# Federated Fine-Tuning of Vision Foundation Models via Probabilistic Masking

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# Abstract

Foundation Models (FMs) have revolutionized machine learning with their adaptability and high performance across tasks; yet, their integration into Federated Learning (FL) is challenging due to substantial communication overhead from their extensive parameterization. We present DeltaMask, a novel method that efficiently fine-tunes FMs in FL at an ultra-low bitrate, well below 1 bpp. DeltaMask employs stochastic masking to detect highly effective subnetworks within FMs and leverage stochasticity and sparsity in client masks to compress updates into a compact grayscale image using probabilistic filters, deviating from traditional weight training approaches. Our comprehensive evaluations across various datasets and architectures demonstrate DeltaMask efficiently achieves bitrates as low as 0.09 bpp, enhancing communication efficiency while maintaining FMs performance, as measured on 8 datasets and 5 pre-trained models of various network architectures.

# 1. Introduction

Federated learning (FL) enables collaborative training of neural network models directly on edge devices (referred to as clients), locally with on-device data (Konečný et al., 2016). Despite its appealing properties for users' privacy, FL requires constant models' updates transfer between server and clients, which poses a challenge in terms of communication efficiency. This becomes even more critical when the clients are resource-constrained edge devices, which operate under limited transmission bandwidth and strict energy constraints. Recent advances in FL have led to a variety of methods aimed at enhancing communication efficiency, particularly by reducing the data volume exchanged in each federated round. These strategies often employ gradient compression techniques, including sparsification (Lin et al., 2020; Aji & Heafield, 2017), quantization (Alistarh et al.,



Figure 1. DeltaMask (Ours) vs. state-of-the-art communicationefficient FL techniques with pre-trained CLIP ViT-B/32.

2017; Vargaftik et al., 2022; 2021), and low-rank approximation (Mohtashami et al., 2022; Mozaffari et al., 2022), which are pivotal in streamlining data transmission.

Similarly, the "Lottery Ticket Hypothesis" (Frankle & Carbin, 2019) has paved the way for FL training regimes that diverge from traditional weight updates. Here, the focus has shifted toward identifying and cultivating high-potential subnetworks within randomly initialized neural models (Li et al., 2021; Vallapuram et al., 2022; Li et al., 2020; Isik et al., 2023). Such subnetworks demonstrate good performance without the need for extensive weight adjustments, offering a viable path to minimize FL communication overhead. FedMask (Li et al., 2021) and FedPM (Isik et al., 2023), which learn binary masks on top of random dense networks, are shown to reduce bitrate from 32 to 1 bitper-parameter (bpp). However, jointly learning effective subnetworks in large, randomly initialized models, severely affect training duration and model convergence.

Leveraging the advancements in self-supervised learning, vision Foundation Models (FMs) have brought significant advancement across various machine learning domains with their remarkable representation quality. Models like CLIP (Radford et al., 2021) and DINOv2 (Oquab et al., 2023) demonstrate rapid adaptability to diverse tasks, achieving unmatched performance in several downstream applications. Notably, recent developments have seen vision FMs, such as the ViT models, expand to billions of

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parameters (Dehghani et al., 2023), exemplifying the scale and complexity of modern FMs. In turn, and as an alternative to traditional fine-tuning, masking strategies have emerged (Mallya et al., 2018; Zhao et al., 2020) in a centralized setting, where selective binary masks are learned on top of frozen pre-trained weights, matching the performance of full fine-tuning. Nevertheless, the high parameter count of FMs inhibits their straightforward expansion into decentralized settings due to the substantial communication overhead (Zhuang et al., 2023), even at a bitrate of 1 bpp, thereby limiting their potential to tap into the valuable data available in distributed environments.

To bridge the gap between the high-performance potential of Foundation Models (FMs) and the practical constraints of federated settings, we introduce DeltaMask, an approach designed to fine-tune FMs to various downstream tasks in federated settings with significantly reduced bitrate requirements (see Fig.1). Inspired by the sparse mask updates between subsequent federated rounds, which naturally occur due to the rapid adaptability of FMs, our approach combines stochastic masking with probabilistic filters to find high-performing subnetworks within pre-trained FM, while operating in an ultra-low bitrate regime. This paves the way for fine-tuning FMs in federated settings without the massive communication burden caused by their large number of parameters, crucial for scenarios where niche, sensitive data must remain local yet is immensely valuable for learning, such as in healthcare applications. Concisely, the main contributions of our work are as follows:

- We present a simple, yet effective, method termed DeltaMask, to fine-tune FMs in FL in a highly communication-efficient manner.
- We combine stochastic binary masks with probabilistic filters to compactly communicate mask updates and reduce bitrate bpp below 0.1.
- Our evaluation across 8 datasets and 5 pre-trained models demonstrate DeltaMask's effectiveness to fine-tune FMs compared to existing FL techniques.

# 2. Methodology

**Overview.** We present the general DeltaMask training pipeline. First, clients initialize a neural network  $p_{w_{\text{init}}}$  with the weight vector  $w_{\text{init}} \in \mathbb{R}^d$ , from the pre-trained foundation model. The weight vector  $w_{\text{init}}$  is kept fixed and never modified during training. DeltaMask collaboratively trains a probabilistic mask  $\theta \in [0, 1]^d$ , such that the function  $\mathcal{L}_{\dot{w}}$  minimize its error rate on a given downstream task ( $\dot{w} = m \odot w_{\text{init}}$ ). In every round t, the server samples a set  $K_t$  participants ( $|K_t|=K$  out of N clients), who train their local probability masks  $\theta^{k,t}$  on their locally stored datasets  $D^k$  (of  $|D_k|$  samples).

Instead of communicating the stochastic binary mask m,

we reduce the required bits-per-parameter (bpp) by only communicating key updates (position indexes set  $\Delta$ ) between the received and trained mask. We represent  $\Delta$  using probabilistic filters (see Appx. B for formal definition) and transmit the fingerprint set  $\mathcal{H}$  (hashed filter's entries) to the server as a single gray-scaled image. On server-side, reconstruction of client masks  $m^{k,t}$  is feasible via fast membership checks using the probabilistic filter. The server then aggregates these local masks to complete the  $t_{th}$  round.

**Compressing Mask Updates.** Our approach utilizes a local training scheme for probability masks, where clients aim to learn a binary mask via stochastic mask training. In brief, clients receive a global probability mask  $\theta^{g,t-1}$  at round t, where each client k performs local training and updates the mask via back-propagation. To satisfy  $\theta^{k,t} \in [0,1]^d$  without clipping, we apply a sigmoid operation over the mask's *unbounded* mask scores  $s^{k,t} \in \mathbb{R}^d$ . Then, clients can utilize a binary mask  $m^{k,t}$ , i.e, sampled from  $\text{Bern}(\theta^{k,t})$  and aim to minimize  $\mathcal{L}(p_{w_{k,t}}, D^k)$  over their locally stored data,  $D^k$ , after which they back-propagate to update  $s^{k,t}$ .

