Sequence-to-sequence AMR Parsing with Ancestor Information

Anonymous ACL submission

Abstract

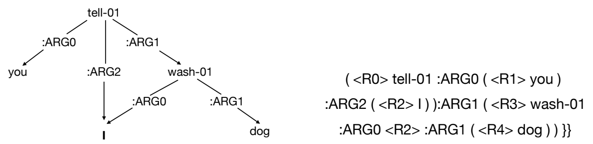
AMR parsing is the task that maps a sentence to an AMR semantic graph automatically. The difficulty comes from generating the complex graph structure. The previous state-of-the-art method translates the AMR graph into a sequence, then directly fine-tunes a pretrained sequence-to-sequence Transformer model (BART). However, purely treating the graph as a sequence does not take advantage of structural information about the graph. In this paper, we design several strategies to add the important *ancestor information* into the Transformer Decoder. Our experiments show that we can improve the performance for both AMR 2.0 and AMR 3.0 dataset and achieve new state-of-the-art results.

1 Introduction

Abstract Meaning Representation (AMR) (Banarescu et al., 2013) is a graph that encodes the semantic meaning of a sentence. In Figure 1a, we show the AMR of the sentence: *You told me to wash the dog.*. AMR has been widely used in many NLP tasks (Liu et al., 2015; Hardy and Vlachos, 2018; Mitra and Baral, 2016).

AMR parsing is the task that maps a sentence to an AMR semantic graph automatically. A graph is a complex data structure which is composed of multiple vertices and edges. There are roughly four types of parsing strategies in previous work:

- **Two-Stage Parsing** (Flanigan et al., 2014; Lyu and Titov, 2018; Zhang et al., 2019a; Zhou et al., 2020): first produce vertices, and produce edges after that.
- **Transition-Based Parsing** (Damonte et al., 2016; Ballesteros and Al-Onaizan, 2017; Guo and Lu, 2018; Wang and Xue, 2017; Naseem et al., 2019; Astudillo et al., 2020; Zhou et al., 2021): process the sentence from left to right, and produce vertices and edges based on the current focused word.



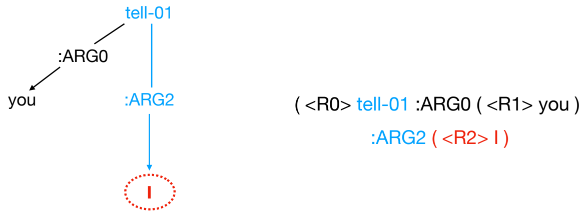
(a) (b)

Figure 1: AMR Graph and linerization for the Sentence: *You told me to wash the dog.*

- **Graph-Based Parsing** (Zhang et al., 2019b; Cai and Lam, 2019, 2020): produce vertices and edges based on a graph traversal order, such as DFS or BFS.
- **Sequence-to-Sequence Parsing** (Konstas et al., 2017; van Noord and Bos, 2017; Peng et al., 2017, 2018; Xu et al., 2020; Bevilacqua et al., 2021): this method linearizes the AMR graph to a sequence, then uses a sequence-to-sequence model to do the parsing.

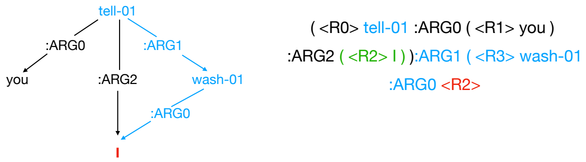
Bevilacqua et al. (2021) achieved the state-of-the-art performance by using the last seq-to-seq strategy. They linearized the AMR graph (see Figure 1b) and fine-tuned BART (Lewis et al., 2020), a denoising sequence-to-sequence pretraining based on Transformer (Vaswani et al., 2017), for the parsing.

However, purely treating the graph as a sequence may not take advantage of important information about the structure of the graph. When generating the last token *dog* in Figure 1b, for example, the dot-product attention layer in the Transformer Decoder attends to all the previous tokens and lets the model learn the weight of these tokens. However, if we can tell the model which tokens are its ancestors, like its parent is *wash-01* and its grand-parent is *tell-01* (see Figure 1a), it will make this token much easier to generate. Adding graph structure has been demonstrated to be useful for the AMR-to-text task (Zhu et al., 2019; Yao et al., 2020; Wang et al., 2020). These approaches added the graph structure



(a) (b)

Figure 2: Example of finding Ancestors.



(a) (b)

Figure 3: Example of finding ancestors with re-entrancy.

to the Transformer Encoder. Therefore, we expect that adding structure in **Transformer Decoder** for AMR parsing task will also be helpful.

In this paper, we base our work on the seq-to-seq model of Bevilacqua et al. (2021) with the AMR linearized by DFS traversal order. We introduce several strategies to add ancestor information into the Transformer Decoder layer. We also propose a novel strategy, which consists of setting parameters in the mask matrix for those ancestor tokens and tuning them. We find that this new strategy makes the largest improvement.

