# Analyzing and Mitigating Data Stalls in DNN Training

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## ABSTRACT

Training Deep Neural Networks (DNNs) is resource-intensive and time-consuming. While prior research has explored many different ways of reducing DNN training time, the impact of input data pipeline, i.e., fetching raw data items from storage and performing data pre-processing in memory, has been relatively unexplored. This paper makes the following contributions: (1) We present the first comprehensive analysis of how the input data pipeline affects the training time of widely-used computer vision and audio Deep Neural Networks (DNNs), that typically involve complex data preprocessing. We analyze nine different models across three tasks and four datasets while varying factors such as the amount of memory, number of CPU threads, storage device, GPU generation etc on servers that are a part of a large production cluster at Microsoft. We find that in many cases, DNN training time is dominated by data stall time: time spent waiting for data to be fetched and preprocessed. (2) We build a tool, DS-Analyzer to precisely measure data stalls using a differential technique, and perform predictive what-if analysis on data stalls. (3) Finally, based on the insights from our analysis, we design and implement three simple but effective techniques in a data-loading library, CoorDL, to mitigate data stalls. Our experiments on a range of DNN tasks, models, datasets, and hardware configs show that when PyTorch uses CoorDL instead of the state-of-the-art DALI data loading library, DNN training time is reduced significantly (by as much as  $5 \times$  on a single server).

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The source code, data, and/or other artifacts have been made available at https://github.com/msr-fiddle/DS-Analyzer.

#### **1** INTRODUCTION

Data is the fuel powering machine learning [59]. Large training datasets are empowering state-of-the-art accuracy for several machine learning tasks. Particularly, Deep Neural Networks (DNNs),

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have gained prominence, as they allow us to tackle problems that were previously intractable, such as image classification [33, 43, 67], translation [74], speech recognition[30], video captioning [73], and even predictive health-care [70].

Empowering DNNs to push state-of-the-art accuracy requires the model to be trained with a large volume of data. During training, the model predicts the output given training data; based on the output, the model's weights are tuned. This happens iteratively, in many rounds called epochs.

However, DNN training is data-hungry, resource-intensive, and time-consuming. It involves the holistic use of all the resources in a server from storage and CPU for fetching and pre-processing the dataset to the GPUs that perform computation on the transformed data. Researchers have tackled how to efficiently use these resources to reduce DNN training time, such as reducing communication overhead [32, 39, 49, 57, 76], GPU memory optimizations [22, 38, 63], and compiler-based operator optimizations [21, 41, 72]. However, the impact of storage systems, specifically the *data pipeline*, on DNN training has been relatively unexplored.

The DNN Data Pipeline. During DNN training, the data pipeline works as follows. Data items are first fetched from storage and then *pre-processed* in memory. For example, for many important and widely-used classes of DNNs in computer vision, there are several pre-processing steps: data is first decompressed, and then random perturbations such as cropping the image or rotating it are performed to improve the model's accuracy [60]. Once pre-processed, the data items are sent to the GPUs for processing. One complete pass over the training dataset is termed an epoch; models are iteratively trained for several epochs to achieve desired accuracy.

The DNN data pipeline operates in parallel with GPU computation. Ideally, the data pipeline should steadily feed pre-processed data items to the GPUs to keep them continuously busy processing data; we term this GPU-bound. Unfortunately, training image, video, or audio models is often I/O-bound, bottlenecked by fetching the data from storage, or CPU-bound, bottlenecked by applying data pre-processing in memory. Collectively, we term these bottlenecks **data stalls** and differentiate between *prep stalls* (time spent on data pre-processing) and *fetch stalls* (time spent on I/O).

#### 1.1 Contributions

**Categorizing, measuring, and analyzing data stalls**. We present the first comprehensive analysis of data stalls (categorized as fetch and prep stalls) in DNN training. We analyze nine popular DNN models from three domains (image classification, object detection, and audio classification) and four datasets in a production cluster

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Finding Insights OS Page Cache is inefficient for DNN training due to thrashing DNN-aware caching can eliminate thrashing across epochs DNNs need anywhere between 3 - 24 CPU cores per GPU for data If hardware is upgraded to overcome workload bottlenecks, it must be done carefully pre-processing with an eye towards designing balanced server SKUs. DNNs spend upto 65% of the epoch time in data pre-processing, Decoded data can be cached (as opposed to caching encoded data), if space primarily on redundant decoding amplification due to decoding can be addressed Lack of coordination among local caches lead to redundant I/O in To overcome local storage I/O bottlenecks, local in-memory caches of servers distributed training across servers allocated to a job can be coordinated to fetch data from distributed in-memory caches Hyperparameter search workloads perform redundant I/O & prep Hyperparameter search jobs must coordinate data fetch & prep to mitigate data stalls

Table 1: Key findings and implications of our analysis of data stalls

at Microsoft. We vary factors such as the storage media, amount of data that can be cached in memory, the number of CPU threads used to fetch and pre-process data, and GPU generation. We then analyze how these factors affect the data pipeline and DNN training. Our analysis shows that data stalls are prominent in popular computer vision and audio DNNs, as opposed to text-based NLP models. Our analysis finds that data stalls squander away the improved performance of faster GPUs, even on ML optimized servers like the DGX-2 [10]. Revisiting the insights from Stonebraker et al. [69], our analysis corroborates that relying on OS abstractions (like Page Cache) is inefficient for DNN workloads. We also find that the data pipelines in popular training frameworks like PyTorch and TensorFlow are inefficient in their use of CPU and memory resources, despite using state-of-the-art data-loading libraries like DALI [7] that reduce prep stalls using GPU-accelerated data pre-processing. Table 1 summarizes the findings and insights of our analysis.

**Performing predictive what-if analysis of data stalls.** Performing an analysis of how the data pipeline impacts DNN training is challenging since DNN training has a high degree of concurrency; it is hard to isolate the time taken to perform a single task as data pre-processing is pipelined with GPU computation. We develop a tool, DS-Analyzer, that uses differential analysis between runs (*e.g.*, comparing a run where data is completely cached vs when data needs to be fetched from storage) to identify data-stall bottlenecks. Using the measured data stalls, it answers what-if questions to help practitioners predict and analyze data stalls (*e.g.*, What would be the impact on data stalls if DRAM capacity increased by 2×?).

Mitigating data stalls. We use the insights from our analysis to identify opportunities for improvement. We build a new Coordinated Data Loader, CoorDL<sup>1</sup>, that uses three main techniques to mitigate data stalls. First, inspired by the pioneering work of Stonebraker et al. on database caching [69], we demonstrate that relying on the OS page cache is sub-optimal for DNN training. We implement MinIO, a software cache that is specialized for DNN training. Second, we describe the partitioned caching technique to coordinate the MinIO caches of servers involved in distributed training over commodity network stack. Third, we discuss the coordinated prep technique to carefully eliminate redundancy in data prep among concurrent hyperparameter search jobs in a server. We implement these techniques as part of the user-space library CoorDL, built on top of the state-of-the-art data pipeline DALI [7]. We evaluate CoorDL across different models, datasets, and hardware and show that it can accelerate training by up-to  $5 \times$  on a single server by mitigating data stalls over DALI.

