# Bridging the Domain Divide: Supervised vs. Zero-Shot Clinical Section Segmentation from MIMIC-III to Obstetrics

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#### Abstract

Clinical notes contain vital patient informa-002 tion organized into sections such as "History of Present Illness" and "Medications". Recognizing these sections supports clinical decisionmaking, yet most existing segmentation approaches rely on supervised models trained on large public corpora (e.g., MIMIC-III), 009 which may not generalize effectively to specialized domains such as obstetrics. In this 011 paper, we advance clinical section segmenta-012 tion through three key contributions: (1) we introduce a novel, de-identified dataset of obstetrics clinical notes; (2) we systematically evaluate transformer-based supervised models on both in-domain (MIMIC-III) and out-ofdomain (obstetrics) data; and (3) we present the 017 first head-to-head comparison with zero-shot large language models (Llama, Mistral, and 019 Qwen). Our results show that while supervised models significantly outperform large language 021 models (LLMs) on in-domain MIMIC-III data, their performance degrades substantially in the out-of-domain setting-where the best zeroshot LLM (Llama 3.3-70B-Instruct) surpasses all supervised baselines, even before applying our hallucination correction step. Once hallucinated section headers are corrected, zeroshot performance improves further, with three out of four LLMs outperforming the best supervised model, demonstrating the viability of 032 zero-shot models for specialized clinical domains. These findings underscore the challenge of transferring models trained on broad public corpora to underexplored clinical subdomains 036 and highlight the strong potential of zero-shot approaches when labeled data is scarce.

# 1 Introduction

Electronic Health Records (EHRs) are widely used
in modern healthcare to provide detailed records of
patient encounters and their interactions within the
healthcare system (Holmes et al., 2021). EHR data
often contain free text clinical notes, which are typ-

ically organized into sections such as "*Chief Complaint*" and "*History of Present Illness*". Accurately identifying these sections is crucial for downstream natural language processing (NLP) tasks, including entity extraction, information retrieval, and word sense disambiguation (Denny et al., 2008). However, clinical documentation is highly variable and often lacks standardized formatting. For example, the section "Social History" can appear as "Social *Hx*" or contain typographical errors like "*Chief Complain*" instead of "*Chief Complaint*" (Ganesan and Subotin, 2014). Such inconsistencies complicate rule-based solutions and motivate more robust machine-learning and deep-learning approaches. 044

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Although transformer-based models trained on large public corpora (e.g., MIMIC-III (Johnson et al., 2016)) have shown promise for section segmentation, their domain adaptation capabilities remain uncertain. In particular, obstetrics represents a specialized clinical subdomain with unique documentation styles and limited annotated data. This variability is evident in how physician counseling information is structured, where the same content may be documented under diverse section headers such as "Impression and Plan", "Assessment and Plan", "Assessment", or even abbreviated formats like "A/P", "A&P" and "A: P:". For instance, in one of our obstetrics notes, the counseling section appears under an abbreviated heading, as shown in Figure 1.

Such variability often introduces out-ofvocabulary or unseen section headers that can significantly hinder deep learning approaches trained on more standardized data. Recent research suggests that LLMs can handle out-of-domain tasks through zero-shot or few-shot prompting, but it is unclear how they fare against traditional supervised methods in specialized domains.

To address these gaps, we make the following key contributions:

a/p:
"This is 28 y/o G6P4013 presents for repeat cesarean delivery and bi-
lateral tuba ligation. admit to labor and delivery cbc, type and screen
iv fluids consented for repeat cesarean delivery, possible hysterectomy,
possible blood transfusion, and bilateral tubal ligation. Hgb 11.1 patient
alst ate at 2100on <i><date></date></i> discussed with the team <i><name></name></i> MD Ob-
stetrics and Gynecology Resident Attending note I saw patient prior to
scheduled repeat c/section this morning, reviewed cerner and counseled
patient r/b/a. Patient with history of prior c/s x 1 here for RCD and BTL.
Labs this morning includes Hgb 11.1, plat 207, bl gp O+ve. Singlton
cephalic, posterior placenta. Writtent consent obtained, will proceed
when OR is ready. Patient voiced understanding of the plan. <i><name></name></i> ,
MD"

Figure 1: Counseling section from a sample obstetrics note (includes typographical errors).

1. A Novel Obstetrics Dataset: We introduce a de-identified dataset of 100 *History & Physical (H&P)* obstetrics notes, annotated in collaboration with a domain expert. This dataset provides a new and realistic benchmark for investigating section segmentation in underexplored clinical subdomains.

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- 2. Domain-Specific Evaluation of Supervised Models: We assess whether transformerbased supervised models —originally trained on public datasets— can effectively generalize to obstetrics notes. By comparing them on both in-domain (MedSecId (Landes et al., 2022)) and out-of-domain (Obstetrics) data, we highlight the difficulties in transferring knowledge across clinical sub-specialties.
- 3. Systematic Comparison With Zero-Shot LLMs: We present the first head-to-head comparison of supervised transformer models and zero-shot LLMs (i.e., Llama, Mistral and Qwen) for clinical section segmentation. Our experiments reveal challenges (e.g., hallucinated section headers) as well as the potential benefits of zero-shot strategies, especially when annotated data are scarce.

The paper is organized as follows: we discuss related work in Sec. 2, our datasets in Sec. 3, and our proposed approaches in Sec. 4. We present our experimental results in Sec. 5, and conclude with future directions (Sec. 6) and limitations (Sec. 7).

