CodeGRAG: Bridging the Gap between Natural Language and Programming Language via Graphical Retrieval Augmented Generation

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Abstract

 Utilizing large language models to generate codes has shown promising meaning in soft- ware development revolution. Despite the in- telligence shown by the general large language models, their specificity in code generation can still be improved due to the syntactic gap and mismatched vocabulary existing among natu- ral language and different programming lan- guages. In this paper, we propose CodeGRAG, a Graphical Retrieval Augmented Code Gener- ation framework to enhance the performance of LLMs. CodeGRAG builds the graphical view of code blocks based on the control flow and data flow of them to fill the gap between programming languages and natural language, **which can facilitate natural language based** LLMs for better understanding of code syn- tax and serve as a bridge among different pro- gramming languages. To take the extracted 020 structural knowledge into the foundation mod- els, we propose 1) a hard meta-graph prompt template to transform the challenging graphi- cal representation into informative knowledge for tuning-free models and 2) a soft prompting technique that injects the domain knowledge of **programming languages into the model param-** eters via finetuning the models with the help of a pretrained GNN expert model. CodeGRAG significantly improves the code generation abil- ity of LLMs and can even offer performance gain for cross-lingual code generation.

032 1 Introduction

 In recent years, large language models (LLMs) [\(Achiam et al.,](#page-8-0) [2023;](#page-8-0) [Touvron et al.,](#page-9-0) [2023a\)](#page-9-0) have shown great impact in various domains. Automated code generation emerges as a captivating frontier [\(Zheng et al.,](#page-9-1) [2023;](#page-9-1) [Roziere et al.,](#page-9-2) [2023;](#page-9-2) [Shen et al.,](#page-9-3) [2023\)](#page-9-3), promising to revolutionize software develop- ment by enabling machines to write and optimize code with minimal human intervention.

041 However, syntatic gap and mismatched vocabu-**042** lary among between natural language and program-

Figure 1: Illustration of the gap between the programming language and the natural language.

ming languages, hindering LLM's performance on **043** code generation. As illustrated in Figure [1,](#page-0-0) pro- **044** gramming language (marked in blue) contains spe- **045** cial tokens such as "int" or "++" that natural lan- **046** guage (marked in yellow) doesn't possess, leading **047** to vocabulary mismatch. Besides, the relations be- **048** tween tokens in programming languages are often **049** structural, e.g., the complex branching and jumps, **050** whereas natural language is arranged simply in **051** sequential manner, leading to syntactic gap. For **052** example, in the control flow graph of the raw code **053** (marked in pink), two "if" blocks (marked in pur- **054** ple) are adjacent and are executed sequentially un- **055** der certain condition, but they appear to be inter- **056** valed in raw textual code. 057

As discussed above, the innate structures of pro- **058** gramming languages are different from that of the **059** sequential-based natural language. The challenges **060** of enhancing a general-purposed large language **061** models for code-related tasks can be summarized **062** into two folds. **063**

(C1) How to solve the gap between different **064** languages and better interpret the inherent logic **065** of code blocks. Code, unlike natural language, **066** possesses a well-defined structure that governs its **067** syntax and semantics. This structure provides valu- **068** able information about the relationships between **069** different parts of the code, the flow of execution, **070**

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 [a](#page-8-1)nd the overall organization of the functions [\(Jiang](#page-8-1) [et al.,](#page-8-1) [2021;](#page-8-1) [Guo et al.,](#page-8-2) [2020\)](#page-8-2). General-purpose LLMs regard a code block as a sequence of tokens. By ignoring the inherent structure of codes, they miss out on essential cues that could help them better understand and generate code. In addition, the multi-lingual code generation abilities of LLMs is challenging due to the gap among different pro-gramming languages.

 (C2) How to inject the innate knowledge of pro- gramming languages into general purpose large lan- guage models for enhancement. Despite the well representation of the programming knowledge, the ways to inject the knowledge into the NL-based foundation models is also challenging. The struc- tural representation of code blocks could be hard to understand, which poses a challenge to the capa-bility of the foundation models.

