State Space Models on Temporal Graphs: A First-Principles Study

Jintang Li^{1*}, Ruofan Wu^{2*}, Xinzhou Jin¹, Boqun Ma³, Liang Chen¹, Zibin Zheng¹

¹Sun Yat-sen University, ²Coupang, ³Shanghai Jiao Tong University ☐ {lijt55,jinxzh5}@mail2.sysu.edu.cn,{wuruofan1989,boqun.mbq}@gmail {chenliang6,zhzibin}@mail.sysu.edu.cn}

Abstract

Over the past few years, research on deep graph learning has shifted from static graphs to temporal graphs in response to real-world complex systems that exhibit dynamic behaviors. In practice, temporal graphs are formalized as an ordered sequence of static graph snapshots observed at discrete time points. Sequence models such as RNNs or Transformers have long been the predominant backbone networks for modeling such temporal graphs. Yet, despite the promising results, RNNs struggle with long-range dependencies, while transformers are burdened by quadratic computational complexity. Recently, state space models (SSMs), which are framed as discretized representations of an underlying continuous-time linear dynamical system, have garnered substantial attention and achieved breakthrough advancements in *independent* sequence modeling. In this work, we undertake a principled investigation that extends SSM theory to temporal graphs by integrating structural information into the online approximation objective via the adoption of a Laplacian regularization term. The emergent continuous-time system introduces novel algorithmic challenges, thereby necessitating our development of GRAPHSSM, a graph state space model for modeling the dynamics of temporal graphs. Extensive experimental results demonstrate the effectiveness of our GRAPHSSM framework across various temporal graph benchmarks.

1 Introduction

As a class of neural networks designed to operate directly on graph-structured data, graph neural networks (GNNs) [21, 43, 24] have achieved remarkable success and have established new state-of-the-art performance across a broad spectrum of graph-based learning tasks [19]. While significant progress has been made in researching *static* graphs, many real-world networks, such as social, traffic, and financial networks may exhibit *temporal* behaviors that carry valuable time information [20, 23]. This gives rise to temporal (dynamic) graphs, wherein the nodes and edges of the graph may undergo constant or periodic changes over time. In applications where temporal graphs arise, modeling and exploiting the dynamic nature of the continuously evolving graph is crucial in representing the underlying data and achieving high predictive performance [22, 44, 41].

Learning over temporal graphs is typically approached as a sequence modeling problem in which graph snapshots form a sequence [34]. This often involves challenges related to long graph sequences and scalability issues [25]. Recurrent neural networks (RNNs) [46, 4, 18] have historically dominated sequence modeling over the last years. However, they have long been plagued by poor capability in modeling long sequences due to rapid forgetting. This hampers their performance in temporal

38th Conference on Neural Information Processing Systems (NeurIPS 2024).

^{*}Equal contribution.

[†]Corresponding author.

graphs that require a broader context or longer time window to capture relevant dependencies and patterns. Recently, the advancement of Transformers [42] has led to a shift in this paradigm, given their superior performance. Yet, Transformers also struggle with long sequence learning because the computational and memory complexity of self-attention is quadratically dependent on the sequence length. The overwhelming computation and memory requirements/costs associated with Transformers makes them less applicable in practical applications handling long-term sequences [51].

Recently, state space models (SSMs) have emerged as a powerful tool for sequence modeling [11, 13, 29, 40, 6, 10]. The salient characteristic that distinguishes state space models as particularly compelling is their conceptualization of sequential inputs as discrete observations from an underlying process evolving in continuous time, which naturally arises in scenarios such as speech processing [40] and time series analysis [49]. SSMs sustain a latent state throughout an input sequence and formulate state update equations through the discretization of an underlying linear dynamical system (LDS). Owing to their invariant state size, SSMs exhibit an efficient inferential time complexity, akin to that of RNNs. Simultaneously, they overcome the long-range modeling deficiencies inherent to RNNs through meticulous initializations of state matrices which are theoretically shown to achieve an optimal compression of history [11].

Temporal graphs often manifest as discrete snapshots capturing the evolution of an underlying graph that is inherently dynamic and continuous in nature [20]. In this context, the SSM methodology could be appropriated as a foundational primitive for temporal graph modeling. However, SSMs are predominantly architected towards independent sequence modeling. Hence, the task of systematically incorporating time-varying structural information into the SSM framework poses significant challenges. Specifically, it remains unexplored as to whether the foundational methodology of discretized LDS is readily applicable to the domain of temporal graphs.

In this work, we advance the SSM methodology to encompass temporal graphs from the first principles. Rather than presupposing the evolution of the underlying temporal graph, we dive into the fundamental problem of online function approximation that underpins the theoretical development of SSMs for sequence modeling [11]. By solving a novel Laplacian regularized online approximation objective, we derive a piecewise dynamical system that compresses historical information of temporal graphs. The piecewise nature of the obtained continuous-time system poses new challenges toward discretization into linear recurrences, thereby motivating our design of GRAPHSSM, a state space framework for temporal graphs. The main contributions of this work are summarized as follows:

- We introduce the GHIPPO abstraction, a novel construct predicated on the objective of Laplacian regularized online function approximation. This abstraction can alternatively be conceptualized as a memory compression primitive that simultaneously compresses both the feature dynamics and the evolving topological structure of the underlying temporal graph. The solution to GHIPPO is characterized by a dynamical system that is piecewise linear in node feature inputs.
- We introduce GRAPHSSM, a flexible state space framework designed for temporal graphs, which effectively addresses the key algorithmic challenge of unobserved graph mutations that impedes the straightforward discretization of the GHIPPO solution into (linear) recurrences through employing a novel mixed discretization strategy.
- Experimental results on six temporal graphs have validated the effectiveness of GRAPHSSM. In particular, GRAPHSSM has the advantages in scaling efficiency compared to existing state-of-thearts, which can generalize to temporal graphs with long-range snapshots.

2 Related work

2.1 Temporal graph learning

A major branch of temporal graph learning methods consists of snapshot-based methods, which handle discrete-time temporal graphs by learning the temporal dependencies across a sequence of time-stamped graphs. Early works mainly focus on learning node representations by simulating temporal random walks [39] or modeling the triadic closure process [50] on multiple graph snapshots. These methods typically generate piecewise constant representations and may suffer from the staleness problem [20]. In recent years, the most established solution has been switched to combine sequence models (e.g., RNNs [46] and SNNs [38, 8]) with static GNNs to capture temporal dependencies and correlations between snapshots [47, 34, 39, 25]. To better translate the success achieved on static

graphs in both their design and training strategies, recent frameworks such as ROLAND [48] and its variants [53, 17] have been proposed to repurpose static GNNs to temporal graphs. There is another important line of research that focuses on continuous-time temporal graphs, we kindly refer readers to [27] and [20] for comprehensive surveys on this research topic.

2.2 State space models

State space models (SSMs) have historically served as a pivotal tool in fields such as signal processing [30] and time series analysis [3]. In recent advancements, they have also seen active adoption as a layer within neural sequence modeling frameworks [11, 13, 29, 40, 10]. The linear nature of SSMs confers several significant advantages. Key among these is the better-controlled stability that enables effective long-range modeling through careful initializations of state space layer parameters [11, 31], with the most representative method being HIPPO [11], a theory-driven framework notable for its optimal memory compression on continuous sequence inputs. Moreover, the computational efficacy of SSMs is notably enhanced through the use of techniques such as convolutions [13, 6] or parallel scans [40]. The promising properties of SSMs also attracts further explorations on graphs [2].

Comparison. The usual paradigms for designing sequence models over graphs involve recurrence (e.g. RNNs [46]), integrate-and-fire (e.g. SNNs [38, 8]), or attention (e.g. Transformers [42]), which each come with tradeoffs [14]. For example, RNNs are a natural recurrence model for sequential modeling that require only constant computation/storage per time step, but are slow to train and suffer from the rapid forgetting issue. This empirically limits their ability to handle long sequences. SNNs share a similar recurrent architecture with RNNs while using 1-bit spikes to transmit temporal information, which would sacrifice expressivity and potentially suffer from optimization difficulties (e.g., the "vanishing gradient problem") [26]. Transformers encode local context via attention mechanism and enjoy fast, parallelizable training, but are not sequential, resulting in more expensive inference and an inherent limitation on the context length. Compared to the aforementioned architectures, SSMs particularly the promising Mamba (S6) model [10], offer advantages such as fast training and inference, along with fewer parameters and comparable performance. These characteristics make SSMs particularly well-suited for sequence modeling, even (or especially) on extremely long sequences. Comparisons among these architectures are illustrated in table 1

	RNNs [46, 4, 18]	SNNs [38, 8]	Transformers [42]	SSMs (S6 [10])
Training	Slow	Slow	Fast	Fast
Inference	Fast	Fast	Slow	Fast
Para. Size	Medium	Extremely small	Large	Small
Performance	አአአ	***	****	አአአኔ
Limitations	Forgetting	Vanishing gradients	Mem. & Time: $O(n^2)$?

Table 1: Comparisons of different neural network architectures for sequence modeling.

3 The GRAPHSSM framework

The primary motivation of our framework is the fact that discrete-time temporal graphs are sequential observations of an underlying temporal graph that evolves continuously. Adopting this functional viewpoint, we will first develop a piecewise recurrent memory update scheme in section 3.1 that optimally approximates the underlying continuous-time temporal graph, utilizing a novel extension of the HIPPO abstraction to graph-typed inputs [11]. The proposed framework retains many nice properties of HIPPO while posing the new challenge of *unobserved graph mutation* when handling discretely-observed observations, which we analyze in section 3.2 and propose a mixing mechanism to improve the recurrent approximation. Finally, we present GRAPHSSM framework in section 3.3. An overview of GRAPHSSM is shown in figure 1.



Figure 1: GRAPHSSM framework.

3.1 GHIPPO: HIPPO on temporal graphs

Setup. We fix a time interval [0, T]. A temporal graph on [0, T] is characterized by two *processes* G and X: For each $t \in [0, T]$, the process G maps t to a graph object G(t) = (V(t), E(t)). We assume the node process V(t) to be fixed over time, i.e., $V(t) \equiv V, t \in [0, T]$ with $N_V = |V|$ and discuss the case for varying node processes in appendix B.2. The edge process E(t) is a piecewise-constant process with a finite number M of mutations over [0, T] that are described via a sequence of *events*:

$$\mathscr{E} = \{\mathcal{E}_1, \dots, \mathcal{E}_M\} \text{ with each } \mathcal{E}_m = (u_m, v_m, t_m, a_m), 1 \le m \le M.$$
(1)

Each event \mathcal{E}_m constitutes an interaction between node pair (u_m, v_m) at time t_m with action a_m , the action could be either insertion or deletion. The evolution process is thus depicted as the following:

$$G(0) \xrightarrow{\mathcal{E}_1} G(t_1) \xrightarrow{\mathcal{E}_2} G(t_2) \longrightarrow \cdots \longrightarrow G(t_{M-1}) \xrightarrow{\mathcal{E}_M} G(t_M) = G(T).$$
(2)

The process X maps t to a node feature matrix $X(t) \in \mathbb{R}^{N_V \times d}$ with feature dimension d. Throughout this paper, it is often helpful to view G and X as graph-valued and matrix-valued *functions*. In typical discrete-time temporal graph learning problems, the underlying graph is observed at timestamps τ_1, \ldots, τ_L with time gaps $\Delta_l = \tau_l - \tau_{l-1}, 2 \le l \le L$. The observations thus form a sequence of snapshots $\{G(\tau_l), X(\tau_l)\}_{1 \le l \le L}$ which are abbreviated as $\{G_{1:L}, X_{1:L}\}$. Notably, the observation times are usually *interleaved with* the mutation times, resulting in the majority of mutation times remain unobserved. This situation presents significant challenges in effectively modeling the dynamics of graph evolution, a topic that will be further explored subsequently.

The HIPPO abstraction. Algorithmically, the goal of continuous-time dynamic modeling is to design a memory module that optimally compresses all the historical information [11]. Under the context of univariate sequence modeling, the HIPPO framework [11] formalizes the memory compression problem into an online approximation problem in some function space and derives HIPPO operators under specific types of basis functions, among which the HIPPO-LEGS configuration has become the state-of-the-art in state-space sequence modeling paradigms [13, 40]. However, naively extending HIPPO abstraction to graph learning scenarios (via treating node features as inputs) could be deemed inadequate since HIPPO handles distinct inputs *independently*, without the capability to incorporate the interconnectivity information among various inputs which could potentially enhance the efficiency of memory compression. For illustrative purposes, in instances where input observations are noisy, the exploitation of neighborhood information has the potential to facilitate a denoising step, as evidenced in image processing applications [33] and semi-supervised learning primitives [52, 45]. To systematically utilize the connectivity information, we propose a new approximation paradigm, the Laplacian-regularized online approximation that extends HIPPO to graph modeling frameworks. Formally, we start with the simple setup with d = 1, i.e., each node possesses a scalar feature, and we propose an approximation scheme that simultaneously approximates the history of all the N_V inputs up until time t, i.e., $\{X(s), s \in [0, t]\}$ using their corresponding memories at time t, i.e., $Z(t) = \{z_v(t)\}_{v \in V} \in \mathbb{R}^{N_V \times 1}$ according to the following objective at time t:

$$\mathcal{L}_t(Z;G,X,\mu) = \int_0^t \|X(s) - Z(s)\|_2^2 d\mu_t(s) + \alpha \int_0^t Z(s)^\top L(s)Z(s)d\mu_t s.$$
(3)

Here $\alpha > 0$ is a balancing constant, μ_t is a time-dependent measure that is supported on the interval [0, t] which controls the importance of various parts of the input domain³ and L(t) is a normalized Laplacian at time t, which allows definition such as the symmetric normalized Laplacian $L_{sym}(s) = I - D(s)^{-1/2}A(s)D(s)^{-1/2}$ where D(s) is a diagonal matrix whose diagonals are node degrees, or random walk normalized Laplacian $L_{rw}(s) = I - D(s)^{-1}A(s)$. The objective (3) is understood as the ordinary HIPPO approximation objective augmented with a regularization component that encourages the *smoothness* of memory compression with respect to adjacent nodes. ⁴ The imposition of smoothness constraints commonly emerges as a beneficial relational inductive bias in the context of graph learning [1]. By leveraging the data from adjacent nodes, one can potentially achieve a more effective denoising effect during the process of node memory compression. To specify

³Technically, we require Z and X to reside within some appropriately defined Hilbert space. A comprehensive treatment will be provided in appendix C.