## 2 Related Work

115Before the emergence of advanced machine learn-<br/>ing and NLP techniques, early approaches to clini-<br/>cal section segmentation primarily relied on rule-<br/>based methods. Denny et al. (2008), for instance,<br/>extracted candidate section header strings from a<br/>large corpus of "history and physical" (H&P) notes

through pattern-based matching (e.g., detecting strings that end with punctuation or follow certain capitalization patterns). These candidates were then refined in collaboration with clinicians to build a terminology of section headers. However, purely rule-based methods tend to be inflexible and often fail to handle unexpected variations in unstructured, non-standardized text, which constitutes approximately 80% of the content in Electronic Health Records (EHR)(Kong, 2019). 121

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To overcome the limitations of rule-based approaches, researchers proposed machine learningbased solutions for section segmentation, often framing it as a sequence-labeling task. Li et al. (2010) trained a Hidden Markov Model (HMM) on a clinical corpus to segment 15 predefined section types. Ganesan and Subotin (2014) employed an L1-regularized multi-class Logistic Regression model to classify each line of a clinical note into one of five roles—start header, continue header, start section, continue section, or footer—and then used the Viterbi algorithm (Forney, 1973) to determine the most probable sequence of labels.

More recent work has been grounded in transformer-based architectures. Zhang et al. (2022) presented a multi-task transformer model that simultaneously identifies section boundaries and assigns medically relevant labels. Saleh et al. (2024) leveraged BioClinicalBERT embeddings (Alsentzer et al., 2019) and framed section title and subtitle detection as a named entity recognition (NER) task. While not fully transformerbased, Landes et al. (2022) incorporated BERT embeddings as sentence-level representations, which were then processed using a BiLSTM model for sequence modeling and further refined with a Conditional Random Field (CRF) layer to enforce structured predictions across section boundaries.

Most of this research relies on large publicly available datasets such as MIMIC-III. Since producing high-quality annotated data is very resourceintensive, recent work has explored large language models (LLMs) for clinical section segmentation in zero-shot settings. Zhou and Miller (2024) evaluated several LLMs, both zero-shot and fine-tuned, across multiple corpora to assess their section-segmentation effectiveness; but these LLMs were still tested on common public datasets (e.g., MIMIC-III and i2b2 (Özlem Uzuner et al., 2011)) rather than more specialized clinical domains, such as obstetrics.

Hence, it remains unclear how well these ap-

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173proaches generalize to specialized and underuti-174lized domains like obstetrics. Moreover, exist-175ing comparative studies often evaluate supervised176methods solely against each other or LLM-based177methods solely against each other, leaving a gap in178cross-method comparisons in specialized settings.

In this paper, we address this gap by introducing a small yet informative dataset of obstetrics-related H&P narratives. We propose both supervised and zero-shot approaches for clinical section segmentation, and then evaluate their performance against each other on our newly collected dataset as well as on publicly available annotated corpora. This comprehensive evaluation sheds new light on how different models perform in a specialized medical domain.

# 3 Data

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We utilize the publicly available MedSecId corpus introduced by Landes et al. (2022) to train and evaluate our models. MedSecId comprises 2,002 fully annotated clinical notes from MIMIC-III, specifically designed for clinical section segmentation. Additionally, we introduce a novel, de-identified dataset of 100 History & Physical (H&P) notes from 50 vaginal birth after cesarean (VBAC) and 50 repeat cesarean section (RCS) patients to evaluate model performance in obstetrics, an underrepresented clinical domain.

MedSecId spans five note types—*Discharge* summary (1,254), Physician (288), Radiology (205), Echo (198) and Consult (57)—and segments each note into 50 section categories, plus a "*<none>*" label for text outside of any predefined section (Landes et al., 2022). To prepare the dataset, we first extracted section spans from MedSecId and split each note into section segments. Next, we tokenized the context of each section into lists of sentences using the NLTK sentence tokenizer (Bird et al., 2009), ensuring each sentence was correctly assigned to its respective section and appeared in the correct order.

Our obstetrics dataset was collected and managed using REDCap (Harris et al., 2009, 2019). Since the notes contained protected health information (PHI), we transferred them to a HIPAAsecure environment and applied automatic deidentification using the Spark NLP framework (Kocaman and Talby, 2021). This framework masked entities, including *NAME*, *LOCATION* (*address*, *city, zip code*), *DATE*, *CONTACT* (*phone numbers*, *email addresses*), and *ID* (*social security number*, *medical record number*). We then manually reviewed all notes to ensure PHI removal was complete.

Due to annotation resource constraints, we focused on 100 high-quality, full-length H&P notes from distinct patients across both delivery groups (VBAC and RCS). Annotations were performed in collaboration with a midwifery domain expert. As with MedSecId, we split each section into sentences, using the dataset solely for evaluation due to its limited size. Unlike MedSecId, which includes a mix of general-purpose clinical note types, our dataset is obstetrics-specific and incorporates domain-relevant sections headers (e.g., "Pregnancy History", "Gynecologic History") that capture obstetric-specific content such as gravida/para notation and neonatal outcomes. Rather than normalizing to MedSecId's schema, we retained these specialized headers to preserve the narrative structure and semantics of obstetric H&P narratives.

To enable fair cross-domain evaluation, we excluded specialized headers when testing supervised models trained on MedSecId. This allows us to isolate the models' ability to generalize to a clinically distinct domain. Table A1 (Appendix) compares section headers across both datasets, highlighting shared and domain-specific labels. Table A2 (Appendix) presents the frequency distribution of section spans observed in the Obstetrics corpus.

# 4 Methodology

We explore two approaches for clinical section segmentation: Supervised Learning and Zero-shot Learning via LLMs. In this section, we provide an overview of both approaches; highlighting model architectures and design choices. Detailed implementation, training configurations, and computational resource usage are provided in Appendix A.

#### 4.1 Supervised Learning Approach

We first develop a supervised approach to clinical section segmentation using pre-trained Transformer-based models, widely used in text classification and sequence labeling tasks (Vaswani, 2017; Devlin et al., 2019). While these models do not surpass existing systems such as Landes et al. (2022), they provide competitive and robust supervised baselines to evaluate the zero-shot LLM approach on this task. We fine-tune the models using two architectures:

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headers.

