Data Augmentation Transformations for Self-Supervised Learning with Ultrasound

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Abstract

1	Central to joint embedding self-supervised learning is the choice of data augmenta-
2	tion pipeline used to produce positive pairs. This study developed and investigated
3	data augmentation strategies for medical ultrasound. Three pipelines were studied:
4	BYOL augmentations (as a baseline), AugUS-v1 – a pipeline designed to retain se-
5	mantic content, and AugUS-v2 – a pipeline designed from baseline and AugUS-v1
6	transformations. Evaluation of SimCLR-pretrained models on diagnostic down-
7	stream tasks in lung ultrasound yielded mixed results. The use of AugUS-v1 led to
8	the best performance on COVID-19 classification on a public dataset. However,
9	BYOL and AugUS-v2 outperformed AugUS-v1 on A-line versus B-line classifica-
10	tion. AugUS-v2 decidedly obtained the greatest performance on pleural effusion
11	detection. The salient findings were that ultrasound-specific transformations may
12	be suitable for some tasks more than others, and that the random crop and resize
13	transformation was instrumental for all tasks.

14 **1 Introduction**

Automated interpretation of medical ultrasound (US) images is increasingly implemented using deep 15 learning strategies [1]. Despite early successes, investigators are limited by the lack of publicly avail-16 17 able datasets [2, 3]. It is common for researchers to leverage private repositories of US examinations when accessible, as they may contain far more examinations. Given the expense required to manually 18 annotate US examinations, researchers are turning to self-supervised learning (SSL) methods to 19 pretrain deep neural networks using large unlabeled collections of US data [4]. However, studies 20 investigating joint embedding SSL for US report using standard transformations popularized by SSL 21 publications that did not evaluate on medical imaging datasets [5–11]. 22

In this study, we developed AugUS-v1 - sets of data augmentation transformations tailored to 23 medical US images. The pipeline was developed from a series of pre-existing and novel stochastic 24 transformations, informed by guidelines in the SSL literature and rooted in an understanding of 25 invariance relationships in ultrasound. It contains geometric transformations (e.g., probe type change 26 simulation), intensity transformations (e.g., contrast change), and texture transformations (e.g., 27 speckle noise simulation). We also developed AugUS-v2 - a data augmentation pipeline that combines 28 the most impactful transformations from AugUS-v1 and the BYOL pipeline [12], which is widely 29 used in computer vision applications. After evaluating on multiple diagnostic downstream tasks, we 30 found that US-specific transformations alone resulted in the greatest improvement in performance for 31 COVID-19 classification on a public dataset. However, experiments revealed that the baseline and 32 AugUS-v2 pipelines – which may produce semantically inconsistent pairs – outperformed AugUS-v1 33 on the tasks of A-line versus B-line classification (AB) and pleural effusion classification PE in lung 34 US. In summary, our contributions are as follows: 35

- Novel transformations for stochastic data augmentation with US images, such as changes to the beam shape and simulation of noise found in US images.
- The development and evaluation of data augmentation pipelines for SSL in US

³⁹ To our knowledge, this study is the first to explore data augmentation methods for SSL with ultrasound.

40 We are hopeful that the transformations proposed in this study may contribute to the development of

41 foundation models for medical US.



Figure 1: Overview of our methods. A batch of ultrasound images (a) is subjected to ultrasound-specific stochastic transformations (b) twice to create pairs of images for self-supervised learning (c).

42 **2** Methods

Datasets: We assess our methods using public and private lung US data. COVIDx-US is a public
COVID-19 lung US dataset consisting of 242 publicly sourced videos, acquired from a variety of
manufacturers and sites [13]. Each example is annotated with one of the following classes: normal,
COVID-19 pneumonia, non-COVID-19 pneumonia, and other lung pathology. Referred to as COVID
hereafter, the task is a four-class classification problem. Since there is no standard test partition,
we split the data by patient identifier into training (70%), validation (15%), and test (15%) splits.
Pretraining is conducted using the training split.

