
Rail-5k: a Real-World Dataset for Rail Surface Defects Detection

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Abstract

1 This paper presents the Rail-5k dataset for benchmarking the performance of visual
2 algorithms in a real world application scenario, namely the rail surface defects
3 detection task. We collected over 5k high quality images from railways across
4 China, and annotated 1100 images with the help from railway experts to identify
5 the most common 13 types of rail defects. The dataset can be used for two settings
6 both with unique challenges, the first is the fully-supervised setting using the 1k+
7 labeled images for training, fine-grained nature and long-tailed distribution of
8 defect classes makes it hard for visual algorithms to tackle. The second is the
9 semi-supervised learning setting facilitated by the 4k unlabeled images, these 4k
10 images are uncurated containing possible image corruptions and domain shift with
11 the labeled images, which can not be easily tackle by previous semi-supervised
12 learning methods. We believe our dataset could be a valuable benchmark for
13 evaluating robustness and reliability of visual algorithms.

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Table 1: Dataset compare

Domain	Dataset	Task	# class	# image	# box per image	Resolution	Annotation Quality
Rail Defects	Delft [4]	cls	6	3240	1	10 ⁴ gray-scale	image-level
	RSDDs [6]	seg	2	195	5	10 ⁴ gray-scale	image-level
	CRRC [5]	det	3	>1000	1	10 ⁴ gray-scale	band-level
	Rail-5k(labeled)	det	13	1100	22.9	10 ⁷ RGB	instance-level
Natural Image	VOC-2007	det	20	12974	3.1	-	instance-level
	VOC-2012 [3]	det	20	34071	2.7	469 x 387 RGB	instance-level
	ILSVRC-2014 [2]	det	200	516840	1.1	482 x 415 RGB	instance-level
	MS COCO 2018 [16]	det	80	163957	7.3	-	instance-level
	OID V6 [14]	det	600	1910098	8.4	-	instance-level

14 1 Introduction

15 The introduction of large scale annotated datasets such as ImageNet [2] greatly speeds up the
 16 development of deep-learning based vision algorithms [9]. Deep learning algorithms pre-trained on
 17 ImageNet [2] has also been shown to effectively transfer between domain and tasks such as object
 18 detection [21] or medical image analysis [12].

19 As an important basic infrastructure of human life, the maintenance and status analysis of railways
 20 has a real world economy and safety-focused value. However, current datasets in the railways domain
 21 are either limited in size [6], quality of images [4, 5, 6], or the annotation types [5, 6] The limited size
 22 and quality of currently available dataset are not yet ready for support the training of deep learning
 23 methods.

24 Our dataset has enough high quality images captured from real-world railway to enable the training
 25 of deep learning models. Besides the labeled set with 1.1k images, we also provide a unlabeled set
 26 of 4k images to enable a semi-supervised setting. Several unique characteristics of our dataset also
 27 poses new challenges to vision algorithm. The first challenge is the long-tailed distribution of classes
 28 presented in our dataset, the imbalance ratio of the most majority class to the most minority class is
 29 up to 40.98, it has been shown that the long-tailed distribution would greatly hurt the performance
 30 of the learned model [17, 8]. Besides the long-tailed class distribution in the labeled set of the
 31 dataset, the unlabeled set of images also poses a difficult scenario of semi-supervised defect detection,
 32 semi-supervised object detection is a relatively new task with few recent works [7, 22], the previous
 33 method often assumes that the unlabeled set is also curated. However, in our case, the unlabeled set is
 34 uncurated with multiple unknown image corruptions and unseen object in the labeled set. Given these
 35 unique properties, we believe that our proposed dataset could not only facilitate the development of
 36 algorithms for rail surface defects detection, but also the development for a more robust vision model
 37 to handle the long-tailed distribution and possible corruptions in the unlabeled set.

38 2 Related work

39 Traditional inspection methods like subjective manual observation, sampling checking, are all quali-
 40 tative or compensating methods, can not provide a digital and automatic decision-making basis for
 41 intelligent maintenance of the whole line. Our dataset mainly focus on the task of defects detection,
 42 we summarize relevant literatures in the section.

43 2.1 Natural Image Dataset

44 The surface defect detection tasks are most related to the tasks of object detection in visual algorithms.
 45 Common benchmarks for visual object detection are constructed using natural images such as Pascal
 46 VOC [3] and MS-COCO [16]. These dataset are mostly balanced in terms of class distributions. The
 47 LVIS [8] dataset proposed a larger collection of images with a long-tailed distribution of classes. Our
 48 proposed dataset also has a long-tailed distribution with respect to classes. Unlike the general natural
 49 image datasets, our dataset also presents fine-grained class definition due to the nature of railway
 50 images.