## 2 BACKGROUND

Deep Neural Networks (DNNs) are a class of ML models that automatically extract higher level features from the input data. The DNN is trained over multiple rounds termed *epochs*. Each epoch processes all items in the dataset exactly once, and consists of multiple *iterations*; each iteration processes a random, disjoint subset of the data termed a *minibatch*. The DNN is trained until a target accuracy is reached. Training a DNN model to reach a given accuracy consists of two steps:

- (1) **Hyperparameter (HP) search**. There are many parameters for the learning algorithm that must be provided before the start of training. These hyperparameters (for *e.g.*, learning rate, its decay, dropout, and momentum) influence the speed and quality of learning. During the search process, we start several training jobs; each job trains the model with different hyperparameters, on each available GPU (or a distributed job across several GPUs); progress is checked after a few epochs and the worst-performing candidates are killed and replaced by new jobs with different hyperparameters that are chosen algorithmically [19, 27, 37, 46]. Tuning hyperparameters is crucial for generating DNN models that have high accuracy [61].
- (2) Training the model to target accuracy. The second step is to obtain models with high accuracy by training it with input data, using the hyperparameters chosen in the previous step.

## 2.1 The DNN ETL Requirements

In every epoch of training, the input dataset is subjected to a ETL (extract-transform-load) before being processed at the GPU (or any other accelerator). The ETL process in the data pipeline of popular image-based DNN training imposes several unique data ordering constraints to ensure model convergence and achieve state-of-the-art accuracy.

- The dataset must be shuffled every epoch to ensure the order in which data items are accessed are random in each epoch
- An epoch must use *all* data items in the dataset *exactly* once
- In every epoch, the data transformations(pre-processing) must be random; the same transformed item should not be used across epochs

Several prior work have theoretically and empirically demonstrated that relaxing these constraints will affect the convergence rate of SGD [23, 48, 52, 60]. While some NLP and recommendation models may not require random pre-processing and data shuffling every epoch, the focus of our work is computer vision and audio models where random data augmentation and shuffling is the default and



Figure 1: Data Pipeline in DNN training. This figure shows the different stages in the data pipeline.

common practice [54, 62]. Therefore, in this work, all our experiments abide by the aforementioned ETL requirements.

## 2.2 DALI : Fast Data Pipelining

State-of-the-art data loading and pre-processing libraries like DALI can be used as a drop in replacement for the default dataloaders in frameworks like PyTorch, TensorFlow, or MxNet. DALI can accelerate data pre-processing operations using GPU-accelerated data pre-processing operations. DALI also *prefetches and pipelines* the data fetch and pre-processing with the GPU compute, similar to the default dataloader in PyTorch. We empirically verified that DALI outperforms the default data pipelines of PyTorch, TensorFlow, and MxNet. Therefore, throughout this work, unless and otherwise stated, we use DALI, as it is the strongest baseline.

## 3 DATA STALLS IN DNN TRAINING

We now discuss our formulation of data stalls. Consider the training process of a typical DNN. It executes the following steps in each iteration of an epoch:

- (1) A minibatch of data items is fetched from storage.
- (2) The data items are pre-processed, for *e.g.*, for image classification, data items are decompressed, and then randomly cropped, resized, and flipped.
- (3) The minibatch is then processed at the GPU to obtain the model's prediction
- (4) A loss function is used to determine how much the prediction deviates from the right answer
- (5) Model weights are updated using computed gradients

Ideally, most of the time in each epoch should be spent on Steps 3–5 (which we collectively term the *GPU compute* time), i.e., training is *GPU bound*. When performing multi-GPU training, individual GPUs (workers) exchange weight gradients with other workers before performing weight update. For this work, we roll the communication time for gradient exchange during multi-GPU training into computation time.

In most frameworks including PyTorch, TensorFlow, and MxNet, data preparation (Steps 1 and 2) and GPU computation execute in a pipelined fashion; i.e., subsequent minibatches are *prefetched and pre-processed* by data preparation threads, using multiple CPU cores on the machine, as the GPU computes on the current minibatch of data. If the GPU is waiting for Steps 1–2 to happen, we term it a *data stall*. Specifically, if training is blocked on Step 1, we call it a fetch stall; the training is *I/O bound* in this case. Training blocked due to Step 2 is termed prep stall; this causes the training to be *CPU bound*. Data stalls cause the GPU to be idle, and must be minimized to increase GPU utilization.

The rate at which data items can be fetched from storage (Step 1) depends primarily on the storage media. The rate at which

Task	Model	Dataset (Size)
	Shufflenetv2 [77]	
Image Classification	AlexNet [43]	ImageNet-22k [8]
	Resnet18 [33]	(1.3TB)
	SqueezeNet [35]	OpenImages-Extended
	MobileNetv2 [65]	[45, 66] (645GB)
	ResNet50 [33]	Imagenet-1k [64]
	VGG11 [67]	(146GB)
Obj Detection	SSD+Res18 [50] OpenImages [45] (561	
Audio Classify	M5 [24]	Free Music [25] (950GB)

data items can be pre-processed (Step 2) depends upon the preprocessing operations and the number of CPU cores available for pre-processing.

In general, if we prefetch data at rate F, pre-process it at rate P and perform GPU computation on it at rate G, then data stalls appear if G > min(F, P), i.e., GPU processes data at a rate faster than it can be prefetched or pre-processed.

Any fetch or prep stall implies idle GPU time, which must be minimized. The fetch and prep stalls reported in this work are unmasked stall time; i.e., the stall time that shows up in the critical path, inspite of being pipelined with compute. From now on, we call data prefetching simply *fetch*, and pre-processing *prep*.

## 4 ANALYZING DATA STALLS

To understand data stalls in DNN training and the fundamental reasons why data stalls exist, we perform a comprehensive analysis on several DNNs by varying a number of factors, such as the number of GPUs, GPU generation, the size of the DRAM cache, the number of CPU threads etc.

#### 4.1 Methodology

**Models and Datasets**. We analyze **nine** state-of-the-art DNN models across three different tasks and four different datasets as shown in Table 2. This section focuses on the smaller ImageNet-1K dataset for image classification models. Evaluation with large datasets like ImageNet-22k and OpenImages is presented in Section §7. The image and audio classification models are taken from TorchVision [15] and TorchAudio [14] respectively; for object detection, we use NVIDIA's official release of SSD300 v1.1 [11].

**Pre-processing**. For all DNNs, we use the same pre-processing as in their original papers. More precisely, for the image classification task, pre-processing includes image decoding, random crop, resizing to a fixed size, and a random horizontal flip of the image. The object detection task performs a color twist of the image, and a random crop and horizontal flip of the bounding box in addition to the image transformations described for image classification. The audio model decodes and down-samples input to 8kHz.

**Training environment**. All experiments are performed on Py-Torch 1.1.0 using the state-of-the-art NVIDIA data loading pipeline, DALI. We have empirically verified that DALI's performance is strictly better than PyTorch, TF and MxNet's default data loaders;

Table 3: Server configurations used. We use two SKUs; each server has 24 CPU cores, 500GiB DRAM, and 8 GPUs.