The second data source is a private collection of lung ultrasound examinations originating from one 50 local and one external institution. Access to this data was granted by [redacted organization] on 51 [redacted date].¹ The dataset contains both parenchymal and pleural views of the lung. A subset of 52 the parenchymal views have binary labels for the presence of A-lines or clinically significant B-lines 53 (i.e., the AB task). A-lines are horizontal artifacts signifying normal lung, while B-lines are ray-like 54 artifacts that are generally indicative of abnormal lung tissue [14]. Some of the pleural views have 55 labels for the presence or absence of pleural effusion (i.e., the PE task), which refers to a typically 56 pathological collection of fluid between the pleura of the lung. Pretraining is conducted using all 57 unlabelled examples and all labelled examples in the training split. Table 1 provides the video and 58 class counts of the private dataset. 59

Novel Transformations: We developed novel transformations that could serve as components in a
 novel data augmentation pipeline for SSL with US. Transformations included probe type change, beam
 convexity change, wavelet transform denoising, depth change simulation, speckle noise application,
 and salt & pepper noise application. Refer to Fig. 2 for a visual example of each transformation.
 Algorithmic details for each transformation are provided in Appendix A.

AugUS-v1: Several SSL studies applied to photographic or medical imaging datasets adapt the
 BYOL data augmentation pipeline used by Grill *et al.* [12]. With invariance relationships for the
 US modality in mind, we designed *AugUS-v1* – a data augmentation pipeline specific to ultrasound
 images. AugUS-v1 includes both the novel US transformations and a selection of transformations
 from BYOL that were selected to preserve information while imposing nontrivial differences across

¹Omitted to protect anonymity during the review process.

		External		
	Train	Validation	Test	Test
Videos	5679	1184	1249	925
AB labels	2067/999	459/178	458/221	286/327
PE labels	789/762	176/142	162/158	68/110
Patients	1702	364	364	168

Table 1: Breakdown of the private dataset. x/y indicates the number of labeled videos in the negative and positive class for each binary classification task.

invocations. Lists of all transformations constituting the BYOL and AugUS-v1 pipelines may be 70 found in Appendix B. 71

AugUS-v2: To construct AugUS-v2, we conducted 72 an ablation study that revealed which transforma-73 tions were contributory to downstream performance 74 across tasks. We pretrained several models for each 75 dataset using SimCLR [15], for each of the BYOL 76 and AugUS-v1 pipelines. A model was pretrained 77 using each complete augmentation pipeline to es-78 tablish baseline performance. Pretraining was re-79 peated for each transformation in the pipeline, using 80 an incomplete version of the pipeline in which that 81 transformation was omitted. Linear classifiers were 82 83 trained using each feature extractor. The maximum validation area under the receiver operating curve 84 (AUC) across epochs was recorded (values are re-85 ported in Appendix C). Of note was the decrease 86 in performance without the random crop and resize 87 (RCR) transform, which was unexpected because it 88 frequently omits large portions of the image contain-89 ing the artifacts to be detected. Transformations that, 90 when excluded, did not improve validation AUC com-91



pared to baselines were included in AugUS-v2. 92

Figure 2: An example of applying each novel transformation to the same US image.

Experiments & Evaluation 3 93

Linear Classification: Table 2 reports the test AUC achieved by linear classifiers trained using 94 pretrained models' feature representations. AugUS-v1 achieved the greatest performance on COVID, 95 BYOL and AugUS-v2 performed comparably on AB, and AugUS-v2 obtained the greatest test AUC 96 97 on PE. Figure 4 offers visual insight into the separability of pretrained representations for each task. **Fine-Tuning:** As shown in Table 2, the AugUS-v2 and BYOL pipelines performed comparably 98

99 well on the AB task on both the local and external test sets. AugUS-v2 attained greater local and external test AUC than the BYOL pipeline on PE. On the COVID task, AugUS-v1 was observed 100 to have the greatest test performance, with AugUS-v2 achieving the lowest metrics. The test split 101 of the comparatively smaller COVIDx-US may have been particularly difficult, as validation set 102 103 performance was markedly greater across all pipelines. Training details are in Appendix D.

Label Efficiency: To assess performance in low-label settings, pretrained models were fine-tuned on 104 subsets of approximately 5% of the private dataset's training set and evaluated on the test set. The 105 training set was split randomly by patient identifier and stratified by label. Note that splitting by 106 patient creates a difficult learning problem, since all images belonging to the same video or patient 107 are related. Figure 3 displays the test AUC distribution across trials. One-way repeated ANOVA 108 revealed that the means of the test AUC across trials were significantly different for both AB and PE. 109 Post-hoc paired *t*-tests were performed between each condition, using the Bonferroni correction with 110 a family-wise error rate of $\alpha = 0.05$. All means were significantly different for AB, except for those 111 between the BYOL and AugUS-v2 pipelines. For PE, all means were significantly different. 112