Table 2: Categories statistics.

Class	Running surface	Contact band	Dark Contact Band	Spalling	Crack	Corrugation	Grinding
# Boxes	1082	1093	773	12582	3785	3349	337
# Images	1080	1087	769	1005	375	445	179
# Large	1082	1092	773	1277	2965	3329	336
# Medium	0	0	0	5147	784	17	1
# Small	0	1	0	6148	36	3	0

Class	Fastening	Spike Screw	Set Screw	Indentation	Burning	Welded Joint
# Boxes	757	502	414	307	41	14
# Images	582	424	360	216	10	8
# Large	750	475	400	4	41	14
# Medium	7	27	14	237	0	0
# Small	0	0	0	66	0	0

51 **2.2 Synthetic Corruption Dataset**

52 There are also many datasets focusing on testing the robustness of deep-learning models under domain
 53 shift and image corruptions like ImageNet-C [10], CityScapes-C [18], and COCO-C [19]. However,
 54 the corruptions in these dataset are synthetic, generated using image processing techniques. Also,
 55 they are mainly used as the test set to test the robustness rather than the training set. In our dataset,
 56 the labeled dataset are well-curated, but the unlabeled set mat contains various real-world corruption,
 57 thus poses a new challenge for semi-supervised learning method.

58 **2.3 Rail Defects Dataset**

59 In the rail engineering domain, there are dataset focusing on the classification and detection of railway
 60 defects [23]. As for rail engineering, images are mostly in the form of atlas for manual reference.
 61 There are classification and detection [23] datasets of railway scene, as well as ultrasonic inspection
 62 datasets [11]. But still lacking of real-world datasets for rail surface defects. Faghih-Roohi *et al.* [4]
 63 collects and labels 100 x 50 resolution images in 6 defects classes. RSDDs datasets [6] contains 195
 64 gray-scale images in 2 kinds of railway with segmentation mask. Feng *et al.* [5] collects thousands
 65 of images and annotate corrugation, fatigue and spalling in band region. Datasets above are all
 66 collected by high-speed linear scan cameras with low resolution and coarse-grained annotation. As a
 67 consequence, they all fail to drive the training of real-world robust deep learning algorithms.

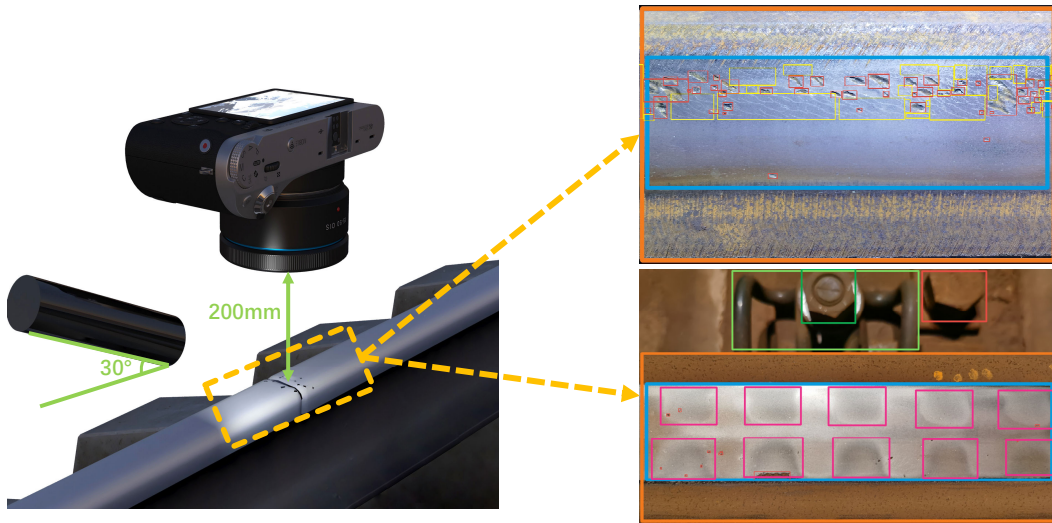


Figure 1: Typical image capture and annotations.