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sequence labeling.

Segmentation

tween consecutive lines, framing the task as

**Transformer-based Section** 

We approach section segmentation as a 51-way

classification task (including the label "<none>")

using an IO-like encoding scheme: lines within

labeled sections are tagged as "I\_section\_name",

while lines outside any labeled section are tagged

as "<none>" (Landes et al., 2022). Throughout

this work, we use the terms "line" and "sentence"

interchangeably, as each unit in our dataset corre-

sponds to a single textual span separated by new-

lines in clinical notes. We experiment with BERT-

base, a widely used Transformer model pre-trained

on general-domain English corpora (Devlin et al.,

2019), and three models trained on biomedical text.

BioBERT (Lee et al., 2020) extends BERT via fur-

ther pretraining on PubMed abstracts and PubMed

Central (PMC) articles. BiomedBERT (formerly

PubMedBERT) (Gu et al., 2021) is trained from

scratch exclusively on PubMed abstracts, making it fully domain-specialized. GatorTron-base (Yang

et al., 2022) is trained on a diverse corpus compris-

ing de-identified clinical notes from a university

hospital, PubMed articles, and Wikipedia, total-

ing 90 billion words. We exclude models primar-

ily trained on MIMIC-III (e.g., BioClinicalBERT

Line-Level Representation We represent clin-

perform train-test splitting at the line level, consis-

tent with the model's independence assumption and

eliminating the need to preserve note boundaries.

(Alsentzer et al., 2019)) to avoid evaluation bias.

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ical notes as sequences of independent lines (rather than full-length notes) to comply with Trans-310 former token limits and reduce computational overhead. Each line is treated as a separate exam-312 ple, capturing local context without modeling se-313 quential dependencies. Consequently, we flatten 314 each note-originally a list of labeled lines-into 315 316 a dataset of individual line-label pairs. Because the model does not leverage inter-line context, we 317

1. Transformer-based Classification: line is treated as an independent input and cal notes, with 80% (140,140 lines) used for trainclassified into one of the predefined section ing and 20% (35,563 lines) for evaluation. While this setup ignores document-level structure, it provides a fair supervised baseline for comparison 2. Transformer + CRF: A Conditional Ranwith zero-shot LLMs, which -despite accessing dom Field (CRF) layer is added on top of the the full note-do not explicitly model label transi-Transformer to model label dependencies betions or structured dependencies across lines.

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Token Length Analysis Before tokenization, we analyzed the distribution of token lengths per line. Approximately 97% of lines (across all models) contained fewer than 100 subword tokens. We therefore truncate each line to 100 tokens to optimize memory usage and format inputs using the standard HuggingFace (Wolf et al., 2020) convention: input\_ids and attention\_mask for training.

This process yields 175,703 lines from 2,002 clini-

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Training configurations, hyperparameters, and evaluation metrics are provided in Appendix A.3.

#### 4.1.2 Transformer + CRF based Section Segmentation

Unlike the line-level approach in Section 4.1.1, we retain note-level structure to model sequential dependencies between lines. Each note is treated as a single training instance, allowing the CRF layer to learn label transitions (e.g., from "History of Present Illness" to "Review of Systems").

Custom Collator and Data Preparation To accommodate varying note lengths, we implement a custom collator for note-level batching:

- Dynamic Line Dimensions: For each batch, let L be the maximum number of lines among notes; each line is truncated or padded to a maximum token length S.
- Batch-Size Constraint: To preserve notelevel context and avoid inefficient padding across variable-length sequences, we set the batch size to B = 1, which simplifies training and reduces GPU memory usage while retaining the CRF's ability to model label transitions.
- Final Tensor Shape: Each note is arranged into a tensor of shape (B,L,S), preserving full note structure. This allows the CRF to model label transitions across all lines within a note.

