# A\* shortest string decoding for non-idempotent semirings

### Anonymous ACL submission

### Abstract

The single shortest path algorithm is undefined for weighted finite-state automata over nonidempotent semirings because such semirings do not guarantee the existence of a shortest path. However, in non-idempotent semirings admitting an order satisfying a monotonicity condition (such as the plus-times or log semirings), the shortest string is well-defined. We describe an algorithm which finds the shortest string for a weighted non-deterministic automaton over such semirings using the backwards shortest distance of an equivalent deterministic automaton (DFA) as a heuristic for A\* search performed over a companion idempotent semiring, which is proven to return the shortest string. There may be exponentially more states in the DFA, but the proposed algorithm needs to visit only a small fraction of them if determinization is performed "on the fly".

### 1 Introduction

001

007 008

011

012

019

024

Weighted finite-state automata provide a compact representation of hypotheses in various speech recognition and text processing applications (e.g., Mohri, 1997; Mohri et al., 2002; Roark and Sproat, 2007; Gorman and Sproat, 2021). Under a wide range of assumptions, weighted finite-state lattices allow for efficient polynomial-time decoding via shortest-path algorithms (Mohri, 2002).

The shortest path—and the algorithms that compute it—are well-defined when the weights of a lattice are *idempotent* and exhibit the *path property*. These properties are formalized below, but informally they hold that the distance between any two states corresponds to a single path between those states, so that the shortest-path algorithm—having identified this path—does not need to consider the weights of competing paths between those states. However, when the weights of a lattice lack these two properties, there is no guarantee that a shortest path between any two states exists. This situation arises in many speech and language technologies. For instance, generative models for speech recognition and machine translation—and in many unsupervised settings—many require one to learn alignments between sequences using *expectation maximization* (EM; Dempster et al., 1977). EM inference may require one to consider multiple competing paths between pairs of states, and this is incompatible with these two properties. Thus, to efficiently decode a lattice constructed using EM, heuristics are required; one can decode approximately by interpreting the lattice weights as if they were idempotent and had the path property, or can construct the lattice itself using the Viterbi approximation to EM.<sup>1</sup>

In non-idempotent semirings admitting an order satisfying a monotonicity condition, the shortest string is undefined but the closely related notion of shortest string is well-defined. We show below that it is still possible to efficiently determine the shortest string for lattices defined over non-idempotent monotonic negative semirings such as the plustimes and log semirings, both used for expectation maximization. We propose a simple algorithm for decoding the shortest string over such semirings which combines shortest-path search with the A\* queue discipline (Hart et al., 1968) and "on the fly" determinization (Mohri, 1997). After providing definitions and the algorithm, we describe an implementation and evaluate it using word lattices produced by a speech recognizer. The algorithmin contrast to a naïve algorithm-is observed to scale well as a function of lattice size.

## **2** Definitions

Before we introduce the proposed decoding algorithm we provide definitions of key notions. 074

041

042

043

044

045

047

049

052

053

055

057

059

060

061

062

063

064

065

067

068

069

070

071

072

073

075

076

<sup>&</sup>lt;sup>1</sup>Both of these strategies are discussed in Brown et al. 1993; see §4.3 and §6.2, respectively.

- 084

098

100 101

102

103

104

## 2.1 Semirings

Weighted automata algorithms operate with respect to an algebraic system known as a *semiring*, defined by the combination of two *monoids*.

**Definition 2.1.** A *monoid* is a pair  $(\mathbb{K}, \bullet)$  where  $\mathbb{K}$ is a set and  $\bullet$  is a binary operator over  $\mathbb{K}$  with the following properties:

1. closure:  $\forall a, b \in \mathbb{K} : a \bullet b \in \mathbb{K}$ .

2. associativity:  $\forall a, b, c \in \mathbb{K}$  :  $(a \bullet b) \bullet c =$  $a \bullet (b \bullet c).$ 

3. *identity*:  $\exists e \in \mathbb{K} : e \bullet a = a \bullet e = a$ .

Definition 2.2. A monoid is *commutative* in the case that  $\forall a, b \in \mathbb{K} : a \bullet b = b \bullet a$ .

**Definition 2.3.** A semiring is a five-tuple  $(\mathbb{K}, \oplus, \otimes, \overline{0}, \overline{1})$  where:

- 1.  $(\mathbb{K}, \oplus)$  is a commutative monoid with the identity element  $\overline{0}$ .
  - 2.  $(\mathbb{K}, \otimes)$  is a monoid with the identity element

3.  $\forall a \in \mathbb{K} : a \otimes \overline{0} = \overline{0} \otimes a = \overline{0}$ .

4. 
$$\forall a, b, c \in \mathbb{K} : a \otimes (b \oplus c) = (a \otimes b) \oplus (a \otimes c).$$

**Definition 2.4.** A semiring is *zero-sum-free* if non- $\overline{0}$  elements cannot sum to  $\overline{0}$ ; that is,  $\forall a, b \in \mathbb{K}$  :  $a \oplus b \implies a = b = \overline{0}.$ 

**Definition 2.5.** A semiring is *idempotent* if  $\oplus$  is idempotent; that is,  $\forall a \in \mathbb{K} : a \oplus a = a$ .

**Definition 2.6.** A semiring has the *path property* if  $\forall a, b \in \mathbb{K} : a \oplus b \in \{a, b\}.$ 

**Remark 2.1.** If a semiring has the path property it 105 is also idempotent.

Definition 2.7. The *natural order* of an idempotent 107 semiring is a boolean operator  $\prec$  such that  $\forall a, b \in$ 108  $\mathbb{K}$  :  $a \leq b$  if and only if  $a \oplus b = a$ .

