Beyond Fine-tuning: Unleashing the Potential of Continuous Pretraining for Clinical LLMs.

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Abstract

 Large Language Models (LLMs) have demon- strated significant potential in transforming clinical applications. In this study, we investi- gate the efficacy of four techniques in adapting LLMs for clinical use-cases: continuous pre- training, instruct fine-tuning, NEFTune [\(Jain](#page-8-0) [et al.,](#page-8-0) [2023\)](#page-8-0), and prompt engineering. We em- ploy these methods on Mistral 7B and Mixtral 8x7B models, leveraging a large-scale clini- cal pretraining dataset of 50 billion tokens and an instruct fine-tuning dataset of 500 million tokens. Our evaluation across various clini- cal tasks reveals the impact of each technique. 014 While continuous pretraining beyond 250 bil- lion tokens yields marginal improvements on its own, it establishes a strong foundation for instruct fine-tuning. Notably, NEFTune, de- signed primarily to enhance generation quality, surprisingly demonstrates additional gains on our benchmark. Complex prompt engineering methods further enhance performance. These findings show the importance of tailoring fine- tuning strategies and exploring innovative tech- niques to optimize LLM performance in the clinical domain.

⁰²⁶ 1 Introduction

 The advent of large language models (LLMs) has spurred a wave of innovation across various do- mains, with healthcare being a particularly promis- ing area for their application. LLMs have the poten- tial to transform clinical workflows, aid in diagno- sis, and enhance patient care. However, effectively adapting these models to the nuances and complex- ities of the clinical domain remains a significant challenge.

 Current approaches in the literature predomi- nantly focus on either developing specialized clini- cal LLMs from scratch or fine-tuning existing mod- els on large-scale clinical datasets. While these methods have shown promise, they often overlook the potential benefits of continuous pretraining on

domain-specific data as a means to further enhance **042** model performance. This is due in part to the com- **043** plexities and potential instabilities associated with **044** continued training of large models. **045**

In this study, we take a comprehensive approach **046** to optimizing clinical LLMs by systematically in- **047** vestigating the impact of continuous pretraining **048** on in-domain data, in conjunction with instruct **049** fine-tuning and advanced prompting strategies. We **050** focus on the Mistral-7B [\(Jiang et al.,](#page-8-1) [2023\)](#page-8-1) and **051** Mixtral-8x7B [\(Jiang et al.,](#page-8-2) [2024\)](#page-8-2) models, demon- **052** strating that continuous pretraining, while yielding **053** modest gains compared to fine-tuning and prompt- **054** ing, plays a crucial role in establishing a solid foun- **055** dation for further specialization. By carefully bal- **056** ancing in-domain clinical data with general lan- **057** guage data, we successfully mitigate instability is- **058** sues and unlock the full potential of continuous **059** pretraining for clinical LLMs. 060

Our work highlights the importance of under- **061** standing of the relationship between pretraining, **062** fine-tuning, and prompting in adapting LLMs for **063** clinical applications. By demonstrating the ef- **064** fectiveness of continuous pretraining on domain- **065** specific data, we open doors for future research **066** to further explore this underutilized technique to **067** develop more accurate, reliable, and ultimately im- **068** pactful clinical LLMs. 069

2 Related Works **⁰⁷⁰**

The landscape of Large Language Models (LLMs) **071** for healthcare is evolving rapidly, with most ap- **072** proaches involving either domain-specific pretrain- **073** ing or instruction fine-tuning of general-purpose **074** models. OpenAI's GPT-3.5 and GPT-4 [\(OpenAI,](#page-9-0) **075** [2023\)](#page-9-0), alongside Google's Med-PaLM [\(Singhal](#page-9-1) **076** [et al.,](#page-9-1) [2023a\)](#page-9-1) and Med-PaLM 2 [\(Singhal et al.,](#page-9-2) **077** [2023b\)](#page-9-2) have demonstrated impressive performance **078** on medical benchmarks, despite limited trans- **079** parency regarding their training details. Other mod- **080** els, such as GatorTron [\(Yang et al.,](#page-10-0) [2022\)](#page-10-0), and **081**

 PMC-LLaMA [\(Wu et al.,](#page-10-1) [2023\)](#page-10-1), have shown the potential of pretraining on extensive biomedical corpora to add domain-specific knowledge for clin-ical applications.