To enable ultra-low bitrate levels, DeltaMask leverages the inherent sparsity in consecutive mask updates across rounds. For a given round t, we deterministically sample a binary mask  $m^{g,t-1}$  from the received mask distribution, Bern $(\theta^{g,t-1})$ , using a publicly shared *seed*. This ensures uniformity of the binary mask among all clients  $(m_i^{g,t-1} = m_j^{g,t-1} \text{ for any } i, j \in K)$ . Instead of communicating  $m^{k,t}$ , we catalog the index positions of differences between  $m^{g,t-1}$  and  $m^{k,t}$ , creating a set of index differences,  $\Delta^{k,t}$ . As mask update sparsity increases during training, we introduce a  $top_{\kappa}$  ranking that selects  $\kappa\%$  of  $\Delta^{k,t}$  based on their relative entropy between  $m^{g,t-1}$  and  $m^{k,t}$ . This introduces importance sampling into the communication scheme, similar to (Chatterjee & Diaconis, 2017; Havasi et al., 2018), minimizing distributed mean estimation error by providing essential updates early in training and conveying detailed information of  $m^{k,t}$  later on without significantly increasing bitrate due to the growing sparsity of subsequent mask differences. Using Kullback-Leibler (KL) divergence as a measure of entropy,  $\Delta^{k,t}$  is defined as:

$$\Delta^{\mathbf{k},t} = \underset{\mathrm{KL}(\theta^{\mathbf{k},t},\theta^{\mathbf{g},t-1})}{Sort} \left\{ i \, | \, m_i^{\mathbf{g},t-1} \neq m_i^{\mathbf{k},t}, \forall i \in d \right\} [1:\mathsf{K}], \qquad (1)$$

where d is the dimension of the probability mask m, and K represents the number of elements to be retained in the sorted set, determined as  $\kappa\%$  of  $|D_k|$ .

Next, we use a *binary fuse filter* with 8 bit-per-entry (*bpe*) to extract a fingerprint array  $\mathcal{H}^{k,t}$  from  $\Delta^{k,t}$  (see Eq. 3), transitioning from 32-bit indexes to  $\approx$  8-bit hashed entries. We further encode  $\mathcal{H}^{k,t}$  into a "*pseudo gray-scale*" image using lossless PNG-like compression, chosen for its wide availability on edge devices, which leverages non-uniform distributions of entries in  $\mathcal{H}$  to reduce the bitrate. The result-

ing image,  $A_{k,t}$ , efficiently encapsulates mask updates in a visual, compressed format suitable for server transmission.

**Bayesian Aggregation of Compressed Masks.** After local training at round t, the server creates a new global probability mask from the received clients' gray-scale images, Ak, t. Specifically, for each client k, the server decompresses Ak, t to extract  $\mathcal{H}^{k,t}$ , then uses it to estimate the set of indexes  $(\hat{\Delta})$  in  $m^{g,t-1}$  that need updating via a membership query across all possible indexes of  $m^{g,t-1}$ , as follows:

$$\hat{\Delta}^{\mathbf{k},t} = \{i \mid \text{Member}(i) = \text{true}, \forall i \in d\}$$
(2)

The clients' stochastic binary sample mask  $m^{k,t}$  can be constructed by a simple "bit-flip" of  $m^{g,t-1}$  in the positions derived from  $\hat{\Delta}^{k,t}$ . The server can then compute the estimated aggregated probability mask  $\bar{\theta}^{g,t} = \frac{1}{K} \sum_{k \in K} m^{k,t}$ , which is an unbiased estimation of the underlying probability mask  $\theta^{g,t} = \frac{1}{K} \sum_{k \in K} \theta^{k,t}$  using (Ferreira et al.) or a similar strategy (see Appx. C). In contrast to FedPM (Isik et al., 2023) and HideNseek (Vallapuram et al., 2022), our method enables better control over the model generalization versus bitrate during FL training stage by adjusting the *bpe* of the *binary fuse filters* (see Fig.4b). Furthermore, DeltaMask benefits from a bounded estimation error (see Appx. D). Our algorithm can be found in Appx. A.

# 3. Experiments

**Datasets and FL Settings.** We conduct experiments across 8 diverse image classification datasets. Note that we focus solely on classification tasks in this work to directly compare with similar approaches (Kostopoulou et al., 2021; Isik et al., 2023; Li et al., 2021; Vargaftik et al., 2022; 2021); yet DeltaMask poses no restriction on the underlying downstream task. We utilize popular ViT architectures pre-trained in self-supervised manner, such as CLIP (Radford et al., 2021), DINOv2 (Oquab et al., 2023), where, we learn a mask only for the last 5 transformer blocks, similar to (Zhao et al., 2020). We use a cosine scheduler for  $top_{\kappa}$  mechanism starting from  $\kappa$ =0.8. Due to limited space, our full experimental setup can be seen in Appx. F.1.

**Baselines.** We evaluate DeltaMask in terms of accuracy, bitrate (bits-per-parameters), computational complexity and total volume of communicated data between client and server. From the domain of gradient compression techniques, we incorporate EDEN (Vargaftik et al., 2022), DRIVE (Vargaftik et al., 2021), QSGD (Alistarh et al., 2017), and FedCode (Khalilian et al., 2023) into our evaluation. Additionally, we consider DeepReduce (Kostopoulou et al., 2021) as a baseline owing to its analogous use of bloom filter-based compressor. From the threshold-based masking strategies in FL, we compare DeltaMaskagainst threshold-based masking strategies in FL, including FedMask (Li et al., 2023), which leverages

stochastic masking concepts. We use a fixed number of rounds across all baselines to facilitate a direct comparison of data transfer volumes for fine-tuning FMs, as inferred from the reported bitrates (lower is better).

#### 3.1. Results

Bitrate-Accuracy Trade-Off. We focus on non-IID data distribution among clients using Dir(0.1) over classes  $(C_p \approx 0.2)$ . Furthermore, the number of clients (N) set to 30, while we consider partial participation with  $\rho$ =0.2 (meaning that in each round  $\rho \cdot N = 6$  clients are randomly selected). As depicted in Fig.2, DeltaMask achieves significant reductions in communication costs compared to the considered baselines - consistently across all datasets. Among the baselines, EDEN requires the least bandwidth, while FedPM attains the highest accuracy; nevertheless, DeltaMask reliably matches the accuracy of FedPM with significant bitrate reduction. This notable improvement in bitrate over FedPM indicates that mask updates entail significant overhead. Transmitting only essential information via binary fuse filters leads to considerable reductions in bpp (up to  $9 \times$  less) without compromising on model accuracy. Compared to DeepReduce — which utilizes Bloom filters to transmit the updates - our method underscores the importance of accurate mask reconstruction, as Bloom filters are prone to a higher false positive rate for the same number of hash functions and bits per entry. Due to limited space, we provide additional experiments across various models and federated settings in Appx. F.2-F.5.

**Data Volume & Computational Cost Improvements.** We evaluate DeltaMask's impact on computational resources at both client and server levels, and its communication efficiency relative to total transmitted data. Using CLIP on CIFAR-100 with N=10, we measure encoding and decoding times for various gradient compression schemes. For FedMask and FedPM, we omit arithmetic encoding to ensure comparable execution times. All tests are conducted on a CPU, excluding aggregation in decoding time measurements. Data volume is normalized to full fine-tuning size, reporting the volume needed to reach within 1% of peak accuracy, to illustrate both communication efficiency and convergence speed analysis.

