

GUARD: A Safe Reinforcement Learning Benchmark

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Abstract

Due to the trial-and-error nature, it is typically challenging to apply RL algorithms to safety-critical real-world applications, such as autonomous driving, human-robot interaction, robot manipulation, etc, where such errors are not tolerable. Recently, safe RL (*i.e.*, constrained RL) has emerged rapidly in the literature, in which the agents explore the environment while satisfying constraints. Due to the diversity of algorithms and tasks, it remains difficult to compare existing safe RL algorithms. To fill that gap, we introduce GUARD, a **Generalized Unified SAfe Reinforcement Learning Development Benchmark**. GUARD has several advantages compared to existing benchmarks. First, GUARD is a generalized benchmark with a wide variety of RL agents, tasks, and safety constraint specifications. Second, GUARD comprehensively covers state-of-the-art safe RL algorithms with self-contained implementations. Third, GUARD is highly customizable in tasks and algorithms. We present a comparison of state-of-the-art on-policy safe RL algorithms in various task settings using GUARD and establish baselines that future work can build on.

1 Introduction

Reinforcement learning (RL) has achieved tremendous success in many fields over the past decades. In RL tasks, the agent explores and interacts with the environment by trial and error, and improves its performance by maximizing the long-term reward signal. RL algorithms enable the development of intelligent agents that can achieve human-competitive performance in a wide variety of tasks, such as games (Mnih et al., 2013; Silver et al., 2018; OpenAI et al., 2019; Vinyals et al., 2019), manipulation (Popov et al., 2017; Chen et al., 2023; Agostinelli et al., 2019; Shek et al., 2022), autonomous driving (Isele et al., 2019; Kiran et al., 2022; Gu et al., 2022a), robotics (Kober et al., 2013; Brunke et al., 2022), and more. Despite their outstanding performance in maximizing rewards, recent works (Garcia & Fernández, 2015; Gu et al., 2022b; Zhao et al., 2023) focus on the safety aspect of training and deploying RL algorithms due to the safety concern in real-world safety-critical applications, *e.g.*, human-robot interaction, autonomous driving, etc. As safe RL topics emerge in the literature it is crucial to employ a standardized benchmark for comparing and evaluating the performance of various safe RL algorithms across different applications, ensuring a reliable transition from theory to practice. A benchmark includes 1) algorithms for comparison; 2) environments to evaluate algorithms; 3) a set of evaluation metrics, etc. There are benchmarks for unconfined RL and some safe RL, but not comprehensive enough (Duan et al., 2016; Brockman et al., 2016; Ellenberger, 2018–2019; Yu et al., 2019; Osband et al., 2020; Tunyasuvunakool et al., 2020; Dulac-Arnold et al., 2020; Zhang et al., 2022a).

To create a robust safe RL benchmark, we identify three essential pillars. Firstly, the benchmark must be **generalized**, accommodating diverse agents, tasks, and safety constraints. Real-world applications involve various agent types (*e.g.*, drones, robot arms) with distinct complexities, such as different control degrees-of-freedom (DOF) and interaction modes (*e.g.*, 2D planar or 3D spatial motion). The performance of algorithms is influenced by several factors, including variations in robots (such as observation and action space dimensions), tasks (interactive or non-interactive, 2D or 3D), and safety constraints (number, trespassibility, movability, and motion space). Therefore, providing a comprehensive environment to test the generalizability of safe RL algorithms is crucial.

Secondly, the benchmark should be **unified**, overcoming discrepancies in experiment setups prevalent in the emerging safe RL literature. A unified platform ensures consistent evaluation of different algorithms

in controlled environments, promoting reliable performance comparison. Lastly, the benchmark must be **extensible**, allowing researchers to integrate new algorithms and extend setups to address evolving challenges. Given the ongoing progress in safe RL, the benchmark should incorporate major existing works and adapt to advancements. By encompassing these pillars, the benchmark provides a solid foundation for addressing these open problems in safe RL research.

In light of the above-mentioned pillars, this paper introduces GUARD, a **Generalized Unified Safe Reinforcement Learning Development Benchmark**. In particular, GUARD is developed based upon the Safety Gym (Ray et al., 2019), SafeRL-Kit (Zhang et al., 2022a) and SpinningUp (Achiam, 2018). Unlike existing benchmarks, GUARD pushes the boundary beyond the limit by significantly extending the algorithms in comparison, types of agents and tasks, and safety constraint specifications. The contributions of this paper are as follows:

1. **Generalized benchmark with a wide range of agents.** GUARD genuinely supports **11** different agents, covering the majority of real robot types.
2. **Generalized benchmark with a wide range of locomotion tasks.** GUARD comprehensively supports **7** distinct robot locomotion task specifications, which can be combined to represent a wide spectrum of real-world robot tasks that necessitate intricate locomotion for successful completion.
3. **Generalized benchmark with a wide range of safety constraints.** GUARD genuinely supports **8** different safety constraint specifications. The included constraint options comprehensively cover the safety requirements that would encounter in real-world applications.
4. **Unified benchmarking platform with comprehensive coverage of safe RL algorithms.** Guard implements **8** state-of-the-art on-policy safe RL algorithms following a unified code structure.
5. **Highly customizable benchmarking platform.** GUARD features a modularized design that enables effortless customization of new robot locomotion testing suites with self-customizable agents, tasks, and constraints. The algorithms in GUARD are self-contained, with a consistent structure and independent implementations, ensuring clean code organization and eliminating dependencies between different algorithms. This self-contained structure greatly facilitates the seamless integration of new algorithms for further extensions.

2 Related Work

Open-source Libraries for Reinforcement Learning Algorithms Open-source RL libraries are code bases that implement representative RL algorithms for efficient deployment and comparison. They often serve as backbones for developing new RL algorithms, greatly facilitating RL research. We divide existing libraries into two categories: (a) safety-oriented RL libraries that support safe RL algorithms, and (b) general RL libraries that do not. Among safety-oriented libraries, Safety Gym (Ray et al., 2019) is the most famous one with highly configurable tasks and constraints but only supports three safe RL methods. SafeRL-Kit (Zhang et al., 2022a) supports five safe RL methods while missing some key methods such as CPO (Achiam et al., 2017). Bullet-Safety-Gym (Gronauer, 2022) supports CPO but is limited in overall safe RL support at totally four methods. Compared to the above libraries, our proposed GUARD doubles the support at eight methods in total, covering a wider spectrum of general safe RL research. General RL libraries, on the other hand, can be summarized according to their backend into PyTorch (Achiam, 2018; Weng et al., 2022; Raffin et al., 2021; Liang et al., 2018), Tensorflow (Dhariwal et al., 2017; Hill et al., 2018), Jax (Castro et al., 2018; Hoffman et al., 2020), and Keras (Plappert, 2016). In particular, SpinningUp (Achiam, 2018) serves as the major backbone of our GUARD benchmark on the safety-agnostic RL portion.

Benchmark Platform for Safe RL Algorithms To facilitate safe RL research, the benchmark platform should support a wide range of task objectives, constraints, and agent types. Among existing work, the most representative one is Safety Gym (Ray et al., 2019) which is highly configurable. However, Safety Gym is limited in agent types in that it does not support high-dimensional agents (e.g., drone and arm) and lacks

tasks with complex interactions (e.g., chase and defense). Moreover, Safety Gym only supports naive contact dynamics (e.g., touch and snap) instead of more realistic cases (e.g., objects bouncing off upon contact) in contact-rich tasks. Safe Control Gym (Yuan et al., 2022) is another open-source platform that supports very simple dynamics (i.e., cartpole, 1D/2D quadrotors) and only supports navigation tasks. Bullet Safety Gym (Gronauer, 2022) provides high-fidelity agents, but the types of agents are limited, and they only consider navigation tasks. Safety-Gymnasium (Ji et al., 2023) provides a rich array of safety RL task categories. However, it faces limitations in implementing / supporting modern safe RL algorithms and offering a flexible testing suite for each category. These weaknesses are particularly notable in the context of locomotion tasks, where there are very limited options for robots, constraints, and objectives. Compared to the above platforms, our GUARD supports a much wider range of robot locomotion task objectives (e.g., 3D reaching, chase and defense) with a much larger variety of eight agents including high-dimensional ones such as drones, arms, ants, and walkers.

3 Preliminaries

Markov Decision Process An Markov Decision Process (MDP) is specified by a tuple $(\mathcal{S}, \mathcal{A}, \gamma, \mathcal{R}, P, \rho)$, where \mathcal{S} is the state space, and \mathcal{A} is the control space, $\mathcal{R} : \mathcal{S} \times \mathcal{A} \rightarrow \mathbb{R}$ is the reward function, $0 \leq \gamma < 1$ is the discount factor, $\rho : \mathcal{S} \rightarrow [0, 1]$ is the starting state distribution, and $P : \mathcal{S} \times \mathcal{A} \times \mathcal{S} \rightarrow [0, 1]$ is the transition probability function (where $P(s'|s, a)$ is the probability of transitioning to state s' given that the previous state was s and the agent took action a at state s). A stationary policy $\pi : \mathcal{S} \rightarrow \mathcal{P}(\mathcal{A})$ is a map from states to a probability distribution over actions, with $\pi(a|s)$ denoting the probability of selecting action a in state s . We denote the set of all stationary policies by Π . Suppose the policy is parameterized by θ ; policy search algorithms search for the optimal policy within a set $\Pi_\theta \subset \Pi$ of parameterized policies.

The solution of the MDP is a policy π that maximizes the performance measure $\mathcal{J}(\pi)$ computed via the discounted sum of reward:

$$\mathcal{J}(\pi) = \mathbb{E}_{\tau \sim \pi} \left[\sum_{t=0}^{\infty} \gamma^t \mathcal{R}(s_t, a_t, s_{t+1}) \right], \quad (1)$$

where $\tau = [s_0, a_0, s_1, \dots]$ is the state and control trajectory, and $\tau \sim \pi$ is shorthand for that the distribution over trajectories depends on $\pi : s_0 \sim \mu, a_t \sim \pi(\cdot|s_t), s_{t+1} \sim P(\cdot|s_t, a_t)$. Let $R(\tau) \doteq \sum_{t=0}^{\infty} \gamma^t \mathcal{R}(s_t, a_t, s_{t+1})$ be the discounted return of a trajectory. We define the on-policy value function as $V^\pi(s) \doteq \mathbb{E}_{\tau \sim \pi}[R(\tau)|s_0 = s]$, the on-policy action-value function as $Q^\pi(s, a) \doteq \mathbb{E}_{\tau \sim \pi}[R(\tau)|s_0 = s, a_0 = a]$, and the advantage function as $A^\pi(s, a) \doteq Q^\pi(s, a) - V^\pi(s)$.

Constrained Markov Decision Process A constrained Markov Decision Process (CMDP) is an MDP augmented with constraints that restrict the set of allowable policies. Specifically, CMDP introduces a set of cost functions, C_1, C_2, \dots, C_m , where $C_i : \mathcal{S} \times \mathcal{A} \times \mathcal{S} \rightarrow \mathbb{R}$ maps the state action transition tuple into a cost value. Similar to equation 1, we denote $\mathcal{J}_{C_i}(\pi) = \mathbb{E}_{\tau \sim \pi}[\sum_{t=0}^{\infty} \gamma^t C_i(s_t, a_t, s_{t+1})]$ as the cost measure for policy π with respect to the cost function C_i . Hence, the set of feasible stationary policies for CMDP is then defined as $\Pi_C = \{\pi \in \Pi \mid \forall i, \mathcal{J}_{C_i}(\pi) \leq d_i\}$, where $d_i \in \mathbb{R}$. In CMDP, the objective is to select a feasible stationary policy π that maximizes the performance: $\max_{\pi \in \Pi_\theta \cap \Pi_C} \mathcal{J}(\pi)$. Lastly, we define on-policy value, action-value, and advantage functions for the cost as $V_{C_i}^\pi, Q_{C_i}^\pi$ and $A_{C_i}^\pi$, which as analogous to V^π, Q^π , and A^π , with C_i replacing R .

4 GUARD Safe RL Library

4.1 Overall Implementation

GUARD contains the latest methods that can achieve on-policy safe RL: (i) end-to-end safe RL algorithms including CPO (Achiam et al., 2017), TRPO-Lagrangian (Bohez et al., 2019), TRPO-FAC (Ma et al., 2021), TRPO-IPO (Liu et al., 2020), and PCPO (Yang et al., 2020); and (ii) hierarchical safe RL algorithms including TRPO-SL (TRPO-Safety Layer) (Dalal et al., 2018) and TRPO-USL (TRPO-Unrolling Safety Layer) (Zhang

et al., 2022a). We also include TRPO (Schulman et al., 2015) as an unconstrained RL baseline. Note that GUARD only considers model-free approaches which rely less on assumptions than model-based ones. We highlight the benefits of our algorithm implementations in GUARD:

- GUARD comprehensively covers a **wide range of on-policy algorithms** that enforce safety in both hierarchical and end-to-end structures. Hierarchical methods maintain a separate safety layer, while end-to-end methods solve the constrained learning problem as a whole.
- GUARD provides a **fair comparison among safety components** by equipping every algorithm with the same reward-oriented RL backbone (i.e., TRPO (Schulman et al., 2015)), implementation (i.e., MLP policies with [64, 64] hidden layers and tanh activation), and training procedures. Hence, all algorithms inherit the performance guarantee of TRPO.
- GUARD is implemented in PyTorch with a clean structure where every algorithm is self-contained, enabling **fast customization and development** of new safe RL algorithms. GUARD also comes with unified logging and plotting utilities which makes analysis easy.

4.2 Unconstrained RL

TRPO We include TRPO (Schulman et al., 2015) since it is state-of-the-art and several safe RL algorithms are based on it. TRPO is an unconstrained RL algorithm and only maximizes performance \mathcal{J} . The key idea behind TRPO is to iteratively update the policy within a local range (trust region) of the most recent version π_k . Mathematically, TRPO updates policy via

$$\pi_{k+1} = \arg \max_{\pi \in \Pi_\theta} \mathcal{J}(\pi) \quad \text{s.t. } \mathcal{D}_{KL}(\pi, \pi_k) \leq \delta, \quad (2)$$

where \mathcal{D}_{KL} is Kullback-Leibler (KL) divergence, $\delta > 0$ and the set $\{\pi \in \Pi_\theta : \mathcal{D}_{KL}(\pi, \pi_k) \leq \delta\}$ is called the *trust region*. To solve equation 2, TRPO applies Taylor expansion to the objective and constraint at π_k to the first and second order, respectively. That results in an approximate optimization with linear objective and quadratic constraints (LOQC). TRPO guarantees a worst-case performance degradation.

4.3 End-to-End Safe RL

CPO Constrained Policy Optimizaiton (CPO) (Achiam et al., 2017) handles CMDP by extending TRPO. Similar to TRPO, CPO also performs local policy updates in a trust region. Different from TRPO, CPO additionally requires π_{k+1} to be constrained by $\Pi_\theta \cap \Pi_C$. For practical implementation, CPO replaces the objective and constraints with surrogate functions (advantage functions), which can easily be estimated from samples collected on π_k , formally:

$$\begin{aligned} \pi_{k+1} = \arg \max_{\pi \in \Pi_\theta} \mathbb{E}_{\substack{s \sim d^{\pi_k} \\ a \sim \pi}} [A^{\pi_k}(s, a)] \\ \text{s.t. } \mathcal{D}_{KL}(\pi, \pi_k) \leq \delta, \quad \mathcal{J}_{C_i}(\pi_k) + \frac{1}{1 - \gamma} \mathbb{E}_{\substack{s \sim d^{\pi_k} \\ a \sim \pi}} \left[A_{C_i}^{\pi_k}(s, a) \right] \leq d_i, i = 1, \dots, m. \end{aligned} \quad (3)$$

where $d^{\pi_k} \doteq (1 - \gamma) \sum_{t=0}^H \gamma^t P(s_t = s | \pi_k)$ is the discounted state distribution. Following TRPO, CPO also performs Taylor expansion on the objective and constraints, resulting in a Linear Objective with Linear and Quadratic Constraints (LOLQC). CPO inherits the worst-case performance degradation guarantee from TRPO and has a worst-case cost violation guarantee.

PCPO Projection-based Constrained Policy Optimization (PCPO) (Yang et al., 2020) is proposed based on CPO, where PCPO first maximizes reward using a trust region optimization method without any constraints, then PCPO reconciles the constraint violation (if any) by projecting the policy back onto the constraint set. Policy update then follows an analytical solution:

$$\pi_{k+1} = \pi_k + \sqrt{\frac{2\delta}{g^\top H^{-1} g}} H^{-1} g - \max \left(0, \frac{\sqrt{\frac{2\delta}{g^\top H^{-1} g}} g_c^\top H^{-1} g + b}{g_c^\top L^{-1} g_c} \right) L^{-1} g_c \quad (4)$$

where g_c is the gradient of the cost advantage function, g is the gradient of the reward advantage function, H is the Hessian of the KL divergence constraint, b is the constraint violation of the policy π_k , $L = \mathcal{I}$ for L_2 norm projection, and $L = H$ for KL divergence projection. PCPO provides a lower bound on reward improvement and an upper bound on constraint violation.