68 3 The Rail-5k dataset

69 3.1 Rail Image Acquisition

70 The rail surface defects are mostly caused by the metal fatigue under the constant load from the
71 wheel in high-speed section in a railway system. Rail images in the Rail-5k dataset were captured
72 by specialized cameras mounted on inspection cars riding along the railway, making the lens 200
73 mm vertically away from the rail surface and focusing vertically downward. We exclude images with
74 shadows or overexposure on the rail surface for railway experts to label. We collected annotations
75 for 1100 RGB images with 3648×2736 pixels in resolution, covering scenarios as tunnel, elevated
76 bridge, straight and curve line, inner and outer rail, before and after grinding or milling. fig. 1 shows
77 the map of a typical rail section that we collect images. Each dot represents an image.

78 We also collected 3k images from uncurated images of rail surfaces. These images contains unknown
79 corruption and unseen objects in the labeled set. fig. 3 shows some typical images in the unlabeled
80 set.

81 In summary, our dataset contains two part of data, the first part is the labeled subset with a 1k labeled
82 images, the second part is the unlabeled subset with 3k images. Thus our dataset can support both
83 supervised and semi-supervised learning settings.

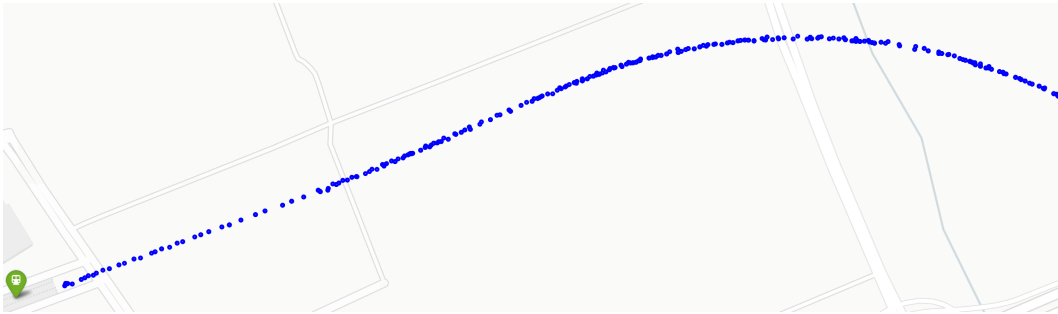


Figure 2: Map of typical sample points.

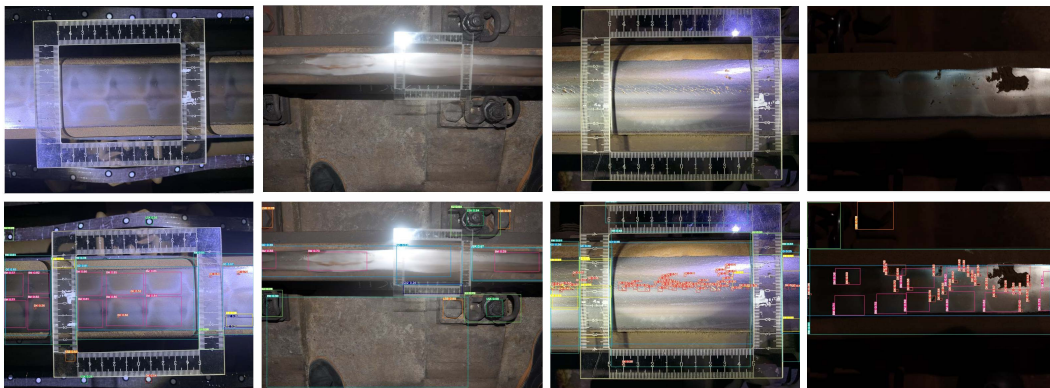


Figure 3: First line is corruption images, second line is prediction results. It can be observed that there are many false positives.

84 3.2 Fine-grained class definition and instance-level annotation

85 The annotations in our dataset were labeled by ten railway experts, each labeled images were at
86 least checked by three experts. Based on the expert knowledge and railway standards, we use a
87 fine-grained class definition and instance-level annotation paradigm for the railway defects detection.
88 The labeling principle are listed in table 3.

Table 3: Annotation paradigm.

Size	Boundary	Typical class	Annotation paradigm
Large	clear obscure lump	Rail surface, Fastener, Screw Corrugation	external rectangular box(same as common detection) wave valley of corrugation
Small	clear	Spalling, Indentation	stripped dent
Diffuse	sharp	Crack	union regions of small and dense boxes envelops cracking diffuse regions

89 Note that the crack area are sharp and thin objects with no clear edge boundary, we annotate with a
90 segmentation mask.