⁴To be more precise, at any time $s \in [0, t]$, the integrand $Z(s)^{\top}L(s)Z(s)$ inside the second term of (3) attains its minimum when Z(s) satisfies certain smoothness criterion which is determined via the choice of graph Laplacian. A more detailed explanation is deferred to appendix B.1.



Figure 2: Illustrative example of the *unobserved graph mutation* issue. In this example, the underlying graph is observed at time points τ_1, τ_2, τ_3 with two unobserved mutations between $[\tau_1, \tau_2)$ and one between $[\tau_2, \tau_3)$. These unobserved mutations result in temporal dynamics that are inconsistent across the observed intervals, thereby complicating direct applications of ODE discretization methods such as the Euler method or the zero-order hold (ZOH) method.

a suitable approximation subspace for memories Z, we adopt the approach of HIPPO that uses some N-dimensional subspace of polynomials which we denote as \mathcal{P}_N . Now we define a graph memory projection operator GPROJ_t that maps the temporal graph up until time t to a collection of N_V polynomials with each one lies in \mathcal{P}_N , i.e.,

$$\operatorname{GPROJ}_{t}(G, X) = \underset{Z:z_{v} \in \mathcal{P}_{N}}{\operatorname{arg\,min}} \mathcal{L}_{t}(Z; G, X, \mu).$$
(4)

We further define a *coefficient* operator $COEF_t$ that maps each polynomial in the collection in (4) to the coefficients of the basis of orthogonal polynomials defined with respect to μ_t , the following definition formalizes our extension of HIPPO to continuous-time temporal graphs which we term GHIPPO:

Definition 1 (GHIPPO). Given a continuous-time temporal graph (G, X), a time-varying measure family μ_t , an N-dimensional subspace of polynomials \mathcal{P}_N , the GHIPPO operator at time t is the composition of GPROJ_t and COEF_t that maps the temporal graph and node features to a collection of projection coefficients $U(t) \in \mathbb{R}^{N_V \times N}$, or GHIPPO $(G, X) = \text{COEF}_t$ (GPROJ_t (G, X)).

The most favorable property of the HIPPO framework on independent inputs is that the outputs of HIPPO operators are characterized via a concise ordinary differential equation (ODE) that takes the form of a linear time-invariant state space model (LTI-SSM). The following theorem states that most of the desirable properties of HIPPO are retained by GHIPPO except for the LTI property:

Theorem 1. Let G evolve according to (2). Taking μ_t to be the scaled Legendre measure (LegS) with $\mu_t = \frac{1}{t} \mathbb{I}_{[0,t]}$ where $\mathbb{I}_{[0,t]}$ stands for the indicator function of the interval [0,t], the evolution of the outputs of GHIPPO operator is characterized by M ODEs according to mutation times as follows:

$$\frac{dU(t)}{dt} = U(t)A^{\top} + (I + \alpha L(t))^{-1}X(t)B^{\top}, \quad 1 \le m \le M, t \in [t_{m-1}, t_m)$$
(5)

where $A \in \mathbb{R}^{N \times N}$ and $B \in \mathbb{R}^{N \times 1}$ takes the same form as in the HIPPO formulation [11]:

$$A_{nk} = -\begin{cases} \sqrt{(2n+1)(2k+1)} & \text{if } n > k, \\ n+1 & \text{if } n = k, , \\ 0 & \text{if } n < k, \end{cases} \quad and \quad B_n = \sqrt{2n+1}, 1 \le n \le N.$$
(6)

According to theorem 1, the solution (5) is LTI over each interval $[t_m, t_{m+1})$ during which the graph structure remains fixed. This property further extends to a piecewise LTI perspective over the interval [0, T]. Moreover, we may view the solution (5) as a two-stage procedure that could be intuitively described as *diffuse-then-update*. Specifically, this procedure entails a sequential execution, wherein an initial diffusion operation is applied to the features of the input nodes, succeeded by an update to the memory of these nodes.

3.2 Unobserved graph mutations and mixed discretization

Theorem 1 establishes an analogue of HIPPO theory on temporal graphs. It is straightforward to verify that most of the subsequent refinements of HIPPO apply to GHIPPO as well. Among

these we will utilize the popular technique of *diagonal state spaces* [15, 12] that simply sets A as a diagonal matrix with negative diagonal elements⁵. To apply the GHIPPO framework to discrete-time temporal graphs, a critical step is to develop a discretized version of (5). However, unlike ordinary HIPPO where we can use standard discretization techniques of ODEs to discretize LTI equations, the GHIPPO ODE contains discontinuities that correspond to mutation times of the underlying temporal graph, which are often not observed given only access to a list of snapshots. This issue of *unobserved dynamics* complicates the development of a viable discretization scheme for GHIPPO, as is pictorially illustrated in figure 2. To devise a solution to this challenge, we start by analyzing a hypothetical *oracle scenario* in which all mutations are observable.

An oracle discretization. We consider a time range $[\tau_{l-1}, \tau_l)$ between the l-1th and the lth snapshot, and assume there are altogether M_l mutation events $\{\mathcal{E}_{l,i}\}_{1 \le i \le M_l}$ happened during this period. Let $G_{l,0} = G_{l-1}$ be the graph snapshot at τ_{l-1} , the following process describes the structural evolution inside the interval $[\tau_{l-1}, \tau_l)$:

$$G_{l-1} = G_{l,0} \xrightarrow{\mathcal{E}_{l,1}} G_{l,1} \xrightarrow{\mathcal{E}_{l,2}} G_{l,2} \longrightarrow \cdots \longrightarrow G_{l,M_l-1} \xrightarrow{\mathcal{E}_{l,M_l}} G_{l,M_l} = G_l \tag{7}$$

Next, we derive a discretization formula under the strategy of zeroth-order-hold (ZOH). We assume that all intermediate mutations are observed, with the node features staying fixed between mutations, i.e., $X(t) \equiv X_{l,i}, t \in [t_{l,i-1}, t_{l,i})$. The following theorem characterizes the resulting state evolution: **Theorem 2** (Oracle discretization of (5)). Assume A is a diagonal matrix with negative diagonals, for any $1 \le l \le L$. Let $L_{l,i}$ be some Laplacian of $G_{l,i}$, we have the following oracle update rule:

$$U_{l} = U_{l-1}e^{\Delta_{l}A} + \widetilde{X}_{l}\left(e^{\Delta_{l}A} - I\right)A^{-1}, \ \widetilde{X}_{l} = \sum_{i=0}^{M_{l}}(I + \alpha L_{l,i})^{-1}X_{l,i}\Lambda_{i}B^{\top},$$
(8)

where $U_l \in \mathbb{R}^{N_V \times N}$ denotes the discretized state at step l with $U_0 = 0$. For each $1 \le l \le L, 0 \le i \le M_l$, $\Lambda_i \in \mathbb{R}^{N \times N}$ are non-negative diagonal matrices with values depending only on the mutation times, which satisfy $\sum_{i=0}^{M_l} \Lambda_i = I$.

Mixed discretization. According to (8), given all the (unobserved) mutation information, the state update rule is equivalent to applying ZOH to \tilde{X}_l which is an *element-wise convex combination* of all the diffused node features. In practice, among all the components of \tilde{X} , we only have access to $X_{l-1}, X_l, G_{l-1}, G_l$ with the rest left unobserved. Therefore, we propose *mixed discretization* as an approach to approximate \tilde{X}_l . Specifically, we introduce the following mechanisms:

$$\begin{aligned}
\hat{X}_{l}^{(0)} &= \operatorname{GNN}_{\theta} \left(X_{l}, G_{l} \right), & (\text{ordinary ZOH}) \\
\hat{X}_{l}^{(F)} &= \operatorname{GNN}_{\theta} \left(\operatorname{MIX}_{\phi} \left(X_{l-1}, X_{l} \right), G_{l} \right), & (\text{feature mixing})
\end{aligned}$$

$$\widehat{X}_{l}^{(\mathbf{R})} = \operatorname{MIX}_{\phi} \left(\operatorname{GNN}_{\theta} \left(X_{l-1}, G_{l-1} \right), \operatorname{GNN}_{\theta} \left(X_{l}, G_{l} \right) \right), \qquad (\text{representation mixing})$$

which are compositions of inter-node mixing (a consequence of diffusion) and intra-node mixing (mixing node features of consecutive snapshots). For the process of inter-node mixing, we opt to approximate the diffusion operation with a learnable shallow graph neural network (typically a 1-layer GNN) parameterized by θ to alleviate the computation burden and improve flexibility⁶. A detailed discussion considering the relation between certain GNN formulations and the choice of Laplacian is presented in appendix B.1. In the context of intra-node mixing, we introduce a MIX module parameterized by ϕ to merge either consecutive node features (as illustrated in (feature mixing)) or consecutive node representations produced by the GNN model (as illustrated in (representation mixing)). In this paper, we assess two simple MIX instantiations: Convolution with a kernel size of 2 (CONV1D) and a gating mechanism that interpolates between the two inputs (INTERP). We postpone a comprehensive description of the mixing methods to appendix D.1. The resulting discretized system is presented as the following matrix-valued state space model:

$$U_l = U_{l-1}e^{\Delta_l A} + \Delta_l \hat{X}_l^{(\cdot)} B^{\top} \quad \text{with} \quad \hat{X}_l^{(\cdot)} \in \left\{ \hat{X}_l^{(O)}, \hat{X}_l^{(F)}, \hat{X}_l^{(R)} \right\}, 1 \le l \le L.$$

$$(9)$$

. .

() -

⁵More concretely, diagonal SSMs are defined by diagonal *A* matrices whose diagonal elements lie on the complex plane with negative real parts [12], yet recent developments have found that complex state matrices are often not necessary [10]. For ease of representation, we only explore real state matrices in this paper.

⁶We let the balancing constant α be absorbed into the learnable parameters. Indeed, for GNNs that employ asymmetric aggregation [16], it is plausible to conceptualize the GNN as engaging a form of auto-balancing.

When exact timestamps for snapshots are unavailable, we use the adaptive time step strategy as in [11, 10] that models Δ a 1-dimensional affine projection of the inputs followed by a non-negative activation like softplus. Finally, we utilize the approximation $A^{-1} (e^{\Delta A} - I) \approx \Delta I$ for diagonal As, and equip the system with an output Y with a state projection matrix $C \in \mathbb{R}^{N \times 1}$.

3.3 The GRAPHSSM framework

Having established the SSM equation (9), we are ready to introduce our main framework GRAPHSSM. In alignment with conventional design paradigms in the SSM literature, we define a depth-K GRAPHSSM model through the sequential composition of K GRAPHSSM blocks, with each block characterized as follows:

$$H_{1:L}^{(k)} = \sigma\left(\text{SSMLAYER}\left(H_{1:L}^{(k-1)}, G_{1:L}\right)\right) + \text{LINEAR}\left(H_{1:L}^{(k-1)}\right), 1 \le k \le K,$$
(10)

where we use $H_{1:L}^{(k)}$ to denote the concatenation of the hidden representation at depth k of all the snapshots along the sequence dimension and $H_{1:L}^{(0)}$ are the node features $X_{1:L}$. The GRAPHSSM blocks, as outlined in (10), incorporate an SSM layer that operates on graph snapshot inputs. This is followed by the application of a nonlinear activation σ and the integration of a residual connection which we denote as the addition of a linear projection of inputs with Linear denotes a linear projection layer that ensures dimension compatibility.

GRAPHSSM-S4. The architectural formulation of the SSM layer essentially involves the expansion of the one-dimensional recurrence, as specified in (9), to accommodate general dimensions, i.e., d > 1. This expansion is achieved in a straightforward manner by utilizing an individual SSM for each dimension. Consequently, the emergent SSM layer adopts a Single-Input, Single-Output (SISO) configuration. Such a design is intuitively understood as the graph learning analogue of S4 [13], which we term GRAPHSSM-S4.