TRPO-Lagrangian Lagrangian methods solve constrained optimization by transforming hard constraints into soft constraints in the form of penalties for violations. Given the objective $\mathcal{J}(\pi)$ and constraints $\{\mathcal{J}_{C_i}(\pi) \leq d_i\}_i$, TRPO-Lagrangian (Bohez et al., 2019) first constructs the dual problem

$$\max_{\forall i, \lambda_i \geq 0} \min_{\pi \in \Pi_\theta} -\mathcal{J}(\pi) + \sum_i \lambda_i (\mathcal{J}_{C_i}(\pi) - d_i). \quad (5)$$

The update of θ is done via a trust region update with the objective of equation 2 replaced by that of equation 5 while fixing λ_i . The update of λ_i is done via standard gradient ascend. Note that TRPO-Lagrangian does not have a theoretical guarantee for constraint satisfaction.

TRPO-FAC Inspired by Lagrangian methods and aiming at enforcing state-wise constraints (e.g., preventing state from stepping into infeasible parts in the state space), Feasible Actor Critic (FAC) (Ma et al., 2021) introduces a multiplier (dual variable) network. Via an alternative update procedure similar to that for equation 5, TRPO-FAC solves the *statewise* Lagrangian objective:

$$\max_{\forall i, \xi_i} \min_{\pi \in \Pi_\theta} -\mathcal{J}(\pi) + \sum_i \mathbb{E}_{s \sim d^{\pi_k}} [\lambda_{\xi_i}(s) (\mathcal{J}_{C_i}(\pi) - d_i)], \quad (6)$$

where $\lambda_{\xi_i}(s)$ is a parameterized Lagrangian multiplier network and is parameterized by ξ_i for the i -th constraint. Note that TRPO-FAC does not have a theoretical guarantee for constraint satisfaction.

TRPO-IPO TRPO-IPO (Liu et al., 2020) incorporates constraints by augmenting the optimization objective in equation 2 with logarithmic barrier functions, inspired by the interior-point method (Boyd & Vandenberghe, 2004). Ideally, the augmented objective is $I(\mathcal{J}_{C_i}(\pi) - d_i) = 0$ if $\mathcal{J}_{C_i}(\pi) - d_i \leq 0$ or $-\infty$ otherwise. Intuitively, that enforces the constraints since the violation penalty would be $-\infty$. To make the objective differentiable, $I(\cdot)$ is approximated by $\phi(x) = \log(-x)/t$ where $t > 0$ is a hyperparameter. Then TRPO-IPO solves equation 2 with the objective replaced by $\mathcal{J}_{\text{IPO}}(\pi) = \mathcal{J}(\pi) + \sum_i \phi(\mathcal{J}_{C_i}(\pi) - d_i)$. TRPO-IPO does not have theoretical guarantees for constraint satisfaction.

4.4 Hierarchical Safe RL

Safety Layer Safety Layer (Dalal et al., 2018), added on top of the original policy network, conducts a quadratic-programming-based constrained optimization to project reference action into the nearest safe action. Mathematically:

$$a_t^{\text{safe}} = \arg \min_a \frac{1}{2} \|a - a_t^{\text{ref}}\|^2 \quad \text{s.t.} \quad \forall i, \bar{g}_{\varphi_i}(s_t)^\top a + C_i(s_{t-1}, a_{t-1}, s_t) \leq d_i \quad (7)$$

where $a_t^{\text{ref}} \sim \pi_k(\cdot | s_t)$, and $\bar{g}_{\varphi_i}(s_t)^\top a_t + C_i(s_{t-1}, a_{t-1}, s_t) \approx C_i(s_t, a_t, s_{t+1})$ is a φ parameterized linear model. If there's only one constraint, equation 7 has a closed-form solution.

USL Unrolling Safety Layer (USL) (Zhang et al., 2022b) is proposed to project the reference action into safe action via gradient-based correction. Specifically, USL iteratively updates the learned $Q_C(s, a)$ function with the samples collected during training. With step size η and normalization factor \mathcal{Z} , USL performs gradient descent as $a_t^{\text{safe}} = a_t^{\text{ref}} - \frac{\eta}{\mathcal{Z}} \cdot \frac{\partial}{\partial a_t^{\text{ref}}} [Q_C(s_t, a_t^{\text{ref}}) - d]$.

5 GUARD Testing Suite

5.1 Robot Options

In GUARD testing suite, the agent (in the form of a robot) perceives the world through sensors and interacts with the world through actuators. Robots are specified through MuJoCo XML files. The suite is equipped

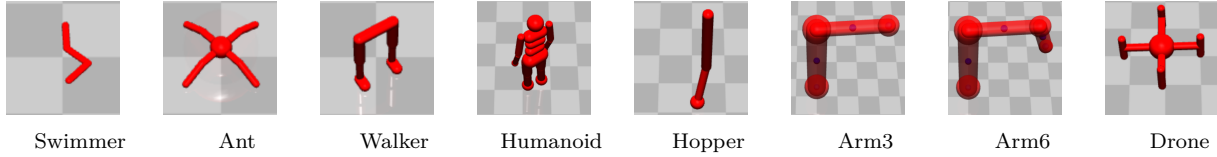


Figure 1: Robots of our environments.

with **8** types of pre-made robots that we use in our benchmark environments as shown in Figure 1. The action space of the robots are continuous, and linearly scaled to $[-1, +1]$.

Swimmer consist of three links and two joints. Each joint connects two links to form a linear chain. Swimmer can move around by applying **2** torques on the joints.

Ant is a quadrupedal robot composed a torso and four legs. Each of the four legs has a hip joint and a knee joint; and can move around by applying **8** torques to the joints.

Walker is a bipedal robot that consists of four main parts - a torso, two thighs, two legs, and two feet. Different from the knee joints and the ankle joints, each of the hip joints has three hinges in the x , y and z coordinates to help turning. With the torso height fixed, Walker can move around by controlling **10** joint torques.

Humanoid is also a bipedal robot that has a torso with a pair of legs and arms. Each leg of Humanoid consists of two joints (no ankle joint). Since we mainly focus on the navigation ability of the robots in designed tasks, the arm joints of Humanoid are fixed, which enables Humanoid to move around by only controlling **6** torques.

Hopper is a one-legged robot that consists of four main parts - a torso, a thigh, a leg, and a single foot. Similar to Walker, Hopper can move around by controlling **5** joint torques.

Arm3 is designed to simulate a fixed three-joint robot arm. Arm is equipped with multiple sensors on each links in order to fully observe the environment. By controlling **3** joint torques, Arm can move its end effector around with high flexibility.

Arm6 is designed to simulate a robot manipulator with a fixed base and six joints. Similar to Arm3, Arm6 can move its end effector around by controlling **6** torques.

Drone is designed to simulate a quadrotor. The interaction between the quadrotor and the air is simulated by applying four external forces on each of the propellers. The external forces are set to balance the gravity when the control action is zero. Drone can move in 3D space by applying **4** additional control forces on the propellers.

5.2 Task Options

We categorize robot tasks in two ways: (i) interactive versus non-interactive tasks, and (ii) 2D space versus 3D space tasks. 2D space tasks constrain agents to a planar space, while 3D space tasks do not. Non-interactive tasks primarily involve achieving a target state (e.g., trajectory tracking) while interactive tasks (e.g., human-robot collaboration and unstructured object pickup) necessitate contact or non-contact interactions between the robot and humans or movable objects, rendering them more challenging. On a variety of tasks that cover different situations, GUARD facilitates a thorough evaluation of safe RL algorithms via the following tasks. See Table 17 for more information.

Goal (Figure 2a) requires the robot navigating towards a series of 2D or 3D goal positions. Upon reaching a goal, the location is randomly reset. The task provides a sparse reward upon goal achievement and a dense reward for making progress toward the goal.

Push (Figure 2b) requires the robot pushing a ball toward different goal positions. The task includes a sparse reward for the ball reaching the goal circle and a dense reward that encourages the agent to approach

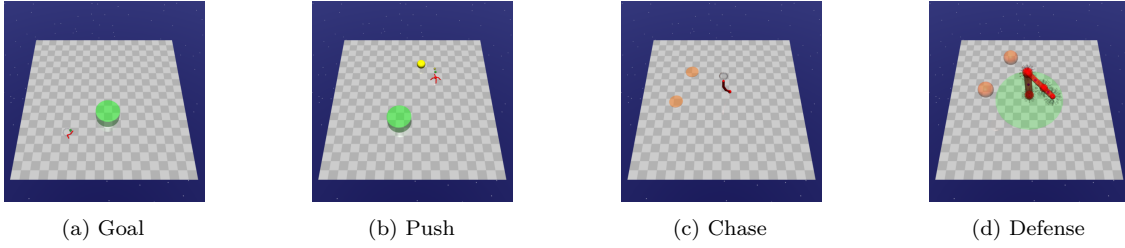


Figure 2: Tasks of our environments.

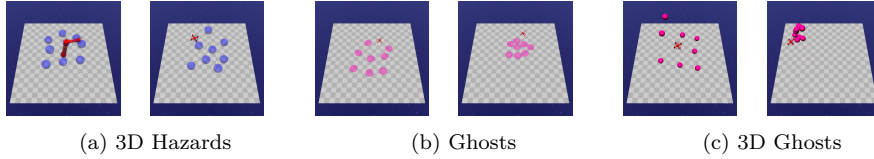


Figure 3: Constraints of our environments.

both the ball and the goal. Unlike pushing a box in Safety Gym, it is more challenging to push a ball since the ball can roll away and the contact dynamics are more complex.

Chase (Figure 2c) requires the robot tracking multiple dynamic targets. Those targets continuously move away from the robot at a slow speed. The dense reward component provides a bonus for minimizing the distance between the robot and the targets. The targets are constrained to a circular area. A 3D version of this task is also available, where the targets move within a restricted 3D space. Detailed dynamics of the targets is described in Appendix B.5.1.

Defense (Figure 2d) requires the robot to prevent dynamic targets from entering a protected circle area. The targets will head straight toward the protected area or avoid the robot if the robot gets too close. Dense reward component provides a bonus for increasing the cumulative distance between the targets and the protected area. Detailed dynamics of the targets is described in Appendix B.5.2.

5.3 Constraint Options

We classify constraints based on various factors: **trespassability**: whether constraints are trespassable or untrespassable. Trespassable constraints allow violations without causing any changes to the robot’s behaviors, and vice versa. (ii) **movability**: whether they are immovable, passively movable, or actively movable; and (iii) **motion space**: whether they pertain to 2D or 3D environments. To cover a comprehensive range of constraint configurations, we introduce additional constraint types via expanding Safety Gym. Please refer to Table 18 for all configurable constraints.

3D Hazards (Figure 3a) are dangerous 3D areas to avoid. These are floating spheres that are trespassable, and the robot is penalized for entering them.

Ghosts (Figure 3b) are dangerous areas to avoid. Different from hazards, ghosts always move toward the robot slowly, represented by circles on the ground. Ghosts can be either trespassable or untrespassable. The robot is penalized for touching the untrespassable ghosts and entering the trespassable ghosts. Moreover, ghosts can be configured to start chasing the robot when the distance from the robot is larger than some threshold. This feature together with the adjustable velocity allows users to design the ghosts with different aggressiveness. Detailed dynamics of the targets is described in Appendix B.5.3.

3D Ghosts (Figure 3c) are dangerous 3D areas to avoid. These are floating spheres as 3D version of ghosts, sharing the similar behaviour with ghosts.

6 GUARD Experiments

Benchmark Suite GUARD includes a set of predefined benchmark testing suite in form of `{Task}_{Robot}_{Constraint Number}{Constraint Type}`. The full list of our testing suite can be found in Table 20. The target cost for all safe RL methods is set to zero since our goal is to achieve zero violation. To ensure equality, common configurations, including hidden layers, learning rate, and target KL, are uniformly set across all methods. Meanwhile, distinctive parameters such as Lagrangian learning rate, IPO parameter, and Warmup ratio are fine-tuned to optimize the performance of each respective method. Further details can be found in Table 19.

Benchmark Results The summarized results can be found in Tables 21 to 25, and the learning rate curves are presented in Figures 6 to 10. As shown in Figure 4, we select 8 set of results to demonstrate the performance of different robot, task and constraints in GUARD. At a high level, the experiments show that all methods can consistently improve reward performance.

Different methods have different trade-offs between rewards and cost. When comparing constrained RL methods to unconstrained RL methods, the former exhibit superior performance in terms of cost reduction. By incorporating constraints into the RL framework, the robot can navigate its environment while minimizing costs. This feature is particularly crucial for real-world applications where the avoidance of hazards and obstacles is of utmost importance. However, current safe RL algorithms (i.e. CPO, PCPO and Lagrangian methods) are hard to achieve zero-violation performance even when the cost threshold is set as zero. Comparing with them, hierarchical RL methods (i.e., TRPO-SL and TRPO-USL) can perform better at cost reduction, but these methods excel at minimizing costs, they may sacrifice some degree of reward attainment in the process.

Task Complexity and Performance As shown in Figures 4b and 4c, tasks that involve high-dimensional robot action spaces and complex workspaces suffer from slower convergence due to the increased complexity of the learning problem. Moreover, the presence of dynamic ghosts in our tasks introduces further complexities. These tasks exhibit higher variance during the training process due to the collision-inducing behaviors of the dynamic ghosts. The robot must adapt and respond effectively to the ghosts’ unpredictable movements. Addressing these challenges requires robust algorithms capable of handling the dynamic nature of the ghosts while optimizing the robot’s overall performance. The influence of ghosts is evident by comparing Figure 4a and 4e, where the variance of cost performance increases with ghosts for several methods (e.g., PCPO and USL). Figure 4a, 4f, 4g, and 4h illustrate the performance of a point robot on four distinct tasks. It is evident that the chase task exhibits the quickest convergence, while the defense task reveals the most performance gaps between methods.

End-to-End Methods (TRPO-IPO, TRPO-Lagrangian, PCPO, CPO, TRPO-FAC): Given the full results in in Tables 21 to 25 and Figures 6 to 10, constrained methods take cost considerations into account from the beginning of training, making them a favorable choice when cost-efficiency is a primary objective. Nevertheless, they tend to exhibit slower reward increases when compared to unconstrained TRPO. It is noteworthy that TRPO-IPO exhibits the lowest reward performance among the algorithms considered, suggesting that it may not be the optimal choice in scenarios where maximizing rewards is paramount. TRPO-Lagrangian excels in terms of cost-effectiveness across most tasks, but it faces challenges when applied to the Drone robot.

Hierarchical Methods (USL and Safelayer): Hierarchical methods offer an advantage by being easily integrable into exit methods as an additional component of the environment, enhancing safety considerations. However, they require a warm-up period to collect data for better safety layer performance. Additionally, reward performance tends to decline post-warm-up. Safelayer’s performance stands out with the Drone robot, achieving exceptional cost-effectiveness without significant sacrifice in reward performance. However, it is noteworthy the fact that the linear approximation of cost function considered in Safelayer becomes inaccurate when the dynamics are highly nonlinear like the complex Ant robot, leading to increased overall costs in that

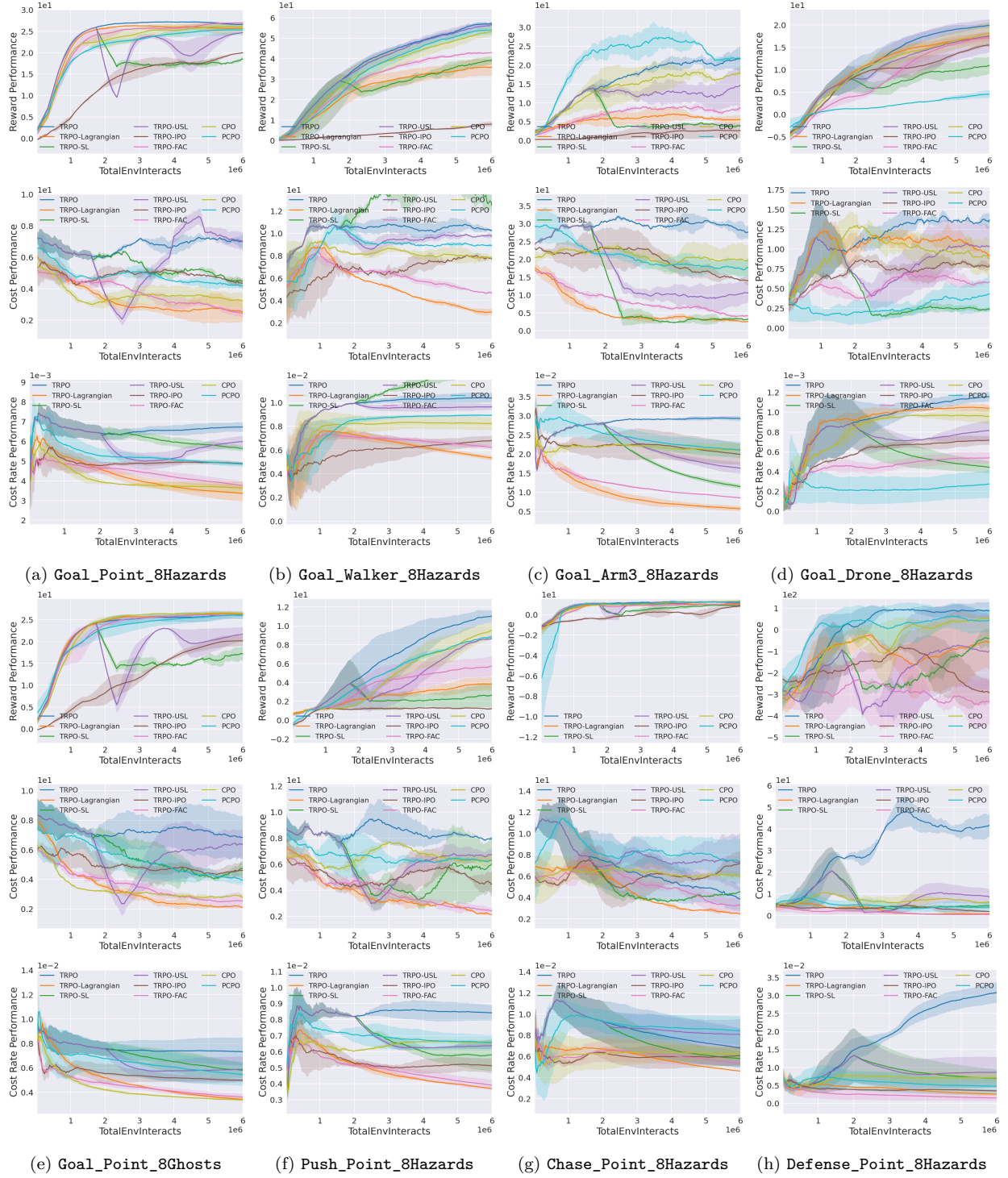


Figure 4: Comparison of results from four representative tasks. (a) to (d) cover four robots on the goal task. (e) shows the performance of a task with ghosts. (f) to (h) cover three different tasks with the point robot.

specific scenario.

