INSTANCE-AWARE GRAPH PROMPT LEARNING

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Paper under double-blind review

ABSTRACT

Graph neural networks stand as the predominant technique for graph representation learning owing to their strong expressive power, yet the performance highly depends on the availability of high-quality labels in an end-to-end manner. Thus the pretraining and fine-tuning paradigm has been proposed to mitigate the label cost issue. Subsequently, the gap between the pretext tasks and downstream tasks has spurred the development of graph prompt learning which inserts a set of graph prompts into the original graph data with minimal parameters while preserving competitive performance. However, the current exploratory works are still limited since they all concentrate on learning fixed task-specific prompts which may not generalize well across the diverse instances that the task comprises. To tackle this challenge, we introduce Instance-Aware Graph Prompt Learning (IA-GPL) in this paper, aiming to generate distinct prompts tailored to different input instances. The process involves generating intermediate prompts for each instance using a lightweight architecture, quantizing these prompts through trainable codebook vectors, and employing the exponential moving average technique to ensure stable training. Extensive experiments conducted on multiple datasets and settings showcase the superior performance of IA-GPL compared to state-of-the-art baselines.

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1 INTRODUCTION

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Graphs function as pervasive data structures employed across various real-world applications, including but not limited to social networks Guo & Wang (2020); Liu et al. (2021c), molecular
structures Mercado et al. (2021); Guo et al. (2021), and knowledge graphs Liu et al. (2021a); Ye et al.
(2022), due to their efficacy in modeling intricate relationships. With the rise of deep learning, Graph
Neural Networks (GNNs) have emerged as a formidable technique for analyzing graph data.

034 Nevertheless, GNNs trained end-to-end exhibit a strong dependency on large-scale high-quality 035 labeled data for supervision, which can be challenging or costly to obtain in real-world scenarios. To overcome this challenge, researchers have explored self-supervised or pre-trained GNNs Zhu 037 et al. (2021); Jin et al. (2020); Xia et al. (2022); You et al. (2020a) inspired by the advancements in 038 vision Fan et al. (2021) and language Bao et al. (2021) domains. The pre-training methodologies using readily accessible label-free graphs aim to capture intrinsic graph properties (e.g., node features, node connectivity, or sub-graph pattern) that exhibit generality across tasks and graphs within a given 040 domain. The acquired knowledge is then encoded in the weights of pre-trained GNNs. When it comes 041 to downstream tasks, the initial weights can be efficiently refined through a lightweight fine-tuning 042 step, leveraging a limited set of task-specific labels. However, as discussed in Sun et al. (2023a), the 043 "pre-train and fine-tuning" paradigm is susceptible to the negative transfer problem. 044

Specifically, pre-trained GNN models focus on preserving the intrinsic graph properties, while fine-tuning seeks to optimize the weights on the downstream tasks, which may significantly differ from the pretext tasks employed in pre-training. For instance, consider the scenario where a GNN is pre-trained using link prediction objective Kipf & Welling (2016b), a prevalent pretext task that aims to bring the representations of adjacent nodes closer in latent space. Subsequently, fine-tuning is performed using the node classification objective. In such a case, the model might exhibit suboptimal performance or even break down, especially if the graph dataset is heterophilic, where adjacent nodes may have different labels.

053 Consequently, in an effort to narrow the gap between pre-training and downstream tasks, several exploratory graph prompting learning frameworks Liu et al. (2023); Sun et al. (2023a); Fang

et al. (2023) have been introduced. The concept of prompt tuning initially found application in the language domain Liu et al. (2022a); Li & Liang (2021a); Bhardwaj et al. (2022). In general, a piece of fixed or trainable prompt text is appended to the input text, aligning the down-stream task with the text generation capabilities of pre-trained large language models (LLMs).

058 This approach not only preserves performance but also contributes to a reduction in training resource 060 consumption. In the graph do-061 main, prompt learning has re-062 cently demonstrated its poten-063 tial as an alternative to fine-064 tuning, exemplified by methods 065 such as GPPT Sun et al. (2022), 066 GraphPrompt Liu et al. (2023), 067 GPF Fang et al. (2023), and All-068 in-One Sun et al. (2023a). Sim-069 ilar to language prompts, these methods modify the original in-

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Figure 1: Two example molecules from the BBBP dataset. Molecule (a) with simple structures suffices with a universal prompt. However, molecule (b) with diverse atoms and intricate structures requires the use of instance-aware prompts.

put graphs into prompted graphs which are further fed into frozen pre-trained graph models. The 071 distinctions among these methods lie in the approach of inserting prompts into graphs and detailed 072 training strategies. Nevertheless, the existing graph prompt learning approaches collectively operate 073 under an assumption: that the learned task-specific prompts perform well across all input instances 074 within the task. In other words, these prompts are considered static concerning the input, a limitation 075 that we deem critical. We argue that the dependency of prompts on the input instance is an essential 076 characteristic that aids in generalization over unseen samples, both in-domain and out-of-domain. 077 Using two molecules from the BBBP dataset as an example, as shown in Figure 1, for molecule (a), it is acceptable to use one universal prompt vector for all the atoms (nodes). However, for molecule (b) 079 with complex structures, it is evident that these highlighted atoms with red circles (i.e., S, C, and N), contain distinct features and should be prompted in different ways.

081 To this end, our paper delves into the exploration of instance-aware prompt learning for the graph 082 domain. This non-trivial research problem raises two questions: (1) what model should we use 083 to generate instance-aware prompts with additional use of a minimal number of parameters? It 084 is important to identify an effective and parameter-efficient method to transform the feature space 085 into the prompt space, as the primary advantage of prompting lies in the minimization of trainable 086 parameters. (2) how can we ensure the instance-aware prompts are meaningful and distinctive as expected? Employing parameterized methods for prompt generation runs the risk of converging to 087 trivial solutions, where all prompts collapse into a singular solution. Consequently, guaranteeing the 088 generation of diverse and meaningful prompts becomes a pivotal aspect of the entire pipeline. 089

090 In response to these challenges, we introduce a novel instance-aware graph prompt learning framework 091 named IA-GPL designed to generate distinctive prompts for each instance by leveraging its individual 092 information. Specifically, to tackle the first question, we feed the representations of the input instance into parameterized hypercomplex multiplication (PHM) layers Zhang et al. (2020) which transform 093 the feature space into the prompt space with minimal parameters. To solve the second question, we resort to the vector quantization (VQ) GRAY (1998) technique. VQ discretizes the continuous space of intermediate prompts, mapping each prompt to a set of learnable codebook vectors. The mapped 096 vectors after VQ then replace the original prompts and are incorporated into the original features. For the training of codebooks, the exponential moving average technique is utilized to prevent the model 098 from converging to trivial solutions. To summarize, our main contributions are as follows:

- We propose IA-GPL, a novel instance-aware graph prompting framework. To the best of our knowledge, IA-GPL is the first graph prompting method capable of generating distinct prompts based on different instances within the dataset.
 - In IA-GPL, we utilize a parameter-efficient bottleneck architecture for prompt generation followed by the vector quantization process via a set of codebook vectors and the exponential moving average technique to ensure effectiveness and stability.
- We conduct extensive experiments under different settings to evaluate the performance of IA-GPL. Our results demonstrate its superiority over other state-of-the-art competitors.

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Figure 2: Comparison between different paradigms of graph representation learning.