 Instruction fine-tuning and dialogue datasets have also been instrumental in enhancing the zero- shot and few-shot generalization capabilities of LLMs. ChatDoctor [\(Li et al.,](#page-9-3) [2023b\)](#page-9-3) and MedAl- paca [\(Han et al.,](#page-8-3) [2023\)](#page-8-3), for instance, utilize med- ical conversations and other NLP tasks to im- prove LLaMA's performance on clinical queries. Recent models like Clinical Camel [\(Toma et al.,](#page-9-4) [2023\)](#page-9-4), MediTron [\(Chen et al.,](#page-8-4) [2023\)](#page-8-4) and Med42 [\(Christophe et al.,](#page-8-5) [2024\)](#page-8-5), based on LLaMA-2 [\(Tou-](#page-9-5) [vron et al.,](#page-9-5) [2023\)](#page-9-5), further demonstrate the efficacy of this approach.

 Building on the observation that models can learn from prompting alone [\(Brown et al.,](#page-8-6) [2020\)](#page-8-6), recent research has explored techniques to enhance clinical capabilities without additional training. These methods often extend the well-known Chain- of-Thought prompting technique, originally intro- duced by [\(Wei et al.,](#page-10-2) [2022b\)](#page-10-2), to better suit clinical [u](#page-9-6)se-cases. Notably, Microsoft's MedPrompt [\(Nori](#page-9-6) [et al.,](#page-9-6) [2023b\)](#page-9-6) demonstrates significant improve- ments in GPT-4's performance on clinical QA tasks, while [\(Garikipati et al.,](#page-8-7) [2024\)](#page-8-7) apply similar strate- gies to the Yi family of models [\(Young et al.,](#page-10-3) [2024\)](#page-10-3). Google has also showcased the potential of com- plex prompting to boost the clinical capabilities of their Gemini model [\(Saab et al.,](#page-9-7) [2024\)](#page-9-7). However, while such complex prompting techniques can im- prove performance on standard benchmarks, their practicality and scalability in real-world clinical applications remain to be seen

Recent studies like LIMA [\(Zhou et al.,](#page-10-4) [2024\)](#page-10-4), [F](#page-9-8)ineWeb [\(Guilherme Penedo,](#page-8-8) [2024\)](#page-8-8) and Phi [\(Li](#page-9-8) [et al.,](#page-9-8) [2023a\)](#page-9-8) have highlighted the pivotal role of data quality in LLM training, emphasizing that it can often be more influential than architectural choices in determining model performance. High- quality data has been shown to significantly impact the model's ability to learn meaningful represen- tations and generalize to new tasks. This shows the importance of our approach to dataset curation, ensuring that our models are trained on a robust and representative collection of clinical data.

¹²⁹ 3 Experiments

130 In this section, we present the four steps of our **131** experimental framework: (1) continuous pretraining, (2) instruct fine-tuning, (3) NEFTune, and (4) **132** complex prompt engineering. **133**

3.1 Continuous Pretraining **134**

Continuous pretraining involves extending the pre- **135** training phase of a large language model (LLM) **136** by exposing it to additional text data. This can **137** be particularly beneficial in domain-specific appli- **138** cations, like healthcare, where models can be fur- **139** ther trained on vast amounts of clinical literature. **140** The goal is to refine the model's understanding of **141** domain-specific terminology, relationships, and nu- **142** ances, potentially leading to improved performance **143** on relevant tasks. In our experiments, we investi- **144** gate the impact of continuous pretraining on both **145** Mistral 7B and Mixtral 8x7B models, utilizing a **146** 50-billion-token clinical dataset. **147**

Continuous pretraining of large language mod- **148** els, however, is not without its challenges. Typ- **149** ically, only the weights of the LLM are openly **150** accessible, while the optimizer state remains un- **151** available. This lack of access can disrupt the train- **152** ing process, leading to instabilities and hindering **153** the model's ability to effectively learn from the new **154** data. Additionally, the potential distribution shift **155** between the original pretraining data and the new **156** clinical data can result in catastrophic forgetting, **157** where the model loses proficiency on previously 158 learned knowledge and tasks [\(Li and Lee,](#page-9-9) [2024\)](#page-9-9). **159**