7 Conclusions

This paper introduces GUARD, the **Generalized Unified SAfe Reinforcement Learning Development Benchmark**. GUARD offers several advantages over existing benchmarks. Firstly, it provides a generalized framework with a wide range of RL agents, tasks, and constraint specifications. Secondly, GUARD has self-contained implementations of a comprehensive range of state-of-the-art safe RL algorithms. Lastly, GUARD is highly customizable, allowing researchers to tailor tasks and algorithms to specific needs. Using GUARD, we present a comparative analysis of state-of-the-art safe RL algorithms across various task settings, establishing essential baselines for future research.

Limitations and future work In our future endeavors, we aim to address the following limitations: (i) Recently, Mujoco has deprecated the `mujoco_py` library that GUARD relied on. We plan to update the environment module by integrating the latest Mujoco API in the near future, replacing the deprecated library. (ii) The current GUARD tasks primarily focus on robot locomotion; however, we are planning to broaden the spectrum of available task types to empower users with a more extensive testing suite, including options such as speed control and contact-rich safety. (iii) Lastly, we plan to extend the range of safe RL library to include more latest algorithms such as off-policy methods.

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A Appendix

B Environment Details

B.1 Observation Space and Action space of different robots

The action space and observation space of different robots are summarized in Tables 1 to 16

Table 1: Action space of Swimmer

Num	Action	Min	Max	Name in XML	Joint	Unit
0	Torque applied on the first rotor	-1	1	motor1_rot	hinge	torque (Nm)
1	Torque applied on the second rotor	-1	1	motor2_rot	hinge	torque (Nm)

Table 2: Observation space of Swimmer

Num	Observation	Min	Max	Name in XML	Joint	Unit
0/1/2	3-axis linear acceleration of the torso (including gravity)	-Inf	Inf	accelerometer	free	acceleration (m/s^2)
3/4/5	3-axis linear velocity of the torso	-Inf	Inf	velocimeter	free	velocity (m/s)
6/7/8	3-axis angular velocity of the torso	-Inf	Inf	gyro	free	angular velocity (rad/s)
9/10/11	3D magnetic flux vector at the torso	-Inf	Inf	magnetometer	free	magnetic flux density (T)
12	Contact force at the first tip	0	Inf	touch_point1	-	force(N)
13	Contact force at the first rotor	0	Inf	touch_point2	-	force(N)
14	Contact force at the second rotor	0	Inf	touch_point3	-	force(N)
15	Contact force at the second tip	0	Inf	touch_point4	-	force(N)
16	Angle of the first rotor	-Inf	Inf	jointpos_motor1_rot	hinge	angle (rad)
17	Angle of the second rotor	-Inf	Inf	jointpos_motor2_rot	hinge	angle (rad)
18	Angular velocity of the first rotor	-Inf	Inf	jointvel_motor1_rot	hinge	angular velocity (rad/s)
19	Angular velocity of the second rotor	-Inf	Inf	jointvel_motor2_rot	hinge	angular velocity (rad/s)

Table 3: Action space of Ant

Num	Action	Min	Max	Name in XML	Joint	Unit
0	Torque applied on the rotor between the torso and front left hip	-1	1	hip_1	hinge	torque (Nm)
1	Torque applied on the rotor between the front left two links	-1	1	ankle_1	hinge	torque (Nm)
2	Torque applied on the rotor between the torso and front right hip	-1	1	hip_2	hinge	torque (Nm)
3	Torque applied on the rotor between the front right two links	-1	1	ankle_2	hinge	torque (Nm)
4	Torque applied on the rotor between the torso and back left hip	-1	1	hip_3	hinge	torque (Nm)
5	Torque applied on the rotor between the back left two links	-1	1	ankle_3	hinge	torque (Nm)
6	Torque applied on the rotor between the torso and back right hip	-1	1	hip_4	hinge	torque (Nm)
7	Torque applied on the rotor between the back right two links	-1	1	ankle_4	hinge	torque (Nm)

Table 4: Observation space of Ant

Num	Observation	Min	Max	Name in XML	Joint	Unit
0/1/2	3-axis linear acceleration of the torso (including gravity)	-Inf	Inf	accelerometer	free	acceleration (m/s^2)
3/4/5	3-axis linear velocity of the torso	-Inf	Inf	velocimeter	free	velocity (m/s)
6/7/8	3-axis angular velocity of the torso	-Inf	Inf	gyro	free	angular velocity (rad/s)
9/10/11	3D magnetic flux vector at the torso	-Inf	Inf	magnetometer	free	magnetic flux density (T)
12	Contact force at the front left ankle	0	Inf	touch_ankle_1a	-	force(N)
13	Contact force at the front right ankle	0	Inf	touch_ankle_2a	-	force(N)
14	Contact force at the back left ankle	0	Inf	touch_ankle_3a	-	force(N)
15	Contact force at the back left ankle	0	Inf	touch_ankle_4a	-	force(N)
16	Contact force at the end of the front left leg	0	Inf	touch_ankle_1b	-	force(N)
17	Contact force at the end of the front right leg	0	Inf	touch_ankle_2b	-	force(N)
18	Contact force at the end of the back left leg	0	Inf	touch_ankle_3b	-	force(N)
19	Contact force at the end of the back left leg	0	Inf	touch_ankle_4b	-	force(N)
20	Angle of the front left hip	-Inf	Inf	jointpos_hip_1	hinge	angle (rad)
21	Angle of the front right hip	-Inf	Inf	jointpos_hip_2	hinge	angle (rad)
22	Angle of the back left hip	-Inf	Inf	jointpos_hip_3	hinge	angle (rad)
23	Angle of the back right hip	-Inf	Inf	jointpos_hip_4	hinge	angle (rad)
24	Angle of the front left ankle	-Inf	Inf	jointpos_ankle_1	hinge	angle (rad)
25	Angle of the front right ankle	-Inf	Inf	jointpos_ankle_2	hinge	angle (rad)
26	Angle of the back left ankle	-Inf	Inf	jointpos_ankle_3	hinge	angle (rad)
27	Angle of the back right ankle	-Inf	Inf	jointpos_ankle_4	hinge	angle (rad)
28	Angular velocity of the front left hip	-Inf	Inf	jointvel_hip_1	hinge	angular velocity (rad/s)
29	Angular velocity of the front right hip	-Inf	Inf	jointvel_hip_2	hinge	angular velocity (rad/s)
30	Angular velocity of the back left hip	-Inf	Inf	jointvel_hip_3	hinge	angular velocity (rad/s)
31	Angular velocity of the back right hip	-Inf	Inf	jointvel_hip_4	hinge	angular velocity (rad/s)
32	Angular velocity of the front left ankle	-Inf	Inf	jointvel_ankle_1	hinge	angular velocity (rad/s)
33	Angular velocity of the front right ankle	-Inf	Inf	jointvel_ankle_2	hinge	angular velocity (rad/s)
34	Angular velocity of the back left ankle	-Inf	Inf	jointvel_ankle_3	hinge	angular velocity (rad/s)
35	Angular velocity of the back right ankle	-Inf	Inf	jointvel_ankle_4	hinge	angular velocity (rad/s)

Table 5: Action space of Walker

Num	Action	Min	Max	Name in XML	Joint	Unit
0	Torque applied on the rotor between torso and the right hip (x-coordinate)	-1	1	right_hip_x	hinge	torque (Nm)
1	Torque applied on the rotor between torso and the right hip (z-coordinate)	-1	1	right_hip_z	hinge	torque (Nm)
2	Torque applied on the rotor between torso and the right hip (y-coordinate)	-1	1	right_hip_y	hinge	torque (Nm)
3	Torque applied on the right leg rotor	-1	1	right_leg_joint	hinge	torque (Nm)
4	Torque applied on the right foot rotor	-1	1	right_foot_joint	hinge	torque (Nm)
5	Torque applied on the rotor between torso and the left hip (x-coordinate)	-1	1	left_hip_x	hinge	torque (Nm)
6	Torque applied on the rotor between torso and the left hip (z-coordinate)	-1	1	left_hip_z	hinge	torque (Nm)
7	Torque applied on the rotor between torso and the left hip (y-coordinate)	-1	1	left_hip_y	hinge	torque (Nm)
8	Torque applied on the left leg rotor	-1	1	left_leg_joint	hinge	torque (Nm)
9	Torque applied on the left foot rotor	-1	1	left_foot_joint	hinge	torque (Nm)

Table 6: Observation space of Walker

Num	Observation	Min	Max	Name in XML	Joint	Unit
0/1/2	3-axis linear acceleration of the torso (including gravity)	-Inf	Inf	accelerometer	free	acceleration (m/s^2)
3/4/5	3-axis linear velocity of the torso	-Inf	Inf	velocimeter	free	velocity (m/s)
6/7/8	3-axis angular velocity of the torso	-Inf	Inf	gyro	free	angular velocity (rad/s)
9/10/11	3D magnetic flux vector at the torso	-Inf	Inf	magnetometer	free	magnetic flux density (T)
12	Contact force at the right foot	0	Inf	touch_right_foot	-	force(N)
13	Contact force at the left foot	0	Inf	touch_left_foot	-	force(N)
14	Angle of the right hip (x-coordinate)	-Inf	Inf	jointpos_right_hip_x	hinge	angle (rad)
15	Angle of the right hip (z-coordinate)	-Inf	Inf	jointpos_right_hip_z	hinge	angle (rad)
16	Angle of the right hip (y-coordinate)	-Inf	Inf	jointpos_right_hip_y	hinge	angle (rad)
17	Angle of the right leg	-Inf	Inf	jointpos_right_leg	hinge	angle (rad)
18	Angle of the right foot	-Inf	Inf	jointpos_right_foot	hinge	angle (rad)
19	Angle of the left hip (x-coordinate)	-Inf	Inf	jointpos_left_hip_x	hinge	angle (rad)
20	Angle of the left hip (z-coordinate)	-Inf	Inf	jointpos_left_hip_z	hinge	angle (rad)
21	Angle of the left hip (y-coordinate)	-Inf	Inf	jointpos_left_hip_y	hinge	angle (rad)
22	Angle of the left leg	-Inf	Inf	jointpos_left_leg	hinge	angle (rad)
23	Angle of the left foot	-Inf	Inf	jointpos_left_foot	hinge	angle (rad)
24	Angular velocity of the right hip (x-coordinate)	-Inf	Inf	jointvel_right_hip_x	hinge	angular velocity (rad/s)
25	Angular velocity of the right hip (z-coordinate)	-Inf	Inf	jointvel_right_hip_z	hinge	angular velocity (rad/s)
26	Angular velocity of the right hip (y-coordinate)	-Inf	Inf	jointvel_right_hip_y	hinge	angular velocity (rad/s)
27	Angular velocity of the right leg	-Inf	Inf	jointvel_right_leg	hinge	angular velocity (rad/s)
28	Angular velocity of the right foot	-Inf	Inf	jointvel_right_foot	hinge	angular velocity (rad/s)
29	Angular velocity of the left hip (x-coordinate)	-Inf	Inf	jointvel_left_hip_x	hinge	angular velocity (rad/s)
30	Angular velocity of the left hip (z-coordinate)	-Inf	Inf	jointvel_left_hip_z	hinge	angular velocity (rad/s)
31	Angular velocity of the left hip (y-coordinate)	-Inf	Inf	jointvel_left_hip_y	hinge	angular velocity (rad/s)
32	Angular velocity of the left leg	-Inf	Inf	jointvel_left_leg	hinge	angular velocity (rad/s)
33	Angular velocity of the left foot	-Inf	Inf	jointvel_left_foot	hinge	angular velocity (rad/s)

Table 7: Action space of Humanoid

Num	Action	Min	Max	Name in XML	Joint	Unit
0	Torque applied on the rotor between torso and the right hip (x-coordinate)	-1	1	right_hip_x	hinge	torque (Nm)
1	Torque applied on the rotor between torso and the right hip (z-coordinate)	-1	1	right_hip_z	hinge	torque (Nm)
2	Torque applied on the rotor between torso and the right hip (y-coordinate)	-1	1	right_hip_y	hinge	torque (Nm)
3	Torque applied on the right knee rotor	-1	1	right_knee	hinge	torque (Nm)
4	Torque applied on the rotor between torso and the left hip (x-coordinate)	-1	1	left_hip_x	hinge	torque (Nm)
5	Torque applied on the rotor between torso and the left hip (z-coordinate)	-1	1	left_hip_z	hinge	torque (Nm)
6	Torque applied on the rotor between torso and the left hip (y-coordinate)	-1	1	left_hip_y	hinge	torque (Nm)
7	Torque applied on the left knee rotor	-1	1	left_knee	hinge	torque (Nm)

Table 8: Observation space of Humanoid

Num	Observation	Min	Max	Name in XML	Joint	Unit
0/1/2	3-axis linear acceleration of the torso (including gravity)	-Inf	Inf	accelerometer	free	acceleration (m/s^2)
3/4/5	3-axis linear velocity of the torso	-Inf	Inf	velocimeter	free	velocity (m/s)
6/7/8	3-axis angular velocity of the torso	-Inf	Inf	gyro	free	angular velocity (rad/s)
9/10/11	3D magnetic flux vector at the torso	-Inf	Inf	magnetometer	free	magnetic flux density (T)
12	Contact force at the right foot	0	Inf	touch_right_foot	-	force(N)
13	Contact force at the left foot	0	Inf	touch_left_foot	-	force(N)
14	Angle of the right hip (x-coordinate)	-Inf	Inf	jointpos_right_hip_x	hinge	angle (rad)
15	Angle of the right hip (z-coordinate)	-Inf	Inf	jointpos_right_hip_z	hinge	angle (rad)
16	Angle of the right hip (y-coordinate)	-Inf	Inf	jointpos_right_hip_y	hinge	angle (rad)
17	Angle of the right knee	-Inf	Inf	jointpos_right_knee	hinge	angle (rad)
18	Angle of the left hip (x-coordinate)	-Inf	Inf	jointpos_left_hip_x	hinge	angle (rad)
19	Angle of the left hip (z-coordinate)	-Inf	Inf	jointpos_left_hip_z	hinge	angle (rad)
20	Angle of the left hip (y-coordinate)	-Inf	Inf	jointpos_left_hip_y	hinge	angle (rad)
21	Angle of the left leg	-Inf	Inf	jointpos_left_knee	hinge	angle (rad)
22	Angular velocity of the right hip (x-coordinate)	-Inf	Inf	jointvel_right_hip_x	hinge	angular velocity (rad/s)
23	Angular velocity of the right hip (z-coordinate)	-Inf	Inf	jointvel_right_hip_z	hinge	angular velocity (rad/s)
24	Angular velocity of the right hip (y-coordinate)	-Inf	Inf	jointvel_right_hip_y	hinge	angular velocity (rad/s)
25	Angular velocity of the right knee	-Inf	Inf	jointvel_right_knee	hinge	angular velocity (rad/s)
26	Angular velocity of the left hip (x-coordinate)	-Inf	Inf	jointvel_left_hip_x	hinge	angular velocity (rad/s)
27	Angular velocity of the left hip (z-coordinate)	-Inf	Inf	jointvel_left_hip_z	hinge	angular velocity (rad/s)
28	Angular velocity of the left hip (y-coordinate)	-Inf	Inf	jointvel_left_hip_y	hinge	angular velocity (rad/s)
29	Angular velocity of the left knee	-Inf	Inf	jointvel_left_knee	hinge	angular velocity (rad/s)

