FORGET THE DATA AND FINE-TUNING! JUST FOLD THE NETWORK TO COMPRESS

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ABSTRACT

We introduce *model folding*, a novel data-free model compression technique that merges structurally similar neurons across layers, significantly reducing the model size without the need for fine-tuning or access to training data. Unlike existing methods, model folding preserves data statistics during compression by leveraging k-means clustering, and using novel data-free techniques to prevent variance collapse or explosion. Our theoretical framework and experiments across standard benchmarks, including ResNet18 and LLaMA-7B, demonstrate that model folding achieves comparable performance to data-driven compression techniques and outperforms recently proposed data-free methods, especially at high sparsity levels. This approach is particularly effective for compressing large-scale models, making it suitable for deployment in resource-constrained environments.

1 INTRODUCTION

026 027 028 029 030 031 032 Deep neural networks (DNNs) have emerged as a fundamental technology, driving progress across a multitude of applications from natural language processing to computer vision. However, the deployment of these models in real-world settings is often constrained by the computational and memory resources available, particularly on edge devices like smartphones and embedded systems [\(Wan et al.,](#page-13-0) [2020;](#page-13-0) [Kumar et al., 2017;](#page-12-0) [Chen et al., 2020\)](#page-10-0). This limitation poses a significant challenge, as the growing complexity and size of SOTA models demand increasingly substantial resources [\(Bommasani](#page-10-1) [et al., 2021;](#page-10-1) [Chang et al., 2024;](#page-10-2) [Rombach et al., 2022\)](#page-13-1).

033 034 035 036 037 038 039 040 041 042 043 044 Conventional model compression techniques, such as pruning [\(Han et al., 2015;](#page-11-0) [LeCun et al., 1989;](#page-12-1) [Li et al., 2016b;](#page-12-2) [Hassibi et al., 1993\)](#page-11-1) and quantization [\(Gupta et al., 2015;](#page-11-2) [Zhou et al., 2017;](#page-14-0) [Li et al.,](#page-12-3) [2016a\)](#page-12-3), have been developed to mitigate this issue by reducing the model size and computational requirements. These methods usually remove redundant or less critical parameters from the model, thereby reducing the overall size and computational load. For example, pruning eliminates weights that contribute minimally to the model's output [\(Han et al., 2015;](#page-11-0) [Li et al., 2016b\)](#page-12-2). Quantization reduces the precision of the weights and activations [\(Gupta et al., 2015\)](#page-11-2), which decreases memory usage and speeds up inference [\(Zhou et al., 2017\)](#page-14-0). Despite their effectiveness, these approaches often introduce a degradation in model performance, necessitating a phase of fine-tuning to maintain the internal data statistics within the model [\(Jordan et al., 2022\)](#page-11-3) and restore the original accuracy levels [\(Frankle & Carbin, 2018;](#page-10-3) [Hassibi et al., 1993;](#page-11-1) [Frantar & Alistarh, 2022\)](#page-10-4). This requirement can be a significant drawback in scenarios where access to the original training data is limited.

045 046 047 048 049 050 051 052 053 Recent methods have sought to circumvent the need for extensive retraining or fine-tuning by exploring alternatives to traditional approaches. Instead, several recent strategies build on model merging techniques [\(Entezari et al., 2022;](#page-10-5) [Ainsworth et al., 2023;](#page-10-6) [Jordan et al., 2022\)](#page-11-3) and achieve (multi-)model compression by fusing similar computational units. For example, ZipIt! [\(Stoica et al.,](#page-13-2) [2024\)](#page-13-2) merges two models of the same architecture by combining similar features both within and across models. They provide both theoretical and empirical evidence suggesting that features within the same model are more similar than those between models trained on different tasks. This method avoids the need for retraining the compressed model but requires training data to match features based on the similarity of their activations. Similarly, [Yamada et al.](#page-13-3) [\(2023\)](#page-13-3) examine various model merging techniques and conclude that merged models require a dataset—such as a coreset—for effective merging and to achieve high accuracy. This data is essential for adjusting internal data statistics

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Figure 1: Model compression and repair of data statistics. Left: Model folding pipeline is applied layer-wise, consisting of three phases: weight tensor clustering and merging, and data statistics repair. Right: To maintain accuracy, the data variances of compressed and uncompressed models must align (*i.e.*, the variance ratio must be close to 1), as variance collapse or explosion leads to suboptimal performance. Our data-free and fine-tuning-free model folding methods (Fold-AR and Fold-DIR) achieve performance comparable to data-driven statistics repair (Fold-R), while outperforming naive statistics repair (Fold-naive) and the recently proposed IFM [\(Chen et al., 2023\)](#page-10-7). All methods were evaluated on a public ResNet18 checkpoint trained on CIFAR10. Lines connect the performance of different methods at the same weight sparsity level, applied uniformly across all layers. Variance ratio refers to the activation outputs in the last layer. A precise definition and analysis are in Sec. [3.](#page-3-0)

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075 076 077 that are disrupted by weight fusion, such as updating the running mean and variance in BatchNorm layers [\(Ioffe & Szegedy, 2015\)](#page-11-4). The process involves a simple forward pass through the model and is a well-established method to adapt models in low-resource environments [\(Leitner et al., 2023\)](#page-12-4).

078 079 080 081 082 083 In contrast, IFM [\(Chen et al., 2023\)](#page-10-7) offers a fully data-free and fine-tuning-free approach, utilizing weight matching [\(Ainsworth et al., 2023\)](#page-10-6) to iteratively merge similar hidden units, similar to [Stoica](#page-13-2) [et al.](#page-13-2) [\(2024\)](#page-13-2). However, despite a heuristic for preserving data statistics, we demonstrate that IFM fails to maintain performance across standard architectures and for high sparsity. Other data-free approaches, such as [\(Yin et al., 2020\)](#page-13-4), generate synthetic images directly from the uncompressed model for fine-tuning to restore pruned model accuracy. More related work is covered in Appendix [N.](#page-32-0)

084 085 086 087 088 089 090 091 092 093 094 095 096 This paper presents a model compression technique, *model folding*, that exploits weight similarity through three phases: neuron clustering, merging, and data statistics repair, summarized in Fig. [1](#page-1-0) (left). We demonstrate that k-means clustering provides a theoretically optimal and data-free method for merging weights. Building on [Jordan et al.](#page-11-3) [\(2022\)](#page-11-3), which addresses variance collapse using REPAIR with training data, we introduce two data-free alternatives: Fold-AR (folding with approximate REPAIR) and Fold-DIR (folding with Deep Inversion-based REPAIR). Fold-AR estimates mean correlations within clusters assuming independent inputs, while Fold-DIR uses Deep Inversion [\(Yin](#page-13-4) [et al., 2020\)](#page-13-4) to synthesize a single batch of images for updating BatchNorm statistics via a forward pass. Both methods maintain data statistics and prevent variance collapse or explosion to avoid suboptimal compression performance, with Fold-AR standing out as a more resource-efficient option while still significantly surpassing existing methods. Fig. [1](#page-1-0) (right) shows that the highest accuracy at any target sparsity is achieved when the mean variance ratio over the last layer between the compressed and uncompressed models stays close to one. Our contributions are:

- We introduce *model folding*, a novel model compression technique that merges structurally similar neurons within the same network to achieve compression. We provide both theoretical justification and empirical evidence demonstrating that k -means clustering is an optimal and effective method for fusing model weights in a data-free manner.
- To enable data-free model compression, we adapt the REPAIR framework proposed by [Jordan et al.](#page-11-3) [\(2022\)](#page-11-3) to address variance collapse of data statistics within a model after layer compression. We introduce *data-free* and *fine-tuning-free* versions of REPAIR, that effectively maintain model statistics and achieve high performance.
- **105 106 107** • We demonstrate that model folding surpasses the performance of SOTA model compression methods which do not use data or fine-tune the pruned model, including recently proposed IFM [\(Chen et al., 2023\)](#page-10-7), and INN [\(Solodskikh et al., 2023\)](#page-13-5), in particularly at higher levels of sparsity and when applied to more complex datasets.

• We use model folding on LLaMa-7B without utilizing data or post-tuning and achieve comparable results to methods that require data and fine-tuning.

2 PRELIMINARIES

114 115 116 117 Our work is inspired by recent advances in two key areas: neuron alignment algorithms for fusing model pairs in weight space, and data-driven methods for recovering from variance collapse in fused models. Below, we summarize the relevant results from the literature.

118 119 120 121 122 123 124 125 126 127 Neuron alignment algorithms. Model merging involves combining the parameters of multiple trained models into a single model, with a key challenge being the alignment of neurons across these models, particularly when they are trained on different datasets or tasks. Neuron alignment methods can be classified based on their dependency on the input data. Methods like the Straight Through Estimator (STE) [\(Ainsworth et al., 2023\)](#page-10-6), Optimal Transport (OT) [\(Singh & Jaggi, 2020\)](#page-13-6) and correlation-based activation matching [\(Li et al., 2015\)](#page-12-5) require data for effective merging. In contrast, weight matching [\(Yamada et al., 2023;](#page-13-3) [Ainsworth et al., 2023\)](#page-10-6) is a data-free method, making it efficient in scenarios when training data is not available. In weight matching, neurons are aligned by minimizing the L_2 distance between the weight vectors of neurons across models. Given two models with weight matrices W_A and W_B , the goal is to find a **permutation** P of the weights in W_B that minimizes the distance:

$$
\min_{\mathbf{P}} \|\mathbf{W}_A - \mathbf{P} \mathbf{W}_B\|_2^2,
$$

where \mathbf{PW}_B denotes the weight matrix \mathbf{W}_B after applying the permutation P to align it with \mathbf{W}_A . Once the optimal permutation is found, the models are merged by averaging the aligned weights:

$$
\mathbf{W}_{\text{merged}} = \frac{1}{2} \left(\mathbf{W}_A + \mathbf{P}^* \mathbf{W}_B \right),
$$

137 138 139 140 141 142 143 144 145 where \mathbf{P}^* is the permutation that minimizes the L_2 distance. Weight matching solves an instance of the linear sum assignment problem (LSAP), usually solved by Hungarian algorithm [\(Kuhn, 1955\)](#page-12-6) as done in [\(Jordan et al., 2022;](#page-11-3) [Ainsworth et al., 2023\)](#page-10-6), to layer-wise align weight vectors. Unlike merging different models, aligning neurons within a single model requires an acyclic matching graph, a challenge not addressed by LSAP, which assumes disjoint task and worker sets. To overcome the challenge [Chen et al.](#page-10-7) [\(2023\)](#page-10-7) and [He et al.](#page-11-5) [\(2018\)](#page-11-5) apply iterative approach greedily merging a pair of the most similar neurons in each iteration. This work extends weight matching to align *clusters* of similar neurons within the same model, remaining data-free. Appendix [C](#page-19-0) provides more details on the relationship between weight matching and model folding. We show that IFM is inferior to clustering utilized by model folding as described in the next section.

146 147 148 149 150 151 152 Variance collapse and REPAIR. When interpolating between independently trained, neuron-aligned networks, [\(Jordan et al., 2022\)](#page-11-3) observed a phenomenon they termed *variance collapse*. This occurs when the variance of hidden unit activations in the interpolated network significantly diminishes compared to the original networks, leading to a steep drop in performance. To solve this issue, [Jordan](#page-11-3) [et al.](#page-11-3) [\(2022\)](#page-11-3) introduce the REPAIR method (Renormalizing Permuted Activations for Interpolation Repair) which uses input data to recompute the internal data statistics.

153 154 155 156 157 158 159 160 161 REPAIR works by rescaling the preactivations of the interpolated network to restore the statistical properties of the original networks. Specifically, it adjusts the mean and variance of the activations in each layer of the interpolated network to match those of the corresponding layers in the original networks. This is done by computing affine transformation parameters—rescaling and shifting coefficients—for each neuron, ensuring that the mean and standard deviation of activations in the interpolated network are consistent with those in the original models. REPAIR effectively mitigates the variance collapse, enabling the interpolated network to maintain performance closer to that of the original models. This technique has become essential in recent work to preserve model accuracy after merging [\(Ainsworth et al., 2023;](#page-10-6) [Yamada et al., 2023;](#page-13-3) [Jolicoeur-Martineau et al., 2024\)](#page-11-6). While REPAIR relies on input data to preserve the network's statistical properties, this paper proposes a data-free alternative.

Figure 2: Layer-wise correlation between matched channels in ResNet18 trained on CIFAR10. For each layer, we use activation matching with L_2 distance measure to greedily pair similar neurons. Each subplot shows the correlation within all matched pairs.

3 MODEL FOLDING

In this section, we introduce *model folding*, a novel compression technique that reduces the computational complexity and size of neural networks by merging similar neurons in each layer without requiring training data. As illustrated in Fig. [1](#page-1-0) (left), model folding processes the network layer by layer, involving filter clustering, merging, and correcting data statistics. Below, we present a theoretical analysis of our approach, supported by empirical results on ResNet18 using CIFAR10.

3.1 CHANNEL CLUSTERING

186 187 188 189 190 191 192 193 194 195 Channel similarity. Neural networks trained with stochastic gradient descent (SGD) tend to have many correlated hidden units, as illustrated in Fig. [2.](#page-3-1) Model folding exploits this observation, which is related to the implicit bias of SGD. As discussed in [\(Gunasekar et al., 2017\)](#page-11-7), SGD exhibits a minimum norm bias, which can be viewed as a form of regularization when no explicit regularization is used. In contrast to L_1 regularization, which promotes sparsity, the minimum Euclidean norm solution $(L_2$ norm) penalizes large weights, encouraging smaller, more regular weights. This not only prevents overfitting but also results in smoother decision boundaries [\(Bishop, 2006\)](#page-10-8). While the minimum norm solution does not directly enforce weight similarity, we empirically demonstrate in Appendix [D](#page-21-0) that it leads to effective model compression when applying similarity-based methods. Recently published methods [\(Stoica et al., 2024;](#page-13-2) [Chen et al., 2023\)](#page-10-7) leverage the same observation.