Among the methods in Fig.3, FedCode is the most communication-efficient in data volume; yet exhibiting the longest encoding times and the lowest model performance. DeepReduce, using a Bloom-based compressor, struggles with scalability due to longer execution times. In contrast,DeltaMask offers significant improvements in filter construction and query times. FedMask and FedPM balance data volume and execution time, with FedPM leading in accuracy. Surprisingly, DeltaMask, while using slightly more data than FedCode, provides quicker encoding, crucial for resource-limited devices, and matches FedPM's high



Figure 2. Evaluation of **DeltaMask (Ours)** in terms of average bitrate (bits-per-parameter) during FL training using Dir(0.1) over classes ( $C_p \approx 0.2$  / **non-IID settings**) for CLIP ViT-B/32. Federated parameters are set to N=30, R=300,  $\rho$ =0.2, and E=1.



(b) Encode/Decode time (CPU).

*Figure 3.* Evaluation of **DeltaMask (Ours)** in terms of (a) data volume and (b) encoding/decoding time (with baselines), required to reach 1% of peak performance of CLIP ViT-B/32 on CIFAR-100. Data volume normalized over full fine-tuning data size.

accuracy with significantly less communicated data. This makes DeltaMask an effective choice for environments with computational and communication constraints. An in-depth analysis on common edge devices is in Appx. F.6.

Adjusting Bitrate in DeltaMask. We ablate fundamental components in DeltaMask: the mechanism for sorting mask update indexes and our choice of probabilistic filter, assessing their impact on accuracy and bitrate. Using CLIP ViT-B/32 with N=10 under full participation, Fig.4a compares our entropy-based  $top_{\kappa}$  sorting with random sampling. The consistent performance gap highlights the importance of importance sampling. Increasing  $\kappa$  does not linearly enhance accuracy, peaking at  $\kappa=0.8$ . This suggests  $top_{\kappa}$ effectively filters noise by prioritizing updates with higher



Figure 4. Impact of  $top_{\kappa}$  mechanism and probabilistic filter choice in DeltaMask performance in CIFAR-100 under IID settings.

certainty, reducing bitrate by transmitting less data. We also evaluate various probabilistic filters, focusing on bitsper-entry (*bpe*) from 8 to 32. Binary fuse filters (BFuse) generally outperform XoR filters in reducing bitrate without compromising accuracy, as shown in Fig.4b. Importantly, DeltaMask enables adjustable bitrate based on *bpe*, accommodating resource heterogeneity in FL.

## 4. Conclusions

We introduce DeltaMask, an FL technique for efficiently fine-tuning FMs under low bitrate constraints using stochastic masking and probabilistic filters for mask updates. Our evaluation shows DeltaMask's effectiveness across various datasets and FMs, achieving significant communication reductions with performance comparable to traditional fine-tuning. Besides communication efficiency, DeltaMask can provide personalized FMs in FL, while it can be expanded to adapt a single FM to multiple tasks, each with its unique masks.

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## A. DeltaMask Algorithm

We provide the pseudocode for DeltaMask in Algorithm 1. For completeness, we also provide the Bayesian Aggregation of compressed masks in Algorithm 2.

## Algorithm 1 DeltaMask

1: Server initialize global model G with pretrained model weights  $w_{init}$ . 2: Server initialize mask weights  $\theta^{g,0} \in \mathbf{R}^d$  and Beta priors  $\alpha^{g,0} = \beta^{g,0} = \lambda_0$ . 3: for r = 1, ..., R do 4: Randomly select K clients to participate in round t5: for each client  $k \in K$  in parallel do Sample binary server mask  $m^{g,t-1} \sim \text{Bern}_{t-1}(\theta^{g,t-1})$ 6:  $\theta^{k,t} \leftarrow \text{ClientUpdate}(\theta^{g,t-1})$ 7: Sample binary mask  $m^{k,t} \sim \text{Bern}(\theta^{k,t})$ 8:  $\Delta^{\mathbf{k},t} \leftarrow \text{Sort} \{i \mid m^{\mathbf{k},t} \neq m^{\mathbf{g},t-1}\}_{i \in d} [1:\mathsf{K}]$ 9: ▷ // See Equation 4  $\mathcal{H}^{\mathbf{k},t} \leftarrow \bigcup_{i \in \Delta'^{\mathbf{k},t}} \phi(i)$ 10: ▷ // See Equation 1  $PNG^{k,t} \leftarrow \Psi(\mathcal{H}^{k,t})$ 11: 12: end for for each client  $k \in K$  do 13:  $\mathcal{H}^{\mathbf{k},t} \leftarrow \Psi^{-1}(\mathbf{PNG}^{k,t})$ 14:  $\hat{\Delta'}^{k,t} \leftarrow \{i \mid \text{Member}(i) = \text{true}\}_{i \in d}$ ▷ // See Equation 5 15:  $\triangleright // \mathcal{F}$  is 1 in all positions of  $\hat{\Delta'}^{k,t}$  and 0 otherwise  $m^{k,t} \leftarrow m^{g,t-1} \mathbf{XOR} \ \mathcal{F}$ 16: end for 17:  $\theta^{g,t} \leftarrow \text{BayesAgg}(\{m^{k,t}\}_{k \in K}, t, \rho)$ 18: 19: end for 20: 21: **procedure** CLIENTUPDATE( $\theta$ ) 22: for epoch e = 1, 2, ..., E do for batch  $b \in \mathcal{D}^k$  do 23: Sample a binary mask  $m \sim \text{Bern}(\theta)$ 24:  $\theta \leftarrow \theta - \eta \nabla_{\theta} \left( \mathcal{L}_{CE} \left( y, p_{m \odot w_{\text{init}}} \left( y | x_b \right) \right) \right)$ 25: 26: end for 27: end for 28: return  $\theta$ 29: end procedure

## Algorithm 2 BayesAgg

Inputs: Clients' updates {m<sub>k,t</sub>}<sub>k∈K</sub>, federated round t, and client participation ρ.
 Output: Global probability mask θ<sub>g,t</sub>.
 if t % (<sup>1</sup>/<sub>ρ</sub>) = 0 then
 α<sub>g,t-1</sub> = β<sub>g,t-1</sub> = λ<sub>0</sub>
 end if
 m<sup>agg,t</sup> ← <sup>1</sup>/<sub>K</sub> ∑<sub>k∈K</sub> m<sup>k,t</sup>
 α<sup>g,t</sup> = α<sup>g,t-1</sup> + m<sup>agg,t</sup>
 β<sup>g,t</sup> = β<sup>g,t-1</sup> + K · 1 - m<sup>agg,t</sup>
 θ<sup>g,t</sup> = <sup>α<sup>g,t</sup></sup>/<sub>α<sup>g,t</sup>+β<sup>g,t</sup></sub>
 return θ<sup>g,t</sup>

# **B.** Probabilistic Filters

Probabilistic filters are data structures that map a universe of keys, denoted as  $\mathcal{U}$ , of varying bit lengths, to fixed-size bit values, thereby compacting real-world data representations effectively. They achieve this by using hash functions to transform and store data in a

uniformly distributed array, known as the fingerprints  $\mathcal{H}$ . This compact representation  $\mathcal{H}$  facilitates efficient membership checking, with an adjustable rate of false positives — where a non-member might be incorrectly identified as a member — while ensuring zero false negatives. There are multiple variations of probabilistic filters, we focus on *binary fuse filters* (BFuse) (Graf & Lemire, 2022), which are known for their exceptional space efficiency and computational effectiveness. These filters offer a space efficiency of 8.62 bits per entry and a low false positive rate (up to  $2^{-32}$ ).

