HREF: Human Response-Guided Evaluation of Instruction Following in Language Models

Anonymous ACL submission

Abstract

Evaluating instruction following in Language Models has heavily relied on using LLMs as judges. In this work, we reevaluate the common choices for automatic evaluation setup, e.g.: choice of model and prompting strategy, on a wide range of instruction-following tasks. We experiment with methods that leverage humanwritten responses and observe that they enhance the reliability of automatic evaluations across these tasks, resulting in up to a 3.2% improvement in agreement with human judges. We also show that human-written responses offer an orthogonal perspective to model-generated responses in following instructions and should be used as additional context when comparing model responses. Based on these observations, we develop a new evaluation benchmark, Human Response-Guided Evaluation of Instruction Following (HREF). It contains 4,258 human-written instructions spanning 11 task categories. To prevent test-set leakage, we keep a portion of our evaluation dataset hidden. We publicly release a separate development set, code to evaluate models on it, and host a live leaderboard for publicly available models on our hidden evaluation set.

1 Introduction

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Automatic evaluations of instruction following abilities in Large Language Models (LLMs) has recently received significant attention (Zheng et al., 2023; Li et al., 2023; Lin et al., 2024; Li et al., 2024; Chiang et al., 2024). To make evaluation efficient and enable rapid iteration over modeling choices during development, prior work has approximated human judgments of the model response quality by using powerful language models a judge (LLMas-a-Judge). Although model judges have been shown to exhibit biases due to superficial features, such as the length of responses, prior work has indicated that such biases can be addressed (Dubois et al., 2024) to improve the reliability of these judgments. However, the analysis of such biases and the corresponding debiasing techniques developed in prior work are based on a distribution of tasks that is not representative of the full range of applications of instruction-tuned language models. 043

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In this work, we reevaluate LLM-as-a-judge on a wider range of instruction following tasks. We choose a task distribution closely aligned with those typically used to train instruction-tuned models (Ouyang et al., 2022), and measure the agreement between human and model judges by comparing LLM-as-a-Judge and embedding-based similarity approaches. We experiment with using humanwritten reference responses in the process-by including them as additional context in the LLMas-a-Judge or by measuring embedding similarity between model responses and human responsesand observe that they enhance the reliability of automatic evaluation across many tasks, resulting in up to a 3.2% improvement in agreement with human judges (Section 3.4). Our analysis also provides insights into how human-written responses are helpful for evaluating instruction following. We discovered that human-written responses often offer an orthogonal perspective to model-generated responses and should be used as a complementary reference when comparing model responses.

Based on these observations, we develop a new evaluation benchmark with 4,258 human-written prompts and reference responses spanning 11 taskcategories. We use a composite evaluation setup that uses the most reliable evaluation method for each task-category. Given the reliance on humanwritten responses, we name this benchmark Human Response-guided Evaluation of instruction Following (**HREF**). Our new benchmark additionally addresses the following important limitations in existing instruction-following evaluations:

Test-set leakage. A consequence of the open availability of the existing instruction following

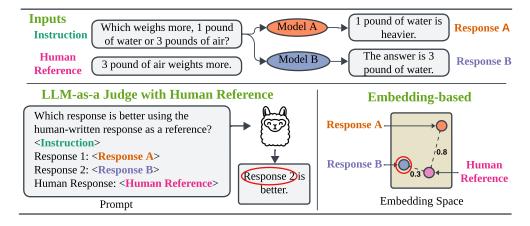


Figure 1: An overview of our composite method leverage the human-written response to judge between two responses given an instruction. The example and the prompt shown in the figure are not exact. See details of these methods in Section 3.2.

evaluation sets is that these datasets can (often inadvertently) end up in the post-training datasets. For instance, Lambert et al. (2024) show that datasets containing real user conversations with language models like LMSys-Chat 1M contain significant portions of AlpacaEval data in them. Moreover, the common practice of synthesizing training datasets from such API models can potentially lead to test set contamination. Training on such contaminated datasets can lead to inflated model performance on these benchmarks. To deal with this issue, we create separate development and test splits of HREF while keeping the test split private and use an openweight models running locally.

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Limited focus on individual tasks. Prior instruction-following evaluations either focus on a small set of tasks (Li et al., 2023; Zheng et al., 2023) or use a relatively small sample of real user interactions with language models (Lin et al., 2024) where some tasks are under-represented¹. As a result, both these approaches result in evaluation datasets that provide limited actionable insights about the model development process at the individual task level, e.g., which skills to upsample in the training datasets. In contrast, we take a *task-centric* view of data curation with HREF. We start with a taxonomy of 11 task categories based on those that are commonly represented by instruction tuning datasets (Ouyang et al., 2022) and collect more than 100 human-written instruction-response pairs for each task category. We apply a task-specific evaluation method and report the result for each task category separately in order to provide a reliable evaluation and deliver insights about the tasks the developers should focus on.

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Risk of unreproducible evaluation Closed API models can be modified internally causing their outputs to change over time or eventually put to retirement, all of which makes evaluations relying on them irreproducible. In contrast, using an open-weight model like Llama-3.1-70B-Instruct, renders HREF more transparent and reproducible.

We study the impact of our design choices in HREF, including the choice of the judge model and baseline model, and our prompt template in Section 5. We build a leaderboard that uses the private evaluation split of HREF.

2 Related

Prior work (Chiang et al., 2024; Li et al., 2024, 2023; Lin et al., 2024) have chosen to source instructions aligned with real-world users, but these instructions are heavily skewed towards tasks such as OpenQA and Generation. Zheng et al. (2023), with task-specific instructions created by human experts, is the most similar to our work, but it is restricted by the small size of the instruction size. These work has also widely leveraged powerful closed-API models, as the proxy for human annotators, to evaluate the quality of the response. Our work chooses a similar approach but solely use open models to keep our data private.

Comparing text embeddings to a human-written reference answer is widely used in traditional NLP tasks, especially summarization (Zhang et al., 2019; Lin, 2004; Papineni et al., 2002; Banerjee and Lavie, 2005). Our work demonstrates how to properly utilize the reference answer to evaluate more

¹WildBench has task categories identified post-hoc, and the smallest category has only 16 instances.

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open-ended instruction-following. See more details in Appendix B.

3 Empirical Basis for the Evaluation Setup

In this section, we describe our experimental settings to evaluate LLM-as-a-judge setup used for instruction following evaluations. We also explore how human-written responses can be utilized to improve the reliability of such evaluations. Specifically, we construct a dataset for evaluating the evaluation methods, and collect human annotations on the pairwise preference between response pairs (Section 3.1). We introduce three new automatic evaluation methods that leverage human-written responses and show the results in Section 3.

3.1 Human Agreement Set Construction

We compare evaluation methods based on how well they agree with human judgments. To enable such a comparison, we construct a dataset of instructions, each associated with a human-written response, two candidate model responses, and multiple human judgment annotations indicating which model response is preferred. We refer to this dataset as the **human agreement set** and it is a subset of the final dataset described in Section 4.

Task Selection. Prior benchmarks for evaluating instruction following include sets of instruc-176 tions that are representative of real user interactions with publicly hosted language models. While 178 evaluating on such datasets can inform how the model would perform in practice, the input distributions tend to be heavily skewed towards a small set of tasks as shown by (Lin et al., 2024; Chiang 182 et al., 2024; Li et al., 2024) (See more details in 183 Appendix B). Consequently, the decisions regard-184 ing the evaluation setup, though based on rigorous 185 human agreement experiments, may be biased towards a small number of tasks. In contrast, we begin with a taxonomy of 11 instruction-following 188 tasks and build a dataset of instructions specifically 189 targeting these tasks. Specifically, we select 8 tasks 190 from the InstructGPT taxonomy (Ouyang et al., 191 2022)—Brainstorming, Open QA, Closed QA, Ex-192 traction, Generation, Rewriting, Summarization, 193 Classification, and 3 additional tasks focused on sci-194 entific text understanding-Fact Checking, Multi-195 Document Synthesis, and Reasoning Over Numerical Data. See Appendix I for examples on instruc-197 tions in each category. 198

Instruction Set. We sample instructions and human-written responses for 8 of the tasks from the No Robots dataset (Rajani et al., 2023). We sample data primarily from the test set, and for tasks that are not well represented in the test set, we additionally sample from the training set. For the remaining 3 scientific text understanding tasks, we hire human experts to write instructions and associated responses. We ended up with 438 pairs where all 11 categories are reasonably represented (See Figure 8).

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Model Pool. In order to ensure the diversity of the responses, we build a model pool with 32 LLMs with sizes ranging from 7B to over 100B from more than 10 different model families. See the full list of models in Appendix F.

Response Sampling. For each instruction, we sample responses from four distinct models. We create instances of pairwise comparison, i.e., comparing two model responses for the same instruction, by pairing each of the four model responses with that from a fixed *baseline model*, Llama-3.1-405B-Instruct-FP8. We carefully design our sampling strategy to mitigate the impact of length-related bias (e.g., a positive correlation between response length and quality) (See details in Appendix J). To ensure high-quality of the responses and to avoid repetitions in generation, we use a decoding temperature of 1.0 for all the models.

Collecting Human Annotations. We collected four human preference annotations for each instance (instruction + model response pair) in our human agreement set following the procedure described below. Importantly, the annotators are shown only the instructions and the two model responses per each instance, and not the humanwritten responses. See more details about the annotator selection, the guideline, and the website in Appendix G.

3.2 Evaluation Methods

We evaluate a set of *pairwise* evaluation methods (Zheng et al., 2023), i.e., those that select the better response between two candidate model responses, based on their agreement with the human judgments we collected.