196 197 198 199 200 201 Folding as a clustering problem. This work extends weight matching [\(Ainsworth et al., 2023\)](#page-10-6), which minimizes the L_2 distance between weight vectors and operates without requiring training data. Instead of finding pairs of similar neurons by solving the linear sum assignment problem (LSAP) with a Hungarian algorithm [\(Kuhn, 1955\)](#page-12-6) as done in [\(Jordan et al., 2022;](#page-11-3) [Ainsworth et al., 2023\)](#page-10-6), we achieve channel matching using k -means clustering. In the following, we justify this approach as it provides an optimal weight matrix approximation.

202 203 204 Given a neural network layer l with a weight matrix $\mathbf{W}_l \in \mathbb{R}^{n \times m}$, we define the output of this layer as $y_l = \sigma(\mathbf{W}_l \mathbf{x}_l)$, where $\mathbf{x}_l \in \mathbb{R}^m$ is the input vector to this layer, $y_l \in \mathbb{R}^n$ is the output vector, and $\sigma(\cdot)$ is a non-linear activation function applied element-wise.

205 206 207 To reduce the number of outputs of layer l we cluster (fold) rows of \mathbf{W}_l , i.e., k cluster centroids are determined which serve as a prototype of the respective cluster of rows. All rows of a cluster are replaced by their cluster centroid. This can be formulated as

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 $W_l \approx UM$,

210 211 212 where $\mathbf{M} \in \mathbb{R}^{k \times m}$ contains the $k < n$ cluster centroids and the cluster matrix $\mathbf{U} \in \{0,1\}^{n \times k}$ determines the membership of a row: $u(i, j) = 1$ if the *i*-th row of W_l belongs to the *j*-th cluster, and $u(i, j) = 0$ otherwise.

213 214 215 As a measure of the approximation error when replacing the rows of W_l by $k < n$ prototypes, we use the Frobenius norm $\|\cdot\|_F^2$ of the difference between \mathbf{W}_l and the low-rank factorization UM:

$$
J = \|\mathbf{W}_{l} - \mathbf{U}\mathbf{M}\|_{F}^{2} = \text{tr}(\mathbf{W}_{l}\mathbf{W}_{l}^{T}) + \text{tr}(\mathbf{U}\mathbf{M}\mathbf{M}^{T}\mathbf{U}^{T}) - 2\text{tr}(\mathbf{U}\mathbf{M}\mathbf{W}_{l}^{T}).
$$

216 217 218 We determine the optimal matrix of cluster centroids by setting the derivative of J with respect to \bf{M} to zero:

$$
\mathbf{M} = (\mathbf{U}^T \mathbf{U})^{-1} \mathbf{U}^T \mathbf{W}_l.
$$

219 220 As a result, we can write

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$$
\mathbf{W}_l \approx \mathbf{U}\mathbf{M} = \mathbf{C}\mathbf{W}_l
$$
 with $\mathbf{C} = \mathbf{U}(\mathbf{U}^T\mathbf{U})^{-1}\mathbf{U}^T$.

As mentioned above, we use k -means clustering for folding as this minimizes J by determining the optimal clustering matrix U and the corresponding cluster centroids M , also see [\(Bauckhage, 2015\)](#page-10-9).

Interdependence between layers. We will expand the above result to successive layers l and $l + 1$. For simplicity of notation, we neglect the bias and get

$$
\mathbf{y}_{l+1} = \sigma(\mathbf{W}_{l+1}\sigma(\mathbf{W}_l\mathbf{x}_l)).
$$

228 Following the above notation, we describe the folding of activations by some clustering matrix U and $\mathbf{C} = \mathbf{U}(\mathbf{U}^T \mathbf{U})^{-1} \mathbf{U}^T$. It is shown in Appendix [B](#page-15-0) that the corresponding approximation satisfies

$$
\mathbf{\tilde{y}}_{l+1} = \sigma(\mathbf{W}_{l+1}\sigma((\mathbf{CW}_l)\mathbf{x}_l) = \sigma((\mathbf{W}_{l+1}\mathbf{C}^T)\sigma((\mathbf{CW}_l)\mathbf{x}_l).
$$

231 232 233 Adding up the individual folding costs $J_{l+1} = ||\mathbf{W}_{l+1}^T - \mathbf{C}\mathbf{W}_{l+1}^T||_F^2$ and $J_l = ||\mathbf{W}_l - \mathbf{C}\mathbf{W}_l||_F^2$
yields the combined approximation error $J_{l,l+1} = J_{l+1} + J_l$ for folding layer l which can be rewritt as

$$
J_{l,l+1} = \|\mathbf{W}_{l,l+1} - \mathbf{C} \mathbf{W}_{l,l+1}\|_F^2 \quad \text{with} \quad \mathbf{W}_{l,l+1} = \left[\mathbf{W}_l \mid \mathbf{W}_{l+1}^T\right].
$$

234 235 236 237 238 239 If we perform k-means clustering on $W_{l,l+1}$ and use the resulting clustering matrix U in C = $U(U^TU)⁻¹U^T$, then the combined approximation error $J_{l,l+1}$ is minimized. This approach accounts for the impact of compressing one layer on the next, leading to more efficient compression that balances the process and preserves learned representations while reducing model size. Our folding methods outperforms other methods experimentally, see Fig. [3](#page-5-0) for a comparison to other clustering methods and Iterative Greedy (greedy) adopted in SOTA.

240 241 242 243 Batch Normalization. Now, let us consider batch normalization in layer l represented by two diagonal matrices Σ_s (scaling) and Σ_n (normalization), again neglecting the bias to reduce notation. In this case, we get

$$
\mathbf{y}_{l+1} = \sigma(\mathbf{W}_{l+1}\sigma(\mathbf{\Sigma}_{s}\mathbf{\Sigma}_{n}\mathbf{W}_{l}\mathbf{x}_{l})).
$$

244 245 246 247 The folding of layer l can be distributed to the matrices Σ_s , Σ_n , and W_l in various ways, depending on the chosen correction of the variance, see Sec. [3.2.](#page-5-1) For example, one can cluster each matrix separately, leading to

$$
\mathbf{\tilde{y}}_{l+1} = \sigma((\mathbf{W}_{l+1}\mathbf{C}^T)\sigma((\mathbf{C}\mathbf{\Sigma}_s)(\mathbf{C}\mathbf{\Sigma}_n)(\mathbf{C}\mathbf{W}_l)\mathbf{x}_l)).
$$

248 249 250 251 Adding up the individual folding costs J_{l+1} , J_s , J_n , and J_l for each of the matrices W_{l+1} , Σ_s , Σ_n and \bf{W}_l , <mark>respectively, yields the total approximation error</mark> $J_{\rm tot} = J_{l+1} + J_s + J_n + J_l$ for folding layer l

$$
J_{\text{tot}} = \Vert \mathbf{W}_{\text{tot}} - \mathbf{C} \mathbf{W}_{\text{tot}} \Vert_F^2 \quad \text{with} \quad \mathbf{W}_{\text{tot}} = \left[\mathbf{W}_{l+1}^T \mid \mathbf{W}_l \mid \text{diag}(\mathbf{\Sigma}_s) \mid \text{diag}(\mathbf{\Sigma}_n) \right]
$$

253 254 If we perform k-means clustering on W_{tot} then the total approximation error J_{tot} is minimized. This approach is used in the Deep Inversion (DI) REPAIR, see next section.

Instead, if we decompose the folding of layer l according to

$$
\tilde{\mathbf{y}}_{l+1} = \sigma((\mathbf{W}_{l+1}\mathbf{C}^T)\sigma((\mathbf{C}\mathbf{\Sigma}_s)(\mathbf{C}\mathbf{\Sigma}_n\mathbf{W}_l)\mathbf{x}_l)).
$$

then the individual folding costs of W_{l+1} , Σ_s and the normalized weight matrix $\Sigma_n W_l$ add up to

$$
J_{\text{tot}} = \|\mathbf{W}_{\text{tot}} - \mathbf{C}\mathbf{W}_{\text{tot}}\|_F^2 \quad \text{with} \quad \mathbf{W}_{\text{tot}} = \begin{bmatrix} \Sigma_n \mathbf{W}_l \mid \text{diag}(\Sigma_s) \mid \mathbf{W}_{l+1}^T \end{bmatrix}
$$

.

260 261 262 Again, if we perform k-means clustering on this combined matrix \mathbf{W}_{tot} then the corresponding total approximation error J_{tot} is minimized. This approach is used in the approximate REPAIR, see Sec. [3.2.](#page-5-1) For completeness, we present in Appendix [F](#page-24-0) how we handle residual connections.

263 264 265 266 267 268 269 Merging similar channels in each cluster. To fuse similar channels, various approaches have been proposed in the literature, such as fusing weights for multitasking, which involves Hessian calculations [\(He et al., 2018\)](#page-11-5), or by combining the matched weights into a single channel [\(Chen](#page-10-7) [et al., 2023\)](#page-10-7). [\(Matena & Raffel, 2022\)](#page-12-7) introduces Fisher-weighted averaging based on the Laplace approximation for merging weights, while [\(Jin et al., 2023\)](#page-11-8) suggests computing a regression mean, which is both computationally efficient and scalable for merging multiple models. In our approach, we use above formulation of the optimization problem as k -means clustering and use a simple mean to compute the cluster centroids.

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270 271 3.2 MAINTAINING DATA STATISTICS IN A COMPRESSED MODEL

272 273 Variance collapse and variance overshooting. We use the conceptual framework in [\(Jordan et al.,](#page-11-3) [2022\)](#page-11-3) to analyze the performance of model compression methods. We use the following definition.

Definition 1 (Variance ratio). *Consider a neural network* $f(\mathbf{x}, \mathbf{\Theta})$ *with layer activations* $\{\mathbf{x}_l\}_1^L$ and its compressed version $\tilde{f}(\mathbf{x},\mathbf{\Theta})$ with activa*tions* $\{\tilde{\mathbf{x}}_l\}_1^L$. The variance ratio *of the l-th layer is:*

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$$
\mu\left[\frac{\text{Var}(\tilde{\mathbf{x}}_l)}{\text{Var}(\mathbf{x}_l)}\right] = \frac{1}{|\mathbf{x}_l|} \sum_{k=1}^{|\mathbf{x}_l|} \frac{\text{Var}(\tilde{\mathbf{x}}_{l,k})}{\text{Var}(\mathbf{x}_{l,k})}.
$$

284 285 286 287 288 289 290 291 We observe not only variance collapse but also variance overshooting phenomena. Specifically, when data statistics are not accurately corrected after channel merging, as in IFM, variance overshooting can occur, leading to network performance decline. Fig. [4](#page-6-0) shows layerwise variance ratio between the compressed and uncompressed networks. Staying close to 1 is essential to miti-

Figure 3: k -means (KM) outperforms other clustering methods: Spectral Clustering (SC), Agglomerative Clustering (AC) with different linkage criteria and Iterative Greedy (greedy) used to compress ResNet18 trained on CIFAR10. Data-based REPAIR was used to restore data statistics after clustering for all methods.

292 293 gate both phenomena. This highlights the critical need for precise statistical corrections during model merging.

294 295 296 297 298 Fold-AR: Folding with approximate REPAIR. In the context of model compression, particularly when using folding as a clustering method, it is crucial to ensure that the compressed model maintains accurate data statistics. This is especially important for layers involving operations like BatchNorm, where maintaining the correct statistical properties of activations is vital for model performance [\(Jordan et al., 2022;](#page-11-3) [Yamada et al., 2023\)](#page-13-3).

299 300 In the following explanation of the data-free approximate REPAIR, we neglect biases for ease of notation. Following the previous section, we consider folding of the normalized weight matrix with

$$
\mathbf{z}_l = \mathbf{C}\mathbf{\Sigma}_n\mathbf{W}_l\mathbf{x}_l
$$

303 304 305 306 307 using the post-activation output x_l of the previous layer and the input z_l to the scaling matrix Σ_s . A cluster c is defined by the column of the clustering matrix U, i.e., all values $z_l(i)$ with $u(i, c) = 1$ belong to cluster c. Moreover, by definition of C, all values $z_l(i)$ belonging to a single cluster c equal the centroid $\hat{z}_l(c)$ of the cluster, i.e., the average of all values $\Sigma_n \mathbf{W}_l \mathbf{x}_l$ belonging to this cluster. More formally,

$$
\forall u(i, c) == 1 : z_l(i) = \hat{z}_l(c)
$$

$$
\forall 1 \le c \le k : \hat{z}_l(c) = \frac{1}{N_c} \sum_{i \in I_c} \tilde{x}_l(i),
$$

312 313 314 315 316 where $I_c = \{i : u(i, c) = 1\}$ denotes the indices of all values belonging to cluster c, $N_c = |I_c|$ denotes the number of values in the cluster, and $\tilde{\mathbf{x}}_l = \sum_n \mathbf{W}_l \mathbf{x}_l$. The batch normalization using $\mathbf{\Sigma}_n$ ensures that the variances of all $\tilde{x}_l(i)$ equal 1. The averaging over all $\tilde{x}_l(i)$ belonging to a single cluster destroys this property and leads to the observed variance collapse. We will describe various methods to compensate this loss in variance, at first the data-free approximate REPAIR (Fold-AR).