Weights	Pipeline	LINEAR CLASSIFICATION				Fine-Tuning			
		COVID	AB	PE	COVID	ID AB		PE	
			Local	Local		Local	External	Local	External
Random	-	0.500	0.500	0.500	0.528	0.949	0.816	0.811	0.770
ImageNet	-	0.627	0.950	0.884	0.690	0.947	0.799	0.851	0.801
SimCLR	BYOL	0.779	0.966	0.870	0.449	0.971	0.876	0.870	0.825
SimCLR	AugUS-v1	0.820	0.947	0.852	0.787	0.746	0.634	0.746	0.611
SimCLR	AugUS-v2	0.684	0.962	0.903	0.696	0.970	0.876	0.895	0.889

Table 2: Test set AUC obtained by models fine-tuned for each of the COVID, AB, and PE tasks. For COVID, the average across the classes is reported.



Figure 3: Distribution of test AUC for classifiers trained on disjoint subsets of 5% of the patients in the training partition of the private dataset

Figure 4: t-SNE projections for test set embeddings produced by pretrained models, for all tasks and data augmentation pipelines.

113 4 Discussion

The results indicated that the choice of data augmentation pipeline can greatly affect the performance 114 of deep classifiers pretrained using SSL. The handcrafted US-specific pipeline, AugUS-v1, led to the 115 116 strongest performance for the COVID task, which is a difficult multi-class problem with little data 117 available. However, the AugUS-v1 pipeline resulted in worse performance on AB and PE than not pretraining at all. The baseline BYOL pipeline performed comparably to the AugUS-v2 pipeline, 118 which was empirically designed to combine the best of the BYOL and AugUS-v1 pipelines. However, 119 use of the AugUS-v2 pipeline led to the greatest performance on the PE task, for which less labels 120 were available compared to AB. 121

A salient result was the impact of the RCR transformation, which is in the BYOL pipeline. In the
 context of US, this transformation can obliterate artifacts and structures necessary for interpretation.
 Despite this, ablating RCR had the greatest impact on validation set AUC for each task.

The main conclusion was that the use of data augmentation designed to preserved semantic content did not assuredly lead to improved downstream performance for US diagnostic tasks. A limitation of this study is that SimCLR was the only SSL method investigated. Additionally, some of the novel transformations are computationally expensive – with an efficient choice of feature extractor, the augmentation pipeline becomes a bottleneck during training. Future work can apply the methods of this study to assess the impact of data augmentation pipelines for US diagnostic tasks outside of the lung and for other SSL objectives.

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197 A Novel Ultrasound Transformations

To compose the novel transformations, we required information regarding the shape of the US beam. 198 We adopt the naming convention for the vertices of the US beam [16]. Let p_1, p_2, p_3 , and p_4 represent 199 the respective locations of the top left, top right, bottom left, and bottom right vertices, and let $\langle x_i, y_i \rangle$ 200 be the x- and y-coordinates of p_i in image space. For convex beam shapes, we denote the intersection 201 of lines $\overline{p_1 p_3}$ and $\overline{p_2 p_4}$ as p_0 . The angle at between the vertical and line $\overline{p_0 p_i}$ for some point p_i in 202 image space is denoted as θ_i Fig. 5 depicts the arrangement of these points for each of the three main 203 US beam shapes: linear, curvilinear, and phased array. A software tool was used to estimate the 204 beam vertices and probe type for all videos in each dataset (UltraMask, Deep Breathe Inc., London, 205 Canada). 206



Figure 5: Locations of the named beam vertices for each of the three main beam shapes in US imaging

Probe Type Change: Since US dataset may be acquired with different probes, there often exist 207 examples with different beam shapes. Inspired by Zeng et al.'s work [17] that proposed projective 208 and piecewise affine transforms for simulating a conversion between linear and convex beam shapes, 209 we developed a transformation that resamples an image according to a different beam shape using a 210 mapping $\mathbf{f}: \mathbb{R}^2 \to [-1,1]^2$ that determines pixel locations to sample in the original image, given 211 an input coordinate in the new image. For point (x, y) in the sampled image, Linear beam shapes 212 are converted to curvilinear shapes, and curvilinear and phased array shapes are converted to linear. 213 Algorithm 1 details the calculation of $f_{\ell \to c}$ for converting linear beams to convex beams with a 214 random radius factor $\rho \sim \mathcal{U}(1,2)$, along with new beam vertices. Similarly, curvilinear and phased 215 array beam shapes are converted to linear beam shapes, as detailed in Algorithm 2.² 216

Convexity Change: The shape of convex beams can vary, depending on the manufacturer, depth, and field of view of the probe. We produced a transformation that resamples an image to modify the beam shape such that the distance between x_1 and x_2 is different, mimicking a change in θ_0 . Depending on the change in θ_0 , p_0 is translated vertically, and a new beam shape is computed accordingly. A pixel map $\mathbf{f}_{c \to c'}$ is computed according to Algorithm 3.