Model Architecture We use the same Transformer backbones in Section 4.1.1 (BERT-base, BioBERT, BiomedBERT and GatorTron-base) combined with torchcrf, a CRF library for PyTorch (Paszke et al., 2019).

```
<|begin_of_text|><|start_header_id|>system<|</pre>
end_header_id|>
You are a clinical assistant specializing in
segmenting clinical notes.
</end/>start_header_id/>user</end_header_id</pre>
1>
Your task is to assign section headers to each
line of a clinical note. Most of the section
headers will likely span multiple lines, so
headers should be assigned sequentially and
consistently.
Clinical Note:
{enumerated clinical note text}
Select the most appropriate section header for
each line from the following options:
{string of 30 potential headers}
Return your answer as a list of section headers,
one for each line, in the same order.
Example Output:
line 0: <none>
Line 1: imaging
Line 2: <none>
Line 3: chief-complaint
Line 4: history-of-present-illness
Line 5: history-of-present-illness
Line 6: history-of-present-illness
Line 7: history-of-present-illness
Line 8: history-of-present-illness
Line 9: history-of-present-illness
. . .
The output must contain **exactly the same
number of lines** as the clinical note, i.e
number of lines SHOULD BE EQUAL TO {number of
note lines}
<|eot_id|><|start_header_id|>assistant<|</pre>
end_header_id|>
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Listing 1: Zero-shot prompt snippet for Llama Instruct models

Section Headers:

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The Transformer + CRF architecture consists of the following steps:

- 1. **Flatten Input:** We reshape (*B*,*L*,*S*) to (*B x L*,*S*) so each line can be processed independently by the Transformer.
- 2. **Contextual Embeddings:** We extract the *[CLS]* representation for each line.
- 3. Logit Projection: We apply a linear layer to project contextual embeddings into logits of shape (*B x L, num\_labels*) for each section label where *num\_labels* = 51.
- 4. **CRF Reshaping:** We reshape logits back to (*B*, *L*, *num\_labels*), so the CRF can model line-level transitions across the entire note.
- 5. Viterbi Decoding: At evaluation, we apply

Viterbi decoding (Forney, 1973) to obtain the most likely label sequence for each note.

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Training hyperparameters and evaluation details are provided in Appendix A.4.

#### 4.2 Zero-Shot Learning via LLMs

Unlike supervised approaches that require labeled training data, we explore zero-shot learning for clinical section segmentation using pre-trained LLMs. Our primary goal is to evaluate whether instruction-tuned LLMs—without domain-specific fine-tuning—can accurately assign section labels by leveraging general contextual understanding.

**Model Selection** We selected four instructiontuned, open-source LLMs for evaluation: Mistral-7B-Instruct-v0.3 (Jiang et al., 2023), Llama 3.1-8B-Instruct (Touvron et al., 2023), Qwen-2.5-32B-Instruct (Yang et al., 2024), and Llama 3.3-70B-Instruct (Touvron et al., 2023). These models support extended context windows (32k-128k tokens), enabling full-note inference without truncation. Their varied sizes (7B-70B) allow us to assess how model scale affects performance in long-form clinical narratives.

Although our dataset is de-identified, real-world clinical documents often contain protected health information (PHI). Closed-source models such as GPT-4 (Achiam et al., 2023) and Gemini (Team et al., 2023) can pose security and privacy risks, as they require sending user data to third-party servers and thus increase the likelihood of unauthorized access or misuse of sensitive information (Kim et al., 2025). In contrast, open-source models can be deployed on-premises, offering a more secure pathway for integrating LLMs into clinical workflows. This practical consideration further motivates our use of open-source models.

**Prompt Engineering** We adopt an instructionstyle prompt to assign section labels to each line in a clinical note, without any task-specific finetuning. All four models are chat-based and support system/user prompting. The Llama models use a unified template with explicit system and user roles (see Listing 1); for Mistral and Qwen, we adapt the prompt format to match their respective syntax conventions (e.g., *[INST]* or <*lim\_startl>*). We designate the model as a "clinical assistant specializing in segmenting clinical notes" and provide it with a list of valid section labels. Each line in the note is numbered (e.g., "1. line1," "2. line2") to

Model	MP	MR	MF1	wP	wR	wF1
Supervised Models						
BERT <sub>base</sub>	0.71	0.67	0.68	0.78	0.78	0.77
BioBERT	0.72	0.68	0.68	0.78	0.78	0.77
BiomedBERT	0.72	0.69	0.68	0.79	0.79	0.78
GatorTron <sub>base</sub>	0.73	0.69	0.69	0.80	0.80	0.78
BERT <sub>base</sub> +CRF	0.72	0.69	0.68	0.79	0.77	0.77
BioBERT+CRF	0.74	0.69	0.68	0.79	0.77	0.76
BiomedBERT+CRF	0.75	0.70	0.69	0.79	0.79	0.78
GatorTron <sub>base</sub> +CRF	0.74	0.65	0.67	0.81	0.80	0.79
Zero-Shot Models	(Resul	ts with	Halluci	nations	5)	
Mistral-7B-Instructraw	0.03	0.02	0.02	0.54	0.17	0.22
Llama 3.1-8B-Instruct <sub>raw</sub>	0.17	0.19	0.14	0.70	0.48	0.52
Qwen-2.5-32B-Instruct <sub>raw</sub>	0.25	0.23	0.21	0.68	0.45	0.49
Llama 3.3-70B-Instructraw	0.23	0.29	0.23	0.76	0.61	0.64
Zero-Shot Models (Results after Mitigating Hallucinations)						
Mistral-7B-Instruct <sub>corrected</sub>	0.21	0.19	0.16	0.41	0.20	0.23
Llama 3.1-8B-Instructcorrected	0.46	0.54	0.39	0.70	0.49	0.52
Qwen-2.5-32B-Instruct <sub>corrected</sub>	0.47	0.48	0.41	0.61	0.46	0.49
Llama 3.3-70B-Instruct <sub>corrected</sub>	0.47	0.61	0.48	0.73	0.62	0.64

Table 1: Performance metrics on MedSecId: MP = macro precision, MR = macro recall, MF1 = macro F1; wP = weighted precision, wR = weighted recall, wF1 = weighted F1.

ensure independent prediction while preserving sequence order. This structure allows the model to reference neighboring lines during inference, enabling implicit modeling of section transitions. To clarify output formatting (rather than teach section content), we include a single one-shot-style example (e.g., "Line 0: <none>, Line 1: imaging"). This preserves a near-zero-shot setup, relying solely on the model's pretrained knowledge to infer appropriate section labels. See Appendix A.6 for inference details.

