

DNA LANGUAGE MODELS IDENTIFY VARIANTS PREDICTIVE ACROSS THE HUMAN PHENOME

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ABSTRACT

Early identification of individuals at high risk for diseases is crucial to public health, facilitating timely prevention and treatment strategies. Polygenic scores (PGS) offer significant clinical promise by estimating the genetic predisposition to diseases, yet their current impact is limited by insufficient power, especially for rare variants and diseases. While larger cohorts may enhance the power of PGS, advancements in methodology are equally critical. Recently, DNA language models, serving as foundational models for genomic data, have shown impressive capabilities in tasks such as predicting epigenetic marks, identifying regulatory sequences, and annotating variant effects. Yet, their utility beyond local variant effects has not been explored to date. Here, we use the GPN-MSA and Nucleotide Transformer (NT) DNA language models to predict the relationship between genetic variants and disease risk. We use variant-level embeddings to predict the potential of variants to influence a wide range of phenotypes and show that variant sets with high scores are more predictive of diseases across the human phenome than baseline variant sets. While prior work on DNA language models has primarily focused on local variant effects, our work demonstrates their value in genome-wide variant selection, potentially complementing genome-wide association studies (GWAS) and PGS by learning representations that can be used to identify rare variants with large effect sizes. Our results highlight the potential of DNA language models in identifying genotype-phenotype associations.

1 INTRODUCTION

The growing need for effective risk stratification in healthcare, driven by shifting demographics and the rising prevalence of non-communicable diseases, underscores the urgency of identifying individuals at high risk of disease early in the disease trajectory. This is critical not only in industrialized countries grappling with an aging population and increased disease burden, but also in low- and middle-income countries with limited healthcare resources (Vogeli et al., 2007; Girwar et al., 2021).

Genotyping and sequencing technologies have become increasingly affordable, and offer promising avenues for risk stratification by leveraging genetic associations to predict disease risk. GWAS have significantly contributed to our understanding of the genetic underpinnings of complex diseases by identifying variants associated with various traits. However, due to their statistical setup, GWAS alone often fall short in fully capturing the heritability of complex diseases due to their inability to accurately model rare variants (Manolio et al., 2009).

PGS build upon GWAS by aggregating the effects of numerous variants into a singular score that predicts an individual’s predisposition for a disease, offering a pathway toward personalized medicine. Nonetheless, the effectiveness of PGS faces challenges such as the need for large sample sizes and the generalizability of scores across different populations (Visscher et al., 2017; Boyle et al., 2017; Khera et al., 2018a; Torkamani et al., 2018; Martin et al., 2019; Hingorani et al., 2023).