Following the work presented in [\(Gupta et al.,](#page-8-9) 160 [2023\)](#page-8-9), we implement a learning rate warm-up strat- **161** egy, gradually increasing the learning rate over 1% **162** of the total training steps. Specifically, we employ **163** a linear warm-up, starting from 1/10th of our maxi- **164** mum learning rate and gradually ramping up to the **165** full value. This gradual increase helps stabilize the **166** training process and prevents drastic updates to the **167** model's weights early on. Second, we address the **168** potential distribution shift by blending our special- **169** ized clinical data with general language data from **170** SlimPajama [\(Soboleva et al.,](#page-9-10) [2023\)](#page-9-10). This curated **171** blend results in a 65-billion-token dataset, compris- **172** ing 50 billion tokens of specialized clinical data and **173** 15 billion tokens of general language data. We then **174** perform continuous pretraining on this dataset for **175** a total of 4 epochs, processing 260 billion tokens **176** and allowing the model to acquire domain-specific **177** knowledge while retaining its proficiency in gen- **178** eral language understanding. In Figure [5,](#page-12-0) we illus- **179** trate the training loss curves over both the general **180** and clinical data subsets. As depicted, our warm- **181**

 up strategy and data mixture effectively mitigate instabilities, demonstrating smooth convergence and a steady decrease in loss throughout the train- ing process. This approach ensures the model's overall capabilities remain robust and facilitates the acquisition of specialized clinical knowledge.

188 3.2 Instruct Fine-Tuning

 Instruct fine-tuning is a technique that aims to align large language models (LLMs) with human inten- tions and preferences by training them on a dataset of instructions and their corresponding desired out- puts. This approach enables LLMs to better under- stand and respond to user prompts, improving their ability to generate relevant and useful responses in a variety of tasks.

 To facilitate effective learning from instructions, we adopt a structured format incorporating the key-199 words <|system|>, <|prompter|>, and <|assistant|>. This format explicitly delineates the roles of the system, the user providing the prompt, and the as- sistant generating the response. By clearly defining these relationships, we guide the model to better un- derstand the intent behind instructions and generate appropriate, medically relevant outputs

 Each sample in our instruction-tuning dataset is composed of three elements: a system prompt, a user prompt, and the corresponding model re- sponse. To maximize the utilization of the model's available context length during training, we con- catenate these samples across the entire dataset. The training process is auto-regressive and the loss is solely focused on the tokens comprising the re- sponses. This targeted training strategy prioritizes the model's ability to generate accurate and rele- vant answers, rather than focusing on replicating **217** prompts.

218 We train our models for 3 epochs using a cosine **219** learning rate scheduler, which gradually decreases **220** the learning rate over the course of training

221 3.3 NEFTune

 NEFTune, a novel instruction fine-tuning technique introduced in [\(Jain et al.,](#page-8-0) [2023\)](#page-8-0), offers an alter- native approach to our traditional pipeline. This method involves injecting noise into the embed- ding layer during training, a process that has shown improvements in the quality of the model's output generation. Furthermore, the introduced noise dur- ing training could act as a regularization method to stabilize the learning process. The noise vector is created by independently sampling each entry from

a uniform distribution within the interval [−1, 1]. **232** This vector is then scaled by a factor determined **233** by the tunable parameter α , the sequence length L, 234 and the embedding dimension d: **235**

$$
X'_{\text{emb}} \gets X_{\text{emb}} + \left(\sqrt{\frac{\alpha}{Ld}}\right)\epsilon
$$

During our experiments, we explored various val- **236** ues for α and discovered that the setting of $\alpha = 5$ 237 yielded superior results. In our study, we explore **238** NEFTune as a potential replacement for our stan- **239** dard instruct fine-tuning pipeline, investigating its **240** impact on overall performance on clinical tasks. **241**

