Counting What Deserves to be Counted for Graph Parsing

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Abstract

Graph parsers rely on scoring every subgraphs 001 for building a complete graph. In real syntactic parsing or semantic parsing, every types of subgraphs in terms of syntactic or semantic roles may generate quite unbalanced dis-006 tribution, which seems not well captured by the current graph paring models. Thus we propose an enhanced model design to let the parser explicitly capture such kind of unbalanced distribution. In detail, we introduce Accumulative Operation-based Induction (AOI) attention mechanism to assign accumulative scores for words. AOI scorer successfully approximates word-level unbalanced distribution. With conceptually simple but general-purpose design, our proposed AOI attention enhancement in-016 deed leads to better parsing performance on a 017 018 wide range of datasets of different parsing tasks, which verifies the scalability and robustness of capturing diverse subgraph distribution.

1 Introduction

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Graph parsing models have been successfully applied onto syntactic and semantic parsing tasks.
Generally, graph parser relies on training some kind of subgraph scorers, and the parser itself just simply searches for a complete graph in terms of maximizing the score summing all subgraphs. In practical applications, the computational complexity of graph parsers depends on the order of the model, namely, the number of edges in a subgraph. For the sake of parsing efficiency, order-1 graph parsing is mostly applied.

Thanks for the well-developed deep learning techniques, it offers powerful representation learning ability to enable the subgraph scorer in graph parsers can accurately capture really salient features, and thus yields new high parsing performance for years. However, we argue that the current graph parsers still miss an important part in subgraph scoring when they perform syntactic or semantic parsing tasks. We take order-1 graph



Figure 1: An example of semantic predicate-argument dependency parsing graph.

parsing as example in Figure 1, in which every subgraph consists of two words and one edge representing their relationship. The corresponding subgraph scorer may be as simple as just determining if such relationship exists for the two words. When we take syntactic or semantic roles of the words into consideration, we will find there comes an unbalanced distribution from every subgraphs for complete graph building.

For instance, a noun (*NN* as part-of-speech tag) has nearly $3 \times$ higher probability than an adjective (JJ as part-of-speech tag) to be an augment (79.3% v.s. 20.1%) in a semantic dependency parsing dataset (Oepen et al., 2015) for predicateargument structures. There are also trivial labels in the parsing graph, like edges with DT heads will be of high probability (98.8%) to have a det_{A1} label. Unbalanced distribution issue not only occurs in word-level, but edge-level as well since 66.5%edges point to augments right to predicates in this dataset. Moreover, the appearance of second-order structures in parsing graph (Wang et al., 2019) also indicates that there should be even higher level correlation between edges. Solving higher-level unbalance requires complex inducting techniques like the second-order parser (Wang et al., 2019). But word-level unbalance, many of which are constrained by trivial rules, can be solved with rather simple techniques like the attention mechanism.

In this paper, we evaluate and mitigate the wordlevel unbalance in graph parsing. Our direct intuition is to use the attention mechanism to approximate the unbalanced distribution. The attention

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075layer is positioned before the pairwise scorer to se-076lect candidates in advance for certain relationships,077including edge existence and label type. After the078attention layer filters candidates that are unlikely079to be a head or dependent, the pairwise score can080concentrate on discerning more complex patterns081of remained candidates.

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To select or filter candidates in practice, we propose an Accumulative Operation-based Induction (AOI) attention scorer for parsing. AOI uses a onedimensional attention to select candidates for heads and dependents. The attention scores are pooled from global attention scores on multiple attention heads. Compared to conventional global attention mechanisms, accumulated attention enjoys a higher capacity of capturing attention distribution in multiple dependency spans.

Results from our experiments on a wide variety of graph parsing datasets have shown AOI to successfully approximate the word-level unbalanced distribution. Thus, AOI leads to prominent improvement on performance for these parsing tasks compared to the BiAF scorer.

Our contributions are listed as follows:

- We analyze the unbalanced distributions of heads and dependents in parsing graphs and leverage it for improving performance.
- We propose a novel attention scorer, AOI, to better approximate the distribution of candidates for parsing graphs than previous scorers.
- Results from our experiments show that AOI outperforms previous parsers significantly on a wide range of tasks and datasets.