2 RELATED WORK

125 Due to space limit, an extended related work section is provided in Appendix B. As mentioned in 126 the introduction, to mitigate the reliance on graph labels, numerous GNN pre-training techniques 127 have been proposed which can be implemented at node-level, edge-level, or graph-level Zhu et al. 128 (2021); Jin et al. (2020); You et al. (2020a). Since fully fine-tuning the pre-trained GNN models may 129 cause the negative transfer problem between pre-training tasks and downstream tasks, prompt-based 130 methods Sun et al. (2023a); Liu et al. (2024), emerged to mitigate this issue. Existing graph prompt 131 learning methods either integrate a prompt graph into the original graph Sun et al. (2023a) or inject 132 a feature vector Fang et al. (2023) into the original features. However, these approaches do not differentiate between input instances and process them uniformly, which could be sub-optimal in 133 certain scenarios. Note that a concurrent work GPF-plus does incorporate different prompts for 134 different nodes using the attention mechanism. However, we believe that our method has several 135 advantages over GPF-plus: (1) our method includes a lightweight down- and up-sample projector 136 model that transforms the node hidden representations to another prompt vector space, while GPF-137 plus directly computes attention in the original feature space and then averages the weighted candidate 138 prompts. An additional alignment between these two spaces is beneficial for the disentanglement of 139 distinct information. (2) instead of using original node features to compute similarities, we use node 140 features after the frozen GNN, which contain rich neighbor-aware information, further aiding in the 141 prompt generation process. 142

Thus, in this work, we propose IA-GPL, a novel methodology designed to address the aforementioned issue by generating instance-aware prompts using the distinctive features in individual instances.

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3 PRELIMINARIES

148 Graphs. Let $G = (V, E, \mathbf{X}, \mathbf{A})$ represent an undirected and unweighted graph, where V is the set of **149** nodes and E is the set of edges. $\mathbf{X} \in \mathbb{R}^{|V| \times d}$ is the node feature matrix where the *i*-th row \mathbf{x}_i is the **150** *d*-dimensional feature vector of node $v_i \in V$. $\mathbf{A} \in \mathbb{R}^{|V| \times |V|}$ denotes the binary adjacent matrix with **151** $\mathbf{A}_{i,j} = 1$ if $e_{i,j} \in E$ and $A_{i,j} = 0$ otherwise. $\mathcal{N}(v)$ denotes the neighboring set of node v.

Graph Neural Networks. Generally, GNNs with a message-passing mechanism can be divided into two steps. First, the representation of each node is updated by aggregating messages from its local neighboring nodes. Second, the aggregated messages are combined with the node's own representation. Given a node v, these two steps are formulated as:

$$m_v^{(l)} = \text{AGGREGATE}^{(l)} \{ h_v^{(l-1)}, \forall u \in \mathcal{N}(v) \},$$
(1)

$$h_{v}^{(l)} = \text{COMBINE}^{(l)} \{ h_{v}^{(l-1)}, m_{v}^{(l)} \},$$
(2)

where $m_v^{(l)}$ and $h_v^{(l)}$ denote the message vector and representation of node v in the *l*-th layer, respectively. In the first layer, h_v^0 is initialized as the node features **X** and the output of the last layer h_v^l can be used in downstream tasks.

162 **GNN Pre-training and Fine-tuning.** Given a pre-trained GNN model $f_{\theta}(\cdot)$ parameterized 163 by θ , a learnable projection head parameterized by ϕ and a downstream graph dataset \mathcal{G} = 164 $\{(G_1, y_1), (G_2, y_2), \dots, (G_n, y_n)\}$, we update the parameters of the pre-trained model and the 165 projection head to maximize the likelihood of predicting the correct labels Y of the dataset \mathcal{G} :

$$\max_{\theta \neq \phi} P_{\theta,\phi}(Y|\mathcal{G}). \tag{3}$$

Specifically, if we only update the parameters of the projection head, it is referred to as linear probing:

$$\max_{\phi} P_{\theta,\phi}(Y|\mathcal{G}). \tag{4}$$

172 Graph Prompt Learning. Compared with fine-tuning, prompt learning introduces a prompt gen-173 eration model that aims to obtain a prompted graph $g_{\Phi}: G \to G$ parameterized by Φ . This model 174 transforms an input graph G to a prompted graph $q_{\Phi}(G)$ which replaces the original graph and is 175 fed into the pre-trained graph model as normal. The pre-trained graph model is fixed while only the parameters of the projection head and the prompt generation model are updated: 176

$$\max_{\phi,\Phi} P_{\theta,\phi}(Y|g_{\Phi}(\mathcal{G})\}).$$
(5)

A visual comparison of these methods is presented in Figure 2. Note that, unlike other prevailing prompting frameworks that employ a universal prompt, our model integrates instance-aware prompts.

METHODOLOGY 4

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185 In this section, we introduce the proposed framework of IA-GPL, as depicted in Figure 3. Firstly, we present a conceptual overview of the entire framework in section 4.1. Subsequently, we delve into the key components of IA-GPL - a lightweight bottleneck architecture consisting of PHM layers in section 4.2, a prompt quantization process via a set of codebook vectors in section 4.3, and model 188 optimization with the exponential moving average technique in section 4.4. 189

4.1 NAIVE APPROACH 191

192 To generate prompts associated with input instances, the first step involves obtaining specific repre-193 sentations of these instances. So naturally we employ the pre-trained graph model $f_{\theta}(\cdot)$ as an encoder 194 to generate the hidden embeddings: 195

$$\mathbf{H} = f_{\theta}(G), \quad z = \text{READOUT}(\mathbf{H}), \tag{6}$$

197 where $G = (\mathbf{X}, \mathbf{A})$ is the input graph, $\mathbf{H} \in \mathbb{R}^{|V| \times d}$ is the obtained node representations and $z \in \mathbb{R}^d$ is the graph representation after READOUT operation. 199

In IA-GPL, we consider node-level instance-aware prompts which means we generate different 200 prompts for each node in the graph, as we unify different tasks into a general graph-level task 201 following Sun et al. (2023a); Liu et al. (2024). Thus, after getting the node representations H, we 202 employ an efficient bottleneck multi-layer perceptron architecture as the prompt generation model 203 to transform them into the prompt space. Specifically, we first project $\mathbf{H} \in \mathbb{R}^{|V| \times d}$ from d to d' 204 dimensions (d' < d) followed by a nonlinear function. Then it is projected back to d dimensions to 205 get instance-aware prompts $\mathbf{P} \in \mathbb{R}^{|V| \times d}$, matching the same shape as X so that they can be added 206 back to the original node features. Mathematically it can be formulated as: 207

> $g_{\Phi}(\cdot) = \text{UPPROJECT}(\text{ReLU}(\text{DOWNPROJECT}(\cdot))),$ (7)

$$\mathbf{P} = g_{\Phi}(\mathbf{H}), \quad \mathbf{X}_{\mathbf{p}} = \mathbf{X} + \mathbf{P},$$

(8)

211 where $g_{\Phi}(\cdot)$ represents the prompt generation model, X is the original node features while X_{p} 212 is the prompted node features which contain instance-dependent information. By far, we have 213 established a general yet naive instance-aware prompt learning framework by replacing $G = (\mathbf{X}, \mathbf{A})$ with $G_p = (\mathbf{X}_p, \mathbf{A})$, and train the prompt generation model and the projection head using the 214 back-propagation algorithm. To expand on this simple concept, the following sections will elaborate 215 on the details of the lightweight prompt generation model and the optimization process.



4.2 LIGHTWEIGHT BOTTLENECK ARCHITECTURE

The prompt generation model which transforms **H** from the feature space into the prompt space consists of a down-sample projector and an up-sample projector. Instead of the common option, FCN layers, we adopt PHM layers Zhang et al. (2020) which are more parameter-efficient.