317 318 The variance of the cluster centroid $\hat{z}_l(c)$ of cluster c is given by

$$
\text{Var}(\hat{z}_l(c)) = \frac{1}{N_c^2} \left[\sum_{i \in I_c} \text{Var}(\tilde{x}_l(i)) + \sum_{i,j \in I_c; i \neq j} \text{Cov}(\tilde{x}_l(i), \tilde{x}_l(j)) \right],
$$

322 323 which further simplifies to $Var(\hat{z}_l(c)) = \frac{1}{N_c^2} [N_c + (N_c^2 - N_c)E[c]]$, where $E[c]$ is the mean correlation within the cluster. To prevent variance collapse, we aim for $\text{Var}(\hat{z}_l(c)) = 1$, which would occur

Fold-AR

Fold-DIR

 $\overline{10}$

 $\overline{1}$

 $Fold-R$

10

target

Fold-Naive

ż

IFM

Variance Ratio $10⁰$

10

336

373 374 375

Layer Index Figure 4: Variance collapse and overshooting Figure 5: Data-free folding methods with ap-**334 335 337 338 339 340 341** Layer-wise sparsity is 0.5.

on ResNet18 with CIFAR10. The goal is to align proximate REPAIR (Fold-AR) and Deep Inthe layer-wise variance in the compressed network version [\(Yin et al., 2020\)](#page-13-4) (Fold-DIR) and on to that of the uncompressed model. Naive aver-ResNet18 with CIFAR10 at various weight sparaging of statistics (Fold-Naive) leads to variance sity levels, uniformly distributed across layers. collapse [\(Jordan et al., 2022\)](#page-11-3), while IFM over-Fold-DIR performs similarly to the data-based shoots. Fold-AR and Fold-DIR closely match the REPAIR (Fold-R). Both Fold-AR and Fold-DIR performance of the data-driven REPAIR (Fold-R). surpass IFM [\(Chen et al., 2023\)](#page-10-7) by a significant margin.

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if $E[c] = 1$, meaning all channels in the cluster are fully correlated. However, as $E[c] < 1$ typically, we multiply each cluster centroid by a scaling parameter assuming an average cluster correlation $E[c]$

$$
\hat{z}_l(c) \leftarrow \hat{z}_l(c) \frac{N_c}{\sqrt{N_c + (N_c^2 - N_c)E[c]}}
$$

Suppose now that the covariance matrix Σ_{x_l} of the output x_l of the previous layer is available and that we define the normalized weight matrix $W_l = \Sigma_n W_l$ with rows $\tilde{\mathbf{w}}_l(i)$. Then the correlation $E[c]$ can be computed as:

$$
E[c] = \frac{1}{N_c^2 - N_c} \sum_{i,j \in I_c; i \neq j} \frac{\tilde{\mathbf{w}}_l(i) \mathbf{\Sigma}_{x_l} \tilde{\mathbf{w}}_l^T(j)}{\sqrt{(\tilde{\mathbf{w}}_l(i) \mathbf{\Sigma}_{x_l} \tilde{\mathbf{w}}_l^T(i)) (\tilde{\mathbf{w}}_l(j) \mathbf{\Sigma}_{x_l} \tilde{\mathbf{w}}_l^T(j))}}.
$$

In the absence of data, $E[c]$ can be estimated by assuming that the output values x_i of the previous layer are uncorrelated. As the individual variances of $\tilde{x}_l(i)$ equal 1 we obtain

$$
E[c] = \frac{1}{N_c^2 - N_c} \sum_{i,j \in I_c; i \neq j} \frac{\tilde{\mathbf{w}}_l(i)\tilde{\mathbf{w}}_l^T(j)}{\sqrt{(\tilde{\mathbf{w}}_l(i)\tilde{\mathbf{w}}_l^T(i))(\tilde{\mathbf{w}}_l(j)\tilde{\mathbf{w}}_l^T(j))}}.
$$

361 362 363 364 365 We term this approach to maintain the data statistics within the model *folding with approximate REPAIR* (Fold-AR). This approach helps to ensure that the statistical properties of the data are preserved even after model compression, maintaining the performance of the network while reducing its size. Fig. [5](#page-6-0) shows how the performance of Fold-AR compares to the data-driven REPAIR (Fold-R) and surpasses the SOTA data-free methods.

366 367 368 369 370 371 372 Fold-DIR: Correcting data statistics with deep inversion. Deep Inversion (DI) [\(Yin et al., 2020\)](#page-13-4) is a technique that synthesizes realistic images directly from a pre-trained neural network without requiring access to the original data. The process involves inverting the model by optimizing random noise to produce class-conditional images that match the statistics of the data the model was trained on [\(Mordvintsev et al., 2015\)](#page-12-8). DI leverages the BatchNorm layers within the network, which store the running mean and variance of activations during training. By using these stored statistics as a regularization term in

$$
\mathcal{R}(\hat{\mathbf{x}}) = \mathcal{L}_{class}(\hat{\mathbf{x}}, t) + \sum_{l} \left\| \mu(\hat{\mathbf{x}}_l) - \mu(\mathbf{x}_l) \right\|_2^2 + \sum_{l} \left\| \text{Var}(\hat{\mathbf{x}}_l) - \text{Var}(\mathbf{x}_l) \right\|_2^2 + \left\| \hat{\mathbf{x}} \right\|_2^2 + \left\| \hat{\mathbf{x}} \right\|_{TV},
$$

376 377 DI ensures that the generated images have similar statistical properties to the original training data, thus producing high-fidelity images. Here, $\mu(\hat{\mathbf{x}}_l)$ and $\text{Var}(\hat{\mathbf{x}}_l)$ are the mean and variance of the feature map $\hat{\mathbf{x}}_l$ in the synthesized data, and $\mu(\mathbf{x}_l)$ and $\text{Var}(\mathbf{x}_l)$ are the expected mean and variance of the

Figure 6: Comparison with IFM [\(Chen et al., 2023\)](#page-10-7) and structured magnitude pruning [\(Cai](#page-10-10) [et al., 2020;](#page-10-10) [Yin et al., 2022\)](#page-13-7). Model folding, when tested on ResNet18 (top row) and VGG11-BN (bottom row) trained on CIFAR10 (left column) and ImageNet (right column), outperforms IFM with higher sparsity and increasing dataset difficulty.

feature map in the original data. The term $\mathcal{L}_{class}(\hat{\mathbf{x}}, t)$ denotes classification loss of the synthetic sample, while $\|\hat{\mathbf{x}}\|_2^2$ and $\|\hat{\mathbf{x}}\|_{TV}$ denote the L_2 and Total Variation regularization terms over the synthetic sample x. Finally t denotes the desired class of the synthetic sample \hat{x} . Sample images extracted from a pre-trained ResNet18 model on CIFAR100 with DI are shown in Appendix [M.](#page-32-1)

 We leverage a *single batch* of DI-synthesized data within model folding to preserve data statistics after channel merging, eliminating the need for training data. By generating synthetic images aligned with the network's internal statistics, DI recalibrates the folded model's parameters, ensuring that activation variance and mean are maintained. This helps the model retain its performance postfolding, mitigating issues such as variance collapse or explosion without requiring the original dataset. Notably, updating BatchNorm statistics requires only a forward pass, with no backpropagation needed. Thus, Fold-DIR offers a data-free and fine-tuning-free solution for maintaining data statistics. Fig. [5](#page-6-0) shows that Fold-DIR closely follows the performance of the data-driven REPAIR (Fold-R), effectively maintaining the data statistics within the model. Fold-DIR outperforms Fold-AR at the cost of generating a batch of synthetic images and a forward pass through the network.

4 EXPERIMENTS

Following related works on model merging [\(Ainsworth et al., 2023;](#page-10-6) [Chen et al., 2023;](#page-10-7) [Jordan et al.,](#page-11-3) [2022\)](#page-11-3), we evaluate folding on convolutional architectures, including ResNets [\(He et al., 2016\)](#page-11-9) and VGGs [\(Simonyan & Zisserman, 2014\)](#page-13-8) of varying sizes on CIFAR10, CIFAR100 [\(Krizhevsky et al.,](#page-11-10) [2009b\)](#page-11-10) and ImageNet [\(Deng et al., 2009\)](#page-10-11). For models trained on the CIFAR10 and CIFAR100 datasets, we used the hyperparameters available from online benchmarks^{[1](#page-7-0)[2](#page-7-1)}. For models trained on ImageNet, the pre-trained weights were taken from torchvision. For large language models (LLMs), we evaluate model folding on LLaMa-7B [\(Touvron et al., 2023a\)](#page-13-9) with pre-trained weights from Hugging Face Hub. In all experiments, model sparsity denotes the proportion of weights that have been removed as a result of model compression. Experimental setup is detailed in Appendix [A.](#page-15-1) Further evaluation results are in Appendix [K](#page-31-0) and [L.](#page-32-2)

https://github.com/huyvnphan/PyTorch_CIFAR10

 2 <https://github.com/weiaicunzai/pytorch-cifar100/>

Figure 7: Comparison of model folding with IFM [\(Chen et al., 2023\)](#page-10-7), and INN [\(Solodskikh et al.,](#page-13-5) [2023\)](#page-13-5) using ResNet18 on CIFAR10. In the original experiment defined in the IFM and INN papers, where only the last two blocks of a ResNet18 are pruned, folding is significantly better than INN while it matches the performance of IFM for lower sparsities and becomes significantly better for higher sparsities. Note, the maximum sparsity achievable by INN is 54% [\(Solodskikh et al., 2023\)](#page-13-5).

Figure 8: Layer-wise correlation among matched channels in VGG11 and its wider variants on CIFAR10. This figure shows correlation matrices for each layer of VGG11 and its 1x and 3x wider variants, derived from activation matching. Opaque black represents the 1x wider model, while vibrant colors indicate the 3x wider model, highlighting differences in correlation strength.

459 460 461 462 463 464 465 466 467 468 Model folding mitigates variance collapse. Fig. [6](#page-7-2) compares model folding with IFM [\(Chen et al.,](#page-10-7) [2023\)](#page-10-7), a recently introduced data-free, fine-tuning-free method that combines aspects of folding and pruning. Unlike model folding, which accurately corrects the data statistics in the compressed model, IFM merges matched input channels by summing one and zeroing the other, followed by a weighted average of output channels. In contrast to the original paper, Fig. [6](#page-7-2) applies the same sparsity ratio across all layers for every method. We find that model folding significantly outperforms IFM, particularly at higher sparsity levels and for larger networks. Additionally, Fig. [7](#page-8-0) (left) replicates the experiment from [\(Chen et al., 2023\)](#page-10-7) on ResNet18 with CIFAR10, using the same per-layer sparsity pattern where only the last two blocks are sparsified. In this scenario, IFM offers a slight performance edge over our method for low sparsity, but struggles with higher sparsity.

469 470 471 472 473 474 475 476 477 Comparison to structured pruning. We compare model folding with the structured magnitude pruning (SP) method used in [\(Cai et al., 2020;](#page-10-10) [Yin et al., 2022\)](#page-13-7), based on L_1 and L_2 norms, without fine-tuning. Fig. [6](#page-7-2) demonstrates that model folding significantly outperforms magnitude pruning, with the performance gap widening as sparsity increases. At 70% sparsity, the folded ResNet18 on CIFAR10 maintains over 80% accuracy, while pruned networks barely surpass random chance. On ImageNet, the performance collapse is even more pronounced across all methods due to the dataset's higher complexity, yet model folding consistently performs well across both datasets. Following [\(Chen et al., 2023\)](#page-10-7), Fig. [7](#page-8-0) (right) compares model folding with the SOTA data-free pruning method INN [\(Solodskikh et al., 2023\)](#page-13-5), which struggles to manage even moderate sparsity.

478 479 480 481 482 483 484 Folding wider models. Do wider networks present more opportunities for model folding? We first examine the layer-wise correlation among matched channels in VGG11 and its wider variants on CIFAR10, as shown in Fig. [8.](#page-8-1) This ablation study reveals that increasing the layer width strengthens the matched correlations, suggesting greater potential for folding. Building on this, Fig. [9](#page-9-0) demonstrates the application of model folding also to 1x/2x/3x wider MLP and ResNet50 architectures, trained on CIFAR10 and CIFAR100, showing consistent performance gains as width increases.

485 Folding LLMs. LLMs are built with a large number of parameters, achieving strong performance across various tasks. However, structurally compressing these deep and large models remains a

Figure 9: Model folding performance improves with increasing model width. The MLP model consists of three stacked mlp blocks (including a fully connected layer, a BN layer, and a ReLU layer), followed by a final classifier. Upscaled versions of MLP (left) and ResNet50 (right) architectures, trained on CIFAR10 and CIFAR100, demonstrate the consistent advantages of model folding.

Prune ratio	Method	Data usage	WikiText2.L	BoolO	WinoGrande	$ARC-e$	ARC-c	Average ^{\uparrow}
0%	LLaMA-7B (Touvron et al., 2023a)		5.68	75.05	69.93	75.34	41.89	65.55
20%	Magnitude Prune		36136	43.21	49.40	27.23	21.59	35.36
20%	LLM-Pruner (Ma et al., 2023)	Gradients	10.53	59.39	61.33	59.18	37.18	54.27
20%	FLAP (An et al., 2023)	Calibration	6.87	69.63	68.35	69.91	39.25	61.79
20%	Wanda sp Sun et al. (2023)	Calibration	8.22	71.25	67.09	71.09	42.58	63.00
20%	SliceGPT (Ashkboos et al., 2024)	Calibration	7.00	57.80	67.96	62.67	36.01	56.11
20%	ShortGPT Men et al. (2024)	Calibration	15.48	62.17	67.40	58.88	31.91	55.09
20%	Model Folding		13.33	62.29	62.19	49.83	26.37	50.17

Table 1: Performance of structured pruning methods on LLaMA-7B without post-tuning, showing perplexity on WikiText2 and zero-shot performance across tasks. The "Average" is computed over four tasks. "Wanda_sp" represents an adapted Wanda method for structured pruning. Despite not using data or fine-tuning, model folding achieves comparable performance to data-driven methods.