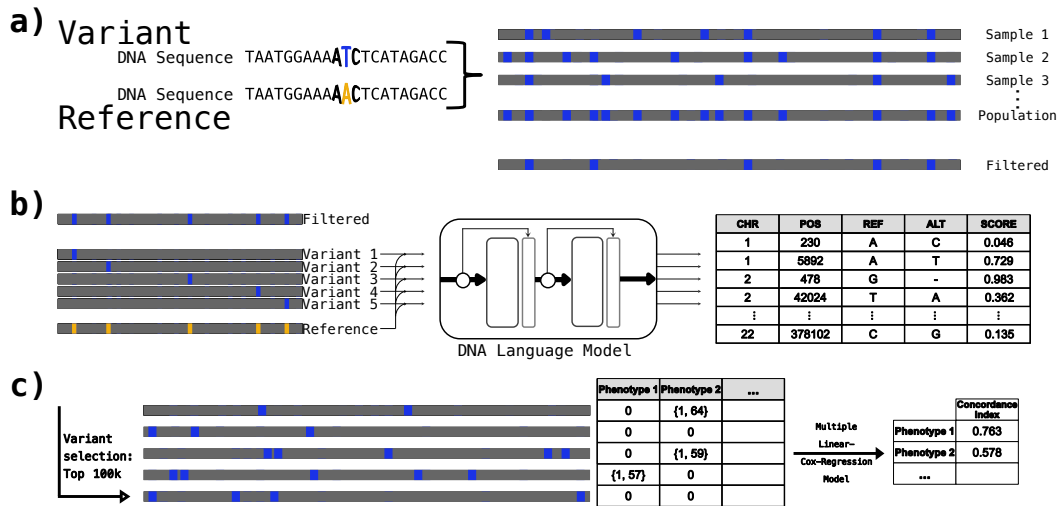


Figure 1: Overview of the method: **a)** We use a dataset of 16 111 439 genotyped variants from a large-scale population cohort (UK Biobank) comprised of 487 150 individuals, and filtered for variants also available in the FinnGen dataset for future-validations. **b)** We then evaluate different strategies to extract per-variant scores predictive of disease susceptibility using the GPN-MSA and Nucleotide Transformer DNA language models. **c)** Using these scores, we create variant sets and evaluate their predictive performance in the UK Biobank for a set of polygenic diseases and across the human phenome using linear Cox Regression models.

In PGS, common small-effect variants can be more predictive across a population compared to rare variants with substantial effects. This is further exacerbated by evolutionary processes selecting against high-impact variants, further diluting them in the population. To accurately model genotype-phenotype relations, both types of variants have to be taken into account and likely be addressed with different modeling approaches for optimal results. For the standing height trait for example, a GWAS with $N = 5.4$ million participants of 281 individual studies was necessary to explain more than 90 % of the estimated variant heritability (Yengo et al., 2022).

Protein language models, such as AlphaMissense, utilize machine learning to predict the effects of missense variants, which alter the amino acid sequence of proteins, potentially affecting their function (Cheng et al., 2023). By leveraging unsupervised learning, structural context from models like AlphaFold, and fine-tuning on population frequency data, AlphaMissense offers state-of-the-art predictions for the pathogenicity of these variants, classifying a significant portion of missense variants as either likely pathogenic or benign. However, a critical limitation of AlphaMissense and similar methods is their focus on the exome, primarily predicting the pathogenicity of variants that result in amino acid substitutions. However, most variants identified in GWAS are located in non-coding regions of the genome, such as intronic and intergenic regions (Chami & Lettre, 2014; Cirillo et al., 2018). These non-coding variants play crucial roles in gene regulation and expression but fall outside the predictive capacity of models focused on protein-coding changes, underscoring a significant gap in our ability to interpret the vast majority of genetic variations implicated in disease through GWAS.

Recent advancements in DNA language models have shown significant promise in enhancing our understanding of genomic data. DNABERT (Ji et al., 2021) leverages a pre-trained bidirectional encoder to capture the nuanced language of non-coding DNA, achieving state-of-the-art performance in identifying gene regulatory elements with improved accuracy and efficiency. The Nucleotide Transformer (Dalla-Torre et al., 2023), through extensive pre-training on diverse genomes, generates transferable, context-specific nucleotide sequence representations, facilitating accurate molecular phenotype prediction even in low-data scenarios. GPN-MSA (Benegas et al., 2023) introduces a novel approach by utilizing whole-genome sequence alignments across multiple species for rapid training, showing remarkable effectiveness in predicting variant effects. HyenaDNA (Nguyen et al.,

2023), leveraging implicit convolutions for long-range genomic interactions, significantly extends context length capabilities up to 1 million tokens at the single nucleotide level, setting new benchmarks in genomic modeling by enabling in-context learning and surpassing previous state-of-the-art models in efficiency. These models collectively represent a novel paradigm for rare variant and disease analysis, and highlight the untapped potential of DNA language models in improving clinical outcomes through better models of genotype-phenotype relationships.

In this work, we use DNA language models to predict polygenic variant effects and show that variant sets with high likely effect size outperform baseline variant sets in predicting disease onset across the human phenome in a large real-world population cohort.