	GPU Config	GPU Mem(GB)	U	Rand Read (MBps)
SSD-V100	8xV100	32	SSD	530
HDD-1080Ti	8x1080Ti	11	HDD	15 - 50

therefore we perform our analysis of data stalls using the strongest baseline, DALI. We use two distinct server configurations for our analysis as shown in Table 3. Both these are part of a large production and research cluster at Microsoft [9, 40], whose workload have guided the design of several research systems for ML training [20, 31, 51, 75]. These servers also closely resemble publicly available cloud GPU SKUs [1, 2]. Config-SSD-V100 has configuration closest to AWS p3.16xlarge [1] with gp2 storage [6], while Config-HDD-1080Ti is closest to AWS p2.8xlarge [2] with st1 storage [6]. Both our servers have 500GB DRAM, 24 physical CPU cores , and 8 GPUs per server.

**Training parameters**. For experiments on Config-SSD-V100, we use a batch size of 512 per GPU for all image classification models, 128 per GPU for SSD-Res18, 16 per GPU for M5 and perform weak scaling for distributed training (while ensuring that the global batch size is consistent with those widely used in the ML community). Since V100 GPUs have tensor cores, we use Apex mixed precision training with LARC (Layer-wise Adaptive Rate Clipping), and state-of-the art learning rate warmup schedules [29]. On Config-HDD-1080Ti, we use the maximum batch size that fits the GPU memory (less than 256 for all models) and perform full-precision training.

**Training metrics**. We run all the experiments presented here for three epochs, and report the average epoch time (or throughput in samples per second), ignoring the first epoch. Since we start with a cold cache in our experiments, first epoch is used for warmup. Measuring data stall time does not require training to accuracy; per-epoch time remains stable.

#### 4.2 Measuring data stalls using DS-Analyzer

We develop a standalone tool, DS-Analyzer that profiles data stalls in DNN training. Frameworks like PyTorch and TensorFlow provide an approximate time spent on data loading and pre-processing per minibatch, by simply placing timers in the training script. This is insufficient and inaccurate for two reasons. First, this technique cannot accurately provide the split up of time spent in data fetch (from disk or cache) and pre-processing operations. To understand if the training is bottlnecked on I/O or CPU, it is important to know this split. Second, frameworks like PyTorch and libraries like DALI use several concurrent processes (or threads) to fetch and pre-process data; for a multi-GPU data parallel training job, a data stall in one of the data loading processes may reflect as GPU compute time for the other processes, because all GPU processes wait to synchronize weight updates at batch boundaries. Naively adding timers around data path does not provide accurate timing information. Therefore, DS-Analyzer uses a differential approach. DS-Analyzer runs in three phases;

- Measure ingestion rate. First, DS-Analyzer pre-populates synthetic data at the GPUs and runs the job for a fixed number of epochs. This identifies the max data ingestion rate at the GPUs, with no fetch or prep stalls.
- (2) Measure prep stalls. Next, DS-Analyzer runs the training script with a subset of the given dataset, such that it is entirely cached in memory, using all available CPU cores, and estimates the training speed. Since this run eliminates fetch stalls, any drop in throughput compared to (1) is due to prep stalls.
- (3) Measure fetch stalls. Finally, DS-Analyzer runs the training script by clearing all caches, and setting maximum cache size to a user-given limit, to account for fetch stalls. The difference between (2) and (3) is the impact of fetch stalls.

## 4.3 Data Stalls in DNN Training

Our analysis aims to answer the following questions:

Fetch Stalls (Remote)	Is remote storage a bottleneck for training?	
Fetch Stalls (Local)	When does the local storage device (SSD/HDD) become a bottleneck for DNN training?	§4.3.2
Prep Stalls	When does data prep at the CPU become a bot- tleneck for DNN training?	§4.3.3
Generality	Do fetch and prep stalls exist in other training platforms like TensorFlow?	§4.3.4

4.3.1 When dataset resides on remote storage. Datasets used for training DNNs could reside locally on the persistent storage of a server, or on shared remote storage such as distributed file systems (HDFS, GlusterFS - GFS), or object stores (S3, Azure blobs). We analyze the impact of two kinds of remote backends; a distributed file system, GlusterFS (GFS) and the Azure blob object store accessed via blobfuse. When data resides remotely, the first epoch of training fetches data over the network and stores it locally for subsequent use. Cluster file systems like GFS use the OS Page Cache to speed up subsequent accesses. Blobfuse downloads the dataset on to local SSD, and mimics local training from the second epoch. Figure 2a compares the epoch time for ResNet18 on Config-SSD-V100 using GFS, blobfuse, and local SSD for the first epoch and a stable-state epoch with warmed up cache.

The data stall overhead of BlobFuse is especially high in the first epoch when it downloads the entire dataset to local storage, and can result in  $20 \times$  higher training time as compared to GFS. Unsurprisingly, during the steady state epochs, data stall overheads when using the local SSD and BlobFuse are similar (as the blob data is cached on the local SSD); GFS results in more data stalls as it validates metadata of cached data items over the network every time a data item is accessed. Blobfuse does not incur any network cost beyond first epoch, if the dataset fits on local SSD.

As shown in Figure 2b, for the ImageNet1K dataset, for BlobFuse, the cost of downloading the entire dataset in the first epoch is amortized as we train for a longer number of epochs, making the remote Blobstore a better fit compared to GFS when models are trained to accuracy for over 60 epochs.

Athough datasets are growing in size, large datasets that are publicly available fit entirely on local storage (but not in memory) [8, 16, 18, 25, 45, 64]. Therefore, a common training scenario is to pay



Figure 2: Training with remote stores. The high download cost of blob is amortized over training for a large # of epochs

a one-time download cost for the dataset, and reap benefits of local-SSD accesses thereafter (default and recommended mode in the Microsoft clusters). Therefore, in the rest of the work, we analyze fetch stalls in scenarios where dataset is present locally on a server, but is not entirely cached in memory.

4.3.2 When datasets cannot be fully cached. ML-optimized cloud servers with 500GB DRAM can only cache 35% of ImageNet-22K, or 45% of the FMA dataset, or 65% of the OpenImages dataset, although they entirely fit on local storage. Popular datasets like ImageNet-1K cannot be fully cached on commonly used cloud SKUs like AWS p3.2xlarge, which has 61 GiB DRAM. When datasets don't fit in memory, and the fetch rate(F) < compute rate (min(P, G)), fetch stalls occur.

**Fetch stalls are common if the dataset is not fully cached in memory**. Figure 3 shows the percentage of per epoch time spent on I/O for nine different DNNs when 35% ( for *e.g.*, ImageNet-22k on 500GB server) of their respective datasets can be cached in memory on Config-SSD-V100. DNNs spend 10 –70% of their epoch time on blocking I/O, despite pipelining and prefetching, simply because the compute rate is higher than fetch rate.

