LLM4EFFI: Leveraging Large Language Models to Enhance Code Efficiency and Correctness

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Abstract

Large Language Models have demonstrated impressive capabilities in generating syntactically and functionally correct code. However, most existing research has primarily focused on the correctness of generated code, while efficiency remains relatively underexplored. Recent efforts have attempted to enhance efficiency by refining the initially generated code. Nonetheless, such post hoc optimizations are inherently constrained by the original algorithmic design and overall logic, often yielding only marginal 011 gains. In this work, we propose LLM4EFFI, a novel framework that enables LLMs to generate code that balances both efficiency and 014 correctness. LLM4EFFI decomposes the efficiency optimization process into two distinct stages: algorithmic exploration at the logical level and implementation optimization at the code level. Correctness is subsequently ensured through an adaptive testing process based on synthetic test cases. By prioritizing efficiency 022 early in the generation process and refining for correctness afterward, LLM4EFFI introduces a new paradigm for efficient code generation. Experimental results show that LLM4EFFI consis-026 tently improves both efficiency and correctness of generated code, achieving state-of-the-art performance on three code efficiency benchmarks across five diverse LLM backbones.

1 Introduction

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Large Language Models (LLMs), particularly Code LLMs, are transforming the field of software engineering at an unprecedented pace. A significant area of advancement lies in automated code generation (Liu et al., 2023; Li et al., 2024a), where LLMs such as GPT-40 (OpenAI, 2024), Gemini (Team et al., 2023), the DeepSeek series (DeepSeek-AI, 2024a), and the Qwen series (Yang et al., 2024a,b) have demonstrated remarkable capabilities. These LLMs have consistently broken new ground on code completion and generation benchmarks, including HumanEval (Chen et al., 2021), MBPP



Figure 1: Comparison of LLM4EFFI with existing methods. Existing approaches typically generate code first and then attempt to improve efficiency through strategy or execution profiling. In contrast, LLM4EFFI reverses this order by prioritizing efficiency during generation, followed by refinement to ensure correctness.

(Austin et al., 2021), LiveCodeBench (Jain et al., 2024), and BigCodeBench (Zhuo et al., 2025).

While LLMs have achieved impressive accuracy in code generation, practical software engineering requires more than correctness—it also demands efficiency (Shi et al., 2024; Niu et al., 2024). In realworld scenarios, even functionally correct code often needs manual optimization before deployment, which undermines the promise of truly "out-of-thebox" code generation. Therefore, generating code that is both correct and efficient is essential; yet automating this process remains largely unexplored.

Recent preliminary works (Huang et al., 2024b; Waghjale et al., 2024) have explored feedbackbased approaches to improving the execution efficiency of generated code. As shown in Fig. 1, these methods follow a "generate-then-optimize" paradigm, where the model first generates code and subsequently refines it through strategy prompts or execution profiling. However, this paradigm raises a fundamental question:



Figure 2: The workflow of LLM4EFFI. Given a programming task, LLM4EFFI formalizes it into a code-oriented description, generates optimal algorithms and pseudocode in logic domain, and then produces implementation suggestions in code domain. LLM4EFFI synthesizes test cases and uses a verification-based adaptive framework to evaluate candidate solutions. The final code is selected based on the highest pass rate of the "checked" test cases.

"Is generating code first and then optimizing for efficiency truly the optimal solution for efficient code generation?"

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To investigate this, we conduct a detailed analysis of concrete examples, with representative cases provided in Fig. 22 and 23 in Appendix B. This analysis reveals that the "generate-then-optimize" paradigm is fundamentally limited by the algorithmic design and structural choices made during initial code generation, often yielding only incremental efficiency gains. Such constraints hinder deeper, algorithm-level restructuring necessary for achieving substantial improvements. In contrast, when human developers write high-quality code, whether in practical software engineering or algorithmic education, they typically begin by exploring multiple potential solutions at a logical level. They analyze the task's constraints, compare algorithmic alternatives and their computational complexities, and then proceed to implementation. During this stage, they apply appropriate coding techniques to optimize performance, followed by debugging and refinement to ensure correctness and quality.

Inspired by this insight, we propose LLM4EFFI (Large Language Model for Code Efficiency), a novel paradigm that enables LLMs to generate code that is both efficient and correct, as illustrated in Fig. 2. Given a programming task described in natural language, LLM4EFFI first formalizes it into a code-oriented problem specification. That is, it transforms the broad task description into a clear and well-defined coding problem, enabling accurate interpretation by the LLM. LLM4EFFI then guides the LLM through logic-level reasoning and algorithmic exploration. It considers multiple candidate algorithms, analyzes their computational complexities, and generates corresponding pseudocode. Based on these designs, LLM4EFFI derives implementation strategies and proceeds to code generation, incorporating optimization at the implementation level. This reflects the understanding that high-quality code requires not only sound algorithmic foundations but also attention to practical implementation. To ensure functional correctness while targeting efficiency, LLM4EFFI introduces a bidirectional verification-based adaptive testing framework that dynamically constructs and validates synthetic test cases. The generated code is iteratively executed and refined based on these "checked" test cases. Ultimately, the solution with the highest pass rate across the "checked" test cases is selected as the final output.

LLM4EFFI introduces two key uniqueness: Uniqueness 1: Separation of Efficiency Optimiza-

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tion into Logic and Code Domains. LLM4EFFI 118 decomposes efficiency optimization into two dis-119 tinct stages: the logic domain and the code do-120 main. The logic domain focuses on identifying 121 optimal algorithmic strategies, while the code do-122 main targets low-level implementation refinements. 123 This separation breaks down the complex task of 124 code efficiency optimization into manageable and 125 complementary phases, making the overall process 126 more systematic, interpretable and targeted. 127

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Uniqueness 2: Reordering the Optimization of Correctness and Efficiency. The order of optimizing efficiency and correctness plays a crucial role. LLM4EFFI prioritizes efficiency first, enabling broader algorithmic exploration and allowing multiple efficient solutions to emerge. Correctness is then incrementally ensured across these candidates. This strategy avoids prematurely constraining the solution space by enforcing correctness too early, which limit optimization potential. By reversing traditional order, LLM4EFFI unlocks greater potential for substantial efficiency gains.