GRAPHSSM-S5 and GRAPHSSM-S6. In addition to the SISO implementation, we further introduce two variants within the GRAPHSSM framework. The first alternative represents a Multiple-Input, Multiple-Output (MIMO) extension of (9), wherein a single SSM system is applied across all dimensions. This variant serves as a graph-informed analogue to the S5 model [40]. The second variant extends the S4 model by facilitating input-controlled time intervals and state matrices (Δ , B, and C). This innovation yields a selective state space model, drawing parallels to the latest SSM architectures such as S6 [10].

A detailed exposition of the GRAPHSSM-S4 (resp. GRAPHSSM-S5, GRAPHSSM-S6) layer is provided in algorithm 1 (resp. algorithm 2, algorithm 3) in appendix D.2. The overall end-to-end architecture is briefly illustrated in figure 1, where we use feature mixing as the mixing mechanism for illustration.

Remark 1 (Choice of mixing mechanisms). In the GRAPHSSM architecture, each SSM layer incorporates a mixing mechanism. Based on our empirical investigations, we have observed that employing more sophisticated mixing strategies such as (feature mixing) and (representation mixing), yields benefits predominantly when these are applied exclusively to the lowermost layer. Specifically, this entails utilizing either $\hat{X}_l^{(F)}$ or $\hat{X}_l^{(R)}$ configurations in the initial layer, while defaulting to $\hat{X}_l^{(O)}$ for the layers that follow. An intuitive rationale behind this strategic layer-specific choice will be elucidated in appendix B.3.

4 Experiments

This section presents our key experimental findings on the temporal node classification task. Also, ablation studies of the key design choices are presented. Due to space limitation, the detailed experimental settings are deferred to appendix F.

4.1 Experimental results

Node classification performance. The node classification performance of all methods is presented in table 2. It has been observed that graph embedding methods, especially static ones, tend to underperform in most cases. This is expected since these methods are typically trained in an

	DBLP-3		Br	Brain Reddit		ddit	DBLP-10	
	Micro-F1	Macro-F1	Micro-F1	Macro-F1	Micro-F1	Macro-F1	Micro-F1	Macro-F1
DeepWalk [36] Node2Vec [9]	$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	$\begin{array}{c} 47.21_{\pm 0.2} \\ 48.42_{\pm 0.4} \end{array}$	$\begin{array}{c} 51.45_{\pm 0.6} \\ 53.51_{\pm 0.5} \end{array}$	$\begin{array}{c} 51.03_{\pm 0.8} \\ 52.95_{\pm 0.6} \end{array}$	$\begin{array}{c} 26.82_{\pm 0.6} \\ 25.47_{\pm 0.6} \end{array}$	$\begin{array}{c} 26.75_{\pm 0.4} \\ 25.44_{\pm 0.5} \end{array}$	66.38 67.31	67.12 66.93
HTNE [54] M ² DNE [28] DynamicTriad [50]	$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	$\begin{array}{r} 48.74_{\pm 0.3} \\ 48.87_{\pm 0.4} \\ 48.63_{\pm 0.6} \end{array}$	$\begin{array}{c} 22.31_{\pm 0.8} \\ 23.79_{\pm 0.5} \\ 21.71_{\pm 0.7} \end{array}$	$\begin{array}{c} 22.12_{\pm 0.5} \\ 23.54_{\pm 0.6} \\ 21.94_{\pm 0.7} \end{array}$	$\begin{array}{c} 26.96_{\pm 0.5} \\ 25.79_{\pm 0.6} \\ 28.76_{\pm 0.5} \end{array}$	$\begin{array}{c} 26.80_{\pm 0.7} \\ 25.61_{\pm 0.4} \\ 28.51_{\pm 0.5} \end{array}$	68.79 69.71 66.95	68.36 69.75 66.42
MPNN [32] STAR [47] tNodeEmbed [39] EvolveGCN [34] SpikeNet [25] ROLAND [48]	$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	$\begin{array}{c} 81.46_{\pm 1.2} \\ \textbf{84.20}_{\pm 1.2} \\ 83.57_{\pm 1.1} \\ 83.12_{\pm 1.5} \\ 83.04_{\pm 1.1} \\ 84.06_{\pm 1.5} \end{array}$	$\begin{array}{c} 90.97_{\pm 1.4} \\ 92.08_{\pm 1.3} \\ \textbf{92.35}_{\pm 0.8} \\ 92.20_{\pm 1.3} \\ 92.00_{\pm 1.2} \\ 92.14_{\pm 1.2} \end{array}$	$\begin{array}{c} 91.01_{\pm 1.5} \\ 92.23_{\pm 1.3} \\ \textbf{92.00}_{\pm 1.0} \\ 92.00_{\pm 1.0} \\ 91.97_{\pm 1.2} \\ 91.85_{\pm 1.1} \end{array}$	$\begin{array}{c} 40.85_{\pm1.3}\\ 43.42_{\pm2.3}\\ 42.11_{\pm1.8}\\ 41.24_{\pm1.3}\\ 40.42_{\pm2.0}\\ \textbf{44.22}_{\pm2.2} \end{array}$	$\begin{array}{c} 40.64_{\pm 1.2} \\ 43.43_{\pm 2.4} \\ 42.06_{\pm 1.3} \\ 41.11_{\pm 1.5} \\ 40.20_{\pm 2.1} \\ \textbf{44.25}_{\pm 1.9} \end{array}$	$\begin{array}{c} 67.74_{\pm 0.3} \\ 72.98_{\pm 1.5} \\ 74.19_{\pm 1.8} \\ 71.32_{\pm 0.5} \\ 74.86_{\pm 0.5} \\ \textbf{75.01}_{\pm 1.1} \end{array}$	$\begin{array}{c} 65.05_{\pm 0.5} \\ 72.03_{\pm 1.2} \\ 74.23_{\pm 2.2} \\ 71.20_{\pm 0.7} \\ 74.65_{\pm 0.5} \\ \textbf{74.98}_{\pm 1.0} \end{array}$
GRAPHSSM	$85.26_{\pm 0.9}$	$\textbf{85.00}_{\pm 1.3}$	$\textbf{93.52}_{\pm 1.0}$	$\textbf{93.54}_{\pm 0.9}$	$49.21_{\pm0.5}$	$49.05_{\pm0.7}$	$\textbf{76.80}_{\pm 0.3}$	$\textbf{76.00}_{\pm 0.4}$

Table 2: Node classification performance (%) on four small scale temporal graphs. The best and the second best results are highlighted as **red** and **blue**, respectively.

unsupervised manner, solely focusing on exploiting the graph structure. We note that continuous-time methods HTNE and M²DNE exhibit poor performance in DBLP-3, Brain, and Reddit even when compared to static methods. This indicates that continuous-time methods are not well-suited for handling discrete-time graphs, particularly in the absence of temporal continuity. As can also be observed from table 2, most temporal graph neural networks demonstrate good performance on DBLP-3 and Brain datasets, where the node labels are largely dominated by node attribute information [47]. However, for datasets like Reddit and DBLP-10, where graph topology information plays a more significant role in classification, the performance has notably degraded. This indicates that the baseline methods struggle to effectively capture the underlying evolving graph structure and exploit it for accurate classification. In contrast, our most performant architecture, GRAPHSSM-S4, exhibits an average improvement of 14% and 2% in Micro-F1 and Macro-F1 scores, respectively, compared to state-of-the-art baselines on the Reddit and DBLP-10 datasets. In addition, GRAPHSSM-S4 is a more preferable choice for long graph sequences, achieving new state-of-the-art performance on the DBLP-10 dataset.

Scalability to large temporal graphs. To explore the effectiveness of GRAPHSSM on large-scale and long-range temporal graphs, we conduct comparison experiments on arXiv and Tmall and present the result in table 3. Since both datasets exhibit a relatively high level of temporal continuity in the observed graph sequence, several advanced baselines have achieved good performance. However, the graph scale and long sequence still pose significant challenges for learning over both datasets, where most methods are insufficient to effectively and efficiently capture the long-range graph dynamics. In contrast, by leveraging the linear efficiency and long-range modeling capability of SSMs, GRAPHSSM outperforms strong baselines on both datasets.

Table 3: Node classification performance (%) on large scale temporal graphs. OOM: out-of-memory.

	ar	Xiv	Tmall		
	Micro-F1	Macro-F1	Micro-F1	Macro-F1	
DeepWalk [36] Node2Vec [9]	$\begin{array}{c c} 66.54_{\pm 0.3} \\ 67.71_{\pm 0.5} \end{array}$	$\substack{43.01_{\pm 0.3}\\43.69_{\pm 0.4}}$	57.88 60.66	49.53 54.58	
HTNE [54] M ² DNE [28] DynamicTriad [50]	$65.48_{\pm 0.3}$ $66.91_{\pm 0.5}$ $61.10_{\pm 0.2}$	$42.25_{\pm 0.3}$ $43.52_{\pm 0.6}$ $38.25_{\pm 0.3}$	62.64 64.65 60.72	54.93 58.47 51.16	
MPNN [32] STAR [47] tNodeEmbed [39] EvolveGCN [34] SpikeNet [25] ROLAND [48]	$ \begin{array}{c} 64.68_{\pm 1.7} \\ OOM \\ OOM \\ 65.17_{\pm 1.4} \\ 66.69_{\pm 0.9} \\ \textbf{68.27}_{\pm 1.2} \end{array} $	$\begin{array}{c} 41.22_{\pm 1.5} \\ \text{OOM} \\ 43.01_{\pm 1.3} \\ 43.96_{\pm 1.0} \\ \textbf{48.01}_{\pm 1.3} \end{array}$	$\begin{array}{c} 58.07_{\pm 0.6} \\ OOM \\ OOM \\ 61.77_{\pm 0.6} \\ \textbf{66.10}_{\pm 0.3} \\ OOM \end{array}$	$\begin{array}{c} 50.27_{\pm 0.5} \\ \text{OOM} \\ \text{OOM} \\ 55.78_{\pm 0.6} \\ \textbf{62.40}_{\pm 0.6} \\ \text{OOM} \end{array}$	
GRAPHSSM	$\textbf{70.67}_{\pm 0.7}$	$\textbf{49.97}_{\pm 0.5}$	$\textbf{66.29}_{\pm 0.1}$	$\textbf{62.57}_{\pm0.1}$	

Table 4: Node classification performance (%) with different SSM architectures.

	DBLP-3		Br	ain	Reddit		DBLP-10	
	Micro-F1	Macro-F1	Micro-F1	Macro-F1	Micro-F1	Macro-F1	Micro-F1	Macro-F1
GRAPHSSM-S4	85.26 ±0.9	85.00 _{±1.3}	$93.52_{\pm 1.0}$	$93.54_{\pm 0.9}$	$49.21_{\pm0.5}$	$49.05_{\pm 0.7}$	$\textbf{76.80}_{\pm 0.3}$	$\textbf{76.00}_{\pm 0.4}$
GRAPHSSM-S5	$84.32_{\pm 1.5}$	$83.57_{\pm 1.9}$	$92.20_{\pm 0.6}$	$92.05_{\pm 0.7}$	$44.75_{\pm 0.4}$	$44.79_{\pm 0.4}$	$73.19_{\pm 0.6}$	$72.95_{\pm 0.4}$
GRAPHSSM-S6	$85.74_{\pm 0.5}$	$85.23_{\pm 0.6}$	$93.80_{\pm 0.3}$	94.47 $_{\pm 0.6}$	$42.52_{\pm 0.9}$	$41.73_{\pm 1.1}$	75.26 $_{\pm 0.3}$	74.81 $_{\pm 0.2}$

SSM architectures. As GRAPHSSM is a general framework that generalizes SSMs to temporal graphs, we conduct experiments on extending GRAPHSSM with different ad-hoc SSMs, including S5 [40] and S6 [10]. The node classification results on four datasets are shown in table 4. By comparing different variants of GRAPHSSM, we can find that S4 is the best architecture for learning over temporal graph sequences. S5, being a simplified version of S4 with fewer parameters, achieves poor performance on all datasets. Notably, while S6 shows impressive performance in other modalities such as language or images [10, 51], it is observed that they underperform when applied to graph sequences. This indicates that the selective mechanism may not be a good fit for graph data.

	DBLP-3		Br	ain	Reddit DB		LP-10	
	Micro-F1	Macro-F1	Micro-F1	Macro-F1	Micro-F1	Macro-F1	Micro-F1	Macro-F1
$\widehat{X}_{1}^{(0)} + \widehat{X}_{2}^{(0)}$	$84.51_{\pm 0.9}$	$84.28_{\pm 0.9}$	$91.56_{\pm 1.1}$	$91.99_{\pm 0.7}$	$48.05_{\pm 2.8}$	$47.99_{\pm 3.0}$	$75.62_{\pm 0.5}$	$74.65_{\pm 0.6}$
$\widehat{X}_{1}^{(\overline{F})} + \widehat{X}_{2}^{(\overline{O})}$	$85.12_{\pm 0.5}$	$84.82_{\pm 0.3}$	$\textbf{92.36}_{\pm 0.8}$	$92.54_{\pm 0.9}$	$49.06_{\pm 1.9}$	$49.06_{\pm 1.8}$	$\textbf{76.67}_{\pm 0.6}$	$75.95_{\pm 0.7}$
$\widehat{X}_1^{(R)} + \widehat{X}_2^{(O)}$	$84.98_{\pm 1.1}$	$84.79_{\pm 1.0}$	$\textbf{93.52}_{\pm 1.0}$	$\textbf{93.54}_{\pm 0.9}$	$49.21_{\pm0.5}$	$49.05_{\pm0.7}$	77.76 $_{\pm 0.5}$	77.54 $_{\pm 0.6}$
$\widehat{X}_1^{(O)} + \widehat{X}_2^{(R)}$	$85.26_{\pm 0.9}$	$85.00_{\pm 1.3}$	$91.84_{\pm1.9}$	$91.88_{\pm1.7}$	$47.88_{\pm1.8}$	$47.94_{\pm 1.8}$	$75.41_{\pm 0.7}$	$74.89_{\pm 1.0}$

Table 5: Ablation results (%) of GRAPHSSM-S4 with different mixing configurations.