**OS Page Cache is inefficient for DNN training**. DNN training platforms like PyTorch, TensorFlow and libraries like DALI, rely on the operating system's Page Cache to cache raw training data in memory. Unfortunately, the OS Page Cache leads to thrashing as it is not efficient for DNN training. *If 35% of the data can be cached, then an effective cache should provide 35% hits; instead, the Page Cache provides a lower hit rate*. For a 146 GiB data set, each epoch should see only 65% of the dataset, or 95GiB, fetched from storage. Instead, we observe 85% of the dataset fetched from storage every epoch; the 20% difference is due to thrashing. Figure 4 shows the fetch stalls, including those due to thrashing, when using PyTorch with DALI. An effective cache for DNN training must eliminate thrashing to reduce fetch stalls to the minimum shown in Figure 4.

Lack of coordination among caches leads to redundant I/O in distributed training. In distributed training jobs, the data to be fetched and processed is divided randomly among servers, and changes every epoch. As a result, each server often has to fetch data from storage every epoch; this is done even if the required data item is cached in an another server that is a part of the distributed training job. This lack of coordination among caches makes distributed training storage I/O-bound. When training Resnet50 on ImageNet-1K (146GiB) across two servers having a total cache size of 150GiB, each server fetches 45GiB from storage in each epoch (despite the fact that the other server might have this data item in



Figure 3: Fetch stalls. Several DNNs experience significant stalls waiting for I/O, when training on Config-SSD-V100 with 35% of their dataset cached.



Figure 4: ResNet18 with varying cache. This stacked bar chart splits epoch time into time spent in compute, ideal fetch stalls, and the additional fetch stall due to thrashing.

its cache). On Config-HDD-1080Ti, this leaves ResNet50 stalled on I/O for 75% of its epoch time.

Lack of coordination in HP search results in redundant I/O. HP search is performed by launching several parallel jobs with different HP on all available GPUs in a server [47]. All HP jobs access the same dataset in a random order in each epoch, resulting in cache thrashing and read amplification. When 8 single-GPU jobs are run in a server (35% cache), there is 7× read amplification per epoch (884 GiB read off storage compared to 125 GiB for one job), which slows down HP search on ResNet18 by 2× on Config-SSD-V100.

4.3.3 **When datasets fit in memory**. We now analyze the impact of CPU pre-processing on DNN training in the scenario where the entire dataset is cached in memory of a single server, thus eliminating fetch stalls due to storage I/O.

**DNNs need 3–24 CPU cores per GPU for pre-processing**. Figure 5 shows how DNN training throughput changes as we vary the number of CPU pre-processing threads (per V100 GPU) for four models. For computationally complex models like ResNet50, 3 – 4 CPU cores per GPU is enough to prevent prep stalls; for computationally lighter models like ResNet18 or AlexNet, as many as 12 – 24 CPUs per GPU are needed to mask prep stalls. Even on NVIDIA's AI-optimized DGX-2, there are only three CPU cores per GPU; thus, many models have prep stalls on the DGX-2 ( §7.6)

**DALI is able to reduce, but not eliminate prep stalls**. DALI has a GPU-based prep mode to offload a part of pre-processing to the GPU. As shown in Figure 8 (a), when all pre-processing except decoding is offloaded to the GPU for training ResNet18, prep stalls reduce. The effectiveness of DALI depends on the GPU speed; for example, on the slower 1080Ti, DALI is able to eliminate prep stalls



Figure 8: 8-GPU ResNet18 training. Even with DALI, faster GPUs like V100 have upto 50% prep stalls.

using three CPU threads per GPU. On the faster V100 though, DALI still results in 50% prep stalls when using three CPU cores per GPU, and the GPU for pre-processing. Since DALI cannot automatically split pre-processing between CPU and GPU, we empirically find that offloading all operations except decoding to the GPUs offered best performance for the object detection and image classification models except ResNet50 and VGG11. These two models are computationally complex and slow down if pre-processing is performed at the GPU. Furthermore, DALI does not support audio decoding at the GPU. Therefore, pre-processing is entirely done at the CPU for ResNet50, VGG11 and audio M5 models. Figure 6 shows that prep stalls exist across different DNNs when training with eight GPUs each with 3 CPUs when using the best of CPU or GPU-based prep mode of DALI as described above.

**Decoding is very expensive!** To understand what makes prep expensive, we run a microbenchmark to breakdown the cost of different stages in a typical prep pipeline for image classification tasks. An input image is first loaded into memory, decoded, and then randomly transformed (crop, flip), and finally copied over to the GPU as a tensor that can be processed. Fig 7 shows the time taken for each operation when prep is done on CPU and GPU by DALI. We see that offloading prep to the GPU provides significant speedup at the expense of GPU memory usage (+5GB!). Second, a majority of time during prep is spent in decoding images.

**Impact of batch size**. The impact of batch size on GPU computational efficiency is well studied [34, 68]; larger batch sizes utilize the massive GPU parallelism better, and also reduce the number of weight updates (inter-GPU communication) per epoch, resulting in faster training. Figure 9 shows the impact of varying the batch size on epoch time and the percentage of epoch time spent on prep stalls for MobileNetv2. As computational efficiency increases with larger batches, training becomes CPU bound due to data prep. Note that, although the required GPU compute time dropped with a larger



Figure 10: Data stalls across frameworks

batch size, per epoch time remained same due to prep stalls. This graph makes an important point; as compute gets faster (either due to large batch sizes, or the GPU getting faster), data stalls squander the benefits due to fast compute.

**Redundant pre-processing in HP search results in high prep stalls.** During HP search, concurrent jobs process the same data. Currently, there is no coordination; if there are 8 HP jobs, the same data item is processed eight times. This is made worse by the fact that all HP jobs share the same set of CPU threads, leading to fewer CPU threads per GPU, and higher prep stalls. When 8 single-GPU ResNet18 HP jobs run on Config-SSD-V100, each job gets 3 CPU for prep and incurs a 50% prep stall as shown in Figure 6. Coordinating these HP search jobs on a single server can potentially eliminate prep stalls, as all available CPU (24 cores) can be used to prep the dataset exactly once per epoch and reused across jobs (Figure 5 shows ResNet18 requires 12 CPUs per GPU to eliminate prep stalls).

4.3.4 **Data stalls exist across training frameworks**. To generalize our findings on data stalls across different training platforms and data formats, we analyze the prep and fetch stalls in TensorFlow (TF) using the binary TFRecord format, and MxNet using RecordIO format. Unlike PyTorch, TF does not store training data as small individual raw files. Instead, it shuffles the small random files, serializes it, and stores them as a set of files (100-200MB each) called TFRecords. TFRecords make reads more sequential. MXNet also use a similar serializing technique for data called RecordIO [53].

Figure 10a shows that both native TF and MxNet spend 65% and 50% of the epoch time on prep stall for a 8-GPU ResNet18 training job when the dataset is entirely cached in memory. Next, Table 10b shows the percentage of misses in the Page Cache for a 8-GPU training job and the I/O amplification due to lack of coordination in HP search for varying cache sizes in TF. TFRecord format results in 40% higher cache misses than the ideal because, the sequential access nature of TFRecords (and RecordIO) is at odds with LRU cache replacement policy of the Page Cache, resulting in a pathological case for LRU (this is 20% higher than PyTorch). The lack of co-ordination in HP jobs results in upto 7.2× read amplification; although all jobs read the same 140 GiB dataset, the total disk I/O was 1.1 TB.