We evaluate LLM4EFFI on three recently proposed code efficiency benchmarks: EvalPerf (Liu et al., 2024), ENAMEL (Qiu et al., 2024), and Mercury (Du et al., 2024). Experimental results demonstrate that LLM4EFFI consistently improves both code correctness and efficiency across diverse LLM backbones, achieving state-of-the-art performance on efficiency-related metrics. Overall, the contributions are threefold; code available at link¹:

- We propose LLM4EFFI, the first LLM-based framework that integrates efficiency and correctness as joint optimization objectives.
- We introduce two key innovations: (i) Separation of efficiency optimization into logic and code domains and (ii) Reordering the optimization of correctness and efficiency, which offers a new paradigm for the code efficiency community.
- Extensive experiments and analysis on three benchmarks across five different LLM backbones demonstrate the effectiveness and robustness of LLM4EFFI in efficient code generation.

2 Related Works

2.1 LLMs for Code Generation

LLMs have been widely applied to coding tasks and have demonstrated strong performance across a range of code scenarios. Most existing research

¹https://anonymous.4open.science/r/ LLM4EFFI-04B2 focuses on improving code generation quality, with numerous techniques proposed for this purpose. Some methods aim to improve the quality of synthetic code data (Wei et al., 2024; Luo et al., 2024; Lei et al., 2024), improve self-consistency (Le et al., 2024; Huang et al., 2024a), or incorporate feedback from human or LLM annotations (Chen et al., 2024; Wu et al., 2023; Tang et al., 2023). Other approaches utilize multi-agent collaboration frameworks to enhance code generation (Zhong et al., 2024; Shinn et al., 2023; Islam et al., 2024; Madaan et al., 2023; Li et al., 2024b). Despite these advances, most of these methods primarily focus on improving correctness, while largely overlooking the efficiency of the generated code. 166

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2.2 Code Efficiency

Until recently, the efficiency of generated code had received attention from the academic community. Several efficiency-focused benchmarks (HUANG et al., 2024; Du et al., 2024; Liu et al., 2024; Qiu et al., 2024) have been introduced to more comprehensively evaluate the ability of LLMs to produce efficient code. However, empirical evaluations on these benchmarks show that current LLMs still face significant challenges in efficient code generation. To address this, recent work such as ECCO (Waghjale et al., 2024) adopts selfrefinement, prompting LLMs to consider possible optimization strategies and iteratively refine their outputs. Effi-Learner (Huang et al., 2024b) proposes a self-optimization framework that leverages execution overhead profiles, feeding them back into the LLM to revise the code and reduce runtime overhead. However, these methods all follow the "generate-then-optimize" paradigm, rather than starting with the goal of generating both efficient and correct code from the beginning.

3 Methodology

Problem Formulation. In the code efficiency task, each instance is defined as a pair (Q, T_h) , where Q denotes the task description, and T_h represents the hidden test cases. The goal is to generate a code solution S that passes all the hidden test cases while achieving the highest efficiency (i.e., the shortest execution time). Notably, to better simulate real-world scenarios, we assume that no public test cases are available. T_h is only used during the evaluation stage and is not visible during the efficiency and correctness optimization stages.

3.1 Overview

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As shown in Fig.2, given a programming task in natural language, LLM4EFFI first formalizes it into a code-oriented problem specification (Section §3.2). LLM4EFFI then prompts the LLM to perform logic-domain reasoning, generating multiple algorithmic candidates and corresponding pseudocode (Section §3.3). Based on these, LLM4EFFI derives detailed implementation strategies and generates optimized code (Section §3.4). To ensure correctness, it synthesizes test cases and applies a bidirectional verification-based adaptive framework to validate these synthetic test cases. These "checked" test cases are then used to evaluate and refine the candidate code solutions (Section §3.5).

3.2 Task Formalization

In the initial task formalization stage, LLM4EFFI ensures that the task description is clear and unambiguous, which is crucial for subsequent stages. As highlighted by Han et al. (2024), errors in LLMgenerated code often arise from an insufficient or unclear understanding of the task. Therefore, LLM4EFFI prompts the LLM to interpret the task from four key dimensions: (i) entry point function name, (ii) input/output conditions and parameter types, (iii) edge cases, and (iv) expected behavior. Based on these aspects, the LLM is further guided to engage in self-reflection to confirm whether it has fully understood the task, thus laying a solid foundation for subsequent stages. Formally, this process is represented as : $Q \rightarrow Q_{formal} \stackrel{\text{check}}{\longleftrightarrow} Q$.

3.3 Algorithmic Exploration in Logic Domain

For the formalized task, LLM4EFFI prompts the LLM to engage in algorithmic reasoning at the logical level, rather than generating code directly. This approach mirrors the workflow of human programmers, who first perform abstract, high-level reasoning before moving to implementation. The LLM is guided to explore multiple optimal algorithms, analyze their complexities, and express the entire reasoning process using pseudocode. Formally, this is denoted as: $Q_{formal} \rightarrow \{Algo, Cplx, Pseudo\}$, where Algo represents the algorithm plan, Cplx denotes the complexity analysis, and Pseudo refers to the corresponding pseudocode.