FCN layers. One straightforward approach for implementing these two projectors is through fully connected layers (FCNs) which transform an input $\mathbf{x} \in \mathbb{R}^d$ into an output $\mathbf{y} \in \mathbb{R}^k$ by:

$$\mathbf{y} = FC(\mathbf{x}) = \mathbf{W}\mathbf{x} + \mathbf{b},\tag{9}$$

where the weight matrix $\mathbf{W} \in \mathbb{R}^{k \times d}$ and the bias vector $\mathbf{b} \in \mathbb{R}^k$ are trainable parameters. We can control the number of parameters by controlling the hidden dimension d', but it is a trade-off between performance and efficiency. In other words, it contradicts the original objective of prompt learning, which aims to reduce the number of trainable parameters, if we set d' large to maintain performance. **PHM layers.** To mitigate this problem, we turn to parameterized hyper-complex multiplication (PHM) layers as a compromise solution which can also be written in the similar way:

$$\mathbf{y} = \mathrm{PHM}(\mathbf{x}) = \mathbf{M}\mathbf{x} + \mathbf{b},\tag{10}$$

where the replaced parameter matrix $\mathbf{M} \in \mathbb{R}^{k \times d}$ is constructed by a sum of Kronecker products of several small matrices. The Kronecker product $\mathbf{X} \otimes \mathbf{Y}$ is defined as a block matrix:

$$\mathbf{X} \otimes \mathbf{Y} = \begin{bmatrix} x_{11}Y & \dots & x_{1n}Y \\ \vdots & \ddots & \vdots \\ x_{m1}Y & \dots & x_{mn}Y \end{bmatrix} \in \mathbb{R}^{mp \times nq},$$
(11)

where x_{ij} is the element of $\mathbf{X} \in \mathbb{R}^{m \times n}$ at its *i*-th row and *j*-th column and $\mathbf{Y} \in \mathbb{R}^{p \times q}$. Given a user-defined hyperparameter $n \in \mathbb{Z}_{>0}$, for i = 1, 2, ..., n, let each parameter matrix be denoted as $\mathbf{A}_i \in \mathbb{R}^{n \times n}$ and $\mathbf{S}_i \in \mathbb{R}^{\frac{k}{n} \times \frac{d}{n}}$. Finally the parameter \mathbf{M} is calculated by:

$$\mathbf{M} = \sum_{i=1}^{n} \mathbf{A}_{i} \otimes \mathbf{S}_{i}.$$
 (12)

By replacing W with M, the number of trainable parameters is reduced to $n \times (n \times n + \frac{m}{n} \times \frac{d}{n}) = n^3 + \frac{m \times d}{n}$. As *n* is usually set as a small number (e.g., 2, 4, 8), the parameter size of a PHM layer is approximately $\frac{1}{n}$ of that of an FCN layer.

In the case of our approach, after we have node representations **H** through the pre-trained graph model, we feed them into the parameter-efficient PHM layers instead of standard FCN layers to generate instance-aware intermediate prompts \mathbf{P}_c .

270 4.3 PROMPT QUANTIZATION 271

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272 Directly using \mathbf{P}_c as prompts suffers from the high variance problem since there is no explicit 273 constraint in the PHM layers, thus Vector Quantization (VQ) GRAY (1998) is utilized to discrete the intermediate prompt space P_c to P_q . VQ is a natural and widely used method in signal processing 274 and data compression that represents a set of vectors by a smaller set of representative vectors. This 275 approach not only helps reduce the high variance caused by the PHM layers but also clusters similar 276 hidden prompt representations together to provide the beneficial property of clustering. 277

Specifically, we maintain K trainable codebook vectors $\mathbf{E} = (\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_k) \in \mathbb{R}^{k \times d}$ shared across 278 all the intermediate prompts \mathbf{P}_c . For every prompt $\mathbf{p}_c \in \mathbf{P}_c$, we sample M codebook vectors from 279 E corresponding to \mathbf{p}_c to obtain the quantized \mathbf{p}_q . Please note that the quantization process for 280 each intermediate prompt \mathbf{p}_c operates independently of other prompts. In detail, we first compute 281 the squared Euclidean distance d_c^i between the prompt \mathbf{p}_c and every codebook vector \mathbf{e}_i , and the 282 corresponding sampling logits l_c^i : 283

$$d_{c}^{i} = \|\mathbf{p}_{c} - \mathbf{e}_{i}\|_{2}^{2}, \quad l_{c}^{i} = -\frac{1}{\tau}d_{c}^{i},$$
(13)

286 where τ is a temperature hyperparameter used to control the diversity of the sampling process. Then we sample M latent codebook vectors with replacement for prompt \mathbf{p}_c from a Multinomial 288 distribution over the logits l_c^i :

$$z_c^1, z_c^2, \dots, z_c^M \sim \text{Multinomial}(l_c^1, l_c^2, \dots l_c^K).$$
(14)

Finally, the quantized prompt p_q can be computed by averaging over the M sampled vectors:

$$\mathbf{p}_q = \frac{1}{M} \sum_{i=1}^M \mathbf{e}_{z_c^i}.$$
(15)

After the VQ process, we ensure that for semantically similar instances, the quantized prompts will 296 also have similar representations by treating VQ as a clustering mechanism. In the meanwhile, the 297 limited set of learnable codebook vectors explicitly constrains the information capacity of prompt 298 representations \mathbf{p}_q , reducing the variance w.r.t. the output of PHM layers, \mathbf{p}_c . 299

Notably, we also introduce a learnable instance-agonist prompt \mathbf{p}_s which is shared across all instances 300 and incorporated into each quantized prompt p_a to have the final prompts p_f : 301

$$\mathbf{p}_f = \mathbf{p}_q + \beta \mathbf{p}_s,\tag{16}$$

303 where β is a balancing hyperparameter. This allows us to effectively fuse the learned information 304 from the input-dependent aspects captured by \mathbf{p}_{q} with the input-agnostic prompt \mathbf{p}_{s} . 305

In summary, given the high-variance prompts \mathbf{p}_c after PHM layers in the last section, the application of VQ discretizes them into robust quantized prompts \mathbf{p}_q that encapsulate intrinsic clustering property.

4.4 MODEL OPTIMIZATION

310 The PHM layers $PHM(\cdot)$, instance-independent static prompt \mathbf{p}_s , codebook vectors \mathbf{E} and the 311 projection head ϕ comprise the trainable parameters while we freeze the pre-trained GNN backbone 312 $f_{\theta}(\cdot)$. The loss function is defined as: 313

> $\mathcal{L} = \mathcal{L}_{CE}(Y, Y_p) + \lambda \sum_{i=1}^{n} \|\mathbf{p}_{q_i} - \mathbf{p}_{c_i}\|_2^2,$ (17)

which consists of two parts: (1) Cross-entropy loss between the ground truth Y and the predicted 317 labels Y_p with prompted graphs as input. (2) Consistency loss that encourages the quantized prompts 318 \mathbf{p}_{a} to be consistent with the intermediate prompts \mathbf{p}_{c} after PHM layers for all the *n* instances (nodes) 319 in the graph. These two terms collectively aim to preserve performance while minimizing information 320 loss during the vector quantization process. λ is a hyperparameter used to balance the two loss terms 321 which is set to 0.01. 322

However, a potential limitation of directly training the model using back-propagation (BP) is repre-323 sentation collapse where all prompts become a constant embedding that disregards the input, causing

our model to degrade to GPF Fang et al. (2023). Thus to solve this problem, we still use the standard BP algorithm to update the PHM layers $PHM(\cdot)$, instance-independent static prompt \mathbf{p}_s and the projection head ϕ but adopt the exponential moving average (EMA) strategy to update the codebook vectors \mathbf{E} following Angelidis et al. (2021); Roy et al. (2018). Specifically, for each batch in the training process, we perform the following two steps:

Step 1: Count the number of times the *j*-th codebook vector is sampled and update the count c_j :

$$c_{j_{(new)}} = \alpha \cdot c_{j_{(old)}} + (1 - \alpha) \cdot \sum_{i=1}^{n} \sum_{k=1}^{m} \mathbb{I}[\mathbf{e}_{z_{i}^{k}} = \mathbf{e}_{j}].$$
 (18)

Step 2: Update the embedding of *j*-th codebook vector \mathbf{e}_j by calculating the mean of PHM layer outputs for which that codebook vector was sampled during Multinomial sampling:

$$\mathbf{e}_{j_{(new)}} = \alpha \cdot \mathbf{e}_{j_{(old)}} + (1 - \alpha) \cdot \sum_{i=1}^{n} \sum_{k=1}^{m} \frac{\mathbb{I}[\mathbf{e}_{z_i^k} = \mathbf{e}_j]\mathbf{p}_i^c}{c_j},\tag{19}$$

where *n* and *m* stand for batch size and sample number, α is a hyperparameter set to 0.99 and $\mathbb{I}[\cdot]$ is the indicator function. By incorporating the EMA mechanism, we can avoid the representation collapse problem and also obtain a more stable training process than gradient-based methods.