4.3.5 **Analysis of NLP Models**. In addition to vision and audio models in table 2, we evaluated data stalls on two language models; Bert-Large pretraining [26] on Wikipedia & BookCorpus dataset [80] for language modeling and GNMT [74] on WMT16 [17] (EN-De) dataset for translation, which do not exhibit data stalls. Language models have a distinct pre-processing regime compared to vision-based models. Text-based models pre-process and shuffle the data corpus *once* before training, and then reuse it every epoch. There is no online, random, heavy-weight pre-processing performed in every epoch unlike vision models. Therefore, the language models do not exhibit data stalls in our training environment. However, data stalls may show up in these models if GPUs get faster or the computation requirements for these models gets lower due to compact model representations.

#### 5 DS-ANALYZER: PREDICTIVE ANALYSIS

While all the experiments that vary cache size, and the number of CPU cores in §4.3 are run on physical servers, we extend DS-Analyzer to help a user simulate these experiments without having to run all different configurations on physical servers. While there exists prior work that predict the performance of a DNN, they focus on profiling the layer-wise performance of DNN [4, 13], low level perf counters for accelerators [12, 36], or finding optimization opportunities at the neural network layer level [78]. In contrast, DS-Analyzer analyzes the implication of CPU, memory, and storage on the performance of a DNN and answers what-if questions.

This is a powerful means of analyzing whether throwing more hardware at the problem will solve the issue of data stalls. For instance, if training is dominated by fetch stalls (bottlenecked on disk bandwidth), then increasing the number of CPU cores on the machine has no benefit; either DRAM capacity has to be increased, or the disk must be replaced with a higher bandwidth one. Similarly, if the training job is bottlenecked on prep, then increasing DRAM has no effect on training time. DS-Analyzer is useful in scenarios like this, to predict the performance of a model as we scale up CPU, memory, or storage. However, DS-Analyzer needs to be run atleast once for every new GPU and DNN architecture to collect the required information, and predicts the impact of varying auxiliary resources like CPU, memory, or storage on data stalls.

**Estimating data stalls**. Consider the different components involved in a typical DNN data pipeline as in Figure 1; data is fetched from cache (and store) with an effective prefetch rate *F*, pre-processed at the CPU at a rate *P* and processed at the GPU at a rate *G*. To



Figure 11: Estimating optimal cache size with DS-Analyzer.

perform predictive analysis, DS-Analyzer measures several metrics related to the data pipeline of the model; the maximum ingestion rate at the GPU (G), the rate of CPU prep (P), the rate of cache fetch (C), and the rate of storage fetch (S), by training for a fixed number of iterations (default:100) using the differential technique discussed in §4.2. More details on how DS-Analyzer measures these quantities is precisely mentioned in our Technical Report [55]. Using these metrics, DS-Analyzer models the training iteration to answer what-if questions such as, how much DRAM cache is required for this model to eliminate fetch stalls?

#### 5.1 Example : Predicting optimal cache size

We now describe an example of what-if analysis with DS-Analyzer. We show how DS-Analyzer answers the question : *how much DRAM cache does the DNN need to eliminate fetch stalls?* To predict the implication of cache size, DS-Analyzer calculates the effective prefectch rate (F) for a given cache size (x % of the dataset). Here, we assume that the cache implements an efficient policy like MinIO; i.e., a cache of size x items has atleast x hits per epoch. F is computed as follows. Say the size of the dataset is D samples, and cache is x% of the dataset. Therefore, in an epoch, the total time to read the dataset is given by

$$T_f = \frac{D \times x}{C} + \frac{D \times (1-x)}{S} \tag{1}$$

The fetch rate is then calculated as,

$$F = \frac{D}{T_f} = \frac{D}{\frac{D \times x}{C} + \frac{D \times (1-x)}{S}}$$
(2)

Since C >> S,  $F \propto \frac{1}{1-x}$ , i.e, the effective fetch rate increases, as the number of uncached items per epoch decreases. Since DS-Analyzer has already estimated values of *D*, *C*, and *S*, given a cache percentage *x*, DS-Analyzer can predict the fetch rate using Eq (2).

To evaluate how accurately DS-Analyzer can answer this question, we run the actual experiment by varying cache size on a physical server (empirical), and comparing it to the predictions of DS-Analyzer for AlexNet on Config-SSD-V100 with Imagenet-1K. Figure 11 plots the predicted values of *F*, *P*, and *G*, alongside empirical speed while varying cache size. First, we observe that the predicted training speed (min(F, P, G)) is a maximum of 4% off the empirical results. Second, using these predictions, DS-Analyzer can estimate the optimal cache size for the model by comparing it with prep rate (P) and GPU ingestion rate (G). To eliminate fetch stalls, F > min(P, G) as shown by the intersection in Figure 11. At lower cache sizes, training is I/O bound, however, a cache that is 50% of the dataset size is sufficient to eliminate fetch stalls; larger cache (more DRAM) is not beneficial beyond this point, as training



Figure 12: Cache hits with MinIO. Cache activity for two "epochs" of training for page cache and MinIO.

becomes CPU-bound. A comprehensive list of data pipeline rates (G, P, F) for several models, datasets, and configurations is in the Appendix of our Technical Report [55].

#### 6 MITIGATING DATA STALLS

Based on the insights from our analysis, we explore ways of mitigating data stalls using domain specific techniques that reduces cache misses, and eliminates redundancy in data fetch and prep. We further discuss how to reduce the cost of decoding in §8.

Technique	Impact	Benefits
MinIO Cache	DNN-aware caching to minimize IO by reducing cache misses per epoch ( §6.1)	Single-server training
Partitioned MinIO Cache	Eliminate redundant fetch by coordinating remote MinIO caches ( §6.2)	Distributed training
Coordinated Prep		

#### 6.1 The MinIO cache

As datasets increase in size, they cannot be cached entirely in the memory of a server during training. In such cases, DNNs suffer from fetch stalls if the rate of data fetch is lower than the rate of compute (despite prefetching and pipelining data fetch with compute) as discussed in (§4.3). Note that, when fetch stalls occur, training is bottlenecked by the bandwidth of storage device, therefore it is crucial to minimize I/O by maximizing cache hits every epoch.

DNN training frameworks today, rely on the OS Page Cache to cache the training dataset. However, we tap on the piercing insight of Stonebraker *et al.*'s pioneering work on database caching [69], that *the abstractions provided by OS can hinder the development of efficient databases*, and validate it in the context of DNN workloads. Therefore, we study the DNN data access pattern to design a domain-specific cache MinIO.

OS Page Cache works as follows; whenever a data item is read from storage, it is cached in the Page Cache to speed up future accesses. When the Page Cache reaches its capacity, a *cache replacement policy* decides which of the existing items to evict to make space for the new one. Linux uses a variant of Least Recently Used (LRU) for cache replacement [28].

However, DNN training has a unique data access pattern: it is *repetitive across epochs* and *random within an epoch*. Training is split into epochs: each epoch accesses all the data items in the dataset exactly once in a random order. We make a key observation about the DNN access pattern that is at odds with such OS cache replacement policies. *All* data items in the dataset have equal probability of access in an epoch. Therefore, it is *not important* which data item

is cached. Instead, it is crucial that cached items are not replaced *before* they are used, to minimize storage I/O per epoch.