3.4 Implementation in Code Domain

High-quality code requires not only well-designed algorithms but also careful optimization at the im-

plementation level. Even when the underlying algorithm is the same, different implementation choices can lead to substantial variations in code efficiency (Shypula et al., 2024; Coignion et al., 2024). Based on the algorithmic plan and corresponding pseudocode, LLM4EFFI prompts the LLM to generate practical implementation suggestions derived from *Algo* and *Pseudo*. For example, replacing a manual binary exponentiation routine with Python's built-in pow function. 263

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LLM4EFFI then generates candidate code implementations based on *Algo*, *Pseudo*, and the derived suggestions, while also identifying additional optimization opportunities. Formally, this process is denoted as:

$$\{Algo, Pseudo\} \rightarrow \{Suggs\}$$

$$\{Algo, Pseudo, Suggs\} \rightarrow \{Code \ Candidates\}$$

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3.5 Code Correctness Guarantee

To ensure the functional correctness of the generated code while targeting efficiency, LLM4EFFI introduces a bidirectional verification-based adaptive testing framework. The process unfolds as follows: LLM4EFFI first automatically synthesizes a large number of test cases based on the formalized task description Q_{formal} . These test cases are designed to cover a wide range of edge cases, rigorously testing the robustness and reliability of candidate solutions. However, since the synthesized test cases may contain errors, LLM4EFFI applies a bidirectional verification method to validate them. Forward Verification: If all candidate code implementations pass a given test case, it is considered trusted. Otherwise, Reverse Review: If any candidate fails a test case, LLM4EFFI performs a Q_{formal}-based review to determine whether the test case aligns with the task intent. This includes a semantic consistency check inspired by Test-Driven Development (TDD) practices (Erdogmus et al., 2010). Test cases that pass this review are retained; otherwise, they are discarded. The retained test cases are then marked as "checked" and used to evaluate the code candidates. Any failures during the evaluation phase trigger further refinement. Ultimately, the refined code candidate that passes the most "checked" test cases is selected as the final output. Formally, this process is denoted as:

$$Q_{formal} \to \{Synthesized \ Test \ Cases\} \xrightarrow[verification]{bidirectional}{verification} 309$$

$$\{Checked Test Cases\} \xrightarrow[select]{refine}{select} \{Final Output\}$$
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LLMs	Methods	EvalPerf		Mercury		ENAMEL	
		DPS_norm	Pass@1	Beyond@1	Pass@1	eff@1	Pass@1
Qwen2.5-Coder	Instruct	80.92	85.59	76.97	94.14	50.44	85.21
	ECCO	82.16	63.56	73.29	89.06	41.89	71.83
-32B-Instruct	Effi-Learner	82.45	77.11	77.13	91.41	50.12	81.69
	LLM4EFFI (ours)	86.20 +5.28	87.30 +1.71	78.96 +1.99	93.75 -0.39	51.26 +0.82	86.62 +1.41
	Instruct	79.29	88.14	72.50	86.72	49.78	83.80
Qwen2.5-72B	ECCO	80.06	64.41	74.10	89.84	41.90	72.53
-Instruct	Effi-Learner	79.90	81.36	77.10	91.02	47.42	76.76
	LLM4EFFI (ours)	84.00 +4.71	88.98 +0.84	77.45 +4.95	90.63 +3.91	51.49 +1.71	87.32 +3.52
	Instruct	80.04	85.59	69.59	82.81	48.26	80.28
	ECCO	75.18	44.07	72.29	86.33	30.75	57.75
GPT-4o-mini	Effi-Learner	79.80	81.36	73.45	88.67	45.69	77.46
	LLM4EFFI (ours)	83.78 +3.74	88.14 +2.55	74.94 +5.35	89.45 +6.64	49.89 +1.63	80.99 +0.71
	Instruct	79.59	86.70	73.14	87.50	47.63	80.99
CDT 4	ECCO	80.65	61.02	77.70	92.18	38.63	64.79
GPT-40	Effi-Learner	79.39	79.67	79.24	93.36	48.52	81.69
	LLM4EFFI (ours)	86.39 +6.80	88.98 +2.28	77.81 +4.67	93.75 +6.25	55.26 +7.63	83.80 +2.81
	Instruct	80.45	89.84	79.90	94.53	51.14	86.62
D C 1 1/2	ECCO	81.08	61.84	63.26	74.61	45.84	75.35
DeepSeek-V3	Effi-Learner	79.00	88.14	78.83	92.58	52.22	83.80
	LLM4EFFI (ours)	87.08 +6.63	90.67 +0.83	82.76 +2.86	96.09 +1.56	60.41 +9.27	89.44 +2.82

Table 1: Main Result. Performance of LLM4EFFI and baseline methods on EvalPerf, Mercury, and ENAMEL benchmarks, using five different LLM backbones. Efficiency is evaluated using each benchmark's specific metric.

4 Experiments

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We evaluate LLM4EFFI on three code efficiency 312 benchmarks: EvalPerf (Liu et al., 2024), Mercury 313 (Du et al., 2024), and ENAMEL (Qiu et al., 2024). 314 EvalPerf uses differential performance evaluation 315 to assess efficiency across different LLMs and solutions. Its efficiency metric, DPS norm, is calculated by determining the cumulative ratio of the reference solution that is immediately slower than 319 the new solution, normalized by the total number 320 of solutions. This ensures a fair comparison of 321 code efficiency based on reference solutions with varying performance levels. Mercury introduces 323 the Beyond metric to evaluate both correctness and code efficiency. The Beyond metric is calculated by normalizing the runtime percentiles of LLM 326 solution samples over the runtime distribution for 327 each task, ensuring consistent runtime comparisons 328 across different environments and hardware configurations. ENAMEL evaluates efficiency using the eff@1 metric, which captures the worst-case 331 execution time of a generated code sample across test cases with varying difficulty. The score is then 333 adjusted using a weighted average across these lev-334 els to account for hardware fluctuations. The eff@1 335 value ranges from 0 to 1, with higher values indi-336 cating better efficiency; values above 1 indicate 337 performance exceeding expert-level solutions.

