# Extending AutoCompressors via Surprisal-Based Dynamic Segmentation

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# **Abstract**

The long-context bottleneck of transformer-based language models can be addressed via context compression frameworks such as AutoCompressors, which 2 distill tokens into soft prompts but silently assume uniform information density. 3 We revisit this assumption and introduce dynamic segmentation by partitioning the input whenever the cumulative token-level surprisal exceeds a threshold  $\tau$ , 5 yielding segments with balanced information before **summary vector** generation. We show that dynamically adjusting segment boundaries based on surprisal enables better alignment between the original and soft prompts for prediction and infer-8 ence. Experimental results show that our surprisal-based segmentation outperforms 9 a pretrained baseline model and the randomized segmentation AutoCompressor 10 baseline with regard to cross-entropy loss and in-context learning (ICL) accuracy. 11

#### 12 1 Introduction

- 13 Context window limitations hinder long-context fine-tuning and inference in transformer-based 14 language models [Vaswani et al., 2017] due to memory and compute constraints [Wang et al., 2024]. 15 To mitigate this, compression methods that reduce input complexity have been introduced, falling 16 into two broad categories: either hard prompt or soft prompt techniques [Li et al., 2024].
- Hard prompts are discrete natural language sequences consisting of tokens from a language model's vocabulary [Sennrich et al., 2016]. While hard prompts are easily interpretable, they often fail to concisely express semantic intent. Soft prompts are vectors with the same dimensions as token embeddings in the language model's dictionary [Zhao et al., 2023]. While soft prompts provide less interpretability compared to hard prompts, they capture semantic nuance more concisely.
- Existing soft prompt methods such as GIST tokens [Mu et al., 2023], ICAE [Ge et al., 2024], and AutoCompressors [Chevalier et al., 2023] distill long inputs into soft tokens but assume uniform information density via constant token budgets or randomized segmentation—a flawed assumption given natural language's uneven semantic information distribution [Yu et al., 2016]. Information-theoretic approaches such as LLMLingua [Jiang et al., 2023] and Selective Context [Li et al., 2023] have shown that token-level perplexity or self-information can effectively identify semantically important input regions, but apply only to hard prompts.
- DAST [Chen et al., 2025] similarly implements dynamic allocation of soft tokens and may be considered parallel work, however, it is built on the Activation Beacon framework [Zhang et al., 2024] utilizing a different compression schema. We believe the implementation of DAST leaves room for improvement in method details and depth of experiments.
- We aim to bridge this gap by proposing a method that extends the AutoCompressor framework to incorporate surprisal-based dynamic segmentation. Specifically, we show that input segments of

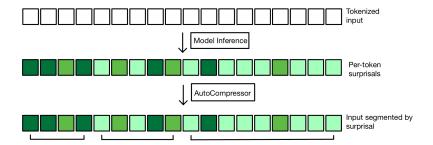


Figure 1: When fine-tuning AutoCompressors, we first quantify information density by obtaining per-token surprisals via baseline model inferencing on the tokenized input. We dynamically segment the input based on these surprisals, accumulating until a surprisal threshold  $\tau$  is reached, resulting in variable-length segments and a variable number of segments per input sequence. These segments are then compressed into summary vectors, which are passed onto subsequent compression steps as soft prompts for the previous context.

similar cumulative information produce more useful compressed representations when compressed into summary vectors, allowing for better language modeling performance on a variety of tasks.

