INFORMATION STRUCTURE IN LARGE LANGUAGE MODELS

Anonymous authors

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ABSTRACT

Despite the widespread use of large language models, we still lack unified notation for thinking about and describing their representational spaces. This limits our ability to understand how they work. Ideally we would understand how their representations are structured, how that structure emerges over training, and what kinds of structures are desirable. Unfortunately we as humans tend not to have strong intuitions about high-dimensional vector spaces. Here we propose an information theoretic approach to quantifying structure in deep-learning models. We introduce a novel method for estimating the entropy of vector spaces, and use it to quantify the amount of information in the model we can explain with a set of labels. This can show when regularities emerge in representation space with respect to token, bigram, and trigram information in the input. As these models are learning from human language data, we formalise this in terms of 3 linguistically derived quantities: regularity, variation, and disentanglement. These show how larger models become proportionally more disentangled. We also are able to predict downstream task performance on GLUE benchmarks based on representational structure at the end of pre-training but before fine tuning.

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1 INTRODUCTION

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Despite the remarkable performance of large language models (Brown, 2020; Dubey et al., 2024), and their widespread use we still lack unified notation for thinking about and describing their representational spaces. We lack methods to reliably describe how their representations are structured, how that structure emerges over training, and what kinds of structures are desirable. This should be of concern to us for practical reasons - it makes it difficult to make design decisions when we don't have a clear picture of how they effect representational space - but also for broader for social reasons. Most people in the US and UK come into contact with an NLP system multiple times a day without realising (Kennedy et al., 2023). Given their increasing ubiquity our limited ability to account for the information they have learned and how that information is structured is worrying.

Part of the reason for this is that their representations are continuous, and we as humans tend not to have strong intuitions about high-dimensional vector spaces. Existing work in interpreting large lan-040 guage models describes phases of training in terms of model behaviour (e.g. Marvin, 2018; Blevins 041 et al., 2018; Dziri et al., 2024) like analysing when they begin to generalise robustly - or grok (Power 042 et al., 2022; Merrill et al., 2023). Alternately work uses parametric methods like probing, leveraging 043 a separate model to describe the first (Hupkes et al., 2018; Voita & Titov, 2020; Pimentel et al., 044 2020). We focus instead on giving a representational account of what training looks like, using information theoretic measures of representational space to quantify how structured representation spaces are in large language models, and what kinds of structure matter for generalisation. Ideally 046 we need a way of thinking about deep-learning models in the general case that allows us to: 1) De-047 scribe structure in representation space, and what structures drive generalisation, 2) Clearly relate 048 these to relevant work in linguistics and the cognitive sciences, 3) Quantify structure with methods that are efficient enough to apply the same analyses to models of any size, throughout training, and 4) Meaningfully compare models of different sizes, trained with different objectives.

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In an effort to do this, we look at deep-learning models as member of a more general class: mappings. Models map between their inputs and representational space, and are comprised of a sequence of linear and non-linear mappings. Here we quantify structure in the mappings learned by large lan-

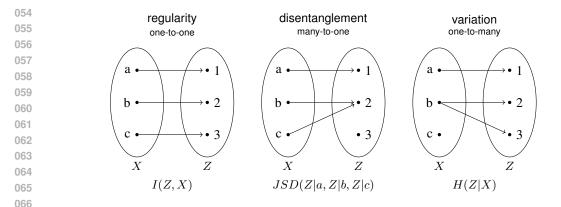


Figure 1: Three basic kinds of mapping structure we consider here, labelled with their linguistic analog, and the information theoretic quantity we introduce to measure them in section 4. Note that we show part of the mapping $(a \rightarrow 1)$ as regular in all cases because the mappings we consider exhibit a combination of all 3 structures. As such we assess the *degree* of each structure, not whether or not it exists. Variation (one-to-many) is possible here because our X contains instances of the same token in different sentences, meaning $b \rightarrow 2$ and $b \rightarrow 3$ reflects b in different contexts which are not shown here for brevity.

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guage models while drawing parallels to a reference mapping about which we have strong intuitions
 for what structure looks like - unlike high-dimensional vector spaces - and which is related to the
 domain in which our models are trained: natural language.

At its core language is a mapping - relating real-world objects, concepts, and events, to words, con-079 structions, and phrases which refer to them (de Saussure, 1916). While many natural communication systems fit this bill, language is unique amongst them (Hockett, 1960). It's learned from a finite sam-081 ple, generalises readily to novel concepts and contexts, with system level structures that provide us a system simple enough to be learned by children, but expressive enough to describe the universe. 083 This parallels our desiderata for mappings in deep-learning models which need to be learned from 084 finite data, able to generalise, and expressive enough to describe the world from which their training 085 data is drawn. We look at whether system level structures emerge in representation spaces learned by large language models; first introducing basic kinds of structure in a mapping, relating them to 087 their analogs in linguistics, before quantifying each of them information-theoretically.

088 We build on the framework for interpretability introduced in Conklin & Smith (2024), redefining 089 some of their measures, and extending it to large language models. To do this we also introduce 090 a novel method for highly-performant entropy estimation in vector space - *soft entropy*. This ap-091 proach is similar to discretisation based methods used to analyse deep-learning (Shwartz-Ziv & 092 Tishby, 2017b; Goldfeld et al., 2018), but fully differentiable, less affected by hyper-parameter settings, and dramatically more memory & compute efficient. Additionally the estimator can easily be 094 applied at different levels of abstraction like model, layer, and subspace - this broken-down estimate enables direct comparison between different model sizes. We use soft entropy to quantify structure in language models ranging from 14 million to 12 billion parameters, looking at when system-level 096 structure emerges during training, how scaling affects representation structure, and what kinds of structure drive generalisation. Our analysis is able to predict downstream performance on GLUE 098 benchmarks based only on a models' representations at the end of pre-training (before 2 million steps of fine-tuning). To summarise our core contributions, this paper: 100

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- Frames structure in large language models in terms of related notions of structure from linguistics and information theory
- Introduces a novel method for entropy estimation of continuous spaces, that's fast, efficient and differentiable
- Shows how scaling a model's hidden dimension, or number of layers affect representational structure