513 514 515 516 517 518 519 520 521 522 523 524 525 526 challenge. LLM-Pruner [\(Ma et al., 2023\)](#page-12-9) performs structured pruning using gradient calculations, while Wanda [\(Sun et al., 2023\)](#page-13-10) leverages an importance score by multiplying weights with their corresponding input activations. FLAP [\(An et al., 2023\)](#page-10-12) dynamically computes a fluctuation pruning metric using calibration data. In Tab. [1,](#page-9-1) we compare model folding with these methods on LLaMa-7B [\(Touvron et al., 2023a\)](#page-13-9), focusing on perplexity on the WikiText2 [\(Merity et al., 2016\)](#page-12-11) validation set and zero-shot performance across four tasks using the EleutherAI LM Harness [\(Gao et al., 2024\)](#page-11-11). The folded model performs only very slightly worse than models compressed with data-driven methods. Following SOTA, the clustering phase of model folding was applied to LLaMa-7B, introducing 20% and 50% sparsity in the attention and feed-forward layers of decoder blocks 22-29, and 10% and 40% sparsity in the attention and feed-forward layers of decoder blocks 11-21, respectively. As there is no batchnorm layer in LLaMA-like LLMs, we just applied clustering in LLMs without REPAIR. Tab. [5](#page-23-0) shows the generated examples of dense and folded LLaMA-7B processed by model folding without REPAIR in Appendix [E.](#page-23-1) Results of folding LLaMA2-7B [\(Touvron et al., 2023b\)](#page-13-11) are also provided in Appendix [E.](#page-23-1) When folding with 20% sparsity, the pruned model continues to perform well.

527

5 CONCLUSION

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530 531 532 533 534 535 536 537 In this paper, we introduce *model folding*, a novel compression technique that reduces model size by merging similar channels across layers, without requiring fine-tuning or training data. Model folding achieves high sparsity while preserving data statistics, outperforming traditional pruning and data-free compression methods. Our experiments demonstrate that wider networks, such as VGG11 and ResNet50, offer greater opportunities for folding due to increased redundancy, further improving compression efficiency. In LLMs, model folding can prune models while maintaining performance comparable to data-driven methods, but without the need for data access or fine-tuning, which are typically required by most structured pruning techniques.

538 539 Limitations and future work. Model folding offers significant compression without data or finetuning, but its effectiveness may be limited in networks with low redundancy. Additionally, it does not optimize sparsity levels per layer, leaving this for future work.

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810 811 APPENDIX

We trained over 100 models on a NVIDIA DGX Station A100 featuring eight NVIDIA A100 GPUs (each equipped with 80GB memory) to evaluate the performance of model folding presented in this work. For a folding experiment, we apply the same compression ratio to all layers. Pytorch Hub^{[3](#page-15-2)} and Huggingface Hub^4 Hub^4 are used to load pre-trained checkpoints for complex model-dataset combinations, including ResNet18/ResNet50/VGG11 on ImageNet and LLaMA-7B [\(Touvron et al.,](#page-13-9) [2023a\)](#page-13-9). WandB^{[5](#page-15-4)} is used to log training history, folding result, and evaluation metrics. The source code of all experiments is available here: [https://anonymous.4open.science/r/model_folding_](https://anonymous.4open.science/r/model_folding_anonymous-94F8/) [anonymous-94F8/](https://anonymous.4open.science/r/model_folding_anonymous-94F8/)

B FURTHER THEORETICAL RESULTS TO SUPPORT MODEL FOLDING

Lemma 1. Let $\mathbf{x} \in \mathbb{R}^k$ and let $\mathbf{U} \in \{0,1\}^{n \times k}$ be a binary clustering matrix with $\sum_j u_{ij} = 1$. Then *with any element-wise nonlinear function* σ(·) *we have*

$$
\sigma(\mathbf{U}\mathbf{x}) = \mathbf{U}\sigma(\mathbf{x})
$$

Proof of Lemma [1.](#page-15-5) Define $y = Ux$, $z = \sigma(Ux)$ and $v = \sigma(x)$, $w = U\sigma(x)$. Note that in any row of U just one element satisfies $u_{ij} = 1$. We define such an element by a function p with $u_{ij} = 1 \Leftrightarrow p(i) = j.$

Therefore, $y_i = x_{p(i)}$ and $z_i = \sigma(y_i) = \sigma(x_{p(i)})$ for all $1 \le i \le n$. Moreover, $v_i = \sigma(x_i)$ and $\mathbf{w}_i = \mathbf{v}_{p(i)} = \sigma(\mathbf{x}_{p(i)})$. Therefore, $\mathbf{z}_i = \mathbf{w}_i$ and $\mathbf{z} = \mathbf{w}$.

 \Box

Lemma 2. Let $\mathbf{x} \in \mathbb{R}^k$, let $\mathbf{U} \in \{0,1\}^{n \times k}$ be a binary clustering matrix with $\sum_j u_{ij} = 1$, let $\sigma(\cdot)$ *be an element-wise nonlinear function, and define* $\mathbf{C} = \mathbf{U}(\mathbf{U}^T \mathbf{U})^{-1} \mathbf{U}^T$ *. Then*

$$
\sigma(\mathbf{C}\mathbf{x}) = \mathbf{C}^T \sigma(\mathbf{C}\mathbf{x})
$$

5 https://wandb.ai

³ https://pytorch.org/hub/

⁴ https://huggingface.co/docs/hub/index

864 865 *Proof of Lemma [2.](#page-15-6)* We can write

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\n867
\n868
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\n869
\n861
\n862
\n
$$
\sigma(\mathbf{C}\mathbf{x}) = \sigma(\mathbf{U}(\mathbf{U}^T\mathbf{U})^{-1}\mathbf{U}^T\mathbf{x})
$$
\n(Lemma 1)
\n868
\n869
\n869
\n860
\n861
\n
$$
= \mathbf{U}(\mathbf{U}^T\mathbf{U})^{-1}(\mathbf{U}^T\mathbf{U})\sigma((\mathbf{U}^T\mathbf{U})^{-1}\mathbf{U}^T\mathbf{x})
$$
\n
$$
= \mathbf{U}(\mathbf{U}^T\mathbf{U})^{-1}\mathbf{U}^T\sigma(\mathbf{U}(\mathbf{U}^T\mathbf{U})^{-1}\mathbf{U}^T\mathbf{x})
$$
\n(Lemma 1)
\n871
\n871

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Lemma 3. *Let* U^T *be a clustering matrix and let* D *be a diagonal matrix, then the following is true*

 \Box

$$
(\mathbf{U}^T \mathbf{U})^{-1} \mathbf{U}^T \mathbf{D} \mathbf{U} = Diag((\mathbf{U}^T \mathbf{U})^{-1} \mathbf{U}^T diag(\mathbf{D}))
$$

Proof of Theorem [3.](#page-16-0) The clustering matrix U^T can be expressed as:

where \mathbf{u}_i^T represents the rows of the clustering matrix. Each row corresponds to cluster i, and the entries u_{ij} satisfy the binary clustering property: $u_{ij} = 1$ if the j-th data point belongs to cluster i, and $u_{ij} = 0$ otherwise.

887 The product DU is given by:

$$
\mathbf{DU} = \begin{bmatrix} d_1 & 0 & \dots & 0 \\ 0 & d_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & d_n \end{bmatrix} \begin{bmatrix} u_{11} & u_{12} & \dots & u_{1k} \\ u_{21} & u_{22} & \dots & u_{2k} \\ \vdots & \vdots & \ddots & \vdots \\ u_{n1} & u_{n2} & \dots & u_{nk} \end{bmatrix}.
$$

This simplifies to:

$$
\mathbf{DU} = \begin{bmatrix} d_1u_{11} & d_1u_{12} & \dots & d_1u_{1k} \\ d_2u_{21} & d_2u_{22} & \dots & d_2u_{2k} \\ \vdots & \vdots & \ddots & \vdots \\ d_nu_{n1} & d_nu_{n2} & \dots & d_nu_{nk} \end{bmatrix}.
$$

Using the clustering property of U, it follows that:

 $u_{ij}u_{i'j} = \begin{cases} 1, & \text{if } i = i', \\ 0, & \text{otherwise} \end{cases}$ 0, otherwise.

903 904 From this, the product $U^T D U$ simplifies to:

$$
\mathbf{U}^T \mathbf{D} \mathbf{U} = \text{Diag}(\mathbf{U}^T \text{diag}(\mathbf{D})).
$$

906 907 This result holds because only the diagonal entries remain due to the clustering matrix's orthogonality and binary properties.

908 909 Finally, using the above result, we compute:

$$
(\mathbf{U}^T \mathbf{U})^{-1} \mathbf{U}^T \mathbf{D} \mathbf{U} = (\mathbf{U}^T \mathbf{U})^{-1} \text{Diag}(\mathbf{U}^T \text{diag}(\mathbf{D})).
$$

912 By the property diag($Diag(x)$) = x for any $x \in \mathbb{R}^n$, we obtain:

$$
(\mathbf{U}^T \mathbf{U})^{-1} \mathbf{U}^T \mathbf{D} \mathbf{U} = \text{Diag}((\mathbf{U}^T \mathbf{U})^{-1} \mathbf{U}^T \text{diag}(\mathbf{D})).
$$

The lemma demonstrates that projecting the diagonal matrix D through the clustering matrix U^T **915** preserves its diagonal structure. The diagonal entries are determined by the clustering matrix's **916** mapping of the original diagonal values diag (D) , ensuring efficient computation and alignment with **917** clustering properties. \Box

970 971

918 919 920 Lemma 4. Let U^T be a clustering matrix and let $w \in \mathbb{R}^n$ and $x \in \mathbb{R}^n$, then the following is true $UDiag(w)x = Diag(Uw)Ux$

Proof of Lemma [4.](#page-17-0) The clustering matrix U can be expressed as:

$$
\mathbf{U} = \begin{bmatrix} \mathbf{v}_1^T \\ \mathbf{v}_2^T \\ \vdots \\ \mathbf{v}_n^T \end{bmatrix},
$$

where each row \mathbf{v}_m^T is defined by a mapping function $f: \{1, 2, ..., n\} \to \{1, 2, ..., k\}$. For each row \mathbf{v}_m^T , the entries are defined as:

$$
v_{m,j} = \begin{cases} 1, & \text{if } j = f(m), \\ 0, & \text{otherwise.} \end{cases}
$$

This representation indicates that the clustering matrix **assigns each element** m **to a specific cluster** $f(m)$. Each row \mathbf{v}_m^T has a single non-zero element corresponding to the cluster index $f(m)$.

Calculation of the Left-Hand Side (LHS). The left-hand side of the equality is:

$\mathbf{U}\text{Diag}(\mathbf{w})\mathbf{x}$.

First, compute $Diag(w)x$, which scales each element of x by the corresponding element of w:

$$
\text{Diag}(\mathbf{w})\mathbf{x} = \begin{bmatrix} w_1 x_1 \\ w_2 x_2 \\ \vdots \\ w_n x_n \end{bmatrix}.
$$

Then, multiplying by U aggregates these scaled values according to the clusters defined by f . Specifically, the j-th element of $\mathbf{UDiag}(\mathbf{w})\mathbf{x}$ is given by:

$$
(\mathbf{UDiag}(\mathbf{w})\mathbf{x})_j = \sum_{m:f(m)=j} w_m x_m.
$$

Calculation of the Right-Hand Side (RHS). The right-hand side of the equality is:

 $Diag(Uw)Ux.$

952 First, compute Uw. The j -th element of Uw is:

$$
(\mathbf{Uw})_j = \sum_{m:f(m)=j} w_m,
$$

which sums the w_m values for all elements assigned to cluster j.

Next, construct Diag(Uw), a diagonal matrix with entries $(\mathbf{Uw})_j$ along the diagonal:

$$
\text{Diag}(\mathbf{Uw}) = \begin{bmatrix} (\mathbf{Uw})_1 & 0 & \dots & 0 \\ 0 & (\mathbf{Uw})_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & (\mathbf{Uw})_k \end{bmatrix}.
$$

Finally, compute Ux . The *j*-th element of Ux is:

$$
(\mathbf{U}\mathbf{x})_j = \sum_{m:f(m)=j} x_m,
$$

967 which sums the x_m values for all elements assigned to cluster j.

968 969 Multiplying $Diag(Uw)$ by Ux gives:

$$
(\mathrm{Diag}(\mathbf{Uw})\mathbf{Ux})_j = (\mathbf{Uw})_j(\mathbf{Ux})_j = \left(\sum_{m: f(m)=j} w_m\right) \left(\sum_{m: f(m)=j} x_m\right).
$$

972 973 974 975 976 Verification of Equality. Both the LHS and RHS compute the same aggregated sums $\sum_{m: f(m)=j} w_m x_m$ for each cluster j. The LHS directly performs the aggregation of $w_m x_m$ within clusters, while the RHS separates the computation into two steps: summing w_m and x_m for each cluster, followed by multiplying these sums. Since multiplication distributes over addition, the two expressions are equivalent:

$$
UDiag(\mathbf{w})\mathbf{x} = Diag(\mathbf{U}\mathbf{w})\mathbf{U}\mathbf{x}.
$$

The lemma is proven, as both sides of the equation compute the same weighted aggregation of $w_m x_m$ over the clusters defined by the clustering matrix U. \Box

Lemma 5. *Let* C^T *be a clustering matrix and let* D *be a diagonal matrix, then the following is true*

$$
\|\mathbf{W} - Diag(\mathbf{C}diag(\mathbf{W}))\|_F^2 = \|diag(\mathbf{W}) - \mathbf{C}diag(\mathbf{W})\|_2^2
$$

Proof of Lemma [5.](#page-18-0) Let $\tilde{W} = Diag(Cdiag(W))$, where \tilde{W} represents the diagonal matrix obtained by clustering the diagonal entries of W using the clustering matrix C. Both W and \tilde{W} are diagonal matrices, so their difference $W - \tilde{W}$ is also diagonal. The entries of this difference are:

$$
w_{i,j} - \tilde{w}_{i,j} = \begin{cases} w_{i,i} - \tilde{w}_{i,i}, & \text{if } i = j, \\ 0, & \text{otherwise.} \end{cases}
$$

The Frobenius norm of the difference $\mathbf{W} - \tilde{\mathbf{W}}$ is:

$$
\|\mathbf{W} - \tilde{\mathbf{W}}\|_{F}^{2} = \sum_{i,j} (w_{i,j} - \tilde{w}_{i,j})^{2}.
$$

Since W and W are diagonal matrices, this simplifies to:

$$
\|\mathbf{W} - \tilde{\mathbf{W}}\|_{F}^{2} = \sum_{i} (w_{i,i} - \tilde{w}_{i,i})^{2}.
$$

1001 1002 1003 The diagonal entries of W can be represented as a vector diag(W), and the diagonal entries of W are given by $Cdiag(W)$. Substituting these representations, we have:

$$
\|\mathbf{W} - \tilde{\mathbf{W}}\|_F^2 = \sum_i (\text{diag}(\mathbf{W})_i - (\mathbf{C}\text{diag}(\mathbf{W}))_i)^2.
$$

1006 1008 This is equivalent to the squared ℓ_2 -norm of the difference between the vectors diag(W) and $Cdiag(W)$, giving:

$$
\|\mathbf{W}-\tilde{\mathbf{W}}\|_F^2 = \|\mathrm{diag}(\mathbf{W})-\mathbf{C}\mathrm{diag}(\mathbf{W})\|_2^2
$$

.