4.1 Compared Methods.

We evaluate the direct instruction to generate both correct and efficient code as the **Instruct** baseline. We compare LLM4EFFI against two recent methods for code efficiency: **ECCO** (Waghjale et al., 2024) and **Effi-Learner** (Huang et al., 2024b).

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- ECCO: A self-refinement method with natural language feedback. It prompts the LLM to generate an initial solution, then asks whether the code can be improved for efficiency, and refines the solution based on the suggested optimizations.
- Effi-Learner: First, it generates code using the same instruction prompt as the Instruct baseline. It then executes the code on test cases to collect performance profiles, including runtime and memory usage. These profiles, along with the original code, are fed back into the LLM to guide efficiency-oriented refinement. It is worth noting that Effi-Learner relies on test case oracles; in this study, we use the visible test cases provided with each task. In contrast, LLM4EFFI does not depend on any test case oracles—all test cases are synthetically generated within LLM4EFFI itself.

4.2 Experiment Setup.

To comprehensively evaluate the applicability of LLM4EFFI, we selected five different LLM backbones: two proprietary LLMs: GPT-40 (OpenAI,

Models	Methods	EvalPerf		Mercury		ENAMEL	
		DPS_norm	Pass@1	Beyond@1	Pass@1	eff@1	Pass@1
	Llm4Effi	86.20	87.30	78.96	93.75	51.26	86.62
Qwen2.5-Coder	Variant-1	77.21 -8.99	80.51 -6.79	77.89 -1.07	93.34 -0.41	48.57 -2.69	81.69 -4.93
-32B-Instruct	Variant-2	75.75 -10.45	81.36 -5.94	75.86 -3.10	92.19 -1.56	45.68 -5.58	83.10 -3.52
	Variant-3	81.19 -5.01	72.03 -15.27	72.56 -6.40	85.16 -8.59	47.48 -3.78	77.46 -9.16
	Llm4Effi	87.08	90.67	82.76	96.09	60.41	89.44
DeenSeelt V2	Variant-1	79.72 -7.36	84.75 -5.92	81.58 -1.18	94.53 -1.56	53.23 -7.18	88.03 -1.41
DeepSeek-V3	Variant-2	77.07 -10.01	83.05 -7.62	80.10 -2.66	94.53 -1.56	53.62 -6.79	88.73 -0.71
	Variant-3	82.62 -4.46	82.01 -8.66	79.75 -3.01	92.58 -3.51	54.58 -5.83	81.69 -7.75

Table 2: Ablation Study Results. Results of LLM4EFFI, Variant-1, Variant-2, and Variant-3 are presented using Qwen2.5-Coder-32B-Instruct and DeepSeek-V3 as backbones on EvalPerf, Mercury, and ENAMEL benchmarks.

2024) and GPT-4o-mini, and three open-source LLMs, including DeepSeek-V3 (DeepSeek-AI, 2024b), Qwen2.5-72B-Instruct (Yang et al., 2024b), and Qwen2.5-Coder-32B-Instruct (Hui et al., 2024). During the LLM4EFFI process, we set the number of algorithm plans to 5 and the number of synthetic test cases to 20, followed by one iteration to refine the code for correctness. All prompts used for LLM4EFFI and the baselines are provided in Appendix A. For fair comparison, all experiments are conducted with a temperature setting of 0 and repeated three times, with average results reported.

4.3 Main Results.

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We compare LLM4EFFI with other methods on the EvalPerf, Mercury, and ENAMEL benchmarks, with results shown in Tab. 1. Direct instruction prompts (Instruct) yield strong performance, suggesting that LLMs possess a basic understanding of correct and efficient code. ECCO provides slight efficiency gains on EvalPerf and Mercury, but these often come at the cost of correctness. On more complex benchmarks like ENAMEL, ECCO shows a drop in both efficiency and correctness, indicating that relying solely on code domain optimization is insufficient. Lacking alignment with the broader logic requirement of the task, such methods often fail to produce effective improvements.

Effi-Learner shows moderate gains in certain settings, such as GPT-40 on Mercury, but its performance is inconsistent across LLMs and benchmarks, often falling short of the Instruct baseline. More importantly, it frequently compromises both efficiency and correctness. This is primarily due to its feedback mechanism, which emphasizes performance metrics (e.g., execution time) while neglecting functional correctness. Lacking algorithmic reasoning, Effi-Learner tends to over-optimize for runtime at the expense of code reliability, ultimately undermining both objectives. In comparison, LLM4EFFI achieves consistent improvements in both correctness and efficiency. Notably, it is the only method that delivers robust performance gains across diverse benchmarks and LLMs. Under DeepSeek-V3, LLM4EFFI improves DPS_norm by 6.63% on EvalPerf, increases eff@1 by 9.27% on ENAMEL, and boosts Pass@1 by 2.82%. 403

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4.4 Ablation Study.

LLM4EFFI incorporates several distinctive design choices, such as separating efficiency optimization into the logic and code domains. To assess the impact of each part, we conduct following variants:

- Variant-1: (Without Algorithmic Exploration in the Logic Domain): This variant skips algorithmic exploration for logic-level efficiency optimization. Instead, the LLM directly generates a fixed number of efficient code candidates based on the formalized task, followed by implementation-level optimization. All other steps are identical to those in LLM4EFFI.
- Variant-2: (Without Implementation Optimization in the Code Domain): This variant omits the implementation-level optimization step. All other stages remain the same as in LLM4EFFI.
- Variant-3: (Without Code Correctness Refinement): In this setting, after generating the efficiency-optimized code, the LLM directly selects the final output it deems most efficient and correct, without the correctness refinement phase.

