baselines w/o corrections</i>		
ReAct	0301*	51.9
ReAct	1106	40.7
ReAct	0125	30.37
ReAct (joined***)	1106	36.30
<i>Results w/o corrections</i>		
StateAct (ours)	1106	50.37
- w/o thought	1106	62.96
- w/o goal	1106	42.96
- w/o state	1106	44.44
<i>Baselines with corrections</i>		
ReAct + corr.	0301*	68.15
ReAct + corr.	1106	63.70
AdaPlanner No-Exec**	0301*	46.66
AdaPlanner	0301*	75.56
<i>Results with corrections</i>		
StateAct + corr. (ours)	1106	77.04
- w/o thought	1106	64.44
- w/o goal	1106	74.04
- w/o state	1106	64.44

Table 2: Success Rate (SR) on the 135 test-set examples from Alfworld. *gpt-3.5-0301 is scheduled to be deprecated in June 2024. **No-Exec means AdaPlanner without code execution. ***joined means that thought + action are produced at every turn. AdaPlanner results are from (Sun et al., 2023). All other results are ‘single run’.

6 Analysis and Ablations

In the results section we discovered that our methods perform better than previous state-of-the-art. This answers the question that we can perform better with in-context learning without resorting to additional tools, data or bigger models. In this section we want to analyse our results further and particularly also answer if our second hypothesis that *goal ‘reminding’* and *state tracking* help with long-range reasoning actually holds. For all ablation studies we focus on Alfworld as it has two favourable properties over Webshop. Firstly, Alfworld has a longer time horizon (50 steps vs. 15 in Webshop), with tasks taking an average of less than 10 steps in Webshop and around 20 to 30 steps in Alfworld. Secondly, Alfworld has much less domain specific syntax and is purely text based, while Webshop has a more specific syntax to follow.

Method	GPT-3.5	Success Rate %
<i>Baselines</i>		
Rule-based*	N/A	9.60
RL*	N/A	17.60
ReAct	1106	17.80
<i>Results</i>		
StateAct (ours)	1106	17.00
- w/o thought	1106	27.80
- w/o goal	1106	20.40
- w/o state	1106	21.00

Table 3: Success Rate (SR) on the 500 test-set examples from Webshop. *results taken from (Yao et al., 2023a). Results are ‘single run’, except for StateAct without thought and ReAct, where we ran the experiment twice and reported the average.

6.1 Do goal reminders help with long range tasks?

For this purpose we compare the original ReAct (thought+action) with just adding the goal in, i.e. StateAct (goal + thought + action). In Figure 5 we can see that while the performance of both ReAct and StateAct goes down as there are more number of steps the goal tracking has a significantly better relative performance as the number of steps increase.

To verify that this actually means that goal tracking helps with performance, as opposed to just increasing the number of steps it takes to solve a task, we calculate the average number of steps for ReAct (ignoring empty ‘thought’ turns, as otherwise ReAct would have even more steps) and StateAct. Table 4 clearly show that ReAct with an average of 38.84 steps to solve an environment is the least efficient and StateAct with an average of 28.96 steps to solve an environment is the most efficient. This shows that not only does goal tracking help with longer range tasks, it also helped with efficiency by shortening the tasks.

6.2 What effects does state-tracking have?

We also analyse whether state tracking helps with long-range reasoning and efficiency. We compared the full StateAct against StateAct without state-tracking as well as ReAct (thought + action) against StateAct with state-tracking added (state + thought + action). In Figure 6 we see that state tracking also helps with long-range reasoning. In fact, we can see that reasoning alone is unable to solve tasks longer than 40 steps, while with state tracking even longer-range tasks can be solved than with goal-

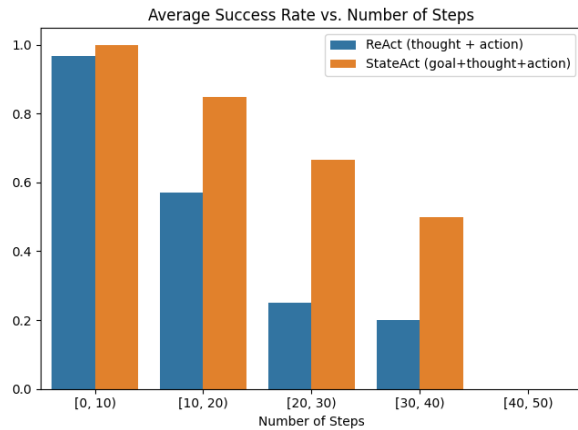


Figure 5: Goal vs. No Goal, on the 135 test examples from Alworld, using gpt-3.5-turbo-1106 without correction.