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**Post Processing** We parse model outputs using 445 regular expressions to isolate predicted section 446 headers (e.g., removing "Line 0:" prefixes). Pre-447 dictions are evaluated against gold labels in the 448 MedSecId and Obstetrics datasets using precision, 449 recall, F1, and hallucination rate-defined as the 450 percentage of lines assigned to non-existent section 451 headers. To reduce label fragmentation, we normal-452 ize semantically equivalent labels. In collaboration 453 with the midwifery expert who assisted with an-454 notations, we consolidated impression-and-plan 455 and plan into the standardized label assessment-456 457 and-plan, following clinical convention. This label aligns with terminology adopted in prior clinical 458 section segmentation work (Denny et al., 2009; 459 Landes et al., 2022), supporting its use as a canoni-460 cal form for evaluation. 461

Model	MP	MR	MF1	wP	wR	wF1	
Supervised Models							
BERT <sub>base</sub>	0.66	0.37	0.39	0.76	0.43	0.47	
BioBERT	0.54	0.39	0.39	0.75	0.45	0.48	
BiomedBERT	0.61	0.39	0.40	0.76	0.46	0.49	
GatorTron <sub>base</sub>	0.73	0.48	0.49	0.85	0.58	0.61	
BERT <sub>base</sub> +CRF	0.68	0.49	0.47	0.80	0.61	0.62	
BioBERT+CRF	0.55	0.45	0.43	0.74	0.59	0.57	
BiomedBERT+CRF	0.56	0.51	0.50	0.76	0.65	0.66	
GatorTron <sub>base</sub> +CRF	0.65	0.51	0.49	0.79	0.65	0.65	
Zero-Shot Models	Zero-Shot Models (Results with Hallucinations)						
Mistral-7B-Instruct <sub>raw</sub>	0.05	0.04	0.04	0.72	0.45	0.52	
Llama 3.1-8B-Instruct <sub>raw</sub>	0.35	0.33	0.32	0.84	0.70	0.74	
Qwen-2.5-32B-Instruct <sub>raw</sub>	0.34	0.39	0.34	0.88	0.79	0.83	
Llama 3.3-70B-Instructraw	0.61	0.59	0.58	0.90	0.85	0.86	
Zero-Shot Models (Results after Mitigating Hallucinations)							
Mistral-7B-Instruct <sub>corrected</sub>	0.38	0.45	0.37	0.56	0.47	0.49	
Llama 3.1-8B-Instructcorrected	0.58	0.56	0.54	0.83	0.71	0.74	
Qwen-2.5-32B-Instruct <sub>corrected</sub>	0.61	0.71	0.61	0.88	0.82	0.84	
Llama 3.3-70B-Instruct <sub>corrected</sub>	0.70	0.67	0.67	0.90	0.85	0.86	

Table 2: Performance metrics on Obstetrics: MP = macro precision, MR = macro recall, MF1 = macro F1; wP = weighted precision, wR = weighted recall, wF1 = weighted F1.

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# **5** Experiments

#### 5.1 Evaluation and Experimental Setup

We evaluate the performance of both our supervised models and zero-shot LLMs on two datasets: MedSecId and Obstetrics. Since the supervised models were trained on MedSecId, we excluded the training portion to avoid evaluation bias. Specifically, we removed 80% (1,601 notes) of the original MedSecId corpus used for training. From the remaining 401 notes, we further excluded those with more than 100 lines to maintain a tractable sequence length for evaluation, resulting in a final subset of 251 notes comprising 11,528 lines. For the Obstetrics dataset, we used all 100 notes (5,352 lines).

#### 5.2 Hallucinations in Zero-Shot LLMs

Despite receiving clear instructions, all four zeroshot models—Mistral-7B-Instruct-v0.3, Qwen-2.5-32B-Instruct, Llama 3.1-8B-Instruct, and Llama 3.3-70B-Instruct—exhibited hallucinations during inference by generating section headers not present in the ground truth. We define hallucination in this context as the assignment of a section header that does not appear in the predefined list of valid labels. For example, Mistral frequently labeled *substanceabuse* as a distinct section, although it should be subsumed under the broader *social history*. Such mislabeling risks fragmenting semantically related

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content, potentially compromising clinical workflows.

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As shown in Table 3, hallucination rates varied across models, with Mistral producing the highest rates on both datasets (22.21% for MedSecId; 17.64% for Obstetrics), followed by Qwen, Llama 3.1-8B and Llama 3.3-70B. Interestingly, this ranking diverges from those reported in generaldomain hallucination benchmarks (e.g., Hughes et al. (2023)), underscoring the importance of evaluating model reliability within the specific context of clinical tasks. These findings suggest that hallucination behavior is highly sensitive to domain, task formulation, and prompting strategy-and cannot be reliably extrapolated from general-purpose evaluations. Further research is needed to address the factual consistency of LLM outputs in the healthcare domain (Nori et al., 2023).

To better characterize model behavior, Table A3 (Appendix) lists the five most frequently hallucinated section headers for each model on the Obstetrics dataset.

Model	HL	TL	H%	HS			
Me	MedSecId						
Mistral-7B-Instruct-v0.3	2,560	11,528	22.21%	433			
Llama 3.1-8B Instruct	452	11,528	3.92%	89			
Qwen-2.5-32B-Instruct	497	11,528	4.31%	54			
Llama 3.3-70B Instruct	404	11,528	3.50%	57			
Obstetrics							
Mistral-7B-Instruct-v0.3	944	5,352	17.64%	136			
Llama 3.1-8B Instruct	115	5,352	2.15%	19			
Qwen-2.5-32B-Instruct	177	5,352	3.31%	23			
Llama 3.3-70B Instruct	5	5,352	0.09%	4			

Table 3: Hallucination Analysis on MedSecId and Obstetrics. HL = number of hallucinated lines; TL = total lines; H% = hallucination rate; HS = number of hallucinated sections types are not in the original label set.

Mitigating Hallucinations To mitigate halluci-512 nations, we implemented a post-processing cor-513 rection step using GPT-40 (Achiam et al., 2023). 514 For each hallucinated section header-i.e., one not 515 present in the predefined set of valid labels-we prompted GPT-40 to map it to the most semanti-517 cally appropriate label from the valid list. Because 518 this task involved only generic section names (e.g., labs, social-history) and no patient-level content, 521 we could safely use an API-based model without violating privacy constraints. We selected GPT-40 over embedding-based heuristics (e.g., Sentence-BERT cosine similarity (Reimers and Gurevych, 2019)) due to its superior contextual reasoning, 525