3.4 Prompt Engineering **242**

In-Context Learning refers to a model's ability to **243** understand and generate relevant responses based **244** on the context provided within a prompt. This **245** capability allows the model to leverage previous **246** examples or instructions given in the prompt to per- **247** form tasks more effectively without explicit train- **248** ing on new samples. Chain-of-Thought Reasoning **249** [\(Wei et al.,](#page-10-5) [2023\)](#page-10-5) is a technique where the model is **250** guided to generate a step-by-step explanation of its **251** thought process before arriving at an answer. This **252** approach encourages the model to articulate its rea- **253** soning, leading to more transparent and accurate **254** outcomes. In our work, we harness these capabili- **255** ties by implementing the 'Medprompt' prompting **256** strategy as introduced by [\(Nori et al.,](#page-9-6) [2023b\)](#page-9-6). To **257** thoroughly evaluate our models, we generate chain- **258** of-thought explanations using four distinct prompt **259** engineering methods: 260

- **Chain of thought (CoT):** Similar to [\(Kojima](#page-8-10) 261 [et al.,](#page-8-10) [2023\)](#page-8-10), we generate chain-of-thought on **262** the evaluation dataset by appending "Let's **263** think step-by step" to every sample. This **264** method encourages the model to systemati- **265** cally break down its thought process, leading **266** to more structured and transparent reasoning. **267**
- Few shot chain of thought: In this approach, **268** we improve the model's performance by pro- **269** viding context through static examples. Be- **270** fore generating the chain-of-thought explana- **271** tion, we prepend the samples with five pre- **272** defined few-shot examples. These examples **273** serve as a guide, helping the model to under- **274** stand and apply a consistent reasoning pattern. **275**
- Dynamic few shot chain of thought: This **276** advanced method combines dynamic retrieval **277**

Figure 1: Training loss for Mixtral during continuous pretraining on the general (left) and clinical (right) subsets.

Hyperparameter	Mistral 7B			Mixtral 8x7B		
	Pretraining	Fine-tuning	NEFTune	Pretraining	Fine-tuning	NEFTune
Learning Rate Scheduler	Linear Warmup - Cosine			Linear Warmup - Cosine		
Max Learning Rate	7×10^{-6}	5×10^{-6}	5×10^{-6}		7×10^{-6} 1×10^{-6} 1×10^{-6}	
B eta	(0.9, 0.95)			(0.9, 0.95)		
Alpha			5			
Weight Decay		0.1			0.1	
Number of Steps	79.259	6.089	6,089	37,344	2,589	2,589

Table 1: Hyperparameters for Pretraining, Fine-tuning, and NEFTune on Mistral 7B and Mixtral 8x7B Models

 and chain-of-thought generation. Initially, we create chain-of-thought reasoning for multiple-choice question-answering datasets and store these in a Milvus vector database [\(Wang et al.,](#page-10-6) [2021\)](#page-10-6). We then embed the training questions using gte-small embedding model [\(Li et al.,](#page-9-11) [2023c\)](#page-9-11). During evaluation, we retrieve the five most semantically similar training examples based on cosine similarity in the embedding space. These retrieved ex- amples are used as few-shot examples, provid- ing relevant context to the model for generat-ing more accurate explanations.

 • Dynamic few shot chain of thought ensem- ble (CoT-En): Building on the dynamic few- shot approach, this method introduces vari- ability and robustness. Here, we shuffle the few-shot examples and the multiple-choice options, generating the chain-of-thought rea- soning five times with a temperature setting of 0.2. This ensemble technique aims to produce a diverse set of reasonings.

300 3.5 Hardware infrastructure.

301 Our experiments were conducted on a high-**302** performance computing cluster, utilizing a max-**303** imum of 10 nodes, each equipped with 8 NVIDIA

H100 GPUs, for the continuous pretraining phase. **304** For the subsequent fine-tuning stages, we employed **305** 4 nodes of the same configuration. To efficiently **306** train our large-scale models, we leveraged Py- **307** [T](#page-10-7)orch's Fully Sharded Data Parallel (FSDP) [\(Zhao](#page-10-7) **308** [et al.,](#page-10-7) [2023\)](#page-10-7) framework, which enables distributed **309** training across multiple GPUs while minimizing **310** memory footprint. Additionally, we employed **311** bfloat16 precision throughout our training pipeline. **312**

4 Datasets **³¹³**

In this section, we detail our approach to construct- **314** ing both the pretraining and fine-tuning datasets. **315** Our primary objective is to curate datasets that op- **316** timize model performance while maintaining the **317** highest standards of quality and relevance to the **318** clinical domain. **319**

4.1 Pretraining Dataset **320**

Our pretraining corpus comprises a mix of biology **321** and healthcare data from publicly available sources, **322** including full-text research articles, abstracts, open **323** textbooks, and Wikipedia articles. We excluded **324** data containing personally identifiable information **325** as well as data without a permissive license for **326** commercial use. **327**

Pretraining data for large language models **328**

 (LLMs) typically requires several normalization and cleaning steps to make it suitable for train- ing. However, since we have controlled the input sources and limited them to trusted sources, our pretraining pipeline primarily involves five major steps: 1) document parsing, 2) low-length filtering, 3) document-level deduplication, 4) exact dedupli-cation, and 5) data chunking.