5 EXPERIMENTS

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5.1 EXPERIMENTAL SETUP

Tasks and datasets. We evaluate IA-GPL using both node-level and graph-level tasks. Following Sun et al. (2023a); Liu et al. (2024), we unify these tasks into a general graph-level task by generating local subgraphs for the nodes of interest. For graph-level tasks, we use eight molecular datasets from MoleculeNet Wu et al. (2018). For node-level tasks, we use three citation datasets from Yang et al. (2016). These datasets vary in *size, labels, and domains*, serving as a comprehensive benchmark for our evaluations. A comprehensive description of these datasets can be found in Appendix C.

354 **Baselines.** To evaluate the effectiveness of IA-GPL, we compare it with state-of-the-art approaches 355 across three primary categories. (1) Supervised learning: we employ GCN Kipf & Welling (2016a), 356 GraphSAGE Hamilton et al. (2017) and GIN Xu et al. (2018). The base models and the projection 357 head are all trained end-to-end from scratch. (2) Pre-training and fine-tuning: The base model 358 is pre-trained using edge prediction Jin et al. (2020) for molecular datasets and graph contrastive learning You et al. (2020a) for citation datasets. For the complete fine-tuning (FT), the pre-trained 359 model is fine-tuned along with the projection head. For linear probing (LP), we freeze the pre-trained 360 model and exclusively train the projection head. (3) Prompt learning: All in One Sun et al. (2023a), 361 GPF Fang et al. (2023) and GPF-plus Fang et al. (2023) are included. They all freeze the pre-trained 362 base model while training the projection head and their respective prompt generation models. 363

Settings and implementations. To evaluate the performance of IA-GPL in both in-domain and 364 out-of-domain scenarios, we split the molecular datasets in two distinct manners: random split and scaffold split. Scaffold split is based on the scaffold of the molecules so that the train/val/test set is 366 more structurally different, making it appropriate for evaluating the model's generalization ability. In 367 contrast, the random split is used to assess the model's in-domain prediction ability. We test IA-GPL 368 using 5 different pre-training strategies: edge prediction Jin et al. (2020) (denoted as EdgePred), Deep 369 Graph Infomax Veličković et al. (2018) (denoted as InfoMax), Attribute Masking Hu et al. (2020a) 370 (Denoted as AttrMasking), Context Prediction Hu et al. (2020b) (Denoted as ContextPred) and Graph 371 Contrastive Learning You et al. (2020b) (Denoted as GCL) methods to demonstrate our model's 372 robustness. We report results in both full-shot and few-shot settings, utilizing the ROC-AUC score as 373 the metric. The few-shot setting is tested because prompt learning with fewer parameters is naturally 374 less susceptible to the risk of overfitting when given limited supervision. We perform five rounds of 375 experiments and report the mean and standard deviation. GCN is adopted as our backbone model. For 376 the baselines, based on the authors' code and default settings, we further tune their hyperparameters to optimize their performance. Additional implementation details are provided in Appendix E. The 377 anonymous source code is publicly available at https://anonymous.4open.science/r/IA-GPL-ICLR25.

Table 1: 50-shot ROC-AUC (%) performance comparison on molecular prediction benchmarks
 using random split. Bold numbers represent the best results in the graph prompting field (shaded
 region) to which our method belongs. <u>Underlined numbers</u> represent the best results achieved by
 other methods.

Tuning Strategies	Methods	BBBP	Tox21	ToxCast	SIDER	ClinTox	BACE	HIV	MUV	Avg.
Supervised	GIN GCN GraphSAGE	80.20±1.70 83.97±0.86 80.72±1.37	64.55±1.14 64.65±0.73 63.91±1.08	53.77±2.32 51.35±1.43 52.09±0.43	52.11±1.51 48.54±0.75 49.14±1.19	52.68±4.62 59.22±2.64 59.57±2.40	69.14±1.17 71.91±1.74 71.33±0.97	62.87±2.52 59.91±1.06 61.06±1.34	49.17±5.92 50.85±4.02 53.08±5.38	60.56 61.3 61.36
Pre-training+ Fine-tuning	Linear Probing Fine Tuning	79.67±1.31 88.30±3.09	$\frac{69.99\pm0.27}{69.25\pm0.73}$	$\frac{61.74\pm0.48}{60.42\pm0.55}$	$\frac{52.61\pm0.39}{52.32\pm0.10}$	70.33±3.76 72.09±2.74	<u>76.17±0.77</u> 74.97±0.62	$\frac{65.04 \pm 1.49}{64.12 \pm 0.90}$	<u>59.12±1.33</u> 54.17±2.11	66.83 66.95
Prompt Learning	All in One GPF GPF-plus IA-GPL	49.49±5.32 82.86±1.98 83.08±1.57 85.62±0.52	52.45±2.23 69.56±2.50 71.31±0.80 72.55±0.40	50.33±5.05 61.11±0.43 60.85±1.69 61.63±0.40	51.24±2.06 52.24±0.16 52.44±0.83 52.85±0.84	57.65±11.11 73.31±4.08 73.85±2.15 74.50±0.76	53.22±7.14 76.54±1.76 76.02±0.99 76.64±0.83	46.31±7.50 63.21±0.53 64.49±1.19 64.60±0.95	59.14±1.02 59.93±0.83 59.32±1.13	51.52 67.24 67.74 68.46

Table 2: 50-shot ROC-AUC (%) performance comparison on molecular prediction benchmarks using **scaffold** split. **Bold numbers** represent the best results in the graph prompting field (shaded region) to which our method belongs. <u>Underlined numbers</u> represent the best results achieved by other methods.

Tuning Strategies	Methods	BBBP	Tox21	ToxCast	SIDER	ClinTox	BACE	HIV	MUV	Avg.
Supervised	GIN GCN GraphSAGE	56.92±2.54 57.05±5.50 59.13±7.28	46.83±1.51 47.40±3.56 48.42±3.01	52.50±0.68 49.67±0.61 51.90±1.43	48.85±2.16 49.93±1.06 49.60±1.92	50.00±7.53 59.84±5.54 40.53±4.25	51.08±2.14 61.84±2.12 59.28±1.60	$\frac{68.09 \pm 3.89}{62.82 \pm 2.56}$ 64.28 \pm 1.09	49.11±2.45 42.44±3.40 49.11±2.90	52.92 53.87 52.78
Pre-training+ Fine-tuning	Linear Probing Fine-tuning	52.54±5.77 48.88±0.68	$\frac{64.40\pm0.42}{60.95\pm1.46}$	<u>57.46±0.33</u> 55.73±0.43	50.76±0.74 51.30±2.21	$\frac{62.54\pm4.26}{57.78\pm4.03}$	59.75±4.23 61.27±6.10	61.89±4.10 62.20±4.95	63.07±3.09 64.75±2.03	59.05 57.85
Prompt Learning	All in One GPF GPF-plus IA-GPL	53.46±7.98 52.13±1.21 54.73±5.20 56.54±2.35	56.19±4.96 63.48±0.41 63.29±0.55 64.14±0.44	55.35±2.12 57.60±0.19 57.19±0.67 58.11±0.38	51.51±2.82 51.07±1.08 50.31±1.60 53.18±1.18	48.91±16.03 65.18±1.76 64.14±2.95 63.28±3.52	52.90±7.90 58.78±5.04 55.87±7.40 61.95±4.00	39.89±6.09 65.59±2.31 61.4±4.30 66.52±2.10	- 66.94±3.91 67.11±2.09 69.03±3.02	51.17 60.09 59.25 61.59

5.2 PERFORMANCE EVALUATION

Due to the page limit, we present the experimental results of 50-shot random split and scaffold split settings on molecular datasets using edge prediction pre-training strategy in Table 1 and Table 2.
 The results of full-shot learning, node-level tasks, larger graph datasets and more pre-training strategies results are provided in Appendix D.