LLM-as-a-Judge involves prompting a powerful LLM to judge the better response between a pair of responses from two models. This is the most common method used by prior work. We experiment with the choice of the judge model, and

Method			Ge	eneral C	Categor	у			Scie	nce Cat	egory	All
	Brn	OQA	CQA	Ext	Gen	Rew	Sum	Cls	FC	MDS	RND	
Heuristics												
Random	46.3	49.5	41.1	47.7	54.9	47.3	50.1	50.0	45.3	44.8	50.0	48.2
Shorter	43.8	61.6	65.6	57.6	49.0	45.5	56.6	52.9	57.8	42.8	53.5	53.2
Longer	50.1	33.3	28.3	38.1	49.1	52.6	40.5	43.3	39.0	54.8	45.8	43.1
Rouge	52.4	57.3	70.3	65.0	58.0	70.4	55.0	50.0	70.3	61.5	61.0	60.3
LLM-as-a-Judge												
GPT4	60.0	49.1	54.8	67.8	66.6	68.6	53.3	52.1	56.3	60.0	69.0	59.4
GPT4-Tb	65.5	57.1	63.6	72.5	63.9	72.5	61.9	62.5	61.5	64.5	69.8	64.8
Llama-7B	59.9	55.5	49.5	64.8	56.1	64.3	57.5	57.8	63.8	56.3	64.5	58.7
Llama-70B	65.3	58.5	64.8	69.7	64.1	73.4	68.0	66.0	69.0	63.5	66.8	66.2
LLM-as-a-Judge with hu	man re.	sponse										
GPT4	60.1	53.3	63.1	69.3	65.6	70.1	59.0	57.5	59.8	64.8	72.3	62.6
GPT4-Tb	65.5	58.8	67.8	75.6	63.1	72.5	64.4	68.1	64.3	62.5	70.5	66.4
Llama-7B	56.5	53.1	47.2	65.8	53.1	63.0	54.3	55.5	68.5	52.5	63.8	56.8
Llama-70B	62.5	55.3	73.0	75.6	70.3	75.4	66.9	68.1	64.0	66.0	73.8	67.9
Embedding-Based												
RoBERTa-Large	48.4	60.5	68.8	69.9	64.1	64.8	61.0	61.9	71.5	55.5	70.0	62.5
Perplexity-based												
Perplexity	47.9	51.5	57.1	51.8	48.8	42.8	52.9	42.1	54.9	52.8	59.7	50.3
Composite												
GPT4	60.1	60.5	70.3	69.9	66.6	70.4	61.0	61.9	71.5	64.8	72.3	65.6
GPT4-Tb	65.5	60.5	70.3	75.6	64.1	72.5	64.4	68.1	71.5	64.5	70.5	67.4
Llama-7B	59.9	60.5	70.3	69.9	64.1	70.4	61.0	61.9	71.5	61.5	70.0	65.0
Llama-70B (Ours)	65.3	60.5	73.0	75.6	70.3	75.4	68.0	68.1	71.5	66.0	73.8	69.4
Human	62.6	59.5	71.9	73.8	66.3	69.9	63.4	69.8	71.0	62.8	74.3	67.0

Table 1: **Human Agreement Rates of Different Evaluation Methods on 11 Categories.** All numbers are average LOO agreement rates in %. Bold numbers are the highest numbers with Llama-3.1-70B-Instruct for each categories, and we choose their corresponding methods to form the final composite method. When calculating Perplexity, we omit some instances in the human agreement datasets where the perplexity are not available with OpenAI models. The full text of the columns are: Brainstorm, Open QA, Closed QA, Extraction, Generation, Rewriting, Summarization, Classification, Fact Checking, Multi-Document Synthesis, Reasoning Over Numerical Data.

consider Llama-3.1-7B-Instruct, Llama-3.1-70B-Instruct (Dubey et al., 2024), GPT-4, and GPT-4-Turbo (Achiam et al., 2023)in our experiments. See Appendix E for the prompt template we use. Note that we allow the models to judge 'tie' between the two model responses.

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LLM-as-a-Judge with human response is similar to LLM-as-a-Judge except that it embeds humanwritten response into the prompt and instructs the judge to refer to it. See Appendix E for the prompt template we use. We experiment with the same set of four judges in this setting as well.

Embedding-based methods compute the similarity between the text embeddings of a model response and a human-written response, using the resulting score to select the response with the higher similarity. We use RoBERTa-Large (Liu et al., 2019) as the embedding model.

267 Perplexity-based method calculates the perplexi-268 ties of the human-written answer conditioned on

the instruction for both the models, and selects the model with lower perplexity.

Heuristic-based methods include uniformly selecting one of the two responses (Random), naively preferring the shorter / longer response (Shorter / Longer), and selecting the response with a higher n-gram overlap (Rouge).

Composite select the best method from LLM-as-a-Judge, LLM-as-a-Judge with human response, and embedding-based methods for each category.

Following Li et al. (2023), we use the Leave-One-Out (LOO) agreement rate as the metric to evaluate both the model's agreement with humans and inter-human rater agreement. See Appendix H for the detailed algorithm.

3.3 Results

Human agreement rates varies across task categories. Table 1 presents the results of the human agreement analysis. We observe that the inner-

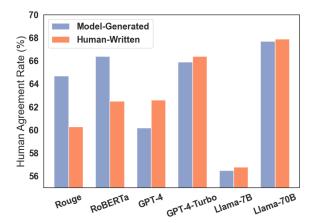


Figure 2: Human Agreement Rate using modelgenerated v.s. human-written responses. Human response outperforms model response for LLM-based evaluation methods but underperforms for embeddingbased evaluation methods.

annotator agreement rate among humans are lower in Brainstorming, Open QA, Summarization, and Multi-Document Synthesis. These are categories 290 that tend to have responses that vary along multiple dimensions, including general content, level of details, tone, etc., and it is likely that the annotators apply divergent standards for judging LLM 294 responses and weigh various dimension of such 295 open-ended responses differently. Conversely, categories that tend to have easily verifiable answers, 298 including Close QA, Extraction, Classification, and Reasoning Over Numerical Data have higher agree-299 ments. Note that although Rewrite contains many open-ended instructions, a large portion of the instructions are verifiable as they ask for specific tone or format of the response. These findings highlight the importance of evaluating LLMs on specific task 304 categories.

Llama-3.1-70B-Instruct is the best judge.
Llama-3.1-70B-Instruct outperforms GPT-4 by 6%
and GPT-4-Turbo by 1.5% without human responses, achieving the closest agreement rate compared to the human. It also outperforms GPT-4 by
4.2%, GPT-4-Turbo by 1.3%, and even humans by
0.9% using human responses on average.

Human-written responses improve agreement with human judgments. Across all models except Llama-3.1-7B-Instruct, embedding humanwritten responses into the prompts and using them as additional context improves agreement with human judgments. The performance drop with Llama-3.1-7B-Instruct is likely because LLMs have to reach a certain capability threshold so that they un-

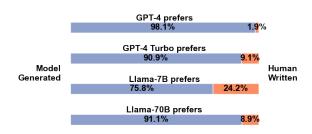


Figure 3: Judges Preference Between Model-Generated Responses v.s. Human-Written Responses. Model-generated responses are in great favor of all the judges.

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derstand how to properly utilize the human-written responses. In task categories Close QA, Extraction, Generation, Rewriting, Classification, Multi- Document Synthesis, and Reasoning Over Numerical Data, using human-written responses brings an increment of 4.8% on average in agreement with human for using Llama-3.1-70B-Instruct as the judge. We also see that RoBERTa-Large is able to deliver the highest agreement rate with human on Open QA and Fact Checking. These results show that, despite that the annotators who write the human response and the ones who annotate the preference are two different groups, a human-written response can help improve the judgment by serving as an additional context or a comparable reference. We describe further insights around the usefulness of human-written responses in Section 3.4.

Choosing the best method for each category. With the new set of evaluation methods that leverage human-written responses, we have the option to select the best evaluation methods for each task categories and use a composite evaluation setup. Overall, the resulting composite method with Llama-3.1-70B-Instruct achieves 1.5% higher in human agreement rate than only using Llama-3.1-70B-Instruct as a judge with human reference, even outperforming human inter-annotator agreement rate by 2.4%.

3.4 Analysis: Leveraging Human References

In order to understand the unique value of humanwritten responses, we compare them directly against *model-generated response* proposed in Zheng et al. (2023).

Human-written responses are more useful than model-generated responses with LLM-as-a-Judge. We use generate responses from GPT-4-Turbo for the instructions in the human agree-

Benchmark	Size	Eval	BM	Judge	TaskCent	Private	HumResp
MT-Bench	80	Score	_	gpt4	1	×	×
AlpacaEval 2.0	805	PWC	gpt4-turbo	gpt4-turbo	×	×	×
Chatbot Arena		PWC	_	Human	×	 Image: A second s	×
Arena-Hard	500	PWC	gpt4-0314	gpt4-turbo	×	×	×
WildBench	1,024	Score/PWC	gpt4-turbo	three models	×	×	×
HREF	4,258	PWC	Llama-405B	Llama-70B	✓	 Image: A second s	1

Table 2: **Benchmark Comparision.** A comparison between the existing instructional LLM evaluation benchmarks and HREF. **TaskOrit** refers to whether the instructions are task-oriented. **PWC** refers to the paired comparison. HREF has the largest evaluation set and is the only benchmark using open-weight models (Llama-3.1 Instruct) for both the baseline model (BM) and the judge. It is task-centric, private, and incorporates human-written responses (HumResp) for preference judgment.

ment set and repeat the experiments in Section 3 with model-generated responses. Figure 2 demonstrates a comparison between using human-written responses and model-generated responses. We observe that with LLM-as-a-judge methods, humanwritten responses display higher agreement rates than model-generated responses across all judge models. This demonstrates that references written by humans are consistently more useful than those generated by even the strongest LLMs. With embedding-based evaluation methods (RoBERTa and Rouge), using model-generated responses display higher agreements than humanwritten responses. This is due to the fact that modelgenerated responses are syntactically and stylistically more similar to each other than to humanwritten ones, likely biasing these simpler evaluation methods.