 Correlates representation structure at the end of pre-training with performance downstream after fine-tuning

112 2 RELATED WORK

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114 Our work is related to a long history of research in NLP trying to work identify correspondences 115 between linguistic structures in training data and representations or behaviours (Shi et al., 2016; 116 Belinkov et al., 2017; Marvin, 2018; Blevins et al., 2018; Dziri et al., 2024). It's particularly closely related to probing (Hupkes et al., 2018; Pimentel et al., 2020) which trains a classifier to predict 117 labels from a larger model's representations. MDL probing (Voita & Titov, 2020) also includes a 118 notion of regularity in terms of the complexity of the probe required to recover the labels. Given we 119 quantify structure in the mapping between labels and representations directly our work represents 120 a non-parametric approach to probing. The analysis here is also related to work in language emer-121 gence which looks at the languages that emerge between models in a multi-agent setting. A variety 122 of quantifications of linguistic structure have been proposed for that domain that leverage similar 123 intuitions to the ones used here (Brighton et al., 2005; Lazaridou et al., 2018; Resnick et al., 2020; 124 Chaabouni et al., 2020; Conklin & Smith, 2022)

125 There's also existing work that tries to characterise training dynamics information theoretically 126 (Tishby & Zaslavsky, 2015; Shwartz-Ziv & Tishby, 2017a; Goldfeld et al., 2018; Saxe et al., 2019), 127 however these are largely theoretical works and/or applied to feed-forward networks on tasks like 128 digit classification. (Conklin & Smith, 2024) applies information theoretic methods to transformers 129 trained on a single task - but uses dimension-wise discretisation which is difficult to scale. Our 130 approach to estimating entropy is similar to the limiting density of discrete points (Jaynes, 1957) 131 and is related to kernel density estimation (Parzen, 1962) in the way it relates discrete points to a 132 continuous function.

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3 IDENTIFYING STRUCTURE IN MAPPINGS

137 We consider 3 basic structures in a mapping between two spaces: one-to-one, many-to-one, and 138 one-to-many. These are related to linguistic concepts of regularity, disentanglement, and variation respectively. In a model we quantify these properties between labels for a model's input and the 139 corresponding representations. The labels can be any that you have for an input sentence, in the 140 experiments here we use ones that you get for free with any text data: token, bigram, and trigram. 141 This lets us look at lexical and contextual information in the model and shows the generality of the 142 approach. If you had data labeled with parts of speech you could look at how syntactic information 143 is represented, or a set of sentences labelled as True or False would let you look at factuality – the 144 point being given a set of labels for the input we quantify structure in representation space with 145 respect to them. 146

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$$Z = [z_a^k : \forall z_a^k \in f(x^k) : \forall x^k \in X]$$
 (1a)
$$Z | \text{label} = [z_a^k \text{ if } a = \text{label} : \forall z_a^k \in Z]$$
(1b)

152 To formalise this in terms of the transformer language models we're working with - we look at the 153 mapping at the token level. We have a model f that maps a set of sentences X to representational 154 space Z. For each sentence $x^k \in X$, the model takes as input a sequence of tokens $t_a^k, t_b^k, t_c^k \dots \in x^k$ and returns a sequence of vectors $z_a^k, z_b^k, z_c^k... \in Z^k$ where z_a^k is the vector corresponding to token 155 156 a when it occurs in sentence k. While each sequence Z^k is of variable length, the individual vectors 157 are the same size. We can create a list Z of all token representations from all sentences in the dataset, 158 or a list of all tokens corresponding to a given label Z|label. This means when we look at bigram or trigram information, we're labelling the representation z_a^k with (a, b) or (a, b, c). The next section 159 explains how we estimate entropy in vector space, first we walk through the kinds of structure we 160 measure. The estimation procedure gives us a categorical distribution which describes vector space 161 P(Z) used below.

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$$\mathsf{variation}(Z,\mathsf{set}) = \frac{1}{|\mathsf{set}|} \sum_{|\mathsf{abel}|}^{\mathsf{set}} H(Z|\mathsf{label}) \tag{2}$$

Variation describes how much representations for a label vary in representation space. In the 167 token case this reflects whether a model learns a single context independent representation of the 168 token or a different representation for every sentence it occurs in. We can quantify this in terms of the conditional entropy of space given a label. The resulting quantity is related to intrinsic di-170 mensionality, reflecting how much of representational space is used to represent a given feature in 171 the input, but faster to compute given it requires no pairwise comparisons. We bound this and the 172 regularity measure to lie between 0 and 1 to aid interpretation. 173

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 $\operatorname{regularity}(Z,\operatorname{set}) = \frac{1}{|\operatorname{set}|} \sum_{l=l=1}^{\operatorname{set}} H(Z) - H(Z|\operatorname{label})$ (3)

178 **Regularity** reflects the amount of variation in representation space we can explain by knowing a 179 label. Based on mutual information it's the difference between overall variation in the space H(Z)and the variance in representations for a given label H(Z-label). It reflects how monotonically aligned representation space is with that label. In language regularity is often measured similarly (Smith & Wonnacott, 2010; Ferdinand et al., 2019; Carr et al., 2020) and is used to quantify how 182 syntactically structured a system is. We bound this measure by the entropy of a uniform distribution

disentanglement(Z, set) =
$$H(M) - \sum_{\text{label}}^{\text{set}} P(\text{label})H(Z|\text{label})$$
 (4)

Disentanglement measures whether clusters corresponding to labels within a set are separable, like if different tokens or bigrams are represented in different parts of space. We estimate this with a multi-variate Jensen-Shannon divergence. This requires a mixture distribution M computed by taking the mean of individual label distributions weighted by the probability of the label $M \propto$ $\sum_{\text{label}}^{\text{set}} P(\text{label}) P(Z|\text{label})$. The result is bounded by the entropy of the mixture distribution, which we use to normalise the measure so that as values approach 1 labels are maximally separable in space, and as it approaches 0 all labels in a set occupy the same region of space. This is related to previous measures of entanglement (Chen et al., 2018; Conklin & Smith, 2024) but is faster to compute, and allows labels to contribute proportionally to the estimate based on their probability.