# 37 **2 Related Work**

- 38 We adopt the AutoCompressor framework from Chevalier et al. [2023], which builds on the recurrent
- memory transformer (RMT) architecture [Bulatov et al., 2022] to compress plain text into short soft
- 40 prompts known as summary vectors [Lester et al., 2021]. The tokenized input text is split into
- 41 segments, with lengths randomly determined given a fixed hyperparameter of the number of segments.
- Segment lengths are guaranteed to be within the model's context window length. After generating
- 43 summary vectors, the vectors are then prepended to all subsequent segments to recursively generate
- summary vectors over the entire segmented input.
- 45 Methods for extending a model's context window have been developed by previous work, such as
- 46 RoPE-based scaling (Chen et al. [2023], Rozière et al. [2024], Ding et al. [2024], Zhu et al. [2025])
- and utilizing different types of embeddings [Sun et al., 2023b]. Non-transformer based architectures
- 48 have also been proposed (Peng et al. [2023], Sun et al. [2023a]), allowing for extended context
- 49 windows. However, these modifications do not perform well at longer scales or at a foundational
- 50 level.
- 51 Other compression methods have been explored to tackle long-context input. Semantic Compression
- 52 [Fei et al., 2024] utilizes graph-based chunking based on topic to dynamically compress context, but
- 53 focuses on hard prompt compression through summarization techniques. DoDo [Qin et al., 2024]
- 54 approaches compression architecturally by dynamically compressing the context via a trainable
- selector and compressor module to select and compress the most important hidden states in each
- 56 layer to reduce computational intensity while maintaining model performance. Our work addresses
- 57 the issue from the perspective of reducing input complexity via soft prompts.

# 58 3 Method

- 59 We incorporate our custom segmentation methodology into the original AutoCompressors framework.
- 60 This creates a distinct fine-tuning process from fine-tuning on randomly split input segments, allowing
- 61 for better organization of the segments' information content.

#### 3.1 Framework

- 63 AutoCompressors are fine-tuned on base models and split long documents into a series of segments
- $S_1, \ldots, S_n$  with variable lengths constrained to fit within the model's context window. For each token
- $x_t$  in a segment  $S_i$  with  $m_i$  tokens, the model is trained with the unsupervised objective of minimizing

cross-entropy loss when conditioned on the previous tokens  $x_1, \ldots, x_{t-1}$  and the previous summary vectors  $\sigma_{< i}$ :

$$\mathcal{L} = -\frac{1}{N} \sum_{i=1}^{n} \sum_{t=1}^{m_i} \log p(x_t \mid x_1, \dots, x_{t-1}, \sigma_{< i})$$

over all segments and total number of tokens N. We follow this training objective when incorporating our method to fine-tune AutoCompressors.

# 70 3.2 Surprisal-Guided Segmentation

Our main contribution is implementing surprisal-based segmentation. The **surprisal** of a token  $x_t$  is the negative log probability of the token appearing given the preceding context [Ji et al., 2023]:

$$Surprisal(x_t) = -\log P(x_t \mid x_{< t}).$$

Surprisal captures the model's uncertainty about the token in its generative context, thereby representing the information contained within the token; higher surprisal corresponds to more information, and lower surprisal corresponds to less information.

76 **Token-level Segmentation.** Given a tokenized input sequence  $X = [x_1, x_2, \dots, x_n]$ , we define a segment  $S_j = [x_{s_{j-1}+1}, \dots, x_{s_j}]$  by computing per-token surprisal via baseline model inferencing and accumulating tokens until a fixed threshold  $\tau$  is exceeded:

$$s_j := \min \left\{ k \in \{s_{j-1} + 1, \dots, n\} \left| \sum_{i=s_{j-1}+1}^k \operatorname{Surprisal}(x_i) \ge \tau \right\} \right\}$$

where  $s_{j-1}+1$  and  $s_j$  are the start and end indices respectively of segment  $S_j$ . If the length of the segment exceeds the model's context window before the threshold is reached, we simply end the segment and begin a new segment. This procedure creates segments of roughly equal cumulative surprisal. Unlike the original methodology, which specifies a fixed number of segments for each training substep, we allow for a variable number based on the information distribution of the input, creating more flexibility in the fine-tuning process.

# 85 4 Experiments

# 4.1 Experimental Setup

We fine-tune an AutoCompressor model on a pre-trained OPT model [Zhang et al., 2022] with 1.3 billion parameters, fine-tuning on 6K-token sequences from the Wikipedia subdomain of the Pile dataset [Gao et al., 2020] with a surprisal threshold of  $\tau=1500$ . This threshold was heuristically determined based on the total cumulative surprisal across sample input sequences.