2 Add Ancestors Information into Model

2.1 DFS linearization and Ancestors

The DFS linearization (in Bevilacqua et al. (2021)) used pairs of parentheses to indicate the start and the end of exploring a node in the DFS traverse order. The readers can use Figure 1 as an example and are refereed to Bevilacqua et al. (2021) for more details.

This means when generating the next token, we can construct the partial graph from previous tokens and determine the ancestors tokens among them. In Figure 2b, for example, when we generate the token *I*, we can construct the partial graph in Figure 2a and find its ancestors (*tell-01 –> :ARG2 –>*).

If AMR were a tree, then the ancestors of each token would be clear to define. However, since AMR is a graph, one node may be visited multiple times (which is called re-entrancy), which brings ambiguity to find the ancestors. For example, in Figure 3, when we generate the last token *<R2>*, it is actually the re-entrancy of the token *I* generated before. Under this circumstance, we will use the tokens in the new path (*tell-01 –> :ARG1 –> wash-01 –> :ARG0 –>*) as its ancestors. We cannot use tokens from the old path (*tell-01 –> :ARG2 –>*), since we cannot know it is a re-entrancy before we have actually generated it.

2.2 Transformer Review

The original Transformer (Vaswani et al., 2017) used scaled dot-product self-attention. Typically, the input of the attention consists of a query matrix Q, a key matrix K and a value matrix V, the columns of which represent the query vector, the key vector and the value vector of each token. The attention can be caculated as follows:

$$\text{Attention}(Q, K, V, M) = \text{Softmax}\left(\frac{S}{\sqrt{d}} + M\right)V,$$
$$S = QK^\top,$$

where $Q, K, V \in \mathbb{R}^{N\times d}$, N is the length of the sequence, d is the dimension of the model, and M is the **mask matrix** to control which tokens in the sequence are attended for a given token.

A typical Transformer module consists several layers. In each layer it uses MultiHead attention. For each head, they calculate the attention as above, and then average the results.

In the Encoder self-attention and Encoder-Decoder attention layers, the mask matrix is the same across all the heads and all the layers, and all the elements in the matrix are 0, meaning all the tokens are attended. But in the Decoder self-attention layers, the elements denoting the attention to the future token ($M_{i,j}$ with $i < j$) are set to $-\infty$, meaning that they have no effects when calculating the weighted sum.

2.3 Add Ancestor Information into Model

We focus on the **mask matrix** M in the Transformer Decoder self-attention layers to add the ancestor information during the parsing. We introduce two strategies: hard strategy and a novel soft strategy.

Hard Strategy Under this strategy, we set elements denoting the ancestors to 0, and the elements denoting the non-ancestors to $-\infty$ in M, such that only the ancestor tokens are attended. We will explore the influence by using the new mask matrix only on part of decoder layers or on part of heads.

Soft Strategy Under this novel strategy, we will not mask the non-ancestor tokens and abandon them in a hard way. Instead, what we do is only telling the model which are the ancestor tokens and letting the model learn the weights by itself. Specifically, we use three different values in the mask matrix: $-\infty$ for all future tokens; 0 for all non-ancestor previous tokens; parameter α for all ancestor tokens. We let the model learn the weight α to control how much it should focus on the ancestor tokens. Similar with the hard strategy, we will also explore the influence by setting different parameters on different layers or on different heads.

3 Experiments

3.1 Setup

Dataset We use the AMR 2.0 (LDC2017T10) and AMR 3.0 (LDC2020T02) dataset. The AMR 2.0 includes 39,260 manually-created graphs, and the AMR 3.0 includes 59,255. The AMR 2.0 is a subset of AMR 3.0. Both datasets are split into training, development and test datasets.

Pre-processing and Post-processing We use the same DFS-based linearization technique as Bevilacqua et al. (2021). We omit the detail here, but the reader can refer to Figure 1 as an example. In the pre-processing step, the AMR graph is linearized into a sequence, and in the post-processing step, the generated sequence is translated back to an AMR graph.

Recategorization Recategorization is a widely used technique to handle data sparsity. With recategorization, specific sub-graphs of a AMR graph (usually corresponding to special entities, like named entities, date entities, etc.) are treated as a unit and assigned to a single vertex with a new content. We experiment with a commonly-used method in AMR parsing literature (Zhang et al., 2019a,b; Zhou et al., 2020; Bevilacqua et al., 2021). The readers are referred to Zhang et al. (2019a) for further details. Notice that this method uses heuristic rules designed and optimized for AMR 2.0, therefore it is not able to scale up to AMR 3.0 (actually the performance dropped a lot for AMR 3.0 with recategorization in Bevilacqua et al. (2021)). Therefore, we will not conduct the recategorization experiment on AMR 3.0.

Model and Baseline We use the model in Bevilacqua et al. (2021) as our baseline. That model was initialized by BART pretraining and fine-tuned on the AMR dataset. We will do the same thing, except that we design different mask matrix in the Transformer Decoder layers. We will introduce these differences in detail in Section 3.2.

Training and Evaluation We use one 1080Ti GPU to fine-tune the model. Training takes about 13 hours on AMR 2.0 and 17 hours on AMR 3.0. We use development dataset to select the best hyperparameter. At inference time, we set the beam size as 5 following common practice in neural machine translation (Yang et al., 2018).