Mixing mechanism. We assess the effectiveness of various mixing mechanisms introduced in section 3.2 through a series of experiments conducted using the S4 variant of GRAPHSSM. The analysis spans four distinct configurations: no intra-node mixing $(\hat{X}_1^{(O)} + \hat{X}_2^{(O)})$, feature mixing at the first layer $(\hat{X}_1^{(F)} + \hat{X}_2^{(O)})$, and representation mixing at either the first $(\hat{X}_1^{(R)} + \hat{X}_2^{(O)})$ or second $(\hat{X}_1^{(O)} + \hat{X}_2^{(R)})$ layers. The findings, presented in table 5, indicate that the integration of the MIX module at the first layer generally leads to enhanced model performance. An intuitive explanation for this observed phenomenon is elaborated in appendix B.3.

Initialization strategy. Recent advancements have highlighted the crucial role of initialization in SSMs [12], prompting our investigation into the effects of various initialization strategies for the *A* matrix. Specifically, we explore "hippo", "constant", and "random" initializations, with their comprehensive definitions provided in appendix D.2. The result, as shown in figure 3 exhibits distinct performance variations across different initialization strategies, with HIPPO being typically the dominant one which corroborates our theoretical motivations.



Figure 3: Comparison of GRAPHSSM with different initialization strategies.

5 Conclusion

In this work, we introduce a conceptualized GHIPPO abstraction on temporal graphs. Building upon GHIPPO, we propose GRAPHSSM, a theoretically motivated state space framework for modeling temporal graphs derived from a novel memory compression scheme. The proposed framework is computationally efficient and versatile in its design, which is further corroborated by strong empirical performance across various benchmark datasets. We also point out the unobserved graph mutation issue in temporal graphs and propose different mixing mechanisms to ensure temporal continuity across consecutive graph snapshots. Despite the promising results, the applicability of GRAPHSSM is presently confined to discrete-time temporal graphs. A discussion of our framework's current limitations and the scope for future extensions is presented in appendix E.

Acknowledgement

The research is supported by the National Key R&D Program of China under grant No. 2022YFF0902500, the Guangdong Basic and Applied Basic Research Foundation, China (No. 2023A1515011050), Shenzhen Science and Technology Program (KJZD20231023094501003), and Tencent AI Lab RBFR2024004. Liang Chen is the corresponding author.

References

- P. W. Battaglia, J. B. Hamrick, V. Bapst, A. Sanchez-Gonzalez, V. Zambaldi, M. Malinowski, A. Tacchetti, D. Raposo, A. Santoro, R. Faulkner, et al. Relational inductive biases, deep learning, and graph networks. *arXiv preprint arXiv:1806.01261*, 2018.
- [2] A. Behrouz and F. Hashemi. Graph mamba: Towards learning on graphs with state space models. *CoRR*, abs/2402.08678, 2024.
- [3] P. J. Brockwell and R. A. Davis. *Time series: theory and methods*. Springer science & business media, 1991.
- [4] K. Cho, B. van Merrienboer, Ç. Gülçehre, D. Bahdanau, F. Bougares, H. Schwenk, and Y. Bengio. Learning phrase representations using RNN encoder-decoder for statistical machine translation. In *EMNLP*, pages 1724–1734. ACL, 2014.
- [5] M. Fey and J. E. Lenssen. Fast graph representation learning with PyTorch Geometric. In *ICLR Workshop on Representation Learning on Graphs and Manifolds*, 2019.
- [6] D. Y. Fu, T. Dao, K. K. Saab, A. W. Thomas, A. Rudra, and C. Ré. Hungry hungry hippos: Towards language modeling with state space models. In *ICLR*. OpenReview.net, 2023.
- [7] D. Y. Fu, H. Kumbong, E. Nguyen, and C. Ré. Flashfftconv: Efficient convolutions for long sequences with tensor cores. arXiv preprint arXiv:2311.05908, 2023.
- [8] W. Gerstner, W. M. Kistler, R. Naud, and L. Paninski. Neuronal dynamics: From single neurons to networks and models of cognition. Cambridge University Press, 2014.
- [9] A. Grover and J. Leskovec. node2vec: Scalable feature learning for networks. In *KDD*, pages 855–864. ACM, 2016.
- [10] A. Gu and T. Dao. Mamba: Linear-time sequence modeling with selective state spaces. CoRR, abs/2312.00752, 2023.
- [11] A. Gu, T. Dao, S. Ermon, A. Rudra, and C. Ré. Hippo: Recurrent memory with optimal polynomial projections. In *NeurIPS*, 2020.
- [12] A. Gu, K. Goel, A. Gupta, and C. Ré. On the parameterization and initialization of diagonal state space models. *Advances in Neural Information Processing Systems*, 35:35971–35983, 2022.
- [13] A. Gu, K. Goel, and C. Ré. Efficiently modeling long sequences with structured state spaces. In *ICLR*. OpenReview.net, 2022.
- [14] A. Gu, I. Johnson, K. Goel, K. Saab, T. Dao, A. Rudra, and C. Ré. Combining recurrent, convolutional, and continuous-time models with linear state space layers. In *NeurIPS*, pages 572–585, 2021.
- [15] A. Gupta, A. Gu, and J. Berant. Diagonal state spaces are as effective as structured state spaces. Advances in Neural Information Processing Systems, 35:22982–22994, 2022.
- [16] W. Hamilton, Z. Ying, and J. Leskovec. Inductive representation learning on large graphs. *Advances in neural information processing systems*, 30, 2017.
- [17] F. Hashemi, A. Behrouz, and M. R. Hajidehi. CS-TGN: community search via temporal graph neural networks. In WWW (Companion Volume), pages 1196–1203. ACM, 2023.
- [18] S. Hochreiter and J. Schmidhuber. Long short-term memory. *Neural Comput.*, 9(8):1735–1780, 1997.
- [19] W. Hu, M. Fey, M. Zitnik, Y. Dong, H. Ren, B. Liu, M. Catasta, and J. Leskovec. Open graph benchmark: Datasets for machine learning on graphs. In *NeurIPS*, 2020.
- [20] S. M. Kazemi, R. Goel, K. Jain, I. Kobyzev, A. Sethi, P. Forsyth, and P. Poupart. Representation learning for dynamic graphs: A survey. J. Mach. Learn. Res., 21(1), jan 2020.
- [21] T. N. Kipf and M. Welling. Semi-supervised classification with graph convolutional networks. In *ICLR (Poster)*. OpenReview.net, 2017.
- [22] S. Kumar, X. Zhang, and J. Leskovec. Predicting dynamic embedding trajectory in temporal interaction networks. In *KDD*, pages 1269–1278. ACM, 2019.

- [23] J. Li, S. Tian, R. Wu, L. Zhu, W. Zhao, C. Meng, L. Chen, Z. Zheng, and H. Yin. Less can be more: Unsupervised graph pruning for large-scale dynamic graphs. *CoRR*, abs/2305.10673, 2023.
- [24] J. Li, R. Wu, W. Sun, L. Chen, S. Tian, L. Zhu, C. Meng, Z. Zheng, and W. Wang. What's behind the mask: Understanding masked graph modeling for graph autoencoders. In *KDD*, pages 1268–1279. ACM, 2023.
- [25] J. Li, Z. Yu, Z. Zhu, L. Chen, Q. Yu, Z. Zheng, S. Tian, R. Wu, and C. Meng. Scaling up dynamic graph representation learning via spiking neural networks. In AAAI, pages 8588–8596. AAAI Press, 2023.
- [26] J. Li, H. Zhang, R. Wu, Z. Zhu, B. Wang, C. Meng, Z. Zheng, and L. Chen. A graph is worth 1-bit spikes: When graph contrastive learning meets spiking neural networks. In *ICLR*, 2024.
- [27] A. Longa, V. Lachi, G. Santin, M. Bianchini, B. Lepri, P. Liò, F. Scarselli, and A. Passerini. Graph neural networks for temporal graphs: State of the art, open challenges, and opportunities. *CoRR*, abs/2302.01018, 2023.
- [28] Y. Lu, X. Wang, C. Shi, P. S. Yu, and Y. Ye. Temporal network embedding with micro- and macro-dynamics. In *CIKM*, pages 469–478. ACM, 2019.
- [29] E. Nguyen, K. Goel, A. Gu, G. W. Downs, P. Shah, T. Dao, S. Baccus, and C. Ré. S4ND: modeling images and videos as multidimensional signals with state spaces. In *NeurIPS*, 2022.
- [30] A. V. Oppenheim, J. Buck, M. Daniel, A. S. Willsky, S. H. Nawab, and A. Singer. *Signals & systems*. Pearson Education, 1997.
- [31] A. Orvieto, S. L. Smith, A. Gu, A. Fernando, C. Gulcehre, R. Pascanu, and S. De. Resurrecting recurrent neural networks for long sequences. In *International Conference on Machine Learning*, pages 26670–26698. PMLR, 2023.
- [32] G. Panagopoulos, G. Nikolentzos, and M. Vazirgiannis. Transfer graph neural networks for pandemic forecasting. In AAAI, pages 4838–4845. AAAI Press, 2021.
- [33] J. Pang and G. Cheung. Graph laplacian regularization for image denoising: Analysis in the continuous domain. *IEEE Transactions on Image Processing*, 26(4):1770–1785, 2017.
- [34] A. Pareja, G. Domeniconi, J. Chen, T. Ma, T. Suzumura, H. Kanezashi, T. Kaler, T. B. Schardl, and C. E. Leiserson. Evolvegcn: Evolving graph convolutional networks for dynamic graphs. In AAAI, pages 5363–5370. AAAI Press, 2020.
- [35] A. Paszke, S. Gross, F. Massa, A. Lerer, J. Bradbury, G. Chanan, T. Killeen, Z. Lin, N. Gimelshein, L. Antiga, A. Desmaison, A. Köpf, E. Z. Yang, Z. DeVito, M. Raison, A. Tejani, S. Chilamkurthy, B. Steiner, L. Fang, J. Bai, and S. Chintala. Pytorch: An imperative style, high-performance deep learning library. In *NeurIPS*, pages 8024–8035, 2019.
- [36] B. Perozzi, R. Al-Rfou, and S. Skiena. Deepwalk: online learning of social representations. In KDD, pages 701–710. ACM, 2014.
- [37] A. Rogozhnikov. Einops: Clear and reliable tensor manipulations with einstein-like notation. In *International Conference on Learning Representations*, 2022.
- [38] E. Salinas and T. J. Sejnowski. Integrate-and-fire neurons driven by correlated stochastic input. *Neural computation*, 14(9):2111–2155, 2002.
- [39] U. Singer, I. Guy, and K. Radinsky. Node embedding over temporal graphs. In *IJCAI*, pages 4605–4612. ijcai.org, 2019.
- [40] J. T. H. Smith, A. Warrington, and S. W. Linderman. Simplified state space layers for sequence modeling. In *ICLR*. OpenReview.net, 2023.
- [41] S. Tian, J. Dong, J. Li, W. Zhao, X. Xu, B. Wang, B. Song, C. Meng, T. Zhang, and L. Chen. Sad: Semi-supervised anomaly detection on dynamic graphs. In *IJCAI*, pages 2306–2314. IJCAI, 8 2023.
- [42] A. Vaswani, N. Shazeer, N. Parmar, J. Uszkoreit, L. Jones, A. N. Gomez, L. Kaiser, and I. Polosukhin. Attention is all you need. In *NIPS*, pages 5998–6008, 2017.
- [43] P. Velickovic, G. Cucurull, A. Casanova, A. Romero, P. Liò, and Y. Bengio. Graph attention networks. In *ICLR (Poster)*. OpenReview.net, 2018.