2 METHODS

2.1 VARIANT SETS AND PREPROCESSING

We used the imputed genotyping array dataset from the UK Biobank (UKB)(Sudlow et al., 2015; Bycroft et al., 2018), which includes 93 095 623 variants for 487 150 individuals. To facilitate future validation with the FinnGen cohorts, we selected only those variants present in both the UKB and FinnGen datasets (Kurki et al., 2023). We did not exclude variants based on minor allele frequency or information score.

Variants from FinnGen were converted from the human genome build GRCh38 to GRCh37 (hg19) using CrossMap (Zhao et al., 2014) and then combined with UKB genotypes to create a dataset of 16 111 439 variants for this study. We processed the genetic data using PLINK2 (Purcell et al., 2007), VCFtools (Danecek et al., 2011), and custom workflows for variant set extraction.

For the multivariate regression models, we represented the genotypes as allele dosages ranging from 0 (0 alternative alleles) to 2 (expected 2 alternative alleles), continuously, representing the uncertainty in the imputation process as an expected value (e.g. 0.1 would correspond to most likely two reference alleles) (Collister et al., 2022).

2.2 DNA LANGUAGE MODEL INFERENCE

We use the pretrained DNA language model GPN-MSA (Benegas et al., 2023) to compute zero-shot pathogenicity scores ($score = \log p(ALT)/p(REF)$) using the variant effect prediction pipeline provided by the authors. We then extract variant embeddings by extracting the last layer latent representations from the model for the reference and alternative allele sequence with a window size of 128 tokens centered around the respective variant and aggregating them by averaging over the sequence dimension and the forward and reverse strands. We then concatenate these reference and allele embeddings.

Furthermore, we compute zero-shot pathogenicity scores using the largest Nucleotide Transformer model (2.5B parameters) pretrained on multispecies genomes. To compute the score for a given variant, we extract the reference and the alternative genetic sequence centered around the respective variant (window size 6 000) and compute the cosine similarity of alternative and reference embeddings, where lower cosine similarities indicate higher pathogenicity (Dalla-Torre et al., 2023).

The inference for all investigated variants was performed on a cluster running Rocky Linux OS 8.7 (Green Obsidian) and slurm 23.02.7 as workload manager, using four NVLink-connected NVIDIA A100 GPUs. The inference was run in Python virtual environments using PyTorch 2.1.2 and CUDA Toolkit 12.1, with the wall times for inference being less than 1 day for all variants using GPN-MSA and less than 48 hours for the largest chromosome using Nucleotide Transformer.

2.3 PREDICTION OF PATHOGENICITY AND POLYGENIC EFFECTS

We evaluated three strategies for determining the polygenic relevance of genetic variants through the application of a DNA language model, specifically:

1. **Zero-shot:** The zero-shot scores from GPN-MSA without additional training. The log-likelihood ratio between the alternate and reference allele probabilities as predicted by the

model was used as a quantitative proxy measure of variant effect. Analogously, the cosine similarity scores produced by the Nucleotide Transformer were directly used to measure the variant effect.

2. **ClinVar Pathogenicity Prediction:** We train a logistic regression model to directly predict whether a variant is annotated as pathogenic in ClinVar (Landrum et al., 2014) based on the DNA language model embeddings.
3. **PGS Effect Prediction:** We aggregate scores from *The Polygenic Score Catalog* (Lambert et al., 2021) and train a logistic regression model to predict whether a variant has a significant polygenic effect, defined as a total effect size larger than 1 after summation over all 742 PGS across phenotypes.

2.4 PHENOME-WIDE DISEASE ONSET PREDICTION

We extracted several datasets, each containing the top 100 000 variants by score from the comprehensive set of 16 million variants, as detailed in Table 1. These datasets comprise a baseline set of randomly selected variants, along with multiple sets based on the top predicted scores from the GPN-MSA and Nucleotide Transformer models. Additionally, we extracted a set of 5 879 variants from the phenotype-genotype reference map (PGRM) that was compiled to include highly robust disease-associated variants, serving as another baseline for phenome-wide predictions (Bastarache et al., 2023).