Formally, an  $\mu$ -wise BFuse utilizes m distinct hash functions  $h_j : \{0, 1, \dots, 2^n - 1\} \rightarrow \{1, 2, \dots, l\}$ , for  $j = 1, \dots, \mu$ , where l denotes the size of the fingerprints array,  $\mathcal{H}$ . Let  $f : \mathbb{N} \rightarrow \{0, 1, \dots, 2^n - 1\}$  be the fingerprint generation function, mapping each key to an n-bit value. For a given set of keys  $\mathcal{U}$ , we can compute the fingerprint array  $\mathcal{H}$  as:

$$\mathcal{H} = \bigcup_{k \in \mathcal{U}} \phi(k) = \bigcup_{k \in \mathcal{U}} \left( \bigcup_{j=1}^{m} \{ h_j(f(k)) \} \right)$$
(3)

Here,  $\phi(k)$  computes the set of m locations in H for each key k in U. Once H is constructed, we can perform a membership check as:

$$Member(x) = \begin{cases} true, & \bigoplus_{j=1}^{m} \mathcal{H}[h_j(f(x))] = f(x) \\ false, & otherwise \end{cases}$$
(4)

where,  $\bigoplus_{j=1}^{n} \mathcal{H}[\cdot]$  represents the bitwise XOR operation performed on the array values of  $\mathcal{H}$ , indicated by the hash functions  $h_j(f(x))$ . The  $Member(\cdot)$  function returns true if the result of the XOR operation over  $\mathcal{H}$  matches the fingerprint f(x), suggesting that x is likely a member of the set, and false in all other occasions. Note that while computing a large number of hashes may seem daunting, not all hashing algorithms are computationally intensive. For example, BFuse use MurmurHash3 (Appleby, 2016), which is computationally efficient and exhibits exceptional properties for hashing large data structures into space-efficient arrays (e.g., uniform hash distribution and randomness).

## C. Stochastic Mask Training

Unlike the thresholding mechanisms (Li et al., 2021; Vallapuram et al., 2022; Mozaffari et al., 2022) that creates binary masks by clipping mask scores  $s \in \mathbb{R}^d$ , stochastic mask training (Isik et al., 2023), involves drawing a binary mask  $m \in \{0, 1\}^d$  from the underlying mask's probability  $\theta$  using the Bernoulli distribution (noted as  $m \sim \text{Bern}(\theta)$ ). To generate  $\theta$  from the *unbounded* mask scores s, a sigmoid transformation is applied (i.e.,  $\theta = \text{Sigmoid}(s)$ ). Hence, m is used during the forward pass to compute the loss  $\mathcal{L}(\cdot)$ , and  $\theta$  is subsequently updated through back-propagation. As the values of s remain *unbounded*, it allows for an unbiased estimation of the true aggregate of the local clients' mask probabilities through Bayesian aggregation (Ferreira et al.). Specifically, it refines the global model at round t in federated setting by treating the stochastic mask's probability  $\theta^{g,t}$  as a Beta distribution Beta( $\alpha_{g,t}, \beta_{g,t}$ ), with  $\alpha_{g,t}$  and  $\beta_{g,t}$  initialized to  $\lambda_0$ . These parameters are updated with the aggregated local binary masks from participating clients (denoted as  $\overline{\theta}^{g,t}$ ), computed as  $\alpha_{g,t} = \alpha_{g,t-1} + \overline{\theta}^{g,t}$  and  $\beta_{g,t} = \beta_{g,t-1} + K \cdot \mathbf{1}_d - \overline{\theta}^{g,t}$ . The aggregated probability mask is then calculated by:

$$\theta^{g,t} = \frac{\alpha_{g,t} - 1}{\alpha_{g,t} + \beta_{g,t} - 2},\tag{5}$$

where the division is performed element-wise division. For best performance,  $\alpha$  and  $\beta$  are periodically reset to  $\lambda_0 = 1$  at a rate inverse to the participation rate p (Isik et al., 2023). It's important to note that while the model's weight values remain unchanged, the binary mask m selectively activates neurons by element-wise multiplication with the initialized model weights  $w_{init}$ , denoted as  $w_{k,t} = m^{k,t} \odot w_{init}$ .

## **D.** Distributed Mean Estimation Error Analysis

We now provide proof of the upper bound on the estimation error of DeltaMask. Recall that we use probabilistic filters to reconstruct clients' binary masks,  $m^{k,t} \sim \text{Bern}(\theta^{k,t})$  on server-side, which introduce an independent (across both clients and mask dimensions) "bit-flip" error probability  $2^{-p}$  (p referring to the false positive rate of the filter). We refer to these reconstructed masks as  $m'^{k,t}$ . Here, our true mean is  $\bar{\theta}^{g,t} = \frac{1}{K} \sum_{k \in K_t} \theta^t_k$ , while our estimate is  $\hat{\theta}^{g,t} = \frac{1}{K} \sum_{k \in K_t} m'^{k,t}$ . Furthermore, we use capital letters to refer to random variables, while small letters refer to their deterministic quantities. We can then compute the error as follows:

$$\mathbb{E}_{M^{k,t} \sim \operatorname{Bern}(\theta^{k,t}) \forall k \in K} \left[ \left\| \bar{\theta}^{g,t} - \hat{\bar{\theta}}^{g,t} \right\|_{2}^{2} \right] = \sum_{i=1}^{d} \mathbb{E}_{M_{i}^{k,t} \sim \operatorname{Bern}(\theta_{i}^{k,t}) \forall k \in K} \left[ \left( \bar{\theta}^{g,t} - \hat{\bar{\theta}}^{k,t} \right)^{2} \right]$$
(6)

$$=\sum_{i=1}^{d} \mathbb{E}_{M_{i}^{k,t} \sim \operatorname{Bern}(\theta_{i}^{k,t}) \forall k \in K} \left[ \left( \frac{1}{K} \sum_{k \in K} \left( M_{i}^{\prime k,t} - \theta_{i}^{k,t} \right) \right)^{2} \right]$$
(7)

$$= \frac{1}{K^2} \sum_{i=1}^{d} \mathbb{E}_{M_i^{k,t} \sim \operatorname{Bern}(\theta_i^{k,t}) \forall k \in K} \left[ \left( \sum_{k \in K} \left( M_i^{\prime k,t} - \theta_i^{k,t} \right) \right)^2 \right]$$
(8)

$$= \frac{1}{K^2} \sum_{i=1}^{a} \sum_{k \in K} \mathbb{E}_{M_i^{k,t} \sim \text{Bern}(\theta_i^{k,t})} \left[ \left( M_i^{\prime k,t} - \theta_i^{k,t} \right)^2 \right]$$
(9)

$$= \frac{1}{K^2} \sum_{i=1}^{a} \sum_{k \in K} \left( \mathbb{E}_{M_i^{k,t} \sim \operatorname{Bern}(\theta_i^{k,t})} \left[ (M'_i^{k,t})^2 \right] \right)$$
(10)

$$-2\theta_{i}^{k,t}\mathbb{E}_{M_{i}^{k,t}\sim\operatorname{Bern}(\theta_{i}^{k,t})}\left[\boldsymbol{M'}_{i}^{k,t}\right]+(\theta_{i}^{k,t})^{2}\Big)$$

$$= \frac{1}{K^2} \sum_{i=1}^{a} \sum_{k \in K} (\theta_i^{k,t} - (\theta_i^{k,t})^2) - 4 \cdot (2^{-p})(\theta_i^{k,t} - (\theta_i^{k,t})^2) + 2^{-p}$$
(11)

$$\leq \frac{d}{4K}$$
 (12)