 Document parsing involves either scraping web- pages or extracting text from research articles. Once the text is extracted from all sources, we remove sources with insufficient information by applying a length threshold filter. As our data mix mainly consists of full-text research articles, there is a high likelihood of document-level duplication with different DOI IDs. To address this, we used the MinHash [\(Broder,](#page-8-11) [1997\)](#page-8-11) deduplication tech- nique with a similarity threshold of 0.85: for each document, we compute a sketch and measure its ap- proximate similarity with other documents, remov- ing pairs with high overlap. We perform MinHash deduplication using 9,000 hashes per document, calculated over 5-grams and divided into 15 buck-ets of 400 hashes each.

 Document-level deduplication removes similar documents across different data sources, but there could still be some text duplication within the doc- uments. Therefore, we additionally employed an exact deduplication step [\(Lee et al.,](#page-9-12) [2021\)](#page-9-12) to elim- inate identical text segments from the dataset. As advised in the original literature, we ran the exact deduplication twice with length thresholds of 400 and 100 bytes, since duplicates may persist even after the first pass. Finally, the entire dataset is tokenized, concatenated, and split into chunks with a predefined context length for continuous pretrain-**365** ing.

366 4.2 Finetuning Dataset

 Our instruction-tuning dataset is a curated blend of open-source medical question-answering data, sourced primarily from medical forums like Stack Exchange, rich in expert discussions and patient inquiries. We also integrate relevant medical seg- ments extracted from general domain datasets, en- suring a diverse representation of medical subfields and contexts. This comprehensive dataset provides a solid foundation for training our model to accu- rately understand and generate medically relevant **377** content.

378 To improve the chain-of-thought capabilities of

the fine-tuned model, we generate chain-of-thought **379** explanations for datasets that benefit from reason- **380** ing chains. After generating these reasoning chains, **381** we discard those that do not correspond with the **382** correct answers and use these samples as zero-shot **383** examples. We employ the Mixtral-Instruct model **384** for both generating and verifying the reasoning **385** chains. For more details on the composition of the **386** finetuning dataset, please refer to [Table 3.](#page-11-0) **387**

5 Evaluations **³⁸⁸**

To rigorously assess the efficacy of our fine-tuning **389** approaches, we focus on a comprehensive evalua- **390** tion of the models' capabilities across a spectrum **391** of clinical question-answering (QA) tasks. We **392** employ a diverse suite of QA datasets, including **393** MedQA [\(Jin et al.,](#page-8-12) [2020\)](#page-8-12), USMLE sample exam **394** and self-assessment [\(Nori et al.,](#page-9-13) [2023a;](#page-9-13) [Han et al.,](#page-8-3) **395** [2023\)](#page-8-3), MMLU (medical subset)[\(Hendrycks et al.,](#page-8-13) **396** [2021\)](#page-8-13), and MedMCQA[\(Pal et al.,](#page-9-14) [2022\)](#page-9-14), to ensure **397** a thorough and representative assessment of model **398** performance in various clinical scenarios. **399**

Our evaluation methodology uses the EleutherAI **400** Harness framework [\(Gao et al.,](#page-8-14) [2021\)](#page-8-14), which fo- 401 cuses on the likelihood of a model generating each **402** proposed answer rather than directly evaluating the **403** generated text itself. To enhance the granularity **404** and relevance of our analysis, we introduce modi- **405** fications to the Harness codebase. Instead of com- **406** puting the likelihood of generating only the answer **407** choice labels (a, b, c, or d), we extend the compu- **408** tation to encompass the likelihood of generating **409** the complete answer text. This modification pro- **410** vides a more detailed understanding of the model's **411** performance, as it takes into account the entire an- **412** swer generation process, including the ability to 413 articulate reasoning and justify the selected answer **414** choice. 415