 To solve the above challenges, we propose Code- GRAG, a graphical retrieval augmented generation framework for code generation. For (C1), we pro- pose to interpret the code blocks using the com- posed graph based on the data-flow and control- flow of the code block, which extracts both the semantic level and the logical level information of the code. The composed graphical view could 097 1) better capture the innate structural knowledge of codes for NL-based language models to under- stand and 2) model the innate function of code blocks that bridging different programming lan- guages. For (C2), we propose a meta-graph prompt- ing technique for tuning-free models and a soft- prompting technique for tuned models. The meta- graph prompt summarizes the overall information of the extracted graphical view and transforms the challenging and noisy graphical representation into informative knowledge. The soft-prompting tech- nique deals with the graphical view of codes with a pretrained GNN expert network and inject the pro- cessed knowledge embedding into the parameters of the general-purpose foundation models with the help of supervised finetuning.

113 The main contributions of the paper can be sum-**114** marized as follows:

 • We propose CodeGRAG that bridges the gap among natural language and programming lan- guages, transfers knowledge among different programming languages, and enhances the ability of LLMs for code generation. Code- GRAG requires only one calling of LLMs and can offer multi-lingual enhancement.

- We propose an effective graphical view to pu- **122** rify the semantic and logic knowledge from **123** the code space, which offers more useful in- **124** formation than the raw code block and can **125** summarize the cross-lingual knowledge. **126**
- We propose an effective soft prompting tech- **127** nique, which injects the domain knowledge of **128** programming languages into the model param- **129** eters via finetuning LLMs with the assistance **130** of a pretrained GNN expert model. **131**

2 Related Work **¹³²**

LLMs for NL2Code. The evolution of the Natural **133** Language to Code translation (NL2Code) task has **134** been significantly influenced by the development **135** of large language models (LLMs). Initially, gen- **136** eral LLMs like GPT-J [\(Radford et al.,](#page-9-4) [2023\)](#page-9-4), GPT- **137** [N](#page-9-0)eoX [\(Black et al.,](#page-8-3) [2022\)](#page-8-3), and LLaMA [\(Touvron](#page-9-0) **138** [et al.,](#page-9-0) [2023a\)](#page-9-0), despite not being specifically tailored **139** for code generation, showed notable NL2Code ca- **140** pabilities due to their training on datasets contain- **141** ing extensive code data like the Pile [\(Gao et al.,](#page-8-4) **142** [2020\)](#page-8-4) and ROOTS [\(Laurençon et al.,](#page-8-5) [2022\)](#page-8-5). To **143** further enhance these capabilities, additional pre- **144** training specifically focused on code has been em- **145** ployed. PaLM-Coder, an adaptation of the PaLM **146** model [\(Chowdhery et al.,](#page-8-6) [2023\)](#page-8-6), underwent further 147 training on an extra 7.8 billion code tokens, signifi- **148** cantly improving its performance in code-related **149** tasks. Similarly, Code LLaMA [\(Roziere et al.,](#page-9-2) **150** [2023\)](#page-9-2) represents an advancement of LLaMA2 [\(Tou-](#page-9-5) **151** [vron et al.,](#page-9-5) [2023b\)](#page-9-5), benefiting from extended train- **152** ing on over 500 billion code tokens, leading to **153** marked improvements over previous models in **154** both code generation and understanding. These **155** developments underscore the potential of adapting **156** generalist LLMs to specific domains like NL2Code **157** through targeted training, leading to more effective **158** and efficient code translation solutions. **159**

Code Search. The code search methods can be **160** summarized into three folds. Early methods uti-

¹⁶¹ lizes sparse search to match the query and codes **162** [\(Hill et al.,](#page-8-7) [2011;](#page-8-7) [Yang and Huang,](#page-9-6) [2017\)](#page-9-6), which **163** suffers from mismatched vocabulary due to the 164 gap between natural language and codes. Neural **165** methods [\(Cambronero et al.,](#page-8-8) [2019;](#page-8-8) [Gu et al.,](#page-8-9) [2021\)](#page-8-9) **166** then focus on mapping the query and codes into **167** a joint representation space for more accurate re- **168** trieval. With the success of pretrained language **169** models, many methods propose to use pretraining **170** tasks to improve the code understanding abilities **171**