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Why not directly compare against human re**sponses?** We experimented with a setup where we prompt each LLM judge in Section 3 to directly compare model responses with human re-378 sponses. Figure 3 shows that, surprisingly, all the 379 judge models strongly prefer model responses over human responses despite their judgments being more aligned with those of human annotators when using human responses as additional context. This is likely because that the judge models strongly prefer the stylistic characteristics of model-generated responses. However, humans may prefer the style 386 of human-written responses and other impactful dimensions, such as correctness, which are overlooked by the judge models. This demonstrates 390 that human-written responses are much more effective as additional context or additional ref-391 erence for comparing model responses, rather than serving as the sole reference for direct comparison in evaluating response quality. 394

4 New Benchmark: HREF

Based on the insights that human-written responses significantly improves the evaluation of LLMs' instruction-following capability, we construct a new evaluation benchmark, **Human Responseguided Evaluation of instruction Following** (**HREF**). We publicly release the **development set** along with the code to run local evaluation to the entire community. Developers can evaluate models on our private set via leaderboard submission. We choose this setup to avoid potential data leakage. Table 2 for an overview of the comparison between HREF and similar existing benchmarks. 395

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4.1 Data Composition

We adopt a subset of the No Robots (Rajani et al., 2023) test split as the development set, which contains 430 human-written instruction and response pairs covering 8 out of the same 11 task categories targeting at the taxonomy of tasks shown in Table 4. The remaining three scientific text understanding tasks are exclusive to in the evaluation set of HREF and can be considered held-out tasks. We generate a baseline model response from Llama-3.1-405B-Instruct-FP8 for each instruction. We compare this model with other choices for baseline models in Appendix 5. We will later show that the rankings on this set highly correlate with those from the evaluation set in Section 4.3. We construct the private evaluate set in similar way to the public development set, except we hire human experts to write instructions and corresponding responses covering all 11 tasks.

4.2 Evaluation Details

Methodology. For a target model, we first generate its response to each instruction to compare against the baseline model response using Composite from Section 3, and consider it a as win if

Rank	Model		General Category							Science Category			All
		Brn	OQA	CQA	Ext	Gen	Rew	Sum	Cls	FC	MDS	RND	I
1	Mistral-Large-Instruct-2407	54.5	58.8	35.1	39.6	51.4	50.5	45.3	44.5	48.5	59.1	26.0	47.6
2	Qwen2.5-72B-Instruct	51.4	71.6	30.2	40.8	47.7	46.0	36.4	43.5	45.6	57.5	23.4	44.8
2	Llama-3.1-Tulu-3-70B	50.6	58.3	35.1	38.1	44.4	44.1	28.7	42.8	42.1	50.2	26.0	42.3
2	Mistral-Small-Instruct-2409	47.0	67.6	32.2	36.4	42.4	44.9	40.1	39.3	39.7	54.7	25.8	42.2
5	Llama-3.1-8B-Instruct	46.7	79.4	40.6	32.4	36.3	36.7	32.9	43.0	29.9	35.8	39.3	38.5
6	Qwen2-72B-Instruct	42.4	71.6	24.8	32.4	31.7	29.1	15.3	40.0	38.4	26.4	47.2	35.0
6	OLMo-2-1124-13B-Instruct	37.7	59.8	30.9	20.3	34.8	36.0	32.2	44.0	25.9	44.6	28.4	34.7
8	Llama-3.1-Tulu-3-8B	41.6	58.8	23.5	18.3	35.6	34.0	21.0	32.3	28.0	41.6	21.9	32.7
9	OLMo-2-1124-7B-Instruct	38.1	47.5	19.6	16.8	32.3	30.1	17.6	26.9	18.3	36.4	15.8	27.7
9	Llama-2-70b-chat-hf	22.3	61.3	30.0	26.0	19.2	17.1	21.8	34.3	19.1	13.2	48.5	24.5
11	Mistral-7B-Instruct-v0.3	24.1	60.8	21.3	14.1	19.1	18.5	16.8	27.1	17.3	25.5	46.5	24.3
12	vicuna-13b-v1.5	4.1	81.4	26.5	13.9	10.2	11.9	7.9	25.6	10.6	5.5	55.8	17.4
13	vicuna-7b-v1.5	2.9	75.5	20.3	10.6	8.2	7.0	2.7	24.1	6.7	4.2	52.8	14.3
13	tulu-2-dpo-7b	4.9	55.9	14.6	9.9	10.0	9.9	6.9	18.4	5.4	6.0	46.5	13.8
15	koala-7B-HF	0.4	65.7	8.2	7.9	3.3	3.4	0.0	9.7	3.1	1.3	38.7	8.6

Table 3: **Expected win rates of 15 models evaluated on the evaluation set of HREF.** All numbers are in %. The full text of the columns are: Brainstorm, Open QA, Closed QA, Extraction, Generation, Rewriting, Summarization, Classification, Fact Checking, Multi-Document Synthesis, Reasoning Over Numerical Data.

Composite either prefers the target model response or selects a tie. To obtain the final **expected win rate**, we compute the frequency of wins for the target model across all data points. Following the observation from Section 3.3, we use the composite method with Llama-3.1-70B-Instruct as the judge model.

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Decoding Strategy. For reproducibility, we choose greedy decoding for these models. We find that this choice does not significantly impact the evaluation results—we find a high correlation (0.98 Spearman and 0.99 Pearson) between the results obtained from using greedy decoding and those obtained from using a temperature of 1.0 on our development set.

Prompt Template. To reduce the difference be-447 tween the model judge and human annotations in 448 terms of their annotation criteria, we adopt the 449 prompt template given to the human annotators 450 (See Appendix G.2) and carefully modify it for 451 LLM prompting (See Appendix E). We compare 452 this with other choices of prompts in Appendix K. 453 Expected Win Rate. Because we allow tie in 454 LLM-as-a-Judge both with and without human re-455 sponse, we define expected win rate as the sum of 456 the frequency that our composite method prefers 457 the target model over the baseline model and half 458 the frequency that our composite method selects a 459 tie, over all samples. 460

4.3 Results on Current LLMs

We evaluate 37 LLMs with a variety of model families and sizes on HREFTable 3 presents the results of 15 models ranked by their total expected win rates, along with their expected win rates in each of the 11 categories. We evaluate on open models only on the private evaluation set to keep it private. See the full table in Appendix C. 464

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In general, LLMs with larger sizes display higher expected win rates, and such trends hold consistently within the same model family. For example, Llama-3.1-Tulu-3-70B holds a higher expected win rate than Llama-3.1-Tulu-3-8B on average. Also note that model expected win rates vary across different categories. For example, while Mistral-Large-Instruct-2407 has a high average expected win rate among the models that we evaluate, it performs poorly in Open QA. This demonstrates the importance of focusing into the evaluation on individual task and underscores the advantage of HREF in providing task-centric evaluation.

Correlation with evaluation on the development set. We also evaluate the same group of LLMs on our development set with 8 categories (See Section 4.1), additionally with several GPT models. See the full results in Appendix C. We observe similar trends to those seen in the test dataset. To validate that model developers can expect a reasonable transfer of their results from the public development set to the private evaluation set, we calculate the correlation of the expected win rates between these two sets and observe high correlations: a Spearman correlation of 0.98 and a Pearson correlation of 0.99.

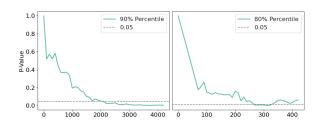


Figure 4: **P-values of paired T-test on annotations across 13 models.** Evaluation set on the left; Development set on the right. We show the average and 90th and 80th quantile p-values from doing paired t-test among all model pairs among 13 models with different numbers of annotated samples used.

4.4 Statistical significance

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To ensure the reliability of our evaluation set in distinguishing between models, we evaluate HREF's capability of statistically distinguishing among a diverse set of models of reasonable size. Specifically, we sample from a pool of 13 models following Li et al. (2023) but use a set of more recent and diverse models (See the list in Appendix I). For each pair of models, we apply a paired t-test to evaluate the null hypothesis that the preference predictions from the pair of models have identical expected values, and we measure the resulting p-values. We perform this analysis on both of the evaluation set and development set.

Capacity of the development and test sets. Figure 4 Left shows that with fewer than 2000 samples in the evaluation set, the p-values at 90th quantile falls below 0.05, which suggests that our evaluation set is able to statistically significantly distinguish between 90% of the model pairs. Similarly, Figure 4 right suggests that our development set is able to statistically significantly distinguish between 80% of the model pairs.

Relevance of HREF. As the size of the model 518 pool and the strength of the models in the pool in-519 crease, the chance that a model pair will be indistinguishable (t-test with a p-value less than 0.05) will also increase. In other words, a larger evaluation 522 set will be needed to distinguish more and stronger 523 524 models. Hence, as the community keeps developing stronger models, we expect HREF, with the 525 largest evaluation set among similar benchmarks, to remain relevant for longer. 527

5 Discussion on Design Choices

Unlike prior work where GPT models are used, we choose Llama-3.1-70B-Instruct as the LLM judge

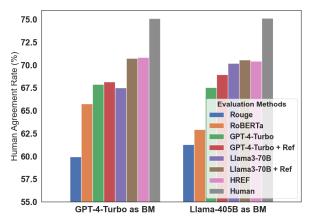


Figure 5: **Impact of Changing Baseline Model**. The average human agreement rates of various evaluators using two different baseline models. We observe very similar trends when using Llama-3.1-405B-Instruct-FP8 and GPT-4-Turbo as the baseline model.

and Llama-3.1-405B-Instruct-FP8 as our baseline model. In this section, we discuss the advantages of theses specific design choices.