To evaluate the efficacy of MedPrompt prompt- **416** ing strategies, we integrate these prompts into the **417** Harness framework. This involves generating rea- **418** soning chains based on the prompts and then using **419** Harness to assess the likelihood of the model pro- **420** ducing the final answer derived from these chains. **421** This approach allows us to evaluate the impact of **422** specific prompting techniques on the model's abil- **423** ity to reason through complex clinical scenarios. **424**

Throughout our evaluation, we report accuracy **425** as the primary metric across all tables, providing **426** a clear and interpretable measure of the models' **427** proficiency in clinical QA tasks. **428**

5

	# of parameters	MedQA	USMLE	MMLU	MedMCQA
BioMistral (Labrak et al., 2024)	7В	45.09	46.67	63.63	44.58
Clinical Camel (Toma et al., 2023)	70 _B	53.42	54.35	69.75	47.01
MediTron (Chen et al., 2023)	70 _B	51.14	57.31	68.26	42.36
Med42 (Christophe et al., 2024)	70 _B	61.52	72.01	76.71	60.93
Mistral 7b Instruct	7B	42.89	48.18	62.75	43.32
Mistral 7b F (ours)	7Β	54.28	62.63	68.30	58.11
Mistral 7b N (ours)	7В	60.72	61.97	70.35	58.57
Mistral 7b $P + F$ (ours)	7Β	58.36	63.84	72.28	60.84
Mistral 7b $P + N$ (ours)	7В	62.69	63.98	73.45	59.79
Mixtral 8x7b Instruct	46.7B	52.55	65.99	75.78	53.74
Mixtral 8x7b F (ours)	46.7B	62.60	72.68	79.10	62.85
Mixtral 8x7b N (ours)	46.7B	66.93	70.05	79.57	64.64
Mixtral 8x7b $P + F$ (ours)	46.7B	67.09	73.57	79.92	65.29
Mixtral 8x7b $P + N$ (ours)	46.7B	68.34	72.82	79.84	65.34

Table 2: Accuracy over multiple clinical QA tasks. F stands for Instruct-Finetuning, P stands for Pretraining, and N stands for NEFTune. We show that our models improve on all tasks as we gradually add more training techniques.

Figure 2: Evolution of MedQA accuracy for Mistral-7b and Mixtral 8x7b base models as well as our instructed versions of Mistral-7b during continuous pretraining. P^t : Continuous Pretrained with variable numbers of tokens t, F : Instruct Finetuned. We show that, while base model accuracy remains consistent, applying instruct-finetuning leads to notable improvements.

⁴²⁹ 6 Results

 In this section, we present the results of our experi- ments, revealing key insights into the effectiveness of different training approaches for clinical lan-guage models.

 Non-instructed models can't be evaluated on QA tasks. Throughout our continuous pretrain- ing process, we saved multiple checkpoints and as- sessed their performance on our clinical QA bench- marks. Given the absence of instruction fine-tuning, we opted for a no-prompt evaluation format. As illustrated in Figure [2,](#page-5-0) a slight performance decline is observed between the base model (with **441** no pretraining) and the initial checkpoints. While **442** subsequent checkpoints exhibit gradual improve- **443** ment with increased exposure to clinical data, their **444** performance consistently trails behind the origi- **445** nal base model. This observation underscores the **446** critical role of instruction fine-tuning in equipping **447** LLMs with the necessary skills to effectively com- **448** prehend and respond to questions in the clinical **449** domain. **450**

Instruct Fine-tuning Specializes the Model for **451** QA Data The remarkable leap in performance **452**

 observed in Table [2](#page-5-1) after instruct fine-tuning highlights the efficacy of this approach in align- ing LLMs with the specific demands of clinical question-answering tasks. While this outcome is not surprising or novel, it reaffirms the established effectiveness of fine-tuning methodologies in adapt- ing models to specific domains. By exposing the models to a curated dataset of instructions and cor- responding answers, we effectively specialize both Mistral and Mixtral to formulate answers in the clinical domain. This targeted training approach en- hances the models' ability to understand the intent behind questions, use the knowledge acquired dur- ing pretraining, and generate accurate, relevant, and informative responses. The substantial gains ob- served across all benchmarks show the critical role of instruct fine-tuning in bridging the gap between general language understanding and specialized clinical expertise, ultimately empowering LLMs to excel in medical question answering.