Table 9: Action space of Hopper

Num	Action	Min	Max	Name in XML	Joint	Unit
0	Torque applied on the rotor between torso and the hip (x-coordinate)	-1	1	hip_x	hinge	torque (Nm)
1	Torque applied on the rotor between torso and the hip (z-coordinate)	-1	1	hip_z	hinge	torque (Nm)
2	Torque applied on the rotor between torso and the hip (y-coordinate)	-1	1	hip_y	hinge	torque (Nm)
3	Torque applied on the thigh rotor	-1	1	thigh_joint	hinge	torque (Nm)
4	Torque applied on the leg rotor	-1	1	leg_joint	hinge	torque (Nm)
5	Torque applied on the foot rotor	-1	1	foot_joint	hinge	torque (Nm)

Table 10: Observation space of Hopper

Num	Observation	Min	Max	Name in XML	Joint	Unit
0/1/2	3-axis linear acceleration of the torso (including gravity)	-Inf	Inf	accelerometer	free	acceleration (m/s^2)
3/4/5	3-axis linear velocity of the torso	-Inf	Inf	velocimeter	free	velocity (m/s)
6/7/8	3-axis angular velocity of the torso	-Inf	Inf	gyro	free	angular velocity (rad/s)
9/10/11	3D magnetic flux vector at the torso	-Inf	Inf	magnetometer	free	magnetic flux density (T)
12	Contact force at the foot	0	Inf	touch_foot	-	force(N)
13	Angle of the hip (x-coordinate)	-Inf	Inf	jointpos_hip_x	hinge	angle (rad)
14	Angle of the hip (z-coordinate)	-Inf	Inf	jointpos_hip_z	hinge	angle (rad)
15	Angle of the hip (y-coordinate)	-Inf	Inf	jointpos_hip_y	hinge	angle (rad)
16	Angle of the thigh	-Inf	Inf	jointpos_thigh	hinge	angle (rad)
17	Angle of the leg	-Inf	Inf	jointpos_leg	hinge	angle (rad)
18	Angle of the foot	-Inf	Inf	jointpos_foot	hinge	angle (rad)
19	Angular velocity of the hip (x-coordinate)	-Inf	Inf	jointvel_hip_x	hinge	angular velocity (rad/s)
20	Angular velocity of the hip (z-coordinate)	-Inf	Inf	jointvel_hip_z	hinge	angular velocity (rad/s)
21	Angular velocity of the hip (y-coordinate)	-Inf	Inf	jointvel_hip_y	hinge	angular velocity (rad/s)
22	Angular velocity of the thigh	-Inf	Inf	jointvel_thigh	hinge	angular velocity (rad/s)
23	Angular velocity of the leg	-Inf	Inf	jointvel_leg	hinge	angular velocity (rad/s)
24	Angular velocity of the foot	-Inf	Inf	jointvel_foot	hinge	angular velocity (rad/s)

Table 11: Action space of Arm3

Num	Action	Min	Max	Name in XML	Joint	Unit
0	Torque applied on the first joint (connecting the base point and the first link)	-1	1	joint_1	hinge	torque (Nm)
1	Torque applied on the second joint(connecting the first and the second link)	-1	1	joint_2	hinge	torque (Nm)
2	Torque applied on the third joint (connecting the second and the third link)	-1	1	joint_3	hinge	torque (Nm)

Table 12: Observation space of Arm3

Num	Observation	Min	Max	Name in XML	Joint	Unit
0/1/2	3-axis linear acceleration of the first link (including gravity)	-Inf	Inf	accelerometer_link_1	free	acceleration (m/s^2)
3/4/5	3-axis linear velocity of the first link	-Inf	Inf	velocimeter_link_1	free	velocity (m/s)
6/7/8	3-axis angular velocity of the first link	-Inf	Inf	gyro_link_1	free	angular velocity (rad/s)
9/10/11	3D magnetic flux vector at the first link	-Inf	Inf	magnetometer_link_1	free	magnetic flux density (T)
12/13/14	3-axis linear acceleration of the second link (including gravity)	-Inf	Inf	accelerometer_link_2	free	acceleration (m/s^2)
15/16/17	3-axis linear velocity of the second link	-Inf	Inf	velocimeter_link_2	free	velocity (m/s)
18/19/20	3-axis angular velocity of the second link	-Inf	Inf	gyro_link_2	free	angular velocity (rad/s)
21/22/23	3D magnetic flux vector at the second link	-Inf	Inf	magnetometer_link_2	free	magnetic flux density (T)
24/25/26	3-axis linear acceleration of the third link (including gravity)	-Inf	Inf	accelerometer_link_3	free	acceleration (m/s^2)
27/28/29	3-axis linear velocity of the third link	-Inf	Inf	velocimeter_link_3	free	velocity (m/s)
30/31/32	3-axis angular velocity of the third link	-Inf	Inf	gyro_link_3	free	angular velocity (rad/s)
33/34/35	3D magnetic flux vector at the third link	-Inf	Inf	magnetometer_link_3	free	magnetic flux density (T)
36/37/38	3-axis linear acceleration of the fourth link (including gravity)	-Inf	Inf	accelerometer_link_4	free	acceleration (m/s^2)
39/40/41	3-axis linear velocity of the fourth link	-Inf	Inf	velocimeter_link_4	free	velocity (m/s)
42/43/44	3-axis angular velocity of the fourth link	-Inf	Inf	gyro_link_4	free	angular velocity (rad/s)
45/46/47	3D magnetic flux vector at the fourth link	-Inf	Inf	magnetometer_link_4	free	magnetic flux density (T)
48/49/50	3-axis linear acceleration of the fifth link (including gravity)	-Inf	Inf	accelerometer_link_5	free	acceleration (m/s^2)
51/52/53	3-axis linear velocity of the fifth link	-Inf	Inf	velocimeter_link_5	free	velocity (m/s)
54/55/56	3-axis angular velocity of the fifth link	-Inf	Inf	gyro_link_5	free	angular velocity (rad/s)
57/58/59	3D magnetic flux vector at the fifth link	-Inf	Inf	magnetometer_link_5	free	magnetic flux density (T)
60	Angle of the first joint	-Inf	Inf	jointpos_joint_1	hinge	angle (rad)
61	Angle of the second joint	-Inf	Inf	jointpos_joint_2	hinge	angle (rad)
62	Angle of the third joint	-Inf	Inf	jointpos_joint_3	hinge	angle (rad)
63	Angular velocity of the first joint	-Inf	Inf	jointvel_joint_1	hinge	angular velocity (rad/s)
64	Angular velocity of the second joint	-Inf	Inf	jointvel_joint_2	hinge	angular velocity (rad/s)
65	Angular velocity of the third joint	-Inf	Inf	jointvel_joint_3	hinge	angular velocity (rad/s)
66	Contact force at the end effector	0	Inf	touch_end_effector	-	force(N)

Table 13: Action space of Arm6

Num	Action	Min	Max	Name in XML	Joint	Unit
0	Torque applied on the first joint (connecting the base point and the first link)	-1	1	joint_1	hinge	torque (Nm)
1	Torque applied on the second joint (connecting the first and the second link)	-1	1	joint_2	hinge	torque (Nm)
2	Torque applied on the third joint (connecting the second and the third link)	-1	1	joint_3	hinge	torque (Nm)
3	Torque applied on the fourth joint (connecting the third and the fourth link)	-1	1	joint_4	hinge	torque (Nm)
4	Torque applied on the fifth joint (connecting the fourth and the fifth link)	-1	1	joint_5	hinge	torque (Nm)
5	Torque applied on the sixth joint (connecting the fifth and the sixth link)	-1	1	joint_6	hinge	torque (Nm)

Table 14: Observation space of Arm6

Num	Observation	Min	Max	Name in XML	Joint	Unit
0/1/2	3-axis linear acceleration of the first link (including gravity)	-Inf	Inf	accelerometer_link_1	free	acceleration (m/s^2)
3/4/5	3-axis linear velocity of the first link	-Inf	Inf	velocimeter_link_1	free	velocity (m/s)
6/7/8	3-axis angular velocity of the first link	-Inf	Inf	gyro_link_1	free	angular velocity (rad/s)
9/10/11	3D magnetic flux vector at the first link	-Inf	Inf	magnetometer_link_1	free	magnetic flux density (T)
12/13/14	3-axis linear acceleration of the second link (including gravity)	-Inf	Inf	accelerometer_link_2	free	acceleration (m/s^2)
15/16/17	3-axis linear velocity of the second link	-Inf	Inf	velocimeter_link_2	free	velocity (m/s)
18/19/20	3-axis angular velocity of the second link	-Inf	Inf	gyro_link_2	free	angular velocity (rad/s)
21/22/23	3D magnetic flux vector at the second link	-Inf	Inf	magnetometer_link_2	free	magnetic flux density (T)
24/25/26	3-axis linear acceleration of the third link (including gravity)	-Inf	Inf	accelerometer_link_3	free	acceleration (m/s^2)
27/28/29	3-axis linear velocity of the third link	-Inf	Inf	velocimeter_link_3	free	velocity (m/s)
30/31/32	3-axis angular velocity of the third link	-Inf	Inf	gyro_link_3	free	angular velocity (rad/s)
33/34/35	3D magnetic flux vector at the third link	-Inf	Inf	magnetometer_link_3	free	magnetic flux density (T)
36/37/38	3-axis linear acceleration of the fourth link (including gravity)	-Inf	Inf	accelerometer_link_4	free	acceleration (m/s^2)
39/40/41	3-axis linear velocity of the fourth link	-Inf	Inf	velocimeter_link_4	free	velocity (m/s)
42/43/44	3-axis angular velocity of the fourth link	-Inf	Inf	gyro_link_4	free	angular velocity (rad/s)
45/46/47	3D magnetic flux vector at the fourth link	-Inf	Inf	magnetometer_link_4	free	magnetic flux density (T)
48/49/50	3-axis linear acceleration of the fifth link (including gravity)	-Inf	Inf	accelerometer_link_5	free	acceleration (m/s^2)
51/52/53	3-axis linear velocity of the fifth link	-Inf	Inf	velocimeter_link_5	free	velocity (m/s)
54/55/56	3-axis angular velocity of the fifth link	-Inf	Inf	gyro_link_5	free	angular velocity (rad/s)
57/58/59	3D magnetic flux vector at the fifth link	-Inf	Inf	magnetometer_link_5	free	magnetic flux density (T)
60/61/62	3-axis linear acceleration of the sixth link (including gravity)	-Inf	Inf	accelerometer_link_6	free	acceleration (m/s^2)
63/64/65	3-axis linear velocity of the sixth link	-Inf	Inf	velocimeter_link_6	free	velocity (m/s)
66/67/68	3-axis angular velocity of the sixth link	-Inf	Inf	gyro_link_6	free	angular velocity (rad/s)
69/70/71	3D magnetic flux vector at the sixth link	-Inf	Inf	magnetometer_link_6	free	magnetic flux density (T)
72/73/74	3-axis linear acceleration of the seventh link (including gravity)	-Inf	Inf	accelerometer_link_7	free	acceleration (m/s^2)
75/76/77	3-axis linear velocity of the seventh link	-Inf	Inf	velocimeter_link_7	free	velocity (m/s)
78/79/80	3-axis angular velocity of the seventh link	-Inf	Inf	gyro_link_7	free	angular velocity (rad/s)
81/82/83	3D magnetic flux vector at the seventh link	-Inf	Inf	magnetometer_link_7	free	magnetic flux density (T)
84	Angle of the first joint	-Inf	Inf	jointpos_joint_1	hinge	angle (rad)
85	Angle of the second joint	-Inf	Inf	jointpos_joint_2	hinge	angle (rad)
86	Angle of the third joint	-Inf	Inf	jointpos_joint_3	hinge	angle (rad)
87	Angle of the fourth joint	-Inf	Inf	jointpos_joint_4	hinge	angle (rad)
88	Angle of the fifth joint	-Inf	Inf	jointpos_joint_5	hinge	angle (rad)
89	Angle of the sixth joint	-Inf	Inf	jointpos_joint_6	hinge	angle (rad)
90	Angular velocity of the first joint	-Inf	Inf	jointvel_joint_1	hinge	angular velocity (rad/s)
91	Angular velocity of the second joint	-Inf	Inf	jointvel_joint_2	hinge	angular velocity (rad/s)
92	Angular velocity of the third joint	-Inf	Inf	jointvel_joint_3	hinge	angular velocity (rad/s)
93	Angular velocity of the fourth joint	-Inf	Inf	jointvel_joint_4	hinge	angular velocity (rad/s)
94	Angular velocity of the fifth joint	-Inf	Inf	jointvel_joint_5	hinge	angular velocity (rad/s)
95	Angular velocity of the sixth joint	-Inf	Inf	jointvel_joint_6	hinge	angular velocity (rad/s)
96	Contact force at the end effector	0	Inf	touch_end_effector	-	force(N)

Table 15: Action space of Drone

Num	Action	Min	Max	Name in XML	Joint	Unit
0	Extra thrust force applied on the first propeller	-1	1	-	-	force (N)
1	Extra thrust force applied on the second propeller	-1	1	-	-	force (N)
2	Extra thrust force applied on the third propeller	-1	1	-	-	force (N)
3	Extra thrust force applied on the fourth propeller	-1	1	-	-	force (N)

Table 16: Observation space of Drone

Num	Observation	Min	Max	Name in XML	Joint	Unit
0/1/2	3-axis linear acceleration of the torso (including gravity)	-Inf	Inf	accelerometer	free	acceleration (m/s^2)
3/4/5	3-axis linear velocity of the torso	-Inf	Inf	velocimeter	free	velocity (m/s)
6/7/8	3-axis angular velocity of the torso	-Inf	Inf	gyro	free	angular velocity (rad/s)
9/10/11	3D magnetic flux vector at the torso	-Inf	Inf	magnetometer	free	magnetic flux density (T)
12	Contact force at the upper point of the first propeller	0	Inf	touch_p1a	-	force(N)
13	Contact force at the lower point of the first propeller	0	Inf	touch_p1b	-	force(N)
14	Contact force at the upper point of the second propeller	0	Inf	touch_p2a	-	force(N)
15	Contact force at the lower point of the second propeller	0	Inf	touch_p2b	-	force(N)
16	Contact force at the upper point of the third propeller	0	Inf	touch_p3a	-	force(N)
17	Contact force at the lower point of the third propeller	0	Inf	touch_p3b	-	force(N)
18	Contact force at the upper point of the fourth propeller	0	Inf	touch_p4a	-	force(N)
19	Contact force at the lower point of the fourth propeller	0	Inf	touch_p4b	-	force(N)

B.2 Observation Space Options and Desiderata

The observation spaces are also updated to match the new 3D tasks. The 3D compasses and 3D pseudo-lidars are introduced for 3D robots to sensor the position of targets in 3D space. Different from the single lidar system of the original environment, the Advanced Safety Gym allows to apply multiple lidars on different parts of the robot. For example, in Figure 5a the Arm robot is equipped with a 3D lidar and a 3D compass on each joint to obtain more environment information. Figure 5b shows a drone equipped with two 3D lidars to observe the 3D hazards and the 3D goal. The “lidar halos” of two lidars are distributed on two sphere with different radius. The number of “lidar halos” is configurable for more dense observations.

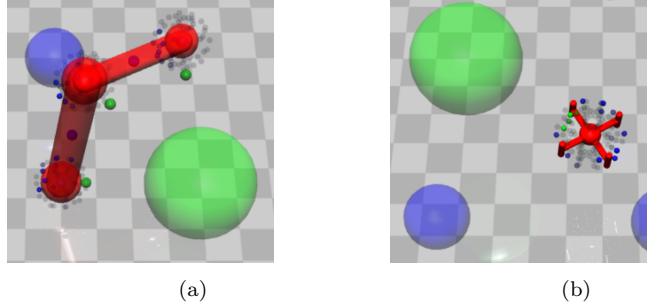


Figure 5: Visualizations of observation spaces

B.3 Layout Randomization Options and Desiderata

The layout randomization is inherited from the original Safety Gym. In order to generate 3D objects, the z coordinate can be configured or randomly picked after the x and y coordinates are generated.