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Llama-3.1-70B-Instruct possess the highest agreement rate with humans as discussed in Section 3. We also discuss why our judge model is less length-biased in Appendix J, and the choice of the prompt template in Appendix K.

To analyze the impact of the choice of the baseline model, we conduct the same experiments as in Section 3 but with GPT-4-Turbo as the baseline model on a subset of 1100 samples the human agreement set. Figure 5 compares the average human agreement rates of various evaluators using Llama-3.1-405B-Instruct-FP8 and GPT-4-Turbo as the baseline models. We observe similar trends with both the baseline models, indicating that the reliability of the evaluation setup is unaffected by using the open-weight Llama model instead of the closed GPT-4-Turbo model.

6 Conclusion

We reevaluate the common evaluation setups for automatically evaluating models on a wide range of instruction-following tasks, and show that leveraging human-written responses as additional context evidently improves the evaluation in terms of agreement with human annotators. Based on these observations, we build a large instruction-following evaluation benchmark that is task-centric, free of test-set leakage, and reliable.

561 Limitations

562Multi-turn Evaluation.Multi-turn evaluation is563not the focus of work, and HREF is only suit-564able for single-turn instruction following evalua-565tion. We suggest using benchmarks like WildBench566for multi-turn evaluation.

Absolute Rating. Our work focuses solely on
improving pairwise evaluation, which requires the
use of a baseline model. We recognize that there
might be circumstances where an independent absolute score can be useful, and we leave the topic
of improving the accuracy of absolute rating of an
LLM in instruction-following for future work.

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A Responsible Research Checklist

A.1 Potential Risks

There can be harmful generation model's generation within the evaluation process, depending on the model. However, we ensure that HREF does not contains any malicious prompts nor personal information and is among the safest instructiontuning benchmarks.

A.2 License and Intended Use

All the models and code we experiment with in the work are under Apache-2.0, CC-BY 4.0, Llama, ODC-BY, or MIT, which all grant us the right to use them for research purpose. We release HREF and code under Apache-2.0 and encourage future research to be use them.

A.3 Model Size And Budget

The sizes of the model presented in this work are mostly included in their model name.

For evaluation on our development set, we use 1 H100 GPU for 7B-13B models, 4 H100 GPUs for 70B-110B models, and 8 H100 GPUs for larger models. The inference time are within around 45 minutes in all cases.

A.4 Parameters For Packages

For Rouge, we use rouge-1 from the pip package rouge==1.0.1.

B Related Work in Detail

To evaluate the capability of post-trained LLMs in instruction-following, prior work has constructs benchmarks in several ways.

Instruction Source. Prior work have chosen to 771 source instructions from real-world users. ChatbotArena (Chiang et al., 2024) is a benchmark 773 that constantly collects instructions from the online community users by directly prompting for the 775 user's inputs. ArenaHard (Li et al., 2024) automatically curates instructions from those collected by Chatbot Area. These benchmarks possess sets 778 of instructions that closely matches human's com-779 mon interest in terms of instruction categories, but they are also heavily skewed towards OpenQA and 782 Generation as a result. Another widely recognized benchmark is AlpacaEval (Li et al., 2023; Dubois et al., 2024), which is consist of synthetically generated instructions generated using human-written template (Wang et al., 2022). WildBench (Lin et al.,

2024) also collect instructions from the user in the wild. MT-Bench, with task-specific instructions created by human experts, is the most similar to our work, but it is restricted by the small size of the instruction size. Our work have collected instructions covering a wider range of tasks with a much larger evaluation set.

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Evaluating Instruction-Following Models. When evaluating a LLM' responses to a instruction, prior work either directly grade the response with a score, or perform a pairwise comparison with the response form another LLM (Zheng et al., 2023). Chatbot Arena (Chiang et al., 2024) prompts the same user who creates the instruction to also do a pairwise comparison between responses from two models (i.e., selecting the better response), and the benchmark's evaluation results are treated as ground-truth and compared against by several other benchmarks (Li et al., 2023; Lin et al., 2024). However, such evaluation requires extensive human feedback, which is expensive to collect for majority of the benchmarks. LLM-as-a-judge, acting as a proxy for human annotators, has been widely adopted by many benchmarks in both single response grading and pairwise comparison. However, prior work use closed API models, which lacks transparency and consistency in their judgment. Our work uses LLM-as-a-judge with public models and shows the benefits that brings.

Reference Guided Evaluation Comparing text embeddings to a human-written reference answer is widely used in traditional NLP tasks, especially summarization (Zhang et al., 2019; Lin, 2004; Papineni et al., 2002; Banerjee and Lavie, 2005), but it is less clear how to properly utilize the reference answer to evaluate more open-ended instructionfollowing. AlpacaEval (Li et al., 2023) has found that including model-generated responses in the prompt when using LLM-as-a-Judge is beneficial in following instruction related to math. Our work adopt an combination of comparing text embeddings to human-written responses and using humanwritten responses with LLM-as-a-Judge depending on the task categories. Additionally, we provide insights about when and how these responses are beneficial.

Risk of Contamination When the test data of the prior work are public released, they are at a high risks of being contaminated. They can potentially

Task	Example
Brainstorming	What should I look for when buying a car?
Open QA	Do tomatoes contain MSG?
Closed QA	What was the first type of anesthesia used in surgery? On October 16, 1846, the first successful public demonstration of the use of ether for surgical anesthesia was performed, making pain-free surgery possible.
Extraction	I want to know the sizes ticks come in in a numbered list. Here is the texting I am talking about: Ticks come in three sizes depending on their life stage. They can be the size of a grain of sand, a poppy seed, or an apple seed.
Generation	Write a poem about tacos.
Rewriting	Rewrite the sentence in active voice. My vegetable garden was eaten by a donkey.
Summarization	Summarize this in one sentence: SOCIETY FOR HUMAN RIGHTS 1924 After being temporar- ily committed to a mental institution because of his sexual orientation, Henry Gerber, a German immigrant and World War I Army enlistee, establishes the Society for Human Rights, the first American homosexual rights organization.
Classification	If a poem is titled "Hide and Seek" does that sound childish or mature?
Fact Checking	What were the top 5 cited papers in AI from 2022?
Multi-Document Synthesis	According to these reviews from Yelp and Google Maps, determine whether or not Moonshadows is an ideal location for a date night during my upcoming trip to Malibu. Yelp Reviews: $n 1$ $n 2$ $n 3$ $n 4$
Reasoning Over Numerical Data	What is the increase in sales from Jan to Feb? \n Month Sales Expenses \n Jan 2,894 2,582 \n Feb 3,820 3,517 \n March 2,009 1,796

Table 4: Examples of instructions in each of the 11 task categories.

lose the robustness and credibility in their evaluation when the evaluated LLMs are trained on the test data. To mitigate such risk, WildBench (Lin et al., 2024) keeps their test set private and only release a development set. However, another implicit source of potential contamination remains unsolved when prompting the closed API models with the test data either when using them as the baseline model or as judges. Although not by directly training on the test data, LLMs can still gain knowledge about the them through either distillation from closed API models or training on synthetic data generated by these models (Dubey et al., 2024; Wang et al., 2022; Zhou et al., 2024; Peng et al., 2023; Xu et al., 2024; Zhao et al., 2024). HREF mitigates such risks by using local public open-weight models for both the baseline model and the judge.

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C Full Evaluation Results

See the expected win rate of all 37 models evaluated on the evaluation set of HREF in Table 5 and
all 29 models evaluated on validation set of HREF
in Table 6.

D Formulation

We formally define the research problem and our proposed evaluation method, Composite.

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D.1 Problem Definition

We denote HREF's evaluation dataset as D, with each element being (in, o_B, o_H) , denoting the instruction, the baseline model response, and the human written reference response respectively.

Given a target LLM \mathcal{T} , HREF aims to estimate the rate that human would consider the responses from \mathcal{T} are at least as good as the baseline model \mathcal{B} in following instructions, which we formally defined as:

$$winrate(\mathcal{T}, \mathcal{B}) = \frac{1}{|D|} \sum_{(in, o_{\mathcal{B}}, o_{\mathcal{H}}) \in D} p(in, o_{\mathcal{T}}, o_{\mathcal{B}}, o_{\mathcal{H}})$$

where $o_{\mathcal{T}} = \mathcal{T}(in)$ represents the response of \mathcal{T} given the instruction as the input, and $p(in, o_{\mathcal{T}}, o_{\mathcal{B}}, o_{\mathcal{H}})$ is a binary function representing the pairwise preference (0 if the baseline model is preferred and 1 otherwise).