Fine-tuning was done with 2-3 NVIDIA H100 GPUs each with 80 GB of memory over 50 hours, with one GPU solely dedicated to baseline model inferencing to obtain surprisal calculations. To ensure that the input would not exceed the base model's context window length during inferencing, we apply the extended full attention methodology introduced in the original work via extension of positional embeddings. Specifically, positional embeddings are reused beyond the model's context window length to allow for longer input sequences.

We evaluate our model by evaluating the out of domain cross-entropy loss on 6K-token sequences from the Gutenberg subdomain (consisting of various works of literature) of the Pile dataset. We split the input sequences into segments of 2,048 tokens except for the last segment, which has fewer tokens. Then, we compress all segments except the last, pass their summary vectors forward as soft prompts, and evaluate cross-entropy loss on the final segment.

We also evaluate in-context learning (ICL) accuracy on the AG News benchmark, which involves 4-way topic classification on news articles. Following the original implementation, we construct 10-shot prompts by sampling and concatenating 10 plain text training examples.

Model	<b>Cross-Entropy</b>	7	46	209	1071	4489	19972
OPT-1.3b	4.20	62.61	55.53	52.25	74.40	53.50	59.07
Baseline AC	2.66	67.16	63.50	60.46	71.30	55.30	62.71
Dynamic AC	2.61	69.12	69.82	64.88	76.80	58.50	62.70

Table 1: Evaluation results for a baseline OPT-1.3b model, baseline AutoCompressor (AC), and our dynamic AutoCompressor (AC). We evaluate cross-entropy loss on the Gutenberg subdomain and ICL accuracy on the AG News dataset with 6 different seeds.

#### 4.2 Results

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- We display our results in Table 1. We compare to a baseline OPT-1.3b model with extended full attention as well as an AutoCompressor model utilizing randomized segmentation as in the original methodology.
- Our dynamic AutoCompressor achieves lower cross-entropy loss (2.61) compared to the baseline AutoCompressor (2.66) when evaluated on the out of domain Gutenberg dataset, demonstrating improved generative model prediction. On the AG News classification task, Dynamic AC outperforms the random segmentation baseline on most seeds, showing performance gain due to surprisal-aligned compression. For example, on Seed 7 and Seed 209, dynamic AutoCompressor improves accuracy in text classification by approximately +2% and +4.4%.

#### 115 5 Limitations

We were unable to fine-tune larger models as AutoCompressors or fine-tune and evaluate on more subdomains due to budget and time constraints, potentially limiting generalizability. We were also unable to adjust the surprisal threshold  $\tau$  as a hyperparameter for the same reasons. Future work should consider scaling to larger models and explore the effect of varying  $\tau$  for optimization, as well as evaluation on a wider range of tasks. Furthermore, research is needed to consider the compression ratio presented by dynamic segmentation.

# 6 Discussion

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We fine-tune an OPT model as an AutoCompressor using surprisal-based segmentation when partitioning input, determining segment boundaries by a surprisal threshold. We evaluate out of domain cross-entropy loss and ICL accuracy as compared to the pretrained baseline model and the randomized segmentation AutoCompressor, showing improved model performance. While gains are not uniform across all possible seeds or downstream tasks, future experiments may provide deeper insights.

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- It should be clear whether the error bar is the standard deviation or the standard error
  of the mean.
- It is OK to report 1-sigma error bars, but one should state it. The authors should preferably report a 2-sigma error bar than state that they have a 96% CI, if the hypothesis of Normality of errors is not verified.
- For asymmetric distributions, the authors should be careful not to show in tables or figures symmetric error bars that would yield results that are out of range (e.g. negative error rates).
- If error bars are reported in tables or plots, The authors should explain in the text how
  they were calculated and reference the corresponding figures or tables in the text.

# 8. Experiments compute resources

Question: For each experiment, does the paper provide sufficient information on the computer resources (type of compute workers, memory, time of execution) needed to reproduce the experiments?