In-domain performance. Table 1 illustrates the results for 50-shot graph classification under the in-domain setting (random split). We have the following observations: (1) Compared to the pre-training and fine-tuning approach, IA-GPL achieves competitive results despite employing a significantly lower number of trainable parameters. This underscores the key advantage of prompt learning, particularly when confronted with limited supervision. (2) While fine-tuning occasionally outperforms IA-GPF on certain datasets, IA-GPF consistently surpasses other graph prompt learning methods as shown in the shaded area, highlighting the significance of employing instance-aware prompts. (3) Unexpectedly, the All-in-One approach lags behind other prompting methods, exhibiting the highest variance. This discrepancy may be attributed to an unstable training process.

Out-of-domain performance. Table 2 illustrates the results for 50-shot graph classification under the out-of-domain setting (scaffold split). We have the following observations: (1) Overall, IA-GPL attains optimal results across these eight datasets, underscoring its efficacy even when confronting the out-of-distribution (OOD) challenge. We attribute this success to the vector quantization process, which captures the clustering property of molecules. The disentangled clustering information can enhance performance in the presence of OOD samples by facilitating the transfer of learned knowledge. (2) Across different datasets, the performance trends of supervised learning, pre-training and fine-tuning, and prompt learning paradigms vary a lot. For instance, training GCN, GIN, or GraphSAGE in an end-to-end manner yields the highest performance in the BBBP dataset, whereas it performs less effectively in other datasets such as Tox21 and SIDER. These fluctuations in performance may be attributed to the distinctive intrinsic properties characterizing each dataset.

When considering the broader context, several key observations emerge: (1) Comparing linear probing
 (LP) and fine-tuning (FT), the performance trend differs between random and scaffold split. For random split, FT outperforms LP, whereas the reverse is observed for scaffold split. This observation



confirms the negative transfer drawback associated with the "pre-training and fine-tuning" paradigm: 456 the existence of a gap between pretext tasks and downstream tasks leads to suboptimal performance. (2) The performance gain achieved by IA-GPL is more pronounced in the out-of-domain scenario, emphasizing the importance of vector quantization within our model. (3) The overall performance 458 for in-domain classification remains significantly better than that for out-of-domain classification, 459 underscoring the imperative to design effective methods to address the OOD problem. 460

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5.3 MODEL ANALYSIS

464 Codebook visualization. We conduct a visualization and interpretability analysis on the learned code-465 book using a molecule from the BACE dataset with the SMILES string O=C1NC (=NC (=C1) CCC) N as an example. The model is configured to have 50 codebook vectors in the VQ space. For every 466 node (atom), we sample 5 vectors using Equation 14, which are then averaged and used as quantized 467 prompts. Figure 4 presents the t-SNE Van der Maaten & Hinton (2008) plots of the samples of two 468 carbon atoms and two nitrogen atoms in this molecule. 469

470 Two characteristics of the learned codebooks are observed: (1) Samples corresponding to different atoms manifest substantial distinctions (i.e., the regions of samples in the plot). However, samples 471 corresponding to the same atoms tend to exhibit in proximate regions in the codebook vector space. 472 This observation affirms that IA-GPL effectively generates instance-aware prompts. (2) Each atom's 473 samples may also demonstrate a clustering property. This phenomenon may be attributed to the 474 disentanglement of representations for individual instances within the prompt space, which potentially 475 encompasses general information. 476

477 Ablation study. To assess the individual contributions of each component, we conduct an ablation study by comparing IA-GPL with two different variants: (1) w/o VQ: After getting P_c through the 478 PHM layers, we directly use it as the final prompts without the vector quantization process. (2) w/o 479 PHM: We replace PHM layers in the prompt generation model with the standard MLP layers. Note 480 that to maintain a fair comparison and ensure a roughly equivalent number of trainable parameters, 481 we reduce the size of the hidden dimension to $\frac{1}{n}$ of that of PHM layers, as discussed in Section 4.2. 482

483 We conduct the ablation study under 50-shot learning with scaffold split and illustrate the results in Figure 5. We have the following observations: (1) Replacing PHM layers with MLP layers of the 484 same parameter size adversely affects performance to varying degrees across these datasets. This 485 result highlights the advantage of PHM layers over MLP layers when training resources are limited.



Figure 7: Impact of shot numbers.

Figure 8: Impact of VQ hyperparameters.

497 (2) Without the VQ process, the results drop as there is no constraint to prevent codebook vectors498 from collapsing which leads to inferior performance or an unstable training process.

Efficiency analysis. We analyze IA-GPL's *parameter efficiency* and *training efficiency* in Table 6.

In terms of parameter efficiency, we compute the number of tunable parameters for different strategies. (excluding the task-specific projection head). Specifically, fine-tuning demands the update of all parameters, making it the most time- and resource-consuming process. In the prompt learning domain, GPF is the most efficient since it requires only one universal prompt while GPF-plus incorporates multiple attentive prompts. All-in-One also utilizes more than one prompt node to construct a prompt graph. In our model, the parameter size is predominantly dominated by the prompt generation model (i.e., PHM layers), which aligns with the scale of other graph prompt learning methods and is significantly smaller than the fine-tuning approach.

508 In terms of training efficiency, we compute the training time per epoch and GPU memory consumption 509 on the ToxCast dataset using a single Nvidia RTX 3090. We keep all hyper-parameters the same 510 including batch size, dimensions, etc. All-in-One is omitted due to its unsatisfactory performance 511 and unstable training process. Surprisingly, prompt-based methods are slower than traditional fine-512 tuning which may be due to additional procedures such as computing attention scores and sampling. 513 Regarding GPU memory consumption, prompt-based methods occupy slightly less GPU space since 514 they do not need to save the gradients and optimizer states for the frozen GNN backbone. But all of 515 them are at the same level considering the dominant overhead GPU consumption.

Impacts of the shot number. We study the impact of the number of shots on the BBBP and BACE datasets in the few-shot random split setting. We vary the number of shots within the range of [5,10,20,30] and results are illustrated in Figure 7. In general, our method IA-GPL consistently surpasses or attains comparable results with other graph prompt learning frameworks in most cases especially when given very limited labeled data. As the number of shots increases, the overall performance increases while conventional supervised methods become more competitive.

Impacts of the codebook hyperparameters. We investigate the impact of the number of codebook vectors and the number of samples in the vector quantization process. Specifically, we vary the size of the codebook within the range of [5, 10, 20, 50, 100] and the sample size within [3, 5, 10, 15, 20], while keeping the remaining hyperparameters constant. Results are illustrated in Figure 8. We observe that for most of the datasets, our model achieves a relatively stable performance with respect to the hyperparameters, alleviating the need for meticulous and specific tuning.

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6 CONCLUSIONS

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In this paper, we introduce a novel prompting method on graphs named Instance-Aware Graph Prompt Learning (IA-GPL), which is designed to generate distinct and specific prompts for individual input instances within a downstream task. Specifically, we initially generate intermediate prompts corresponding to each instance using a parameter-efficient bottleneck architecture. Subsequently, we quantize these prompts with a set of trainable codebook vectors and employ the exponential moving average strategy to update the parameters which ensures a stable training process. Extensive experimental evaluations under full-shot and few-shot learning settings showcase the superior performance of IA-GPL in both in-domain and out-of-domain scenarios.