- [44] Y. Wang, Y. Chang, Y. Liu, J. Leskovec, and P. Li. Inductive representation learning in temporal networks via causal anonymous walks. In *ICLR*. OpenReview.net, 2021.
- [45] C. Wei, K. Shen, Y. Chen, and T. Ma. Theoretical analysis of self-training with deep networks on unlabeled data. arXiv preprint arXiv:2010.03622, 2020.
- [46] R. J. Williams and D. Zipser. A learning algorithm for continually running fully recurrent neural networks. *Neural Comput.*, 1(2):270–280, 1989.
- [47] D. Xu, W. Cheng, D. Luo, X. Liu, and X. Zhang. Spatio-temporal attentive RNN for node classification in temporal attributed graphs. In *IJCAI*, pages 3947–3953. ijcai.org, 2019.
- [48] J. You, T. Du, and J. Leskovec. ROLAND: graph learning framework for dynamic graphs. In KDD, pages 2358–2366. ACM, 2022.
- [49] M. Zhang, K. K. Saab, M. Poli, T. Dao, K. Goel, and C. Ré. Effectively modeling time series with simple discrete state spaces. arXiv preprint arXiv:2303.09489, 2023.
- [50] L. Zhou, Y. Yang, X. Ren, F. Wu, and Y. Zhuang. Dynamic network embedding by modeling triadic closure process. In *AAAI*, pages 571–578. AAAI Press, 2018.
- [51] L. Zhu, B. Liao, Q. Zhang, X. Wang, W. Liu, and X. Wang. Vision mamba: Efficient visual representation learning with bidirectional state space model. *CoRR*, abs/2401.09417, 2024.
- [52] X. Zhu, Z. Ghahramani, and J. D. Lafferty. Semi-supervised learning using gaussian fields and harmonic functions. In *Proceedings of the 20th International conference on Machine learning* (*ICML-03*), pages 912–919, 2003.
- [53] Y. Zhu, F. Cong, D. Zhang, W. Gong, Q. Lin, W. Feng, Y. Dong, and J. Tang. Wingnn: Dynamic graph neural networks with random gradient aggregation window. In *KDD*, pages 3650–3662. ACM, 2023.
- [54] Y. Zuo, G. Liu, H. Lin, J. Guo, X. Hu, and J. Wu. Embedding temporal network via neighborhood formation. In *KDD*, pages 2857–2866. ACM, 2018.

Appendix

Table of Contents

A	Broader impact	13
B	Notes	13
	B.1 Laplacian regularization, diffusion and GNN approximation	13
	B.2 An extension to varying node sets	14
	B.3 Heuristic justifications for layer-specific choice of mixing mechanisms	15
С	Proof of theorems	15
	C.1 Proof of theorem 1	15
	C.2 Proof of theorem 2	16
D	Algorithm descriptions	17
	D.1 The designs of mixing mechanism MIX	17
	D.2 Details of GRAPHSSM	18
	D.3 Complexity and implementations	19
E	Discussions and limitations	20
	E.1 Extension to continuous-time temporal graphs	20
	E.2 Going beyond piecewise dynamics	21
F	Experimental setup	21

A Broader impact

Our extension of state space models for temporal graph modeling may have broader impacts, particularly if applied to social, traffic, and financial networks which could affect individuals and society. While our work is fundamental and not tied to specific applications, the potential for misuse in surveillance, exacerbation of biases in algorithmic decision-making, or violation of privacy cannot be dismissed. For example, more accurate temporal graph models might inadvertently facilitate more intrusive tracking of individuals or groups, or could be employed in creating discriminatory financial models. It is the responsibility of those employing such technologies to consider these ethical implications and to implement measures such as algorithmic fairness checks, privacy-preserving methodologies, and security protocols that prevent exploitation of the technology. As with any powerful tool, the utmost caution should be exercised to avoid the irresponsible use of our advancements in modeling dynamic systems.

B Notes

B.1 Laplacian regularization, diffusion and GNN approximation

In this section, we discuss in detail the smoothness regularization of different types of Laplacians, and their approximations related to popular GNN architectures.

Inductive bias and compression capability of different Laplacians. As mentioned in section 3.1, two typical (normalized) graph Laplacians are

$$L_{\rm sym}(s) = I - D(s)^{-1/2} A(s) D(s)^{-1/2}$$
(11)

$$L_{\rm rw}(s) = I - D(s)^{-1} A(s), \tag{12}$$

with corresponding penalties written as

$$\int_{0}^{t} Z(s)^{\top} L_{\text{sym}}(s) Z(s) d\mu_{t}(s) = \int_{0}^{t} \sum_{(u,v) \in E(s)} \left(\frac{z_{u}(s)}{\sqrt{d_{u}(s)}} - \frac{z_{v}(s)}{\sqrt{d_{v}(s)}} \right)^{2} d\mu_{t}(s)$$
(13)

$$\int_{0}^{t} Z(s)^{\top} L_{\rm rw}(s) Z(s) d\mu_t(s) = \int_{0}^{t} \sum_{(u,v) \in E(s)} \frac{1}{d_u} \left(z_u(s) - z_v(s) \right)^2 d\mu_t(s).$$
(14)

The above display reveals the inductive bias of Laplacian regularizations as a promoting closeness in a weighted ℓ_2 metric regarding adjacent nodes' memory compressions, with distinct choices of Laplacians utilizing different weighting schemes. In particular, let $\alpha \to \infty$ in objective 3 then when the Laplacian is chosen as L_{sym} , the solution $Z^{\text{sym}}(s)$ must satisfy

$$\frac{z_v^{\text{sym}}(s)}{\sqrt{d_v(s)}} = \frac{z_u^{\text{sym}}(s)}{\sqrt{d_u(s)}}, \forall (u,v) \in E(s), 0 \le s \le t$$
(15)

It then follows that Z^{sym} compresses all the historical *degree profiles* over connected components of G. Analogously, when L_{rw} is chosen, it follows that the solution $Z^{\text{rw}}(s)$ must satisfy

$$z_v(s) = z_u(s), \forall (u, v) \in E(s), 0 \le s \le t$$
(16)

which compresses the composition of connected components of G.

Diffusion and GNN approximation. We consider approximations of the following diffused node features with respect to some type of Laplacian:

$$H = \{h_v\}_{v \in V} := (I + \alpha L)^{-1} X B^{\top} = \left(I + \sum_{k=1}^{\infty} (-1)^k \alpha^k L^k\right) X B^{\top}$$
(17)

The right-hand side of the preceding display is equivalent to performing infinite rounds of message passing. If we drop most of the higher-order terms, we arrive at models similar to graph neural networks. In particular, we keep only the first order terms, i.e., k = 1, then for the two Laplacians listed above, for each $v \in V$, we have the resulting approximations:

$$h_v^{\text{sym}} \approx (1-\alpha)Bx_v + \sum_{u \in N(v)} \frac{\alpha}{\sqrt{d_u d_v}} Bf_u$$
 (GCN-Like)

$$h_v^{\text{rw}} \approx (1-\alpha)Bx_v + \sum_{u \in N(v)} \frac{\alpha}{d_u} Bf_u.$$
 (SAGE(MEAN)-Like)

The above display exhibits a similar pattern to the design of graph neural networks with a aggregatethen-combine procedure, with the corresponding aggregation steps mirroring two typical GNN architectures GCN [21] and SAGE with mean pooling [16]. Furthermore, note that the effect of the balancing constant α would be absorbed into the learnable parameters of the GNN.

B.2 An extension to varying node sets

The methodology described in section 3.1 applies to temporal graphs with a *fixed* node set. To extend our approach to accommodate graphs featuring *varying* node sets, we initially focus on the continuous-time context, subsequently delving into discussions on discretization strategies. Suppose on the time interval $\mathcal{T} = [0, T]$, the node set evolves as depicted in the following sequence:

$$V(0) \longrightarrow V(t_1) \longrightarrow V(t_2) \longrightarrow \cdots \longrightarrow V(t_{R-1}) \longrightarrow V(t_R) = V(T).$$
(18)

That is, throughout the interval \mathcal{T} , the node set undergoes alterations on R distinct occasions, with associated changes occurring at times t_1, \ldots, t_R , respectively. We denote these evolving node sets as V_0, \ldots, V_R . To systematically analyze this temporal evolution, we partition the entire interval \mathcal{T} into R + 1 segments:

$$\mathcal{T}_r = [t_r, t_{r+1}), 0 \le r \le R \text{ with } t_0 = 0 \text{ and } t_{R+1} = T.$$
(19)

According to the formulations in section 3.1, on each \mathcal{T}_r , we have a well defined GHIPPO operator and the solutions are characterized by theorem 1. With an approximation order of N, we let the resulting projection coefficients be

$$U_r(t) \in \mathbb{R}^{|V_r| \times N}, 0 \le r \le R, t \in \mathcal{T}_r.$$
(20)

To address the issue of shape incoherence arising from variations in node sets, we employ a *memory alignment procedure*. This technique facilitates the mapping from $U_r(t_{r+1}-)$ to $U_{r+1}(t_{r+1})$, ensuring that the memory associated with each node is aligned according to the following scheme:

$$u_{v,r+1}(t_{r+1}) = \begin{cases} u_{v,r}(t_{r+1}-) & \text{if } v \in V_r \cap V_{r+1} \\ u_{\text{init}} & \text{if } v \in V_{r+1} \setminus V_r \end{cases}.$$
(21)

The memory alignment procedure (21) retains the continuity of states for nodes that persist over time. For nodes that emerge anew within the graph, it assigns a default initial state, which could either be an all-zero state or an estimation derived a priori from the states of neighboring nodes.

Discretizations. Within the established context, Theorem 2 remains applicable on each segment \mathcal{T}_r . Consequently, our primary concern becomes the treatment of nodes that emerge between consecutive snapshots. Adhering to the ZOH discretization rule, newly emerged nodes lack historical states and therefore do not undergo the MIX strategy, and use their initial state during their first appearance in the recurrent update. This initial state can be set to zero or determined through aggregation from neighboring nodes.

B.3 Heuristic justifications for layer-specific choice of mixing mechanisms

The various mixing mechanisms introduced in this paper are designed to facilitate an estimation of a weighted average of unobserved graph representations that occur amidst successive observational time points. Starting with the output generated by the initial SSM block, these outputs inherently encapsulate the information pertaining to the current snapshot, as well as that of its antecedent. Thus, the incorporation of mixing mechanisms at a second-layer may inadvertently result in the assimilation of superfluous information, extending beyond the target scope of back-to-back snapshots. Therefore, confining the deployment of mixing solely to the first SSM layer ensures the strict conservation of temporal locality. We have empirically verified that such an approach yields enhanced performances.

C Proof of theorems

In this section we present the proof of theorem 1 and theorem 2. We first present some necessary technical preparations: For any $t \in [0, T]$, let μ_t be some finite measure and let \mathcal{H}_{μ_t} denote the Hilbert space induced by the inner product

$$\langle f,g\rangle_{\mu_t} := \int_0^t f(s)g(s)d\mu_t(s).$$
(22)

Let $\mathcal{P}_N(t)$ be the space of polynomials constructed via the restriction of each element in \mathcal{P}_N to [0, t]. We assume the measure family be chosen such that $\mathcal{P}_N(t) \subset \mathcal{H}_{\mu_t}, \forall t \in [0, T]$. For each $v \in V$, we assume that the restriction of x_v (viewing as a function on [0, T]) to [0, t] is an element of \mathcal{H}_{μ_t} . Note that these assumptions are trivially satisfied for the scaled Legendre measure (LegS) $\mu_t = \frac{1}{t} \mathbb{I}_{[0,t]}$.

C.1 Proof of theorem 1

Proof of theorem 1. Hereafter we omit the dependence on μ_t and write the inner product simply as $\langle \cdot, \cdot \rangle$ without misunderstandings. Let P_0, \ldots, P_{N-1} be a set of orthogonal polynomials in \mathcal{P}_N with $\langle P_i, P_j \rangle = 0$ for $i \neq j$ and the degree of P_n is n for each $0 \leq n \leq N-1$. Then for any $f \in \mathcal{H}_{\mu_t}$, the optimal approximation in $L_2(\mu_t)$ distance in \mathcal{P}_N is given by

$$\Pi(f) = \sum_{n=0}^{N-1} \langle f, P_n \rangle \frac{P_n}{\|P_n\|_{\mu_t}^2},$$
(23)

where we define Π to be the projection operator. Now we turn to $\mathcal{L}_t(Z; G, X, \mu)$, viewing x_v as a function on [0, t] for any v, we have:

$$\mathcal{L}_t(Z;G,X,\mu) = \int_0^t \sum_{v \in V} (x_v(s) - z_v(s))^2 d\mu_t(s) + \alpha \int_0^t Z(s)^\top L(s) Z(s) d\mu_t(s)$$
(24)

$$= \int_{0}^{t} \sum_{v \in V} (x_{v}(s) - \Pi(x_{v})(s))^{2} d\mu_{t}(s)$$
(25)

$$+ \int_0^t \sum_{v \in V} (\Pi(x_v)(s) - z_v(s))^2 d\mu_t(s) + \alpha \int_0^t Z(s)^\top L(s) Z(s) d\mu_t(s)$$
(26)

$$:= \int_0^t \sum_{v \in V} (x_v(s) - \Pi(x_v)(s))^2 d\mu_t(s) + \underline{\mathcal{L}}_t(Z; G, X, \mu)$$

$$(27)$$

The preceding display suggest that the minimizer of $\mathcal{L}_t(Z; G, X, \mu)$ is the same as the minimizer of $\underline{\mathcal{L}}_t(Z; G, X, \mu)$. It thus suffices to analyze $\underline{\mathcal{L}}_t(Z; G, X, \mu)$ which is easier to work with since $\Pi(x_v) \in \mathcal{P}_N, \forall v \in V$ and the solution is a direct application of Laplacian regularization with respect to the integrand at any $s \in [0, t]$, yielding:

$$GPROJ_t(G, X)(s) = (1 + \alpha L(s))^{-1} \Pi(X)(s),$$
(28)

Now let the coefficient matrix $Q \in \mathbb{R}^{N_V \times N}$ be defined as $Q_{v,n} = \langle x_v, P_n \rangle, \forall v \in V, n \in [N]$, we obtain the GHIPPO operator as:

GHIPPO
$$(G, X)(s) := U(s) = (1 + \alpha L(s))^{-1} Q(s)$$
 (29)

Next we take derivatives to the coefficients. Note that L(t) is discontinuous and we can only apply derivative on intervals where L(t) remains same. First note that if we choose μ_t to be the scaled Legendre measure (LegS) with $\mu_t = \frac{1}{t} \mathbb{I}_{[0,t]}$, and P_n as basic Legengre polynomials [11, Appendix B.1.1], then we have the HIPPO property:

$$\frac{dQ(t)}{dt} = Q(t)A^{\top} + X(t)B^{\top}$$
(30)

where $A \in \mathbb{R}^{N \times N}, B \in \mathbb{R}^{N \times 1}$ with

$$A_{nk} = -\begin{cases} \sqrt{(2n+1)(2k+1)} & \text{if } n > k, \\ n+1 & \text{if } n = k, , \\ 0 & \text{if } n < k, \end{cases} \qquad B_n = \sqrt{2n+1}$$
(31)

Fix some $1 \le m \le M$ and for $t \in [t_{m-1}, t_m)$ we have:

$$\frac{dU(t)}{dt} = \left(\left(1 + \alpha L(t)\right)^{-1} \right) \frac{dQ(t)}{dt}$$
(32)

$$= (1 + \alpha L(t))^{-1} \left(Q(t)A^{\top} + X(t)B^{\top} \right)$$
(33)

$$= U(t)A^{\top} + (1 + \alpha L(t))^{-1} X(t)B^{\top}.$$
(34)

which finishes the proof.