Wavelet Denoising: The quality of ultrasound images is often marred by speckle noise, Gaussian 222 noise, and salt & pepper (S&P) noise [18]. We implemented an alternative to the common blur 223 transformation used in data augmentation for SSL. Following the soft thresholding method by Birgé 224 225 and Massart [19], we apply a wavelet transform, conduct thresholding, then apply the inverse wavelet transform. The mother wavelet is randomly chosen from a set, and the sparsity parameter α is 226 sampled from a uniform distribution. We designated $\{db2, db5\}$ as the set of mother wavelets, which 227 is a subset of those identified by Vilimek et al.'s assessment [18] as most suitable for denoising US 228 images. 229

²Since the private dataset was resized to square images that exactly encapsulated the beam, some steps in Algorithms 1, 2, and 3 were modified to account for the resulting non-circular bottom bound of the beam.

Algorithm 1 Compute a point mapping for linear to curvilinear beam shape, along with new beam vertices

Require: Beam vertices p_1, p_2, p_3, p_4 ; radius factor ρ ; coordinate maps $\mathbf{x} = \mathbf{1}_{h \times 1}[0, 1, \dots, w-1]$ and $\mathbf{y} = [0, 1, \dots, h-1]\mathbf{1}_{1 \times w}$ 1: $r_h \leftarrow \rho(y_3 - y_1)$ \triangleright *Bottom sector radius*

Algorithm 2 Compute a point mapping for convex to linear beam shape, along with new beam vertices

Depth Change Simulation: Changing the depth controls on an ultrasound probe impacts how far the range of visibility is from the probe. We simulate a change in depth by implementing a controlled zoom that preserves the centre for linear beam shapes and preserves p_0 for convex beam shapes. The magnitude of the zoom transformation, d, is randomly sampled from a uniform distribution. Increasing the depth corresponds to zooming out (d > 1), while decreasing the depth corresponds to zooming in (d < 1).

Speckle Noise Simulation: To simulate the addition of speckle noise, we implemented the synthetic speckle noise algorithm by Singh *et al.* [20]. The lateral resolution (δ_ℓ) axial resolution (δ_a) for interpolation and the number of synthetic phasors (*M*) are randomly drawn from uniform distributions. Sample points on the image are evenly spaced in Cartesian coordinates and polar coordinates for linear beams and convex beams, respectively.

Salt & Pepper Noise Simulation: Imitation of S&P noise was implemented by sampling a random assortment of points in the image and setting their intensities to 255 (salt) or 0 (pepper). The fractions of pixels set to salt and pepper values – f_S and f_P , respectively – are drawn from a uniform distribution.

Algorithm 3 Compute a point mapping from an original to a modified convex beam shape.

245 **B** Data Augmentation Pipeline Details

Tables 3 and 4 detail the transformations in the BYOL and AugUS-v1 pipelines, respectively, along with estimates of the time to transform a single image, averaged over 1000 trials using the same image. For clarity, we assign each transformation an alphanumeric identifier and express a data augmentation pipeline as an ordered sequence of identifiers. Note that we use the symmetrized version of the BYOL pipeline, as in the VICReg paper [21].

Table 3: The sequence of transformations in the BYOL data augmentation pipeline [12]

Identifier	Probability	Transformation	Time [ms]
B00	1.0	Random cropping of $c \sim \mathcal{U}(0.08,1)$ of the image's area, then resizing to original dimensions	0.29
B01	0.5	Horizontal reflection	0.08
B02	0.8	Color jitter, with maximum brightness, contrast, saturation, and hue changes by up to $0.4, 0.4, 0.2$, and 0.1 , respectively.	2.40
B03	0.2	Conversion to grayscale	0.19
B04	0.5	Gaussian Blur with a kernel size of $23/224$ of the image's height and standard deviation $\sigma\sim\mathcal{U}(0.1,2.0)$	0.74
B05	0.1	Solarization, with intensity threshold 128	0.15

251 C Transformation Ablation Study for AugUS-v2 Design

Table 5 details the results of the transformation ablations. Removal of random crop and resize (RCR) from the BYOL pipeline resulted in the most dramatic performance reduction. Omission of the horizontal flip and rotation & shift transformations also resulted in notable performance drop. In terms of the transformation identifiers from Tables 3 and 4, the AugUS-v2 pipeline can be expressed as the following sequence: [*U01, U03, U04, U05, U10, U11, B00, B04*].