Therefore, MinIO recommends a simple and unintuitive solution; *items, once cached, are never replaced* in the DNN cache. MinIO works as follows. In the first epoch of the training job, MinIO caches random data items as they are fetched from storage, to populate the cache. Once the cache capacity is reached, MinIO will not evict any items in the cache; instead, the requests to other data items default to storage accesses. The items in the MinIO cache survive across epochs until the end of the training job. Every epoch beyond the first gets exactly as many hits as the number of items in the cache; this reduces the per-epoch disk I/O to the difference in the size of dataset and the cache.

Figure 12 contrasts the caching policy of the OS Page Cache and MinIO. Consider a dataset of size 4 (with items A – D) and a cache of size 2 (50% cache). Let's say after warmup, the cache has two items D and B. Figure 12 shows the state of the cache for two training epochs. MinIO only incurs capacity misses per epoch (here 2); the Page Cache on the other hand, can result in anywhere between 2-4 misses per epoch because of thrashing. For instance, in the first epoch, D is in the cache to begin with, but kicked out to make way for a new item C, and later in the same epoch it is requested again (thrashing). We empirically verified this using large datasets and varying cache sizes (§7) and found that Page Cache results in close to 20% more misses than MinIO due to thrashing.

MinIO's no replacement policy simplifies the design of the cache as we do not need bookkeeping about the time or frequency of access of data items. Moreover, we choose to implement MinIO in user-space and not as a new replacement policy in the kernel, making it flexible to use in scenarios where the user has no root privileges to modify the kernel. The strength of MinIO thus lies in its simplicity and effectiveness.

#### 6.2 Partitioned MinIO Caching

MinIO reduces the amount of disk I/O (fetch stalls) in single-server training. In distributed training, the dataset is partitioned and processed by a group of servers. Each server operates on a random shard of the dataset per epoch, and this partition changes every epoch (§2.1). The MinIO cache alone, is not efficient in this setting. For example, consider a distributed training job across two servers, each of which can cache 50% of the dataset. In every epoch, each server has to process a random 50% partition of the dataset, some of which may be hits in the local MinIO cache but the misses result in storage I/O, which is expensive and results in fetch stalls.

We observe that the cross-node network bandwidth in publicly available cloud GPU instances and our clusters (10-40 Gbps) is upto  $4 \times$  higher than the read bandwidth of local SATA SSDs (530 MBps). Data transfer over commodity TCP stack is much faster than fetching a data item from its local storage, on a cache miss. Therefore, we can coordinate the remote MinIO caches across all servers.

Partitioned MinIO caching works as follows. In the first epoch, the dataset is sharded across all servers, and each server populates it's local MinIO cache with data items in the shard assigned to it. At the end of the first epoch, we collectively cache a part of the dataset of size equal to the sum of capacities of individual MinIO caches. To route data fetch requests to the appropriate server, we maintain metadata about data items present in each server's cache. Whenever a local cache miss happens in the subsequent epoch at any server, the item is first looked up in the metadata; if present, it is fetched from the respective server over TCP, else from its local storage. If the aggregate memory on the participating servers is large enough to cache the entire dataset, then partitioned caching ensures that there is no storage I/O on any server beyond the first epoch; the entire dataset is fetched exactly once from disk in the duration of distributed training.

## 6.3 Coordinated Prep

Hyperparameter (HP) search for a model involves running several concurrent training jobs, each with a different value for the HP and picking the best performing one. Our analysis shows that colocating HP search jobs on the same server results in both fetch and prep stalls (§4) due to lack of coordination in data fetch and prep among these jobs.

We introduce *coordinated prep* to address this issue. The idea behing coordinated prep is simple. Each job in the HP search operates on the same data; hence, instead of accessing data independently for each job, they can be coordinated to fetch and prep the dataset exactly once per epoch. Each epoch is completed in a synchronized fashion by all HP jobs; as a result, pre-processed minibatches created by one job can be reused by all concurrent jobs.

Coordinating HP search jobs must be done carefully to ensure that: *each job processes the entire dataset exactly once per epoch.* A naive way of doing this is to pre-process the dataset once and reuse across all HP jobs and all epochs as suggested by prior work [42, 56]. This approach will not work for two reasons. First, reusing pre-processed data across epochs may result in lower accuracy, as the random transformations are crucial for learning. Second, the pre-processed items are  $5-7\times$  larger in size when compared to the raw data items. Caching pre-processed items will overflow the system memory capacity quickly for large datasets. If we store them on storage, we may incur fetch stalls.

Coordinated prep addresses these challenges by staging preprocessed minibatches in memory for a short duration *within an epoch*. Since each job has identical per-minibatch processing time, the minibatch is short lived in the staging area. Coordinated prep works as follows.

Each HP search job on a server receives a random shard of the dataset when they start. Each job fetches and pre-processes the assigned shard, creating minibatches as they would normally do. When ready, these minibatches are exposed to the other jobs in the cross-job staging area. This is a memory region that is accessible to all running jobs on the server. Additionally, each minibatch has a unique ID and an associated atomic counter that tracks how many jobs have used this minibatch so far in the current epoch. When a job needs a minibatch for GPU processing, it retrieves it from the staging area and updates its usage counter. A minibatch is deleted from the staging area when it is used exactly once by all running jobs, as we want to ensure that it is not used across epochs. We empirically show in §7 that the addition of cross-job staging area does not introduce additional memory overhead.

## 6.4 Tying it all together with CoorDL

We implement the three techniques discussed thus far as a part of a user-space data loading library, CoorDL. We build CoorDL on top of DALI to take advantage of the GPU-accelerated data pre-processing operations. CoorDL can be used as a drop-in replacement for the default PyTorch dataloader.

The overall architecture of CoorDL is as follows. The training dataset resides on a local storage device like SSD/HDD. If the data resides on a remote storage service, it is cached in local storage when first accessed [3]. For all later epochs, the data is fetched from local storage. In each training iteration, a minibatch of data must be fetched from disk (or cache), pre-processed to apply random transformations and collated to a tensor that can be copied over to the GPU for computation. CoorDL manages its own MinIO cache of the raw data items (before any stochastic pre-processing transformations are applied). The data sampling and randomization is unmodified; in each epoch, every minibatch is sampled randomly from the dataset. Every data item is then subjected to the random pre-processing pipeline specified in the training workload. The prepared minibatch is then placed in a cross-job staging area for consumption by the GPU. If a single data-parallel job is running across multiple GPUs in a server, then the minibatches in the staging are used exactly once per epoch and discarded; if there are concurrent HP jobs on a server, then the staging area retains minibatches until each concurrent job has used it exactly once in the current epoch. Any minibatch that satisfies this criteria is evicted from the staging area to make way for newer batches.