1010 Substituting back $\tilde{W} = \text{Diag}(C \text{diag}(W))$, we conclude that:

$$
\|\mathbf{W} - \text{Diag}(\mathbf{C}\text{diag}(\mathbf{W}))\|_F^2 = \|\text{diag}(\mathbf{W}) - \mathbf{C}\text{diag}(\mathbf{W})\|_2^2.
$$

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1015 1016 Lemma 6. Let $A \in \mathbb{R}^{n \times n}$ and $B \in \mathbb{R}^{n \times n}$ be diagonal matrices, then:

$$
AB = Diag(Adiag(B))
$$

1019 1020 *Proof of Lemma [6.](#page-18-1)* Since both A and B are diagonal matrices, their product AB is also a diagonal matrix. The entries of the product **AB** are given by:

$$
(\mathbf{AB})_{i,j}=a_{i,j}b_{i,j}.
$$

1023 For diagonal matrices, all off-diagonal entries are zero, so:

$$
1025 \qquad (\mathbf{AB})_{i,j} = \begin{cases} a_{i,i}b_{i,i}, \\ 0, \end{cases}
$$

$$
\mathbf{1}_{j,i,j} = \begin{cases} 0, & \text{otherwise.} \end{cases}
$$

if $i = j$,

 \Box

1026 1027 Thus, the diagonal entries of **AB** are $a_{i,i}b_{i,i}$, and the matrix **AB** is:

$$
\mathbf{AB} = \begin{bmatrix} a_1b_1 & 0 & \dots & 0 \\ 0 & a_2b_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & a_nb_n \end{bmatrix},
$$

1032 1033 where $a_i = a_{i,i}$ and $b_i = b_{i,i}$ represent the diagonal entries of **A** and **B**, respectively.

1034 Now, let diag(\bf{B}) denote the vector of diagonal entries of \bf{B} , i.e.,

$$
\text{diag}(\mathbf{B}) = \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_n \end{bmatrix}
$$

.

1040 1041 The operation $\text{Adiag}(\mathbf{B})$ represents the element-wise multiplication of the diagonal entries of \mathbf{A} and \mathbf{B} :

$$
\mathbf{A}\mathrm{diag}(\mathbf{B}) = \begin{bmatrix} a_1b_1 \\ a_2b_2 \\ \vdots \\ a_nb_n \end{bmatrix}.
$$

1046 1047 Next, using the function $Diag(\cdot)$, we can construct a diagonal matrix from this vector:

1053 1054 Clearly, AB and $Diag(Adiag(B))$ are identical, as they both produce the same diagonal matrix with entries $a_i b_i$ along the diagonal. Therefore:

$$
AB = \text{Diag}(A \text{diag}(B)).
$$

 \Box

1059 C RELATIONSHIP BETWEEN WEIGHT MATCHING AND MODEL FOLDING

Weight Matching [\(Ainsworth et al., 2023\)](#page-10-6) fuses two models into one, whereas Model Folding compresses the weight tensors/matrices of a single network. While inspired by Weight Matching, Model Folding addresses a distinct use case, leading to different optimization problems (K-Means vs. LAP). Notably, the Linear Sum Assignment Problem (LAP) can be framed as a constrained K-Means variant, where each cluster contains exactly two vectors: one from network A and one from network B.

As an example for this discussion, consider a simple feedforward network. The steps of our proposed compression algorithm involve iteratively solving the following:

$$
\mathbf{C}_l = \argmin_{\mathbf{C}_l} \|\mathbf{W}_l - \mathbf{C}_l \mathbf{W}_l\|_F^2 + \|\mathbf{W}_{l+1}^T - \mathbf{C}_l \mathbf{W}_{l+1}^T\|_F^2,
$$

1071 such that

$$
\mathbf{C}_l = \mathbf{U}_l(\mathbf{U}_l^T \mathbf{U}_l) \mathbf{U}_l^T,
$$

 $\mathbf{P}_{l} = \argmin_{\mathbf{P}_{l}} \| \mathbf{W}_{A,l} - \mathbf{P}_{l} \mathbf{W}_{B,l} \|_{F}^{2} + \| \mathbf{W}_{A,l+1}^{T} - \mathbf{P}_{l} \mathbf{W}_{B,l+1}^{T} \|_{F}^{2},$

1073 where \mathbf{U}_l^T is a clustering matrix.

1074 1075 Weight Matching merges two feedforward networks by iteratively optimizing:

$$
1076 \\
$$

1072

1077 1078 1079 where P_l is a permutation matrix. To connect Weight Matching with our method, we frame our approach within the model merging domain. This begins by establishing a relationship between K-Means and the Linear Sum Assignment (LAP) problem.

1080 1081 1082 1083 1084 1085 1086 1087 1088 1089 1090 1091 1092 1093 1094 1095 1096 1097 1098 1099 1100 1101 1102 1103 1104 1105 1106 1107 1108 1109 1110 1111 1112 1113 1114 1115 1116 1117 1118 1119 1120 1121 1122 1123 1124 1125 1126 1127 1128 1129 1130 1131 1132 1133 K-Means and LAP Connection. In the standard K-Means formulation, given a dataset represented as rows of a matrix $\mathbf{X} \in \mathbb{R}^{n \times d}$, the objective is to cluster these rows into k groups. This can be represented as: $\mathbf{C} = \argmin_{\mathbf{C}} \|\mathbf{X} - \mathbf{C}\mathbf{X}\|_F^2$ $\frac{2}{F}$, (1) where $\mathbf{C} \in \mathbb{R}^{n \times n}$ is a clustering matrix satisfying: • Each row of C corresponds to a single cluster assignment. • C has a block-diagonal structure that assigns each row of X to a single cluster centroid. The clustering matrix C can be explicitly written in terms of a matrix $\mathbf{U} \in \mathbb{R}^{n \times k}$ as: $\mathbf{C} = \mathbf{U}(\mathbf{U}^T \mathbf{U})^{-1} \mathbf{U}^T,$ where U encodes the cluster assignments and centroids. To connect this with LAP, let **X** be the concatenation of rows from two matrices W_A and W_B (e.g., weights from two neural networks): $\mathbf{X} = \begin{bmatrix} \mathbf{W}_A \ \mathbf{W}_A \end{bmatrix}$ \mathbf{W}_B . Now, constrain C such that: $C = [P \quad I],$ where: • P is a permutation matrix representing a one-to-one mapping between rows of W_A and W_B . • I is the identity matrix, allowing for exact cluster assignments during merging. Under this constraint, C enforces a specific structure, aligning rows of W_A and W_B pairwise. Substituting C into Equation [1,](#page-20-0) we get: $\mathbf{P} = \argmin_{\mathbf{P}} \| \begin{bmatrix} \mathbf{W}_A \ \mathbf{W}_B \end{bmatrix}$ \mathbf{W}_B $\Big] - {\rm \bf P} \begin{bmatrix} {\rm \bf W}_A \ {\rm \bf W}_A \end{bmatrix}$ \mathbf{W}_B $\Big\} \, \|_F^2.$ This is an instance of the Linear Sum Assignment Problem. Minimizing the cost: $J = \|\begin{bmatrix} \mathbf{W}_A \ \mathbf{W} \end{bmatrix}$ \mathbf{W}_B $\Big] - {\rm \bf P} \, \Big[{\rm \bf W}_A \ {\rm \bf W}$ \mathbf{W}_B $\Big\} \, \|_F^2,$ is equivalent to maximizing: $J^+ = \text{tr}\left(\textbf{P}\left[\begin{matrix}\textbf{W}_A \ \textbf{W}_A \end{matrix}\right]\right)$ \mathbf{W}_B $\big\} \big[\mathbf{W}_A$ \mathbf{W}_B $\left| \begin{array}{c} T \end{array} \right|$. Building on these results, we define Model Folding for merging networks as follows: $J_l = \left\| \begin{array}{c} 0 & \ldots \end{array} \right\|$ $\begin{bmatrix} \mathbf{W}_{l,A} \\ \mathbf{W}_{l,B} \end{bmatrix} - \mathbf{C}_l \begin{bmatrix} \mathbf{W}_{l,A} \\ \mathbf{W}_{l,B} \end{bmatrix} \Big\|_2^2$ 2 F $+ \left\| \left[\mathbf{W}_{l+1,A} \quad \mathbf{W}_{l+1,B} \right] - \left[\mathbf{W}_{l+1,A} \quad \mathbf{W}_{l+1,B} \right] \mathbf{C}^T_l \right\|$ 2 $\frac{2}{F}$. Constraining C_l to $C_l = [P \ I]$, where P is a permutation matrix, yields the Weight Match-ing [Ainsworth et al.](#page-10-6) [\(2023\)](#page-10-6) coordinate descent cost: $J_l = \frac{1}{2}$ $\frac{1}{2}\left\Vert \mathbf{W}_{l,A}-\mathbf{P}_{l}\mathbf{W}_{l,B}\right\Vert _{F}^{2}+\frac{1}{2}% \sqrt{\frac{\mathbf{Z}_{l,B}}{\mathbf{V}_{l,B}}}\left\Vert \mathbf{W}_{l,B}\right\Vert _{F}^{2}.$ 2 $\left\| \mathbf{W}_{l+1,A}^T - \mathbf{P}_l \mathbf{W}_{l+1,B}^T \right\|$ 2 $\frac{2}{F}$.

MODEL FOLDING FOR CONNECTING MODELS

 We provide a small experimental setup comparing WM [Ainsworth et al.](#page-10-6) [\(2023\)](#page-10-6), ZipIt! [Stoica et al.](#page-13-2) [\(2024\)](#page-13-2), and our proposed method for merging networks trained on the same task and networks trained on separate tasks.

 Merging Networks Trained on Separate Tasks. For the experiments involving the merging of networks trained on disjoint tasks, we used instances of VGG11 and ResNet18 trained on CIFAR10 with a 5+5 label split. All experiments were performed with REPAIR.

Table 2: Performance comparison for merging networks trained on separate tasks.

 Merging Networks Trained on the Same Task. For the experiments involving the merging of networks trained on the same task, we used instances of VGG11 and ResNet18, both trained on CIFAR10. All experiments were performed with REPAIR.

Table 3: Performance comparison for merging networks trained on the same task.

D CHANNEL SIMILARITY

 Models learned by SGD trend to have correlated patterns or similar parameters in the weight space. Fig. [10](#page-21-1) shows 3×3 filter weights in *conv1* of a pre-trained ResNet18. These filters across the first 3 input channels and first 16 output channels ordered by the entropy of filter weight. From the plot, most filters of a channel can find at least one another similar filter in other channels, which means filter similarity may lead to structured redundancy.

 Figure 10: Similar patterns in weight map of *conv1* layer in ResNet18 pre-trained on ImageNet [\(Deng et al., 2009\)](#page-10-11). Each small square represents the weights of a single filter in cool-warm color map, where each color of grid corresponds to a weight value.

 To investigate the filter redundancy within a layer, we apply weight matching activation matching from the literature [\(Jordan et al., 2022\)](#page-11-3) to each layer of ResNet18 pretrained on CIFAR10 [\(Krizhevsky](#page-11-12) [et al., 2009a\)](#page-11-12) in Fig. [2](#page-3-1) and on ImageNet [\(Deng et al., 2009\)](#page-10-11) in Fig. [11.](#page-22-0) We observe two findings: (1) The correlation score distribution varies across layers. The earlier and narrower the lay ers are, the more scattered the correlation coefficients are, and only a few have high correlation coefficients. The wider and later the layers are, the more compact the correlation coefficients are, and most of the matching channels have high correlation coefficients. (2) In the same layer, the distribution of

 correlation coefficients among matched channels differs across various pre-training datasets. This observation does not fully align with the claim by [Chen et al.](#page-10-7) [\(2023\)](#page-10-7) regarding the downward trend of similarity before a reversal. It appears that this characterization might not consistently hold across different models and pre-trained dataset.

Figure 11: Layer-wise correlation between matched channels in ResNet18 trained on ImageNet. We compute a layer-wise correlation matrix by matching activations between channels, then assign each channel its best match in the same layer using a greedy pairing based on the correlation matrix.

D.1 THE IMPACT OF REGULARIZATION

 In Fig. [6,](#page-7-2) the models on CIFAR10 were trained without regularization, while the pre-trained ImageNet models were sourced from torchvision. In Fig. [12,](#page-22-1) we extend the comparison of folding and pruning methods on CIFAR10, including ResNet18 (left column) and VGG11 (right column) models trained with explicit L_1 and L_2 regularization. L_1 regularization, in particular, promotes neuron sparsity, leading structured magnitude pruning methods to outperform model folding under these conditions. However, a comparison between Fig. [6](#page-7-2) and Fig. [12](#page-22-1) shows that model folding with L_2 regularization maintains the highest accuracy at higher sparsity levels, surpassing 80% accuracy. In contrast, the accuracy of the pruned network trained with L_1 drops significantly, reaching just 33% at 0.75 sparsity.