 Continuous Pretraining Shows Consistent Per- formance Gains To assess the impact of contin- uous pretraining on downstream performance, we conducted a comprehensive evaluation by instruct- fine-tuning various checkpoints saved during the pretraining process. Figure [2](#page-5-0) illustrates the per- formance trajectory of these models as they are exposed to increasing amounts of pretraining data. Initially, the gains are relatively minor, particularly within the first 100 billion tokens. However, as the models continue to learn from the vast corpus of clinical text, we observe a gradual and steady improvement in their performance across a range of QA benchmarks.

 This trend suggests that continuous pretraining serves as a valuable foundation, gradually enhanc- ing the model's understanding of clinical concepts and terminology. As the models assimilate more domain-specific knowledge, they become better equipped to leverage the instruction data during fine-tuning, ultimately leading to superior perfor-mance on clinical QA tasks.

 Table [2](#page-5-1) provides a detailed breakdown of the performance gains achieved through continuous pretraining across various benchmarks. Notably, our continuously pretrained models consistently outperform state-of-the-art models, including the instruct-tuned versions of both Mistral and Mixtral. These results underscore the efficacy of continuous pretraining in equipping LLMs with the necessary domain knowledge to excel in clinical applications.

The magnitude of these gains, however, varies 504 across model sizes. Mistral-7B demonstrates signif- **505** icant improvement, while the larger Mixtral 8x7B 506 model exhibits more marginal, yet still consistent, 507 benefits. This suggests that while continuous pre- **508** training remains valuable for larger models, its im- **509** pact may be less pronounced compared to smaller **510** counterparts, potentially due to the diminishing **511** returns of additional data for already extensive ar- **512** chitectures. These findings demonstrate the impor- **513** tance of carefully weighing the computational costs **514** and performance benefits of continuous pretraining, **515** particularly for larger LLMs. **516**

Adding noise helps finetuning. In our exper- **517** iments, we observed an intriguing phenomenon **518** with the NEFTune technique, originally proposed 519 in [\(Jain et al.,](#page-8-0) [2023\)](#page-8-0). While the authors demon- **520** strated that NEFTune applied to LLaMA-2 7B 521 maintained Harness accuracy across several QA **522** tasks, we show in Table [2,](#page-5-1) that for Mistral-7B, **523** it not only preserved but, in most cases, even im- **524** proved the model's performance. This performance **525** increase was consistent across both the base model **526** and the continuously pretrained model. This re- **527** sult is particularly surprising as NEFTune was pri- **528** marily designed to enhance generation quality, not **529** necessarily benchmark accuracy. We hypothesize **530** that the injection of noise during training might act **531** as a form of regularization, preventing overfitting **532** and leading to better generalization on downstream **533** tasks. However, the exact mechanisms behind this **534** improvement warrant further investigation. This **535** result suggests that the benefits of NEFTune extend **536** beyond its intended purpose, potentially influenc- **537** ing the model's ability to reason and select the most **538** likely answer. **539**

Prompt Engineering makes the difference. Fig- 540 ure [3](#page-7-0) showcases the potential of MedPrompt as **541** a viable alternative to traditional fine-tuning and **542** pretraining techniques. By incorporating Chain-of- **543** Thought (CoT) prompting and KNN CoT ensem- **544** bles, we achieve substantial performance gains for **545** the Mixtral-Instruct model on various clinical QA **546** tasks. The effectiveness of MedPrompt is consis- **547** tently observed across different model configura- **548** tions: fine-tuned models outperform their non-fine- **549** tuned counterparts, and pretraining followed by **550** fine-tuning further amplifies these improvements. **551** Notably, by employing MedPrompt with CoT and **552** KNN CoT ensembles, we elevate MedQA accuracy **553**

Figure 3: Evolution of MedQA accuracy using MedPrompt over different versions of Mixtral.

 from 52.55% with the baseline Mixtral-Instruct model to a value exceeding 75%. These results not only show the potential of advanced prompting strategies like MedPrompt to significantly enhance LLM performance in clinical applications without requiring computationally expensive fine-tuning or pretraining procedures, but also highlight the crucial role of pretraining in establishing a strong foundation for further improvement.