# 7 EVALUATION

We now evaluate the efficacy of CoorDL on three different aspects of the training process: multi-GPU training on a single server, distributed training across multiple servers, and hyperparameter tuning. We evaluate our techniques on **nine** models, performing **three** different ML tasks (image classification, object detection and audio classification) on four different datasets, each over 500GB as shown in Table 2. Since DALI strictly outperforms PyTorch DL, we use DALI as the baseline in our experiments. For each model, we run both CPU-based (all pre-processing on CPU) and GPU-based (part of decoding and all other transformations on GPU) mode of DALI, and present the best of the two results.

**Experimental setup**. We evaluate CoorDL on two representative server configurations from Microsoft clusters as described in Table 3. Config-SSD-V100 uses V100 GPUs and a SATA SSD, while Config-HDD-1080Ti uses 1080Ti GPUs and a magnetic hard drive. We use the same training methodology we used for analysis (§4.1). We seek to answer the following questions:

How does the MinIO cache affect multi-GPU training on a server?	
How does partitioned caching improve training time for jobs dis-	
tributed across multiple servers?	
How does coordinated prep benefit HP search?	
Does CoorDL affect DNN training accuracy?	
Does CoorDL enable better resource utilization compared to DALI?	
Does CoorDL accelerate training on ML servers like the DGX-2?	

# 7.1 Single-server Multi-GPU training

CoorDL speeds up a single-server training job by reducing cache misses using the MinIO cache. Figure 13 (a) plots the relative speedup with respect to DALI while training the image classification and object detection models on the OpenImages dataset, and



Figure 13: This graph compares DALI against CoorDL for a variety of training scenarios; single server, multi-server and HP search, across 2 clusters and 9 models. CoorDL significantly accelerates training by eliminating redundant data fetch and prep.

audio classification on FMA dataset. We evaluate MinIO against two modes of DALI. DALI's default mode is *DALI-seq*, where it reads data sequentially off storage and shuffles them in memory [5]. *DALI-shuffle* accesses the dataset in a randomized order (doing random reads, similar to the native dataloader of PyTorch).

MinIO results in upto 1.8× higher training speed compared to DALI-seq by eliminating thrashing on Config-SSD-V100. When the image classification models are trained with ImageNet-22k dataset, CoorDL results in up to 1.5× speedup. CoorDL accelerates ResNet50 training on OpenImages by 2.1× compared to DALI-seq and 1.53× compared to DALI-shuffle on Config-HDD-1080Ti.

**Reduction in cache misses**. We measure the disk I/O and number of cache misses when training ShuffleNet on OpenImages dataset on Config-SSD-V100. This server can cache 65% of the dataset. CoorDL reduces misses to the minimum number of 35%, resulting in 225 GB of I/O. In contrast, DALI-Seq results in 66% cache misses, increasing I/O by 87% to 422 GB; DALI-shuffle results in 53% cache misses, increasing I/O by 50% compared to CoorDL to 340 GB.

Note that, when the whole dataset does not fit in memory, DALIshuffle performs better than DALI-seq (because sequential access is a pathological case for the Linux LRU page cache). Therefore, our evaluation in the rest of this section compares CoorDL to the stronger baseline, DALI-shuffle.

#### 7.2 Multi-Server Distributed Training

We now evaluate CoorDL on a distributed training scenario. The lack of cache co-ordination between the participating servers results in fetch misses that lead to disk I/O. CoorDL uses partitioned caching to avoid redundant I/O. Figure 13(b) shows that CoorDL improves the throughput of distributed training jobs by upto 15× (AlexNet on OpenImages) when trained across two servers on Config-HDD-1080Ti (16 GPUs). On Config-HDD-1080Ti servers, 65% of the OpenImages dataset can be cached on a single server; and it can be fully cached in the aggregated memory of two servers. Therefore, CoorDL moves the training job from being I/O bound to GPU bound. When trained across two servers on Config-SSD-V100, CoorDL accelerates ShuffleNet on ImageNet-22k by 1.3×, and Audio-M5 on FMA by 2.9×. On Config-SSD-V100, the relative gains are lower because the cost of a fetch miss is lower on SSDs due to its high random read throughput, as compared to HDDs on Config-HDD-1080Ti.

#### 7.3 Hyperparameter Search

Figure 13 (d) plots the relative increase in throughput of individual jobs across several models when eight concurrent HP search jobs are run on a Config-SSD-V100 server. On less computationally complex models like AlexNet and ShuffleNet, CoorDL increases



training speed by 3×, because these models are originally CPU bound due to prep. For the audio model, CoorDL increases the training speed by 5.6×. CoorDL reduced the total disk I/O from 3.5TB to 550GB. Similarly, on Config-HDD-1080Ti, CoorDL results in 5.3× faster training on the audio model, and 4.5× faster training on ResNet50. On Config-HDD-1080Ti, CoorDL results in 5.3× faster training on the audio model, and 4.5× faster training on ResNet50 by coordinating data fetch and prep.

**Split of coordinated prep benefits**. Next, we show the breakdown of speedup due to coordination of data fetch and prep during HP search. When fetch is coordinated, concurrent jobs use data fetched by other jobs; but each job performs its own data prep. CoorDL coordinates both; eliminating redundant fetch and prep. Figure 13 (e) plots the results on Config-SSD-V100. In this case, data stall is dominated by prep, which CoorDL mitigates unlike prior work like Quiver [44] that only coordinates fetch.

**Multi-GPU HP search jobs**. Figure 13 (f) shows training with different configs of HP search jobs on a machine; 8 1-GPU jobs, 4 2-GPU jobs, 2 4-GPU jobs, or 1 8-GPU job for AlexNet on OpenImages. For a single job case, the benefit is due to the MinIO cache; in other configs, it is due to coordinated prep. More the number of concurrent jobs, higher the benefit with coordination.

#### 7.4 Training to Accuracy with CoorDL

CoorDL does not change the randomness of data pre-processing involved. Its techniques do not affect the learning algorithm. To demonstrate this, we train ResNet50 to accuracy on ImageNet-1K using 16 GPUs across two Config-HDD-1080Ti servers, where each server is capable of caching 50% of the dataset. Figure 14 shows that CoorDL reduces the time to target accuracy (75.9%) from two days to just 12 hours (4× better), due to partitioned caching.

#### 7.5 Resource Utilization

**MinIO results in lower disk I/O and better CPU utilization**. Figure 15 shows the I/O for two epochs of training ResNet18 on OpenImages on Config-SSD-V100. The I/O behavior is similar across models and server configurations. DALI observes cache hits at the beginning of the epoch, but soon becomes I/O bound.

Since MinIO is caching a random subset of the dataset, cache hits are uniformly distributed across the epoch in CoorDL. This results in a predictable I/O access pattern and faster training (epochs end earlier in Figure 15). Profiling the CPU during training shows that the prep threads in DALI are often stalled waiting for I/O. Since MinIO reduces the total disk I/O, CoorDL is able to better utilize the CPU for pre-processing. The combination of lower disk I/O and better CPU utilization leads to shorter training time in CoorDL. **CoorDL uses a fraction of available network bandwidth**. CoorDL shards the dataset equally among all servers in distributed training to ensure load balancing. We track the network activity during the distributed training for ResNet50 on OpenImages across two, three, and four servers with DALI and CoorDL. CoorDL used 5.7 Gbps per server of network bandwidth (14% of the 40 Gbps available). DALI used 1.18 Gbps of network bandwidth per server. CoorDL used 4.8× higher network bandwidth to train 4.3× faster.