2 Unbalanced Distribution Issue

We show the existence of the unbalanced distribution issue in a wide range of datasets in this section. Specifically, we study the correlation between heads and dependents and their part-of-speech. Due to the length limitation, we only present results on semantic predicate-argument and syntactic dependency graphs here.

Under ideal circumstances, edge distributions are independent of heads or dependents' part-of-speech.

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$$q_E = p(E_{ij} = 1 | POS_i^h) = p(E_{ij} = 1 | POS_j^d)$$

$$\frac{1}{c} = p(C_{ij} | POS_i^h, E_{ij} = 1) = p(C_{ij} | POS_j^d, E_{ij} = 1)$$

	POS	q_E	H(C)	C_{most}	Prop.
	IN	1.00	1.74	$prep_{A2}$ (44.1%)	20.1%
	DT	0.97	0.11	det_{A1} (98.8%)	8.73%
	JJ	0.98	0.22	adj _{A1} (97.3%)	6.54%
Head	VBD	1.00	1.76	$verb_{A1}$ (44.3%)	6.54%
	,	1.00	1.67	$punct_{A1}$ (63.6%)	6.22%
	VB	0.98	1.68	$verb_{A1}$ (43.2%)	4.99%
	Uni.	0.70	5.39	- (2.4%)	-
	NN	0.79	3.43	det_{A1} (22.3%)	32.3%
	NNS	0.97	3.42	adj_{A1} (20.7%)	16.7%
	NNP	0.53	3.29	$noun_{A1}$ (33.1%)	11.7%
Dep	VB	0.90	3.42	$comp_{A1}$ (21.0%)	6.84%
	VBD	0.69	3.00	$punct_{A1}$ (29.6%)	5.19%
	VBN	0.80	3.06	aux_{A2} (32.1%)	4.83%
	Uni.	0.41	5.39	- (2.4%)	-

Table 1: Word-level unbalance on semantic predicateargument dependency dataset SemEval2015 (Oepen et al., 2015).

	POS	q_E	H(C)	C_{most}	Prop.
	NN	0.72	3.48	det (27.7%)	22.8%
	VBD	0.77	3.10	punct (28.6%)	10.3%
	NNS	0.82	3.51	amod (23.9%)	10.1%
Head	IN	0.86	0.84	pobj (88.6%)	9.46%
	NNP	0.38	2.94	nn (40.4%)	8.84%
	VB	0.83	3.34	aux (25.9%)	7.98%
	Uni.	0.42	5.45	- (2.3%)	-
	NN	1.00	3.07	pobj(29.9%)	14.0%
	IN	1.00	1.05	prep(82.8%)	10.4%
	NNP	1.00	2.43	nn(47.5%)	9.77%
Dep	DT	1.00	0.41	det(95.5%)	8.61%
-	JJ	1.00	1.48	amod(80.0%)	6.50%
	NNS	1.00	2.55	pobj(39.3%)	6.33%
	Uni.	1.00	5.45	- (2.3%)	-

Table 2: Word-level unbalance on syntactic dependency dataset Penn Treebank (Marcus et al., 1993).

where $E_{ij} \in \{0,1\}$ refers to the existence of an edge from *i*-th word to *j*-th word and $C_{ij} \in \{1, \dots, c\}$ refers to the label of the edge. q_E represents a fixed probability for an edge to exist. As the existence probabilities of edges are uniform, the information entropy of classes $H(C) = \sum_i (-p_i \log_2(p_i))$ will always be its maximum, $\log_2 c. H(C)$ will drop when part-of-speech contains information about the edge label.

Obviously, this is not the case for edge and label distributions in parsing graphs. What makes things even worse, the issue occurs in types of edges that frequently appear in the parsing graph. We list the top-6 most frequent part-of-speech at the head or dependent in Tables 1 and 2 for syntactic and semantic dependency treebanks. *Uni.* refers to every part-of-speech under the ideal circumstance that edges and labels appear with the same probability in every position. q_E of *Uni.* is estimated based

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on the statistical property of existing graphs and H(C) is maximized under *Uni*. circumstance. For a direct understanding of the label unbalance, we propose C_{most} which represents the most common label on edges grouped by heads and dependents. Proportion of C_{most} is $\frac{1}{c}$ under *Uni*. circumstance.