Subsequently, we generated time-to-event labels for all individuals for all endpoints with a minimum of 100 incident events, as identified in the linked electronic health records of the UK Biobank cohort. We use PheCodeX to phenotype the diseases (Shuey et al., 2023). This process was performed using a data extraction and preprocessing pipeline as outlined in (Steinfeldt et al., 2023).

For each variant set, we fitted a multi-target linear Cox regression model using PyTorch, designed to predict partial log hazards for the 1 727 endpoints with an adapted proportional hazard loss (Kvamme et al., 2019). After training, we restored the subset of parameters for each endpoint to those from the epoch with the lowest validation loss. We then used this model to predict partial log hazards on a held-out test set in a ten-fold cross-validation process, scoring the model for each endpoint and fold using the concordance index (C-index) as the metric. We finally aggregated and reported mean concordance indices for each disease. We filtered for diseases with a significant genetic signal by selecting endpoints where the lower 1% confidence interval of the cross-validation concordance index of any model exceeded 0.5, resulting in a total set of 533 endpoints.

We first limit our analyses to the Caucasian population in the UK Biobank (Field 22006, self-identified white British and not an outlier in genomic principle component space) and exclude individuals with kinship to other study participants (Field 22021, third degree or closer) (Bycroft et al., 2018) to avoid leakage between training and test dataset by partial sequence identity. The remaining data is then split into 10 non-overlapping cross-validation splits of 27k individuals each. To further investigate the generalization of the model to populations of different ancestries, we defined a set of individuals not identified as Caucasian.

Table 1: Variant sets for phenome-wide disease onset prediction.

Name	Variants	DNA language model	Variant scoring strategy
baseline-random	100 000	-	-
baseline-pgrm	5 879	-	-
gpn-msa-zero	100 000	GPN-MSA	Zero-shot (log-likelihood ratio)
gpn-msa-clinvar	100 000	GPN-MSA	ClinVar pathogenicity
gpn-msa-polygenic	100 000	GPN-MSA	Polygenic effect
nt-cosine-zero	100 000	Nucleotide Transformer 2.5b	Zero-shot (cosine distance)

3 RESULTS

To better understand the DNA language models behavior, we performed an enrichment analysis to examine the distribution of mean minor allele frequencies (MAF) and the prevalence of specific variant effects, as categorized by the *most severe consequence* annotation from OpenTargets

Genetics (Ghousaini et al., 2020), across different variant sets. This analysis showed that the `gpn-msa-zero` set is biased towards lower minor allele frequency variants compared to the `baseline-random` set. However, this difference was not evident when the GPN-MSA model was fine-tuned to predict polygenic effects, as observed in the `gpn-msa-polygenic` set. Additionally, the analysis showed that fine-tuning on PGS effects led to an enrichment of variants in regulatory regions within the `gpn-msa-*` sets. Notably, the `gpn-msa-zero` set was enriched with missense variants, a trend not observed in the `nt-cosine-zero` set from the Nucleotide Transformer (see Table 4).

Selecting variants for genetic risk prediction requires careful consideration of linkage disequilibrium (LD) because high LD can lower the dataset’s effective dimensionality and obscure causal signals. We observed that selecting the top 100,000 variants (by effect size) from either a GWAS for coronary artery disease (`gwas-cad`, Nikpay et al. (2015)) or a PRS for coronary artery disease (`prs-cad`, Khera et al. (2018b)) tends to include numerous redundant variants due to LD. The PRS slightly mitigates this redundancy. Notably, variant sets derived from the finetuned GPN-MSA models exhibit substantially lower LD than those from GWAS and PRS, suggesting a bias toward causal variants (see Table 5).

3.1 PHENOME-WIDE DISEASE PREDICTION IN THE UK BIOBANK

We first focused our analysis on a selection of polygenic diseases spanning different etiologies, namely: Coronary Artery Disease (CAD), Kidney Cancer, Breast Cancer, Type 2 Diabetes (T2D), Rheumatoid Arthritis (RA), and Alzheimer’s Disease. We report concordance indices for the disease onset time-to-event prediction task in the UK Biobank cohort in Table 2.