**Coordinated prep has low memory overhead**. We track the memory utilization of running hyperparameter search on AlexNet on OpenImages on Config-SSD-V100 using eight concurrent jobs. CoorDL uses 5 GB of extra process memory to store prepared minibatches in memory until all hyperparameter jobs consume it. We reduce the cache space given to CoorDL by 5 GB (keeping the total memory same for CoorDL and DALI). Despite the lower cache space, CoorDL still accelerated training by 2.9×.

## 7.6 CoorDL on DGX-2

We now compare CoorDL against DALI on the bleeding-edge ML optimized server DGX-2 while performing HP search across 16 GPUs using OpenImages dataset. Since this dataset can be fully cached in the memory of DGX-2 (1.5TB DRAM), we observe no stalls due to data fetch beyond the first epoch. However, the imbalance in the ratio of CPU-GPU results in prep stalls which CoorDL mitigates by coordinating pre-processing. CoorDL accelerates HP search by  $1.5 \times -2.5 \times$  over DALI by eliminating redundant data prep, enabling efficient usage of CPU to mask prep stalls.

#### 8 DISCUSSION

Our analysis of data stalls reveals several key insights to utilize the computational capabilities of GPUs by minimizing data stalls. While we explore ways of mitigating data stalls in a user-space library CoorDL, we believe there is more to be done.

**Decoded cache to reduce pre-processing overhead**. CoorDL does not address the high cost of decoding/decompressing raw images, which our analysis identifies to be the most expensive operation during data prep. A future direction is to evaluate the benefits of caching decoded data items instead of the current approach of caching raw encoded items. Since decoding is deterministic, it is possible to cache it across epochs. However, this is non trivial; decoding increases the dataset size by  $5 - 7 \times$ . It is an interesting future direction to enable decoded caching without incurring the high space overhead, possibly using serialized data formats.

Automatic prep offload to GPUs. Data pipelining frameworks like DALI have the ability to perform certain image and audio based pre-processing (prep) such as crop, flip, and other transformations on GPU accelerators. However, there is a memory-performance tradeoff in deciding how many steps of prep are offloaded to the GPU for two reasons. (1) Performing prep at the GPU takes up a part of the already scarce GPU memory which may result in training with lower batch sizes, thereby affecting training efficiency. (2) Prep at the GPU may interfere with the computations performed by the learning algorithm; this adversely affects the overall throughput of training for computationally expensive and deeper networks. Therefore, the split of prep operations must be carefully chosen considering the model's architecture, batch size, and data stalls. While this split is determined manually by trial-and-error today, automating it with a careful eye on GPU and CPU utilization is an interesting future direction.

**Minibatch as a service**. Our analysis shows that the imbalance in CPU cores per GPU in ML optimized servers result in data stalls for several models. In such cases where single-host capacities are maxed out, a viable approach is to offload data prep to other idle host machines in a cluster. This is especially useful in production clusters with high-bandwidth ethernet, where several jobs use the same dataset and similar pre-processing pipelines; a dedicated set of servers can be used to centrally pre-process minibatches of data, while the training jobs can request minibatch as a service, thereby entirely disaggregating learning from data management.

**Cost-peformance tradeoff of upgrading hardware**. Our analysis finds that data stalls squander away the improved performance of faster, expensive GPUs, resulting in lower value/\$ spent as shown in Figure 8 (b). Therefore, it may be economical to train some models on slower, less expensive GPUs with no data stalls, rather on underutilizing the accelerator capabilities due to stalls on faster, expensive GPUs. In practice, such techniques may improve the overall efficiency in multi-tenant clusters by assigning jobs to accelerators in such a way that they maximize GPU utilization.

**Data stalls in inference**. This work addresses data stalls in the training pipeline which have three distinct features from inference. (1) Training requires a large volume of data samples, (2) performs a larger set of data prep for every batch, and (3) requires backpropagation during the learning phase. While inference jobs require fewer prep steps per sample or batch, it also performs lesser GPU computation compared to training. Moreover, the limited memory and compute availability at edge devices may also introduce data stalls in inference. We hope our analysis encourages similar research and possibly unique optimizations in inference land.

**Trade-off between convergence rate and epoch time for other SGD variants**. This work focuses on the most common case of mini-batch SGD with a random shuffling of the data in every epoch which is the default for the models we analyzed. A future direction is to understand the impact of relaxing the ETL requirements assumed in this work (such as random prep and shuffling every epoch) on epoch time and model convergence. Although relaxing these constraints may reduce data stalls and hence epoch time, it may prolong convergence, or affect the accuracy of some models. It is worth investigating this behavior theoretically and empirically.

#### **9 RELATED WORK**

To the best of our knowledge, this paper presents the first comprehensive analysis of data stalls in DNN training. **Optimizing remote storage via local caching**. Quiver [44] uses local SSD caches to eliminate the impact of slow reads from remote storage. The best case for Quiver is when the dataset is completely cached on local storage; our system starts from this baseline and further improves performance. Quiver does not consider or optimize prep stalls, only handling fetch stalls.

**Partitioned caching**. Cerebro [56] uses a new parallel SGD strategy for model selection tasks. It partitions the dataset across the servers in a cluster and hops the models from one server to other, instead of shuffling data. Cerebro does not improve DNN training on a single server, while CoorDL optimizes performance in this scenario. Furthermore, Cerebro is designed specifically for distributed model search; on the contrary, our analysis and CoorDL have a broader scope. DeepIO [79] uses a partitioned caching technique for distributed training with remote data, but relies on specialized hardware like RDMA. In contrast, our work shows that it is possible to mask fetch stalls using commodity TCP stacks.

**Redundancy in DNN training**. Prior work like Model Batching [58] addressed redundancy in model search when running multiple DNNs together on a single GPU, by sharing GPU computation across jobs. Our analysis looks at the setting where GPUs are not shared between jobs. OneAccess [42] is a preliminary study that makes a strong case for storing pre-processed data across epochs to reduce prep stalls; however such an approach precludes commonly used online data prep techniques and this can affect model convergence. In contrast, CoorDL carefully eliminates redundancy while preserving accuracy and providing significant speedups.

**Domain specific caching**. The idea of designing a caching policy that is aware of application semantics is not new. Stonebraker *et al.* highlighted the importance of domain-aware caching for databases [69]. Tomkins *et al.* show that informed prefetching and caching in file systems can reduce the execution time of I/O-intensive applications [71]. Our work draws parallels to such techniques by first understanding DNN access pattern and then devising a caching policy based on these observations.

## **10 CONCLUSION**

We present the first detailed study of data stalls in several DNNs, and show that it accounts for up to 65% of the training time. The insights from our study, guide the design of a coordinated caching and preprocessing library, CoorDL, that can accelerate DNN training by mitigating data stalls. CoorDL accelerates training by up to 15× for distributed training across two servers, and  $5.3\times$  for HP search (on the audio model).The techniques behind CoorDL are simple and intuitive, easing adoption into production systems.

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