We begin by expressing the expected squared  $L^2$  norm of the error  $\hat{\theta}^{g,t}$  and  $\bar{\theta}^{g,t}$  in (7). From (7) to (8), we use the definitions of  $\hat{\theta}^{g,t} = \frac{1}{K} \sum_{k \in K_t} m'^{k,t}$  and  $\bar{\theta}^{g,t} = \frac{1}{K} \sum_{k \in K_t} \theta_k^t$ . To move from (9) to (10), we use the fact that  $M'^{k,t}$  and  $M'^{l,t}$  are independent for  $k \neq l$ ; thus the expected value of cross-product terms in the expansion of squared sum of is zero. At (11), we utilize the fact that  $M'_i^{k,t}$  is a Bernoulli variable (meaning  $(M'_i^{k,t})^2 = M'_i^{k,t}$ ) and introduce the "bit-flip" error probability  $2^{-p}$  due to the probabilistic filters; thus its expected value is  $E[(M'_i^{k,t})^2 = (1 - 2^{-p})\theta_i^{k,t} + 2^{-p}\theta_i^{k,t}$ . Finally, in (13), given that the variance of a Bernoulli random variable is maximized when the probability of success is 0.5, and that the flipping process does not change this maximum possible variance - as  $2^{-p} < 1$  given  $p \in \{8, 16, 32\}$  –we concluded that the upper bound of the expected squared error is  $\frac{d}{4K}$ , where d is the number of clients. It is important to note that our probabilistic filter-based encoding provides the same upper-bound estimation error as (Isik et al., 2023); yet, it can achieve significant reductions in terms of required bpp for transmitting masks.

# **E. Privacy Implications of DeltaMask**

In FL, protecting privacy is essential, as model updates might inadvertently expose client data (Wang et al., 2020). DeltaMask employs probabilistic filters like binary fuse filters for stochastic mask updates, enhancing data privacy due to their reliance on multiple hashing operations sensitive to initial conditions. Establishing an initial *seed* through a secure channel with the server — leveraging a public-private key pairing — we mitigate the risk of eavesdropping on client updates to performing model inversion attacks. Crucially, the probabilistic filters' false positive rate, akin to an independent *bit-flipping* probability, functions as a local differential privacy safeguard. While providing absolute privacy guarantees is not the primary objective of DeltaMask, its hashing operations inherently boost privacy, a beneficial side effect. We leave further exploration of this to future work.

## **F.** Additional Experiments

#### F.1. Additional Experimental Details

**Training Parameters**: For our experiments, clients completed 1 local epoch per round with a batch size of 64 and Adam optimizer with a learning rate of 0.1. We adopted Bayesian aggregation, resetting the prior every  $\frac{1}{\rho}$  rounds, where  $\rho$  is the participation rate (as per (Isik et al., 2023)). In scenarios where  $\rho$  is less than 1.0, client selection in each round was randomized. In most experiments, we set  $\kappa$  to 0.8, except for those detailed in Fig.4a. We conducted 100 federated rounds for experiments with  $\rho$ =1 (both IID and non-IID settings) and increased the number of rounds to 200 and 300 for IID and non-IID experiments, respectively, when  $\rho << 1$ . Unless otherwise mentioned, we employed CLIP ViT-B/32 for experiments involving CLIP. We perform 3 independent runs and report the average accuracy on test-set in all our experiments.

Weight Initialization: The neural network  $p_{w_{\text{init}}}$  is initialized using weights  $w_{\text{init}} = (w_{\text{init},1}, w_{\text{init},2}, \dots, w_{\text{init},d}) \in \mathbb{R}^d$  derived from a pre-trained foundation model, yet, the classification head for downstream tasks is randomly initialized. This means that while the pre-trained

backbone offers high-quality features useful across various tasks, the randomly initialized classifier head significantly influences the model's overall performance. Prior research has sampled weights from a uniform distribution around the Kaiming initialization to find highly-performing subnetworks on randomly initialized network (Isik et al., 2023; Zhou et al., 2020; Ramanujan et al., 2020; Zhou et al., 2020). However, as we focus on pre-trained models, we allow the classification head to adapt during a single round of linear probing, where the rest of the model remains frozen. This yields more stable results and rapid convergence. For a fair comparison, we employ identical weights initialization methods across all considered baselines. We also investigate scenarios with extremely low bitrates, where, linear probing is not feasible in Appx. F.7.

**Baselines Configuration**: For FedMask, we set a binary threshold  $\tau$  (masking with  $m_i=1$  if  $\theta_i \geq \tau$ , and 0 otherwise) in the range [0.4, 0.6] for IID and [0.2, 0.0] for non-IID experiments, aligning with (Isik et al., 2023). In EDEN, a 1-bit gradient compression scheme was used to match the bitrate (*bpp*) of other baselines. Notably, EDEN's compression is model-dependent but yields nearly constant *bpp* reductions across all experiments. From DeepReduce compression using the P0-policy (Kostopoulou et al., 2021). Here, binary masks), and utilize only the Bloom filter-based index compression using the P0-policy (Kostopoulou et al., 2021). Here, binary masks were learned via stochastic mask training ((Isik et al., 2023)), ensuring operation near the 1 *bpp* regime and facilitating clear comparison with DeltaMask. For our comparison with FedPM, we use identical settings albeit the compression scheme with probabilistic filters of DeltaMask, to clearly illustrate the benefits of our approach. We conducted our experiments on NVIDIA A10 GPUs on an internal cluster server, using 2 GPUs per one run.

#### F.2. Additional Experiments in IID settings

In this section, we present additional experiments conducted under IID settings with varying participation rates ( $\rho$ ). To ensure a fair comparison, we included both Linear Probing, which involves adapting a single linear classifier atop the (frozen) pre-trained model, and full Fine-tuning, wherein only the layers modified in DeltaMask are fine-tuned. In Table 1, apart from report models' accuracies across tasks, we include the average *bpp* and accuracy across all tasks for a concise comparison.

Table 1. Performance evaluation of **DeltaMask** (Ours) in terms of average bitrate (bits-per-parameter) during FL training using Dir(10) over classes ( $C_p \approx 1.0$  / IID settings) for CLIP ViT-B/32. Federated parameters are set to N=30 and E=1. For  $\rho < 1$ , clients are randomly selected.