The dataset derived from GPN-MSA scores fine-tuned for polygenic effect (`gpn-msa-polygenic`) consistently yields the highest concordance index across all the investigated diseases with the only exception of RA. In line with our expectations, it outperforms both the dataset derived from zero-shot GPN-MSA scores (`gpn-msa-zero`) and the randomly selected baseline dataset (`baseline-random`) for all disease endpoints. Interestingly, it surpasses the dataset derived from GPN-MSA fine-tuned on ClinVar pathogenicity (`gpn-msa-clinvar`) by a wide margin for all diseases. Perhaps surprisingly, both the `gpn-msa-clinvar` dataset and the dataset derived from Nucleotide Transformer scores (`nt-cosine-zero`) consistently fare worse than the random baseline, with the `nt-cosine-zero` dataset outperforming the random baseline dataset and the otherwise dominant `gpn-msa-polygenic` dataset only for RA. For the `baseline-pgrm` dataset, we consistently obtain the lowest concordance indices across all diseases, likely due to the much smaller number of variants contained in this set.

Table 2: Concordance indices in the UK Biobank for selected polygenic diseases (CAD = Coronary artery disease, T2D = Type 2 Diabetes, RA = Rheumatoid Arthritis).

	CAD	Kidney cancer	Breast cancer	T2D	RA	Alzheimer’s
<code>baseline-pgrm</code>	0.4984	0.5105	0.4991	0.5014	0.5005	0.4860
<code>gpn-msa-clinvar</code>	0.5125	0.5089	0.5044	0.5205	0.5069	0.4993
<code>nt-cosine-zero</code>	0.5520	0.5086	0.5318	0.5735	0.5514	0.5232
<code>baseline-random</code>	0.5567	0.4882	0.5342	0.5824	0.5484	0.5271
<code>gpn-msa-zero</code>	0.5567	0.5144	0.5363	0.5887	0.5402	0.5194
<code>gpn-msa-polygenic</code>	0.5686	0.5237	0.5442	0.5999	0.5487	0.5370

Variant selection using the DNA language models did not result in better predictive performance in the non-Caucasian population than our random baseline (e.g. 0.557 vs 0.543 for CAD, 0.569 vs 0.580 for T2D), however, both outperformed the much smaller PGRM set which only resulted in a concordance index of 0.505 for CAD and 0.496 for T2D.

We then evaluated the predictive performance of the variant sets across the human phenome by calculating mean concordance indices for the endpoint selection described in Section 2.4. Despite the overall low genetic signal and thus also low mean concordance indices, the results are largely consistent with the selection of polygenic diseases (see Table 3). Notably, for this evaluation, we selected the endpoints purely based on their incidence in the UKB without biasing them

towards heritable diseases. Overall, the `gpn-msa-polygenic` model yields the highest average concordance index, followed by the `gpn-msa-zero` model. While both GPN-MSA informed variant sets have a higher average concordance index than the random variant baseline, the improvement is significantly smaller compared to the well-studied polygenic diseases in Table 2. For the `nt-cosine-zero`, the average concordance index is slightly lower than for the random baseline model. The `gpn-msa-clinvar` and `baseline-pgrm` models exhibit a substantially lower performance compared to the random baseline.

4 DISCUSSION

This study used DNA language models, specifically the GPN-MSA model and the Nucleotide Transformer, to identify genetic variants that predict disease phenotypes across a broad spectrum of diseases. We complement prior work focused on local variant effects by highlighting DNA language models ability to identify variants important for disease susceptibility from both coding and non-coding regions of the genome. This is critical because most genetic associations with diseases are found in non-coding regions, where each variant contributes only slightly to the risk. Notably, this can be applied to variants that occur only once in a population (or even hypothetical ones) since the model only depends on the sequence. The challenge is to aggregate these minor effects to improve polygenic risk models, which is difficult for rare diseases and variants using traditional methods like GWAS and PGS (Boyle et al., 2017; Visscher et al., 2017).