91 3.3 Dataset Splitting

92 We randomly split 20% of the 1,100 labeled images in to the test set, the remaining images are used
93 as the training set in the supervised setting. For the semi-supervised setting, we use the same test set
94 to evaluate the performance for comparison.

95 4 Annotation Statistics

96 In this section, we present the statistics of our dataset. The statistics are presented in three aspects,
97 namely the image and bounding box distribution among class, the bounding box sizes and aspect
98 ratios, and the center point of annotated bounding boxes.

99 4.1 Class distribution

100 fig. 4 shows the number of images and annotations containing each classes. The Burning and welded
101 joint are ignored in our experiments and benchmark because of their rare appearance. The imbalance
102 ratio with respect to the number of bounding box between the most majority class and the most
103 minority is 40.98, the imbalance ratio with respect to the number of images is 6.07.

104 4.2 Sizes and aspect ratios of bounding boxes

105 (box size ratio graph) Bounding box annotations in our dataset vary dramatically in sizes and aspect
106 ratios. There exist both tall and narrow objects as well as short and wide objects such as rail surface
107 and contact band, normal square objects(fastener and screw). Besides, as shown in Figure 5, there are
108 tremendous numbers of densely distributed small objects like spalling.

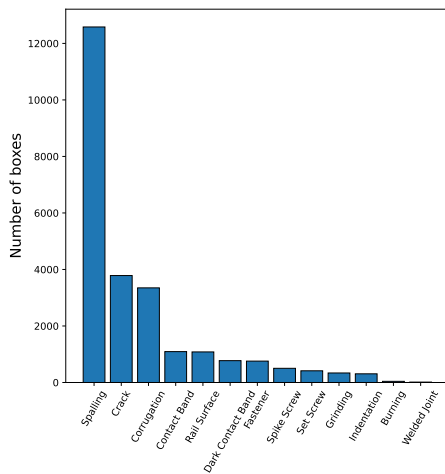


Figure 4: PR curve

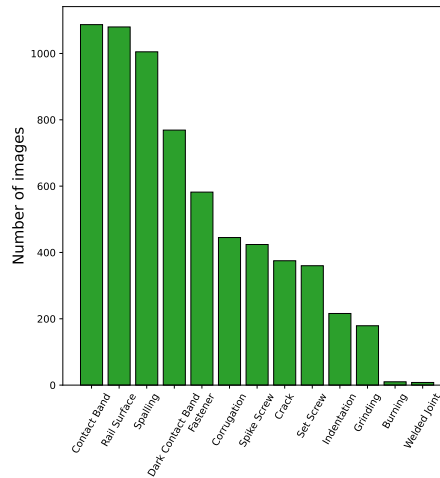


Figure 5: Concrete and Constructions

109 **4.3 Object positions**

110 Figure 7 shows the distribution of objects' center positions in our dataset. Because of the special
111 shooting paradigm, rail surfaces usually lie horizontally or vertically in images. As a consequence,
112 defects usually spread at the cross-zone in images.

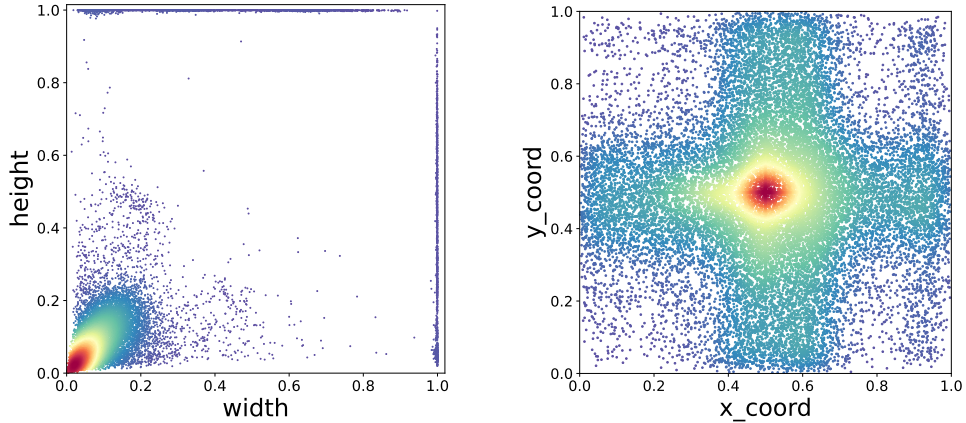


Figure 6: Width-height ratio of all annotations. Figure 7: Center positions of all annotations.