 Figure 12: ResNet18 (left column) and VGG11 (right column) models trained with L_1 (top row) and $L₂$ (bottom row) regularization. Structured magnitude pruning outperforms model folding only if training explicitly regularizes for model sparsity $(L_1$ norm). REPAIR is hardly beneficial for all structural pruning methods.

1248 1249 1250 1251 1252 1253 Table 4: Performance of structured pruning methods on LLaMA2-7B without post-tuning, showing perplexity on WikiText2 and zero-shot performance across tasks. "Inf" represents an extremely great value. The "Average" is computed over four tasks. "Wanda_sp" represents an adapted Wanda method for structured pruning. Despite not using data or fine-tuning, model folding achieves comparable performance to data-driven methods.

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Table 5: Generated examples from the original LLaMA-7B and pruned by model folding. The maximal number of output tokens is set to 100 in both models.

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> **1291 1292 1293 1294 1295** Table [5](#page-23-0) presents example outputs from both the original and the pruned LLaMA-7B models, as processed by model folding. From the responses presented in Table [5,](#page-23-0) it is evident that when folding 20% of the parameters, the pruned model continues to perform well. In Tab. [4,](#page-23-2) we also compare model folding with these methods on LLaMa2-7B [\(Touvron et al., 2023b\)](#page-13-11), focusing on perplexity on the WikiText2 [\(Merity et al., 2016\)](#page-12-11) validation set and zero-shot performance across four tasks using the EleutherAI LM Harness [\(Gao et al., 2024\)](#page-11-11). We take the same folding sparsity as shown in Tab. [1.](#page-9-1)

E MODEL FOLDING ON LLMS

1296 1297 F HANDLING RESIDUAL BLOCKS

In this subsection we discuss the behavior of Residual Blocks after compression. In a similar manner to the analysis of Normalized Blocks, we investigate the possible dependencies between the clustering matrices for different parts of the residual block and the incoming layers.

1302 1303 F.1 SIMPLE RESIDUAL BLOCKS

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1304 1305 1306 1307 Consider a Simple Residual Block, consisting of a shortcut represented by an identity transform $W_{l,s} = I$, and a preceding layer decomposed using a clustering matrix U_{l-1} . The projection matrix is defined as:

$$
\mathbf{C}_{l-1}=\mathbf{U}_{l-1}\left(\mathbf{U}_{l-1}^T\mathbf{U}_{l-1}\right)^{-1}\mathbf{U}_{l-1}^T
$$

.

.

,

1309 1310 This decomposition allows for approximating the residual block while reducing redundancy in the weights. The residual block approximation satisfies:

$$
\mathbf{y}_{l} \approx \sigma \left(\mathbf{W}_{l}^{(2)}\sigma\left(\mathbf{W}_{l}^{(1)}\mathbf{C}_{l-1}^{T}\mathbf{x}_{l-1}\right)+\mathbf{C}_{l-1}^{T}\mathbf{x}_{l-1}\right)
$$

1314 where x_{l-1} is the input to the block, y_l is the output, and $\sigma(\cdot)$ represents the activation function.

1315 1316 1317 The shortcut $W_{l,s} = I$ ensures that the input x_{l-1} is directly added to the output of the main path, preserving information and facilitating gradient flow.

1319 1320 Decomposing $W_l^{(2)}$ **.** Let the weights $W_l^{(2)}$ be decomposed using a clustering matrix $U_l^{(2)}$ $\binom{2}{l}$ and its corresponding projection:

$$
\mathbf{C}_{l}^{(2)}=\mathbf{U}_{l}^{(2)}\left(\mathbf{U}_{l}^{(2)T}\mathbf{U}_{l}^{(2)}\right)^{-1}\mathbf{U}_{l}^{(2)T}
$$

1323 Substituting this decomposition into the residual block yields:

$$
\mathbf{y}_l \approx \sigma \left(\mathbf{C}_l^{(2)} \mathbf{W}_l^{(2)} \sigma \left(\mathbf{W}_l^{(1)} \mathbf{C}_{l-1}^T \mathbf{x}_{l-1} \right) + \mathbf{C}_{l-1}^T \mathbf{x}_{l-1} \right).
$$

1327 1328 This approximation captures the effect of clustering and compressing the weights while maintaining the structure of the residual block.

1330 1331 1332 1333 Aligning Clustering Matrices. To simplify the folding process, we assert that $U_{l-1} = U_l^{(2)}$ $\binom{2}{l}$. This ensures consistency in the clustering across the residual block, reducing the need for additional transformations between layers. As a result, the folding costs for the preceding layer and the current layer can be summed directly:

$$
J_{\text{tot}} = J_l^{(2)} + J_{l-1}.
$$

1337 1338 Total Approximation Error. The total approximation error for folding the residual block is defined as:

$$
J_{\text{tot}} = \|\mathbf{W}_{\text{tot}} - \mathbf{C}_{l}^{(2)} \mathbf{W}_{\text{tot}}\|_{F}^{2},
$$

1340 1341 where:

$$
\mathbf{W}_{\text{tot}} = \begin{bmatrix} \mathbf{W}_{l-1} & \mathbf{W}_{l}^{(2)} \end{bmatrix}.
$$

1343 1344 1345 1346 Here, W_{tot} combines the weights of both layers in the residual block into a single representation. This unified view allows the clustering process to be applied holistically, ensuring that redundancies across the entire block are captured and reduced.

1347 1348 1349 By asserting $U_{l-1} = U_l^{(2)}$ $l_l^{(2)}$ and summing the individual folding costs $J_l^{(2)}$ $l_1^{(2)}$ and J_{l-1} , we achieve a compact representation of the residual block with minimal approximation error. This approach ensures that the compressed residual block remains effective while reducing redundancy in the weights.

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1350 1351 F.2 RESIDUAL BLOCKS WITH NON-IDENTITY SHORTCUTS

1352 1353 Consider a Residual Block with a shortcut represented by a weight matrix $W_{l,s}$, and a preceding layer decomposed using a clustering matrix U_{l-1} . The projection matrix is defined as:

$$
\mathbf{C}_{l-1} = \mathbf{U}_{l-1} \left(\mathbf{U}_{l-1}^T \mathbf{U}_{l-1} \right)^{-1} \mathbf{U}_{l-1}^T
$$

.

1356 1357 1358 This decomposition allows for approximating and clustering the preceding layer's weights while maintaining their representational capacity. The corresponding approximation for the residual block satisfies:

$$
\mathbf{y}_{l} \approx \sigma \left(\mathbf{W}_{l}^{(2)}\sigma\left(\mathbf{W}_{l}^{(1)}\mathbf{C}_{l-1}^{T}\mathbf{x}_{l-1}\right)+\mathbf{W}_{l,s}\mathbf{C}_{l-1}^{T}\mathbf{x}_{l-1}\right),\,
$$

1361 where:

• $W_l^{(2)}$ is the weight matrix of the second layer in the residual block,

• $W_l^{(1)}$ is the weight matrix of the first layer in the residual block,

• W_l , is the shortcut connection weight matrix,

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• $\sigma(\cdot)$ represents the activation function.

1369 1370 Decomposition of Weight Matrices. The weights $W_l^{(2)}$ and $W_{l,s}$ are decomposed using their respective clustering matrices. For $\mathbf{W}_{l}^{(2)}$, the decomposition is:

$$
\mathbf{C}_{l}^{(2)} = \mathbf{U}_{l}^{(2)} \left(\mathbf{U}_{l}^{(2)T} \mathbf{U}_{l}^{(2)} \right)^{-1} \mathbf{U}_{l}^{(2)T}.
$$

1374 For $W_{l,s}$, the decomposition is:

$$
\mathbf{C}_{l,s}=\mathbf{U}_{l,s}\left(\mathbf{U}_{l,s}^T\mathbf{U}_{l,s}\right)^{-1}\mathbf{U}_{l,s}^T.
$$

1377 Substituting these decompositions into the approximation yields:

$$
\mathbf{y}_l \approx \sigma \left(\mathbf{C}_l^{(2)} \mathbf{U}_l^{(2)T} \mathbf{W}_l^{(2)} \sigma \left(\mathbf{W}_l^{(1)} \mathbf{C}_{l-1}^T \mathbf{x}_{l-1} \right) + \mathbf{C}_{l,s} \mathbf{W}_{l,s} \mathbf{C}_{l-1}^T \mathbf{x}_{l-1} \right).
$$

1381 1382 1383 Consistency Constraint and Total Approximation Error. To simplify the folding process and ensure consistency across the layers, we introduce the constraint:

$$
\mathbf{U}_{l,s}=\mathbf{U}^{(2)}_l.
$$

1385 1386 1387 1388 This ensures that the same clustering matrix is used for both the shortcut weights $W_{l,s}$ and the second layer's weights $\mathbf{W}_{l}^{(2)}$. By adding the individual folding costs $J_{l}^{(2)}$ $l_l^{(2)}$ and $J_{l,s}$, we ensure that Lemma [1](#page-15-5) holds, leading to the total approximation error for the residual block:

$$
J_{\text{tot}} = J_l^{(2)} + J_{l,s}.
$$

1391 1392 1393 Unified Approximation for Residual Blocks. The total approximation error can be expressed compactly as:

$$
J_{\text{tot}} = \|\mathbf{W}_{\text{tot}} - \mathbf{C}_{l}^{(2)} \mathbf{W}_{\text{tot}}\|_{F}^{2},
$$

where:

$$
\mathbf{W}_{\text{tot}} = \left[\mathbf{W}_{l,s} \mid \mathbf{W}_l^{(2)} \right].
$$

1397 1398 1399 1400 Here, W_{tot} combines the shortcut weights $W_{l,s}$ and the second-layer weights $W_l^{(2)}$ into a single matrix. This unified representation allows the folding process to be applied holistically, reducing redundancies across the entire residual block.

1401 1402 1403 The decomposition of weights in residual blocks with non-identity shortcuts introduces a consistent clustering mechanism for both the shortcut and the second layer. By ensuring that $U_{l,s} = U_l^{(2)}$ $\binom{2}{l}$, we maintain alignment in the clustering process, leading to a compact and efficient representation with minimal approximation error.

1404 1405 G HANDLING BATCH NORMALIZATION LAYERS

1406 1407 1408 1409 1410 1411 1412 1413 1414 1415 1416 1417 1418 1419 1420 1421 1422 1423 1424 1425 1426 1427 1428 1429 1430 1431 1432 1433 1434 1435 1436 1437 1438 1439 1440 1441 1442 1443 1444 1445 1446 1447 1448 1449 1450 1451 1452 1453 1454 1455 1456 1457 Batch Normalization layers, when combined with linear layers, introduce additional scaling and normalization operations. One special case is a layer consisting of a linear block followed by a Batch Normalization block, formally defined as: $\mathbf{z}_{l+1} = \mathbf{W}_{l+1} \sigma(\mathbf{\Sigma}_s \mathbf{\Sigma}_n \mathbf{W}_l \mathbf{x}_{l-1}),$ where: • W_l : weight matrix of the linear block, • Σ_s : Batch Normalization scaling matrix, • Σ_n : Batch Normalization normalization matrix, • W_{l+1} : weight matrix of the subsequent layer, • $\sigma(\cdot)$: activation function applied element-wise. A design choice in handling such layers is to decompose Σ_s , Σ_n , and W_l separately while preserving the original structure of the layer. This ensures that the scaling, normalization, and linear blocks are treated as distinct functional units. The decomposed approximation for the layer can then be expressed as: $\mathbf{z}_{l+1} \approx \tilde{\mathbf{z}}_{l+1} = \mathbf{W}_{l+1} \mathbf{C}_s^T \sigma(\mathbf{C}_s \mathbf{\Sigma}_s \mathbf{C}_n \mathbf{\Sigma}_n \mathbf{C}_l \mathbf{W}_l \mathbf{x}_{l-1}),$ where the projection matrices \mathbf{C}_s , \mathbf{C}_n , and \mathbf{C}_l are defined as: $\mathbf{C}_s = \mathbf{U}_s (\mathbf{U}_s^T \mathbf{U}_s)^{-1} \mathbf{U}_s^T = \mathbf{U}_s \mathbf{M}_s,$ $\mathbf{C}_n = \mathbf{U}_n (\mathbf{U}_n^T \mathbf{U}_n)^{-1} \mathbf{U}_n^T = \mathbf{U}_n \mathbf{M}_n,$ $\mathbf{C}_l = \mathbf{U}_l (\mathbf{U}_l^T \mathbf{U}_l)^{-1} \mathbf{U}_l^T = \mathbf{U}_l \mathbf{M}_l.$ Here, U_s , U_n , and U_l are clustering matrices, and M_s , M_n , and M_l are normalization terms. Clustering Assumptions. To simplify the decomposition and ensure alignment across the layer components, we impose the following consistency constraint: $\mathbf{U}_s = \mathbf{U}_n = \mathbf{U}_l.$ This assumption ensures that the same clustering structure is applied to the scaling, normalization, and linear blocks, leading to a unified decomposition. Under this assumption, the approximation becomes: $\tilde{\mathbf{z}}_{l+1} = \mathbf{W}_{l+1} \mathbf{C}_l^T \sigma(\mathbf{U}_l \mathbf{M}_l \mathbf{W}_{b,l} \mathbf{U}_l \mathbf{M}_l \mathbf{\Sigma}_n \mathbf{U}_l \mathbf{M}_l \mathbf{W}_l \mathbf{x}_{l-1}),$ where $\mathbf{W}_{b,l}$ represents the intermediate scaling factors. Applying Diagonal Properties. Using Lemma [3,](#page-16-0) we observe that the normalization and scaling matrices can be represented as diagonal matrices: $\tilde{\mathbf{z}}_{l+1} = \mathbf{W}_{l+1} \mathbf{C}_l^T \sigma(\mathbf{U}_l \text{Diag}(\mathbf{M}_l \text{diag}(\mathbf{W}_{b,l})) \text{Diag}(\mathbf{M}_l \text{diag}(\mathbf{\Sigma}_n)) \mathbf{M}_l \mathbf{W}_l \mathbf{x}_{l-1}).$ Furthermore, by applying Lemma [4,](#page-17-0) we rewrite this expression as: $\tilde{\mathbf{z}}_{l+1} = \mathbf{W}_{l+1} \mathbf{C}_l^T \sigma(\text{Diag}(\mathbf{C}_l \text{diag}(\mathbf{W}_{b,l})) \text{Diag}(\mathbf{C}_l \text{diag}(\mathbf{\Sigma}_n)) \mathbf{C}_l \mathbf{W}_l \mathbf{x}_{l-1}).$ This shows that the diagonal structure of the scaling and alignment matrices is preserved through the decomposition, maintaining the original behavior of the Batch Normalization block.