We conduct the ablation study using Qwen2.5-Coder-32B-Instruct and DeepSeek-V3 as LLM backbones, with the results shown in Tab. 2. The findings indicate that removing any component degrades both efficiency and correctness. Specifically, excluding Algorithmic Exploration in the Logic

Models	Methods	EvalPerf		Mercury		ENAMEL	
		DPS_norm	Pass@1	Beyond@1	Pass@1	eff@1	Pass@1
Qwen2.5-Coder -32B-Instruct	LLM4EFFI w/o Uniqueness-1 w/o Uniqueness-2	86.20 80.84 -5.36 78.07 -8.13	87.30 79.66 -7.64 70.34 -16.96	78.96 76.75 -2.21 72.83 -6.13	93.75 94.14 +0.39 87.11 -6.64	51.26 50.95 -0.31 47.62 -3.64	86.62 80.98 -5.64 77.46 -9.16
DeepSeek-V3	LLM4EFFI w/o Uniqueness-1 w/o Uniqueness-2	87.08 80.91 -6.17 80.42 -6.66	90.67 85.59 -5.08 79.66 -11.01	82.76 81.79 -0.97 62.90 -19.86	96.09 95.31 -0.78 74.61 -21.48	60.41 54.70 -5.71 53.92 -6.49	89.44 85.92 -3.52 84.50 -4.94

Table 3: Uniqueness Analysis Results. Results of LLM4EFFI, **w/o Uniqueness-1**, and **w/o Uniqueness-2** using Qwen2.5-Coder-32B-Instruct and DeepSeek-V3 as backbones on EvalPerf, Mercury, and ENAMEL benchmarks.

Domain (Variant-1) or Implementation Optimization in the Code Domain (Variant-2) leads to a clear drop in efficiency metrics across all three benchmarks. Furthermore, removing Code Correctness Refinement (Variant-3) results in a notable decline in Pass@1. These outcomes are consistent with the design intent: algorithmic exploration and implementation optimization primarily contribute to efficiency, while correctness refinement ensures that the final output maintains functional correctness after efficiency-driven transformations.

5 Deeper Analysis

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5.1 LLM4EFFI Uniqueness Analysis

As discussed in the Introduction, LLM4EFFI has two key innovations: <u>Uniqueness 1</u>: Separation of efficiency optimization into logic and code domains, and <u>Uniqueness 2</u>: Reordering the optimization of correctness and efficiency. To gain a deeper understanding of these unique design choices, we conducted the following experiments:

- w/o Uniqueness-1: Instead of separating efficiency optimization into logic and code domains, we prompt the LLM to generate code that is both efficient and correct. Based on the formalized task and generated code, the LLM is then asked to propose possible efficiency optimization strategies. The code is refined accordingly, with subsequent steps identical to those in LLM4EFFI. The key difference between w/o Uniqueness-1 and ECCO is that ECCO generates optimization suggestions solely from the code, whereas w/o Uniqueness-1 leverages both the formalized task and generated code to produce efficiency-focused strategies, followed by correctness refinement.
- w/o Uniqueness-2: This variant reverses the prioritization of correctness and efficiency. In this experiment, it first generates code based on the formalized task and refines it for correctness.



Figure 3: Beyond@1 of LLM4EFFI across difficulty levels in Mercury, with DeepSeek-V3 as the backbone.

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Then, algorithm exploration is performed based on the formalized task and refined code, followed by optimization using implementation strategies. The results of the uniqueness analysis are shown in Tab. 3. Without Uniqueness-1, performance of Qwen2.5-Coder-32B-Instruct on Mercury showed a slight increase in Pass@1, but in other cases, the performance declined, particularly in terms of DPS_norm on EvalPerf and eff@1 on ENAMEL. This highlights the value of separating efficiency optimization into logic and code domains, as it decomposes the complex challenge of code efficiency into manageable and focused stages. On the other hand, removing Uniqueness-2 resulted in notable drops in both efficiency and correctness across all benchmarks and LLM backbones. This is primarily because prioritizing correctness before efficiency limits the LLM's ability to explore effective algorithmic and implementation strategies. In practice, this reversal often backfires: overemphasizing efficiency after correctness can inadvertently compromise correctness itself. These findings underscore the effectiveness of the "efficiency-first, correctness-later" strategy as a critical paradigm for generating code that is both correct and efficient.

Methods	BigCodeBench-Hard			
	Pass@1	Relative Time Cost		
Instruct	32.43	1		
ECCO	15.54	-11.58% (Faster)		
Effi-Learner	25.68	+11.98% (Slower)		
Llm4Effi	36.50	-33.37% (Faster)		

Table 4: Performance of LLM4EFFI vs. Baselines on BigCodeBench-Hard, using DeepSeek-V3 as backbone.

5.2 Performance on Varying Difficulty Levels

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To assess LLM4EFFI's performance across different difficulty levels, we evaluate it in three categories: Easy, Medium, and Hard, using Mercury benchmark with DeepSeek-V3 as the backbone. As shown in Fig. 3, LLM4EFFI consistently achieves the highest Beyond@1 scores, outperforming all baselines across difficulty levels. This consistent performance highlights LLM4EFFI 's effectiveness in handling a broad range of coding challenges. In contrast, ECCO exhibits a significant drop on hard-level tasks, primarily due to the difficulty of generating valid optimization suggestions for complex code, a persistent challenge for current LLMs.