For evaluation, we use Smatch (Cai and Knight, 2013) as the metric. For some experiments, we also report fine-grained scores on different aspects of parsing, such as wikification, concept identification, NER, and negations using the tool released by Damonte et al. (2017).

3.2 Experiments and Results

As indicated in Section 2.3, we study the effect of the hard and soft strategy. We explore the influence of these two strategies on different layers or on different heads. Due to space limitation, we only show the Smatch score of AMR 2.0 with the recategorization preprocessing, since it had the highest performance (84.5 Smatch score) as far as we know.

Once we get the best result among these setups, we will conduct experiments on AMR 2.0 and AMR 3.0 without recategorization (we have discussed why we don't conduct experiments for AMR 3.0 with recategorizaiton before). We will also report fine-grained results for these experiments.

3.2.1 Experiments for Different Number of Heads for the Hard Strategy

In the baseline model (Bevilacqua et al., 2021), there are 16 heads in each layer. We conduct experiments with 0, 2, 4, . . . , 8, 10 heads in each layer attending to ancestors only. Note that the 0-head model equals the baseline model. We show the result in Table 2.

We can see that, up to 4 and 6 heads, the performance increases along with the number of heads increasing, showing the importance of telling the model what the ancestors are. But then, the performance decreases as the number of heads in-

Dataset	G.R.	Smatch	Unlabeled	NO WSD	Concept	SRL	Reent.	Neg.	NER	wiki
AMR 2.0 (baseline)	✓	84.5	86.7	84.9	89.6	79.7	72.3	79.9	83.7	**87.3**
AMR 2.0 (our method)	✓	**85.2**	**88.2**	**85.6**	**90.3**	**83.2**	**75.4**	**83.0**	**85.7**	86.4
AMR 2.0 (baseline)	×	83.8	86.1	84.4	90.2	79.6	70.8	**74.4**	90.6	**84.3**
AMR 2.0 (our method)	×	**84.8**	**88.1**	**85.3**	**90.5**	**83.4**	**75.1**	74.0	**91.8**	84.1
AMR 3.0 (baseline)	×	83.0	85.4	83.5	**89.8**	78.9	70.4	**73.0**	87.2	**82.7**
AMR 3.0 (our method)	×	**83.5**	**86.6**	**84.0**	89.5	**82.2**	**74.2**	72.6	**88.9**	81.5

Table 1: The smatch and fine grained scores of AMR 2.0 and AMR 3.0 datasets without recategorization using the optimal setup.

number of heads	Smatch
0 (baseline)	84.5
2	84.5
4	**84.9**
6	**84.9**
8	84.8
10	84.3

Table 2: The influence of different number of heads attended to the ancestors only for AMR 2.0 with recategorization

different layers	Smatch
baseline	84.5
bottom 4	84.6
Medium 4	**84.8**
top 4	84.3

Table 3: The influence of different layers attended to the ancestors only for AMR 2.0 with recategorization

creases, showing that we cannot ignore other non-ancestor tokens, which still play important roles in the model.

3.2.2 Experiments for Different Layers for the Hard Strategy

In the baseline model (Bevilacqua et al., 2021), there are 12 layers in the Transformer decoder. Unlike the heads, the order of layers matters. The topper layers use information from the more bottom layers. Therefore, we conduct experiments with the bottom, the medium, and the top 4 layers attending to ancestors. The mask matrix for each head are the same within a single layer. We show the result in Table 3.

We can see that, putting the medium 4 layers focusing on the ancestors has the best performance. But when we put the top 4 layers focusing on them, the performance decreases a lot. One possible reason is that, when it comes to near the final output (the top layers), the model needs to use the information from all tokens.

different setups	Smatch
baseline	84.5
different parameters for layers and heads	84.8
different parameters only for layers	84.7
different parameters only for heads	**85.2**

Table 4: The influence of tuning the mask matrix for AMR 2.0 with recategorization

3.2.3 Experiments of Soft Strategy

In this section, we will tune the mask matrix and use the soft strategy to add the ancestors information. We conduct three experiments: different parameters for every layer and head combination; different parameters for different layers only; different parameters for different heads only. We show the results in Table 4. We can see that when we only use different parameters for every head, we achieve a new state-of-the-art result.

3.2.4 Results for Other Datasets

We have conducted different experiments for AMR 2.0 with recategorization, and we found that when we set different parameters for different heads only and tune these parameters, we get the best performance. Therefore, we apply this setup for other datasets: AMR 2.0 and AMR 3.0 without recategorization. We show the Smatch scores as well as other fine-grained scores in Table 1. The results are improved for all the datasets. The AMR 2.0 without recategorization even obtains an improvement of 1.0 Smatch point.

4 Conclusion

In this paper, we focus on the DFS linearization and introduce several strategies to add ancestor information into the model. We conduct several experiments to show the improvement for both AMR 2.0 and AMR 3.0 datasets. Our method achieves new state-of-the-art performances for the AMR parsing task.

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