1458 1459 1460 Compression Cost. According to the definition of the Model Folding problem and using the properties stated in Lemma [5,](#page-18-0) the compression cost for the layer can be expressed as:

$$
J_{tot} = \|\mathbf{W}_{tot} - \mathbf{C}_l \mathbf{W}_{tot}\|_F^2,
$$

1462 1463 where:

1461

1464

1472

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1478

$$
\mathbf{W}_{tot} = \begin{bmatrix} \mathbf{W}_{l+1}^T & \mathbf{W}_l & \text{diag}(\mathbf{\Sigma}_s) & \text{diag}(\mathbf{\Sigma}_n) \end{bmatrix}.
$$

1465 1466 1467 This cost quantifies the approximation error introduced by clustering the weights, scaling, and normalization matrices while preserving the layer's functional structure.

1468 1469 1470 1471 By decomposing the Batch Normalization and linear blocks separately and aligning their clustering structures $(U_s = U_n = U_l)$, we ensure that the original diagonal properties of the scaling and normalization matrices are preserved. The resulting compression cost captures the overall error of folding the entire layer into a compact representation.

1473 G.1 ALGORITHMIC DESCRIPTION OF FOLD-AR

1475 1476 1477 The Fold-AR algorithm for a single layer combines the Batch Normalization components and layer weights into a compact representation, followed by clustering to reduce redundancy. The steps are described in Algorithm [1.](#page-27-0)

1479 Algorithm 1 Fold-AR for a Single Layer

1480 1481 Require: Σ_s , Σ_n , W_l , W_{l+1}

1482 1: Compute the normalized weight matrix: $\hat{\mathbf{W}}_l \leftarrow \mathbf{\Sigma}_n \mathbf{W}_l$ 2: Construct the combined weight matrix: $\mathbf{W}_{\text{tot}} \leftarrow \begin{bmatrix} \mathbf{W}_{l+1}^T & \hat{\mathbf{W}}_l & \text{diag}(\mathbf{\Sigma}_s) \end{bmatrix}$

3: Solve the clustering problem:

$$
\mathbf{U} \leftarrow \mathop{\arg\min}\limits_{\mathbf{U}} \|\mathbf{W}_{\text{tot}} - \mathbf{U}(\mathbf{U}^T\mathbf{U})^{-1}\mathbf{U}^T\mathbf{W}_{\text{tot}}\|_F^2
$$

1487

1488 subject to $\mathbf{U}^T \in \{0,1\}^{m \times n}$ and $m < n$ 4: Update the scaling matrix: $\dot{\Sigma}_s \leftarrow (\mathbf{U}_-^T \mathbf{U})^{-1} \mathbf{U}_-^T \Sigma_s \mathbf{U}$

1489 5: Update the second-layer weights: $\mathbf{W}_{l+1}^T \leftarrow \mathbf{U}^T \mathbf{W}_{l+1}^T$

1490 6: Update the current-layer weights: $\hat{\mathbf{W}}_l \leftarrow (\mathbf{U}^T \mathbf{U})^{-1} \mathbf{U}^T \hat{\mathbf{W}}_l$

1491 1492

7: for $c = 1, \ldots, m$ do \triangleright Adjust scaling factors for each cluster 8: Compute cluster size: $N_c \leftarrow \sum_i$ \triangleright I(·) is the indicator function 9: Compute intra-cluster correlation:

 \triangleright Input components of the layer

$$
E[c] \leftarrow \frac{1}{N_c^2 - N_c} \sum_{i,j} \frac{\hat{\mathbf{w}}_{l,i,:} \cdot \hat{\mathbf{w}}_{l,j,:}^T}{\sqrt{\|\hat{\mathbf{w}}_{l,i,:}\|^2 \|\hat{\mathbf{w}}_{l,j,:}\|^2}} \mathbb{I}(\mathbf{U}_{i,c} = \mathbf{U}_{j,c} = 1) \mathbb{I}(i \neq j)
$$

10: Update the scaling factor for cluster c :

$$
(\mathbf{\Sigma}_s)_{c,c} \leftarrow (\mathbf{\Sigma}_s)_{c,c} \frac{N_c}{\sqrt{N_c + (N_c^2 - N_c)E[c]}}
$$

1502 11: end for

1505 EXPLANATION OF KEY STEPS

> 1. Combining Normalization and Weights. The normalization matrix Σ_n is diagonal, and multiplying it with the weight matrix W_l produces the normalized weight matrix:

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1503 1504

 $\hat{\mathbf{W}}_l = \boldsymbol{\Sigma}_n \mathbf{W}_l.$

1511 This step integrates the normalization operation into the weights of the current layer, reducing the complexity of subsequent computations.

1514 1515

1558 1559

1512 1513 2. Construction of Combined Weight Matrix. The combined matrix W_{tot} is defined as:

$$
\mathbf{W}_{\text{tot}} = \begin{bmatrix} \mathbf{W}_{l+1}^T & \hat{\mathbf{W}}_l & \text{diag}(\mathbf{\Sigma}_s) \end{bmatrix}.
$$

1516 1517 This matrix aggregates the second-layer weights (\mathbf{W}_{l+1}^T) , the normalized current-layer weights $(\hat{\mathbf{W}}_l),$ and the scaling factors $(\text{diag}(\Sigma_s))$ into a single representation, preparing them for joint clustering.

3. Clustering. The projection matrix U is computed by solving the clustering problem:

$$
\mathbf{U} = \mathop{\arg\min}_{\mathbf{U}} \|\mathbf{W}_{\text{tot}} - \mathbf{U}(\mathbf{U}^T \mathbf{U})^{-1} \mathbf{U}^T \mathbf{W}_{\text{tot}}\|_F^2,
$$

subject to $U^T \in \{0,1\}^{m \times n}$ and $m < n$. The clustering minimizes the reconstruction error by projecting the combined weights into a lower-dimensional space defined by m clusters.

4. Scaling Adjustments. To ensure proper scaling within each cluster, the diagonal elements of Σ_s are updated. For each cluster c, the adjustment considers the size of the cluster (N_c) and the intra-cluster correlation $(E[c])$:

$$
(\mathbf{\Sigma}_s)_{c,c} \leftarrow (\mathbf{\Sigma}_s)_{c,c} \frac{N_c}{\sqrt{N_c + (N_c^2 - N_c)E[c]}}.
$$

1533 1534 1535 1536 The intra-cluster correlation $E[c]$ is computed as a normalized dot product, capturing the redundancy among the weights within the same cluster. This adjustment preserves the scaling properties of the original layer.

5. Final Updates. <mark>The current-layer weights</mark> $\hat{\mathbf{W}}_l$ and second-layer weights \mathbf{W}_{l+1}^T are updated to align with the clustered representation:

$$
\hat{\mathbf{W}}_l \leftarrow (\mathbf{U}^T \mathbf{U})^{-1} \mathbf{U}^T \hat{\mathbf{W}}_l, \quad \mathbf{W}_{l+1}^T \leftarrow \mathbf{U}^T \mathbf{W}_{l+1}^T.
$$

1542 1543 These updates ensure consistency between the clustered weights and the projection matrix U.

1544 1545 1546 This algorithm combines clustering, scaling adjustments, and weight updates to compress the layer while preserving its functional properties. The clustering step minimizes redundancy, and the final updates align all components of the layer with the clustered structure.

H FOLDING SIMILAR CHANNELS IN MLPS

For fully connected networks, where two successive layers are defined as:

$$
\mathbf{x}_l = \sigma(\mathbf{W}_l \mathbf{x}_{l-1})
$$
 and $\mathbf{x}_{l+1} = \sigma(\mathbf{W}_{l+1} \mathbf{x}_l)$,

1553 1554 1555 1556 where x_l represents the activations of layer l, W_l and W_{l+1} are the weight matrices, and σ is the activation function. The channels of the layer are defined as the coordinates $x_{l,i}$ of the vector x_l . Each channel corresponds to a specific dimension in the activations.

1557 The folding cost J_l for the *l*-th layer is defined as:

$$
J_l = \|\mathbf{W}_l - \mathbf{C}_l \mathbf{W}_l\|_F^2 + \left\|\mathbf{W}_{l+1}^T - \mathbf{C}_l \mathbf{W}_{l+1}^T\right\|_F^2,
$$

1560 1561 1562 1563 1564 1565 where C_l is a clustering matrix. This cost function represents the optimization objective to minimize the approximation error introduced by folding (clustering) the weights of the l-th layer. The first term measures the reconstruction error for the weights W_l , while the second term measures the reconstruction error for the weights \mathbf{W}_{l+1} under the transformation $\mathbf{C}_l.$ Together, these terms ensure that the clustering transformation preserves the structure and relationships of the weights across layers.

1566 1567 1568 1569 1570 1571 1572 1573 1574 1575 1576 1577 1578 1579 1580 1581 1582 1583 1584 1585 1586 1587 1588 1589 1590 1591 1592 1593 1594 1595 1596 1597 1598 1599 1600 1601 1602 1603 1604 1605 1606 1607 1608 1609 1610 1611 1612 1613 1614 1615 1616 1617 1618 1619 From the perspective of K-Means as a matrix decomposition problem, the grouping of scalar weights into vectors is defined as follows: $\mathbf{W}_l =$ \lceil $\mathbf{p}_1^T \ \mathbf{p}_2^T \ \vdots$ \mathbf{p}_n^T n 1 and $\mathbf{W}_{l+1} = \begin{bmatrix} \mathbf{q}_1 & \mathbf{q}_2 & \dots & \mathbf{q}_n \end{bmatrix}$, where p_i^T are the rows of W_l and q_i are the columns of W_{l+1} . These groupings reflect the natural structure of the weight matrices in fully connected layers: • Each row of W_l represents the weights associated with a specific output channel of layer l. • Each column of W_{l+1} represents the weights associated with a specific input channel of layer $l + 1$. In this formulation, the rows \mathbf{p}_i^T and columns \mathbf{q}_i are treated as vectors to be clustered by the matrix \mathbf{C}_l , which aligns with the K-Means decomposition perspective. The clustering matrix \mathbf{C}_l maps these weights into representative clusters, preserving the relationships between input and output channels across layers while enabling efficient compression. I FOLDING SIMILAR CHANNELS IN CONVOLUTIONAL LAYERS For convolutional layers, two successive layers can be defined as: $\mathcal{X}_l = \sigma(\mathcal{W}_l * \mathcal{X}_{l-1})$ and $\mathcal{X}_{l+1} = \sigma(\mathcal{W}_{l+1} * \mathcal{X}_l)$, where \mathcal{X}_l <mark>is a 3-dimensional feature tensor with values</mark> $\mathcal{X}_{c_o,i,j}^{(l)}$. The first dimension, c_o , corresponds to the output channels, while i and j represent spatial pixel locations. The 4-dimensional weight tensor \mathcal{W}_l has values $\mathcal{W}_{c_o, c_i, i, j}^{(l)},$ where: • c_o corresponds to the output channels of \mathcal{X}_l , • c_i corresponds to the input channels of \mathcal{X}_{l-1} . To simplify and compress the network, we decompose the weight tensor W_l such that output channels of X_l (i.e., the values $\mathcal{X}_{c_o,i,j}^{(l)}$ for $c_o = 1,\ldots,c_{out}$), which are similar in some sense, are merged. This folding problem is defined as: $J_{l} = {\|\mathcal{W}_{l} - \mathcal{C}_{l} \circ \mathcal{W}_{l}\|_{T}^{2} + \|\mathcal{W}_{l+1} - \mathcal{W}_{l+1} \circ \mathcal{C}_{l}\|_{T}^{2}}$ where C_l corresponds to a 1×1 convolution parameterized by the clustering matrix C_l , with $\mathcal{C}_{c,1,1}^{(l)} = \mathbf{C}_{l,c,c'}.$ From this definition, it follows that: $J_l = \|\mathbf{W}_l - \mathbf{C}_l \mathbf{W}_l\|_T^2 + \|\mathbf{W}_{l+1} - \mathbf{W}_{l+1} \mathbf{C}_l^T\|$ 2 $\frac{z}{T}$, where the weight tensors W_l and W_{l+1} are mapped to matrices W_l and W_{l+1} as follows: $\mathbf{W}_l =$ $\sqrt{ }$ $\text{vec}(\mathcal{W}^{(l)}_{1,1,:,:})^T \qquad \text{vec}(\mathcal{W}^{(l)}_{1,2,:,:})^T \qquad \cdots \qquad \text{vec}(\mathcal{W}^{(l)}_{1,c_\text{in}, :, :})^T$ $\text{vec}(\mathcal{W}^{(l)}_{2,1,:,:})^T$ $\text{vec}(\mathcal{W}^{(l)}_{2,2,:,:})^T$ \cdots $\text{vec}(\mathcal{W}^{(l)}_{2,c_{\text{in}, :,,:}})^T$ $\text{vec}(\mathcal{W}^{(l)}_{c_{\text{out}},1,:,:})^T \quad \text{vec}(\mathcal{W}^{(l)}_{c_{\text{out}},2,:,:})^T \quad \cdots \quad \text{vec}(\mathcal{W}^{(l)}_{c_{\text{out}},c_{\text{in}},,:,:})^T$ 1 . This means that each convolutional filter contributing to an output channel c_o is flattened and stacked into a vector, forming the c_o -th <mark>row of the matrix</mark> $\mathbf{W}_l.$ Similarly, for $\mathcal{W}_{l+1},$ each filter associated with