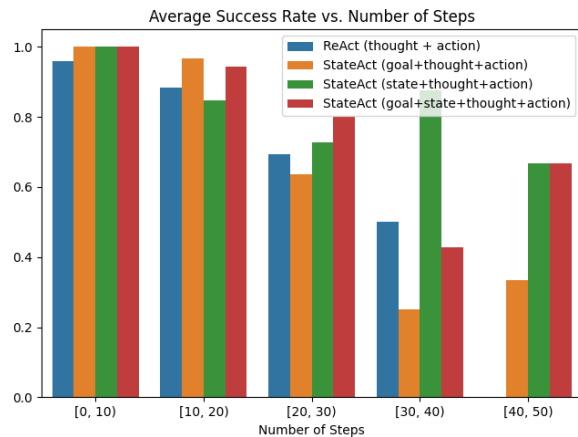


Figure 6: State vs. No State, on the 135 test examples from Alworld, using gpt-3.5-turbo-1106 with correction.

tracking alone. Also, looking at Table 4 we see that state-tracking makes the model the most efficient⁵. Therefore we find that explicit state-tracking even further helps with long-range tasks and helps the agent solve the tasks more efficiently than without.

6.3 Does the model perform actual state tracking?

We ask ourselves the question if the model is actually performing state-tracking. For that purpose we look at Alworld and construct a self-verification algorithm that is able to track the state heuristically⁶ based on the actions the agent takes. For example if the agent produces the action go to fridge 1

⁵In terms of cost we found that despite our method using a twice longer prompt, our cost remains similar at around \$8 for the full Alworld run, since we solve tasks more efficiently and use fewer number of steps.

⁶On our few-shot prompts it achieves 100% correctness.

Method	Avg. Steps ↓
ReAct	38.84
StateAct (goal+thought+action)	31.19
StateAct (full)	28.96
ReAct with corr.	31.49
StateAct with corr.	19.11
- w/o thought	23.76
- w/o goal	20.09

Table 4: Average number of Steps (Avg. Steps) [lower is better] on the 135 test-set examples from Alfworld. gpt-3.5-1106 for all methods.

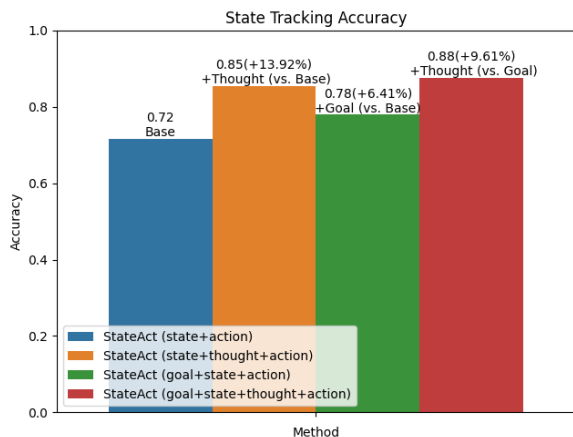


Figure 7: State tracking accuracy for StateAct on 135 test examples of Alfworld using gpt-3.5-1106.

and the environment accepts this action we update the state with current location: fridge 1. We compare the ‘gold’ state against the predicted state. Figure 7 shows that StateAct in fact does correct state-tracking 88% of the time. We also observe that thoughts and goals help the state tracking.

6.4 Does json structure help with performance?

Since we found that domain specific syntax harms performance, we wondered whether adding a structured format like json would help. For this purpose, we re-ran StateAct on Alfworld, but translated the state into a json format, see Appendix D for more details. Surprisingly, we found that the json format harms performance significantly, see Table 5. However, we also see that *corrections* help the the json format less, indicating that json helps with syntax, but harms performance.

7 Conclusion and future work

We propose a novel method *StateAct*, using our ‘chain-of-states’, based on in-context-learning

Method	SR%	SR (+json)%
StateAct	50.37	45.19 (-5.2)
StateAct w/ corr.	77.04	58.52(-18.5)

Table 5: Success Rate (SR) on the 135 test examples from Alfworld. Showing no-json vs. json, gpt-3.5-1106.

alone and establish a new state-of-the-art for agents that do not perform training, even against methods that use code-execution. The method outperforms the previous state-of-the-art, that uses in-context-learning alone, between 9% and 20% given different models and tasks and outperform in-context-learning with tools (code-execution) by 3%. We also show that explicit *state-tracking* and *goal reminders* make the model more efficient as well as significantly help with longer range tasks.