Bottem: Hard prompt with Meta-Graph)

Figure 2: The illustration of the overall process of CodeGRAG.

 and align different language spaces. For example, CodeBERT [\(Feng et al.,](#page-8-10) [2020\)](#page-8-10) is pretrained on NL-PL pairs of 6 programming languages with the masked language modeling and replaced token de- tection task. CodeT5 [\(Wang et al.,](#page-9-7) [2021\)](#page-9-7) supports both code-related understanding and generation tasks through bimodal dual generation. UniXcoder [\(Guo et al.,](#page-8-11) [2022\)](#page-8-11) integrates the aforementioned pretraining tasks, which is a unified cross-modal pre-trained model.

 Code Representation. Early methods regard code snippets as sequences of tokens, assuming the ad- jacent tokens will have strong correlations. This line of methods [\(Harer et al.,](#page-8-12) [2018;](#page-8-12) [Ben-Nun et al.,](#page-8-13) [2018;](#page-8-13) [Feng et al.,](#page-8-10) [2020;](#page-8-10) [Ciniselli et al.,](#page-8-14) [2021\)](#page-8-14) take programming languages as the same with the nat- ural language, using language models to encode the code snippets too. However, this ignoring of the inherent structure of codes leads to a loss of expressiveness. Methods that take the structural in- formation of codes into consideration then emerge. [Mou et al.](#page-9-8) [\(2016\)](#page-9-8) used convolution networks over the abstract syntax tree (AST) extracted from codes. [Alon et al.](#page-8-15) [\(2019\)](#page-8-15) encoded paths sampled from the AST to represent codes. Further exploration into [t](#page-8-16)he graphical representation of codes [\(Allamanis](#page-8-16) [et al.,](#page-8-16) [2017\)](#page-8-16) is conducted to better encode the struc- tures of codes, where more intermediate states of the codes are considered.

²⁰¹ 3 Methodology

202 3.1 Overview

203 In this paper, we leverage both generative models **204** and retrieval models to produce results that are both coherent and informed by the expert graphical **205** knowledge of programming language. The overall **206** process of CodeGRAG is illustrated in Figure [2,](#page-2-0) **207** which mainly consists of three stages: graphical 208 knowledge base preparation, knowledge querying, **209** and graphical knowledge augmented generation. **210**

3.2 Graphical Knowledge Base Preparation **211**

In this section, we discuss how to extract informa- **212** tive graphical views for code blocks. We analyze **213** the syntax and control information of code blocks **214** and extract their graphical views to better repre- **215** sent the codes. This process can be formulated as, **216** $\forall c_i \in D_{\text{pool}}$: 217

$$
g_i \leftarrow \text{GraphExtractor}(c_i), \qquad (1) \qquad \qquad \text{218}
$$

$$
\mathsf{KB.append}(\langle c_i, g_i \rangle), \tag{2}
$$

where c_i is the raw code block and g_i is the corre- 220 sponding extracted graphical view. **221**

To capture both the semantic and the logical **222** information, we propose to combine the data flow **223** graph [\(Aho et al.,](#page-8-17) [2006\)](#page-8-17) and the control flow graph **224** [\(Allen,](#page-8-18) [1970\)](#page-8-18) with the read-write signals [\(Long](#page-9-9) **225** [et al.,](#page-9-9) [2022\)](#page-9-9) to represent the code blocks, both of **226** them are constructed on the base of the abstract **227** syntax tree. **228**

Abstract Syntax Tree (AST). An abstract syntax **229** tree (AST) is a tree data structure that represents **230** the abstract syntactic structure of source code. An **231** AST is constructed by a parser, which reads the **232** source code and creates a tree of nodes. Each node **233** in the tree represents a syntactic construct in the **234** source code, such as a statement, an expression, **235** or a declaration. ASTs are used in a variety of **236**

Figure 3: Illustration of the extracted composed syntax graph from the code block. The arrows in the bottom part indicate the names of different edges, which are extracted based on the ASTs.

 compiler construction and program analysis tasks, including: parsing, type checking, optimization, and program analysis. ASTs have good compact- ness and can represent the structure of the source code in a clear and concise way.