Answer: [Yes]

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Justification: We specify the specific GPUs used as well as the amount of time it took to fine-tune.

#### Guidelines:

- The answer NA means that the paper does not include experiments.
- The paper should indicate the type of compute workers CPU or GPU, internal cluster, or cloud provider, including relevant memory and storage.
- The paper should provide the amount of compute required for each of the individual experimental runs as well as estimate the total compute.
- The paper should disclose whether the full research project required more compute than the experiments reported in the paper (e.g., preliminary or failed experiments that didn't make it into the paper).

#### 9. Code of ethics

Question: Does the research conducted in the paper conform, in every respect, with the NeurIPS Code of Ethics https://neurips.cc/public/EthicsGuidelines?

Answer: [Yes]

Justification: No work in the paper overlaps with the ethical concerns present in the Code of Ethics. The datasets used are not deprecated.

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- The answer NA means that the authors have not reviewed the NeurIPS Code of Ethics.
- If the authors answer No, they should explain the special circumstances that require a
  deviation from the Code of Ethics.
- The authors should make sure to preserve anonymity (e.g., if there is a special consideration due to laws or regulations in their jurisdiction).

# 10. Broader impacts

Question: Does the paper discuss both potential positive societal impacts and negative societal impacts of the work performed?

Answer: [NA]

Justification: There are no substantial direct societal impacts of this work.

- The answer NA means that there is no societal impact of the work performed.
- If the authors answer NA or No, they should explain why their work has no societal
  impact or why the paper does not address societal impact.

- Examples of negative societal impacts include potential malicious or unintended uses (e.g., disinformation, generating fake profiles, surveillance), fairness considerations (e.g., deployment of technologies that could make decisions that unfairly impact specific groups), privacy considerations, and security considerations.
- The conference expects that many papers will be foundational research and not tied to particular applications, let alone deployments. However, if there is a direct path to any negative applications, the authors should point it out. For example, it is legitimate to point out that an improvement in the quality of generative models could be used to generate deepfakes for disinformation. On the other hand, it is not needed to point out that a generic algorithm for optimizing neural networks could enable people to train models that generate Deepfakes faster.
- The authors should consider possible harms that could arise when the technology is being used as intended and functioning correctly, harms that could arise when the technology is being used as intended but gives incorrect results, and harms following from (intentional or unintentional) misuse of the technology.
- If there are negative societal impacts, the authors could also discuss possible mitigation strategies (e.g., gated release of models, providing defenses in addition to attacks, mechanisms for monitoring misuse, mechanisms to monitor how a system learns from feedback over time, improving the efficiency and accessibility of ML).

### 11. Safeguards

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Question: Does the paper describe safeguards that have been put in place for responsible release of data or models that have a high risk for misuse (e.g., pretrained language models, image generators, or scraped datasets)?

Answer: [NA]

Justification: The paper poses no such risks.

#### Guidelines:

- The answer NA means that the paper poses no such risks.
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  not require this, but we encourage authors to take this into account and make a best
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Question: Are the creators or original owners of assets (e.g., code, data, models), used in the paper, properly credited and are the license and terms of use explicitly mentioned and properly respected?

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#### 13. New assets

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Question: Are new assets introduced in the paper well documented and is the documentation provided alongside the assets?

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# 14. Crowdsourcing and research with human subjects

Question: For crowdsourcing experiments and research with human subjects, does the paper include the full text of instructions given to participants and screenshots, if applicable, as well as details about compensation (if any)?

Answer: [NA]

Justification: The paper does not involve crowdsourcing nor research with human subjects.

# Guidelines:

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Question: Does the paper describe potential risks incurred by study participants, whether such risks were disclosed to the subjects, and whether Institutional Review Board (IRB) approvals (or an equivalent approval/review based on the requirements of your country or institution) were obtained?

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Question: Does the paper describe the usage of LLMs if it is an important, original, or non-standard component of the core methods in this research? Note that if the LLM is used only for writing, editing, or formatting purposes and does not impact the core methodology, scientific rigorousness, or originality of the research, declaration is not required.

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