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702 **BROADER IMPACTS AND LIMITATIONS** А 703

704 Broader Impacts. Research that is focused on parameter-efficient fine-tuning methods (PEFT) 705 including our approach, IA-GPL, can usually bring several broad positive societal impacts such as: 706 (1) Accessibility and Sustainability. By reducing the computational, financial, and environmental 707 resources needed for fine-tuning pre-trained models, PEFT methods make advanced AI technologies 708 accessible to a wider range of individuals, organizations, and communities, including those with 709 limited resources. (2) Improved Quality of AI Applications. IA-GPL also enhances numerous 710 graph-related tasks and applications. By incorporating node-level instance-aware prompts, IA-GPL is particularly well-suited for complex and heterogeneous graphs, such as molecules and social 711 networks. Consequently, IA-GPL can positively impact areas like drug discovery, protein structure 712 prediction, fraud detection, and so on.

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714 Limitations. (1) Larger parameter size. IA-GPL demands a larger parameter size, primarily due 715 to the PHM layers when generating prompts. As discussed in section 5.3, compared to the huge 716 pre-trained GIN model, the increase in the number of parameters remains acceptable considering the performance improvement achieved. However, it does introduce additional trainable parameters. (2) 717 *More hyperparameters.* In addition to the standard hyperparameters such as the number of layers 718 and embedding size, IA-GPL introduces new hyperparameters: the number of codebook vectors, 719 the number of samples, and the temperature factor. However, as analyzed in Appendix 5.3, IA-GPL 720 exhibits limited sensitivity to these hyperparameters across most datasets, obviating the need for 721 meticulous and specific tuning. 722

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B **RELATED WORK**

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Graph Representation Learning. The objective of graph representation learning is to proficiently 727 encode sparse high-dimensional graph-structured data into low-dimensional dense vectors. These 728 vectors are subsequently employed in various downstream tasks, such as node/graph classification 729 and link prediction. The methods span from classic graph embeddings Grover & Leskovec (2016) to 730 recent graph neural networks Kipf & Welling (2016a); Veličković et al. (2017); Yun et al. (2019a) 731 with the remarkable success of deep learning. GNNs, which derive effective node representations by recursively aggregating information from neighbor nodes, have emerged as a predominant standard 732 for graph representation learning. GNNs find applications in diverse domains, such as social network 733 analysis Guo & Wang (2020); Liu et al. (2021c), bioinformatics Mercado et al. (2021); Guo et al. 734 (2021), recommendation systems Fan et al. (2019); Tian et al. (2022), and fraud detection Dou et al. 735 (2020); Liu et al. (2021b). This is attributed to the fact that many real-world datasets inherently possess 736 a graph structure, making GNNs well-suited for effectively modeling and extracting meaningful 737 representations from such data. We refer the readers to a comprehensive survey Ju et al. (2023) for 738 details.

739 **GNNs Pre-training.** Supervised learning methods applied to graphs heavily depend on graph 740 labels, which may not always be adequate in real-world scenarios. To overcome this limitation, a 741 pre-training and fine-tuning paradigm has been introduced. In this approach, GNNs are initially pre-trained to capture extensive knowledge from a substantial volume of labeled and unlabeled graph 742 data. Subsequently, the implicit knowledge encoded in the model parameters is transferred to a 743 new domain or task through the fine-tuning of partially pre-trained models. Existing effective pre-744 training strategies can be implemented at node-level like GCA Zhu et al. (2021), edge-level like edge 745 prediction Jin et al. (2020), and graph-level such as GraphCL You et al. (2020a) and SimGRACE Xia 746 et al. (2022). However, these methods overlook the gap that may exist between the pre-training phase 747 and downstream objectives, limiting their overall generalization ability. 748

Graph Prompt Learning. Prompt Learning seeks to bridge the gap between pre-training and fine-749 tuning by formulating task-specific prompts that guide downstream tasks, with the pre-trained model 750 parameters usually kept static during downstream applications. Many effective prompt methods were 751 initially proposed in the natural language processing community, including hand-crafted prompts Gao 752 et al. (2020); Schick & Schütze (2020) and continuous prompts Gu et al. (2021); Li & Liang (2021b); 753 Liu et al. (2022b). Drawing inspiration from these works, several exploratory graph prompt learning methods, such as GPPT Sun et al. (2022), GraphPrompt Liu et al. (2023), GPF Fang et al. (2023) and 754 All-in-One Sun et al. (2023a) have been proposed in the last two years. We recommend referring to the 755 comprehensive survey provided in Sun et al. (2023b). These existing methods introduce virtual class-

756 prototype nodes or graphs with learnable links into the input graph or directly incorporate learnable 757 embeddings into the representations, facilitating a closer alignment between downstream applications 758 and the pretext tasks. However, all existing graph prompt tuning methods have predominantly 759 concentrated on task-specific prompts, failing to generate instance-specific prompts which are critical 760 since a universal prompt template may not effectively accommodate input nodes and graphs with significant diversity as shown in Figure 1. In this work, we introduce IA-GPL, a novel methodology 761 designed to address the aforementioned issue by generating prompts that leverage the distinctive 762 features in individual instances. 763

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C ADDITIONAL DATASET DETAILS

We have two kinds of tasks and corresponding datasets: *graph-level tasks*: molecular datasets and
 node-level tasks: citation networks. The statistics of these datasets are illustrated in Table 3.

For molecular datasets, during the pre-training process, we sample 2 million unlabeled molecules
from the ZINC15 Sterling & Irwin (2015) database, along with 256K labeled molecules from the
preprocessed ChEMBL Mayr et al. (2018); Gaulton et al. (2011) dataset. For downstream tasks,
we use the molecular datasets from MoleculeNet Wu et al. (2018) encompassing molecular graphs
spanning the domains of physical chemistry, biophysics, and physiology. Specifically, they involve 8
molecular datasets: BBBP, Tox21, ToxCast, SIDER, Clintox, BACE, HIV and MUV. All datasets
come with additional node and edge features introduced by open graph benchmarks Hu et al. (2020c).

For citation networks, we use 3 commonly used datasets: Cora, CiteSeer, and PubMed from Yang et al. (2016). Nodes represent documents and edges represent citation links. Each document (node) in the graph is described by a 0/1-valued word vector indicating the absence/presence of the corresponding word from the dictionary. During the pre-training phase, we use them without labels in a self-supervised learning approach. In the fine-tuning stage, we convert the node-level task to the graph-level task following Sun et al. (2023a) and process them in the same way as the molecular datasets.

Tasks	Name	#graphs	#nodes	#edges	#features	#binary tasks/classes
	BBBP	2,050	~23.9	~51.6	9	1
	Tox21	7,831	$\sim \! 18.6$	$\sim \! 38.6$	9	12
	ToxCast	8,597	$\sim \! 18.7$	~ 38.4	9	617
Creamb larval	SIDER	1,427	~ 33.6	~ 70.7	9	27
Graph-level	ClinTox	1,484	$\sim \! 26.1$	\sim 55.5	9	2
	BACE	1,513	$\sim \! 34.1$	~73.7	9	1
	MUV	93,087	~ 24.2	\sim 52.6	9	17
	HIV	41,127	~ 25.5	\sim 54.9	9	1
	Cora	1	2,708	10,556	1,433	7
Node-level	CiteSeer	1	3,327	9,104	3,703	6
	PubMed	1	19,717	88,648	500	3

Table 3:	Statistics	of the	datasets.

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D ADDITIONAL EXPERIMENTAL RESULTS

D.1 RESULTS OF FULL-SHOT LEARNING

We present the experimental results using the full datasets to train the model in both scaffold split and random split scenarios in Table 4 and Table 5, respectively.