B.4 Task and Constraint Details

Table 17: Comparison between different tasks

	GUARD Tasks				SafetyGym Tasks		
	Goal	Push	Chase	Defense	Goal	Button	Push
Interactive task		✓	✓	✓			✓
Non-interactive task	✓				✓	✓	
Contact task		✓	✓	✓		✓	✓
Non-contact task	✓		✓	✓	✓		
2D task	✓	✓	✓	✓	✓	✓	✓
3D task	✓		✓	✓			
Movable target		✓	✓	✓			✓
Immovable target	✓				✓	✓	
Single target	✓	✓	✓	✓	✓	✓	✓
Multiple targets			✓	✓			
General contact target		✓	✓	✓		✓	

Table 18: Comparison between different constraints

	New Constraints			Inherited Constraints				
	Ghosts	Ghosts 3D	Hazards 3D	Hazards	Vases	Pillars	Buttons	Gremlins
Trespassable	✓	✓			✓	✓	✓	✓
Untrespassable	✓	✓	✓	✓				
Immovable			✓	✓		✓	✓	
Passively movable					✓			
Actively movable	✓	✓						✓
3D motion		✓	✓					
2D motion	✓	✓	✓	✓	✓	✓	✓	✓

B.5 Dynamics of movable objects

We begin by defining the distance vector $d_{\text{origin}} = x_{\text{origin}} - x_{\text{object}}$, which represents the distance from the position of the dynamic object x_{object} to the origin point of the world framework x_{origin} . By default, the origin point is set to $(0, 0, 0)$. Next, we define the distance vector $d_{\text{robot}} = x_{\text{robot}} - x_{\text{object}}$, which represents the distance from the dynamic object x_{object} to the position of the robot x_{robot} . We introduce two parameters: r_0 , which defines a circular area centered at the origin point within which the objects are limited to move. r_1 , which represents the threshold distance that the dynamic objects strive to maintain from the robot. Finally, we have three configurable non-negative velocity constants for the dynamic objects: v_0 , v_1 , and v_2 .

B.5.1 Dynamics of targets of Chase task

$$\dot{x}_{\text{object}} = \begin{cases} v_0 * d_{\text{origin}}, & \text{if } \|d_{\text{origin}}\| > r_0 \\ -v_1 * d_{\text{robot}}, & \text{if } \|d_{\text{origin}}\| \leq r_0 \text{ and } \|d_{\text{robot}}\| \leq r_1 \\ 0, & \text{if } \|d_{\text{origin}}\| \leq r_0 \text{ and } \|d_{\text{robot}}\| > r_1 \end{cases}, \quad (8)$$

B.5.2 Dynamics of targets of Defense task

$$\dot{x}_{\text{object}} = \begin{cases} v_0 * d_{\text{origin}}, & \text{if } \|d_{\text{origin}}\| > r_0 \\ -v_1 * d_{\text{robot}}, & \text{if } \|d_{\text{origin}}\| \leq r_0 \text{ and } \|d_{\text{robot}}\| \leq r_1 \\ v_2 * d_{\text{origin}}, & \text{if } \|d_{\text{origin}}\| \leq r_0 \text{ and } \|d_{\text{robot}}\| > r_1 \end{cases}, \quad (9)$$

B.5.3 Dynamics of ghost and 3D ghost

$$\dot{x}_{\text{object}} = \begin{cases} v_0 * d_{\text{origin}}, & \text{if } \|d_{\text{origin}}\| > r_0 \\ v_1 * d_{\text{robot}}, & \text{if } \|d_{\text{origin}}\| \leq r_0 \text{ and } \|d_{\text{robot}}\| > r_1 \\ 0, & \text{if } \|d_{\text{origin}}\| \leq r_0 \text{ and } \|d_{\text{robot}}\| \leq r_1 \end{cases}, \quad (10)$$

C Experiment Details

The full GUARD codebase is available online at

<https://github.com/intelligent-control-lab/guard>

The GUARD implementation is partially inspired by Safety Gym (Ray et al., 2019) and Spinningup (Achiam, 2018) which are both under MIT license.

C.1 Policy Settings

The hyper-parameters used in our experiments are listed in Table 19 as default.

Our experiments use separate multi-layer perception with *tanh* activations for the policy network, value network and cost network. Each network consists of two hidden layers of size (64,64). All of the networks are trained using *Adam* optimizer with learning rate of 0.01.

We apply an on-policy framework in our experiments. During each epoch the agent interact B times with the environment and then perform a policy update based on the experience collected from the current epoch. The maximum length of the trajectory is set to 1000 and the total epoch number N is set to 200 as default. In our experiments the Walker and the Ant were trained for 1000 epochs due to the high dimension.

The policy update step is based on the scheme of TRPO, which performs up to 100 steps of backtracking with a coefficient of 0.8 for line searching.

For all experiments, we use a discount factor of $\gamma = 0.99$, an advantage discount factor $\lambda = 0.95$, and a KL-divergence step size of $\delta_{KL} = 0.02$.

For experiments which consider cost constraints we adopt a target cost $\delta_c = 0.0$ to pursue a zero-violation policy.

Other unique hyper-parameters for each algorithms are hand-tuned to attain reasonable performance.

Each model is trained on a server with a 48-core Intel(R) Xeon(R) Silver 4214 CPU @ 2.2.GHz, Nvidia RTX A4000 GPU with 16GB memory, and Ubuntu 20.04.

For low-dimensional tasks, we train each model for 6e6 steps which takes around seven hours. For high-dimensional tasks, we train each model for 3e7 steps which takes around 60 hours.

C.2 Experiment tasks

C.3 Metrics Comparison

we report all the 72 results of our test suites by three metrics:

- The average episode return J_r .
- The average episodic sum of costs M_c .
- The average cost over the entirety of training ρ_c .

All of the three metrics were obtained from the final epoch after convergence. Each metric was averaged over two random seed.

Table 19: Important hyper-parameters of different algorithms in our experiments

Policy Parameter	TRPO	TRPO-Lagrangian	TRPO-SL [18' Dalal]	TRPO-USL	TRPO-IPO	TRPO-FAC	CPO	PCPO
Epochs	200	200	200	200	200	200	200	200
Steps per epoch	30000	30000	30000	30000	30000	30000	30000	30000
Maximum length of trajectory	1000	1000	1000	1000	1000	1000	1000	1000
Policy network hidden layers	(64, 64)	(64, 64)	(64, 64)	(64, 64)	(64, 64)	(64, 64)	(64, 64)	(64, 64)
Discount factor	0.99	0.99	0.99	0.99	0.99	0.99	0.99	0.99
Advantage discount factor	λ	0.97	0.97	0.97	0.97	0.97	0.97	0.97
TRPO backtracking steps	100	100	100	100	100	100	100	-
TRPO backtracking coefficient	0.8	0.8	0.8	0.8	0.8	0.8	0.8	-
Target KL	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02
Value network hidden layers	(64, 64)	(64, 64)	(64, 64)	(64, 64)	(64, 64)	(64, 64)	(64, 64)	(64, 64)
Value network iteration	80	80	80	80	80	80	80	80
Value network optimizer	Adam	Adam	Adam	Adam	Adam	Adam	Adam	Adam
Value learning rate	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
Cost network hidden layers	-	(64, 64)	(64, 64)	(64, 64)	-	(64, 64)	(64, 64)	(64, 64)
Cost network iteration	-	80	80	80	-	80	80	80
Cost network optimizer	-	Adam	Adam	Adam	-	Adam	Adam	Adam
Cost learning rate	-	0.001	0.001	0.001	-	0.001	0.001	0.001
Target Cost	-	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Lagrangian optimizer	-	-	-	-	-	Adam	-	-
Lagrangian learning rate	-	0.005	-	-	-	0.0001	-	-
USL correction iteration	-	-	-	20	-	-	-	-
USL correction rate	-	-	0.05	0.05	-	-	-	-
Warmup ratio	-	-	1/3	1/3	-	-	-	-
IPO parameter	-	-	-	-	0.01	-	-	-
Cost reduction	-	-	-	-	-	-	0.0	-

<hr/> Goal_Point_8Hazards Goal_Point_8Ghosts Goal_Swimmer_8Hazards Goal_Swimmer_8Ghosts Goal_Ant_8Hazards Goal_Ant_8Ghosts Goal_Walker_8Hazards Goal_Walker_8Ghosts Goal_Humanoid_8Hazards Goal_Humanoid_8Ghosts Goal_Hopper_8Hazards Goal_Hopper_8Ghosts Goal_Arm3_8Hazards Goal_Arm3_8Ghosts Goal_Arm6_8Hazards Goal_Arm6_8Ghosts Goal_Drone_8Hazards Goal_Drone_8Ghosts <hr/>	<hr/> Push_Point_8Hazards Push_Point_8Ghosts Push_Swimmer_8Hazards Push_Swimmer_8Ghosts Push_Ant_8Hazards Push_Ant_8Ghosts Push_Walker_8Hazards Push_Walker_8Ghosts Push_Humanoid_8Hazards Push_Humanoid_8Ghosts Push_Hopper_8Hazards Push_Hopper_8Ghosts Push_Arm3_8Hazards Push_Arm3_8Ghosts Push_Arm6_8Hazards Push_Arm6_8Ghosts Push_Drone_8Hazards Push_Drone_8Ghosts <hr/>
(a) Goal	(b) Push
<hr/> Chase_Point_8Hazards Chase_Point_8Ghosts Chase_Swimmer_8Hazards Chase_Swimmer_8Ghosts Chase_Ant_8Hazards Chase_Ant_8Ghosts Chase_Walker_8Hazards Chase_Walker_8Ghosts Chase_Humanoid_8Hazards Chase_Humanoid_8Ghosts Chase_Hopper_8Hazards Chase_Hopper_8Ghosts Chase_Arm3_8Hazards Chase_Arm3_8Ghosts Chase_Arm6_8Hazards Chase_Arm6_8Ghosts Chase_Drone_8Hazards Chase_Drone_8Ghosts <hr/>	<hr/> Defense_Point_8Hazards Defense_Point_8Ghosts Defense_Swimmer_8Hazards Defense_Swimmer_8Ghosts Defense_Ant_8Hazards Defense_Ant_8Ghosts Defense_Walker_8Hazards Defense_Walker_8Ghosts Defense_Humanoid_8Hazards Defense_Humanoid_8Ghosts Defense_Hopper_8Hazards Defense_Hopper_8Ghosts Defense_Arm3_8Hazards Defense_Arm3_8Ghosts Defense_Arm6_8Hazards Defense_Arm6_8Ghosts Defense_Drone_8Hazards Defense_Drone_8Ghosts <hr/>
(c) Chase	(d) Defense

Table 20: Tasks of our environments

Table 21: Metrics of nine **Goal_{Robot}_8Hazards** environments obtained from the final epoch.

Goal_Point_8Hazards				Goal_Swimmer_8Hazards				Goal_Ant_8Hazards			
Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$
TRPO	26.2296	7.4550	0.0067	TRPO	31.5282	11.4067	0.0117	TRPO	59.3694	7.9737	0.0097
TRPO-Lagrangian	25.4503	2.5031	0.0034	TRPO-Lagrangian	19.5685	4.3231	0.0074	TRPO-Lagrangian	35.0180	2.7954	0.0056
TRPO-SL	19.0765	3.5200	0.0056	TRPO-SL	9.2362	4.4453	0.0075	TRPO-SL	24.0752	45.9755	0.0355
TRPO-USL	24.6524	7.0004	0.0060	TRPO-USL	30.2756	10.2352	0.0100	TRPO-USL	59.2213	9.2237	0.0096
TRPO-IPO	20.3057	4.4037	0.0049	TRPO-IPO	9.5714	7.9993	0.0079	TRPO-IPO	2.6040	6.3006	0.0059
TRPO-FAC	26.9707	2.1581	0.0038	TRPO-FAC	24.8486	7.8014	0.0085	TRPO-FAC	48.2685	5.6736	0.0071
CPO	25.9157	3.2388	0.0036	CPO	26.6166	9.2452	0.0095	CPO	60.2093	8.1194	0.0092
PCPO	24.9032	3.7118	0.0048	PCPO	24.4054	9.3452	0.0094	PCPO	60.3654	8.9137	0.0091
Goal_Walker_8Hazards				Goal_Humanoid_8Hazards				Goal_Hopper_8Hazards			
Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$
TRPO	56.7139	9.8112	0.0104	TRPO	11.6758	8.2332	0.0079	TRPO	32.8406	7.3477	0.0082
TRPO-Lagrangian	33.7839	3.3714	0.0053	TRPO-Lagrangian	6.1294	7.6847	0.0066	TRPO-Lagrangian	24.2180	6.4342	0.0069
TRPO-SL	39.9848	12.7370	0.0128	TRPO-SL	9.1517	10.1473	0.0091	TRPO-SL	26.1236	8.9366	0.0098
TRPO-USL	57.1097	9.9469	0.0097	TRPO-USL	10.9310	9.2950	0.0079	TRPO-USL	32.5692	8.1526	0.0080
TRPO-IPO	7.2728	6.7115	0.0068	TRPO-IPO	2.5561	9.0792	0.0071	TRPO-IPO	4.0118	7.2667	0.0082
TRPO-FAC	42.6250	4.4426	0.0062	TRPO-FAC	10.0730	8.3481	0.0068	TRPO-FAC	28.1388	6.3430	0.0076
CPO	51.9246	8.0409	0.0082	CPO	11.9573	6.0618	0.0074	CPO	27.2544	8.0783	0.0076
PCPO	55.0100	10.0377	0.0089	PCPO	11.6731	6.8256	0.0074	PCPO	30.7637	6.4343	0.0076
Goal_Arm3_8Hazards				Goal_Arm6_8Hazards				Goal_Drone_8Hazards			
Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$
TRPO	19.8716	23.8574	0.0293	TRPO	4.3703	15.0087	0.0206	TRPO	19.6492	1.6839	0.0012
TRPO-Lagrangian	6.0512	2.1411	0.0057	TRPO-Lagrangian	1.2386	6.8767	0.0107	TRPO-Lagrangian	17.5182	1.0479	0.0010
TRPO-SL	4.2161	0.4820	0.0115	TRPO-SL	2.1136	14.1806	0.0136	TRPO-SL	11.0012	0.2030	0.0004
TRPO-USL	15.6522	8.6754	0.0163	TRPO-USL	2.5704	9.4493	0.0186	TRPO-USL	17.3535	1.1217	0.0008
TRPO-IPO	2.4211	12.5567	0.0199	TRPO-IPO	0.8242	5.5569	0.0129	TRPO-IPO	15.7189	0.8852	0.0007
TRPO-FAC	10.0948	3.3072	0.0085	TRPO-FAC	2.4243	8.9828	0.0124	TRPO-FAC	17.0156	1.0926	0.0005
CPO	16.2682	22.1031	0.0210	CPO	4.3885	13.0115	0.0171	CPO	18.3672	1.0204	0.0010
PCPO	21.5110	16.2963	0.0211	PCPO	1.1528	13.8961	0.0141	PCPO	5.0076	0.2334	0.0003

Table 22: Metrics of nine **Goal_{Robot}_8Ghosts** environments obtained from the final epoch.

Goal_Point_8Ghosts				Goal_Swimmer_8Ghosts				Goal_Ant_8Ghosts			
Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$
TRPO	26.0478	6.8329	0.0073	TRPO	30.3401	13.5808	0.0119	TRPO	59.6760	10.3785	0.0099
TRPO-Lagrangian	26.3260	2.1498	0.0034	TRPO-Lagrangian	15.9952	2.1046	0.0061	TRPO-Lagrangian	28.5846	2.9654	0.0060
TRPO-SL	16.6548	4.0515	0.0058	TRPO-SL	7.8773	7.6875	0.0079	TRPO-SL	30.7285	41.2262	0.0342
TRPO-USL	22.1795	5.8895	0.0059	TRPO-USL	30.1229	8.9488	0.0105	TRPO-USL	61.2725	8.9165	0.0097
TRPO-IPO	20.1808	4.1169	0.0050	TRPO-IPO	9.8646	10.0275	0.0091	TRPO-IPO	2.9659	8.0972	0.0064
TRPO-FAC	25.9489	2.5654	0.0036	TRPO-FAC	18.9950	4.4988	0.0069	TRPO-FAC	44.2423	5.6508	0.0074
CPO	26.5064	2.6248	0.0034	CPO	26.6953	9.5202	0.0092	CPO	56.3422	9.8690	0.0095
PCPO	25.9672	3.8589	0.0054	PCPO	26.2737	10.2204	0.0101	PCPO	58.4684	9.8173	0.0095
Goal_Walker_8Ghosts				Goal_Humanoid_8Ghosts				Goal_Hopper_8Ghosts			
Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$
TRPO	63.2017	9.8771	0.0112	TRPO	11.1891	9.9692	0.0098	TRPO	31.6643	8.1599	0.0100
TRPO-Lagrangian	33.2534	2.5072	0.0054	TRPO-Lagrangian	5.0070	6.6812	0.0076	TRPO-Lagrangian	14.1699	4.4744	0.0070
TRPO-SL	37.8968	20.3758	0.0147	TRPO-SL	8.8939	17.0632	0.0107	TRPO-SL	21.7761	12.4810	0.0122
TRPO-USL	61.4547	9.6043	0.0105	TRPO-USL	10.6905	9.6248	0.0095	TRPO-USL	31.2864	8.4550	0.0097
TRPO-IPO	7.4640	9.1178	0.0080	TRPO-IPO	1.0404	8.4966	0.0073	TRPO-IPO	5.4826	12.0015	0.0082
TRPO-FAC	45.0094	4.9375	0.0071	TRPO-FAC	9.2134	10.0716	0.0084	TRPO-FAC	28.8157	7.5453	0.0087
CPO	60.1257	9.2117	0.0097	CPO	10.0778	10.3074	0.0092	CPO	29.0408	7.5681	0.0086
PCPO	43.8760	9.2932	0.0085	PCPO	11.5003	9.0205	0.0093	PCPO	29.0858	8.0181	0.0090
Goal_Arm3_8Ghosts				Goal_Arm6_8Ghosts				Goal_Drone_8Ghosts			
Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$
TRPO	94.6660	35.7460	0.0348	TRPO	1.0157	49.0135	0.0466	TRPO	17.9484	1.7287	0.0011
TRPO-Lagrangian	15.4898	7.5123	0.0058	TRPO-Lagrangian	0.5470	8.4307	0.0190	TRPO-Lagrangian	18.9773	0.9218	0.0008
TRPO-SL	18.1207	10.7580	0.0174	TRPO-SL	0.6078	20.5269	0.0356	TRPO-SL	12.1413	0.2500	0.0004
TRPO-USL	62.1624	14.0682	0.0223	TRPO-USL	0.9856	41.7054	0.0427	TRPO-USL	10.7517	0.9741	0.0011
TRPO-IPO	4.0235	10.5251	0.0160	TRPO-IPO	0.7336	12.4453	0.0233	TRPO-IPO	11.5210	0.6817	0.0006
TRPO-FAC	37.9750	6.9701	0.0073	TRPO-FAC	0.7861	9.4493	0.0170	TRPO-FAC	20.1014	0.7630	0.0006
CPO	114.8705	15.1904	0.0159	CPO	9.9993	22.5031	0.0234	CPO	18.4723	1.2188	0.0008
PCPO	126.4001	10.1913	0.0143	PCPO	0.8845	15.9718	0.0162	PCPO	6.5276	0.3859	0.0003

Table 23: Metrics of nine **Push_{Robot}_8Hazards** environments obtained from the final epoch.