We found that ‘thoughts’ or explicit reasoning do not always help performance. It would be very interesting to systematise ‘thought’ and ‘states’ and understand what contributes positively and why. Also, inspired by the good results of StateAct, it is interesting to see what other improvements can be done without resorting to training, larger model or external tools. Finally, problems related to *domain specific* syntax are also an interesting avenue of future work.

8 Ethical Considerations

8.1 Computational footprint

Running many of the experiments presented in this paper can have a significant computational footprint. We should consider the environment and financial resources for reproducibility of our work. We aimed to address this concern by using gpt-3.5-turbo level models, reporting costs and minimising the cost of our method.

8.2 Hallucinations in LLMs

As LLM-based agents become more powerful and therefore more pervasive in our daily lives ‘hallucinations’ of LLMs can be very harmful (Wei et al., 2024). We hope that explicit state-tracking presented in this work can also lead to future work that can reduce ‘hallucinations.’

9 Limitations

9.1 Languages and evaluation benchmarks

We evaluated our method only in the English language and on two evaluation benchmarks. While we do not expect major changes in other languages, this is something that should be investigated. Furthermore, performance on other benchmarks should be evaluated as well.

9.2 Reasoning traces rely on human judgement

Our prompts require human annotations, as such there is a natural bias present. This can have both task-performance implications as well as ethical implications.

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A Alfworld

A.1 Environment Types

Alfworld has six different environment types: 1. *clean*, 2. *heat*, 3. *cool*, 4. *examine*, 5. *put*, 6. *puttwo*.

The ‘*clean*’ task, e.g. Task: Put a clean apple on table, requires the agent to first find the apple, then clean it (in the sinkbasin) and then put it on a table.

The ‘*heat*’ task, e.g. Task: Put a hot pie on table, requires the agent to first find the pie, then heat it (on the stoveburner) and then put it on a table.

The ‘*cool*’ task, e.g. Task: Put a cool tomato on table, requires the agent to first find the tomato, then cool it (with the fridge) and then put it on a table.

The ‘*examine*’ task, e.g. Task: Examine the mug with the deskclamp, requires the agent to first find the mug, then find the deskclamp, and then use the deskclamp.

The ‘*put*’ task, e.g. Task: Find some apple and put it in sidetable, requires the agent to first find an apple, and then put it on the sidetable.

The ‘*puttwo*’ task, e.g. Task: Put two cellphone in sofa, requires the agent to first find one cellphone, and then put it on the sofa, and then to find the second one and put it on the sofa.

A.2 Action Types

Alfworld has the following valid actions: 1. *go to*, 2. *open*, 3. *close*, 4. *put*, 5. *take*, 6. *cool*, 7. *heat*, 8. *use*.

go to <place>

Example: go to table 1

open <object>

Example: open door 1

close <object>

Example: close door 1

put <object> in/on <place>

Example: put apple 1 in/on table 1

take <object> from <place>

Example: take apple 1 from table 1

cool <object> with <place>

Example: cool apple 1 with fridge 1

heat <object> with <place>

Example: heat apple 1 with fire 1

use <object>

Example: use desklamp 1

A.3 License

Alfworld has the permissible MIT license, we used it in line with the license.

B Webshop

B.1 Commands and environment

Webshop has one environment type: ‘*search & buy*’, as well as two commands: 1. *search*, 2. *click*.

click[<button>]

Example: click[< Back to Search]

search[<query>]

Example: search[interesting book]

B.2 Prodcuts and attributes

Webshop has over 1 million real-world products across 5 main categories (fashion, makeup, electronics, furniture, and food) and 113 sub-categories.

B.3 License

Webshop has the permissible Princeton license, we used it in line with the license.

C Code snippet to call OpenAI / GPT-3.5

```
client = openai.OpenAI(  
    # Defaults to os.environ.get("OPENAI_API_KEY")  
    # api_key=OPENAI_KEY ,  
)  
  
full_prompt = [{  
    "role": "user",  
    "content": prompt  
}]  
  
chat_completion = client.chat.  
    completions.create(  
    model="gpt-3.5-turbo-1106",  
    messages=full_prompt,  
    temperature=0.0,  
    stop = ["\n\n"]  
)
```

A prompt is given in Appendix E.