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4 SOFT ENTROPY ESTIMATION

200 There are few approaches to entropy estimation that are sufficiently fast and memory efficient to 201 be applied to large language models. This is frustrating given information theoretic tools are well 202 suited to quantifying complex structures in distributed systems. With soft entropy we introduce an 203 approach that prioritises efficiency, while performing comparably to existing methods. It's worth 204 noting that we focus on estimating the *discrete* entropy rather than differential entropy. We draw 205 inspiration from Jaynes (1957), who notes differential entropy is not the true continuous analog of 206 discrete entropy and proposes the limiting density of discrete points as an alternative. This takes 207 entropy to be the divergence between a distribution and an invariant measure (usually a uniform distribution over the same support); it reflects how 'non-uniform' a distribution is. Our method 208 follows this intuition sampling points uniformly across space, and comparing them with samples 209 from the model. 210

211 We define a mapping between real-valued space and information space, creating a categorical dis-212 tribution that describes a model's representation space. Our estimator returns the entropy of the 213 descriptor distribution a quantity we call *soft entropy* - distinct from the differential entropy of the space. This process is akin to 'plug-in' estimation, where you first fit a distribution then estimate it's 214 entropy - except here the distribution we 'fit' is categorical. Existing approaches to estimating en-215 tropy of vector space often rely on discretisation with clustering, or binning, the approach described here can be seen as a differentiable relaxation of these methods. We do benchmark our approach on reference distributions with known entropy, and show that it performs similarly to clustering and discretisation, these results can be found in appendix A.1.

4.1 FORMALISATION

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Given a set of representations Z with dimensions batch size bs by hidden size h we take the euclidean 222 norm, so they lie on the unit sphere. We then sample points uniformly from the surface of the unit sphere, by drawing n samples from a standard normal and taking their euclidean norm. The resulting 224 points S have dimensions $h \times n$ where n is a hyperparameter controlling the number of points. To 225 assess how close each representation is to each point we take the dot product between Z and S. The 226 result is a cosine similarity, which we pass through a softmax to get a distribution over points for each 227 representation with dimensions $bs \times n$. By summing over the batch dimension and re-normalising we 228 get a single categorical distribution that describes the space P(Z) with dimensionality $1 \times n$. To get 229 a binning based estimate we could treat each point as the center of a bin, and assign representations 230 to the point they're closest to, rather than normalising distances. 231

$$\frac{P(Z)}{1 \times n} \propto \sum \operatorname{softmax} \left(\frac{Z}{|Z|} \cdot \frac{S}{|S|} \right) \tag{5}$$

Because this gives us a categorical distribution, estimation of information-theoretic quantities is straightforward. Soft Entropy of the space follows the equation for shannon entropy: $H(Z) = -P(Z) \log P(Z)$. We can also quantify entropy in subspaces, as opposed to the entire space by applying the estimator in a multi-headed arrangement. We reshape the representations from $bs \times hidden$ to $bs \times head \times \frac{hidden}{heads}$ and the points to $\frac{hidden}{heads} \times heads \times bins$. This allows us to estimate entropy per-head and mean across them.

241 H(Z) reflects how uniformly distributed representations are across angles with respect to the ori-242 gin. It is maximised when representations are uniformly distributed across all 360 degrees, and 243 approaches 0 as representations cluster across an increasingly small subset of angles. This quan-244 tity is related to anisiotropy, where representations lie in a narrow cone relative to the origin, but is 245 dramatically faster to compute than taking pairwise cosine similarities between all representations. 246 We draw a parallel between this measure and clustering based estimates of entropy, where repre-247 sentations are first clustered, then discretised. Here when we project points to the unit sphere we 248 make representations with high cosine similarity, close to each other. To get a clustering estimate we could replace the events in the categorical distribution P(Z) with clusters on the unit sphere rather 249 than uniformly sampled points. In practice sampling points is substantively faster than performing 250 clustering. 251

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4.2 PARAMETERS & COMPUTATIONAL EFFICIENCY

254 Like how discretisation methods are sensitive to the number of bins used, soft entropy is sensitive 255 to number of 'points' although less so than the discrete case: if two representations are close to 256 each other they can't be split into separate 'bins,' given we get a distribution over points for each 257 representation rather than assigning it to one. This means increasing number of points doesn't 258 necessarily have a detrimental effect on mutual information and divergences but can still inflate the 259 estimate. In the experiments presented here we use 50 bins unless otherwise noted. Additionally a 260 softmax is not invariant to linear transformations and the distances from the dot product are bounded 261 between -1 and 1, this can mean the default estimate is relatively high. After testing on reference distributions we opt to rescale the distances to lie between -100 and 100. This scaling factor is a 262 parameter, like the bandwith parameter in kernel density estimation (Parzen, 1962), controlling the 263 spread with respect to each point. 264

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²⁷⁰ 5 EXPERIMENTS

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We use our measures of structure in a mapping, and soft entropy estimation to analyse properties of 273 large language models in three ways. First we look at the how structure develops over the course of 274 training in an encoder-only transformer, analysing 5 different initialisation of BERT over 2 million 275 training steps in section 5.2. In section 5.3 we look at how model size affects representational space 276 in both encoder and decoder only models. Comparing structures inside decoder-only models ranging 277 from 14 million parameters to 12 billion from the Pythia collection of models (Biderman et al., 278 2023). We also look at different sizes of BERT released in (Turc et al., 2019), which allows us to 279 make more precise comparisons varying number of layers, or hidden size independently, rather than just overall parameter count. Finally in section 5.4 we look at the relationship between representation 280 structure and downstream task performance. We use the Multiberts (Sellam et al., 2021), 25 BERT 281 base models that differ only in their initialisation correlating their representation structure at the end 282 of pretraining with performance 2 million steps of fine-tuning later. 283

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285 5.1 ESTIMATING ENTROPY TO ENABLE MODEL COMPARISONS

Making fair comparisons between different models is often challenging given differences in number
 of layers and dimensionalities. Previous information theoretic analyses in deep-learning often report
 estimates for each layer separately (e.g. Shwartz-Ziv & Tishby, 2017b; Voita et al., 2021), which can
 make overall interpretation and comparison difficult. Instead we look at a model's hidden state as
 a single random variable distributed across layers. In practice though larger hidden states will have
 more information, what we want in order to make fair comparisons is a relative entropy estimate,
 reflecting how much information a representation space encodes proportional to its size.