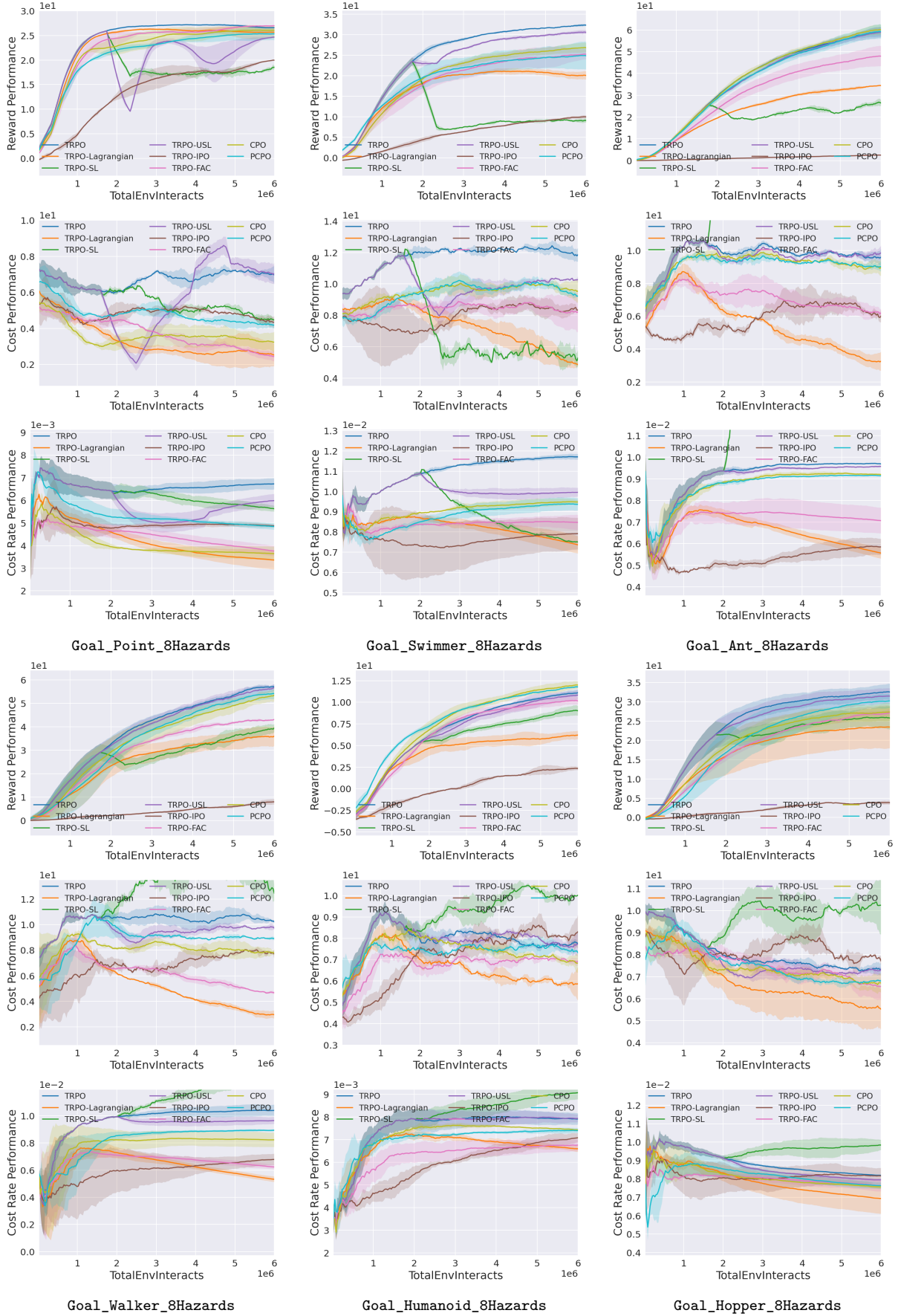
Push_Point_8Hazards				Push_Swimmer_8Hazards				Push_Ant_8Hazards			
Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$
TRPO	11.3060	7.2536	0.0084	TRPO	86.1557	11.9235	0.0102	TRPO	13.4378	9.4740	0.0091
TRPO-Lagrangian	4.1189	1.8268	0.0037	TRPO-Lagrangian	52.0782	4.5645	0.0070	TRPO-Lagrangian	1.1582	1.5948	0.0043
TRPO-SL	3.0553	6.6139	0.0058	TRPO-SL	13.1869	7.7554	0.0057	TRPO-SL	3.5622	47.7602	0.0217
TRPO-USL	9.1904	6.6179	0.0064	TRPO-USL	64.0705	9.4963	0.0085	TRPO-USL	11.2763	9.3930	0.0086
TRPO-IPO	1.3370	4.0476	0.0051	TRPO-IPO	6.3843	8.4329	0.0077	TRPO-IPO	1.1986	5.9120	0.0061
TRPO-FAC	6.0431	2.1250	0.0039	TRPO-FAC	48.2986	5.8675	0.0064	TRPO-FAC	2.5905	2.7927	0.0050
CPO	9.7522	5.6406	0.0066	CPO	57.4370	6.9551	0.0072	CPO	12.7081	7.5742	0.0082
PCPO	9.1434	6.5665	0.0066	PCPO	56.2598	6.1634	0.0076	PCPO	11.0161	8.7780	0.0087
Push_Walker_8Hazards				Push_Humanoid_8Hazards				Push_Hopper_8Hazards			
Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$
TRPO	5.0574	10.8840	0.0089	TRPO	0.9545	10.6542	0.0096	TRPO	3.6134	10.3693	0.0095
TRPO-Lagrangian	1.5035	2.4237	0.0040	TRPO-Lagrangian	0.7407	3.1758	0.0062	TRPO-Lagrangian	0.8384	2.0782	0.0052
TRPO-SL	1.7263	17.5680	0.0082	TRPO-SL	0.2992	9.0239	0.0092	TRPO-SL	1.5115	8.2643	0.0080
TRPO-USL	2.8786	9.3900	0.0078	TRPO-USL	0.8102	7.3410	0.0093	TRPO-USL	2.3949	11.2835	0.0088
TRPO-IPO	0.7991	3.6377	0.0070	TRPO-IPO	0.8194	6.0952	0.0074	TRPO-IPO	0.3718	7.4184	0.0083
TRPO-FAC	1.5393	3.2465	0.0047	TRPO-FAC	0.9641	3.0034	0.0068	TRPO-FAC	1.0928	3.8033	0.0069
CPO	4.3412	7.8450	0.0075	CPO	0.8147	8.6884	0.0080	CPO	2.3108	11.2012	0.0082
PCPO	1.1548	9.2470	0.0075	PCPO	1.0445	8.1230	0.0084	PCPO	0.9565	8.8373	0.0083
Push_Arm3_8Hazards				Push_Arm6_8Hazards				Push_Drone_8Hazards			
Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$
TRPO	0.0438	37.7114	0.0414	TRPO	1.1128	15.9080	0.0190	TRPO	0.9332	0.3324	0.0002
TRPO-Lagrangian	-194.8455	2.7071	0.0062	TRPO-Lagrangian	0.9490	7.1961	0.0110	TRPO-Lagrangian	1.0967	0.3197	0.0003
TRPO-SL	0.0906	5.6000	0.0176	TRPO-SL	-220.2115	38.7175	0.0144	TRPO-SL	1.0154	0.0783	0.0001
TRPO-USL	-42.2457	10.6065	0.0189	TRPO-USL	-0.6530	16.7103	0.0182	TRPO-USL	0.9410	0.0996	0.0001
TRPO-IPO	-420.0890	25.0669	0.0224	TRPO-IPO	1.1291	8.3642	0.0113	TRPO-IPO	1.0394	0.4229	0.0002
TRPO-FAC	-114.8912	7.8944	0.0086	TRPO-FAC	1.0648	9.4750	0.0152	TRPO-FAC	1.0820	0.2380	0.0002
CPO	0.0249	11.3773	0.0128	CPO	1.1699	6.6375	0.0103	CPO	1.1261	0.2409	0.0003
PCPO	-30.9294	10.4467	0.0207	PCPO	1.1459	10.0104	0.0112	PCPO	0.9844	0.0049	0.0001

Table 24: Metrics of nine **Chase_{Robot}_8Hazards** environments obtained from the final epoch.

Chase_Point_8Hazards				Chase_Swimmer_8Hazards				Chase_Ant_8Hazards			
Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$
TRPO	1.3122	3.5553	0.0068	TRPO	1.2491	7.0269	0.0100	TRPO	1.3504	6.1101	0.0106
TRPO-Lagrangian	1.0879	2.8816	0.0046	TRPO-Lagrangian	-0.2346	4.8860	0.0058	TRPO-Lagrangian	-0.3563	2.5016	0.0040
TRPO-SL	0.8385	5.6000	0.0058	TRPO-SL	0.0518	9.2681	0.0071	TRPO-SL	0.7921	16.9846	0.0222
TRPO-USL	1.1433	5.7574	0.0080	TRPO-USL	1.2227	9.2911	0.0103	TRPO-USL	1.3841	8.0640	0.0096
TRPO-IPO	0.7959	8.5632	0.0061	TRPO-IPO	-1.0848	10.5546	0.0080	TRPO-IPO	-0.9314	2.5529	0.0048
TRPO-FAC	1.0333	3.0887	0.0053	TRPO-FAC	0.6411	9.1446	0.0078	TRPO-FAC	-0.0258	3.5439	0.0048
CPO	1.2897	5.0677	0.0063	CPO	1.2540	8.1671	0.0082	CPO	1.4104	5.7863	0.0087
PCPO	1.0035	7.9018	0.0084	PCPO	1.2152	8.2717	0.0090	PCPO	1.3122	6.9139	0.0097
Chase_Walker_8Hazards				Chase_Humanoid_8Hazards				Chase_Hopper_8Hazards			
Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$
TRPO	0.4890	7.6845	0.0088	TRPO	0.2330	12.1455	0.0152	TRPO	0.6099	12.1675	0.0134
TRPO-Lagrangian	-0.0922	2.5167	0.0045	TRPO-Lagrangian	-0.6855	3.4234	0.0047	TRPO-Lagrangian	-0.3641	3.2170	0.0039
TRPO-SL	-0.2116	10.7167	0.0094	TRPO-SL	-0.2271	11.8001	0.0121	TRPO-SL	0.4957	5.4355	0.0089
TRPO-USL	0.4639	7.7035	0.0082	TRPO-USL	-0.1503	18.6011	0.0149	TRPO-USL	0.4819	11.0919	0.0123
TRPO-IPO	-0.8223	2.3954	0.0038	TRPO-IPO	-0.8074	6.4163	0.0054	TRPO-IPO	-0.7766	6.1236	0.0061
TRPO-FAC	-0.0368	2.7105	0.0047	TRPO-FAC	-0.5826	3.6663	0.0050	TRPO-FAC	-0.3651	3.7391	0.0055
CPO	0.7406	10.4993	0.0086	CPO	-0.3322	12.1665	0.0109	CPO	0.4829	6.7117	0.0083
PCPO	0.6347	8.8652	0.0080	PCPO	-0.0971	10.3441	0.0113	PCPO	-0.1457	7.4290	0.0068
Chase_Arm3_8Hazards				Chase_Arm6_8Hazards				Chase_Drone_8Hazards			
Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$
TRPO	0.7772	20.6230	0.0312	TRPO	-0.3969	60.5704	0.0598	TRPO	1.0351	0.6939	0.0008
TRPO-Lagrangian	-0.2739	5.1692	0.0079	TRPO-Lagrangian	-0.4860	2.4602	0.0075	TRPO-Lagrangian	0.8211	1.3456	0.0008
TRPO-SL	0.0007	4.2869	0.0142	TRPO-SL	-0.5420	12.1256	0.0237	TRPO-SL	-1.3055	0.2603	0.0002
TRPO-USL	0.7825	14.1736	0.0284	TRPO-USL	-0.5734	53.4455	0.0575	TRPO-USL	0.7461	1.2159	0.0006
TRPO-IPO	-0.4137	10.6685	0.0223	TRPO-IPO	-0.2855	11.6769	0.0085	TRPO-IPO	0.2518	0.5786	0.0005
TRPO-FAC	0.3648	3.3449	0.0127	TRPO-FAC	-0.3083	13.2429	0.0263	TRPO-FAC	1.1192	0.2374	0.0006
CPO	0.8051	17.4917	0.0252	CPO	-0.3278	16.9609	0.0247	CPO	0.7682	0.9075	0.0006
PCPO	0.7355	25.8202	0.0291	PCPO	-0.2883	45.6164	0.0463	PCPO	0.6172	0.6374	0.0012

Table 25: Metrics of nine **Defense_{Robot}_8Hazards** environments obtained from the final epoch.