Semantic Dependency Graph In semantic de-145 pendency graphs, the most prominent property is 146 the high density of edges with heads in a certain 147 part-of-speech. All 6 part-of-speeches are corre-148 lated to at least one edge with extremely high prob-149 ability (> 98%). IN, DT and COMMA (,) will be 150 the head of an edge with full confidence. 29 in 44 151 part-of-speeches have $q_E > 0.95$, showing a large 152 group of part-of-speeches to be decisive for the ex-153 istence of edges. For labels, their distributions are 154 also uniform as H(C) for heads are less than $\frac{1}{3}$ of 155 the maximum, indicating heads' part-of-speeches to carry much information about the edge labels. 157 Part-of-speeches like DT and JJ have extremely 158 low H(C), 0.11 and 0.22 respectively. They may 159 directly point to certain edge labels, which makes the predictions trivial on these edges. 161

Syntactic Dependency Graph Distributions in syntactic dependency graphs are similar to semantic ones except that each word in the sentence acts as a dependent due to the property of the dependency tree. Trivial labels also exist on edges with *IN* head part-of-speech and *DT*, *JJ* dependent part-of-speech.

3 Model and Method

3.1 Background

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We first give a general description about the BiAF model as the basis for further discussion. For a sentence $W = [w_1, w_2, ..., w_n]$ with nwords, BiAF embeds those words and their features (lemma, part-of-speech, character) to representations X_{word} and X_{feat} with d_{word} and d_{feat} dimensions respectively and concatenate them to $X \in \mathbb{R}^{n \times (d_{word} + d_{feat})}$.

 $X = \text{Embed}(W) = [X_{word} || X_{feat}].$

180The embedding is contextualized through bidi-181rectional long short term memory (BiLSTM)182(Hochreiter and Schmidhuber, 1997) network. Two183Multi-layer Perceptrons (MLPs) then project out-184put from BiLSTM to two different latent spaces185 X^h, X^d for head and dependent representations in

a pair.

$$X = \text{BiLSTM}(X),$$

$$X^{h}, X^{d} = \text{MLP}^{h}(X), \text{MLP}^{d}(X).$$
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Above is the procedure of the BiAF encoder to encode sentence W. We then describe how the BiAF scorer uses the representations to produce the final score. The BiAF edge scorer contains a weight tensor $U^{edge} \in \mathbb{R}^{d \times 2 \times d}$ and the BiAF label scorer contains a weight tensor of shape $U^{label} \in \mathbb{R}^{d \times c \times d}$, where d refers to the encoding dimension in the encoder and c refers to the number of classes for classification. The BiAF scorer uses those weight tensors and biases b^{edge} and b^{label} to score as follows:

$$\begin{aligned} \operatorname{BiAF}(x,y) &= x^{T}Uy + b, \\ S_{ij}^{edge} &= \operatorname{BiAF}^{edge}(X_{i}^{d;edge}, X_{j}^{d;edge}), \\ S_{ij}^{label} &= \operatorname{BiAF}^{label}(X_{i}^{h;label}, X_{j}^{d;label}). \end{aligned}$$

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3.2 AOI Scorer

AOI shares the same encoder as in BiAF, and thus we only describe the AOI scorer in this section. For predicting scores for edges and different labels, we first use different MLPs to project them to separate latent scores. In MLP^t, $t \in$ $\{edge, label_1, label_2, \ldots, label_k\}$ where k refers to the number of labels. These MLPs are *specific* MLPs as they project representations for a specific type of scoring. Correspondingly, MLPs in the encoder are *general* MLPs.

$$X^{h}, X^{d} = \mathsf{MLP}^{t}(X^{h}), \mathsf{MLP}^{t}(X^{d}).$$

Here, superfix t is omitted for output as we provide a unified procedure for inference on representations of different types.