113 **5 Pilot Study on the Rail-5k Dataset**

114 In this section, we conducted comprehensive experiments in several aspects to investigate the
115 challenges and potential of the Rail-5k dataset. We trained an object detection model and a semantic
116 segmentation model on Rail-5k as our baselines and showed the challenging attributes of our dataset.

117 Additionally, We proposed a semi-supervised benchmark for object detection.

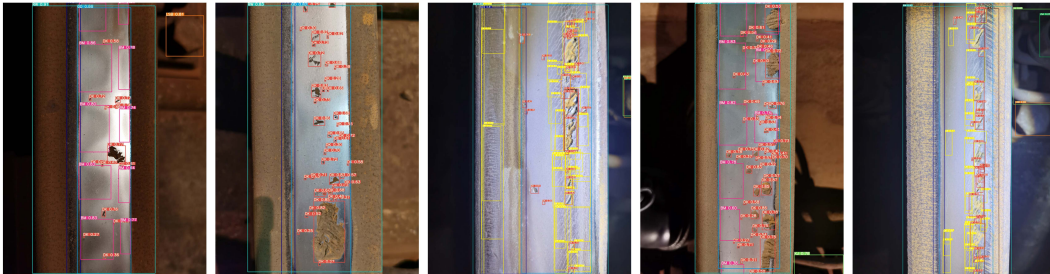


Figure 8: Typical prediction results on testset.

118 **5.1 Benchmark for Detection**

119 There are many popular detectors [20, 21, 15] on general object detection datasets. Recently,
120 many new methods have been proposed and achieved the state-of-the-art results in the MS-COCO
121 benchmark [16]. For example, YOLOv5 [13] is a light-weight model with mosaic augmentation
122 and Generalized Intersection over Union(GIOU) loss. In our experiments, we finetuned YOLOv5-s
123 as baseline on our dataset with MS-COCO pretraining. Detailed training settings are according to
124 *data/hyp.finetune.yaml*²

125 It can be noticed that the detector's performance on crack is extremely low. This is because crack
126 is more a texture than an object without clear definition of separated instances. Thus, we chose to
127 tackle with this problem from another approach, which will be further discussed in Section 5.2.

²We implemented our experiments with Release v4.0 from <https://github.com/ultralytics/yolov5/blob/develop/data/hyp.finetune.yaml>

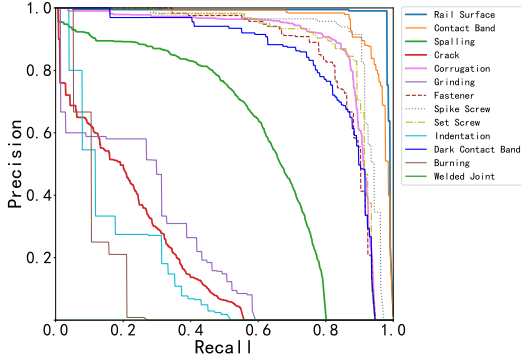


Figure 9: PR curve.

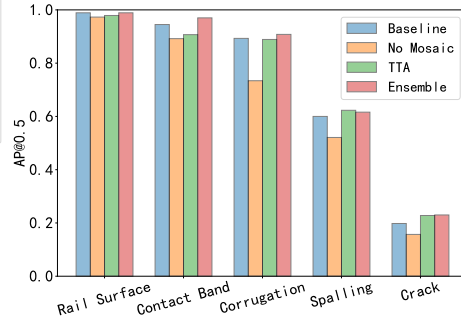


Figure 10: Ablation experiments.

Table 4: Metrics of baseline model for detection.