294 To this end we report two different quantities, *layer entropy* and *subspace entropy*. For layer entropy we compute an estimate at each layer, then aggregate mean across them. This lets us directly 295 compare models of the same dimensionalities but differing depths. For subspace entropy we apply 296 the soft entropy estimator in a multi-headed arrangement as described in section 4.1. This lets us 297 break representation spaces into lower dimensional subspaces, in the results here subspace entropy 298 is computed over 32-dimensional spaces across every layer in the model then aggregated. This lets 299 us compare entropies over the same sizes subspace for models with different overall dimensionali-300 ties. While breaking a vector into subspaces may break some cross-dimensional dependencies we 301 believe that this effect is relatively small - results testing this on sample distributions are included 302 with other entropy estimate benchmarking in the appendix A.2.

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5.2 WHEN STRUCTURE EMERGES DURING TRAINING

We look at 5 different initialisations of BERT over the course of 2 million training steps (model checkpoints also released as part of Sellam et al. (2021)). At each checkpoint we compute our 3 structure measures with respect to token, bigram and trigram labels from the wikipedia data. We choose to use these labels because they are known for virtually every text dataset that's fed into a model.

Main findings are shown in figure 2. Overall trajectories for each measure are remarkably similar 312 to the phases of training described in Conklin & Smith (2024), which applied a similar analysis to 313 3 layer encode-decoder transformers trained on a single semantic-parsing task - suggesting some 314 generality to this characterisation of training dynamics in deep-learning. At the start of training 315 (< 100,000 steps) representations quickly align with token-level information, with distinct tokens 316 becoming represented in distinct, disentangled parts of space. Past this point the dynamic shifts 317 as representations begin to contextualise. Token disentanglement drops significantly, while bigram 318 and trigram disentanglement increase. These likely contrast because in order to better represent 319 lower-level information like bigrams, separate tokens need to spread out (variation increases) and 320 overlap (disentanglement decreases). This process of contextualisation is the defining dynamic of 321 the majority of training. Unlike findings in Conklin & Smith (2024), later stages of training are not characterised by overall compression of the space (overall entropy decreasing), this may be 322 a difference between single task models and LLMs or may reflect that BERT was substantively 323 undertrained, as noted in Liu (2019).

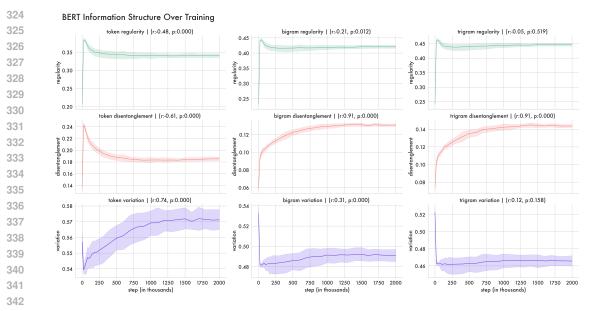


Figure 2: Information Structure with respect to 256,000 sentences from wikipedia over 2 million steps of training. Each line represents the mean of 5 different initialisations of BERT with shading representing 95% confidence intervals. Also included above each facet is a spearman correlation between x and y. Estimates here use layer entropy, given there's no need to compare different dimensionalities

5.3 MODEL SIZE CONDITIONS REPRESENTATIONAL STRUCTURE

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351 How does scale affect representational structure? We look at this in both decoder-only and encoder 352 only models again performing structure estimates using 256,000 sentences from english wikipedia, 353 and labels for token, bigram, and trigram information. Figure 3 shows results for the decoder-only 354 models, with both layer and subspace entropy reported. Both are reported for reference, and to give 355 an intuition to how they relate - but as discussed above layer entropy does not allow a like-for-like 356 comparison between different dimensionalities. As you would expect larger models have higher 357 layer entropy - each layer of the 12b model has 5120 dimensions compared with 128 in the smallest, 358 it would be surprising if they contained the same amount of information. Subspace entropy - which provides a more directly comparable estimate between model sizes - reveals a different pattern with 359 the largest models beginning to compress their representations more, with the 12b version almost 360 matching the subspace entropy of the smallest model. Because the representation space is larger 361 information can be more distributed across space, meaning each subspace can compress more on 362 a relative basis. We draw an analogy to Shannon's source coding model (Shannon, 1948) where 363 meanings are mapped to signals; signal space has two key parameters - signal length and alphabet 364 size. A smaller alphabet has less uncertainty, think of morse code with a binary alphabet where operators only need to tell the difference between a dot and dash. However smaller alphabets require 366 a longer signal - sentences in morse code are far longer than in english - this is the tradeoff for 367 more robust representations. In our subspace entropy analysis larger models have more subspaces, 368 analogous to a longer signal. This can enable compression of each subspace like shrinking the alphabet at each character in a signal, which may help explain their improved performance. 369

370 The top plot in figure 3 plots the proportion of representation space that encodes token, bigram 371 and trigram information and the information we can't explain in terms of any of the labels - the 372 residual. This is estimated by comparing the regularity for each set of labels with the information 373 left over which isn't regular with respect to any label. Looking at the subspace analysis larger models 374 devote more of their representational space to contextual information, and less to token information. 375 They also have more information we can't explain in terms of these labels. That could reflect other information from the training data not explainable in terms of lexical/contextual labels, or it could 376 reflect artefacts not explainable in terms of any label. The middle plot shows disentanglement across 377 model sizes, with larger models subspaces disentangling contextual information more.