D StateAct Json Format

We translate the text based StateAct prompt:

```

839 >goal: put a hot apple in fridge
840 current location: starting location
841 current inventory: None
842 thought: To solve the task, I need to
843 find and take an apple, then heat it
844 with microwave, then put it in
845 fridge. First I need to find an
846 apple. An apple is more likely to
847 appear in fridge (1), diningtable
848 (1), coffeetable (1), drawer (1),
849 cabinet (1-13), garbagecan (1). I
850 can check one by one, starting with
851 fridge 1.
852 action: go to fridge 1

```

853 Into the following json format:

```

854 >{"goal": "put a hot apple in fridge",
855 "current_location": "starting location",
856 "current_inventory": None,
857 "thought": "To solve the task, I need to
858 find and take an apple, then heat
859 it with microwave, then put it in
860 fridge. First I need to find an
861 apple. An apple is more likely to
862 appear in fridge (1), diningtable
863 (1), coffeetable (1), drawer (1),
864 cabinet (1-13), garbagecan (1). I
865 can check one by one, starting with
866 fridge 1."
867 "action": "go to fridge 1"
868 }

```

869 E StateAct Prompts

870 E.1 Example Alworld prompt for the 'heat' 871 task

```

872 Interact with a household to solve a
873 task.
874
875 Here are 2 examples:
876
877 You are in the middle of a room. Looking
878 quickly around you, you see a
879 cabinet 13, a cabinet 12, a cabinet
880 11, a cabinet 10, a cabinet 9, a
881 cabinet 8, a cabinet 7, a cabinet 6,
882 a cabinet 5, a cabinet 4, a cabinet
883 3, a cabinet 2, a cabinet 1, a
884 coffeemachine 1, a countertop 1, a
885 diningtable 1, a drawer 1, a fridge
886 1, a garbagecan 1, a microwave 1, a
887 shelf 3, a shelf 2, a shelf 1, a
888 sinkbasin 1, a stoveburner 4, a
889 stoveburner 3, a stoveburner 2, a
890 stoveburner 1, and a toaster 1.
891 Your task is to: put a hot apple in
892 fridge.
893
894 >goal: put a hot apple in fridge
895 current location: starting location
896 current inventory: None
897 thought: To solve the task, I need to
898 find and take an apple, then heat it
899 with microwave, then put it in
900 fridge. First I need to find an
901 apple. An apple is more likely to
902

```

```

appear in fridge (1), diningtable
(1), coffeetable (1), drawer (1),
cabinet (1-13), garbagecan (1). I
can check one by one, starting with
fridge 1.
action: go to fridge 1
903
904 The fridge 1 is closed.
905
906 >goal: put a hot apple in fridge
907 current location: fridge 1
908 current inventory: None
909 thought: None
910 action: open fridge 1
911
912 You open the fridge 1. The fridge 1 is
913 open. In it, you see a cup 1, and a
914 egg 1.
915
916 >goal: put a hot apple in fridge
917 current location: fridge 1
918 current inventory: None
919 thought: None
920 action: go to diningtable 1
921
922 On the diningtable 1, you see a apple 1,
923 a bread 1, a fork 3, a fork 2, a
924 fork 1, a mug 2, a peppershaker 3, a
925 plate 2, a pot 1, a soapbottle 3, a
926 spatula 1, a spoon 3, a spoon 2, a
927 spoon 1, a winebottle 3, and a
928 winebottle 2.
929
930 >goal: put a hot apple in fridge
931 current location: diningtable 1
932 current inventory: None
933 thought: Now I find an apple (1). Next,
934 I need to take it.
935 action: take apple 1 from diningtable 1
936
937 You pick up the apple 1 from the
938 diningtable 1.
939
940 >goal: put a hot apple in fridge
941 current location: diningtable 1
942 current inventory: apple 1
943 thought: Now I take an apple (1). Next,
944 I need to go to a microwave (1) and
945 heat it.
946 action: go to microwave 1
947
948 The microwave 1 is closed.
949
950 >goal: put a hot apple in fridge
951 current location: microwave 1
952 current inventory: apple 1
953 thought: None
954 action: heat apple 1 with microwave 1
955
956 You heat the apple 1 using the microwave
957 1.
958
959 >goal: put a hot apple in fridge
960 current location: microwave 1
961 current inventory: apple 1
962 thought: Now I heat an apple (1). Next,
963 I need to put it in/on fridge 1.
964 action: go to fridge 1
965
966 The fridge 1 is open. In it, you see a
967
968
969
970
971
972