D.2 LLM-as-a-Judge with Optional Human Reference

We proposes the evaluation method, LLM-as-ajudge with human reference, as one of the methods

Rank	Model			Ger	neral C	Catego	ory			Scie	nce Cat	egory	All
Ttuint	hiddei	Brn	OQA	CQA	Ext	Gen	Rew	Sum	Cls	FC	MDS	RND	
1	Llama-3.1-70B-Instruct	48.6	84.3	55.2	45.0	45.5	44.6	43.3	54.5	50.3	49.8	57.1	49.8
1	Mistral-Large-Instruct-2407	54.5	58.8	35.1	39.6	51.4	50.5	45.3	44.5	48.5	59.1	26.0	47.6
3	Owen2.5-72B-Instruct	51.4	71.6	30.2			46.0				57.5	23.4	44.8
3	Qwen1.5-110B-Chat	47.7	80.4	31.4	30.4	43.9	39.0	37.1	49.5	38.8	51.7	39.8	43.0
3	Llama-3.1-Tulu-3-70B-DPO	51.3	56.9	35.9	39.1	46.1	43.7	27.7	41.5	43.2	51.5	25.4	42.8
3	Llama-3.1-Tulu-3-70B	50.6	58.3	35.1	38.1	44.4	44.1	28.7	42.8	42.1	50.2	26.0	42.3
3	Mistral-Small-Instruct-2409	47.0	67.6	32.2	36.4	42.4	44.9	40.1	39.3	39.7	54.7	25.8	42.2
8	Llama-3.1-8B-Instruct	46.7	79.4	40.6	32.4	36.3	36.7	32.9	43.0	29.9	35.8	39.3	38.5
9	Yi-1.5-34B-Chat	49.7	71.6	25.2	27.0	36.2	30.1	21.5	35.8	31.8	41.1	28.8	35.4
9	Qwen2-72B-Instruct	42.4	71.6	24.8	32.4	31.7	29.1	15.3	40.0	38.4	26.4	47.2	35.0
9	OLMo-2-1124-13B-Instruct	37.7	59.8	30.9	20.3	34.8	36.0	32.2	44.0	25.9	44.6	28.4	34.7
9	Phi-3-medium-4k-instruct	27.7	81.4	34.9	24.3	26.2	28.1	24.0	45.3	37.2	17.2	60.2	33.3
9	Llama-3.1-Tulu-3-8B-DPO	40.9	63.7	26.0	19.6	36.6	33.7	22.8	33.1	27.9	45.0	19.9	33.2
9	Llama-3.1-Tulu-3-8B	41.6	58.8	23.5	18.3	35.6	34.0	21.0	32.3	28.0	41.6	21.9	32.7
15	OLMo-2-1124-7B-Instruct	38.1	47.5	19.6	16.8	32.3	30.1	17.6	26.9	18.3	36.4	15.8	27.7
15	tulu-2-dpo-70b	17.5	60.8	26.5	21.0	22.9	21.5	11.9	30.8	23.2	18.1	55.6	25.8
17	Llama-2-70b-chat-hf	22.3	61.3	30.0	26.0	19.2	17.1	21.8	34.3	19.1	13.2	48.5	24.5
17	Mistral-7B-Instruct-v0.3	24.1	60.8	21.3	14.1	19.1	18.5	16.8	27.1	17.3	25.5	46.5	24.3
17	Llama-3.1-Tulu-3-70B-SFT	2.8	95.1	37.6	28.2	13.0	11.7	4.0	36.6	35.3	10.5	60.4	23.6
20	WizardLM-13B-V1.2	16.9	63.2	22.8	17.1	15.8	14.7	7.7	33.6	9.7	11.3	43.9	19.6
20	Llama-2-13b-chat-hf	16.8	52.5	21.8		15.9		19.8	27.4	11.5	9.1	42.0	19.4
20	tulu-v2.5-ppo-13b	37.7	21.6	6.4	10.9	16.4	15.5	16.1	12.4	11.7	21.9	21.4	19.0
20	tulu-2-dpo-13b	9.3	68.6	17.8	13.6	13.3	15.6	9.2	24.9	10.9	10.2	50.2	18.4
20	vicuna-13b-v1.5	4.1	81.4	26.5	13.9	10.2	11.9	7.9	25.6	10.6	5.5	55.8	17.4
25	Llama-3.1-Tulu-3-8B-SFT	1.5	87.7	31.9	17.6		7.4	0.7	31.3	18.7	4.0	53.9	17.0
25	Llama-2-7b-chat-hf	16.4	41.7	21.3	12.9	13.3	12.9	9.7	19.2	7.3	7.5	33.5	15.6
27	vicuna-7b-v1.5	2.9	75.5	20.3	10.6	8.2	7.0	2.7	24.1	6.7	4.2	52.8	14.3
27	tulu-2-dpo-7b	4.9	55.9	14.6	9.9	10.0	9.9	6.9	18.4	5.4	6.0	46.5	13.8
29	OLMo-7B-SFT-hf	1.2	80.4	13.4	6.9	6.7	4.4	1.5	22.4	4.7	2.4	50.0	12.1
29	mpt-7b-chat	0.5	73.0	12.1	3.7	5.0	3.9	1.5	21.1	4.1	1.3	47.4	10.8
29	koala-13B-HF	1.0	70.1	15.8	8.4	4.3	5.0	1.0	16.4	5.2	2.2	39.8	10.4
32	gpt4all-13b-snoozy	0.7	90.2	3.7	6.2	6.4	5.7	1.0	11.7	5.1	1.3	35.9	9.9
32	OLMo-7B-0724-Instruct-hf	8.9	36.3	5.7	5.2	5.9	5.1	5.7	8.0	5.0	7.3	22.7	8.8
32	dolly-v2-12b	0.3	75.5	12.4	7.4	2.8	2.0	0.0	6.7	3.3	0.9	39.6	8.6
32	koala-7B-HF	0.4	65.7	8.2	7.9	3.3	3.4	0.0	9.7	3.1	1.3	38.7	8.6
32	dolly-v2-7b	0.2	70.6	9.4	5.0	2.0	1.3	0.0	6.2	3.6	0.2	42.0	8.2
37	oasst-sft-1-pythia-12b	0.2	62.3	2.0	1.5	1.7	0.6	0.0	3.2	2.4	0.9	23.2	5.1

Table 5: **Expected win rates of all 37 starting models evaluated on the evaluation set of HREF.** All numbers are in %. The full text of the columns are: Brainstorm, Open QA, Closed QA, Extraction, Generation, Rewriting, Summarization, Classification, Fact Checking, Multi-Document Synthesis, Reasoning Over Numerical Data.

to estimates $p(in, o_T, o_B)$. Specifically, we embed in, o_T, o_B, o_H into a prompt template as the input to a separate judge model \mathcal{J} formally:

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$$p(in, o_{\mathcal{T}}, o_{\mathcal{B}}, o_{\mathcal{H}}) = \mathcal{J}(in, o_{\mathcal{T}}, o_{\mathcal{B}}, o_{\mathcal{H}})$$

Note that when not using a reference, the defination is the same except that $o_{\mathcal{H}}$ will not be an input to \mathcal{J} .

D.3 RoBERTa embedding: Comparing Text Embeddings with Human Reference

We also proposes to compare the cosine similarity between the text embeddings of o_T and o_H against o_B and o_H . Formally,

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$$p(in, o_{\mathcal{T}}, o_{\mathcal{B}}, o_{\mathcal{H}}) = \begin{cases} 0 & \text{if } \sin(o_{\mathcal{T}}, o_{\mathcal{H}}) < \sin(o_{\mathcal{B}}, o_{\mathcal{H}}) \\ 1 & \text{otherwise.} \end{cases}$$

where

$$sim(o_{\mathcal{X}}, o_{\mathcal{Y}}) = \frac{Embed(o_{\mathcal{X}}) \cdot Embed(o_{\mathcal{Y}})}{\|Embed(o_{\mathcal{X}})\| \|Embed(o_{\mathcal{Y}})\|}$$

with $\text{Embed}(o_{\mathcal{Y}})$ represents some embeddings of $o_{\mathcal{Y}}$.

E LLM-as-a-Judge Prompt and Parsing

Figure 9 shows the prompt template for LLM-asa-Judge where we embed the instruction, the target and reference model responses, and the human written reference into to construct the final prompt for the judge LLM as mentioned in Section 3.2. Figures 10 shows the one without including human reference. We design the template to match the guideline we give to human annotators in Section G.2, resulting in a 2-shot prompting. Note that 896