 Data Flow Graph (DFG). The data flow graph (DFG) is a graphical representation of the flow of data dependencies within a program. It is a directed graph that models how data is transformed and propagated through different parts of a program. In DFG, nodes are operands and edges indicate data flows. Two types of edges are considered: 1) opera- tion edges that connect the nodes to be operated and the nodes that receive the operation results; 2) func- tion edges that indicate data flows for function calls and returns. These edges connect nodes, including non-temporary operands and temporary operands, which refer to variables and constants that explic- itly exist in the source code, and variables existing only in execution, respectively.

 Control Flow Graph (CFG). The control flow graph (CFG) is a graphical representation of the flow of control or the sequence of execution within a program. It is a directed graph that models the control relationships between different parts of a program. Based on compiler principles, we slightly adjust the design of CFG to better capture the key information of the program. Nodes in CFG are operations in the source code, including standard operations, function calls and returns. Edges indi-cate the execution order of operations.

 Composed Syntax Graph. A composed syntax graph composes the data flow graph and the control flow graph with the read-write flow existing in the code blocks. An illustration of the extracted com-posed syntax graph is displayed in Figure [3.](#page-3-0) Different edge types along with their concrete names **273** are given in colors. As for the node names, the **274** middle figure displays the concrete types of nodes **275** (operands) and the right figure displays the proper- **276** ties of nodes. **277**

An illustration of the composed graphical view **278** is given in Figure [3.](#page-3-0) After obtaining the composed **279** syntax graphs for code blocks in the retrieval pool, **280** we use them to inform the general-purpose LLMs 281 to bridge the gap between NL and PLs, where both **282** the semantic level and the logic level information **283** are preserved. **284**

3.3 Knowledge Querying **285**

Given a target problem to be completed, we gen-
286 erate informative query of it and use it to retrieve **287** graphical knowledge from the constructed knowl- **288** edge base. The process can be formulated as: **289**

$$
q \leftarrow \text{QueryExtractor}(p), \tag{3}
$$

$$
i \xleftarrow{\text{Top-1}} \text{Retriever}(q, KB), \tag{4}
$$

where q denotes the query content, p denotes the **292** target problem, and i is the returned index of the 293 Top-1 relevant content stored in the constructed **294** knowledge base. **295**

The main problems of the retrieval lie in: 1) how **296** to design the informative query content and 2) how **297** to align the different modalities. **298**

3.3.1 Query Extractor **299**

Since the styles of different code problems can di- **300** versify, the query content of the retrieval process **301** matters. We consider the following contents: 1) 302 Problem description, which describes the task to 303 be completed by the target function code. Poten- **304** tial ambiguity and style diversity may exist among **305**

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306 different problems set, which lead to a decrease in **307** retrieval accuracy. 2) Function declaration, which **308** gives the function name and the input variables.

 Before knowledge querying, we first extract the problem description of each task to reduce the am- biguity and then concatenate it with the function declaration to serve as the query content, where the functionality and input format of the expected code block are contained.

315 3.3.2 Retriever

 The query of the retrieval includes problem de-317 scription Q_p and function description Q_c , while each content of the retrieval pool includes raw code **block** V_c and its graphical view V_a .

 To expressively represent the components, we 321 use the encoder $\phi(\cdot)$ of the pretrained NL2Code model to represent the problem description and code snippets. The retrieval function is:

$$
\mathbf{h}^{\mathbf{V}} = \phi(V_c||V_g),\tag{5}
$$

$$
\mathbf{h}^{\mathbf{Q}} = \phi(Q_p \| Q_c),\tag{6}
$$

$$
226 \t\t\t\tDistance = 1 - \frac{\mathbf{h}^{\mathbf{Q}} \cdot \mathbf{h}^{\mathbf{V}}}{\|\mathbf{h}^{\mathbf{Q}}\| \cdot \|\mathbf{h}^{\mathbf{V}}\|}. \t(7)
$$

327 3.4 Graphical Knowledge Augmented **328** Generation

 After we obtain the returned graphical view, we in- ject it to the foundation LLMs for graphical knowl- edge augmented generation. Since the graphical view is hard to understand, we propose 1) a meta- graph template to transform the graphical view into informative knowledge for tuning-free model and 2) a soft prompting technique to tune the founda- tion models for their better understanding of the graphical views with the assistance of an expert GNN model.