1620 1621 1622 1623 1624 1625 1626 1627 1628 1629 1630 1631 1632 1633 1634 1635 1636 1637 1638 1639 1640 1641 1642 1643 1644 1645 1646 1647 1648 1649 1650 1651 1652 1653 1654 1655 1656 1657 1658 1659 1660 1661 1662 1663 1664 1665 1666 1667 1668 1669 1670 1671 1672 1673 the c_i -th input channel is flattened and stacked into a vector, forming a column of the matrix W_{l+1} : $\mathbf{W}_{l+1} =$ \lceil $\text{vec}(\mathcal{W}^{(l+1)}_{1,1,:,:}) \quad \text{vec}(\mathcal{W}^{(l+1)}_{1,2,:,:}) \quad \cdots \quad \text{vec}(\mathcal{W}^{(l+1)}_{1, c_{\text{in}},,:,:})$ $\text{vec}(\mathcal{W}^{(l+1)}_{2,1,:,:}) \quad \text{vec}(\mathcal{W}^{(l+1)}_{2,2,:,:}) \quad \cdots \quad \text{vec}(\mathcal{W}^{(l+1)}_{2,\text{c}_{\text{in}, :};,:})$ $\text{vec}(\mathcal{W}^{(l+1)}_{c_{\text{out}},1,:,:}) \quad \text{vec}(\mathcal{W}^{(l+1)}_{c_{\text{out}},2,:,:}) \quad \cdots \quad \text{vec}(\mathcal{W}^{(l+1)}_{c_{\text{out}},c_{\text{in}},,:,:})$ 1 $\begin{array}{c} \begin{array}{c} \begin{array}{c} \begin{array}{c} \end{array} \\ \end{array} \end{array} \end{array}$. From the perspective of K-Means as a matrix decomposition problem, the grouping of scalar weights into vectors is defined as follows: $\mathbf{W}_l =$ \lceil $\mathbf{p}_1^T \ \mathbf{p}_2^T \ \vdots$ \mathbf{p}_n^T 1 and $\mathbf{W}_{l+1} = \begin{bmatrix} \mathbf{q}_1 & \mathbf{q}_2 & \cdots & \mathbf{q}_n \end{bmatrix}$, where: $\mathbf{p}_i^T = \begin{bmatrix} \text{vec}(\mathcal{W}_{i,1,:,:}^{(l)})^T & \text{vec}(\mathcal{W}_{i,2,:,:}^{(l)})^T & \cdots & \text{vec}(\mathcal{W}_{i,c_\text{in}, :,,:}^{(l)})^T \end{bmatrix},$ and: $\mathbf{q}_j = \begin{bmatrix} \text{vec}(\mathcal{W}^{(l+1)}_{1,j,:,:})^T & \text{vec}(\mathcal{W}^{(l+1)}_{2,j,:,:})^T & \cdots & \text{vec}(\mathcal{W}^{(l+1)}_{c_{\text{out}},j,:,:})^T \end{bmatrix}^T.$ In this formulation, the rows \mathbf{p}_i^T of \mathbf{W}_l <mark>and columns</mark> \mathbf{q}_j of \mathbf{W}_{l+1} <mark>are grouped</mark> into clusters for the folding process, aligning with the K-Means decomposition perspective. J FOLDING SIMILAR CHANNELS IN LLAMAMLP AND LLAMAATTENTION J.1 FOLDING SIMILAR CHANNELS IN LLAMAMLP The LlamaMLP module is composed of three sub-layers: gate_proj, up_proj, and down_proj. These sub-layers define the structure and functionality of the MLP, with the main computation pipeline expressed as: down_proj(act_fn(gate_proj (x)) × up_proj (x)). We cluster similar channels in both the output channel and input channel of each sub-layer. Input Channel Folding. To fold the input channels of LlamaMLP, we simultaneously consider the input dimensions of both gate_proj and up_proj layers, as they collectively define the effective input to the gate_up sub-layer. The input channels of gate_proj and up_proj are clustered respectively using methods similar to those applied in standard MLP layers. Output Channel Folding. To fold the **output channels** of LlamaMLP, we first consider the output channels of both gate_proj and up_proj by clustering and adjusting the input channel of the down_proj. Subsequently, we adjust the output channel of down_proj according to the residual connection used outside of LlamaMLP. J.2 FOLDING SIMILAR CHANNELS IN LLAMAATTENTION The LlamaAttention module consists of four primary sub-layers: q_proj, k_proj, v_proj, and o_proj. These sub-layers define the query, key, value, and output projections, respectively. For clarity and simplicity, we conceptualize q_proj, k_proj, and v_proj as a unified sub-layer referred to as q_k , which computes the intermediate representations required for attention calculations. The o_proj sub-layer processes the final output of the attention mechanism. We treat the attention head as the structure to be folded in LlamaAttention. By reshaping the weights of each sub-layer into an MLP-like tensor, we can cluster similar heads, similar to how it is done for a standard MLP layer.

L INFERENCE SPEED OF FOLDED MODELS ON EDGE DEVICES

We apply model folding on a LeNet5 model pre-trained on FashionMNIST with different sparsity, and then evaluate the folded models on NVIDIA Jetson Nano, ESP-EYE, and Arduino Nano 33 BLE. All models are converted and executed as a float 32 Tensorflow Lite model in all devices.

Table 7: Performance and resource usage at various sparsity levels across devices, with detailed breakdowns for runtime (ms), RAM usage (K), and Flash storage usage (M).

M DEEP INVERSION SAMPLE IMAGES

 Deep Inversion (DI) [\(Yin et al., 2020\)](#page-13-4) generates synthetic images from the uncompressed network by optimizing noise to match the internal statistics stored in BatchNorm layers. These images, exemplified in Fig. [13,](#page-32-3) which reflect the original data's statistical properties, are used during model folding to restore data statistics in the compressed network, ensuring accuracy without requiring external data.

 Figure 13: Sample images generated by Deep Inversion [\(Yin et al., 2020\)](#page-13-4) using ResNet18 trained on CIFAR100. These images are generated from the uncompressed network and used in model folding to restore data statistics in the compressed network.

 N FURTHER RELATED WORK

 Model folding intersects with several established approaches in model compression, network architecture optimization and model merging. This section outlines key related works that inspired the development of model folding, highlighting both their contributions and limitations.

-
- N.1 MODEL COMPRESSION
- Model compression techniques reduce models' size and computational requirements while maintaining or minimally sacrificing performance. Various methods have been developed. Most can be

1782 1783 1784 1785 1786 1787 1788 1789 1790 1791 1792 1793 1794 1795 1796 1797 1798 1799 1800 1801 1802 1803 1804 classified as pruning, quantization, knowledge distillation, and low-rank factorization. Traditional pruning techniques [\(Han et al., 2015;](#page-11-0) [LeCun et al., 1989;](#page-12-1) [Li et al., 2016b;](#page-12-2) [Hassibi et al., 1993;](#page-11-1) [Entezari & Saukh, 2020\)](#page-10-18), structured or unstructured, involve removing weights, neurons, or filters that are deemed less important, typically measured by the magnitude of their contributions ($e, g,$, L_1) or L_2 norm) [\(Entezari & Saukh, 2020;](#page-10-18) [Li et al., 2017;](#page-12-14) [Cheng et al., 2023\)](#page-10-19). While effective in reducing the size of the model, pruning often leads to a degradation of performance that requires fine-tuning or complete retraining of the network [\(Cheng et al., 2023;](#page-10-19) [Han et al., 2015;](#page-11-0) [Frankle & Carbin, 2018;](#page-10-3) [Frantar & Alistarh, 2022;](#page-10-4) [He et al., 2018\)](#page-11-5). Quantization [\(Gupta et al., 2015;](#page-11-2) [Zhou et al., 2017;](#page-14-0) [Li](#page-12-3) [et al., 2016a\)](#page-12-3) reduces the precision of the numerical values in a model, from floating-point to lowerbit representations (*e.g.*,, 8-bit integers). This approach significantly reduces the model's memory footprint and speeds up computation, especially when combined with hardware accelerators designed for low-precision arithmetic [\(Gholami et al., 2021\)](#page-11-13). Like pruning, post-training quantization may also require fine-tuning to restore model performance. Knowledge distillation [\(Hinton et al., 2015\)](#page-11-14) trains a smaller model, called the student, to replicate a well-trained larger model, called the teacher, by mimicking the output of the teacher model, which transfers knowledge between the teacher model and the student model. While effective in transferring knowledge and reducing model size, even approaches that eliminate data dependency using synthetic samples or adversarial distillation [\(Micaelli & Storkey,](#page-12-12) [2019;](#page-12-12) [Chen et al., 2019;](#page-10-14) [Fang et al., 2020;](#page-10-15) [Yu et al., 2023;](#page-14-1) [Haroush et al., 2020\)](#page-11-15), the training process for knowledge distillation can be computationally expensive and time-consuming [\(Hinton et al., 2015;](#page-11-14) [Gou et al., 2021;](#page-11-16) [Martinez et al., 2021\)](#page-12-15). Moreover, knowledge distillation often assumes substantial differences between student and teacher model architectures [\(Gou et al., 2021\)](#page-11-16). Low-rank factorization decomposes weight matrices into lower-rank matrices to reduce parameter size through such as singular value decomposition [\(Ren & Zhu, 2023;](#page-13-12) [Horvath et al., 2024\)](#page-11-17) or tensor decomposition [\(Lebedev et al., 2015;](#page-12-16) [Kim et al., 2016\)](#page-11-18).

1805 1806 1807 1808 1809 1810 1811 1812 1813 Structured pruning. Structured pruning is of particular interest because it removes entire structures (such as neurons, channels, or layers) [\(Entezari & Saukh, 2020;](#page-10-18) [Li et al., 2016b;](#page-12-2) [Luo et al., 2017a;](#page-12-17) [Hu et al., 2016;](#page-11-19) [Wen et al., 2016\)](#page-13-13) rather than individual parameters, reducing model complexity while maintaining or even improving performance. This method is especially valuable for enhancing efficiency with easily implemented acceleration in resource-constrained environments [\(Wang et al.,](#page-13-14) [2020;](#page-13-14) [Liu et al., 2024\)](#page-12-18). However, structured pruning typically requires additional retraining or fine-tuning [\(He et al., 2017;](#page-11-20) [Liu et al., 2024;](#page-12-18) [Luo et al., 2017b\)](#page-12-19). Recent work by [Theus et al.](#page-13-15) [\(2024\)](#page-13-15) combines model pruning and fusion using Optimal Transport theory, demonstrating that a significant portion of pruning accuracy can be recovered without access to training data. However, the impact of pruning on the model's data statistics and how to recover them is not addressed.

1814 1815

1816 1817 N.2 MODEL MERGING

1818 1819 1820 1821 1822 1823 1824 1825 1826 1827 1828 1829 1830 1831 1832 1833 1834 1835 Model merging combines multiple models to generate a single, unified model which leverages the strengths and diversity of each individual model. It particularly benefits ensemble learning and distributed training scenarios, where models are trained independently on different subsets of data or across different devices. Merging can be achieved by averaging the parameters of model trained independently. Recently, multiple methods have been developed to enhance model performance and robustness. MTZ [\(He et al., 2018\)](#page-11-5) and ZipIt! [\(Stoica et al., 2024\)](#page-13-2) compress multiple models pre-trained for different tasks by merging them through neuron sharing. Model soup [\(Wortsman](#page-13-16) [et al., 2022\)](#page-13-16) averages the weights of multiple fine-tuned models from same initialization to improve accuracy and robustness without increasing inference time. Taking permutation invariance of neural networks into account, a finding [\(Entezari et al., 2022\)](#page-10-5) shows the interpolation between models trained with SGD has no barrier. Git Re-Basin [\(Ainsworth et al., 2023\)](#page-10-6) utilizes activation matching and weight matching to achieve permutated alignment between models trained from different initialization. REPAIR [\(Jordan et al., 2022\)](#page-11-3) mitigate variance collapse problem while aligning neurons by rescaling the preactivations of fused models. PAPA leverages a population of diverse models trained on different data variations and slowly pushes the weights of the networks towards the population average [\(Jolicoeur-Martineau et al., 2024\)](#page-11-6). A recent work [\(Yamada et al., 2023\)](#page-13-3) shows that for model merging on different datasets, using original or condensed datasets during the model merging process can significantly improve accuracy. However, those methods do not consider model efficiency and internal parameter redundancy. Another recent work [\(Theus et al., 2024\)](#page-13-15) achieves intra-layer model fusion by integrating optimal transport [\(Monge, 1781;](#page-12-20) [Kantorovich, 2006;](#page-11-21) [Singh & Jaggi, 2020\)](#page-13-6) to fuse computational structures in the model without fine-tuning. We note that this approach is orthogonal to the problem solved in this paper, as we do not consider intra-layer dependencies.

 Merging multiple computational units. Merging computational units has been extensively explored in ensemble methods. [Wortsman et al.](#page-13-16) [\(2022\)](#page-13-16) demonstrate that combining multiple models fine-tuned from the same pretrained initialization enhances both accuracy and robustness. [Ainsworth et al.](#page-10-6) [\(2023\)](#page-10-6) extend this approach to models trained on the same data with different initializations, albeit with some accuracy loss. [Jordan et al.](#page-11-3) [\(2022\)](#page-11-3) improve upon Git Re-Basin by adjusting batch normalization layers where applicable. IFM [Chen et al.](#page-10-7) [\(2023\)](#page-10-7) and ZipIt! [Stoica et al.](#page-13-2) [\(2024\)](#page-13-2) focus on merging multiple computational units within a single model, pioneering this approach.

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