Class	Precision	Recall	AP@0.5	mAP@0.5:0.95	AP
Rail Surface	77.5	99.1	98.9	90.6	98.6
Contact Band	60.2	97.7	94.5	71.9	96.3
Spalling	33.2	74	60	24.8	58.9
Corrugation	60.3	91.2	89.3	48.2	87.6
Grinding	21.4	38.8	24	7.4	24.1
Dark Contact Band	64.4	81.4	76.7	36.7	83.4
Fastener	47.5	91.3	83.8	62.9	86.1
Spike Screw	37.8	92.5	86.8	48.6	91.8
Set Screw	58.6	88.2	87.3	52.2	88.5
Indentation	0	0	0.7	0.1	16.2
Crack	-	-	-	-	-

128 5.2 Benchmark for Crack

129 For cracking region, it is more of a texture and pattern than an object. Thus, we use segmentation to
 130 identify this class because the detection cannot recognize it well. We use Deeplabv3 [1] architecture
 131 with ResNet50 [9] backbone as segmentation model. The model is trained for 9000 iterations with
 132 a batch size of 16. We use SGD with momentum as the optimizer. Momentum and weight decay
 133 are set to 0.01, 1e-4 respectively. For the evaluation of our benchmark we choose the most common
 134 benchmark on segmentation, which is Intersection over Union (IoU). The model achieves 98.9%
 135 IoU on background and 67.8% IoU on crack, which is much better than the detection performance.
 136 DeepLabv3 can learn the main and obvious crack, but will ignore the tiny one.

Table 5: Metrics of baseline model for semi-supervised detection.

Class	$s_{thr} = 0.6$	$s_{thr} = 0.7$	$s_{thr} = 0.8$	$s_{thr} = 0.9$
Rail Surface	98.1	98.0	98.1	97.7
Contact Band	78.4	77.9	77.1	77.0
Spalling	60.1	58.9	57.9	58.2
Corrugation	89.6	89.2	89.5	88.6
Grinding	23.0	23.6	23.5	22.1
Dark Contact Band	92.7	92.9	93.1	92.4
Fastener	86.5	86.1	85.8	83.2
Spike Screw	93.2	94.6	91.3	87.4
Set Screw	88	88.5	87.2	85.4
Indentation	15.9	16.4	13.4	15.3
Crack	-	-	-	-
mAP@0.5	63.29	63.27	62.43	61.55

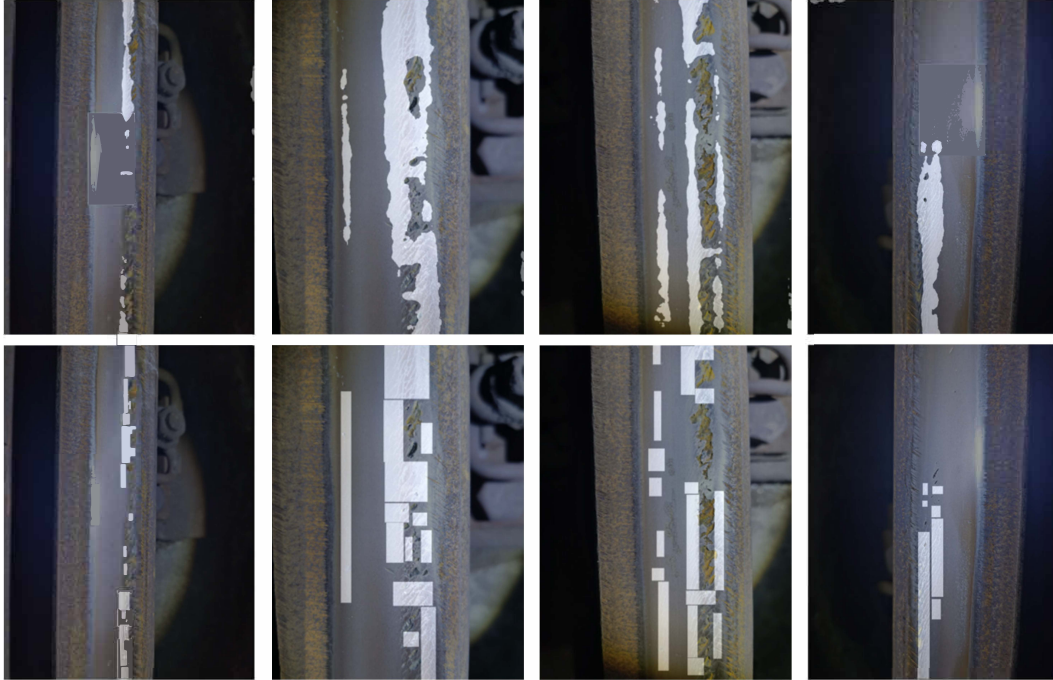


Figure 11: Images in first line are segmentation prediction results, and in second line are labels.

137 5.3 Benchmark for Semi-supervised Learning

138 With additional 3k unlabeled images, we proposed a semi-supervised object detection benchmark. We
 139 presents results in table 5.