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Rank	Model			Ge	eneral (Categor	У			All
		Brn	OQA	CQA	Ext	Gen	Rew	Sum	Cls	L
1	Mistral-Large-Instruct-2407	67.5	70.7	23.3	35.7	60.3	58.6	44.0	71.9	60.3
1	gpt-4-turbo-2024-04-09	59.2	74.1	26.7	21.4	54.4	64.3	44.0	43.8	56.0
1	gpt-4o-2024-05-13	58.3	82.8	23.3	7.1	54.7	55.7	34.0	37.5	55.3
1	gpt-4-1106-preview	58.3	75.9	20.0	21.4	53.7	47.1	44.0	50.0	54.4
5	Llama-3.1-70B-Instruct	52.5	65.5	63.3	14.3	49.8	55.7	66.0	53.1	53.7
6	Qwen2.5-72B-Instruct	63.3	72.4	30.0	21.4	49.1	47.1	50.0	53.1	53.1
6	Mistral-Small-Instruct-2409	59.2	72.4	26.7	21.4	46.3	50.0	44.0	50.0	50.8
8	Qwen1.5-110B-Chat	53.3	81.9	20.0	35.7	42.5	44.3	34.0	56.2	48.6
9	Llama-3.1-8B-Instruct	53.3	58.6	40.0	14.3	37.4	41.4	44.0	34.4	42.8
9	Qwen2-72B-Instruct	50.0	77.6	20.0	50.0	30.8	24.3	34.0	31.2	39.4
9	Yi-1.5-34B-Chat	51.7	60.3	30.0	57.1	32.0	32.9	20.0	46.9	38.8
9	Phi-3-medium-4k-instruct	29.2	77.6	40.0	35.7	28.0	21.4	24.0	46.9	35.3
13	gpt-3.5-turbo	19.2	81.0	40.0	7.1	22.0	10.0	12.0	43.8	29.2
13	tulu-2-dpo-70b	21.7	79.3	26.7	14.3	19.2	21.4	16.0	31.2	28.3
13	Mistral-7B-Instruct-v0.3	22.5	67.2	26.7	7.1	21.0	25.7	14.0	37.5	28.0
16	Llama-2-70b-chat-hf	20.0	60.3	53.3	21.4	17.5	21.4	14.0	46.9	26.2
16	WizardLM-13B-V1.2	16.7	69.0	26.7	14.3	14.5	7.1	8.0	34.4	22.3
16	tulu-v2.5-ppo-13b	45.0	34.5	0.0	7.1	14.3	17.1	14.0	15.6	20.9
16	vicuna-13b-v1.5	10.0	77.6	16.7	21.4	8.9	10.0	20.0	43.8	20.8
16	tulu-2-dpo-13b	6.7	65.5	10.0	21.4	12.4	18.6	10.0	31.2	19.9
21	Llama-2-13b-chat-hf	19.2	48.3	10.0	7.1	13.3	7.1	16.0	31.2	19.0
21	Llama-2-7b-chat-hf	17.5	41.4	26.7	21.4	12.4	10.0	10.0	21.9	17.7
21	tulu-2-dpo-7b	3.3	67.2	16.7	21.4	8.4	4.3	10.0	21.9	16.4
21	vicuna-7b-v1.5	3.3	75.9	23.3	14.3	5.6	4.3	4.0	25.0	16.0
21	gpt4all-13b-snoozy	1.7	77.6	23.3	7.1	3.3	2.9	0.0	12.5	14.0
26	OLMo-7B-SFT-hf	0.0	70.7	13.3	7.1	3.3	2.9	4.0	25.0	13.1
26	OLMo-7B-0724-Instruct-hf	15.0	46.6	0.0	0.0	7.0	5.7	4.0	9.4	12.9
26	dolly-v2-7b	1.7	67.2	16.7	7.1	1.9	1.4	0.0	6.2	11.3
26	koala-13B-HF	0.0	62.1	10.0	14.3	2.3	0.0	4.0	21.9	11.2
26	mpt-7b-chat	0.0	56.9	13.3	0.0	3.3	0.0	0.0	28.1	10.8
26	dolly-v2-12b	0.0	62.1	13.3	0.0	0.9	2.9	0.0	12.5	10.0
32	koala-7B-HF	0.0	55.2	16.7	7.1	0.0	0.0	0.0	18.8	8.8
32	oasst-sft-1-pythia-12b	0.0	63.8	0.0	0.0	0.0	0.0	0.0	0.0	8.6

Table 6: **expected win rates of all 33 starting models evaluated on the validation set of HREF.** All numbers are in %. The full text of the columns are: Brainstorm, Open QA, Closed QA, Extraction, Generation, Rewriting, Summarization, Classification, Fact Checking, Multi-Document Synthesis, Reasoning Over Numerical Data.

we randomly swap the target and reference model response to avoid potential label bias.

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During parsing, we strip and normalized the generated output, and map the exact match of "a" into 0, and "b" or "tie" into 1. We optionally reverse the preference if the embedded responses are swapped. Note that when the parsing fails, we ignore the current data point in the calculate of the expected win rates.

Figure 11, Figure 12, and Figure 13 shows the other modified version of prompt templates that we compare our prompt template against in Section K.

F Model Pool of Human Agreement Dataset

The full model pool from which we sample the responses to construct our human agreement dataset in Section 3 and Section 4.1 includes Dolly (Conover et al., 2023), Koala (Geng et al., 2023), Llama-2 (Touvron et al., 2023), Llama-3.1 (Dubey et al., 2024), Mistral (Jiang et al., 2023), MPT (Dubey et al., 2024), Pythia (Biderman et al., 2023), OLMo (Groeneveld et al., 2024), Phi (Abdin et al., 2024), Qwen (Bai et al., 2023), Vicuna (Chiang et al., 2023), WizardLM (Xu et al., 2024), Yi (Young et al., 2024), GPT-3 (Brown, 2020), GPT-4 (Achiam et al., 2023), and O1². See Table 7 for the full list of model names.

G Human Annotation Collection

G.1 Annotator Selection

We recruited native English speakers from the U.S., the U.K., and Canada, who have a Bachelor's degrees or above, and a prior approval rating over 99% from Prolific (First, 2014). We further screened annotators using a qualification test that required them to correctly annotate at least 9 out

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²https://openai.com/o1/

Model Family	Model Name
Dolly	dolly-v2-12b dolly-v2-7b
Koala	koala-7B-HF koala-13B-HF
Llama-2	Llama-2-7b-chat-hf Llama-2-13b-chat-hf Llama-2-70b-chat-hf
Llama-3	Meta-Llama-3-8B-Instruct Meta-Llama-3.1-8B-Instruct Meta-Llama-3-70B-Instruct Meta-Llama-3.1-70B-Instruct
Mistral	Mistral-7B-Instruct-v0.3 Mistral-Small-Instruct-2409 Mistral-Large-Instruct-2407
MPT	mpt-7b-chat
OpenAssistant	oasst-sft-1-pythia-12b
OLMo	OLMo-7B-SFT-hf OLMo-7B-0724-Instruct-hf
Phi	Phi-3-medium-4k-instruct
Qwen	Qwen2-72B-Instruct Qwen1.5-110B-Chat
Vicuna	vicuna-7b-v1.5 vicuna-13b-v1.5
WizardLM	WizardLM-13B-V1.2
Yi	Yi-1.5-34B-Chat
GPT-3	gpt-3.5-turbo
GPT-4	gpt-4-1106-preview gpt-4-turbo-2024-04-09 gpt-4o-2024-05-13
0-1	o1-mini o1-preview

Table 7: Full list of model family and names that we use to construct the model pool where we sample the responses for the human agreement set.

of 10 instances with easily distinguishable model response pairs. We assign the qualification task to 50 participants, and recruited 16 of them as our final group of annotators and paid them \$16 / hour.

G.2 Annotation Guideline

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We used the annotation guidelines from Li et al. (2023) with the following modifications: We slightly modified checklist of judging aspects, included two example annotations, and importantly allowed the annotators to choose "tie" when both the model responses are indistinguishable in quality. To avoid potential bias in order of the responses, we randomly swap the two responses. Figure 14 shows the full guideline we provide to the annotators during preference collection. We adopt the guideline

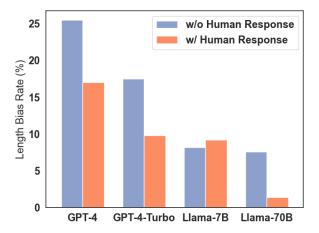


Figure 6: Length Bias Rate of Different LLM Judges. It is clear that Llama-3.1-70B Instruct has the least length bias, and such bias is further reduced when using human-written responses as additional context.

from Li et al. (2023) with some modifications.

G.3 Annotation Website

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See Figure 15 for an overview of the website that we direct our human annotator to. We ask them to spend time in getting familiar with website before annotations.

G.4 Annotator Consent

The annotator agrees that their annotation will be collected for this scientific study by both signing on Prolific (First, 2014) and click the agree button on our website.

H Leave-One-Out Agreement Rate Calculation

Algorithm 1 provides a detailed overview of the metric Leave-One-Out Agreement Rate used in human agreement analysis (Section 3).

I Benchmark Details

Table 4 shows examples of instruction in each task category. Figure 8 shows the categorical distribution of instructions, and Table 9 demonstrates the details about each subset.

We uses Qwen1.5-110B-Chat, Mistral-Large-Instruct-2407, Yi-1.5-34B-Chat, tulu-2-dpo-70b, vicuna-13b-v1.5, Qwen2-72B-Instruct, mpt-7bchat, koala-7B-HF, OLMo-7B-SFT-hf, dolly-v2-12b, Llama-2-7b-chat-hf, oasst-sft-1-pythia-12b, gpt4all-13b-snoozy for our experiments in Section 4.4.

	Guideline	Examples	Human Agreement Rate	r with Prompt D
Α	HREF	HREF	68.4 %	-
В	HREF	AlpacaEval	66.2%	0.98
С	HREF	None	65.6%	0.98
D	AlpacaEval	None	66.3%	0.95

Table 8: **Prompt Template Comparison.** A overview and comparison among four prompt templates on their guideline, examples, human agreement rate, and correlation with the prompt we use on the development set (Prompt A). Note that the prompt that AlpacaEval uses for LLM does not contain examples, and we adopt the examples they give to human annotators for Prompt A.

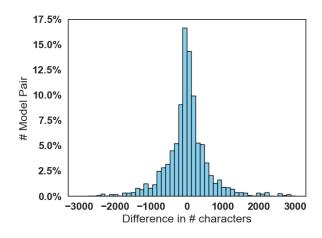


Figure 7: The distribution of length difference between sampled model responses and the base line model responses. The distribution is symmetrical.

Dataset	Size	# Category	Annot.	Public
Evaluation	4,258	11	×	×
Development	430	8	×	1
Agreement	438	11	 Image: A second s	 Image: A second s

Table 9: **HREF Subsets Comparison.** An comparison of important aspects among the three subsets.

J Length Bias of the Judge Model.

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In Section 3.2, to avoid response length-related bias, we divide all model responses for each instruction into two groups based on whether they are longer or shorter than the baseline model responses. We then randomly sample two response from each of the two groups. Figure 7 shows the resulting distribution of the length difference between sampled model responses and baseline model responses. The symmetrical distribution shows that both the shorter and the longer responses are roughly equally sampled.