```

973	cup 1, and a egg 1.	current inventory: None	1043
974		thought: None	1044
975	>goal: put a hot apple in fridge	action: go to countertop 2	1045
976	current location: fridge 1		1046
977	current inventory: apple 1	On the countertop 2, you see nothing.	1047
978	thought: None		1048
979	action: put apple 1 in/on fridge 1	>goal: heat some egg and put it in	1049
980		diningtable	1050
981		current location: countertop 2	1051
982		current inventory: None	1052
983	You are in the middle of a room. Looking	thought: None	1053
984	quickly around you, you see a	action: go to countertop 3	1054
985	cabinet 10, a cabinet 9, a cabinet		1055
986	8, a cabinet 7, a cabinet 6, a	On the countertop 3, you see a bowl 1, a	1056
987	cabinet 5, a cabinet 4, a cabinet 3,	butterknife 1, a egg 2, a kettle 2,	1057
988	a cabinet 2, a cabinet 1, a	a plate 1, a sink 1, and a spatula	1058
989	coffeemachine 1, a countertop 3, a	2.	1059
990	countertop 2, a countertop 1, a		1060
991	diningtable 1, a drawer 6, a drawer	>goal: heat some egg and put it in	1061
992	5, a drawer 4, a drawer 3, a drawer	diningtable	1062
993	2, a drawer 1, a fridge 1, a	current location: countertop 3	1063
994	garbagecan 1, a microwave 1, a	current inventory: None	1064
995	sinkbasin 1, a stoveburner 4, a	thought: Now I find an egg (2). Next, I	1065
996	stoveburner 3, a stoveburner 2, a	need to take it.	1066
997	stoveburner 1, and a toaster 1.	action: take egg 2 from countertop 3	1067
998	Your task is to: heat some egg and put		1068
999	it in diningtable.	You pick up the egg 2 from the	1069
1000		countertop 3.	1070
1001	>goal: heat some egg and put it in		1071
1002	diningtable	>goal: heat some egg and put it in	1072
1003	current location: starting location	diningtable	1073
1004	current inventory: None	current location: countertop 3	1074
1005	thought: To solve the task, I need to	current inventory: egg 2	1075
1006	find and take an egg, then heat it	thought: Now I take an egg (2). Next, I	1076
1007	with microwave, then put it in	need go to a microwave (1) and heat	1077
1008	diningtable. First I need to find an	it.	1078
1009	egg. An egg is more likely to	action: go to microwave 1	1079
1010	appear in fridge (1), countertop		1080
1011	(1-3), diningtable (1), stoveburner	The microwave 1 is closed.	1081
1012	(1-4), toaster (1), garbagecan (1),		1082
1013	cabinet (1-10). I can check one by	>goal: heat some egg and put it in	1083
1014	one, starting with fridge 1.	diningtable	1084
1015	action: go to fridge 1	current location: microwave 1	1085
1016		current inventory: egg 2	1086
1017	The fridge 1 is closed.	thought: None	1087
1018		action: heat egg 2 with microwave 1	1088
1019	>goal: heat some egg and put it in		1089
1020	diningtable	You heat the egg 2 using the microwave	1090
1021	current location: fridge 1	1.	1091
1022	current inventory: None		1092
1023	thought: None	>goal: heat some egg and put it in	1093
1024	action: open fridge 1	diningtable	1094
1025		current location: microwave 1	1095
1026	You open the fridge 1. The fridge 1 is	current inventory: egg 2	1096
1027	open. In it, you see a lettuce 2, a	thought: Now I heat an egg (2). Next, I	1097
1028	mug 2, and a potato 3.	need to put it in/on diningtable 1.	1098
1029		action: go to diningtable 1	1099
1030	>goal: heat some egg and put it in		1100
1031	diningtable	On the diningtable 1, you see a apple 2,	1101
1032	current location: fridge 1	a bread 3, a egg 1, a kettle 1, a	1102
1033	current inventory: None	knife 1, a mug 1, a papertowelroll	1103
1034	thought: None	1, a peppershaker 2, a potato 1, a	1104
1035	action: go to countertop 1	soapbottle 1, and a spatula 1.	1105
1036			1106
1037	On the countertop 1, you see a bread 1,	>goal: heat some egg and put it in	1107
1038	a fork 1, and a saltshaker 1.	diningtable	1108
1039		current location: diningtable 1	1109
1040	>goal: heat some egg and put it in	current inventory: egg 2	1110
1041	diningtable	thought: None	1111
1042	current location: countertop 1	action: put egg 2 in/on diningtable 1	1112