C.2 Proof of theorem 2

Proof of theorem 2. For ease of presentation, we operate on the node level instead of graph level. Recall the unobserved dynamics:

$$G_{l-1} = G_{l,0} \xrightarrow{\mathcal{E}_{l,1}} G_{l,1} \xrightarrow{\mathcal{E}_{l,2}} G_{l,2} \longrightarrow \cdots \longrightarrow G_{l,M_l-1} \xrightarrow{\mathcal{E}_{l,M_l}} G_{l,M_l} = G_l$$
(35)

Following the assumptions, we can intuitively write the update process as follows:

$$U_{l-1} = U_{l,0} \xrightarrow{G_{l,1}, X_{l,1}} U_{l,1} \xrightarrow{G_{l,2}, X_{l,2}} U_{l,2} \longrightarrow \cdots \longrightarrow U_{l,M_l-1} \xrightarrow{G_{l,M_l}, X_{l,M_l}} U_{l,M_l} = U_l$$
(36)

For each $0 \le i \le M_l$, let $D_i := (I + \alpha L_{l,i})^{-1} X_{l,i} B^{\top}$. Let $d_{v,i}$ be the v-th row of D_i and $u_{v,i}$ be the v-th row of $U_{l,i}$. We first write the ZOH update corresponding to each step in (36) for every $v \in V$:

$$u_{v,i} = \begin{cases} e^{(t_i - t_{i-1}A)} u_{v,i-1} + A^{-1} \left(e^{(t_i - t_{i-1}A)} - I \right) d_{v,i}, & \text{for } 1 \le i \le M_l \\ u_{v,l} & \text{for } i = 0 \end{cases}$$
(37)

Next we do the recursion from the rightmost to the leftmost according to (8):

$$u_{v,l} = e^{(\tau_l - t_{M_l})A} u_{v,M_l} + A^{-1} \left(e^{(\tau_l - t_{M_l})A} - I \right) d_{v,M_l}$$

$$= e^{(\tau_l - t_{M_l})A} \left(e^{(t_{M_l} - t_{M_{l-1}})A} u_{v,M_l-1} + A^{-1} \left(e^{(t_{M_l} - t_{M_{l-1}})A} - I \right) u_{v,M_l-1} \right)$$

$$+ A^{-1} \left(e^{(\tau_l - t_{M_l})A} - I \right) u_{v,M_l}$$

$$\dots$$

$$= e^{(\tau_l - \tau_{l-1})A} u_{v,l-1} + \Upsilon$$
(38)

where we define

$$\Upsilon = A^{-1} \left(e^{(\tau_l - t_{M_l})A} - I \right) u_{v,M_l} + \sum_{i=1}^{M_l} e^{(\tau_l - t_i)A} A^{-1} \left(e^{(t_i - t_{i-1})A} - I \right) u_{v,i-1}$$
(39)

in the above display we define $t_0 = \tau_{l-1}$. Note that A^{-1} and $e^{A\beta}$ are simultaneouly diagonalizable for any β , therefore the matrix multiplication commutes and we further write

$$\Upsilon = A^{-1} \left(e^{(\tau_l - t_{M_l})A} - I \right) u_{v,M_l} + \sum_{i=1}^{M_l} A^{-1} \left(e^{(\tau_l - t_{i-1})A} - e^{(\tau_l - t_i)A} \right) u_{v,i-1}$$
(40)

With some abuse of notation now we let $A \in \mathbb{R}^N$ denote the diagonal vector of the matrix. We provide the following construction:

$$\lambda_{i} = \begin{cases} \frac{e^{(\tau_{l} - t_{M_{l}})A} - I}{e^{(\tau_{l} - \tau_{l-1})A} - I} & i = M_{l} \\ \frac{e^{(\tau_{l} - \tau_{l-1})A} - e^{(\tau_{l} - t_{i})A}}{e^{(\tau_{l} - \tau_{l-1})A} - I} & 0 \le i \le M_{l} - 1 \end{cases}$$
(41)

Here note that $\lambda_i \in \mathbb{R}^N$. It is straightforward to verify that:

$$\Upsilon = A^{-1} \left(e^{(\tau_l - \tau_{l-1})A} - I \right) \sum_{i=0}^{M_l} \lambda_i \odot u_{v,i}$$

$$\tag{42}$$

where $\{\lambda_i\}_{0 \le i \le M_l}$ are non-negative *N*-dimensional vectors satisfying $\sum_{i=0}^{M_l} \lambda_i = \mathbf{1}_N$, with $\mathbf{1}_N$ denoting the all-one vector of dimension *N*. As the values of λ s are *independent* of *v*, the proof finishes by combining (38), (42) and write the above conclusion in matrix form via setting $\Lambda_i = \text{diag}(\lambda_i), 0 \le i \le M_l$

D Algorithm descriptions

D.1 The designs of mixing mechanism MIX

We consider two types of mixing mechanisms: convolution (CONV1D) and Scaled interpolation (INTERP) which we describe below:

CONV1D. This is the usual convolution operation along the sequence dimension using *shared parameters*. We use a kernel size of 2 so that only consecutive representations are mixed.

INTERP. This is an input-dependent weighted average strategy followed by an input-dependent scaling, implemented as

$$\operatorname{MIX}(Z_1, Z_2) = \rho(Z_1, Z_2) \odot \left(\xi(Z_1, Z_2) \odot Z_1 + (1 - \xi(Z_1, Z_2)) \odot Z_2\right), \quad (43)$$

where $Z_1, Z_2 \in \mathbb{R}^{N_V \times d}$ are node representation matrices corresponding to consecutive snapshots. ρ and ξ are scale and weight functions that map two inputs into positive real numbers of identical shape with Z_1 or Z_2 , defined by

 $\rho(Z_1, Z_2) = \text{softplus}\left(W_{\rho}[Z_1 \| Z_2] + b_{\rho}\right), \quad \xi(Z_1, Z_2) = \text{sigmoid}\left(W_{\xi}[Z_1 \| Z_2] + b_{\xi}\right)$ (44)

where $W_{\rho}, W_{\xi} \in \mathbb{R}^{2d \times d}$ and $b_{\rho}, b_{\xi} \in \mathbb{R}^{d}$ are learnable parameters therein.

D.2 Details of GRAPHSSM

In this section, we elucidate on the methodology of GRAPHSSM through three specific instantiations. For clarity in our explanation, we employ certain notational conventions that might be somewhat different from the main text: the term V refers to the number of vertices in each graph snapshot G_l within a sequence of L graph snapshots $\{G_l\}_{1 \le l \le L}$ which we further denote as $G_{1:L}$, and D represents the dimensionality of node features. The symbol LINEAR is used to represent a linear projection layer including a bias term, where the dimensions for input and output are typically clear from the context to ensure compatibility. The notation $X_{1:L}$ denotes the concatenation of L tensors of the same dimensions along their second axis. For operations on tensors of order higher than two, we use the einSUM notation, as defined by the einops framework [37]. We present the algorithmic description of our design of SSM layers, namely GRAPHSSM-S4 (resp. GRAPHSSM-S5, GRAPHSSM-S6) in algorithm 1 (resp. algorithm 2, algorithm 3). ⁷ Subsequently, we adopt the

Algorithm 1 GRAPHSSM-S4 layer

Input: A sequence of graph (snapshots) $G_{1:L}$ with each of size V. Node (hidden) feature inputs $X_{1:L} \in \mathbb{R}^{V \times L \times D}$. A graph neural network GNN_{θ} parameterized by θ . A mixing mechanism MIX_{ϕ} parameterized by ϕ . State-space parameters $A \in \mathbb{R}^{D \times N}$, $B \in \mathbb{R}^{D \times N}$, $C \in \mathbb{R}^{D \times N}$. A linear layer for adaptive time gaps LINEAR_{τ} . Output: $Y_{1:L} \in \mathbb{R}^{V \times L \times D}$ 1: # Approximate diffusion via GNN 2: for t = 1 to *L* do $Z_l = \operatorname{GNN}_{\theta}(X_l, G_l);$ $H_l = Z_l \text{ if } l = 1 \text{ else } \operatorname{MIX}(Z_l, Z_{l-1});$ 3: 4: 5: end for 6: Initialize state $U_0 = 0$; # SISO state of shape $V \times D \times N$ 7: **for** t = 1 to *L* **do** 8: $\Delta_l = \text{softplus}(\text{LINEAR}_{\tau}(H_l));$ 9: $\overline{A} = \exp\left(\mathsf{einsum}(\Delta_l, A, "V, DN \to VDN")\right);$ $\overline{B} = \operatorname{einsum}(\Delta_l, B, "V, DN \to VDN");$ 10: $\begin{array}{l} U_{l} = U_{l-1} \odot \overline{A} + \mathsf{einsum}(\overline{B}, H_{l}, "VDN, VD \rightarrow VDN"); \\ Y_{l} = \mathsf{einsum}(U_{l}, C, "VDN, DN \rightarrow VD"); \end{array}$ 11: 12: 13: end for; 14: return $Y_{1:L}$;

following neural architecture composed of K blocks, with each block composed of one SSM layer followed by nonlinear activation and a residual connection:

$$H_{1:L}^{(k)} = \sigma\left(\text{SSMLAYER}\left(H_{1:L}^{(k-1)}, G_{1:L}\right)\right) + \text{LINEAR}\left(H_{1:L}^{(k-1)}\right), 1 \le k \le K,$$
(45)

where $H_{1:L}^{(0)}$ are the node features $X_{1:L}$. The SSMLAYER in (45) may be chosen as any of {GRAPHSSM-S4, GRAPHSSM-S5, GRAPHSSM-S6}. In our implementation of GRAPHSSM-S6, we add an additional layer normalization as the last operation of each block.

⁷In these algorithmic descriptions, we illustrate using the representation mixing mechanism. The case for feature mixing is similarly defined.

Algorithm 2 GRAPHSSM-S5 layer

Input: A sequence of graph (snapshots) $G_{1:L}$ with each of size V. Node (hidden) feature inputs $X_{1:L} \in \mathbb{R}^{V \times L \times D}$. A graph neural network GNN_{θ} parameterized by θ . A mixing mechanism MIX_{ϕ} parameterized by ϕ . State-space parameters $A \in \mathbb{R}^{N \times 1}, B \in \mathbb{R}^{D \times N}, C \in \mathbb{R}^{N \times D}$. A linear layer for adaptive time gaps LINEAR_{τ} . Output: $Y_{1:L} \in \mathbb{R}^{V \times L \times D}$ 1: # Approximate diffusion via GNN 2: **for** t = 1 to *L* **do** $Z_l = \operatorname{GNN}_{\theta}(X_l, G_l);$ 3: $H_l = Z_l$ if l = 1 else MIX (Z_l, Z_{l-1}) ; 4: 5: end for 6: Initialize state $U_0 = 0$; # MIMO state of shape $V \times N$ 7: **for** t = 1 to *L* **do** $\Delta_l =$ softplus (LINEAR_{τ}(H_l)); 8: $\overline{A} = \exp\left(\Delta_l A^{\top}\right);$ 9: 10: $\overline{B} = \operatorname{einsum}(\Delta_l, B, "V, DN \to VDN");$ $U_l = U_{l-1} \odot \overline{A} + \operatorname{einsum}(\overline{B}, H_l, "VDN, VD \to VN");$ 11: $Y_l = U_l C;$ 12: 13: end for; 14: return $Y_{1:L}$;

Initialization strategy. Recent developments in state space modeling have underscored the significance of initializing the state matrices A, B, and C, with the initialization of A frequently emerging as the most critical factor for the performance of the SSM [12]. Building upon the progress made in S4 [13] and S4D [29, 15], we evaluate three disparate initialization strategies for the matrix A. Note that since A is diagonal, we instead represent A as a N-dimensional vector:

$$\forall 1 \le n \le N: \quad A_n^{\text{S4D-Real}} = -(n+1), \quad A_n^{\text{S4D-Const}} \equiv \frac{1}{2}, \quad A_n^{\text{random}} = -e^{\chi}$$
(46)

S4D-Real (HIPPO) This is the diagonal part of the original HIPPO matrices (6).