339 3.4.1 Hard Meta-Graph Prompt

 The original graphical view of a code block could contain hundreds of nodes and edges. A full de- scription of it could cost a overly long context, along with the understanding challenge posed by the long edge lists. Therefore, we propose to use a meta-graph template to abstract the information of the graphical view, which describes the number of different nodes, that of different edges, and the overall topology.

349 The template for the meta-graph is displayed as **350** below.

Then we use the meta-graph template to trans- **351** form the retrieved graphical view into digestable **352** knowledge and insert it into the final prompt for **353** generation. As illustrated in Figure [4,](#page-4-0) the final **354** prompt consists of three components: the system **355** prompt illustrated in the blue part, the retrieved **356** knowledge and hints illustrated in the green part, **357** and the problem (including task description, func- **358** tion declaration, etc.) illustrated in the yellow part. **359** The three parts are concatenated to be fed into **360** LLMs for knowledge augmented generation.

Figure 4: Illustration of the hard meta-graph prompt.

³⁶¹ 3.4.2 Soft Prompting with the Expert **³⁶²**

Directly hard prompt to the LLMs poses a chal- **363** lenge to the digesting capability of the backbone **364** LLMs, which could fail under the case where the **365** backbone LLMs cannot well understand the graph **366** components. **367**

To compress the graphical knowledge into model **368** parameters and help the backbone LLMs to better **369** understand the programming language, we propose **370** a soft prompting technique. The overall procedure **371** can summarized into expert encoding of graphi- **372** cal views, finetuning with the expert signal, and **373** inference. **374**

Expert Encoding of Graphical Views. We design **375** a graph neural network to preserve the semantic **376** and logical information of code blocks. The rep- **377**

resentation of each node $n_i^{(0)}$ $i_i^{(0)}$ and edge $e_i^{(0)}$ 378 resentation of each node $n_i^{(0)}$ and edge $e_i^{(0)}$ are first initialized with vectors corresponding to the node text and edge text encoded by ϕ_1 . A message passing process is first conducted to fuse the se- mantic and structural information into each node representation.

384
$$
\mathbf{m}_{ij}^{(l)} = \mathbf{W}^{(l)}(\mathbf{n}_i^{(l-1)}||\mathbf{e}_{ij}^{(l-1)}),
$$
 (8)

385
\n
$$
Q_j^{(l)} = \mathbf{W_Q}^{(l)} \mathbf{n}_j^{(l-1)},
$$
 (9)
\n386
\n $\mathbf{K}_{ij}^{(l)} = \mathbf{W_K}^{(l)} m_{ij}^{(l)}, \quad \mathbf{V}_{ij}^{(l)} = \mathbf{W_V}^{(l)} \mathbf{m}_{ij}^{(l)},$ (10)

$$
a_{ij}^{(l)} = \text{softmax}_{i \in N(j)}(\mathbf{Q}_j^{(l)} \mathbf{K}_{ij}^{(l)}), \tag{11}
$$

388
$$
\mathbf{n}_{j}^{(l)} = \sum_{i \in N(j)} a_{ij}^{(l)} \mathbf{V}_{ij}^{(l)}.
$$
 (12)

389 A global attention-based readout is then applied **390** to obtain the graph representation:

$$
\mathbf{g} = \sum_{i} \text{softmax}(f_{\text{gate}}(\mathbf{n}_i^L)) f_{\text{feat}}(\mathbf{n}_i^L). \tag{13}
$$

 The expert encoding network is optimized via the contrastive learning based self-supervised train- ing, which includes the intra-modality contrastive learning and inter-modality contrastive learning. The intra-modality constrastive learning serves for preserving the modality information, while the inter-modality contrastive learning serves for modality alignment.