Our AOI scorer consists of two attentional subscorers, SelfAttn scorer and Multi-head Gathering Attention (MHGAttn) scorer. In SelfAttn Scorer, we use a single-headed self-attention mechanism, where we obtain dot product scores $S_{i,j}^{SA}$ for edge or label.

$$S_{i,j}^{SA} = X_i^h \cdot X_j^d.$$
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MHGAttn is responsible for assigning candidate attention scores. For $X_i^h, X_j^d \in \mathbb{R}^t$ where $t = p \times q$, we split them into p attention heads with dimension $q: X_{i,1}^h, X_{i,2}^h, \ldots, X_{i,p}^h$ and $X_{j,1}^d, X_{j,2}^d, \ldots, X_{j,p}^d$. For *t*-th attention head of each representations, we get the timestep-averaged representations as global representations.

$$G_t^h, G_t^d = \frac{1}{n} \sum_{m=1}^n X_{m,t}^h, \frac{1}{n} \sum_{m=1}^n X_{m,t}^d.$$
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Figure 2: Overall architecture of our proposed graph parser and illustration of subscorers.

Those global representations are then concatenated with each attention head are projected to onedimension energy scores E and passed through softmax function for attention distribution on this head.

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$$\begin{split} E_{i,t}^{h}, E_{j,t}^{d} &= \mathsf{MLP}([X_{i,t}^{h}||G_{t}^{h}]), \mathsf{MLP}([X_{j,t}^{d}||G_{t}^{d}]), \\ E_{i,t}^{h}, E_{j,t}^{d} &= \frac{exp(E_{i,t}^{h})}{\sum_{m=1}^{n} exp(E_{m,t}^{h})}, \frac{exp(E_{j,t}^{d})}{\sum_{m=1}^{n} exp(E_{m,t}^{d})} \end{split}$$

The attention scores for head and dependent are max pooled attention scores on different attention heads. Mutual product between those scores produces the final MHG attention scores for candidates in the sentence. For the balance of attention on sentences with different lengths, candidate attention is multiple by the sentence length n which acts as a modifier for attention density.

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$$E_{i}^{h} = max(E_{i,1}^{h}, E_{i,2}^{h}, \dots, E_{i,p}^{h}),$$

$$E_{j}^{d} = max(E_{j,1}^{d}, E_{j,2}^{d}, \dots, E_{j,q}^{d}),$$

$$S_{i,i}^{MHG} = E_{i}^{h} \times E_{j}^{d} \times n$$

The SelfAttn subscorer focuses on the general assessment of the relation of head-dependent pairs, while the MHGAttn subscorer considers this from a more global view. In order to integrate the advantages of the two subscorers, we adopt a direct product operation on the attention scores from Self-Attn and MHGAttn subscorers to obtain the final attention scores for AOI scorer.

$$S_{i,j} = S_{i,j}^{SA} \times S_{i,j}^{MHC}$$

254 Difference between candidate attention and bias
255 in BiAF BiAF contains two bias scorers in word256 level. However, scores from these scorers are used
257 to directly modify the logits for prediction. Thus, it

still attends to each word equally since adding extra bias will not modify the scale of backward gradients for parameter updating. In contrast, candidate attention in AOI does not change the predicting results from pairwise scorers but instead scales the prediction. The gradient flow of backward propagation will be weakened from predictions that are considered to be trivial by the attention. Thus, AOI attends on non-trivial parts of training, which improves the resulting performance by scaling the weight of training data. 258

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4 Experiment

4.1 Dataset

Our main experiments are conducted on multiple graph parsing dataset.