S4D-Const (Constant) This is the real part of the eigenvalues corresponding to the S4N matrix as defined in [13], which equals $-\frac{1}{2}$.

Random This initialization is generated via a negative transform of a random number χ , which we generated using the Glorot initialization method.

Additionally, we initialize the B matrices using a constant of all-1 vector, and we initialize C randomly using Glorot.

D.3 Complexity and implementations

As detailed in section 3.1 and the algorithmic outlines provided, the implementations of GRAPHSSM across all three variants can be stratified into two primary phases: a diffuse-and-mixing step, and a linear recurrence step. The diffuse-and-mixing stage facilitates straightforward parallelization through the employment of methods such as graph batching. The inherent linear characteristic of the recurrence operation permits the utilization of efficient computation strategies, notably the selective scan technique as introduced in [10]. This approach yields a FLOP complexity of O(VLDN) per SSM layer with work-efficient parallelization, concurrently achieving IO efficiency. Furthermore, note that if we replace the adaptive time gap mechanism into a constant, i.e., we use $\Delta_l \equiv \frac{1}{L}$, $1 \le l \le L$ in line 8 of algorithm 1 and algorithm 2, the resulting linear system is time-invariant and we can use other computational accelerations like convolution [13, 7] and parallel scan [40].

Algorithm 3 GRAPHSSM-S6 layer

Input: A sequence of graph (snapshots) $G_{1:L}$ with each of size V. Node (hidden) feature inputs $X_{1:L} \in \mathbb{R}^{V \times L \times D}$. A graph neural network GNN_{θ} parameterized by θ . Three graph neural networks for selective state spaces $\text{GNN}_{\theta_B}, \text{GNN}_{\theta_C}, \text{GNN}_{\Delta}$. A mixing mechanism MIX_{ϕ} parameterized by ϕ . State-space parameters $A \in \mathbb{R}^{D \times N}$. **Output:** $Y_{1:L} \in \mathbb{R}^{V \times L \times D}$ 1: # Approximate diffusion via GNN 2: **for** t = 1 to *L* **do** $Z_l = \text{GNN}_{\theta}(X_l, G_l);$ 3: $H_l = Z_l$ if l = 1 else MIX (Z_l, Z_{l-1}) ; 4: 5: end for 6: Initialize state $U_0 = 0$; # SISO state of shape $V \times D \times N$ 7: for t = 1 to *L* do $\Delta_l = \text{softplus} (\text{GNN}_{\Delta}(X_l, G_l) + b);$ 8: $\overline{A} = \exp(\operatorname{einsum}(\Delta_l, A, "VD, DN \to VDN"));$ 9: $\overline{B} = \operatorname{einsum}(\Delta_l, \operatorname{GNN}_{\theta_B}(X_l, G_l), "VD, VN \to VDN");$ 10: $U_l = U_{l-1} \odot \overline{A} + \operatorname{einsum}(\overline{B}, H_l, "VDN, VD \to VDN");$ 11: $\overline{C} = \operatorname{GNN}_{\theta_C}(X_l, G_l);$ 12: $Y_l = \mathsf{einsum}(U_l, \overline{C}, "VDN, DN \to VD");$ 13: 14: end for; 15: **return** $Y_{1:L}$;

E Discussions and limitations

In this section, we discuss the limitations of the GRAPHSSM framework and propose a few future research directions that might be of interest.

E.1 Extension to continuous-time temporal graphs

In this study, we focus on modeling discrete-time temporal graphs (DTTGs) through the lens of discretizing continuously evolving systems. The continuous-time viewpoint holds promise for encapsulating the modeling of continuous-time temporal graphs (CTTGs), a domain of growing importance in graph learning literature. However, the current GHiPPO framework has its limitations when extending to continuous-time setups. We provide a brief discussion as follows:

DTDG, CTDG and GHiPPO Recall that in our formulation of the underlying graph process (2), the node features evolve continuously and the topological relations among nodes allow finite (countable) mutations. In DTTG representations, we do not directly observe the events, but we observe the entire graph at certain time spots resulting in a serious of snapshots. In this spirit, DTTGs have complete *latitudinal* information, but are lossy regarding *longitudinal* information. In CTTG representations, we have complete observations of events, but upon each event information, we do not observe the features of the rest of the nodes (that do not participate in those specific events). Therefore, CTTGs have complete longitudinal information, but are lossy regarding latitudinal information. In this regard, we may view DTDG and CTDG as two different lossy observation schemes of the underlying graph process in the GHiPPO abstraction.

Handing CTTGs using SSM discretizations is challenging In section 3.2 of our paper (especially theorem 2), we established the discretization scheme upon an ideal, discrete observation (We observe the graph snapshot at each mutation events). We believe that this result might reasonably hints the gap between possible empirical approximations in either DTTG or CTTG scenarios: In DTTGs, we believe approximations using available snapshots are possible since from hindsight, the ideal representation is a convex combination of the snapshot representations at the mutation times. The approximation bias mostly comes from fewer snapshots, and we use mixing strategies to mitigate

the biases. However, in CTTG scenarios, we miss the majority of information in each snapshot. Besides, consturcting snapshots from CTDGs is itself a very impractical method. Hence, we regard the modeling of CTDG to be beyond the scope of GraphSSM.

E.2 Going beyond piecewise dynamics

The distinguishing algorithmic feature of GHIPPO compared to the conventional HIPPO framework lies in the piecewise nature of the dynamical system it generates. This characteristic leads to the challenge of dealing with unobserved dynamics, a factor that motivated the development of our MIX module. However, it's important to acknowledge that the mixing module serves as an approximation of the actual underlying dynamics, thus representing a limitation within the framework. This acknowledgment raises an intriguing question: might there exist alternative problem formulations capable of yielding a smoother dynamical system that mitigates the issue of discontinuities? One potential pathway could involve adopting smoother versions of the Laplacian or revising the approximation objective specified in (3) towards one that fosters a smooth solution. Such a solution would promote consistency in the dynamics across the complete temporal interval. Implementing these innovations would, however, necessitate the incorporation of more sophisticated technical assumptions and theoretical tools which we left for future explorations.

F Experimental setup

	DBLP-3	Brain	Reddit	DBLP-10	arXiv	Tmall
#Nodes	4,257	5,000	8,291	28,085	169,343	577,314
#Edges	23,540	1,955,488	264,050	236,894	2,315,598	4,807,545
#Features	100	20	20	128	128	128
#Classes	3	10	4	10	40	5
#Time Steps	10	12	10	27	35	186
Category	Citation	Biology	Society	Citation	Citation	E-commerce
TC _{structure}	0.139	0.024	0.030	0.823	0.580	0.811
TC _{feature}	0.468	0.070	0.556	0.823	1.000	0.712

Table 6: Dataset Statistics.

Temporal continuity. As illustrated in figure 2, our work has highlighted the problem of unobserved graph mutations in learning from discrete-time temporal graphs. The issue of unobserved graph mutations greatly hampers the temporal continuity of such graphs, presenting a significant challenge for learning if not properly addressed. To quantitatively measure the temporal continuity of a temporal graph, we calculate the average proximity between consecutive graph snapshots in the graph sequence. Specifically, we utilize Jaccard distance and Cosine similarity to measure the temporal continuity in terms of graph structure and node features, respectively:

$$TC_{\text{structure}} = \frac{1}{L-1} \sum_{l}^{L-1} \frac{\mathcal{E}_{l} \cap \mathcal{E}_{l+1}}{\mathcal{E}_{l} \cup \mathcal{E}_{l+1}},$$

$$TC_{\text{feature}} = \frac{1}{L-1} \sum_{l}^{L-1} \text{Sim}(X_{l}, X_{l+1}),$$

where $\text{Sim}(X_{l}, X_{l+1}) = \frac{1}{N_{V}} \sum_{v \in V} \frac{\langle x_{l,v}, x_{l+1,v} \rangle}{\|x_{l,v}\| \|x_{l+1,v}\|}.$
(47)

Datasets. We focus on the node classification task in discrete-time temporal graphs, which is a straightforward extension of static graphs. The experiments are conducted on six temporal graph benchmarks with different scales and time snapshots, including DBLP-3 [47], Brain [47], Reddit [47], DBLP-10 [25], arXiv [19], and Tmall [25]. Dataset statistics are summarized in table 6 including the corresponding temporal continuity. The graph datasets are collected from real-world networks belonging to different domains. It should be noted that in the arXiv dataset, the time information is associated with the nodes rather than the edges. As a result, we split the snapshots of arXiv based on

the occurrence of nodes. Each snapshot graph in the dataset shares the same attribute information but not the topology. Therefore, $TC_{feature} = 1.000$ for arXiv in our experiments.

Baselines. We compare GRAPHSSM with the following baselines: (i) static graph embedding methods: DeepWalk [36], Node2Vec [9]; (ii) temporal graph embedding methods: HTNE, M²DNE, and DynamicTriad [50]; (iii) discrete-time temporal graph neural networks: MPNN [32], STAR [47], tNodeEmbed [39], EvolveGCN [34], SpikeNet [25], and ROLAND [48]. For baselines that are originally designed for static graphs, we accumulate historical information (edges) in the graph snapshot sequence and represent the static graph structure at the last time point. All baselines are carefully tuned to achieve their best results based on the code officially provided by the authors.

Implementation details. GRAPHSSM is built on the success of SSMs, where in this work we have derived variants of GRAPHSSM-S4, GRAPHSSM-S5, and GRAPHSSM-S6, under different SSM settings. Our experiments are mainly conducted on the S4 architecture. we employ feature mixing for DBLP-10 and representation mixing for other datasets. The graph convolution networks used to learn the graph structure are SAGE [16] for all datasets, except for arXiv, where GCN [21] is used. We implement our models as well as baselines with PyTorch [35] and PyTorch Geometric [5], which are open-source software released under BSD-style ⁸ and MIT ⁹ license, respectively. All datasets used throughout experiments are publicly available. All experiments are conducted on an NVIDIA RTX 3090 Ti GPU with 24 GB memory. Code will be made available at https://github.com/EdisonLeeeee/GraphSSM.

Evaluation protocol. We adopt the conventional *transductive* learning setting, where the graph structure of all snapshots is visible during both training and inference stages. This is analogous to the standard node classification task, but with the additional incorporation of time information to facilitate the learning. For the DBLP-3, Brain, and Reddit datasets, we adopt the 81%/9%/10% train/validation/test splits as suggested in [47]. For the DBLP-10 and Tmall datasets, we follow the experimental settings of previous works [25], where 80% of the nodes are randomly selected as the training set, and the remaining nodes are used as the test set. Note that stratified sampling is employed to ensure that the class distribution remains consistent across splits. For the arXiv dataset, we use the fixed public splits. We use Micro-F1 and Macro-F1 to evaluate the node classification performance. We report the average performance with standard deviation across 5 runs for each method.

⁸https://github.com/pytorch/pytorch/blob/master/LICENSE

⁹https://github.com/pyg-team/pytorch_geometric/blob/master/LICENSE

NeurIPS Paper Checklist

1. Claims

Question: Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope?

Answer: [Yes]

Justification: The main claims made in the abstract and introduction has accurately reflected the paper's contributions and scope.

Guidelines:

- The answer NA means that the abstract and introduction do not include the claims made in the paper.
- The abstract and/or introduction should clearly state the claims made, including the contributions made in the paper and important assumptions and limitations. A No or NA answer to this question will not be perceived well by the reviewers.
- The claims made should match theoretical and experimental results, and reflect how much the results can be expected to generalize to other settings.
- It is fine to include aspirational goals as motivation as long as it is clear that these goals are not attained by the paper.

2. Limitations

Question: Does the paper discuss the limitations of the work performed by the authors?

Answer: [Yes]

Justification: We have discussed the limitation of this work in appendix E.