⁵⁶³ 7 Conclusion and Discussions

 In this study, we have systematically investigated the impact of continuous pretraining on in-domain clinical data, in conjunction with instruct fine- tuning and advanced prompting strategies, on the performance of LLMs in clinical question- answering tasks. Our findings demonstrate that continuous pretraining, while yielding modest im- provements compared to other techniques, remains a valuable tool for enhancing LLM performance in the clinical domain. While continuous pretrain- ing can often be challenging due to instability is- sues, we have shown that by carefully balancing in-domain clinical data with general language data, we can effectively mitigate these challenges and achieve consistent performance gains.

 Furthermore, we have demonstrated that the ben- efits of continuous pretraining extend beyond the initial training phase, as it lays a solid foundation for subsequent instruct fine-tuning and the appli- cation of complex prompting techniques like Med- Prompt. The synergy between continuous pretrain- ing and these additional methods results in state- of-the-art performance on a variety of clinical QA benchmarks, outperforming existing models like the instruct-tuned versions of Mistral and Mixtral.

589 Our research opens up several avenues for future **590** exploration. Further ablation studies could examine the effects of different domain data sources, **591** beyond the clinical realm, on LLM performance. **592** Additionally, a more comprehensive analysis of the **593** optimal data mix for continuous pretraining, includ- **594** ing varying proportions of in-domain and general **595** language data, could yield valuable insights for **596** maximizing the benefits of this technique. **597**

This study provides a comprehensive framework **598** for optimizing clinical LLM performance. Our **599** findings offer valuable insights for future research **600** and development efforts aimed at leveraging LLMs **601** to address challenges and opportunities presented **602** by the healthcare domain. **603**

8 Limitations **⁶⁰⁴**

While our research offers valuable insights into **605** optimizing clinical LLMs, it is not without limita- **606** tions. Primarily, our study focused on a specific **607** set of models (Mistral and Mixtral) and a limited **608** number of clinical OA datasets. While we strive for **609** diversity in our benchmark selection, the generaliz- **610** ability of our findings to other LLM architectures **611** or clinical tasks remains an open question. **612**

Additionally, the computational resources re- **613** quired for continuous pretraining, particularly for **614** larger models, may pose a barrier for widespread **615** adoption. Further investigation into more efficient **616** pretraining methods could address this limitation. **617**

Finally, while our evaluation framework pro- **618** vides a comprehensive assessment of model perfor- **619** mance on QA tasks, it does not fully capture the nu- **620** ances of real-world clinical applications, where fac- **621** tors like explainability, bias mitigation, and safety **622** are paramount. Future research should explore **623** these aspects in greater detail to ensure the respon- **624** sible and effective deployment of LLMs in health- **625** care settings. 626

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928 A Appendix: Supplementary Materials

929 A.1 Finetuning Dataset Mix

† The following categories were included: "academia", "bioinformatics", "biology", "cogsci", "fitness", "health".

‡ Only samples in English were used.

\$ The following subjects were included: "anatomy", "clinical knowledge", "college medicine", "medical genetics",

"professional medicine", "college biology", "high-school biology", "professional psychology", "high-school psychology", "human sexuality", "human aging", "nutrition", and "virology".

* Samples from 47 tasks (from over 1,000 tasks) related to science, healthcare and medicine were included.

Table 3: Summary of subsets of the data used for fine-tuning the models. Note that medical-domain data correspond to approximately 60% of the entire dataset.

A.2 Prompt formats **930**

```
< | system | >: You are a biomedical expert. Select the correct
option for the following question:
<|prompter|>: {question}
\{Option 1\}\{Option\ 2\}{Option 3}\{Option 4\}\{Option\ 5\}<|assistant|>: The correct answer is: {Correct option}
```
Figure 4: Zero-shot prompt format on a sample from MedQA

```
<|system|>: You are a biomedical expert. Select the correct
option for the following question:
<|prompter|>: {question}
{Option 1}\{Option\ 2\}{Option 3}\{Option 4\}{Option 5}< | assistant | >: Let's think step-by-step. {COT reasoning} Th
erefore, the correct answer is: {Correct option}
```
Figure 5: Chain-of-thought prompt format on a sample from MedQA