	Method	CIFAR-10	CIFAR-100	SVHN	EMNIST	Fashion-MNIST	EuroSAT	Food-101	Cars196	Avg. Acc	Avg. bpp
$\rho = 0.2$	Linear Probing	$92.12 \pm 0.007$	$67.23 \pm 0.011$	$59.70 \pm 0.016$	$89.89 \pm 0.008$	$89.05 \pm 0.010$	$94.81 \pm 0.009$	$67.58 \pm 0.014$	$59.87 \pm 0.016$	77.51	-
	Fine-tuning	$94.38 \pm 0.013$	$76.12 \pm 0.019$	$91.88 \pm 0.012$	$94.02 \pm 0.018$	$92.54 \pm 0.009$	$97.61 \pm 0.015$	$85.73 \pm 0.017$	$66.98 \pm 0.011$	87.48	32
	FedMask	$85.32\pm0.033$	$61.38\pm0.057$	$68.71\pm0.046$	$81.32\pm0.024$	$84.32\pm0.044$	$92.01\pm0.025$	$62.28\pm0.037$	$57.12\pm0.029$	74.06	1.0
	EDEN	$87.11 \pm 0.006$	$65.89 \pm 0.009$	$79.16 \pm 0.008$	$86.36 \pm 0.006$	$85.21 \pm 0.012$	$91.24 \pm 0.010$	$69.59 \pm 0.012$	$62.07 \pm 0.011$	78.33	0.703
	DeepReduce	$86.71 \pm 0.071$	$64.98\pm0.091$	$60.32\pm0.061$	$84.42\pm0.044$	$84.09\pm0.057$	$92.37\pm0.041$	$64.91\pm0.043$	$55.72\pm0.078$	73.61	1.123
	FedPM	$90.31\pm0.016$	$74.66\pm0.019$	$87.03\pm0.017$	$91.42\pm0.021$	$89.79\pm0.013$	$95.57\pm0.015$	$74.80\pm0.014$	$62.19\pm0.017$	83.22	0.946
	DeltaMask	$89.52\pm0.021$	$74.01\pm0.033$	$86.86\pm0.024$	$92.27\pm0.027$	$89.68\pm0.014$	$94.94\pm0.019$	$74.09\pm0.029$	$61.56\pm0.030$	82.87	0.197
	Linear Probing	$93.97\pm0.004$	$74.11\pm0.009$	$59.26\pm0.011$	$89.40\pm0.008$	$89.47 \pm 0.005$	$95.35\pm0.003$	$76.64\pm0.009$	$61.72\pm0.012$	79.99	-
$\rho = 1.0$	Fine-tuning	$94.50\pm0.010$	$77.35\pm0.009$	$92.72\pm0.012$	$94.89\pm0.010$	$92.98\pm0.013$	$98.24\pm0.011$	$86.72\pm0.009$	$67.23\pm0.014$	88.08	32
	FedMask	$90.84\pm0.028$	$70.64 \pm 0.057$	$74.32\pm0.039$	$84.22\pm0.031$	$88.64 \pm 0.029$	$95.09\pm0.038$	$68.46\pm0.034$	$61.59\pm0.039$	79.23	1.0
	EDEN	$93.15 \pm 0.009$	$72.02 \pm 0.010$	$86.67 \pm 0.007$	$91.55 \pm 0.009$	$90.40 \pm 0.012$	$95.34 \pm 0.010$	$80.02\pm0.004$	$63.98 \pm 0.008$	84.14	0.691
	DeepReduce	$88.17\pm0.034$	$68.59\pm0.069$	$62.34\pm0.056$	$86.92\pm0.073$	$85.44 \pm 0.031$	$94.12\pm0.043$	$67.92\pm0.075$	$58.42 \pm 0.041$	76.53	1.089
	FedPM	$93.58\pm0.014$	$75.56\pm0.011$	$88.76\pm0.013$	$93.45\pm0.015$	$92.10\pm0.009$	$96.45\pm0.019$	$83.45\pm0.013$	$65.23\pm0.014$	86.07	0.872
	DeltaMask	$93.50\pm0.019$	$74.82\pm0.023$	$87.95\pm0.021$	$92.52\pm0.019$	$91.27\pm0.023$	$95.64\pm0.017$	$82.73\pm0.024$	$64.94\pm0.026$	85.44	0.151

In Table 1, we note that DeltaMask achieves significant reductions in bitrate, while maintaining performance on par with fine-tuning. This is particularly evident in scenarios with  $\rho$  less than 1, where DeltaMask ability to reduce bitrate without compromising on accuracy highlights its effectiveness in federated learning environments with varying levels of client participation.

#### F.3. Additional Experiments in non-IID settings

In this section, we provide additional experiments performed under non-IID settings, where we varied the participation rate ( $\rho$ ). Similar to F.2, we include both Linear Probing and Fine-tuning for a rigorous evaluation. We report our findings in Table 2, where we also report the average *bpp* and accuracy across all tasks for a concise comparison of our baselines.

Table 2 reveals a notable improvement in DeltaMask performance, especially when the participation ratio  $\rho$  is less than 1, with only a 2% accuracy difference compared to fine-tuning. This is a critical observation, since non-IID data distributions coupled with partial client participation closely mirror the conditions of real-world federated settings. Furthermore, our analysis shows that methods using stochastic mask training, such as DeepReduce and FedPM, yield better final model accuracy under non-IID conditions than traditional compression schemes like EDEN or hard-thresholding masking techniques like FedMask. Interestingly, the CLIP ViT-B/32 model excels in non-IID scenarios, underscoring the robust generalization abilities of pre-trained foundation models, which are particularly advantageous in non-IID federated environments. This emphasizes the importance of adapting these models for edge computing, capitalizing on their capability to effectively handle diverse and complex data distributions.

Table 2. Performance evaluation of **DeltaMask** (Ours) in terms of average bitrate (bits-per-parameter) during FL training using Dir(0.1) over classes ( $C_p \approx 0.2$  / non-IID settings) for CLIP ViT-B/32. Federated parameters are set to N=30 and E=1. For  $\rho < 1$ , clients are randomly selected.