Guidelines:

- The answer NA means that the paper has no limitation while the answer No means that the paper has limitations, but those are not discussed in the paper.
- The authors are encouraged to create a separate "Limitations" section in their paper.
- The paper should point out any strong assumptions and how robust the results are to violations of these assumptions (e.g., independence assumptions, noiseless settings, model well-specification, asymptotic approximations only holding locally). The authors should reflect on how these assumptions might be violated in practice and what the implications would be.
- The authors should reflect on the scope of the claims made, e.g., if the approach was only tested on a few datasets or with a few runs. In general, empirical results often depend on implicit assumptions, which should be articulated.
- The authors should reflect on the factors that influence the performance of the approach. For example, a facial recognition algorithm may perform poorly when image resolution is low or images are taken in low lighting. Or a speech-to-text system might not be used reliably to provide closed captions for online lectures because it fails to handle technical jargon.
- The authors should discuss the computational efficiency of the proposed algorithms and how they scale with dataset size.
- If applicable, the authors should discuss possible limitations of their approach to address problems of privacy and fairness.
- While the authors might fear that complete honesty about limitations might be used by reviewers as grounds for rejection, a worse outcome might be that reviewers discover limitations that aren't acknowledged in the paper. The authors should use their best judgment and recognize that individual actions in favor of transparency play an important role in developing norms that preserve the integrity of the community. Reviewers will be specifically instructed to not penalize honesty concerning limitations.

3. Theory Assumptions and Proofs

Question: For each theoretical result, does the paper provide the full set of assumptions and a complete (and correct) proof?

Answer: [Yes]

Justification: All the theorems, formulas, and proofs in the paper are numbered and crossreferenced. The proof of theorems are presented in appendix C.

Guidelines:

- The answer NA means that the paper does not include theoretical results.
- All the theorems, formulas, and proofs in the paper should be numbered and cross-referenced.
- All assumptions should be clearly stated or referenced in the statement of any theorems.
- The proofs can either appear in the main paper or the supplemental material, but if they appear in the supplemental material, the authors are encouraged to provide a short proof sketch to provide intuition.
- Inversely, any informal proof provided in the core of the paper should be complemented by formal proofs provided in appendix or supplemental material.
- Theorems and Lemmas that the proof relies upon should be properly referenced.

4. Experimental Result Reproducibility

Question: Does the paper fully disclose all the information needed to reproduce the main experimental results of the paper to the extent that it affects the main claims and/or conclusions of the paper (regardless of whether the code and data are provided or not)?

Answer: [Yes]

Justification: We provide a comprehensive description of the experimental settings in appendix F. The algorithm framework of GRAPHSSM with different SSM architectures is presented in appendix D.2. All the code for reproducing the experiments is made available in the supplementary material accompanying the submission.

Guidelines:

- The answer NA means that the paper does not include experiments.
- If the paper includes experiments, a No answer to this question will not be perceived well by the reviewers: Making the paper reproducible is important, regardless of whether the code and data are provided or not.
- If the contribution is a dataset and/or model, the authors should describe the steps taken to make their results reproducible or verifiable.
- Depending on the contribution, reproducibility can be accomplished in various ways. For example, if the contribution is a novel architecture, describing the architecture fully might suffice, or if the contribution is a specific model and empirical evaluation, it may be necessary to either make it possible for others to replicate the model with the same dataset, or provide access to the model. In general. releasing code and data is often one good way to accomplish this, but reproducibility can also be provided via detailed instructions for how to replicate the results, access to a hosted model (e.g., in the case of a large language model), releasing of a model checkpoint, or other means that are appropriate to the research performed.
- While NeurIPS does not require releasing code, the conference does require all submissions to provide some reasonable avenue for reproducibility, which may depend on the nature of the contribution. For example
 - (a) If the contribution is primarily a new algorithm, the paper should make it clear how to reproduce that algorithm.
- (b) If the contribution is primarily a new model architecture, the paper should describe the architecture clearly and fully.
- (c) If the contribution is a new model (e.g., a large language model), then there should either be a way to access this model for reproducing the results or a way to reproduce the model (e.g., with an open-source dataset or instructions for how to construct the dataset).
- (d) We recognize that reproducibility may be tricky in some cases, in which case authors are welcome to describe the particular way they provide for reproducibility. In the case of closed-source models, it may be that access to the model is limited in some way (e.g., to registered users), but it should be possible for other researchers to have some path to reproducing or verifying the results.

5. Open access to data and code

Question: Does the paper provide open access to the data and code, with sufficient instructions to faithfully reproduce the main experimental results, as described in supplemental material?

Answer: [Yes]

Justification: All the data used in our experiments are publicly available online and the code to reproduce the experiments is available in the supplementary material accompanying the submission.

Guidelines:

- The answer NA means that paper does not include experiments requiring code.
- Please see the NeurIPS code and data submission guidelines (https://nips.cc/ public/guides/CodeSubmissionPolicy) for more details.
- While we encourage the release of code and data, we understand that this might not be possible, so "No" is an acceptable answer. Papers cannot be rejected simply for not including code, unless this is central to the contribution (e.g., for a new open-source benchmark).
- The instructions should contain the exact command and environment needed to run to reproduce the results. See the NeurIPS code and data submission guidelines (https://nips.cc/public/guides/CodeSubmissionPolicy) for more details.
- The authors should provide instructions on data access and preparation, including how to access the raw data, preprocessed data, intermediate data, and generated data, etc.
- The authors should provide scripts to reproduce all experimental results for the new proposed method and baselines. If only a subset of experiments are reproducible, they should state which ones are omitted from the script and why.
- At submission time, to preserve anonymity, the authors should release anonymized versions (if applicable).
- Providing as much information as possible in supplemental material (appended to the paper) is recommended, but including URLs to data and code is permitted.

6. Experimental Setting/Details

Question: Does the paper specify all the training and test details (e.g., data splits, hyperparameters, how they were chosen, type of optimizer, etc.) necessary to understand the results?

Answer: [Yes]

Justification: We have provided a comprehensive description of the experimental settings in appendix F. Exploration experiments on different SSM architectures and components of our proposed method are also conducted in section 4.1.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The experimental setting should be presented in the core of the paper to a level of detail that is necessary to appreciate the results and make sense of them.
- The full details can be provided either with the code, in appendix, or as supplemental material.

7. Experiment Statistical Significance

Question: Does the paper report error bars suitably and correctly defined or other appropriate information about the statistical significance of the experiments?

Answer: [Yes]

Justification: The experiments were conducted over 5 runs, and we present the averaged results along with the standard deviation.

Guidelines:

• The answer NA means that the paper does not include experiments.

- The authors should answer "Yes" if the results are accompanied by error bars, confidence intervals, or statistical significance tests, at least for the experiments that support the main claims of the paper.
- The factors of variability that the error bars are capturing should be clearly stated (for example, train/test split, initialization, random drawing of some parameter, or overall run with given experimental conditions).
- The method for calculating the error bars should be explained (closed form formula, call to a library function, bootstrap, etc.)
- The assumptions made should be given (e.g., Normally distributed errors).
- It should be clear whether the error bar is the standard deviation or the standard error of the mean.
- It is OK to report 1-sigma error bars, but one should state it. The authors should preferably report a 2-sigma error bar than state that they have a 96% CI, if the hypothesis of Normality of errors is not verified.
- For asymmetric distributions, the authors should be careful not to show in tables or figures symmetric error bars that would yield results that are out of range (e.g. negative error rates).
- If error bars are reported in tables or plots, The authors should explain in the text how they were calculated and reference the corresponding figures or tables in the text.

8. Experiments Compute Resources

Question: For each experiment, does the paper provide sufficient information on the computer resources (type of compute workers, memory, time of execution) needed to reproduce the experiments?

Answer: [Yes]

Justification: Implementation details including software and hardware infrastructures are listed in appendix F.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The paper should indicate the type of compute workers CPU or GPU, internal cluster, or cloud provider, including relevant memory and storage.
- The paper should provide the amount of compute required for each of the individual experimental runs as well as estimate the total compute.
- The paper should disclose whether the full research project required more compute than the experiments reported in the paper (e.g., preliminary or failed experiments that didn't make it into the paper).

9. Code Of Ethics

Question: Does the research conducted in the paper conform, in every respect, with the NeurIPS Code of Ethics https://neurips.cc/public/EthicsGuidelines?

Answer: [Yes]

Justification: The attached code has undergone thorough scrutiny to guarantee anonymity and adherence to the NeurIPS Code of Ethics.

Guidelines:

- The answer NA means that the authors have not reviewed the NeurIPS Code of Ethics.
- If the authors answer No, they should explain the special circumstances that require a deviation from the Code of Ethics.
- The authors should make sure to preserve anonymity (e.g., if there is a special consideration due to laws or regulations in their jurisdiction).

10. Broader Impacts

Question: Does the paper discuss both potential positive societal impacts and negative societal impacts of the work performed?

Answer: [Yes]

Justification: The discussion on both potential positive societal impacts and negative societal impacts of the work is provided in appendix A.

Guidelines:

- The answer NA means that there is no societal impact of the work performed.
- If the authors answer NA or No, they should explain why their work has no societal impact or why the paper does not address societal impact.
- Examples of negative societal impacts include potential malicious or unintended uses (e.g., disinformation, generating fake profiles, surveillance), fairness considerations (e.g., deployment of technologies that could make decisions that unfairly impact specific groups), privacy considerations, and security considerations.
- The conference expects that many papers will be foundational research and not tied to particular applications, let alone deployments. However, if there is a direct path to any negative applications, the authors should point it out. For example, it is legitimate to point out that an improvement in the quality of generative models could be used to generate deepfakes for disinformation. On the other hand, it is not needed to point out that a generic algorithm for optimizing neural networks could enable people to train models that generate Deepfakes faster.
- The authors should consider possible harms that could arise when the technology is being used as intended and functioning correctly, harms that could arise when the technology is being used as intended but gives incorrect results, and harms following from (intentional or unintentional) misuse of the technology.
- If there are negative societal impacts, the authors could also discuss possible mitigation strategies (e.g., gated release of models, providing defenses in addition to attacks, mechanisms for monitoring misuse, mechanisms to monitor how a system learns from feedback over time, improving the efficiency and accessibility of ML).

11. Safeguards

Question: Does the paper describe safeguards that have been put in place for responsible release of data or models that have a high risk for misuse (e.g., pretrained language models, image generators, or scraped datasets)?

Answer: [NA]

Justification: This paper poses no such risks.

Guidelines:

- The answer NA means that the paper poses no such risks.
- Released models that have a high risk for misuse or dual-use should be released with necessary safeguards to allow for controlled use of the model, for example by requiring that users adhere to usage guidelines or restrictions to access the model or implementing safety filters.
- Datasets that have been scraped from the Internet could pose safety risks. The authors should describe how they avoided releasing unsafe images.
- We recognize that providing effective safeguards is challenging, and many papers do not require this, but we encourage authors to take this into account and make a best faith effort.

12. Licenses for existing assets

Question: Are the creators or original owners of assets (e.g., code, data, models), used in the paper, properly credited and are the license and terms of use explicitly mentioned and properly respected?

Answer: [Yes]

Justification: We have cited the original paper that produced the code package or dataset and have explicitly stated the license used for the open-source frameworks.

Guidelines:

- The answer NA means that the paper does not use existing assets.
- The authors should cite the original paper that produced the code package or dataset.

- The authors should state which version of the asset is used and, if possible, include a URL.
- The name of the license (e.g., CC-BY 4.0) should be included for each asset.
- For scraped data from a particular source (e.g., website), the copyright and terms of service of that source should be provided.
- If assets are released, the license, copyright information, and terms of use in the package should be provided. For popular datasets, paperswithcode.com/datasets has curated licenses for some datasets. Their licensing guide can help determine the license of a dataset.
- For existing datasets that are re-packaged, both the original license and the license of the derived asset (if it has changed) should be provided.
- If this information is not available online, the authors are encouraged to reach out to the asset's creators.

13. New Assets

Question: Are new assets introduced in the paper well documented and is the documentation provided alongside the assets?

Answer: [NA]

Justification: This paper does not release new assets.

Guidelines:

- The answer NA means that the paper does not release new assets.
- Researchers should communicate the details of the dataset/code/model as part of their submissions via structured templates. This includes details about training, license, limitations, etc.
- The paper should discuss whether and how consent was obtained from people whose asset is used.
- At submission time, remember to anonymize your assets (if applicable). You can either create an anonymized URL or include an anonymized zip file.

14. Crowdsourcing and Research with Human Subjects

Question: For crowdsourcing experiments and research with human subjects, does the paper include the full text of instructions given to participants and screenshots, if applicable, as well as details about compensation (if any)?

Answer: [NA]

Justification: This paper does not involve crowdsourcing nor research with human subjects.

Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Including this information in the supplemental material is fine, but if the main contribution of the paper involves human subjects, then as much detail as possible should be included in the main paper.
- According to the NeurIPS Code of Ethics, workers involved in data collection, curation, or other labor should be paid at least the minimum wage in the country of the data collector.

15. Institutional Review Board (IRB) Approvals or Equivalent for Research with Human Subjects

Question: Does the paper describe potential risks incurred by study participants, whether such risks were disclosed to the subjects, and whether Institutional Review Board (IRB) approvals (or an equivalent approval/review based on the requirements of your country or institution) were obtained?

Answer: [NA]

Justification: This paper does not involve crowdsourcing nor research with human subjects. Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Depending on the country in which research is conducted, IRB approval (or equivalent) may be required for any human subjects research. If you obtained IRB approval, you should clearly state this in the paper.
- We recognize that the procedures for this may vary significantly between institutions and locations, and we expect authors to adhere to the NeurIPS Code of Ethics and the guidelines for their institution.
- For initial submissions, do not include any information that would break anonymity (if applicable), such as the institution conducting the review.