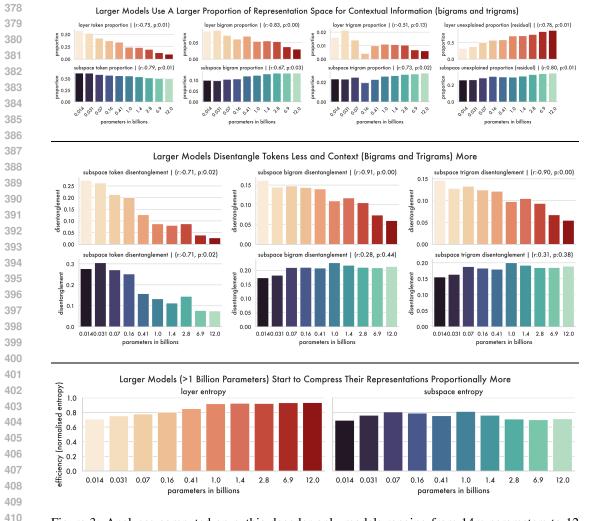


Figure 3: Analyses computed on pythia decoder-only models ranging from 14m parameters to 12 411 billion. Red/Orange bars show mean layer entropy, blue/green bars show mean subspace entropy. 412 Above each plot is a spearman correlation between x and y **Top:** y-axis shows the proportions 413 of representation space that encode token/bigram/trigram information for each model size(on the 414 x-axis). Subspace entropy shows larger models use proportionally more space for token and bigram information. Middle: y-axis shows disentanglement for different model sizes. Subspace entropy 415 shows bigrams are more disentangled in larger models **Bottom:** y-axis shows overall entropy of 416 each model size. While layer entropy increases monotonically with size as expected - subspace 417 entropy begins to compress in larger models. 418

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An issue with the pythia suite of models is that while they differ in size, that difference is driven by 422 changes in both depth and dimensionality¹. In an effort to isolate the effects of these different kinds of scaling we use sets of BERT models released by Turc et al. (2019). Figure 4 shows effects on 424 representational structure for models with a dimensionality of 768, but layers ranging from 2 to 12, 425 and models with 12 layers but dimensionalities from 128 to 768. Overall both kinds of scaling have 426 a similar effect, with dimensionality being much stronger than depth.

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¹It's also worth noting models also differ in the dimensionality of attention heads. this may have an interesting affect on structure but we lack controlled comparisons to draw conclusions.

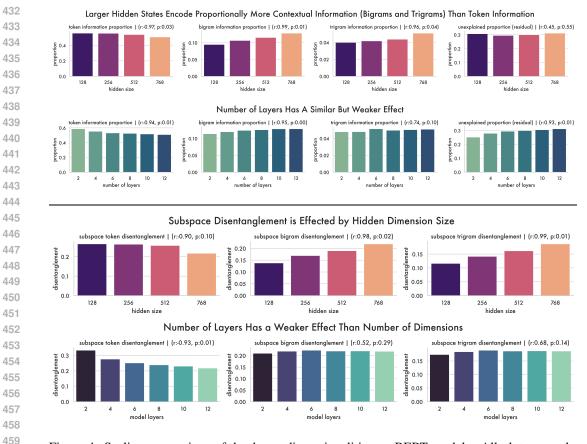


Figure 4: Scaling comparison of depth vs. dimensionalities on BERT models. All plots use subspace entropy, color reflects depth or dimension (both shown on the x axis) – atop plots is a spearman correlation between x and y **Top:** y-axis proportion of representation space that encodes token/bigram/trigram information **Bottom:** disentanglement of label information (y axis) across different sizes

5.4 PREDICTING DOWNSTREAM PERFORMANCE

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We look at spearman correlation coefficients between structural properties of representation space 468 and downstream task performance. In order to isolate as many variables as possible we use the 469 Multibert models (Sellam et al., 2021) which is 25 different initialisations of BERT (Devlin, 2018). 470 By comparing performance between models that differ only in terms of the random seed used to ini-471 tialise them we can have some confidence that effects we measure between representational structure 472 and downstream performance are likely driven by structure rather than model size, training data, or 473 training objective. The Multiberts provide checkpoints at the end of pre-training, and evaluations 474 for fine-tuned versions of each of these across the GLUE benchmarks (Wang, 2018). We take 2.5 475 million sentences sampled randomly from english wikipedia and compute our structure measures 476 with respect to token, bigram, and trigram labels. We correlate representational structure at the end 477 of pre-training with performance on GLUE tasks after fine-tuning. It is important to note that this means we are able to predict which of the models will do better on a downstream task before the 478 models are fine-tuned for 2 million steps on data from that task. As far as we're aware this is the 479 first analysis able to predict downstream performance from pre-training. Additionally the structure 480 measures we use in this correlation are not estimated using data from those benchmarks. Despite 481 the estimate using non-task data, on models 2 million steps of fine-tuning removed from evaluation 482 we still find a number of significant correlations. That we can do this suggests our measures are 483 contentful, and that representational structure has an effect on generalisation performance. 484

485 This analysis tells us two things, whether or not the data used to estimate structure is relevant to the downstream task - and if it is, how that information should be structured. Table 1 shows summary

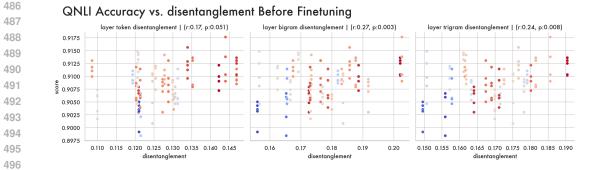


Figure 5: Scatterplots showing model performance on the QNLI benchmark (y-axis) vs. disentanglement at the token bigram and trigram level. As noted in table 1, on QNLI disentanglement with respect to these labels before fine-tuning correlates positively with performance after fine-tuning. Hue indicates variation, red indicating more variation, blue indicating less. As shown by the shift from blue to red as you look left to right, greater disentanglement allows for greater variation. Spearman correlation coefficients between x and y axes included above.