Previous work (Dubois et al., 2024; Lin et al., 2024; Li et al., 2024; Li et al., 2024) has observed that the judge LLMs strongly prefer longer responses and has adopted length normalization methods to account for such bias. We quantify the length bias of var-

ious judge models on our human agreement set, 1005 by measuring the difference between each judge's frequency of preferring longer responses versus the 1007 frequency of preferring shorter responses. We refer 1008 to this difference as the *length bias rate*. Since we explicitly control for response length while sam-1010 pling responses in the human agreement set (see 1011 Section 3), we expect a model with no length bias to 1012 have a length bias rate close to 0% on our dataset. 1013 Figure 6 shows that Llama-3.1-70B-Instruct has 1014 the lowest length bias rate among all the four judge 1015 models that we experiment with. The use of human 1016 written responses further lowers its length bias rate 1017 to 1.4%. As a result, we chose not to add any length 1018 debiasing controls. 1019

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K Choice of the Prompt Template

Unlike prior work such as AlpacaEval, we directly transform the guidelines we provide to human annotators into the prompt we provide to the judge LLMs, and we show the reasoning behind such choice here. We structure each prompt template into two components: a guideline and a list of demonstration examples. We interchange these components with those from AlpacaEval and compare the 4 resulting prompt templates using Llama-3.1-70B-Instruct with human-written responses on our development set as shown in Table 8. Table 8 shows that using a different set of examples (Prompt B), dropping the examples (Prompt C), or completely changing the prompt (Prompt D) negatively impacts agreement with human annotators compared to aligning the model prompt with the guidelines provided to human annotators (Prompt A). These results imply that ensuring the consistency between the guidelines given to human annotators and the prompts for LLMs effectively improves the agreements between the human annotators and the judge LLMs, as they are encouraged to judge based on the same criteria.

With these four prompts, we evaluate 33 models

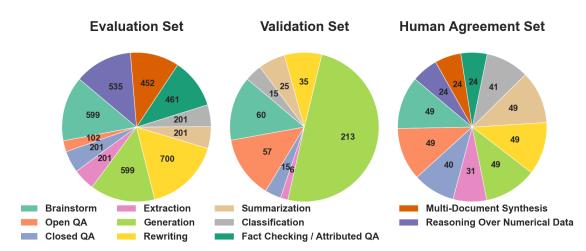


Figure 8: Task Categorical Distribution of the three subsets in HREF. Left: evaluation set; Middle: development set; Right: human agreement set.

1045 on our development set and calculate the Pearson correlation on the resulting scores. As shown in 1046 Table 8, the strong correlation between our prompt 1047 (Prompt D) and AlpacaEval's prompt (Prompt A) 1048 shows that our prompt reasonably aligns with the 1049 prompt used in prior work, and the strong correla-1050 tion between our prompt and the alternative exam-1051 1052 ples (Prompt B and C) shows that our prompt is not overly dependent or biased towards the specific 1053 examples that we select. 1054 1055

Algorithm 1 Algorithms to calculate Leave-One-Out (LOO) agreement rate either within the set of annotation of annotations (inner) or against a evaluator prediction (outer).

```
function GET_MODE(annotations)
   modes \leftarrow list of annotations with highest occurrence frequency
   if length of modes > 1 then
       return randomly chosen annotation from modes
   else
        return modes[0]
   end if
end function
function LEAVE_ONE_OUT_AGREEMENT_INNER(annotations)
   n_{annotations} \leftarrow length of annotations
   n correct predictions \leftarrow 0
   for each i from 1 to n_annotations do
       target_annotations \leftarrow annotations without i-th element
       mode \leftarrow get_mode(target_annotations)
       if annotations[i] = mode then
           correct\_predictions \leftarrow correct\_predictions + 1
       end if
   end for
   return n_correct_predictions / n_annotations
end function
function LEAVE_ONE_OUT_AGREEMENT_OUTER(annotations, prediction)
   n annotations \leftarrow length of annotations
   n_correct_predictions \leftarrow 0
   for each i from 1 to n_annotations do
       target annotations \leftarrow annotations without i-th element
       mode \leftarrow get_mode(target_annotations)
       if prediction = mode then
           correct\_predictions \leftarrow correct\_predictions + 1
       end if
   end for
   return n_correct_predictions / n_annotations
end function
```

<|begin_of_text|><|start_header_id|>system<|end_header_id|> You are a helpful assistant that helps us rate an AI model's responses to instructions.<|eot_id|><|start_header_id|>user<|end_header_id|> Decide which response from the AI system following the instruction is better, considering the following questions:

1. Does the response precisely follow the instruction? For example, a response that includes unrelated information or does not fulfill the task is not precisely following the instruction. Compare each response with the provided human response to decide if a response faithfully follows the instruction, especially when the instruction asks for expected word count or format. 2. Is the response helpful? For example, if the instruction asks for a recipe for healthy food, and the response is a useful recipe, then you can consider it

 Is the response insport + or stating-response to the provided human response to decide whether a response is natural.
 Is the response for decide whether a response is natural.
 Is the response for table/accurate? All responses often make up new information. For example, if the response claims that Donald Trump is the current U.S. president, then you should consider it inaccurate. Compare with the provided human response to verify whether a response is factual and accurate, especially with numbers. 5. Based on your aesthetics, which one do you prefer? For example, you might prefer one poem over another poem

Select the response A or B that you prefer, or select tie if the two responses are similarly good or bad. Your answer should ONLY contain: A or B or tie. Here are two examples

Example: ## Instruction: Paraphrase the following question: "What is the capital of France?

Response A: The capital of France is Paris.

Response B: Can you tell me the name of the city that serves as the capital of France?

Human Response: What's the name of the France's capital

In this example, B paraphrases the question as asked by the instruction, In contrast, A does not follow instruction as it answers the question instead. Human Response also paraphrases the question in a different way ## Which is best, A, B, or tie?

Example: # Example. ## Instruction: Change the first verb to a synonym: I love surfing

Response A: I hate surfing

Response B: and am planning on going surfing tomorrow morning.

Human Response

In this example, Neither output is correct. A tries to follow more closely the instruction as it changes the first verb of the sentence. Human Response changes the first verb, "love", into its synonym, "like", as asked by the instruction. ## Which is best, A, B, or tie?

Example ## Instruction: Change the first verb to a synonym: I love surfing

Response A: I hate surfing

Response B: I don't like surfing

Human Response I like surfing

In this example, neither output is correct and the responses are very similar. Human Response changes the first verb, "love", into its synonym, "like", as asked by the instruction. ## Which is best, A, B, or tie? tie

Now is the real task, just select among: A, B, or tie. # Task: ## Instruction: {instruction} ## Response A:

{output_1} ## Response B: {output 2}

Human Response {output human

Which is best, A, B, or tie?<|eot_id|><|start_header_id|>assistant<|end_header_id|>

Figure 9: Prompt Template For LLM-as-a-Judge with Human Response. The prompt template we use to prompt our judge model Llama-3.1-70B-Instruct to give the preference between two model responses along with human reference. Note that we intentionally transform the guidelines we give to the human annotators into this prompt to maximize the fairness in comparison.

<|begin_of_text|><|start_header_id|>system<|end_header_id|> You are a helpful assistant that helps us rate an AI model's responses to instructions.<|eot_id|><|start_header_id|>user<|end_header_id|> Decide which response from the AI system following the instruction is better, considering the following questions:

1. Does the response precisely follow the instruction? For example, a response that includes unrelated information or does not fulfill the task is not precisely following the instruction.

2. Is the response helpful? For example, if the instruction asks for a recipe for healthy food, and the response is a useful recipe, then you can consider it helpful.

3. Is the response language natural? For example, AI responses are often verbose or repetitive, which is not natural.

4. Is the response factual/accurate? Al responses often make up new information. For example, if the response claims that Donald Trump is the current U.S. president, then you should consider it inaccurate.

5. Based on your aesthetics, which one do you prefer? For example, you might prefer one poem over another poem.

Select the response A or B that you prefer, or select tie if the two responses are similarly good or bad. Your answer should ONLY contain: A or B or tie. Here are two examples:

Example: ## Instruction: What type of Rifle is an AK47?

Response A: An AK47 is a type of assault rifle.

Response B:

The AK47 is a type of rifle that is commonly associated with Soviet and Russian military forces. It is a semi-automatic assault rifle that is chambered in 7.62x39mm and is considered to be one of the most popular and widely used firearms in the world. The AK47 is known for its durability, reliability, and accuracy, and it is able to fire up to 45 rounds per minute. It is also popular among civilians due to its low cost and ease of use. The AK47 is an iconic weapon that has been featured in numerous movies, video games, and other pop culture references.

Here A directly and concisely answers the type of AK47 as asked by the instruction. In contrast, B is too verbose and talks about AK47's background, advantages, and influence, which are unrelated to the instruction. ## Which is best, A, B, or tie? A

Example: ## Instruction: Given a table of data, compute the average Table of data: 1, 3, 5, 6, 9

Response A: The average is 5.

Response B: 4.75

Here B is more accurate than A because 4.75 from B is closer to the correct average 4.8 than 5 from A. ## Which is best, A, B, or tie?

Now is the real task, just select among: A, B, or tie. # Task: ## Instruction: {instruction}

Response A: {output_1}

Response B: {output_2}

Which is best, A, B, or tie?<|eot_id|><|start_header_id|>assistant<|end_header_id|>

Figure 10: **Prompt Template For LLM-as-a-Judge.** The prompt template we use to prompt our judge model Llama-3.1-70B-Instruct to give the preference between two model responses without a reference. Note that we intentionally transform the guidelines we give to the human annotators into this prompt to maximize the fairness in comparison.