5.3 Generalization to More Complex Tasks

To further assess the effectiveness and scalability of 518 519 LLM4EFFI in more complex software engineering scenarios, we conducted additional experiments using the BigCodeBench (Zhuo et al., 2025) bench-521 mark, a comprehensive suite designed to evaluate the code generation capabilities of LLMs in real-523 world, large-scale programming tasks. Specifically, 524 we selected the BigCodeBench-Hard subset, which 525 consists of 148 high-difficulty tasks. All other experimental settings remained consistent with the main experiments. In the evaluation, we normalized the execution time of code generated via direct instruction prompting as the baseline (set to 1) and 530 computed the relative time costs of different methods. Results are shown in Table 4. We observe that 532 methods such as ECCO and Effi-Learner, which 533 adopt a "generate-then-optimize" paradigm, suffer significant drops in accuracy. Notably, Effi-Learner 535 536 often produces less efficient code under complex tasks, leading to longer execution times. In con-537 trast, LLM4EFFI maintains robust and consistent 538 performance, improving code efficiency by 33.37% while also enhancing correctness. These results demonstrate LLM4EFFI's effectiveness in handling 541 challenging, real-world programming tasks. 542

Methods	EvalP	erf	ENAMEL		
	DPS_norm	Pass@1	eff@1	Pass@1	
Effi-Learner	79.00	88.14	52.22	83.80	
Effi-Learner with synthetic test cases	80.16	87.29	52.98	87.32	
LLM4EFFI	87.08	90.67	60.41	89.44	

Table 5: Performance comparison of LLM4EFFI and Effi-Learner with synthetic test cases, using DeepSeek-V3 as the LLM backbone.

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5.4 Effectiveness of Synthetic Test Cases

In its original design, Effi-Learner relies on oracle test cases. To further assess the effectiveness of LLM4EFFI's synthetic test cases, we conducted an additional comparison with Effi-Learner using synthetic test cases. The results are shown in Tab. 5. When using synthetic test cases for execution refinement, Effi-Learner achieves efficiency metrics (DPS_norm and eff@1) comparable to, and in some cases slightly better than, its original version using oracle test cases. This is largely because the synthetic test cases generated by LLM4EFFI are designed to provide more comprehensive code coverage and finer-grained difficulty differentiation. The Pass@1 results vary across benchmarks, primarily due to the increased difficulty of the synthetic test cases. In more challenging benchmarks such as ENAMEL, higher-difficulty test cases lead to more significant improvements in correctness.

5.5 Case Study and Computational Cost

To provide a clearer understanding of LLM4EFFI, we present a case study, detailed in Appendix B. Methods like ECCO and Effi-Learner are constrained by the algorithmic design and structure of the initial code. In contrast, LLM4EFFI overcomes these limitations by enabling high-level algorithmic exploration from the outset, allowing it to achieve more substantial improvements in code efficiency. Additionally, we provide the computational costs of LLM4EFFI and the baselines in Appendix C.

6 Conclusion

In this paper, we introduce LLM4EFFI, a novel framework for efficient code generation. By decoupling efficiency optimization into logic and code domains and adopting an "efficiency-first, correctness-later" paradigm, LLM4EFFI enables broader algorithmic exploration while ensuring correctness. Extensive experimental results demonstrate LLM4EFFI 's effectiveness and robustness.

582 Limitation

Although LLM4EFFI demonstrates strong performance in generating both efficient and correct code, 584 it still faces certain limitations. One key challenge 585 is balancing code efficiency with readability. To 586 optimize runtime performance, the system often employs complex algorithms or heavily optimized 588 built-in functions. While this improves efficiency, it can also increase cognitive load, particularly for users with limited programming experience, thereby raising the barrier to understanding and applying the generated code in practical scenarios. Improving the readability of LLM-generated code 594 is therefore an important direction, as it can help lower the entry threshold for users. However, this remains a relatively independent research problem, 597 which we plan to investigate further in future work.

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A Detailed Prompts

A.1 Prompts of LLM4EFFI.

Task Formalization

System:

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As a professional algorithm engineer, please analyze the given algorithm problem according to the following categories. Do not provide any example implementation:

- · Entry Point Function Name
- Input/Output Conditions
- · Edge Cases and Parameter Types (Int, String, etc.)
- · Expected Behavior

User:

The algorithm problem description is as follows: <natural language description>

Figure 4: Task Formalization.

Task Formalization Check

System:

As an excellent algorithm engineer, please analyze whether the explanation of the problem matches the original requirements. If they are consistent, output "Yes". If they are not consistent, output "No" and provide the reason, as shown below: {"Yes":"NULL"} {"No":"The reason is"} User:

<natural language description> <task description>

Figure 5: Checking the Task Formalization Result.

Synthesize Test Case Inputs

System:

As a tester, your task is to create comprehensive test inputs for the function based on its definition and docstring. These inputs should focus on edge scenarios to ensure the code's robustness and reliability. Please output all test cases in a single line, starting with input. User:

EXAMPLES: Function:

from typing import * def find_the_median(arr: List[int]) -> float: Given an unsorted array of integers `arr`, find the median of the array. The median is the middle value in an ordered list of numbers. If the length of the array is even, then the median is the average of the two middle numbers. Test Inputs (OUTPUT format): input: [1] input: [-1, -2, -3, 4, 5] input: [4, 4, 4] input: [....]

input: [....] END OF EXAMPLES. Function: <task description>

Figure 6: Synthesize Test Case Inputs.

Implementation Optimization in Code Domain

System:

As a professional Python algorithm programming expert, please provide suggestions for improving code efficiency based on the potential inefficiencies mentioned above. For example: 1. Using xxx instead of xxx can significantly improve code efficiency. Please provide at least 20 suggestions. User: <algorithm description>

Figure 7: Implementation Optimization in Code Domain.