sum across token/	STS-B		MRPC		MNLI		CoLA		QNLI		QQP		SST-2		RTE	
bigram/trigram	r	р	r	р	r	р	r	р	r	р	r	р	r	р	r	р
regularity	0.159	0.077	n/s	n/s	-0.164	0.067	n/s	n/s	0.29	0.001	0.264	0.003	-0.204	0.022	n/s	n/
disentanglement	0.17	0.059	n/s	n/s	n/s	n/s	n/s	n/s	0.256	0.004	0.169	0.06	-0.309	0.0	n/s	n/
variation	0.201	0.024	n/s	n/s	-0.287	0.001	n/s	n/s	0.307	0.0	0.233	0.009	n/s	n/s	n/s	n/

Table 1: Summary Spearman Correlations between representational structure across 25 different initialisations of BERT at the end of pre-training (before fine-tuning) and downstream task performance on GLUE benchmarks (after 2M steps of fine-tuning). For readability correlations with p values > 0.1 are labelled as not significant (n/s). Positive correlations indicate models which are more structured with respect to the wikipedia data used to estimate the measures perform better. Negative correlations indicate models that preserve less information from wikipedia perform better. n/s indicates structure with respect to the data used is not relevant to this task's performance

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correlations between pretraining structure and post-finetuning performance - for brevity values are 519 summed across token/bigram/trigram levels before correlating, full correlations at all levels of anal-520 ysis can be found in appendix A.3. Information structure with respect to wikipedia data - which is what our analysis provides - correlates positively with performance on STS-B, QNLI, QQP, for other tasks it is either slightly negative or not significant. Non significance suggests the data used to generate the estimate is unlikely to be relevant to the task. Figure 5 visualises the relationship between disentanglement and performance on QNLI, with higher disentanglement at all levels being related to performance, but with bigram and trigram being related more strongly.

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CONCLUSION 6

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531 We've introduced a set of measures for thinking about and describing structure in large language 532 models information theoretically. This approach can show how representations become structured 533 over the course of training, how that structure is influenced by model scale, and what structural 534 properties correlate with downstream performance. It's backboned by a new scalable, performant, and differentiable approach to entropy estimation, that can be applied at the subspace level to en-536 able like-to-like comparisons between models of different sizes. We related the structural properties 537 found here to structures in linguistics, and Shannon's model of communication in an effort to contextualise these structures in terms of other areas of science. We think that continued work mapping 538 large language models to spaces and measures about which we have stronger intuitions than vector 539 space is crucial in helping us understand, interpret, and improve models going forward.

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A APPENDIX

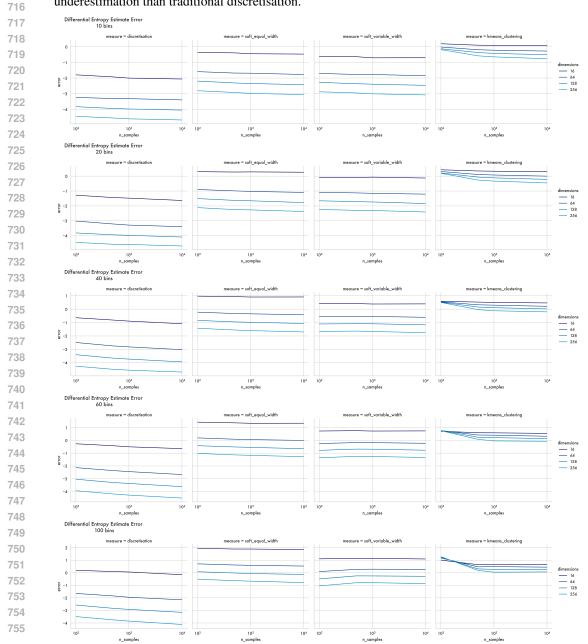
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A.1 BENCHMARKING: SOFT ENTROPY VS. KMEANS CLUSTERING VS. DISCRETISATION

708 We compare our estimator (the two columns in the middle with soft in the title) with fully discretising 709 the space and with kmeans clustering. Samples are drawn from a normal distribution with random 710 covariance matrix. To compare the discretised estimator with the closed form differential entropy we 711 use the histogram estimator of differential entropy to convert between them. This requires dividing the closed form by dimensionality, given we describe the space with a single categorical distribution. 712 Error with respect to the closed form is shown on the y axis, for samples ranging from 100 to 10000 713 to give an idea of sample efficiency. Each line is the mean of 1000 runs of the simulation each 714 with a different randomly generated normal. Note that our approach suffers from substantially less 715 underestimation than traditional discretisation.