Identifier	Probability	Transformation	Time [ms]
U00	0.3	Probe type change with $\rho \sim \mathcal{U}(1,2)$ and $\omega \sim \mathcal{U}(0.5,1)^{\dagger}$	2.25
U01	0.75	Convexity change with $w' \sim \mathcal{U}(0, 0.75)^{\dagger}$	1.92
U02	0.5	Wavelet denoising with $\alpha \sim \mathcal{U}(2.5, 3.5)$, $J_0 = 2, J = 3$, and the mother wavelet set $\{db2, db5\}^{\dagger}$ 5.00	
U03	0.2	Contrast-limited adaptive histogram equalization with a clip limit of $c \sim \mathcal{U}(30, 50)$ and 8-pixel square tiles	4.64
U04	0.5	Gamma correction with $\gamma \sim \mathcal{U}(0.5, 1.75)$	0.52
U05	0.5	Brightness and contrast changes by up to 0.4 each.	0.49
U06	0.5	Depth change with $d \sim \mathcal{U}(0.8, 2)^{\dagger}$	1.76
U07	0.333	Speckle noise with $\delta_{\ell} \sim \mathcal{U}(35, 45), \ \delta_a \sim \mathcal{U}(75, 85)$, and $\delta_{\ell} \sim \mathcal{U}(5, 10)^{\dagger}$	3.69
U08	0.333	Gaussian noise with standard deviation $\sigma \sim \mathcal{U}(0.5, 2.5)$	0.28
U09	0.1	Salt & Pepper noise with salt and pepper fractions $f_S, f_P \sim \mathcal{U}(0.001, 0.005)^{\dagger}$	0.18
U10	0.5	Horizontal reflection	0.19
U11	0.5	Rotation & shift	1.42

Table 4: The sequence of transformations in the US-specific augmentation pipeline

[†] Described in Appendix A

Pipeline	Pipeline Left-out transform		AB	PE
	None	0.891	0.967	0.875
	B00	0.711	0.887	0.741
	B01	0.925	0.969	0.866
BYOL	B02	0.868	0.967	0.858
	B03	0.902	0.967	0.868
	B04	0.873	0.966	0.866
	B05	0.921	0.971	0.870
	None	0.902	0.953	0.856
	U00	0.897	0.965	0.868
	U01	0.891	0.949	0.851
	U02	0.887	0.958	0.866
	U03	0.856	0.950	0.841
	U04	0.870	0.952	0.841
AugUS-v1	U05	0.846	0.961	0.854
	U06	0.818	0.962	0.857
	U07	0.906	0.960	0.858
	U08	0.912	0.846	0.722
	U19	0.887	0.957	0.856
	U10	0.847	0.938	0.826
	U11	0.838	0.943	0.818

Table 5: Comparison of the BYOL and AugUS-v1 pipelines with one transformation left out. Performance is reported as the maximum validation set AUC achieved by a linear classifier trained on the output of a frozen feature extractor. AB and PE refer to binary classification tasks from the private dataset. COVID refers to the COVID-19 classification task in the public COVIDxUS dataset.

257 **D** Training Details

We adopted the MobileNetV3 architecture [22] for all experiments in this study and pretrained using the SimCLR method [15]. Feature extractors were initialized using ImageNet-pretrained weights [23] and pretrained using the LARS optimizer [24] with a batch size of 2048, a base learning rate of 0.2 and a schedule consisting of warmup with cosine decay. Pretraining was conducted for 15 epochs with 2 warmup epochs for the private dataset, and 100 epochs with 10 warmup epochs for COVIDx-US.

To conduct supervised evaluation, a model head consisting of a fully connected layer was appended 263 to the final pooling layer of the feature extractor. Cross-entropy loss was minimized by training with 264 the Adam optimizer [25] for 10 epochs with a batch size of 256. The weights corresponding to the 265 epoch with the lowest validation loss was retained for test set evaluation. The learning rates for the 266 feature extractor and head were 0.002 and 0.02, respectively. As is customary in SSL evaluation, 267 separate experiments were conducted with the feature extractor's weights held constant (i.e., linear 268 classification) or included as trainable parameters (i.e., fine-tuning). Code for all experiments and 269 transformations will be shared in a public GitHub repository upon publication. 270