Complete Test Case Generation

System:

As a programmer, your task is to calculate all test outputs and write the test case statement corresponding to the test input for the function, given its definition and docstring. Write one test case as a single-line assert statement. User: EXAMPLES:

```
Function:
   from typing import List
   def find_the_median(arr: List[int]) ->
       float:
      Given an unsorted array of
           integers `arr`, find the
           median of the array. The
           median is the middle value in
           an ordered list of numbers.
      If the length of the array is
           even, then the median is the
           average of the two middle
           numbers.
Test Input:
input: [1, 3, 2, 5]
Test Case:
assert find_the_median([1, 3, 2, 5]) == 2.5
```

END OF EXAMPLES. FUNCTION: <task description> <input case>

Figure 8: Complete Test Case Generation.

Algorithmic Exploration in Logic Domain

System:

As a professional algorithm engineer, you can effectively design multiple algorithms to solve the problem with low time complexity and output them in pseudo algorithm format. A pseudo algorithm is a nonlinear, high-level programming language for algorithmic logic. It combines natural language and programming structures to express the steps and sums of algorithms. The main purpose of process algorithms is to clearly display the core ideas and logic of the algorithm without relying on specific programming language syntax. Please design 5 excellent algorithm solutions based on the problem description provided. The time complexity of the algorithm needs to be as small as possible, and try to output 5 algorithms in the form of a pseudo-algorithm in the following format: PS: DO NOT provide implementation examples!

```
`algorithm1
   {algorithm key description: this
       algorithm using xxx, the key is to
       make sure xxx}
   {pseudo algorithm: ..}
   {algorithm key description: this
       algorithm using xxx, the key is to
       make sure xxx}
   {pseudo algorithm: ..}
   {algorithm key description: this
       algorithm using xxx, the key is to
       make sure xxx}
   {pseudo algorithm: ..}
  {algorithm key description: this
       algorithm using xxx, the key is to
       make sure xxx}
  {pseudo algorithm: ..}
   {algorithm key description: this
       algorithm using xxx, the key is to
       make sure xxx}
  {pseudo algorithm: ..}
User:
<task description>
```

Figure 9: Algorithmic Exploration in Logic Domain.

Code Candidates Generation

System:

As a professional algorithm engineer, please convert the selected algorithm into corresponding code. Ensure the code is complete and well-formatted. When converting to a standardized format, be sure to follow the guidelines specified in the "original question format":

1. Use the same function name as given in the original question format; do not rename it.

2. You may incorporate practical optimization details drawn from the knowledge base.

The final output format should be as follows:

>``python
{<code>

User:

<task description> <algorithm description> <efficiency optimization suggestions>

Figure 10: Code Candidates Generation.

Code Refinement for Correctness

System:

As a professional code programming algorithm expert, your task is to correct the code and ensure that the code is fixed without impacting its time complexity or practical efficiency. Then I will provide you with specific code and test cases. Important Notes:

1. Do not alter the algorithm itself

2. Do not change the format, such as the function name.

3. Please output in the specified format.

4. Ensure there are no syntax errors.

Please output in this format:

```python {code}

User:

#### <task description> <algorithm description>

<efficiency optimization suggestions>

Figure 11: Code Refinement for Correctness.

## Final Results Selection on Code Candidates

#### System:

As a professional algorithm engineer, please help me choose the most efficient code from the following codes. It is worth mentioning that it is necessary to consider the time complexity and practical level comprehensively: INPUT: { "1":"def ...()....", "2": "def ...()..." OUTPUT: ··· text {key} EXAMPLE: INPUT: { "1":"def ...()....", "2": "def ...()..." OUTPUT: ··· text

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User: <corrected code candidate>

Figure 12: Final Results Selection on Code Candidates (Optional).

## Direct Code Generation Prompt for Variant-1

#### System:

As a professional Python algorithm engineer, please solve the algorithms problem and generate a solution code. The final output format should be as follows:

```python
{code}

User: <task description>

Figure 13: Direct Code Generation Prompt for Variant-1.

| Direct Code Generation Prompt for w/o
Uniqueness-1&w/o Uniqueness-2 | Efi
Le |
|---|---|
| System:
As a professional Python algorithm engineer, please
solve the algorithm problem and generate 5 solution
codes. Please improve the efficiency of the code as
much as possible while ensuring the correctness of the
code. The final output format should be as follows: | Opt
bas
pro
give
Tas
<tas< td=""></tas<> |
| {code} | Tes
<tes
Ori</tes
 |
| <pre>>>`python2 {code}</pre> | <or< td=""></or<> |
| <pre>>``python3 {code}</pre> | Ove |
| {code} | Opt
- Ei
cod |
| <pre>{code}</pre> | - Do
- Fo |
| User:
<task description=""></task> | alre
- Ei
opt |

Figure 14: Direct Code Generation Prompt for w/o Uniqueness-1&w/o Uniqueness-2.

A.2 Prompts of Effi-Learner.

Original Code Generation Prompt in Effi-Learner

Please complete Python code based on the task description. # Task description:<Task description> #Solution:

Figure 15: Original Code Generation Prompt in Effi-Learner.

Efficiency Optimization Prompt in Effi-Learner.

Optimize the efficiency of the following Python code based on the task, test case, and overhead analysis provided. Ensure the optimized code can pass the given test case. Task Description: <task description> Test Case: <test case> Original Code: >> Overhead Analysis:

<profile of original code> Optimization Rules: - Encapsulate the optimized code within a Python code block (i.e., python[Your Code Here]). - Do not include the test case within the code block. - Focus solely on code optimization; test cases are already provided.

- Ensure the provided test case passes with your optimized solution.

Figure 16: Efficiency Optimization Prompt in Effi-Learner.

A.3 Prompts of ECCO.

Original Code Generation Prompt in ECCO

Write a python code which is efficient in terms of runtime and memory usage for the following problem description. Wrap the optimized code in a block of 3 backticks

Figure 17: Original Code Generation Prompt in ECCO.