 • Alignment Contrastive Learning. There are two types of alignment to be ensured: 1) NL- Code (NC) alignment and 2) Code-Graph (CG) alignment. We define the positive pairs for NC alignment purpose as $\mathcal{I}_{NC}^+ = \{ \langle \mathbf{h}_i^V, \mathbf{h}_i^Q \rangle \}$ **alignment purpose as** $\mathcal{I}_{NC}^{+} = \{ \langle \mathbf{h}_i^V, \mathbf{h}_i^Q \rangle | i \in \mathcal{I}_{NC} \}$ D_{train} and define the negative pairs for NC alignment purpose as $\mathcal{I}_{NC}^- = {\{\langle \mathbf{h}_i^{\mathbf{V}}, \mathbf{h}_j^{\mathbf{Q}}\rangle\}}$ **here here h**ing **h**ing D_{train} , $j \in D_{\text{train}}$.

 And we define the positive pairs for CG align-**ment purpose as** $\mathcal{I}_{CG}^+ = \{ \langle \phi_1(c_i), \phi_2(g_i) \rangle | i \in$ D_{train} and define the negative pairs for CG align-**ment purpose as** $\mathcal{I}_{CG}^- = \{ \langle \phi_1(c_i), \phi_2(g_j) \rangle | i \neq j \}$ $j, i \in D_{\text{train}}, j \in D_{\text{train}}\}.$

 • Structure Preserving Contrastive Learning. To preserve the structural information of the graphical views, we perform intra-modality con- trastive learning among the graphical views and their corrupted views. Concretely, we corrupt **each of the graphical view** g_i **with the edge** dropping operation to obtain its corrupted view g_i' . The positive pairs for structure-preserving

purpose are then designed as $\mathcal{I}_{\text{preserve}}^+$ = 421 $\{\langle \phi_2(g_i), \phi_2(g'_i) \rangle | i \in D_{\text{train}}\}.$ The negative pairs **422** for structure preserving purpose are designed **423** as $\mathcal{I}_{\text{preserve}}^- = \{ \langle \phi_2(g_i), \phi_2(g_j') \rangle | i \neq j, i \in \mathcal{I}_{\text{24}} \}$ D_{train} , $j \in D_{\text{train}}$. 425

Finetuning with the Expert Soft Signal. To help **426** the backbone LLMs to digest the graphical views, **427** we tune the LLMs with the expert soft signal using **428** supervised finetuning. The prompt for finetuning **429** consists of the system prompt, retrieved knowledge **430** where the expert encoded graphical view is con- 431 tained using a token embedding, and task prompt, **432** which is illustrated in Figure [5.](#page-5-0)

Figure 5: Illustration of the soft prompting.

Inference. After the finetuning stage, we used **433 434** the tuned models to generate codes using the soft **435** prompting template as illustrated in Figure [5.](#page-5-0) **436** 4 Experiments **⁴³⁷** RQ1 Does the proposed CodeGRAG offer perfor- **438** mance gain against the base model? 439 RQ2 Does the proposed graph view abstract more **440** informative knowledge compared with the **441** raw code block? **442** RQ3 Can soft prompting enhance the capability of **443** the backbone LLMs? Does finetuning with **444** the soft prompting outperforms the simple **445** supervised finetuning? 446 RQ4 Does the proposed CodeGRAG model the **447** high-level thought-of-codes? Can Code- **448** GRAG offer cross-lingual augmentation? **449** RQ5 What is the impact of each of the components **450** of the graphical view? **451**

RQ6 How is the compatibility of the graphical **452** view? **453**

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454 4.1 Setup

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 In this paper, we evaluate CodeGRAG with the widely used HumanEval-X [\(Zheng et al.,](#page-9-1) [2023\)](#page-9-1) dataset, which is a multi-lingual code benchmark and CodeForce dataset in which we collect real-world programming problems from codeforces^{[1](#page-6-0)} website. For CodeForce adataset we include prob- lems categorized by different difficulty levels corre- sponding to the website and selecte 469 problems of difficulty level A for testing. We use greedy decoding strategy to do the generation. The evalua-tion metric is Pass@1.