Defense_Point_8Hazards				Defense_Swimmer_8Hazards				Defense_Ant_8Hazards			
Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$
TRPO	71.7851	37.5050	0.0308	TRPO	119.9896	44.5965	0.0405	TRPO	65.9815	46.1871	0.0214
TRPO-Lagrangian	-12.2159	1.1776	0.0026	TRPO-Lagrangian	-85.0177	0.2487	0.0031	TRPO-Lagrangian	-190.9671	1.5799	0.0040
TRPO-SL	-89.8828	3.1691	0.0070	TRPO-SL	-41.8928	1.3295	0.0118	TRPO-SL	-15.0035	14.7914	0.0143
TRPO-USL	-109.7828	9.9285	0.0086	TRPO-USL	139.8915	13.5482	0.0150	TRPO-USL	-9.1186	25.8625	0.0126
TRPO-IPO	-330.4252	0.7309	0.0035	TRPO-IPO	-233.1962	7.6313	0.0070	TRPO-IPO	-205.8713	6.0119	0.0044
TRPO-FAC	-269.0397	0.7334	0.0015	TRPO-FAC	-91.7454	0.8809	0.0032	TRPO-FAC	-204.4595	1.4105	0.0041
CPO	36.7643	7.1534	0.0071	CPO	34.3226	2.7346	0.0072	CPO	-22.6369	17.9356	0.0132
PCPO	19.0943	1.9388	0.0048	PCPO	91.1387	5.1068	0.0084	PCPO	-42.0119	17.1633	0.0120
Defense_Walker_8Hazards				De-fense_Humanoid_8Hazards				Defense_Hopper_8Hazards			
Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$
TRPO	63.0381	52.1661	0.0326	TRPO	-279.6928	4.3248	0.0042	TRPO	-79.4386	26.9427	0.0202
TRPO-Lagrangian	-221.9464	0.8080	0.0032	TRPO-Lagrangian	-287.5846	3.0248	0.0035	TRPO-Lagrangian	-304.2345	1.1963	0.0029
TRPO-SL	-28.2392	21.2179	0.0142	TRPO-SL	-325.6846	2.0650	0.0039	TRPO-SL	-207.0506	8.9198	0.0138
TRPO-USL	19.2097	23.4844	0.0182	TRPO-USL	-318.2901	3.5935	0.0043	TRPO-USL	57.7316	28.5037	0.0234
TRPO-IPO	-213.4079	2.7606	0.0045	TRPO-IPO	-281.2530	4.3968	0.0038	TRPO-IPO	-248.0784	6.6735	0.0046
TRPO-FAC	-183.6202	1.6905	0.0035	TRPO-FAC	-271.4645	2.0044	0.0034	TRPO-FAC	-233.1694	0.7496	0.0038
CPO	32.0705	14.7761	0.0151	CPO	-246.6409	5.8980	0.0049	CPO	-271.5419	8.3413	0.0077
PCPO	43.8441	17.0562	0.0161	PCPO	-317.0349	2.9953	0.0040	PCPO	-279.4999	7.2803	0.0077
Defense_Arm3_8Hazards				Defense_Arm6_8Hazards				Defense_Drone_8Hazards			
Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$	Algorithm	\bar{J}_r	\bar{M}_c	$\bar{\rho}_c$
TRPO	169.5352	22.0750	0.0301	TRPO	183.9203	56.5334	0.0548	TRPO	-241.5720	0.0771	0.0002
TRPO-Lagrangian	151.7291	0.7971	0.0056	TRPO-Lagrangian	169.9900	1.0108	0.0045	TRPO-Lagrangian	-245.7311	0.2276	0.0002
TRPO-SL	112.3637	1.1085	0.0160	TRPO-SL	171.8430	13.3277	0.0229	TRPO-SL	-371.7727	0.0000	0.0001
TRPO-USL	164.4992	5.3212	0.0163	TRPO-USL	183.7060	52.3346	0.0528	TRPO-USL	-336.7727	0.2161	0.0001
TRPO-IPO	94.1636	9.1085	0.0171	TRPO-IPO	127.3447	3.8719	0.0051	TRPO-IPO	-275.5550	0.2600	0.0002
TRPO-FAC	180.9871	1.7731	0.0064	TRPO-FAC	175.8257	2.3101	0.0109	TRPO-FAC	-215.4844	0.0691	0.0001
CPO	167.4984	16.4595	0.0162	CPO	174.7701	22.8158	0.0346	CPO	-212.1858	0.0236	0.0002
PCPO	160.2841	22.2282	0.0189	PCPO	174.4207	30.1276	0.0264	PCPO	-219.4308	0.3358	0.0003



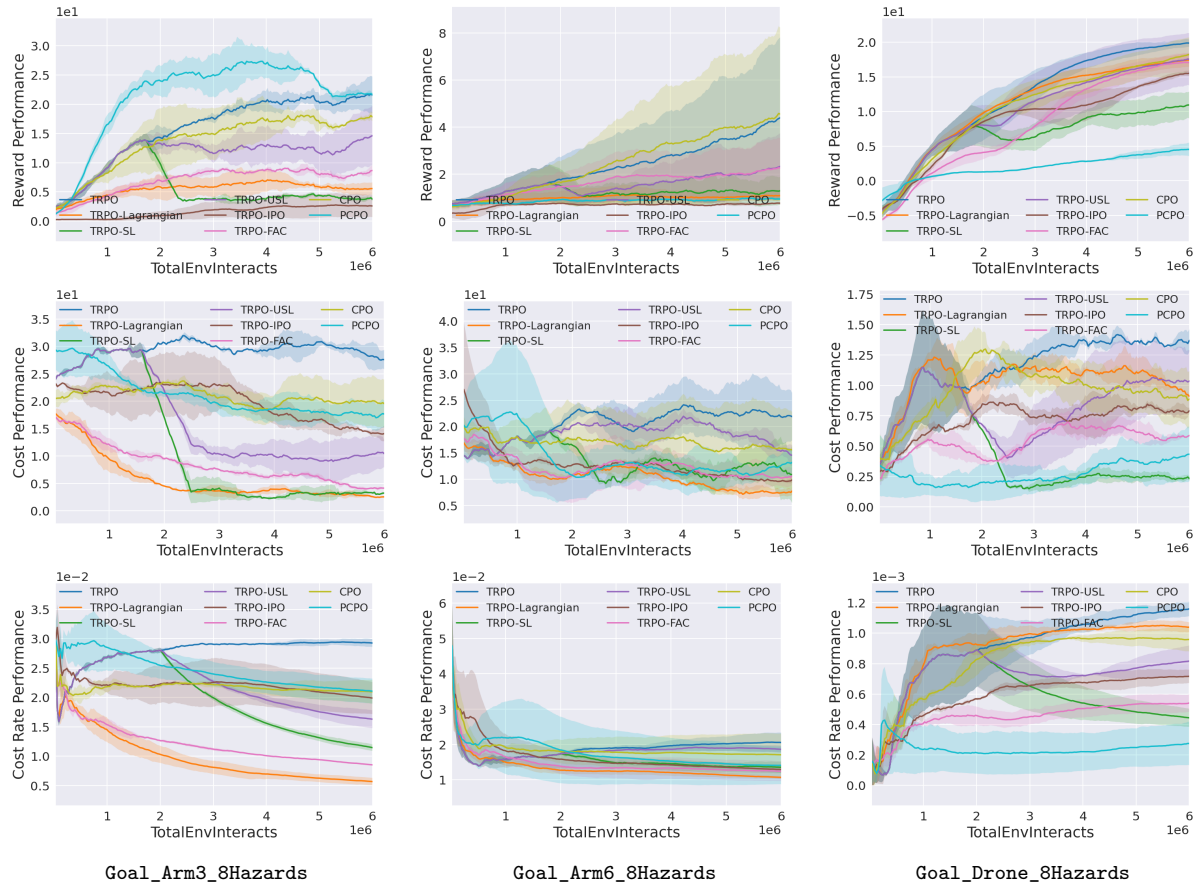
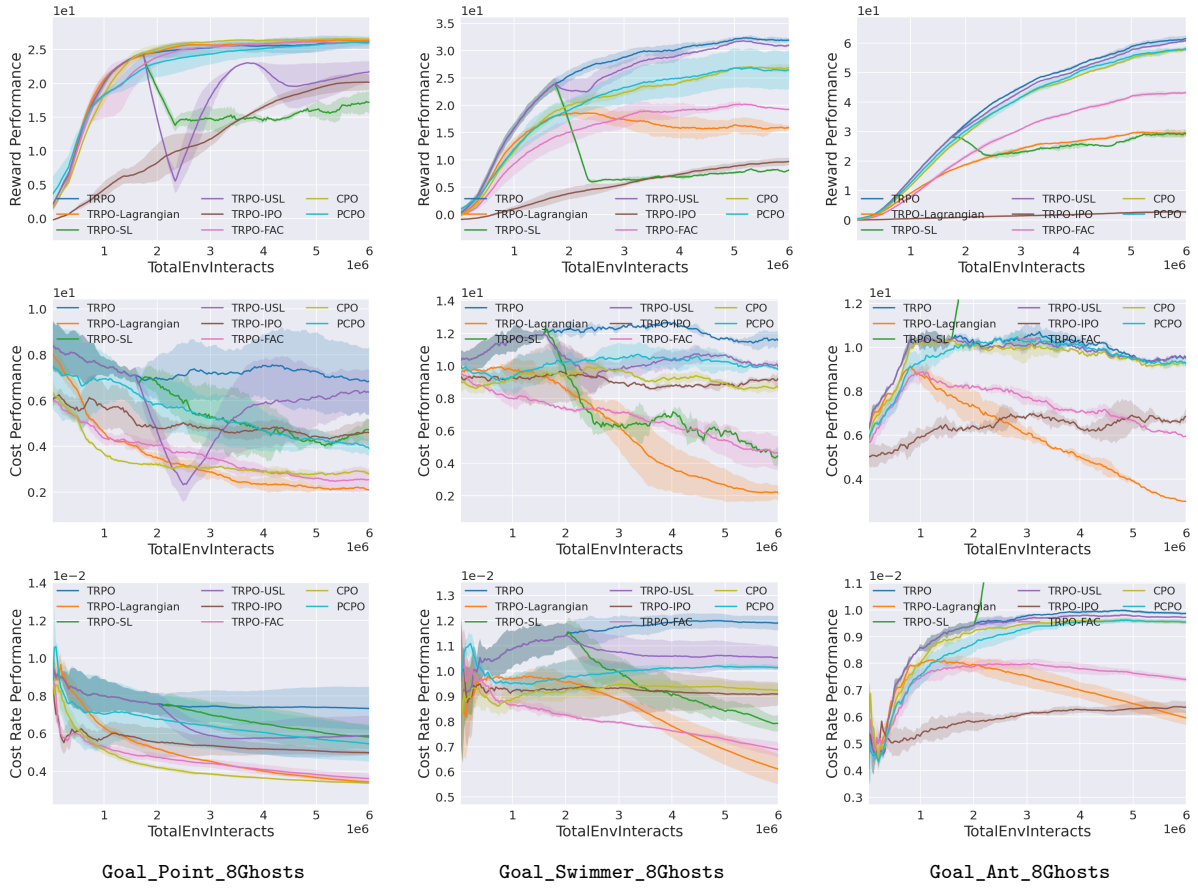


Figure 6: Goal_{Robot}_8Hazards



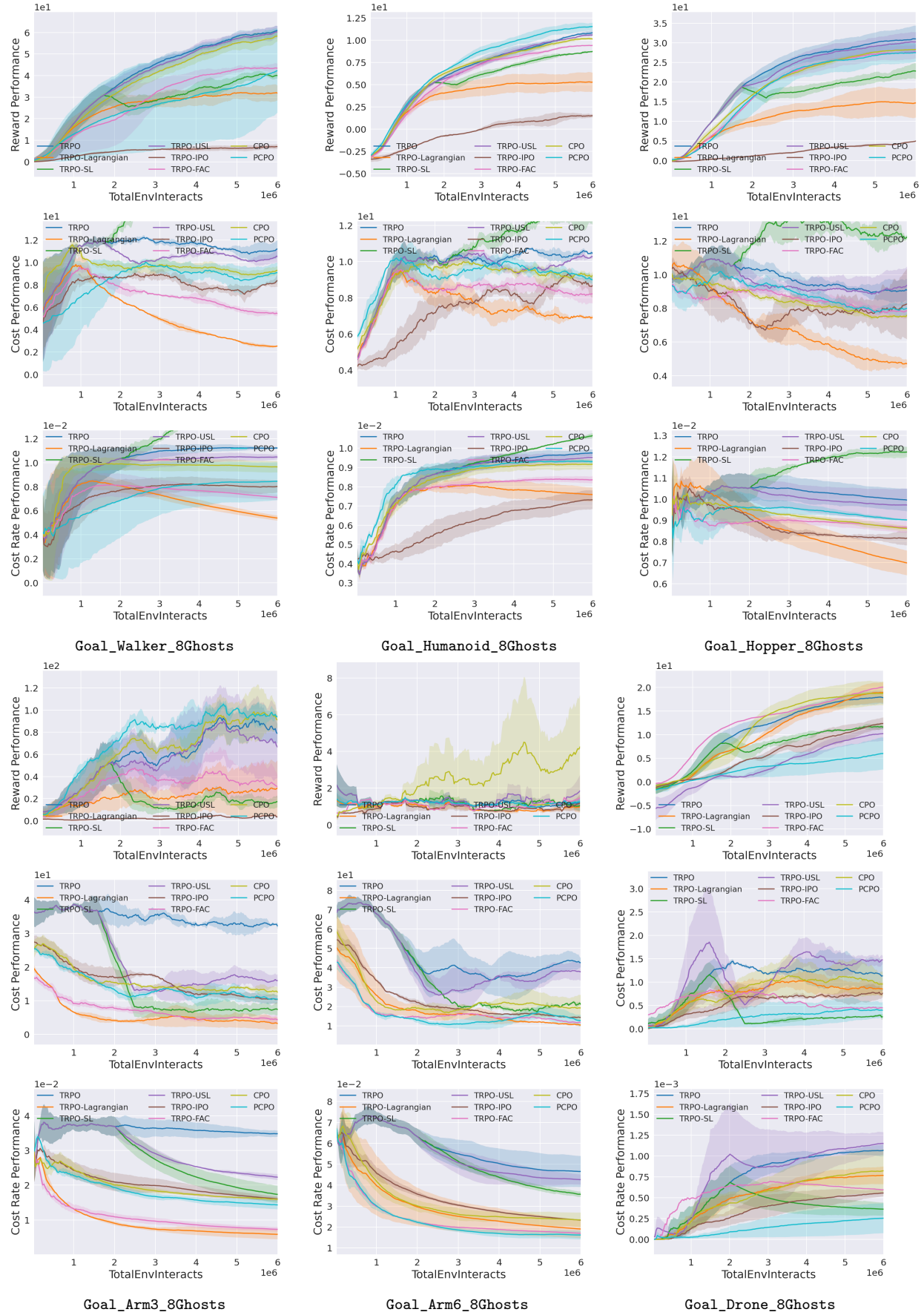
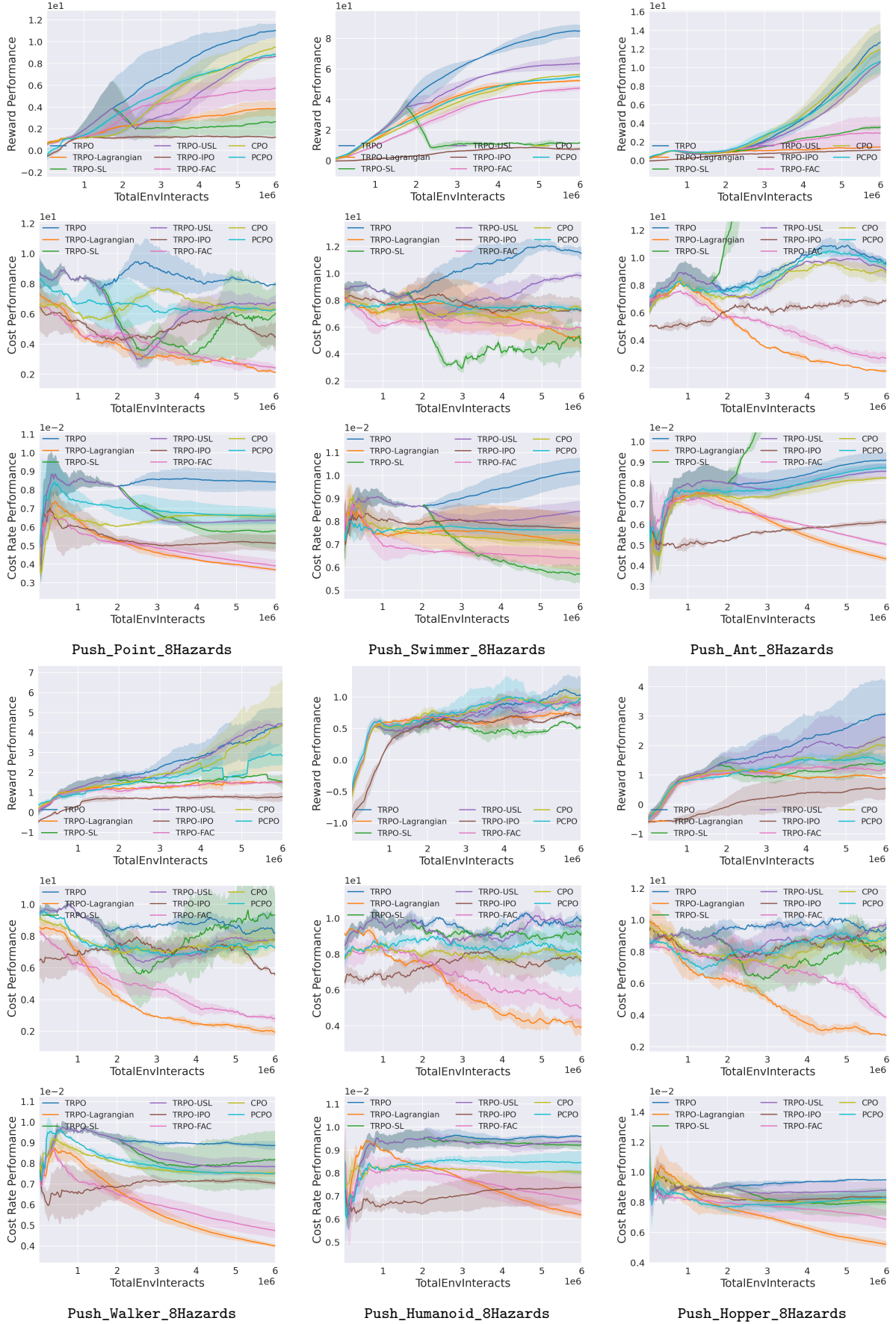