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In-domain performance. Table 5 illustrates the results for full-shot graph classification under the in-domain setting (random split). We have the following observations: (1) Overall, fine-tuning exhibits superior performance across all methods including supervised schemes and prompt learning Table 4: Full-shot ROC-AUC (%) performance comparison on molecular prediction benchmarks
using scaffold split. Bold numbers represent the best results in the graph prompting field (shaded
region) to which our method belongs. <u>Underlined numbers</u> represent the best results achieved by
other methods.

Tuning Strategies	Methods	BBBP	Tox21	ToxCast	SIDER	ClinTox	BACE	HIV	MUV	Av
Supervised	GIN	67.30±2.80	74.23±0.65	62.22±1.31	57.43±1.24	48.83±3.03	72.78±2.48	75.82±2.89	74.79±1.37	66
Supervised	GraphSAGE	67.91±2.58	74.14±0.55	63.79±0.70	62.80±1.15	58.04±5.68	69.27±2.91	75.77±3.09	71.98 ± 2.94 71.90 ± 1.43	67
Pre-training+ Fine-tuning	Linear Probing Fine Tuning	$\frac{69.45 \pm 0.58}{66.56 \pm 3.56}$	$\tfrac{79.55\pm0.12}{78.67\pm0.35}$	65.41±0.41 66.29±0.45	$\frac{66.39\pm0.79}{64.35\pm0.78}$	67.41±1.77 69.07±4.61	83.10±0.44 80.90±0.92	76.87±1.98 79.79±2.76	80.42±1.03 81.76±1.80	73
Prompt Learning	GPPT GPPT (w/o ol) GraphPrompt All in One GPF	64.13±0.14 69.43±0.18 69.29±0.19 58.01±4.89 68.87±0.57	66.41±0.04 78.91±0.15 68.09±0.19 52.38±3.46 79.93±0.08	60.34±0.14 64.86±0.11 60.54±0.21 55.07±7.22 65.63±0.41	54.86 ± 0.25 60.94 ± 0.18 58.71 ± 0.13 53.33 ± 2.16 65.93 ± 0.64	59.81±0.46 62.15±0.69 55.37±0.57 50.91±9.33 66.40±2.77	70.85±1.42 70.31±0.99 67.70±1.26 55.86±12.75 80.37±4.07	$\begin{array}{c} 60.54 \pm 0.54 \\ 73.19 \pm 0.19 \\ 59.31 \pm 0.93 \\ 58.32 \pm 4.40 \\ 75.20 \pm 1.30 \end{array}$	63.05±0.34 82.06±0.53 62.35±0.44 80.87±1.76	62 70 62 54 73
-	GPF-plus IA-GPL	68.16±0.78 69.25±0.06	79.59±0.09 80.28±0.20	65.22±0.32 65.87±0.64	66.08±0.85 66.62±1.23	71.23±3.01 71.96±0.41	82.15±1.64 83.38±0.94	76.99±2.01 78.86±1.38	81.93±1.68 83.26±1.77	7

frameworks which is not surprising. Given an ample amount of labeled training data, fine-tuning can effectively adapt the pre-trained model that already encapsulates intrinsic graph properties, thereby contributing to optimal performance. (2) IA-GPL consistently attains the highest results in the realm of graph prompt learning, demonstrating its exceptional performance in this category and the importance of instance-aware prompts.

Table 5: Full-shot ROC-AUC (%) performance comparison on molecular prediction benchmarks using random spilt. **Bold numbers** represent the best results in the graph prompting field (shaded region) to which our method belongs. <u>Underlined numbers</u> represent the best results achieved by other methods.

Tuning Strategies	Methods	BBBP	Tox21	ToxCast	SIDER	ClinTox	BACE	HIV	MUV	Avg.
Supervised	GIN GCN GraphSAGE	93.09±0.94 92.59±0.79 91.98±0.49	82.47±0.68 81.82±0.23 82.52±0.32	70.71±0.45 72.50±0.55 72.55±0.42	57.76±1.42 57.10±0.95 56.65±1.18	75.61±3.57 80.45±3.26 80.57±2.02	87.90±1.49 88.09±0.60 88.05±1.90	81.96±1.90 83.06±0.45 83.43±1.34	80.57±2.02 79.18±1.86 79.61±2.93	78.76 79.35 79.42
Pre-training+ Fine-tuning	Linear Probing Fine Tuning	88.21±0.05 93.06±0.35	82.86±0.12 85.46±0.26	74.55±0.25 75.35±0.33	61.16±0.54 63.89±0.69	85.51±1.09 87.22±1.12	89.73±0.52 90.93±0.55	85.42±0.68 86.84±0.72	$\frac{89.53\pm0.42}{87.26\pm0.76}$	82.12 83.75
Prompt Learning	All in One GPF GPF-plus IA-GPL	62.88±9.60 92.71±0.38 89.91±0.22 91.77±0.40	52.38±3.46 83.00±0.22 83.04±0.70 84.15±0.29	45.24±8.53 73.53±0.35 74.24±0.36 75.64±0.44	48.78±4.17 61.96±1.08 62.50±1.38 62.61±0.73	44.86±18.81 90.65±0.33 88.72±0.64 87.27±0.97	51.82±4.01 86.83±0.36 88.56±0.52 90.14±0.14	54.78±1.76 85.63±0.39 85.26±0.81 86.02±0.90	90.29±0.14 91.13±0.16 91.57±0.19	51.53 83.08 83.67 85.90

Out-of-domain performance. Table 4 illustrates the results for full-shot graph classification under the out-of-domain setting (scaffold split). We have the following observations: (1) When addressing the out-of-domain problem, IA-GPL consistently showcases superior performance compared to other baselines, confirming the clustering benefit derived from the vector quantization process. (2) While supervised learning can yield acceptable results in the in-domain setting, it notably lags behind fine-tuning and prompt learning approaches when confronted with the out-of-domain challenge. This underscores the benefit in generalization gained from the graph pre-training phase when a substantial amount of labeled and unlabeled data are available to equip the pre-trained model with prior knowledge.

D.2 RESULTS OF NODE-LEVEL TASKS

We present the experimental results using node-level datasets-Cora, CiteSeer and PubMed in Table 6. We unify the task into a general graph-level task by generating local subgraphs for the nodes of interest and use the 100-shot setting following Sun et al. (2023a). We observe that (1) IA-GPL achieves the best performance on all three datasets, demonstrating its capacity in node-level tasks. (2) Supervised learning outperforms the fine-tuning approach by a large margin, showcasing the implicit negative transfer problem.

Tuning Strategies	Methods	Cora	CiteSeer	PubMed	Avg.
	GCN	78.06±1.37	82.11±1.02	74.33±1.44	78.17
Supervised	GAT	<u>79.71±0.77</u>	<u>82.27±0.68</u>	74.44±0.68	<u>78.81</u>
	TransformerConv	78.50±0.68	82.66±0.36	<u>75.00±1.24</u>	78.72
Pre-training+	Linear Probing	60.53±4.07	82.05±0.20	70.22±1.25	70.93
Fine-tuning	Fine Tuning	55.16±3.87	80.33±0.40	60.11±0.10	65.20
	All in One	63.96±7.23	80.38±0.20	58.33±1.44	67.56
Prompt	GPF	70.13±1.58	77.67±1.24	58.67±1.58	68.82
Learning	GPF-plus	71.43±1.04	78.67±0.92	61.33±1.29	70.48
	IA-GPL	71.51±0.97	81.33±1.29	63.33±0.67	72.06

Table 6: 100-shot test accuracy (%) performance on node-level citation network datasets. **Bold numbers** represent the best results in the graph prompting field (shaded region) to which our method belongs. <u>Underlined numbers</u> represent the best results achieved by other methods.

Table 7: 50-shot and full-shot performance comparison on PPI dataset.