 We evaluate the multi-lingual code generation abilities of 1) models with less than 10 billion pa- rameters: GPT-J [\(Radford et al.,](#page-9-4) [2023\)](#page-9-4), CodeGen- Multi [\(Nijkamp et al.,](#page-9-10) [2022\)](#page-9-10), InCoder[\(Fried et al.,](#page-8-19) [2022\)](#page-8-19) and Gemma[\(Mesnard et al.,](#page-9-11) [2024\)](#page-9-11); 2) mod- els with 10-20 billion parameters: CodeGeeX [\(Zheng et al.,](#page-9-1) [2023\)](#page-9-1), CodeGen-Multi [\(Nijkamp](#page-9-10) [et al.,](#page-9-10) [2022\)](#page-9-10), CodeGen-Mono [\(Nijkamp et al.,](#page-9-10) [2022\)](#page-9-10), StarCoder [\(Li et al.,](#page-9-12) [2023\)](#page-9-12), WizardCoder [\(Luo et al.,](#page-9-13) [2023\)](#page-9-13), and Pangu-Coder2 [\(Shen et al.,](#page-9-3) [2023\)](#page-9-3); 3) close-sourced GPT-3.5 model.

477 4.2 Main Results

478 The main results are summarized in Table [1](#page-6-1) and Ta-**479** ble [2.](#page-6-2) From the results, we can draw the following **480** conclusions:

481 RQ1. The proposed CodeGRAG could offer per-

formance gain against the base model, which val- **482** idates the effectiveness of the proposed graphical **483** retrieval augmented generation for code generation **484** framework. **485**

RQ2. The model informed by the meta-graph **486** (CodeGRAG) could beat model informed by the **487** raw code block. From the results, we can see that **488** the proposed graph view could summarize the use- **489** ful structural syntax information and filter out the **490** noises, which could offer more informative knowl- **491** edge hints than the raw code block. **492**

RQ3. From Table [2,](#page-6-2) we can see that finetuning **493** with the expert soft prompting could offer more performance gain than that brought by simple super- **495** vised finetuning. This validates the effectiveness **496** of the designed pretraining expert network and the **497** technique of finetuning with soft prompting. **498**

4.3 Study on Cross-Lingual Modeling (RQ4) **499**

To study the capability of graphical view modeling **500** cross-lingual thoughts of codes, we use the graph- **501** ical view of each source code block to serve as **502** a bridge for translation to another programming **503** language. The results are in Table [3.](#page-7-0) **504**

From the results, we could see that the bridged 505 graphical view could offer augmentation for transla- **506** tion among different programming languages. This **507** validates that the proposed graphical view could ab- **508** stract the high-level and inherent information (e.g., 509 the control and data flow to solve a specific prob- **510** lem) of the code blocks, which are shared across **511**

¹ https://codeforces.com/

Table 3: Results of code translation on Humaneval-X.

Model Size	Model	Bridge Content		Python to $C++$ $C++$ to Python
6.7B	InCoder	N/A	26.11	34.37
13B	CodeGeX	N/A	26.54	27.18
16B	CodeGen-Multi	N/A	35.94	33.83
15B	StarCoder	N/A	0.61	26.22
15B	WizardCoder	N/A	50.00	67.07
	GPT-3.5-Turbo	N/A	61.59	81.71
	GPT-3.5-Turbo	Meta-Graph	62.80	82.32

Table 4: The impacts of the graph components.

512 different programming languages regarding solving **513** the same problem.

514 4.4 Impacts of the Components of the **515** Graphical View (RQ5)

 In this section, we adjust the inputs of the graphical components to the LLMs. Concretely, we study the information contained in node names, edge names, and the topological structure. The results are presented in Table [4.](#page-7-1)

 The edge type refers to the type of flows between operands (child, read, write, etc.), the node type refers to the type of operands (DeclStmt, temp, etc.), the node name refers to the name of the inter- mediate variables, and the topological information refers to the statistics of the concrete numbers of different types of edges. From the results, we can observe that 1) the edge features matter the most in constructing the structural view of code blocks for enhancement, 2) the type of nodes expresses the most in representing operands information, and 3) the overall structure of the graphical view also gives additional information.