Feedback Generation Prompt in ECCO

Give feedback in english for why the code solution below is incorrect or inefficient and how the program can be fixed based on the problem description. <original code>

Figure 18: Feedback Generation Prompt in ECCO.

| DeepSeek-V3 | Tokens on Synthetic Test Case | | Tokens on Framewor | Cost | |
|----------------------------------|-------------------------------|-----------------------|--|---|---|
| | Test Case Input | Test Case Output | Input tokens to LLM | LLM output tokens | |
| ECCO
Effi-Learner
LLM4EFFI | -
-
~2612 tokens | -
-
~818 tokens | \sim 3730 tokens
\sim 1241 tokens
\sim 8170 tokens | \sim 1027 tokens
\sim 364 tokens
\sim 4351 tokens | $\sim 0.0014\$$
$\sim 0.0006\$$
$\sim 0.0096\$$ |

Table 6: Computational cost of LLM4EFFI and baseline methods using DeepSeek-V3 as the LLM backbone.

Refine Prompt in ECCO

Refine the given incorrect or sub-optimal code solution based on the feedback specified below. Wrap the refined code in a block of 3 backticks <optimization suggestion> <original code>

Figure 19: Refine Prompt in ECCO.

A.4 Prompts of Instruct.

Prompt for Instruction Baseline

Please generate an efficient and correct code directly

Figure 20: Prompt for Instruction Baseline.

B Case Study

B.1 The Execution Details of Each Stage of LLM4EFFI.

As shown in Fig. 21, LLM4EFFI firstly analyzes the algorithm problem, "returns the n-th number that is both a Fibonacci number and a prime number", providing a detailed explanation of key aspects, including the entry point, input/output conditions, expected behavior, and edge cases. Based on this analysis and the problem description, LLM4EFFI explores potential algorithms and generates five efficient solutions, such as using the Fibonacci sequence generation method and Binet's formula. Next, LLM4EFFI examines the implementation details of these algorithms and identifies the optimal practical approaches. For example, it uses Python's built-in pow() function for efficient exponentiation and applies the Miller-Rabin primality test (based on Monte Carlo method) to enhance the efficiency of prime number detection for large numbers.

Then, LLM4EFFI combines the explored algorithms and practical operations to generate five distinct code implementations. To validate the correctness of these codes, LLM4EFFI generates 20 test cases based on the algorithm description and outputs them in the format "assert prime_fib(3) == 5". Each code candidate is then executed with these 20 test cases, recording the number of passed test cases ($Pass_t \le 20$) and the number of successful executions for each test case ($Pass_c \le 5$). Subsequently, LLM4EFFI checks the test cases that are not passed by the code implementations, ensuring that correct test cases are not excluded due to code errors and preventing incorrect test cases from being misused in subsequent iterations. 844

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After filtering, LLM4EFFI generates a new batch of test cases and executes them again to obtain updated results. For the test cases that fail, an iterative feedback mechanism is applied to optimize the code. The code, now enhanced with this iterative feedback, is executed once more, and the final passing results are recorded. All codes are then ranked in descending order of correctness, with the most accurate code being selected. This process ensures the identification of the optimal solution, while maintaining both high efficiency and accuracy in the code implementation.

B.2 Comparison of Methods.

In Fig. 22 and Fig. 23, we compare the code efficiency optimization processes of LLM4EFFI and baselines.

C Computational Cost

To evaluate the computational cost, we conducted additional experiments to measure the total number of generated tokens for LLM4EFFI. Specifically, we calculated the average total number of tokens for each problem task using various approaches under DeepSeek-V3 backbone. The results are shown in Tab.6, with the "Cost" column calculated based on DeepSeek's official pricing documentation.

• LLM4EFFI is the only method that requires the generation of synthetic test cases. This is because LLM4EFFI is designed to simulate more realistic real-world scenarios, where public test cases are often unavailable. In contrast,

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Figure 21: The figure illustrates the specific output of each subtask process of LLM4EFFI in solving algorithm problems.

the baseline method, Effi-Learner, relies on test case oracles provided with the problems.

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- LLM4EFFI indeed requires more generated tokens from LLMs compared to baseline methods. However, considering the significant performance gains achieved, we believe the cost remains within an acceptable range.
- LLM4EFFI offers significant flexibility. Users can customize and adjust various parameters, such as the number of synthetic test cases and algorithm explorations, to optimize token usage and reduce associated costs.



Figure 22: The diagram demonstrates how LLM4EFFI, Effi-Learner, and ECCO generate code. LLM4EFFI, through deep exploration of the algorithm domain, generates a set of efficient and high-quality algorithm candidates. However, the time complexity of these algorithms is similar, and there is no significant difference from the original code generated by Effi-Learner and ECCO. Subsequently, LLM4EFFI identifies key optimization suggestions in its practical recommendations, such as replacing list with bytearray, among others. As a result, although the final code has a similar time complexity to the other two tools, it significantly outperforms them in the final ENAMEL efficiency evaluation metrics.



Figure 23: The figure illustrates the code generation process of LLM4EFFI, Effi-Learner, and ECCO. LLM4EFFI, through deep exploration of the algorithm domain, generates a set of efficient and high-quality algorithm candidates. By incorporating practical optimization suggestions, it ultimately produces an algorithm with a time complexity of only $\mathcal{O}(n \cdot k \log n)$, achieving a high score of 1.28 on the ENAMEL test set. In contrast, Effi-Learner and ECCO, constrained by the $\mathcal{O}(n \cdot \sqrt{F_n})$ time complexity of their code algorithms, can only perform local optimizations on certain implementations, resulting in minimal improvements, with the final efficiency index reaching only 0.34.