140 These results are generated with simple pseudo label technique. We inferred on the unlabeled
 141 images with YoloV5-s trained following strategy described in Section 5.1. Then we apply a confidence
 142 score threshold s_{thr} on all predictions and use remaining predictions as pseudo labels. Finally, we
 143 finetuned this model jointly on labeled images and unlabeled images with pseudo labels for 1 epoch
 144 and a base learning rate of $4e-4$. Other training settings are the as ones in Section 5.1.

145 As shown table 5, detectors usually perform worse after being finetuned under semi-supervision. This
 146 could be caused by corruption and noise in unlabeled images.

147 6 Conclusion

148 We introduce Rail-5k, a real-world dataset for rail surface defects detection. We capture rail images
 149 across China and provide fine-grained instance-level annotations. This dataset poses new challenges
 150 both in rail maintenance and computer vision. As a baseline, we provide a pilot study on Rail-5k
 151 using off-the-shelf detection models. In later versions, Rail-5k will include more images and patterns,
 152 as well as more defects categories and image modalities, such as 3D-scan or eddy current data. This
 153 would make Rail-5k an even more standardized and inclusive real-world dataset. We hope this dataset
 154 will encourage more work on improving visual recognition methods for rail maintenance, particularly
 155 on object detection and semantic segmentation for real-world, fine-grained, small, and dense defects.

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217 **Checklist**

- 218 1. For all authors...
- 219 (a) Do the main claims made in the abstract and introduction accurately reflect the paper’s
220 contributions and scope? [Yes] And this work also fits to the scope of NeurIPS 2021
221 Datasets and Benchmarks Track.
- 222 (b) Did you describe the limitations of your work? [Yes] This work only focus on rail
223 surface defects, and the dataset indicates extreme label imbalance across categories
224 along with real world corrupted images.
- 225 (c) Did you discuss any potential negative societal impacts of your work? [Yes] This work
226 helps to detect rail defects and save costs for maintenance. It will never do harm to
227 society.
- 228 (d) Have you read the ethics review guidelines and ensured that your paper conforms to
229 them? [Yes] Our paper conforms all of the ethics rules.
- 230 2. If you are including theoretical results...
- 231 (a) Did you state the full set of assumptions of all theoretical results? [N/A]
- 232 (b) Did you include complete proofs of all theoretical results? [N/A]
- 233 3. If you ran experiments (e.g. for benchmarks)...
- 234 (a) Did you include the code, data, and instructions needed to reproduce the main exper-
235 imental results (either in the supplemental material or as a URL)? [Yes] Codes have
236 been uploaded on Github, see URL in appendix.
- 237 (b) Did you specify all the training details (e.g., data splits, hyperparameters, how they
238 were chosen)? [Yes] Data splits, baseline model hyperparameters, data augmentation,
239 loss function... are all mentioned in paper and are reproducible.
- 240 (c) Did you report error bars (e.g., with respect to the random seed after running experi-
241 ments multiple times)? [Yes] No obvious bias were found through multiple baseline
242 models and a series of ablation experiments.
- 243 (d) Did you include the total amount of compute and the type of resources used (e.g., type
244 of GPUs, internal cluster, or cloud provider)? [Yes] See Section 5.1
- 245 4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets...
- 246 (a) If your work uses existing assets, did you cite the creators? [N/A]
- 247 (b) Did you mention the license of the assets? [Yes] This dataset is licensed under CC
248 BY-NC-ND 4.0 license.
- 249 (c) Did you include any new assets either in the supplemental material or as a URL? [Yes]
250 More data and annotations will be added in the URL
- 251 (d) Did you discuss whether and how consent was obtained from people whose data you’re
252 using/curating? [Yes] We have reached an agreement with the authority that we could
253 collect, process, and mining the data for academic purposes.
- 254 (e) Did you discuss whether the data you are using/curating contains personally identifi-
255 able information or offensive content? [Yes] No personal identifiable information is
256 contained and all geographic details have been erased.
- 257 5. If you used crowdsourcing or conducted research with human subjects...
- 258 (a) Did you include the full text of instructions given to participants and screenshots, if
259 applicable? [N/A]
- 260 (b) Did you describe any potential participant risks, with links to Institutional Review
261 Board (IRB) approvals, if applicable? [N/A]
- 262 (c) Did you include the estimated hourly wage paid to participants and the total amount
263 spent on participant compensation? [N/A]