	Method	CIFAR-10	CIFAR-100	SVHN	EMNIST	Fashion-MNIST	EuroSAT	Food-101	Cars196	Avg. Acc	Avg. bpp
$\rho = 0.2$	Linear Probing	$84.51 \pm 0.019$ $92.50 \pm 0.024$	$49.04 \pm 0.022$ 70.20 ± 0.027	$43.16 \pm 0.020$ 87.30 ± 0.036	$82.41 \pm 0.035$ $92.00 \pm 0.057$	$86.29 \pm 0.024$ 88.25 $\pm 0.030$	$91.63 \pm 0.022$ 95.56 $\pm 0.029$	$51.54 \pm 0.021$ 70.38 $\pm 0.034$	$47.92 \pm 0.038$ 60.11 ± 0.051	67.06 83.10	-
	Fine-tuning FedMask EDEN	$\begin{array}{r} 92.39 \pm 0.024 \\ 83.14 \pm 0.059 \\ 87.87 \pm 0.037 \end{array}$	$51.66 \pm 0.119$ $64.62 \pm 0.106$	$87.39 \pm 0.030$ $51.78 \pm 0.049$ $81.06 \pm 0.081$	$92.00 \pm 0.037$ $83.75 \pm 0.078$ $86.73 \pm 0.050$	$\frac{88.23 \pm 0.039}{85.91 \pm 0.073}$	$93.36 \pm 0.029$ $90.05 \pm 0.074$ $90.22 \pm 0.062$	$53.19 \pm 0.063$ 72.55 ± 0.056	$51.37 \pm 0.105$ $58.71 \pm 0.034$	68.86 78.56	1.0 0.715
	DeepReduce FedPM	$86.07 \pm 0.097$ $90.70 \pm 0.045$	$64.39 \pm 0.088$ $67.42 \pm 0.095$	$82.92 \pm 0.071$ $87.51 \pm 0.079$	$\begin{array}{c} 85.175 \pm 0.086 \\ 85.14 \pm 0.084 \\ 89.77 \pm 0.095 \end{array}$	$\begin{array}{c} 83.91 \pm 0.067 \\ 88.42 \pm 0.092 \end{array}$	$86.12 \pm 0.002$ $93.57 \pm 0.067$	$52.92 \pm 0.055 \\ 76.80 \pm 0.076$	$\begin{array}{c} 50.01 \pm 0.001 \\ 49.72 \pm 0.110 \\ 59.06 \pm 0.098 \end{array}$	73.90 81.64	1.173 0.948
	DeltaMask	$90.32\pm0.083$	$66.90\pm0.051$	$87.36\pm0.093$	$89.09\pm0.047$	$86.91\pm0.067$	$93.54\pm0.101$	$76.39\pm0.086$	$58.52\pm0.102$	81.13	0.233
ρ = 1.0	Linear Probing Fine-tuning	$\begin{array}{c} 91.46 \pm 0.026 \\ 93.61 \pm 0.048 \end{array}$	$\begin{array}{c} 71.96 \pm 0.025 \\ 75.49 \pm 0.052 \end{array}$	$\begin{array}{c} 46.03 \pm 0.017 \\ 90.10 \pm 0.063 \end{array}$	$\begin{array}{c} 84.57 \pm 0.031 \\ 93.13 \pm 0.037 \end{array}$	$\begin{array}{c} 87.13 \pm 0.018 \\ 91.06 \pm 0.041 \end{array}$	$\begin{array}{c} 92.98 \pm 0.016 \\ 97.02 \pm 0.034 \end{array}$	$\begin{array}{c} 68.70 \pm 0.028 \\ 84.71 \pm 0.013 \end{array}$	$\begin{array}{c} 54.03 \pm 0.032 \\ 64.93 \pm 0.063 \end{array}$	74.61 <b>86.26</b>	32
	FedMask EDEN DeepReduce FedPM	$\begin{array}{c} 88.42 \pm 0.051 \\ 92.14 \pm 0.043 \\ 87.33 \pm 0.052 \\ 92.99 \pm 0.045 \end{array}$	$\begin{array}{c} 63.04 \pm 0.081 \\ 71.65 \pm 0.060 \\ 67.19 \pm 0.061 \\ 74.34 \pm 0.023 \end{array}$	$\begin{array}{c} 64.32 \pm 0.073 \\ 86.28 \pm 0.057 \\ 83.19 \pm 0.048 \\ 89.35 \pm 0.025 \end{array}$	$\begin{array}{c} 86.41 \pm 0.039 \\ 90.87 \pm 0.046 \\ 85.71 \pm 0.082 \\ 92.65 \pm 0.098 \end{array}$	$\begin{array}{c} 86.39 \pm 0.044 \\ 89.94 \pm 0.034 \\ 84.52 \pm 0.075 \\ 91.33 \pm 0.041 \end{array}$	$\begin{array}{c} 91.67 \pm 0.031 \\ 93.26 \pm 0.035 \\ 92.12 \pm 0.060 \\ 95.37 \pm 0.048 \end{array}$	$\begin{array}{c} 68.04 \pm 0.050 \\ 78.79 \pm 0.083 \\ 69.11 \pm 0.092 \\ 83.69 \pm 0.076 \end{array}$	$54.39 \pm 0.089 \\ 61.18 \pm 0.027 \\ 60.31 \pm 0.094 \\ 63.65 \pm 0.074$	75.34 83.01 78.69 85.42	1.0 0.703 1.092 0.901
	DeltaMask	$92.84\pm0.083$	$73.69\pm0.051$	89.01 ± 0.085	$91.92\pm0.089$	$91.27\pm0.055$	$94.54\pm0.103$	$83.48\pm0.081$	$63.47\pm0.096$	85.03	0.191

#### F.4. Experiments in ImageNet datasets

In this section, we extend our evaluation in more complex tasks to assess the effectiveness of DeltaMask to fine-tune FMs in federated settings in a communication-efficient manner under datasets of larger complexity. For this, we perform experiments on Tiny-ImageNet (Le & Yang, 2015) with both CLIP ViT-B/32 and CLIP ViT-L/14. The results, reported on Table 3 showcase that DeltaMask can effectively fine-tune FMs in more complex tasks, such as ImageNet datasets, while maintaining the same efficiency in terms of *bpp*.

Table 3. Evaluation of DeltaMask using Dir(10.0) over classes ( $C_p \approx 1.0$  / IID settings) across CLIP architectures in Tiny-ImageNet (Le & Yang, 2015).

Method	CLIP V	'iT-B/32	CLIP ViT-L/14		
	Accuracy	Avg. bpp	Accuracy	Avg. bpp	
Fine-tuning	86.12	32	89.02	32	
FedPM	84.22	0.871	87.04	0.862	
DeltaMask(Ours)	83.76	0.201	86.57	0.218	

#### F.5. Generalization across Neural Architectures and Pre-training Strategies

Here, we evaluate DeltaMask ability to work across various neural architectures pre-trained in a different self-supervised manner. We train masks for downstream task adaptation in a communication-constrained FL environment. For this, we perform experiments with N=10 on additional (larger) ViT architectures, namely CLIP-Large and DINOv2-Large, as well as a pure convolution-based architecture, ConvMixer-768/32 on CIFAR-100 as a downstream classification task. In all experiments, we mask the last 5 blocks, as discussed in Sec. 3. From Table 4, DeltaMask demonstrates robust adaptability across diverse pre-trained architectures in a FL setup with communication constraints. Notably, DeltaMask performance on large ViT architectures yield accuracies near those of fine-tuning, notably with CLIP ViT-L/14 slightly surpassing it. This is significant, considering the communication efficiency depicted by the average bitrate, which remains close to 0.2 bpp across all architectures. ConvMixer-768/32 also adapts well with DeltaMask, showing a modest accuracy reduction while meeting communication constraints. These results reinforce our method's suitability across diverse architectures, allowing for communication-efficient downstream task adaptation of FMs in a federated setting.

Table 4. Evaluation of DeltaMask using Dir(10.0) over classes ( $C_p \approx 1.0$  / IID settings) across architectures and pre-training strategies. Federated parameters are set to N=10,  $\rho=1$  and E=1.

Metric	CLIP ViT-B/32	CLIP ViT-L/14	DINOv2-Base	DINOv2-Small	ConvMixer-768/32
Fine-tuning DeltaMask (Ours)	$\begin{array}{c} 77.35 \pm 0.009 \\ 75.82 \pm 0.023 \end{array}$	$\begin{array}{c} 89.07 \pm 0.012 \\ 89.48 \pm 0.031 \end{array}$	$\begin{array}{c} 75.01 \pm 0.007 \\ 73.36 \pm 0.027 \end{array}$	$\begin{array}{c} 65.55 \pm 0.019 \\ 63.01 \pm 0.033 \end{array}$	$\begin{array}{c} 78.52 \pm 0.009 \\ 75.31 \pm 0.021 \end{array}$
Avg. bpp	$0.207\pm0.001$	$0.225\pm0.002$	$0.197\pm0.001$	$0.214\pm0.001$	$0.251\pm0.001$

#### F.6. DeltaMask Efficiency on Edge Devices

In this section, we evaluate the runtime resource demands—computation and energy—of our probabilistic filter compression on three popular embedded platforms: NVIDIA Jetson Nano (4GB), Raspberry Pi 4 (4GB), and Coral Dev Board (1GB). These platforms were selected for their widespread use and capability to run machine learning tasks at the edge. To measure energy consumption, we used a Raspberry Pi 4 equipped with a Current/Power Monitor HAT, monitoring each device's energy use with a 0.1 Ohm sampling resistor. Our tests, conducted over 5 runs, record the average runtime (in milliseconds) and energy usage (in nano Joules) for different probabilistic filters with varying bits per entry (8, 16, and 32), as detailed in Table 5.