- **SemDP** We choose SemEval-2015 dataset (Oepen et al., 2015) with three subtasks DM, PAS, PSD, each contains in-domain (ID) and out-of-domain (OOD) test data.
- Multilingual SemDP We also conduct experiments on multilingual semantic dependency parsing datasets including Chinese (CZ) and Czech (CS) to verify the cross-language generalization of our method.
- **SynDP** Traditional Penn Treebank (PTB) and Chinese Peen Treebank (CTB) (Marcus et al., 1993) benchmarks are used for model evaluation and performance comparison.
- SynCP Like in SynDP, PTB and CTB benchmarks are used for evaluation and comparison. 28

	POS	Bi	AF	AOI		
	100	KL^E	KL^C	KL^E	KL^C	
	IN	0.011	0.004	0.001	0.001	
	DT	0.000	0.006	0.000	0.000	
	JJ	0.004	0.011	0.000	0.002	
Head	VBD	0.001	0.032	0.000	0.030	
	,	0.078	0.001	0.028	0.000	
	VB	0.003	0.014	0.000	0.012	
	NN	0.000	0.011	0.000	0.008	
	NNS	0.000	0.014	0.000	0.012	
	NNP	0.000	0.001	0.000	0.001	
Dep	VB	0.000	0.040	0.000	0.025	
•	VBD	0.001	0.025	0.000	0.015	
	VBN	0.001	0.073	0.000	0.059	

Table 3: Distance (relative entropy) between predicted and real distributions on semantic predicate-argument parsing .

4.2 Training Configuration

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The full configuration is omitted here and can be found in Appendix A. For embedding, we use pretrained GloVe embedding (Pennington et al., 2014) for fine-tuning. Features, including char, lemma, and POS, are incorporated through concatenation. BERT embedding is projected to lower dimensions and concatenated as a feature. Representation dimensions of edges and labels in the AOI scorer are the same as the output of the encoder. As DM and PAS dependency edges are more concentrated to several words than PSD edges, we use 2 attention heads in AOI for DM/PAS and 4 attention heads for PSD. For constituent parsing, we set attention heads in AOI scorer to 2. Dropout (Srivastava et al., 2014) is added to Embedding Layers, MLPs and LSTMs to prevent overfitting.

To be more detailed in training process, we use Adam optimizer (Kingma and Ba, 2015) for parameter updating. Cross entropy loss is calculated for optimization, and only labels on exist edges involve in loss calculation for the label scorer. For BERT, we apply *bert-large-cased* for English datasets, *bert-base-chinese* for Chinese datasets, and *bertbase-multilingual-cased* for multilingual datasets.

4.3 Unbalanced Distribution Approximation

The results for unbalanced distribution approximation are presented in Table 3 and 4. Relative entropy is applied to evaluate the distance between distributions of predictions and real data. The edge distribution is 2-dimension and the label distribution is *c*-dimension. AOI approximates the real distribution prominently better as the distance is

	POS	Bi	AF	A	AOI		
		KL^E	KL^C	KL^E	KL^C		
Head	NN VBD NNS IN NNP VB	$\begin{array}{c} 0.000\\ 0.001\\ 0.000\\ 0.000\\ 0.000\\ 0.000\\ 0.001 \end{array}$	0.052 0.040 0.053 0.050 0.026 0.044	0.000 0.000 0.000 0.000 0.000 0.000	0.046 0.034 0.049 0.040 0.021 0.040		
Dep	NN IN NNP DT JJ NNS	$\begin{array}{c} 0.000\\ 0.000\\ 0.000\\ 0.000\\ 0.000\\ 0.000\\ 0.000\end{array}$	$\begin{array}{c} 0.022 \\ 0.014 \\ 0.042 \\ 0.030 \\ 0.086 \\ 0.095 \end{array}$	$\begin{array}{c} 0.000\\ 0.000\\ 0.000\\ 0.000\\ 0.000\\ 0.000\\ 0.000\end{array}$	0.021 0.010 0.039 0.027 0.056 0.087		

Table 4: Distance (relative entropy) between predicted and real distributions on syntactic dependency parsing.

reduced for all part-of-speeches on both syntactic and semantic dependency graphs, except for some cases that relative entropy is lower than 0.001. 321

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As semantic dependency graph is in rather an irregular pattern compared to the syntactic dependency graph, AOI reduces more distribution distance of edge existence on semantic dependency graphs. For label distribution, the distance reduction is significant and can be attributed to MH-GAttn's label-wise candidate attention assigning, which modifies the label distributions by attention scores.