particularly for ambiguous or sparsely descriptive headers.

While some edge cases remain challenging, this procedure substantially reduced the number of nonstandard predictions and improved alignment with the target schema. Importantly, the correction accuracy may underestimate true semantic alignment: in some cases, hallucinated headers (e.g., *ultrasound*) may be semantically closer to a different valid label (e.g., *imaging*) than to the gold-standard label used for evaluation (e.g., *review-of-systems*). In such cases, lower correction scores may reflect initial label misalignment rather than a failure of the mapping strategy. We report the post-correction mapping results in Table A4 (Appendix). The prompt used for GPT-40 hallucination correction is provided in Listing 2 (Appendix).

#### 5.3 Qualitative Error Analysis for LLM Predictions

After correcting hallucinations, we analyzed remaining section labeling errors through a qualitative evaluation of outputs from the best-performing model, Llama 3.3-70B-Instruct. To scale this process, we employed the same model in an LLMbased classification framework to automatically assign errors to one of four categories: (1) *Omission*—the model incorrectly predicted *<none>* for a span that should have received a valid label; (2) *Label confusion*—the predicted label was clearly incorrect relative to the gold label; and (3) *Valid local interpretation*—the predicted label differed but was semantically justifiable given the local span; and (4) *Other*—ambiguous or uncategorizable cases.

The classification prompt handled categories 2–4, while *omission* was identified separately using rule-based logic. The prompt is shown in Listing 3 (Appendix). Figure 2 summarizes error type distributions, and Table A5 provides representative examples; both appear in the Appendix.

## 5.4 Results and Discussion

Tables 1 and 2 present the performance of all models on the MedSecId and Obstetrics datasets, respectively. For zero-shot LLMs, we report both raw and corrected results to highlight the impact of hallucination mitigation. Notably, post-correction macro F1 scores increase by 9% to 33%, confirming that hallucinations are a major source of error in zero-shot predictions.

As expected, supervised models outperform zero-shot LLMs on MedSecId due to their direct

training on that dataset. Among the supervised 576 models, performance is largely comparable across 577 Transformer variants. However, the addition of a CRF layer yields modest but non-negligible gains for some models. Specifically, macro F1 scores for BERT-based models improve by 4% to 10% with 581 CRF integration, suggesting that modeling inter-582 line dependencies offers measurable benefits. In contrast, GatorTron shows no improvement with a CRF layer, indicating that larger models may already encode sufficient contextual information for 586 accurate line-level predictions. Meanwhile, zero-587 shot LLMs display large discrepancies between 588 macro and weighted F1 scores due to macro F1's sensitivity to hallucinated labels. Once hallucinated headers are corrected, spurious labels are mapped to valid ones, leading to substantial improvements in macro scores.

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While supervised models maintain a strong lead over zero-shot LLMs on MedSecId, they struggle to generalize to the newly introduced Obstetrics dataset. This result suggests that models trained on large public corpora, such as MIMIC, may not transfer effectively to narrower clinical subdomains like Obstetrics. Although GatorTronbase initially outperforms the other supervised models, the addition of a CRF layer allows others—particularly BioMedBERT—to close the gap or even surpass it. Notably, BioMedBERT+CRF outperforms GatorTron+CRF by approximately 1% in both macro and weighted F1 on the Obstetrics dataset.

Interestingly, zero-shot LLMs perform relatively better on Obstetrics, partly due to the smaller label space (28 vs. 51). To quantify the ro-610 bustness of model performance across notes, we 611 report 95% confidence intervals over per-note 612 macro and weighted F1 scores (Appendix A.8). Llama 3.3-70B-Instruct achieves the highest over-614 all performance, outperforming all supervised baselines. To assess the consistency of this advan-616 tage, we conducted Wilcoxon signed-rank tests on per-note macro F1 scores. Even in its hallucinated form, Llama 3.3-70B-Instruct signifi-619 cantly outperforms the strongest supervised model  $(p < 4.88 \times 10^{-17})$ , with further gains after correction  $(p < 3.75 \times 10^{-17})$ . These results suggest that the LLM's advantage reflects robust gen-623 eralization, not merely post-hoc label correction. 624 While Llama 3.3-70B-Instruct slightly outperforms Qwen-2.5-32B-Instruct on average, the difference is not statistically significant ( $p \approx 0.11$ ), indicating 627

comparable performance between the two strongest zero-shot models.

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Overall, these findings highlight the flexibility of zero-shot LLMs in adapting to novel domains without requiring additional annotation or fine-tuning. While supervised Transformer models remain stateof-the-art for in-domain tasks, instruction-tuned LLMs—especially when paired with simple hallucination correction—offer a statistically robust and scalable alternative for clinical NLP in underexplored subdomains.

#### 6 Conclusions and Future Work

We addressed clinical section segmentation in a specialized obstetrics domain by introducing a curated dataset of obstetrics-related H&P narratives. We evaluated both supervised and zero-shot LLM approaches on this dataset and existing public corpora. While supervised models perform well indomain, they struggle to generalize to unfamiliar clinical subdomains. In contrast, zero-shot LLMs demonstrate greater adaptability, particularly when domain-specific fine-tuning is unavailable.