534 4.5 Compatibility Discussion of the Graphical **535** Views(RQ5)

 Despite the effectiveness of the proposed graphical views to represent the code blocks, the flexibility and convenience of applying the graphical views extraction process is important for wider applica- tion of the proposed method. In this section, we discuss the compatibility of CodeGRAG.

542 First of all, the extraction process of all the graph-**543** ical views are front-end. Therefore, this extraction **544** process applies to a wide range of code, even error

code. One could also use convenient tools to refor- **545** mulate the code and improve the pass rate of the 546 extraction process. 547

In addition, we give the ratio of generated results **548** that can pass the graphical views extraction process, **549** which is denoted by Extraction Rate. The Pass^{$@1$} 550 and the Extraction Rate of the generated results **551** passing the graphical extraction process are given **552** in Table [5.](#page-7-2) **553**

Table 5: The extraction rate of the generated results passing the graphical extraction process.

Generated Codes	Pass@1	Extraction Rate
$(C++)$ Code-RAG	62.20	92.07
$(C++)$ CodeGRAG	64.02	92.68
(Python) Code-RAG	71.95	91.46
(Python) CodeGRAG	77.44	96.95

From the results, we could see that the extraction **554** rates are high for codes to pass the graphical views **555** extraction process, even under the situation where **556** the Pass@1 ratios of the generated results are low. **557** This indicates that the application range of the pro- **558** posed method is wide. In addition, as the code **559** RAG also offers performance gains, one could use **560** multiple views as the retrieval knowledge. **561**

5 Conclusion **⁵⁶²**

Despite the expanding role of LLMs in code gen- **563** eration, there are inherent challenges pertaining **564** to their understanding of code syntax and their **565** multi-lingual code generation capabilities. This **566** paper introduces the Syntax Graph Retrieval Aug- **567** mented Code Generation (CodeGRAG) to enhance **568** LLMs for single round and cross-lingual code gen- **569** eration. CodeGRAG extracts and summarizes data **570** flow and control flow information from codes, ef- **571** fectively bridging the gap between programming **572** language and natural language. By integrating ex- **573** ternal structural knowledge, CodeGRAG enhances **574** LLMs' comprehension of code syntax and empow- **575** ers them to generate complex and multi-lingual **576** code with improved accuracy and fluency. **577**

⁵⁷⁸ Limitations

 In this paper, we propose a graphical retrieval aug- mented generation method that can offer enhanced code generation. Despite the efficiency and effec- tiveness, there are also limitations within this work. For example, dependency on the quality of the ex- ternal knowledge base could be a potential concern. The quality of the external knowledge base could be improved with regular expression extraction on the noisy texts and codes.

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791 A Example of the inserted graphical view

792 An illustration of the inserted graphical view is **793** given below.

Graph(

num_nodes='node': 24,

num_edges=('node', '-0', 'node'): 1, ('node', '-1', 'node'): 1, ('node', 'ArraySubscriptExpredge0', 'node'): 1, ('node', 'ArraySubscriptExpredge1', 'node'): 1, ('node', 'CXXOperatorCallExpredge1', 'node'): 1, ('node', 'CXXOperatorCallExpredge2', 'node'): 2, ('node', 'ImplicitCastExpredge0', 'node'): 1, ('node', 'UserDefineFun', 'node'): 1, ('node', 'falseNext', 'node'): 1, ('node', 'next', 'node'): 5, ('node', 'read', 'node'): 10, ('node', 'trueNext', 'node'): 1, ('node', 'write', 'node'): 9,

metagraph=[('node', 'node', '-0'), ('node', 'node', '-1'), ('node', 'node', 'ArraySubscript-Expredge0'), ('node', 'node', 'ArraySubscriptExpredge1'), ('node', 'node', 'CXXOperator-CallExpredge1'), ('node', 'node', 'CXXOperatorCallExpredge2'), ('node', 'node', 'ImplicitCastExpredge0'), ('node', 'node', 'UserDefineFun'), ('node', 'node', 'falseNext'), ('node', 'node', 'next'), ('node', 'node', 'read'), ('node', 'node', 'trueNext'), ('node', 'node', 'write')])