4.4 Semantic Parsing Results

English SemDP Results from our experiments on English SemDP datasets are shown in Table 5. We re-implement the BiAF parser and find its performance close to previously reported results. We then run our AOI parser on these datasets and find a salient performance improvement, especially on the PSD dataset, where the AOI parser results in nearly 1.0 F1 score improvement. On average, the AOI parser leads to about 0.6 F1 score improvement on both ID and OOD datasets from the previous baseline BiAF parser. Remarkably, AOI has reached a new SOTA with no extra auxiliary mechanism by defeating the BiAF model with secondorder method incorporated as auxiliary mechanism (Wang et al., 2019). We also compare the performance of BiAF and AOI with the incorporation of second-order refining and BERT. Experiment results have shown AOI still results in more significant improvement, which is strong proof of the efficiency of our AOI parser.

Model	D	DM		PAS		PSD		Avg	
	ID	OOD	ID	OOD	ID	OOD	ID	OOD	
(Du et al., 2015)	89.09	81.84	91.26	87.23	75.66	73.28	85.34	80.78	
(Almeida and Martins, 2015)	88.21	81.75	90.88	86.88	76.36	74.82	85.15	81.15	
(Peng et al., 2017)	90.40	85.30	92.70	89.00	78.50	76.40	87.20	83.60	
(Wang et al., 2018)	90.30	84.90	91.70	87.60	78.60	75.90	86.90	82.80	
BiAF (Dozat and Manning, 2018)	93.70	88.90	93.90	90.60	81.00	79.40	89.50	86.30	
BiAF	93.52	88.92	93.87	90.78	81.30	79.27	89.56	86.32	
AOI	<u>93.92</u>	<u>89.32</u>	<u>94.18</u>	<u>91.15</u>	<u>82.27</u>	<u>79.78</u>	<u>90.12</u>	<u>86.75</u>	
BiAF2o (Wang et al., 2019)	93.90	89.50	94.20	91.30	81.40	79.50	89.80	86.80	
AOI2o	94.21	<u>89.78</u>	94.33	<u>91.50</u>	82.61	80.12	90.38	87.14	
BiAF (w/ BERT)	94.61	91.59	95.04	93.04	82.98	80.10	90.87	88.24	
AOI (w/ BERT)	<u>95.08</u>	<u>91.80</u>	95.31	93.64	83.96	81.05	91.45	<u>88.83</u>	

Table 5: Comparison of results on SemEval-2015 SemDP datasets. <u>Underline</u>: significant improvement (p < 0.05).

Model	CS-	PSD	CZ-PAS	Avg
	ID	OOD	ID	8
BiAF	86.12	71.05	86.70	81.29
AOI	86.67	71.61	<u>87.60</u>	<u>81.96</u>
BiAF (w/ BERT)	87.04	72.98	88.90	82.97
AOI (w/ BERT)	87.68	73.44	89.29	83.47

Model	P	ГВ	СТВ		
	UAS	LAS	UAS	LAS	
BiAF	95.88	94.25	85.43	82.79	
AOI	96.07	94.42	85.76	83.08	
BiAF (w/ BERT)	96.62	94.97	90.62	88.62	
AOI (w/ BERT)	96.79	95.15	90.75	88.81	

Table 6: Comparison of results on multilingual SemDP datasets.

Multilingual SemDP As Table 6, AOI still shows salient performance improvement on multilingual SemDP as it outperforms the baseline BiAF model by 0.9 F1 score on the Chinese PAS-ID dataset. On average, AOI remarkably leads to 0.67 F1 score improvement from the baseline. With the incorporation of multilingual BERT, the performance of parsers gets improved, and AOI still outperforms the baseline by keeping a gap of 0.50 F1 score on average.

4.5 Syntactic Parsing Result

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To illustrate the cross-task effectiveness of our proposed AOI scorer, we also conducted experiments on syntactic parsing. Due to the difference in task between syntactic parsing and semantic dependency parsing, the advantages of AOI over BiAF will no longer be obvious. Therefore, the comparison of other tasks mainly illustrates the lower limit of the performance of our scorer under the situation without special data features.