Despite these advances, several challenges remain. First, our dataset's limited size may not capture the full variability of obstetrics documentation. Second, although zero-shot LLMs reduce reliance on labeled data, they remain prone to domain-inconsistent predictions, including hallucinated section headers and omissions of clinically important spans. These issues are especially concerning in specialized domains, where mislabeling critical content undermines reliability and interpretability.

Future work includes expanding the dataset to cover a wider range of conditions, procedures, and patient profiles, improving clinical diversity. We also aim to explore further LLM adaptation strategies, such as few-shot learning and parameterefficient fine-tuning (PEFT), to more effectively tailor models to specialized domains while retaining computational efficiency (Han et al., 2024). Finally, integrating domain knowledge bases or medical ontologies may enhance performance and interpretability by guiding segmentation and label assignment. These efforts aim to support the development of robust, domain-aware clinical NLP systems.

# 7 Limitations

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Our dataset currently includes 100 H&P nar-676 ratives-50 from VBAC patients and 50 from 677 RCS patients-randomly selected from a larger pool. While this subset provides an initial look 679 at obstetrics-focused documentation, it may not capture the full variability of patients in this domain. For section annotation, we adopted a set of obstetrics-specific headers developed in collaboration with a certified midwifery expert. While these labels offer improved clinical relevance over general-purpose schemas such as MedSecId (Landes et al., 2022), they may introduce subjectivity, as other experts might define or group sections differently. This lack of standardization may limit comparability across datasets or models. Future work should explore building consensus-driven or ontology-aligned section schemas tailored to obstetrics, as well as expanding dataset coverage to better reflect diverse clinical structures and docu-694 mentation styles. 695

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#### **A** Appendix

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# A.1 Section Header Comparison Between MedSecId and Obstetrics

Section Header	MedSecId	Obstetrics
<none></none>		
24-hour-events	000000000000000000000000000000000000000	000000000000000000000000000000000000000
addendum	<b>O</b>	8
allergies	0	<b>O</b>
assessment-and-plan	<b>O</b>	<b>O</b>
chief-complaint	<b>O</b>	<b>O</b>
clinical-implications	<b>O</b>	8
consent	8	<b>2</b>
code-status		8
communication	<b>2</b>	Θ
comparison	<b>2</b>	8
conclusions contrast	× ×	8
critical-care-attending-addendum	ž	<b>O</b>
current-medications	i i i i i i i i i i i i i i i i i i i	i i i i i i i i i i i i i i i i i i i
discharge-condition	, i i i i i i i i i i i i i i i i i i i	8
discharge-diagnosis	ŏ	õ
discharge-disposition	ŏ	ă
discharge-instructions	ō	õ
discharge-medications	0	8
disposition	0	8
facility	<b>O</b>	8
family-history	<b>O</b>	<b>O</b>
findings	<b>O</b>	8
flowsheet-data-vitals	<b>2</b>	8
gestational-age	8	<u> </u>
gynecological-history	8	<b>2</b>
history	<b>2</b>	S
history-of-present-illness	~	<b>2</b>
history-of-present-pregnancy		Š
hospital-course	× ×	8
image-type imaging	ž	
impression	i i i i i i i i i i i i i i i i i i i	ä
impression-and-plan	8	ŏ
indication	ŏ	8
infusions	ŏ	õ
labs	Ö	Ö
labs-imaging	<b>O</b>	<b>O</b>
last-dose-of-antibiotics	<b>O</b>	8
major-surgical-or-invasive-procedure	<b>O</b>	8
medical-condition	<b>O</b>	8
medication-history	<b>O</b>	8
obstetrical-and-gynecological-history	8	<b>e</b>
obstetrical-history	8	<b>2</b>
other-medications	-	
past-medical-history	<b>2</b>	<b>2</b>
past-surgical-history	<b>2</b>	2
patient-test-information	<b>X</b>	
physical-examination	Š	× ×
plan pregnancy-history	00	×
pregnancy-mistory prenatal-care	000000000000000000000000000000000000000	000000000000000000000000000000000000000
prenatal-history	ä	ŏ
prenatal-screens	ŏ	ŏ
problem-list	8	ŏ
procedure	ō	8
procedure-history	Ö	ō
reason	Ö	Ô
review-of-systems	0	<b>O</b>
social-and-family-history	<b>O</b>	8
social-history	0	<b>O</b>
technique	<b>O</b>	8
wet-read	<b></b>	8
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Table A1: Comparison of Section Headers in MedSecId vs. Obstetrics Dataset (♥ = Present, ♥ = Absent)

# A.2 Section Header Distribution of Obstetrics Dataset

Section Header	Total Spans	Overall %
social-history	119	7.89
current-medications	114	7.56
allergies	114	7.56
physical-examination	102	6.76
family-history	97	6.43
history-of-present-illness	96	6.37
impression-and-plan	83	5.50
chief-complaint	79	5.24
review-of-systems	79	5.24
problem-list	79	5.24
pregnancy-history	79	5.24
gestational-age	78	5.17
procedure-history	64	4.24
past-medical-history	61	4.05
labs	51	3.38
past-surgical-history	49	3.25
obstetrical-history	46	3.05
gynecological-history	46	3.05
assessment-and-plan	19	1.26
critical-care-attending-addendum	12	0.80
labs-imaging	11	0.73
imaging	11	0.73
prenatal-history	11	0.73
obstetrical-and-gynecological-history	2	0.13
plan	2	0.13
prenatal-screens	1	0.07
consent	1	0.07
history-of-present-pregnancy	1	0.07
prenatal-care	1	0.07

Table A2: Frequency distribution of section headers in the Obstetrics dataset (excluding <none>).

# A.3 Transformer-Based Section Segmentation—Training and Evaluation

**Training Configuration** We use the Trainer class from HuggingFace (Wolf et al., 2020) with the following hyperparameters (tuned within our GPU/memory constraints):

• Learning rate: 2e-5 902 • Epochs: 5 903 • Batch size: 32 904 • Mixed precision: Training is accelerated with 905 bf16 precision 906 • Max token length: 100 907 • Warmup steps: 500 908 • Weight decay: 0.05 909

**Evaluation Metrics** We compute standard classification metrics—accuracy, precision, recall, F1—along with macro-F1 (class-agnostic) and weighted-F1 (weighing classes by frequency) to assess how class imbalance affects performance.

# A.4 Transformer + CRF-Based Section 915 Segmentation—Training and Evaluation 916

**Training Details** The training details for our experiments are as follows:

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919	• Learning rate: 2e-	-5