Figure 7: Goal_{Robot}_8Ghosts



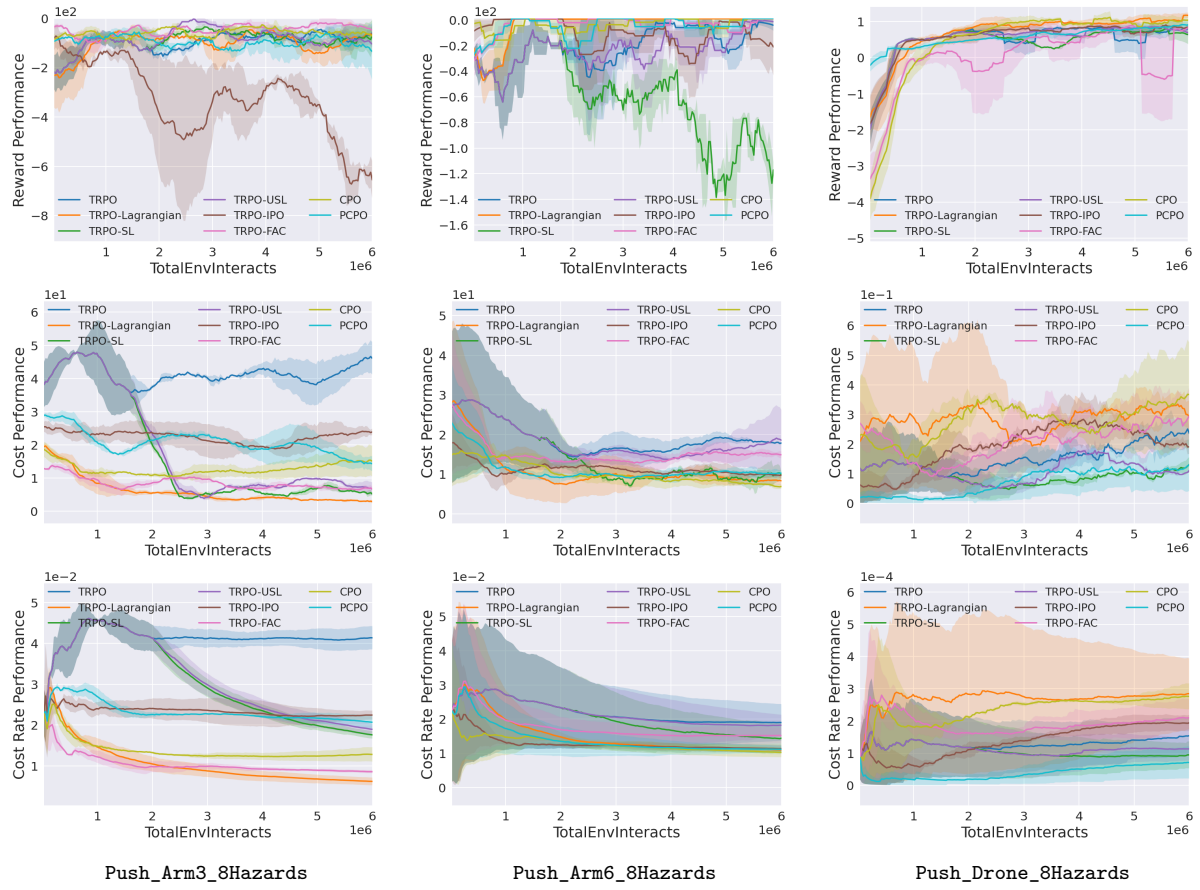
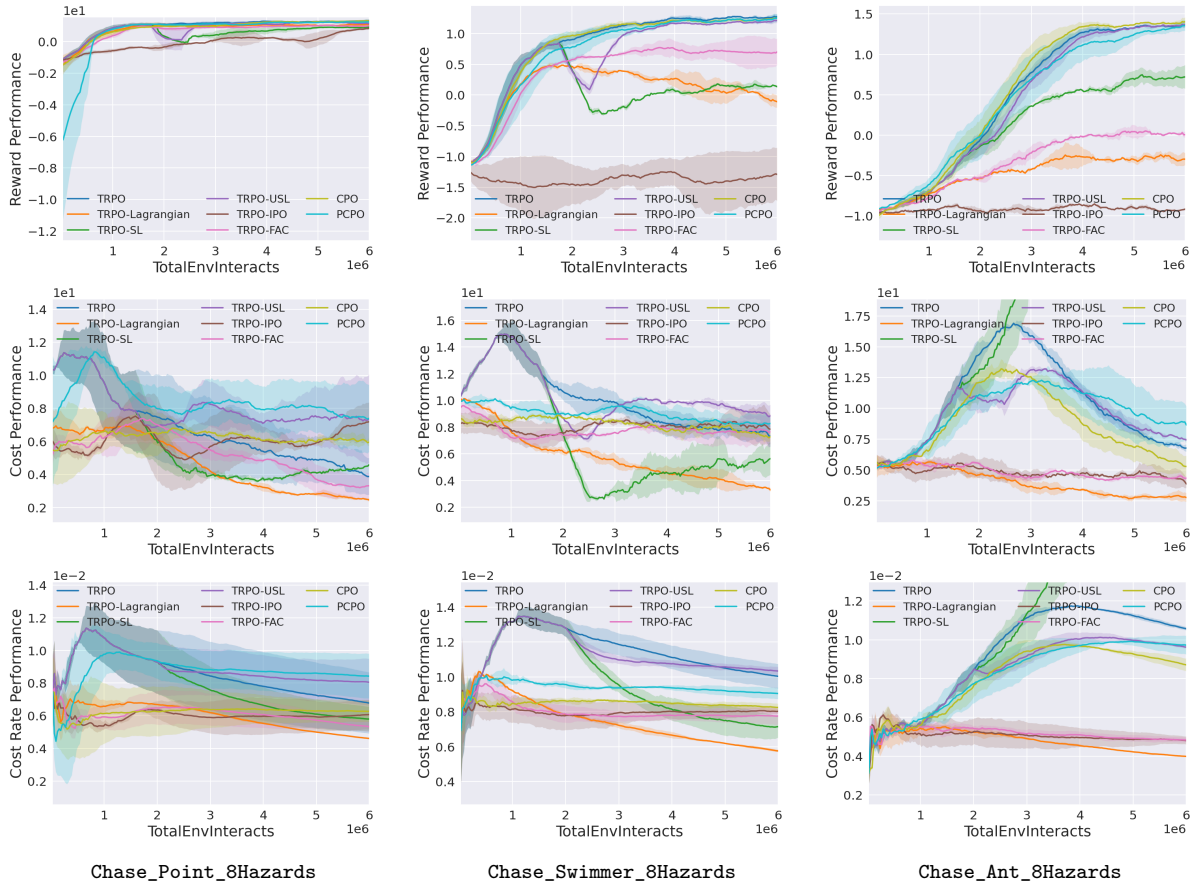


Figure 8: Push_{Robot}_8Hazards



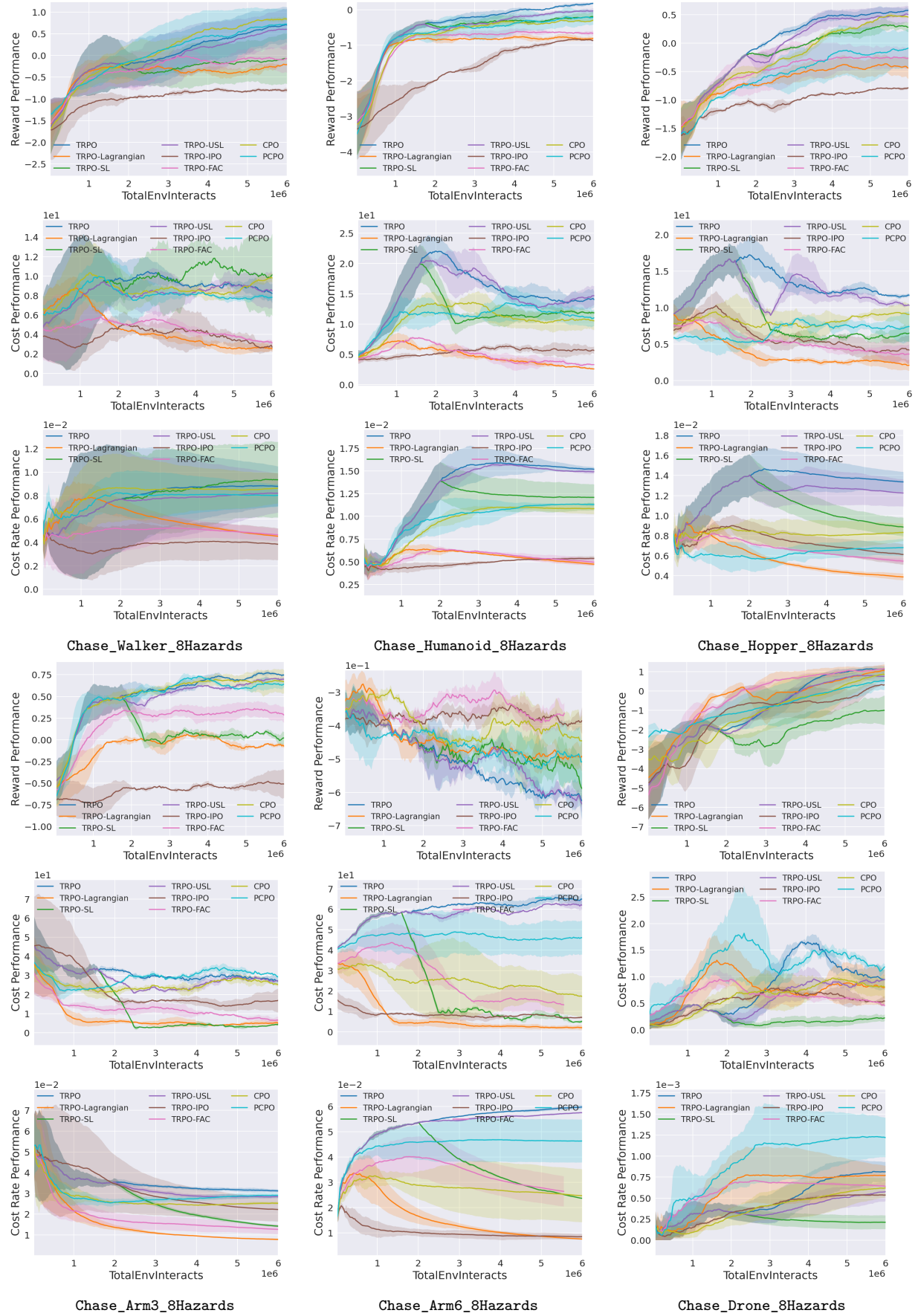
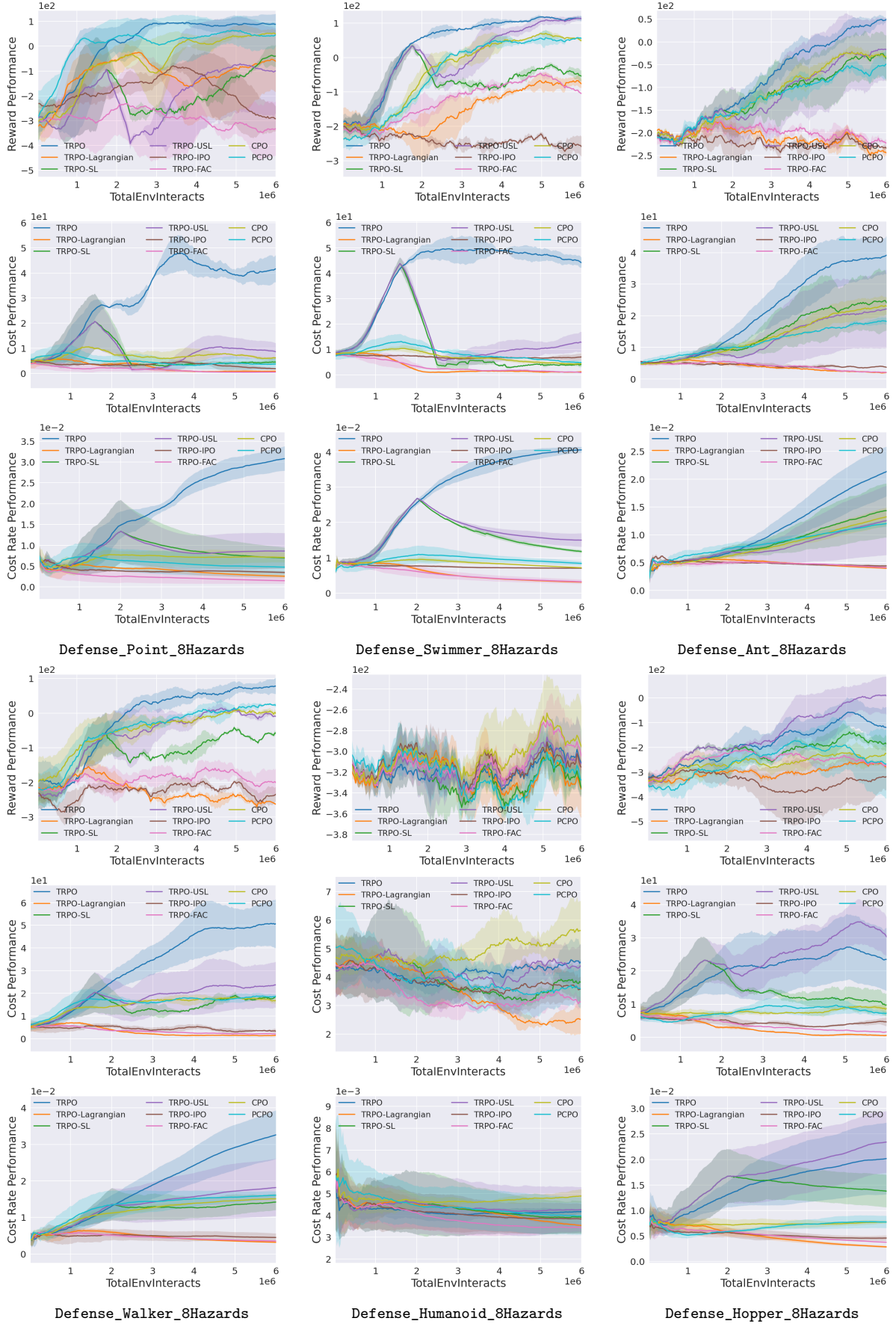


Figure 9: Chase_{Robot}_8Hazards



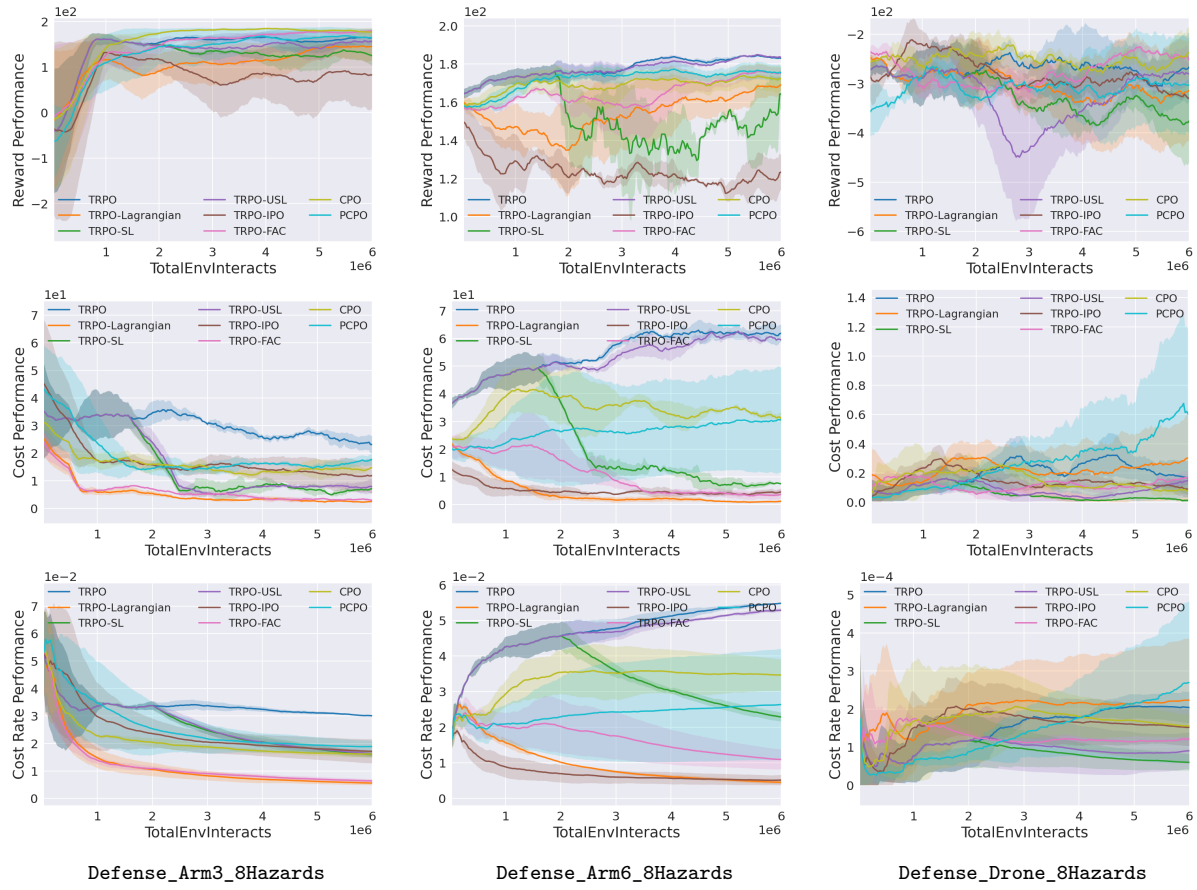


Figure 10: Defense_{Robot}_8Hazards