374 Syntactic Dependency Parsing SynDP is a task
375 that is similar to SemDP, but it is relatively simpler.
376 Since in the task definition, a dependent has only
377 one head, therefore does not require as much rea378 soning as in SemDP. In the evaluation of SynDP,

 Table 7: Comparison of results on syntactic dependency parsing datasets.

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the results of each model are shown in Table 7. The comparison shows that our AOI scorer still outperforms the BiAF baseline on the SynDP task, while the improvement is not as significant as on the SemDP task. Because the task is relatively simple and BiAF is strong enough for it, the baseline performs exceptionally well. As a result, compared to BiAF, our AOI method is not only comparable but also outperforms it in PTB and CTB, demonstrating that our AOI is a general parsing scorer.

Syntactic Constituency Parsing Although SynCP is not a head-dependent pair classification task in a narrow sense, and its span division scoring can be modeled as a pair classification task on the left and right boundaries of the span. Therefore the BiAF and AOI pair scorers can be employed as well. In the SynCP task, our AOI produced fairly similar results as BiAF, confirming that our AOI and BiAF scorers perform similarly in general parsing tasks. When parsing tasks like SemDP require more global reasoning, AOI can provide a significant performance boost.

Generally speaking, AOI boosts performance more on SemDP tasks. This can be explained by comparison between Table 3 and 4 in which more unbalance exists in edge distributions of semantic

Model		РТВ		СТВ		
	LP	LR	LF1	LP	LR	LF1
BiAF	94.18	93.96	94.07	88.77	88.92	88.85
AOI	94.25	94.16	94.20	89.44	89.16	89.29
BiAF (w/ BERT)	95.67	95.29	95.48	92.13	91.94	92.03
AOI (w/ BERT)	95.75	95.47	95.61	92.46	92.27	92.36

Table 8: Comparison of results on constituency parsing datasets.

parsing graphs. Thus, there are more edges for the
rectification of the MHGAttn on candidates, which
results in a better parsing graph produced.

4.6 How about directly using POS for scaling?

Other than AOI, another choice is to learn part-409 of-speech-based weights to scale the attention on 410 different positions of the parsing graph. We add 411 such an attention scorer to BiAF and find the re-412 sults not comparable to AOI's (81.84 v.s. 82.27 413 F1 on PSD-ID and 94.31 v.s. 94.42 LAS on PTB). 414 This can be attributed to the fact that unbalance is 415 more complex than just POS-to-label and should 416 be learned by more carefully designed structures. 417 Still, adding such a modifier will benefit the train-418 ing of the parser as the results are higher than the 419 initial BiAF. 420

5 Further Analysis

5.1 Ablation Study

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We conduct the ablation study on PSD-ID dataset for the SemDP task. Removing the MHGAttn Scorer results in a drop of 0.55 F1 score (81.72) and using only one attention head leads to a drop of 0.18 F1 score (82.09). These results verify the contributions of attention on candidates and the multi-head implementation of it.

5.2 Performance v.s. Complexity

Sentence Length We explore the robustness of our model by comparing its performance with the baseline BiAF model on sentences of different lengths. Intuitively, a longer sentence implicates higher complexity and makes it harder for the parser to parse. AOI shows strong robustness when parsing sentences with ordinary length, that is, fewer than 30 words. Also, AOI outperforms BiAF on both extremely long and rather short sentences, verifying the general performance improvement from our proposed AOI scorer.



Figure 3: Model Performance vs. Sentence Length (Upper) & Dependency Head (Lower) on SemEval 2015 PSD-ID dataset.

Number of Dependency Head Our AOI model shows high robustness when dependency heads in the sentence increase. AOI keeps a gap with the baseline BiAF on performance when parsing sentences of the different number of dependency heads. Moreover, while BiAF will degrade on sentences with more than 18 heads, our AOI still keeps a strong performance on those sentences.

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5.3 Case Study

Here we use a case study to show how our AOI scorer produces a better result than BiAF by taking advantage of unbalanced dependency distribution. We take edge building as an example, as shown in Table 4. In the left figure, the BiAF parses each component in the sentence equally. Thus it has missed the dependency edge from *deny* to *that*.