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• Epoch: 5

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- **Batch size:** B = 1
- Mixed precision: Training is accelerated with bf16 precision
- **Optimizer:** AdamW (Loshchilov and Hutter, 2019) (updates Transformer + CRF parameters)
- Max token length: 100 for BERT-base, BioBERT, BiomedBERT; 64 for GatorTron (due to higher memory consumption)

Evaluation Metrics As in Section A.3, we com pute precision, recall, macro-F1, and weighted-F1
 to evaluate note-level segmentation performance.

# A.5 Hallucination Analysis

Model	Top 5 Hallucinated Sections
Mistral-7B-	substance-abuse, neurologic, psychi-
Instruct-v0.3	atric, psychosocial-history, integumen-
	tary
Llama 3.1-8B-	review / management, review-and-
Instruct	management, health maintenance,
	psychosocial-history, obstetrical-
	examination
Qwen-2.5-	basic-information, substance-abuse,
32B-Instruct	psychosocial-history, obstetric-exam,
	postoperative-information
Llama 3.3-	health-maintenance, risk-factors,
70B-Instruct	psychosocial-history, comments

Table A3: Top 5 most frequently hallucinated section headers generated by each model. (Llama 3.3-70B-Instruct produced only four hallucinated headers in to-tal.)



Listing 2: Zero-shot prompt used to align hallucinated headers with valid section labels.

Model	СН	ТН	S%
Mistral-7B-	479	944	50.74%
Instruct-v0.3			
Llama	23	115	20.00%
3.1-8B-Instruct			
Qwen-2.5-32B-	116	177	65.54%
Instruct			
Llama	3	5	60.00%
3.3-70B-Instruct			

Table A4: Correction success rates for hallucinated section headers. CH: number of corrected hallucinations that matched the gold-standard label; TH: total hallucinated lines; S%: success rate.

# A.6 Zero-Shot Learning via LLMs—Inference Details

**Inference Details** To generate section labels, we perform a forward pass in inference-only mode with the following parameters:

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- **temperature = 0.0**: Forces greedy decoding, prioritizing the most probable token at each step for consistent and deterministic output.
- **do\_sample = False**: Disables random sampling, ensuring reproducible outputs for identical prompts.
- **num\_beams = 1**: Avoids complex beam search, reducing computational overhead.
- pad\_token\_id = tokenizer.eos\_token\_id: Uses the end-of-sequence token for padding, preventing extraneous tokens in the output.

By combining these settings, our inference procedure remains deterministic and focused, yielding consistent line-by-line label predictions for each clinical note.

# A.7 Qualitative Error Analysis



Figure 2: Proportional distribution of section labeling errors for Llama 3.3-70B-Instruct.

Text Span	Error Type	Explanation
Review / Management Results review:	Label Confusion	The predicted label "assessment-and-plan" is a valid label,
GBS (group B streptococcal):		but it clearly differs from the gold label "labs-imaging",
negative Hepatitis B : negative		as the text span primarily discusses laboratory results,
Syphilis screen: NR x2 Rubella:		which aligns more closely with "labs-imaging".
Immune HIV: NR STDs: Neg Blood		
type: O+ 1-hr GTT: 94 Genetic		
Screening Tests		
(First/Sequential/QUAD) : normal.		
In addition patient was incidentally	Valid Local Interpretation	The predicted label physical-examination makes sense
found to have 4cm arrachnoid cyst of		given the text span, which describes a medical finding,
her left temporal fossa.		even though the gold label is assessment-and-plan. The
_		sentence could be part of a physical examination section,
		but in the context of the entire clinical note, it might be
		more appropriately classified under assessment and plan
		due to the incidental finding mentioned.

Table A5: Representative examples of Llama 3.3-70B-Instruct prediction mismatches categorized by LLM, with associated reasoning.

<|begin\_of\_text|><|start\_header\_id|>system<|end\_header\_id|> You are analyzing a prediction error in a clinical note section classification task. A sentence was assigned a gold-standard label. A language model attempted to predict this label. <|eot\_id|><|start\_header\_id|>user<|end\_header\_id|> Your task is to assign section headers to each line of a clinical note. Most of the section headers will likely span multiple lines, so headers should be assigned sequentially and consistently. You are given: - Gold label: {gold\_label} - Predicted label: {predicted\_label} - Text span: {span\_text} Your task is to decide what type of error this is. Choose only one of the following categories: 1. \*\*Label Confusion\*\* The model predicted a valid but clearly different label from the gold. 2. \*\*Valid Local Interpretation\*\* The predicted label is different from gold, but makes semantic sense given the span alone. 3. \*\*Other\*\* This case is ambiguous or doesn't fit the above categories. Respond exactly in the following format: Label: <one of the 3 options above> Reason: <your brief explanation> </eot\_id/></start\_header\_id/>assistant</end\_header\_id/> Section Headers:

Listing 3: Zero-shot prompt used to classify qualitative errors.

# A.8 Confidence Intervals for Model **Performance on Obstetrics Data**

Model	Macro F1 (±95% CI)	Weighted F1 (±95% CI)
Llama 3.3-70B-	$0.800 \pm 0.024$	$0.851 \pm 0.024$
Instruct <sub>corrected</sub>		
Qwen-2.5-32B-	$0.764 \pm 0.032$	$0.818 \pm 0.038$
Instruct <sub>corrected</sub>		
BioMedBERT+CRF	$0.604 \pm 0.026$	$0.646 \pm 0.028$

Table A6: Comparison of per-note macro and weighted F1 scores  $\pm$  95% bootstrap confidence intervals across 100 obstetric notes for the top two zero-shot LLMs and the best-performing supervised model (BioMed-BERT+CRF).

#### A.9 **Computational Resources**

All training and inference experiments were conducted on NVIDIA A100 GPUs (80GB VRAM). We used 3 GPUs for Llama 3.3-70B-Instruct, while all other models fit on a single GPU. Detailed training configurations, including batch sizes and epoch settings for both supervised and zero-shot experiments, are provided in their respective sections in Appendix A.

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