</begin_of_text|></start_header_id|>system/end_header_id|>
You are a helpful assistant that helps us rate an AI model's responses to instructions./ent_id/ent_header_id|>user/end_header_id|> Decide which response from the AI system following the instruction is better, considering the following question

1. Does the response precisely follow the instruction? For example, a response that includes unrelated information or does not fulfill the task is not precisely following the instruction. Compare each response with the provided human response to decide if a response faithfully follows the instruction, especially when the instruction asks for expected word count or format. 2. Is the response helpful? For example, if the instruction asks for a recipe for healthy food, and the response is a useful recipe, then you can consider it

helpful. 3. Is the response language natural? For example, AI responses are often verbose or repetitive, which is not natural. Compare with the provided human

response to decide whether a response is natural response to decide whether a response is natural. 4. Is the response factual/accurate? All responses often make up new information. For example, if the response claims that Donald Trump is the current U.S. president, then you should consider it inaccurate. Compare with the provided human response to verify whether a response is factual and accurate, especially president, unen you should conclude a magnetic structure of the second structu

Select the response A or B that you prefer, or select tie if the two responses are similarly good or bad. Your answer should ONLY contain: A or B or tie. Here are two examples

Example: ## Instruction

Paraphrase the following question: "What is the capital of France?

Response A: The capital of France is Paris.

Response B: Can you tell me the name of the city that serves as the capital of France?

Human Response: What's the name of the France's capital

In this example, B paraphrases the question as asked by the instruction. In contrast, A does not follow instruction as it answers the question instead. Human Response also paraphrases the question in a different way. ## Which is best, A, B, or tie?

Example: ## Instruction: Change the first verb to a synonym: I love surfing

Response A I hate surfing

Response B

and am planning on going surfing tomorrow morning

Human Response: I like surfing

In this example. Neither output is correct. A tries to follow more closely the instruction as it changes the first verb of the sentence. Human Response changes ## Which is best, A, B, or tie?

Example: ## Instruction: Change the first verb to a synonym: I love surfing

Response A I hate surfing

Response B: I don't like surfing

Human Response I like surfing

In this example, neither output is correct and the responses are very similar. Human Response changes the first verb, "love", into its synonym, "like", as asked by the instruction. ## Which is best, A, B, or tie?

Now is the real task, just select among: A, B, or tie. # Task: ## Instruction: {instruction} ## Response A: {output_1} ## Response B: {output_2} ## Human Response:

{output human]

Which is best, A, B, or tie?<|eot_id|><|start_header_id|>assistant<|end_header_id|>

Figure 11: Prompt Template with demonstration examples replaced. A modified version of the prompt template we use to prompt our judge model Llama-3.1-70B-Instruct to give the preference between two model responses with a reference. We replace the demonstrations examples with the ones adopted from the examples given to the human annotators by AlpacaEval.

<|begin_of_text|><|start_header_id|>system<|end_header_id|> You are a helpful assistant that helps us rate an AI model's responses to instructions.<|eot_id|><|start_header_id|>user<|end_header_id|> Decide which response from the AI system following the instruction is better, considering the following questions:

1. Does the response precisely follow the instruction? For example, a response that includes unrelated information or does not fulfill the task is not precisely following the instruction. Compare each response with the provided human response to decide if a response faithfully follows the instruction, especially when the instruction asks for expected word count or format.

2. Is the response helpful? For example, if the instruction asks for a recipe for healthy food, and the response is a useful recipe, then you can consider it helpful.

3. Is the response language natural? For example, Al responses are often verbose or repetitive, which is not natural. Compare with the provided human response to decide whether a response is natural.

4. Is the response factual/accurate? AI responses often make up new information. For example, if the response claims that Donald Trump is the current U.S. president, then you should consider it inaccurate. Compare with the provided human response to verify whether a response is factual and accurate, especially with numbers.

5. Based on your aesthetics, which one do you prefer? For example, you might prefer one poem over another poem.

Select the response A or B that you prefer, or select tie if the two responses are similarly good or bad. Your answer should ONLY contain: A or B or tie.

Now is the real task, just select among: A, B, or tie. # Task: ## Instruction: {instruction} ## Response A:

{output_1}

Response B: {output_2}

Human Response: {output_human}

Which is best, A, B, or tie?<|eot_id|><|start_header_id|>assistant<|end_header_id|>

Figure 12: **Prompt Template with demonstration examples removed.** A modified version of the prompt template we use to prompt our judge model Llama-3.1-70B-Instruct to give the preference between two model responses with a reference. We removes the demonstration examples.

<|begin_of_text|><|start_header_id|>system<|end_header_id|>

You are a helpful assistant, that ranks models by the quality of their answers.<[eot_id]><[start_header_id]>user<[end_header_id]> I want you to create a leaderboard of different of large-language models. To do so, I will give you the instructions (prompts) given to the models, the responses of two models, and a reference response written by human expert. Please rank the models based on which responses would be preferred by humans. All inputs and outputs should be python dictionaries.

```
Here is the prompt:
```

{ "instruction": """{instruction}""", } Here is the human-written response to be used as your reference: { "reference": """{output_human}""" } Here are the outputs of the models: [{ "model": "model_1", "answer": """{output_1}""" }, { "model": "model_2", "answer": """{output_2}""" }] Now please rank the models by the quality of their answers, so that the model with rank 1 has the best output. Then return a list of the model names and ranks, i.e., produce the following output: ſ {'model': <model-name>, 'rank': <model-rank>},

{'model': <model-name>, 'rank': <model-rank>}

] Your response must be a valid Python dictionary and should contain nothing else because we will directly execute it in Python.

Please provide the ranking that the majority of humans would give.<[eot_id]><[start_header_id]>assistant<[end_header_id]>

Figure 13: **Prompt Template from AlpacaEval.** A modified version of the prompt template we use to prompt our judge model Llama-3.1-70B-Instruct to give the preference between two model responses with a reference. We adopt the exactly prompt that AlpacaEval uses for their judge LLMs.

Welcome! Thank you for participating in this study! We are researchers at the Allen Institute for AI (AI2) and the University of Washington working on building language models that you can interact with (i.e., chatbots like ChatGPT). To improve this technology, we would like to understand what kinds of responses from chatbots you prefer. The purpose of this platform is to collect these preferences. Please read the information below before you start.

Please take your time to read the user requests and the chatbot responses carefully before making your decisions. Some requests and responses are much longer than others. So we expect you will spend 5-10 minutes (or even slightly more) on the longer ones, and less than a minute on the shorter ones. Note that we are looking for quality annotations and you will be paid by the time you spend on the annotation, rather than the number of annotations you complete. So please do not rush through the annotations.

Your task is to decide which response is better (A and B). There are several dimensions that you can think along. Consider the following questions:

- 1. Does the response precisely follow the instruction? For example, a response that includes unrelated information or does not fulfill the task is not precisely following the instruction.
- 2. Is the response helpful? For example, if the instruction asks for a recipe for healthy food, and the response is a useful recipe, then you can consider it helpful.
- 3. Is the response language natural? For example, AI responses are often verbose or repetitive, which is not natural.
- 4. Is the response factual/accurate? Al responses often make up new information. For example, if the response claims that Donald Trump is the current U.S. president, then you should consider it inaccurate.
- 5. Based on your aesthetics, which one do you prefer? For example, you might prefer one poem over another poem.

To help you understand the annotation task, we provide two examples below:

1. What type of Rifle is an AK47?

Response A: An AK47 is a type of assault rifle.

Response B: The AK47 is a type of rifle that is commonly associated with Soviet and Russian military forces. It is a semi-automatic assault rifle that is chambered in 7.62x39mm and is considered to be one of the most popular and widely used firearms in the world. The AK47 is known for its durability, reliability, and accuracy, and it is able to fire up to 45 rounds per minute. It is also popular among civilians due to its low cost and ease of use. The AK47 is an iconic weapon that has been featured in numerous movies, video games, and other pop culture references.

In this example, response 1 directly and concisely answers the type of AK47 as asked by the instruction. In contrast, response 2 is too verbose and talks about AK47's background, advantages, and influence, which are unrelated to the instruction. You should select 1.

2. Given a table of data, compute the average. Table of data: 1, 3, 5, 6, 9.

Response A: The average is 5.

Response B: 4.75

In this example, response 1 is more accurate than response 2 because 4.75 from response 1 is closer to the correct average 4.8 than 5 from response 2. You should select 2.

Figure 14: **Guideline for Human Annotator.** The guideline we provide for the human annotators. A modified version from Li et al. (2023).

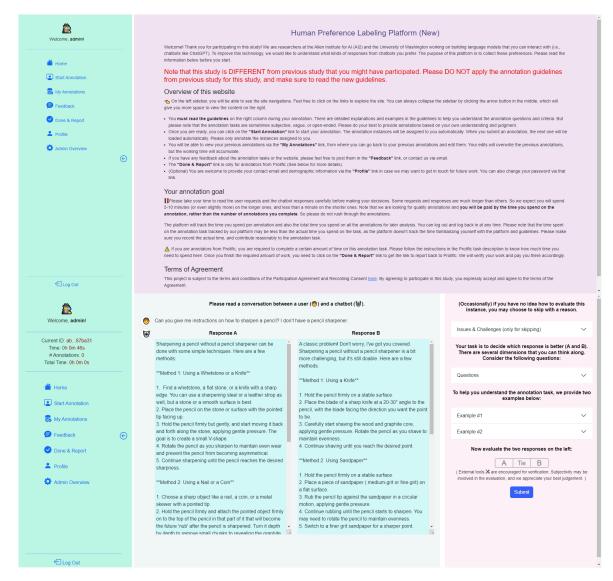


Figure 15: **Annotation Website.** The main pages of the website we build for collecting human annotations. The website framework is adopted from Miranda et al. (2024).