AOI instead assigns global attention to components. With multiple head attention, AOI chooses *Brokers, do* and *deny* as candidates for heads and *Brokers, n't* and *that* for dependents. Thereby, the AOI scorer can be more focused on assigning scores to the edges with a higher existing probability between those candidates. As a result, the AOI scorer is more capable of building edges between components and has built all dependency edges correctly as in the case above. Also, we can see the global attention for heads is concentrated on nouns (*Broker*) and verbs (*do, deny*), which proves



Figure 4: A case study. Left is the parsing result of BiAF and right is the parsing result of AOI. Deeper color refers to higher global attention (AMH attention) score.

the ability of our scorer to be concerned about and leverage the unbalanced dependency distribution of data.

6 Related Work

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Dependency parsers aim to build directional dependency edges between components in a sentence. Transition-based parsers (Wang et al., 2016, 2018; Fernández-González and Gómez-Rodríguez, 2020) maintained a stack and relied on the stack and context to choose actions like edge building to complete parsing. Graph-based parsers do this by scoring edge and label graphs of the sentence. Early graph-based parsers (Kiperwasser and Goldberg, 2016; Hashimoto et al., 2016) simply applies feed forward and recurrent neural network to score dependencies for building and labeling edges. The introduction of BiAF (Dozat and Manning, 2017, 2018; Zhang et al., 2020) significantly boosts the efficiency and performance of graph parsers on a variety of graph parsing tasks. High efficiency and performance of graph-based parsers even make some transition-based parsers (Fernández-González and Gómez-Rodríguez, 2020) use graph scorers to improve the prediction of transition actions.

Unbalance exists in parsing graphs at word-level and edge-level. To leverage these unbalance, CRFs (Jia et al., 2020a) and second-order mechanisms (Jia et al., 2020b; Wang et al., 2019) have been proposed to improve parsing performance. These works concentrate on relationships among edges while we aim to exploit word-edge correlations. We study unbalanced distributions related to partof-speeches and build a parser with better performance. The attention mechanism is widely used in the deep learning field. In computer vision, attention scoring is commonly used for models like SENet (Hu et al., 2017) and CBAM (Woo et al., 2018). The attention mechanism has also been successfully applied to NLP models including sequence-to-sequence with attention (Bahdanau et al., 2015) and self-attention mechanism-based models like Transformer (Vaswani et al., 2017).

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First proposed in the transformer structure (Vaswani et al., 2017) for neural machine translation, multi-head attention has drawn much attention from the whole NLP community so far. Multi-head attention can be applied for better generative models for language models (Guo et al., 2019; Sarkhel et al., 2020), and more precise understanding (Cheng et al., 2021; Jin et al., 2020; Kumar et al., 2020). Moreover, the contribution from multi-head attention has been carefully researched (Ampomah et al., 2020; Voita et al., 2019). For parsing, Li et al. (2019) used Transformer as an encoder for dependency parsing. Though multi-head attention is introduced initially as the self-attention between words, we develop this mechanism into global attention for scoring dependency edges.

7 Conclusion

In this paper, we elaborate on the unbalanced subgraph distribution issue in graph parsing. To mitigate the word-level unbalance, we propose a novel attention scorer AOI which applies accumulative attention to approximate the unbalance. Parsing on a wide variety of graph parsing tasks verifies the performance of AOI enriched parsers to be generally higher than conventional graph parsers.

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Embed	Embedding Dimension
Word Embed	100
Char	50
POS	100
Lemma	100
BERT	100
MLPs&BiLSTMs	Embedding Dimension
BiLSTMs	400×2
Edge MLPs	500
Label MLPs	160
AOI	Value
Edge Dimension	500
Label Dimension	160
Edge Head	2/4
Label Head	2/4
Dropout	Probability
Embed	0.33
MLPs	0.33
LSTMs	0.33
Optimizer	Value
Learning Rate	0.002
Adam μ	0.9
Adam ν	0.9
Batch Size	5000
Decay Rate	0.75
Decay Step	5000

Table 9: Full configuration of the AOI model

A Configuration