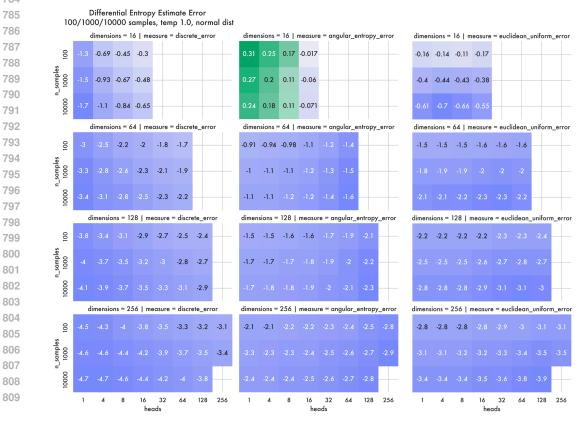


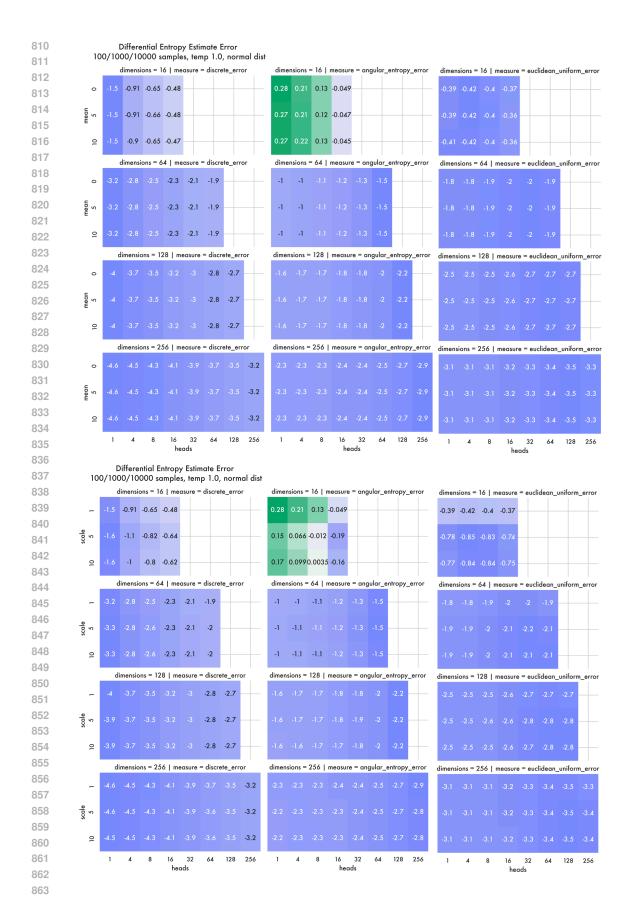
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A.2 BENCHMARKING: EFFECT OF NUMBER OF HEADS, MEAN AND SCALE

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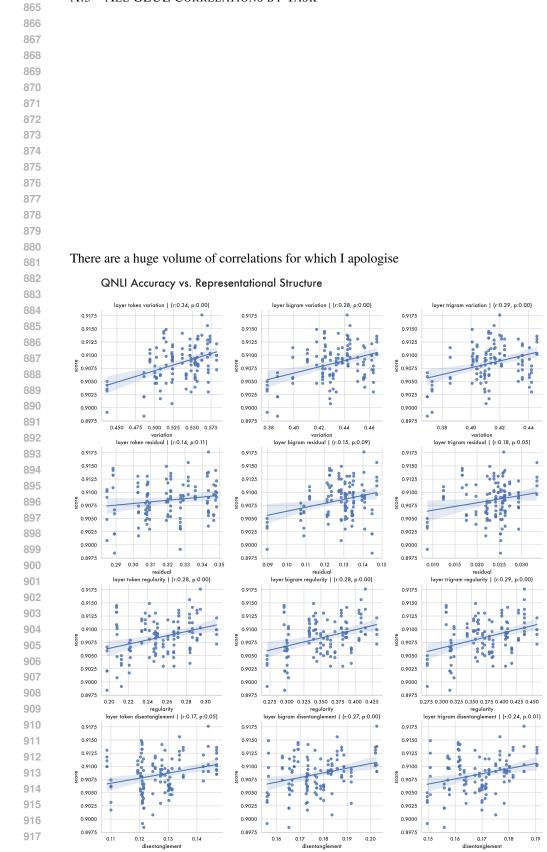
ent levels of subspacing. Angular entropy is the version that appears in the paper, discrete follows the methods of soft entropy estimation but then 778 argmaxes to assign representations to a single point on the sphere. We also include a version that 779 uses euclidean distances instead of the cosine-sphere comparison used in the paper. In principle this is nice because models also represent information topographically, encoding meaning in magnitude 780 as well as angle in representational space. In practice euclidean distances end up being dramatically 781 less memory efficient (and a factor of 4 slower to compute) than cosine similarities when using 782 built-in pytorch methods. This means for scalability reasons we elected to only focus on the cosine 783 case. 784