1113
1114
1115 Here is the task.
1116 <CURRENT TASK>

1117

1118 E.2 Example Webshop prompt

1119 Webshop
1120 Instruction:
1121 i would like a 3 ounce bottle of bright
1122 citrus deodorant for sensitive skin,
1123 and price lower than 50.00 dollars
1124 [Search]
1125 Goal: Buy a 3 ounce bottle of bright
1126 citrus deodorant for sensitive skin,
1127 and price lower than 50.00 dollars
1128 Current Location: Search Home Page
1129 Current Selection: None
1130 Thought: None
1131 Action: search[3 ounce bright citrus
1132 deodorant sensitive skin]
1133
1134 Observation:
1135 [Back to Search]
1136 Page 1 (Total results: 50)
1137 [Next >]
1138 [B078GWRC1J]
1139 Bright Citrus Deodorant by Earth Mama |
1140 Natural and Safe for Sensitive Skin,
1141 Pregnancy and Breastfeeding,
1142 Contains Organic Calendula 3-Ounce
1143 \$10.99
1144 [B078GTKVXY]
1145 Ginger Fresh Deodorant by Earth Mama |
1146 Natural and Safe for Sensitive Skin,
1147 Pregnancy and Breastfeeding,
1148 Contains Organic Calendula 3-Ounce
1149 \$10.99
1150 [B08KBVJ4XN]
1151 Barrel and Oak - Aluminum-Free Deodorant
1152 , Deodorant for Men, Essential Oil-
1153 Based Scent, 24-Hour Odor Protection
1154 , Cedar & Patchouli Blend, Gentle on
1155 Sensitive Skin (Mountain Sage, 2.7
1156 oz, 2-Pack)
1157 \$15.95
1158
1159 Goal: Buy a 3 ounce bottle of bright
1160 citrus deodorant for sensitive skin,
1161 and price lower than 50.00 dollars
1162 Current Location: Search Results Page,
1163 page 1
1164 Current Selection: None
1165 Thought: B078GWRC1J and B078GTKVXY are
1166 bright citrus deodorant less then 50
1167 dollars. I can check B078GWRC1J
1168 first.
1169 Action: click[B078GWRC1J]
1170
1171 Observation:
1172 [Back to Search]
1173 [< Prev]
1174 scent [assorted scents][bright citrus][
1175 calming lavender][ginger fresh][
1176 simply non-scents]
1177 size [travel set (4-pack)][3 ounce (pack
1178 of 1)][3-ounce (2-pack)]
1179 Bright Citrus Deodorant by Earth Mama |
1180 Natural and Safe for Sensitive Skin,

Pregnancy and Breastfeeding,
Contains Organic Calendula 3-Ounce
Price: \$10.99
Rating: N.A.
[Description]
[Features]
[Reviews]
[Buy Now]

Goal: Buy a 3 ounce bottle of bright
citrus deodorant for sensitive skin,
and price lower than 50.00 dollars
Current Location: Item B078GWRC1J Page
Current Selection: None
Thought: For 3 ounce bottle of bright
citrus deodorant for sensitive skin,
the item has options 'bright citrus
' and '3 ounce (pack of 1)' and
seems good to buy.
Action: click[bright citrus]

Observation: You have clicked bright
citrus.

Goal: Buy a 3 ounce bottle of bright
citrus deodorant for sensitive skin,
and price lower than 50.00 dollars
Current Location: Item B078GWRC1J Page
Current Selection: bright citrus
Thought: None
Action: click[3 ounce (pack of 1)]

Observation: You have clicked 3 ounce (
pack of 1).

Goal: Buy a 3 ounce bottle of bright
citrus deodorant for sensitive skin,
and price lower than 50.00 dollars
Current Location: Item B078GWRC1J Page
Current Selection: bright citrus, 3
ounce (pack of 1)
Thought: None
Action: click[Buy Now]

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