Setting	GraphSAGE	GCN	GIN	Linear Probing	Fine Tuning	All-in-One	GPF	GPF-plus	IA-GPL
50-shot	37.20	40.75	39.56	49.70	46.23	42.90	50.64	52.56	53.13
full-shot	77.43	79.90	78.86	70.94	72.41	48.67	75.43	75.06	77.70

D.3 RESULTS OF MORE PRE-TRAINING STRATEGIES

Besides the edge prediction Jin et al. (2020) pre-training strategies, we also use Deep Graph Infomax Veličković et al. (2018) (denoted as InfoMax), Attribute Masking Hu et al. (2020a) (Denoted as AttrMasking), Context Prediction Hu et al. (2020b) (Denoted as ContextPred) and Graph Contrastive Learning You et al. (2020b) (Denoted as GCL) methods to compare with IA-GPL to demonstrate our model's robustness. Note that we test under the full-shot scaffold split setting. Results are illustrated in Table 8. We observe that IA-GPL achieves state-of-the-art results in 27 out of 32 cases within the graph prompt learning area.

D.4 RESULTS OF LARGER GRAPH DATASETS

Beyond the results of the previous molecular datasets, here we show the performance comparison of
a relatively large biological dataset, PPI dataset which has 88k graphs and 40 classes. We tested it
using the edge prediction pre-training strategy under both few-shot and full-shot settings. Results are
illustrated in Table 7.

E ADDITIONAL IMPLEMENTATION DETAILS

Table 9 presents the hyperparameter settings used during the adaptation stage of pre-trained GNN models on downstream tasks in IA-GPL. For molecular datasets, we adopt the widely used 5-layer GIN Xu et al. (2018) as the underlying architecture for our models. For citation networks, we adopt 2-layer Graph Transformers Yun et al. (2019b) as the underlying architecture. Grid search is used to find the best set of hyperparameters. You can also visit our code repository to obtain the specific commands for reproducing the experimental results. All the experiments are conducted using NVIDIA V100 graphic cards with 32 GB of memory and PyTorch framework. For the details, please visit our code repository.

Table 8: Full-shot ROC-AUC (%) performance comparison on molecular prediction benchmarks
 with Deep Graph Infomax, Attribute Masking, ContextPred and GCL as pre-training methods. Bold
 numbers represent the best results in the graph prompting field to which our method belongs.
 Underlined numbers represent the best results achieved by other methods.

Pre-training Strategies	Tuning Strategies	Methods	BBBP	Tox21	ToxCast	SIDER	ClinTox	BACE	HIV	MUV	Avg.
		GraphSAGE	69.12	74.17	62.65	63.22	55.43	74.70	70.44	73.60	67.92
	Supervised	GCN	68.07	74.63	59.03	63.89	55.24	63.39	76.85	71.82	66.62
		GIN	<u>70.43</u>	73.20	60.73	60.42	51.19	71.70	74.21	71.77	66.71
	Pre-training+	Linear Probing	66.52	78.02	66.49	65.18	73.74	84.55	77.68	80.02	74.03
InfoMax	Fine-tuning	Fine Tuning	69.81	78.92	<u>66.50</u>	66.54	71.86	82.68	76.33	<u>81.01</u>	74.21
	D (All-In-One	58.50	66.09	52.43	46.09	58.98	69.69	48.08	-	57.12
	Prompt	GPF CDE Dhua	67.33	71.53	65.91	65.46	/3.59	83.27	74.89	79.96	73.49
	Learning	GPF-Plus	6/.01	79.07	05.78	64.96	72.17	81.41	/1.08	/8.01	12.14
		IA-GPL	06.60	/8.95	00.58	00.10	/8.90	85.08	/5.90	82.25	/5.34
		GraphSAGE	<u>71.68</u>	73.94	61.96	62.16	61.01	63.86	73.90	76.27	68.10
	Supervised	GCN	67.02	74.47	60.83	61.88	56.21	70.82	75.55	73.20	67.50
		GIN	66.43	73.69	60.98	60.29	56.65	79.63	73.48	72.75	67.99
	Pre-training+	Linear Probing	66.56	<u>79.37</u>	66.15	<u>67.65</u>	74.52	<u>86.61</u>	<u>78.55</u>	81.34	<u>75.09</u>
AttrMasking	Fine-tuning	Fine Tuning	67.51	78.66	<u>67.33</u>	65.16	74.68	80.73	78.31	77.22	73.70
	D .	All-In-One	49.79	52.78	68.26	49.57	41.69	53.46	34.97	-	50.07
	Prompt	GPF	67.70	79.16	66.75	66.39	72.24	85.82	77.51	79.08	74.33
	Learning	GPF-Plus	67.73	78.42	67.95	68.13	73.02	84.08	78.08	84.11	75.19
		IA-GPL	69.35	79.30	68.52	69.66	80.15	86.78	78.90	84.70	77.17
		GraphSAGE	64.12	72.05	60.20	61.99	72.94	77.77	74.18	75.85	69.89
	Supervised	GCN	63.58	71.40	62.98	57.65	70.60	79.84	78.09	75.61	70.21
	_	GIN	61.88	75.42	<u>64.92</u>	61.39	69.46	80.74	75.79	77.64	70.91
	Pre-training+	Linear Probing	65.78	80.62	59.33	<u>65.55</u>	70.87	78.10	76.58	83.19	72.25
ContextPred	Fine-tuning	Fine Tuning	<u>67.99</u>	78.24	63.71	63.88	<u>73.20</u>	<u>81.90</u>	<u>79.71</u>	81.41	<u>73.75</u>
		All-In-One	55.93	62.18	61.62	45.91	59.19	48.01	39.10	-	53.13
	Prompt	GPF	67.35	78.24	68.98	63.25	70.78	83.32	78.60	82.60	74.14
	Learning	GPF-Plus	68.05	77.17	68.57	64.95	75.83	81.06	76.34	85.12	74.63
		IA-GPL	69.92	80.49	68.18	66.0 7	77.30	82.62	79.90	85.53	76.07
		GraphSAGE	67.88	68.79	63.79	51.08	72.47	68.41	71.10	68.50	66.50
	Supervised	GCN	65.20	66.88	61.50	55.54	74.72	65.86	74.90	73.09	67.21
		GIN	67.56	68.65	<u>67.14</u>	51.17	75.79	<u>71.06</u>	69.07	70.69	67.73
	Pre-training+	Linear Probing	71.82	74.81	60.89	58.75	76.92	65.49	74.02	73.91	<u>69.83</u>
GCL	Fine-tuning	Fine Tuning	69.90	72.56	63.17	56.26	74.64	68.20	73.89	<u>75.73</u>	69.41
		All-In-One	61.07	47.33	49.54	41.07	57.70	54.24	46.17	-	50.87
	Prompt	GPF	70.54	73.19	61.08	61.77	72.10	67.53	73.61	74.92	69.34
	Learning	GPF-Plus	70.94	73.70	60.90	62.48	71.54	70.62	76.84	77.07	70.51
		IA-GPL	72.58	76.08	60.86	64.22	75.07	71.24	75 59	77 33	71.62

Table 9: The hyperparameter settings for 50-shot learning.

Dataset	split	Learning rate	#Codebook vectors	#Samples	#MLP layers (Proj. head)
BBBP	Scaffold	0.005	20	10	3
Tox21	Scaffold	0.0005	50	10	3
ToxCast	Scaffold	0.0001	50	10	4
SIDER	Scaffold	0.005	10	5	2
ClinTox	Scaffold	0.0001	50	10	4
BACE	Scaffold	0.0001	20	10	2
HIV	Scaffold	0.005	20	10	4
MUV	Scaffold	0.0005	20	10	2
BBBP	Random	0.001	20	50	4
Tox21	Random	0.001	20	50	2
ToxCast	Random	0.005	50	5	2
SIDER	Random	0.005	50	5	4
ClinTox	Random	0.001	20	10	2
BACE	Random	0.001	50	5	4
HIV	Random	0.005	50	10	3
MUV	Random	0.005	20	10	2