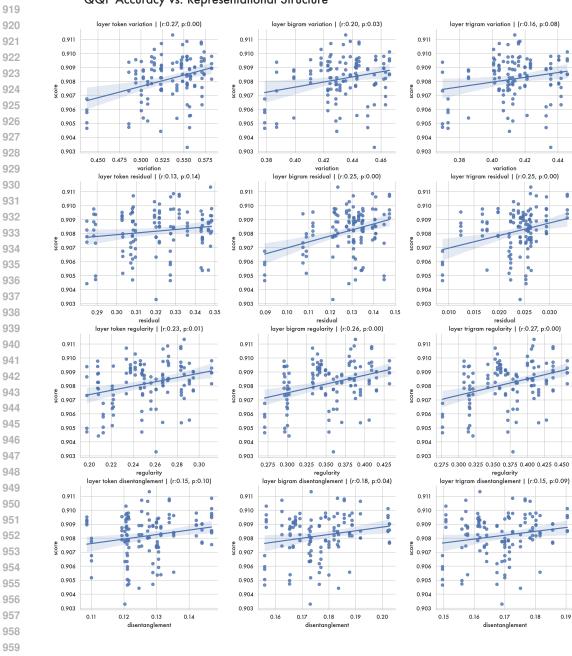




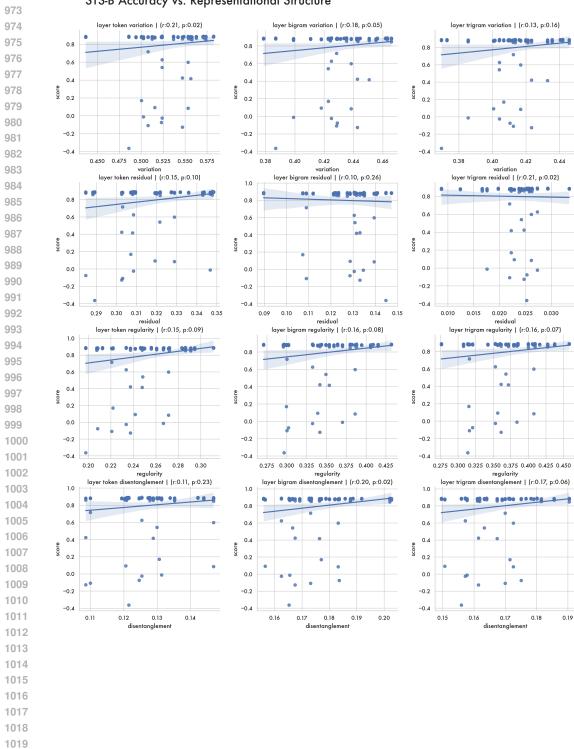


A.3 ALL GLUE CORRELATIONS BY TASK

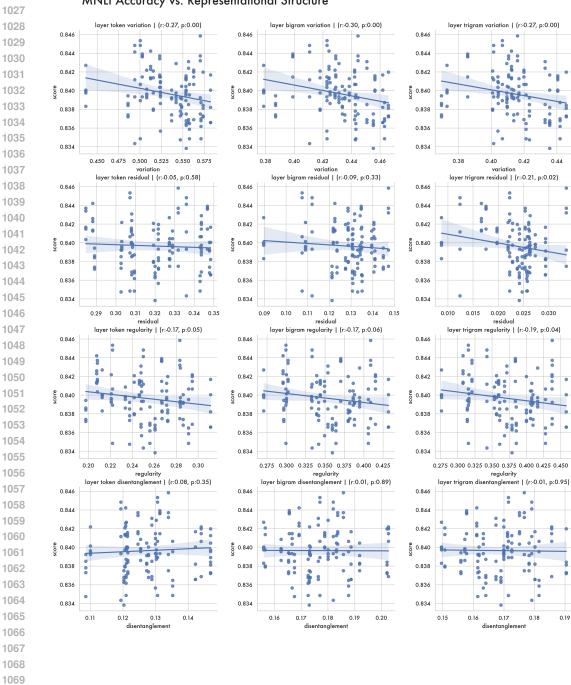


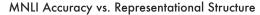


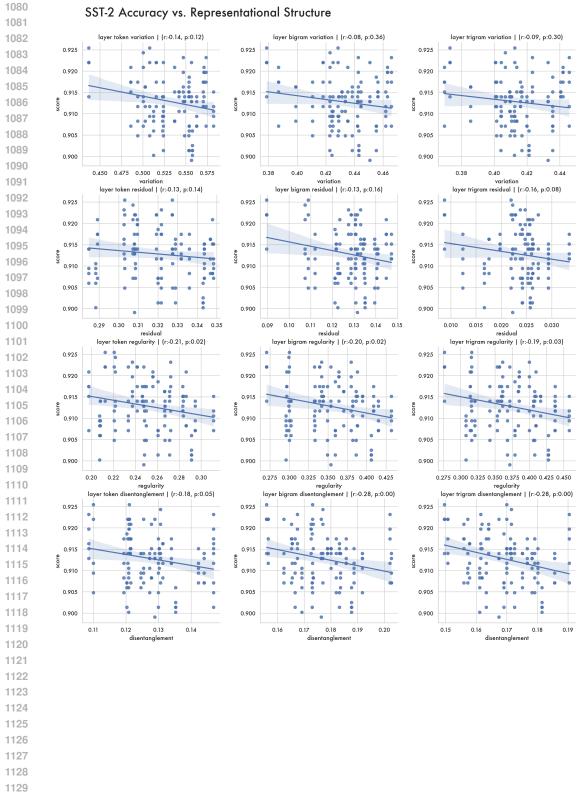
QQP Accuracy vs. Representational Structure

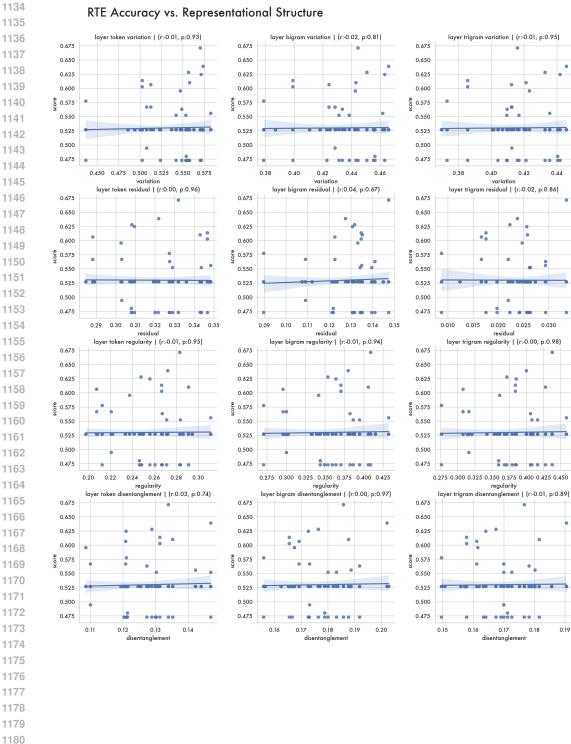


STS-B Accuracy vs. Representational Structure

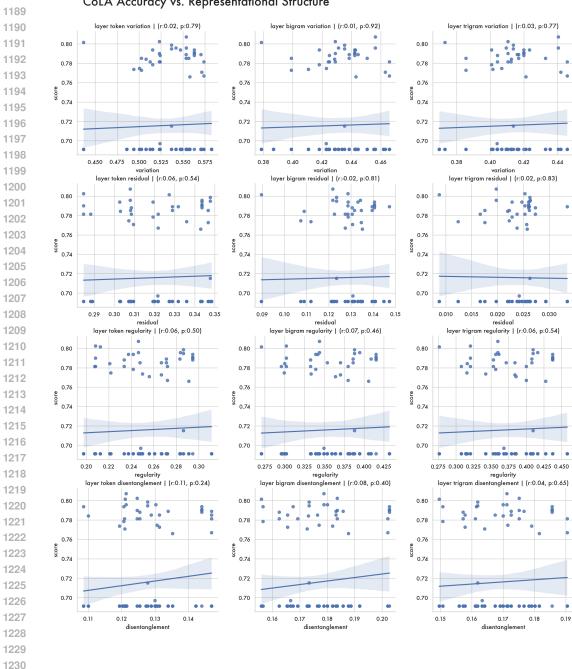








RTE Accuracy vs. Representational Structure



CoLA Accuracy vs. Representational Structure



MRPC Accuracy vs. Representational Structure