Our results suggest DNA language models can enhance our understanding of genotype-phenotype relationships by identifying variants with a broadly predictive power for diseases. However, to refine the predictive accuracy of genetic risk scores, we found it necessary to integrate these models with disease-specific association data from GWAS. We furthermore found instances where the models performed as poorly as a random baseline, likely stemming from the models’ focus on high-impact variants, which may not uniformly predict disease susceptibility across a population. This is also evident in the severely decreased performance of the model variant predicting ClinVar pathogenicity annotations, which is likely only valid for coding variants. Adjusting the models to predict PGS effects across the phenome was notably effective, indicating that DNA language models can identify significant variants and be adapted to enhance disease predictions at the population level. However, this requires further validation across diverse datasets and direct optimization of the models to improve performance. Future work will focus on directly using association data in the DNA language model pretraining task.

We do not observe improved generalization of models based on DNA language models to the non-Caucasian population. This is in line with most PGS transferring poorly to other ancestries (Ding et al., 2023), and robust performance estimation is further limited by the lower sample size.

We currently use DNA language models to compute scores for each variant independently, then aggregate these scores with individual genotyping data for downstream analysis. A promising direction for future research involves using these models on sequences from individuals to compute integrated scores that capture polygenic information and potential epistatic effects. This approach, however, would come at a much higher computational cost because it requires inference for each individual and sequence separately, as opposed to only once per variant.

In conclusion, our research shows the potential of DNA language models in improving disease prediction and prevention. By identifying variants with broad predictive power, these models open new possibilities for enhancing polygenic risk assessments and understanding complex genotype-phenotype correlations. Future efforts should focus on refining both the pretraining task and downstream application of DNA language models, integrating them with established PGS approaches (Privé et al., 2021), and validating these findings across different genetic backgrounds in separate external validation cohorts for clinical applications.

Table 3: Phenome-wide average concordance indices and 95% confidence intervals in the UK Biobank.

	Mean C-index
<code>baseline-pgrm</code>	0.5003 ± 0.0006
<code>gpn-msa-clinvar</code>	0.5059 ± 0.0009
<code>nt-cosine-zero</code>	0.5235 ± 0.0016
<code>baseline-random</code>	0.5256 ± 0.0017
<code>gpn-msa-zero</code>	0.5275 ± 0.0016
<code>gpn-msa-polygenic</code>	0.5305 ± 0.0017

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A APPENDIX

Table 4: Enrichment analysis of variant sets based on the *most severe consequence* annotation in OpenTargets Genetics (Ghousaini et al., 2020) (MAF = mean minor allele frequency, other columns: proportion of variants with the given annotation in OpenTargets Genetics).

	MAF	intron	intergenic	regulatory	missense	splice
baseline-random	10.4%	49.6%	34.6%	3.3%	0.5%	0.1%
baseline-pgrm	26.8%	55.2%	17.5%	4.4%	4.4%	0.3%
gpn-msa-zero	6.8%	36.8%	18.1%	3.9%	22.8%	1.1%
gpn-msa-clinvar	11.1%	50.5%	36.8%	2.6%	0.4%	0.1%
gpn-msa-polygenic	19.6%	48.7%	23.7%	5.0%	4.0%	0.6%
nt-cosine-zero	10.3%	49.4%	36.2%	3.5%	0.3%	0.1%

Table 5: Linkage disequilibrium much lower in variant sets based on DNA-language-models rather than GWAS or PRS. We excluded the `pgrm-baseline` dataset from this analysis because comparing the number of variants in LD across sets of different numbers of variants would be misleading. LD pairs per million is defined as the number of variant pairs in $LD > 0.5$ per one million pairs.

	LD pairs per million
gpn-msa-zero	1.6
baseline-random	3.5
nt-cosine-zero	3.9
gpn-msa-clinvar	12.5
gpn-msa-polygenic	13.2
prs-cad	51.1
gwas-cad	86.6