*Table 5.* Average energy and latency benchmarking of the considered probabilistic filters across different devices. The CPU execution time (ms) and estimated energy consumption (nJ) per entry is computed over 10M entries.

Filter	Metric	Raspberry Pi 4	Coral Dev Board	Jetson Nano
Xor8	CPU Execution Time (ms) Energy Consumption (nJ)	$\begin{array}{c} 0.942 \pm 0.0165 \\ 3.223 \pm 0.0023 \end{array}$	$\begin{array}{c} 1.682 \pm 0.0059 \\ 2.826 \pm 0.0011 \end{array}$	$\begin{array}{c} 0.479 \pm 0.0001 \\ 2.334 \pm 0.0012 \end{array}$
Xor16	CPU Execution Time (ms) Energy Consumption (nJ)	$\begin{array}{c} 0.955 \pm 0.0250 \\ 4.052 \pm 0.0032 \end{array}$	$\begin{array}{c} 1.683 \pm 0.0008 \\ 3.580 \pm 0.0003 \end{array}$	$\begin{array}{c} 0.502 \pm 0.0001 \\ 3.386 \pm 0.0016 \end{array}$
Xor32	CPU Execution Time (ms) Energy Consumption (nJ)	$\begin{array}{c} 0.978 \pm 0.0278 \\ 6.292 \pm 0.0021 \end{array}$	$\begin{array}{c} 1.701 \pm 0.0006 \\ 4.732 \pm 0.0008 \end{array}$	$\begin{array}{c} 0.539 \pm 0.0005 \\ 4.692 \pm 0.0023 \end{array}$
BFuse8	CPU Execution Time (ms) Energy Consumption (nJ)	$\begin{array}{c} \textbf{0.587} \pm \textbf{0.0059} \\ \textbf{2.045} \pm \textbf{0.0019} \end{array}$	$\begin{array}{c} \textbf{1.144} \pm \textbf{0.0035} \\ \textbf{1.979} \pm \textbf{0.0015} \end{array}$	$\begin{array}{c} \textbf{0.289} \pm \textbf{0.0013} \\ \textbf{1.829} \pm \textbf{0.0023} \end{array}$
BFuse16	CPU Execution Time (ms) Energy Consumption (nJ)	$\begin{array}{c} 0.590 \pm 0.0066 \\ 3.262 \pm 0.0020 \end{array}$	$\begin{array}{c} 1.183 \pm 0.0029 \\ 2.898 \pm 0.0017 \end{array}$	$\begin{array}{c} 0.282 \pm 0.0002 \\ 2.157 \pm 0.0033 \end{array}$
BFuse32	CPU Execution Time (ms) Energy Consumption (nJ)	$\begin{array}{c} 0.612 \pm 0.0054 \\ 4.021 \pm 0.0026 \end{array}$	$\begin{array}{c} 1.201 \pm 0.0017 \\ 3.771 \pm 0.0022 \end{array}$	$\begin{array}{c} 0.301 \pm 0.0002 \\ 3.263 \pm 0.0012 \end{array}$

From the results, we clearly notice that all filter variants demand limited computational resources, both in terms of execution time and energy requirements. BFuse8 is particularly notable for its efficiency, requiring only an average execution time of 0.673 milliseconds and consuming just 1.95 nano Joules of energy across the considered devices. This underscores the practicality of our probabilistic filter-based compression scheme in federated settings, where devices are often constrained by limited computational capabilities and strict energy budgets. Additionally, our analysis shows that even with an increase in the bits-per-entry (*bpe*) parameter, the rise in execution time and energy consumption is quite modest. This is particularly noteworthy given the simultaneous improvement in the false positive rate, which is inversely proportional to  $2^{-bpe}$ . This pattern suggests a beneficial trade-off between accuracy and resource utilization, reinforcing the adaptability and effectiveness of our approach in federated learning scenarios that prioritize computational efficiency and energy conservation.

## F.7. Comparing Classifier Heads in DeltaMask

In DeltaMask, we enable the classification head to adapt in a single linear probing round, while freezing the rest of the model. This approach produces more stable outcomes and quicker convergence than previous methods (Isik et al., 2023; Zhou et al., 2020; Ramanujan et al., 2020; Zhou et al., 2020) that used Kaiming initialization to identify high-performing subnetworks in randomly initialized networks. Although the classification head typically has fewer parameters, scenarios requiring extremely low bitrates make transmitting even a single round's floating-point weights impractical. In this section, we explore such situations, investigating different alternatives for the classifier layer. Specifically, we replace the linear classifier with a Gaussian Naive Bayes classifier from FiT (Shysheya et al., 2022), specifically *FIT-LDA*. This classifier is data-driven, with a minimal number of learnable parameters (2 float-point values), making it ideal for our purpose. In our analysis, we utilize CLIP ViT-B/32, masking the last five transformer blocks and compare DeltaMask<sub>FiT</sub> against both a single-round trained linear classifier (DeltaMask<sub>LP</sub>) and a Kaiming initialized (frozen) classifier (DeltaMask<sub>He</sub>).

Table 6. Evaluating Classifier Initialization Schemes in **DeltaMask**. Comparing Average Bitrate and Accuracy in FL Training using Dir(10) over classes ( $C_p \approx 1.0$  / **IID settings**) for CLIP ViT-B/32. Federated parameters are set to N=30 and E=1.

Method	CIFAR-10	CIFAR-100	SVHN	EMNIST	Fashion-MNIST	EuroSAT	Food-101	Cars196	Avg. Acc	Avg. bpp
Fine-tuning	$94.50\pm0.010$	$77.35\pm0.009$	$92.72\pm0.012$	$94.89\pm0.010$	$92.98\pm0.013$	$98.24\pm0.011$	$86.72\pm0.009$	$67.23\pm0.014$	88.08	32
DeltaMask <sub>He</sub>	$90.28\pm0.052$	$67.34\pm0.069$	$84.09\pm0.063$	$87.32\pm0.081$	$87.69\pm0.034$	$93.22\pm0.073$	$78.05\pm0.028$	$58.74 \pm 0.084$	80.84	0.143
${\tt DeltaMask}_{\rm FiT}$	$93.42\pm0.023$	$71.17\pm0.041$	$86.31\pm0.039$	$92.09\pm0.021$	$89.87\pm0.026$	$95.53\pm0.019$	$81.71\pm0.033$	$60.01\pm0.029$	83.76	0.145
${\tt DeltaMask}_{\rm LP}$	$93.50\pm0.019$	$74.82\pm0.023$	$87.95\pm0.021$	$92.52\pm0.019$	$91.27\pm0.023$	$95.64\pm0.017$	$82.73\pm0.024$	$64.94\pm0.026$	85.44	0.151

From Table 6, we notice that  $DeltaMask_{LP}$  outperforms other initialization methods by over 2% without significantly increasing the bitrate, while *FiT* can be an effective alternative to Kaiming initialization, increasing accuracy by  $\approx 3\%$ . More importantly, these findings highlight the importance of appropriate classifier layer initialization during fine-tuning of foundation models in downstream tasks. However, we demonstrate that a single fine-tuning round of the classifier layer, with the remaining model frozen, is an effective strategy with minimal impact on the communicated bitrate.