**Remark 2.2.** In a semiring with the path property, 110 the natural order is a *total* order. That is,  $\forall a, b \in \mathbb{K}$ , 111 112 either  $a \leq b$  or  $b \leq a$ .

Definition 2.8. A semiring is monotonic if and 113 only if  $\forall a, b, c \in \mathbb{K}$ ,  $a \leq b$  implies: 114

1.  $a \oplus c \preceq b \oplus c$ . 115

- 2.  $a \otimes c \preceq b \otimes c$ . 116
- 3.  $c \otimes a \preceq c \otimes b$ . 117

<b>Definition 2.9.</b> A semiring is <i>negative</i> if and only
if $\overline{1} \leq \overline{0}$ .
Remark 2.3. In a monotonic negative semiring,
$\forall a, b \in \mathbb{K} : a \preceq \overline{0} \text{ and } a \oplus b \preceq b.$

Some examples of monotonic negative semirings are given in Table 1.

Definition 2.10. The companion semiring of a monotonic negative semiring  $(\mathbb{K}, \oplus, \otimes, \overline{0}, \overline{1})$  with total order  $\leq$  is the semiring  $(\mathbb{K}, \widehat{\oplus}, \otimes, \overline{0}, \overline{1})$  where  $\oplus$  is the minimum binary operator for  $\leq$ :

$$a \widehat{\oplus} b = \begin{cases} a & \text{if } a \preceq b \\ b & \text{otherwise} \end{cases}$$
 128

118 119

120

121

122

123

124

125

126

127

129

130

131

132

133

134

154

155

Remark 2.4. The max-times and tropical semirings are companion semirings to the plus-times and log semirings, respectively.

Remark 2.5. By construction a companion semiring has the path property and natural order  $\leq$ .

#### Weighted finite-state acceptors 2.2

2.2 Weighted linte state acceptors	104
Without loss of generality, we consider single- source $\epsilon$ -free weighted finite-state acceptors. <sup>2</sup>	135 136
<b>Definition 2.11.</b> A weighted finite-state acceptor (WFSA) is defined by a five-tuple $(Q, s, \Sigma, \omega, \delta)$ and a semiring $(\mathbb{K}, \oplus, \otimes, \overline{0}, \overline{1})$ where:	137 138 139
1. $Q$ is a finite set of states.	140
2. $s \in Q$ is the <i>initial state</i> .	141
3. $\Sigma$ is the <i>alphabet</i> .	142
4. $\omega \subseteq Q \times \mathbb{K}$ is the <i>final weight function</i> .	143
5. $\delta \subseteq Q \times \Sigma \times \mathbb{K} \times Q$ is the <i>transition relation</i> .	144
<b>Definition 2.12.</b> An WFSA is <i>acyclic</i> if there exists a <i>topological ordering</i> , an ordering of the states such that if there is a transition from state $q$ to $r$ where $q, r \in Q$ , then $q$ is ordered before $r$ . Otherwise, the WFSA is <i>cyclic</i> .	145 146 147 148 149
2.3 Shortest distance	150
<b>Definition 2.13.</b> A state $q \in Q$ is <i>final</i> if $\omega(q) \neq \overline{0}$ .	151
<b>Definition 2.14.</b> Let $F = \{q \mid \omega(q) \neq \overline{0}\}$ denote	152
the set of final states.	153

**Definition 2.15.** A *path* through an acceptor *p* is a triple consisting of:

<sup>&</sup>lt;sup>2</sup>The definition provided here can easily be generalized to automata with multiple initial states, a single final state, initial or final weights, or  $\epsilon$ -transitions (e.g., Roark and Sproat, 2007, ch. 1, Mohri, 2009, Gorman and Sproat, 2021, ch. 1).

	$\mathbb{K}$	$\oplus$	$\otimes$	$\bar{0}$	Ī	$\preceq$
Plus-times	$\mathbb{R}_+$	+	×	0	1	$\geq$
Max-times	$\mathbb{R}_+$	max	$\times$	0	1	$\geq$
Log	$\mathbb{R}\cup\{-\infty,+\infty\}$	$\oplus_{\log}$	+	$+\infty$	0	$\leq$
Tropical	$\mathbb{R}\cup\{-\infty,+\infty\}$	min	+	$+\infty$	0	$\leq$

Table 1: Common monotonic negative semirings;  $a \oplus_{\log} b = -\ln(e^{-a} + e^{-b})$ .

1. a state sequence  $q[p] = q_1, q_2, \ldots, q_n \in Q^n$ , 156

157

158

159

160

161

162

163

164

165

166

167

168

170

171

172

173

174

175

177

181

187

2. a weight sequence  $k[p] = k_1, k_2, \ldots, k_n \in$  $\mathbb{K}^n$ , and

3. a string 
$$z[p] = z_1, z_2 \dots, z_n \in \Sigma^n$$

such that  $\forall i \in [1, n] : (q_i, z_i, k_i, q_{i+1}) \in \delta$ ; that is, each transition from  $q_i$  to  $q_{i+1}$  must have label  $z_i$ and weight  $k_i$ .

**Definition 2.16.** Let  $P_{q \to r}$  be the set of all paths from q to r where  $q, r \in Q$ .

Definition 2.17. The forward shortest distance  $\alpha \subseteq Q \times \mathbb{K}$  is a partial function from a state  $q \in Q$  that gives the  $\oplus$ -sum of the  $\otimes$ -product of the weights of all paths from the initial state s to q:

$$\alpha(q) = \bigoplus_{p \in P_{s \to q}} \bigotimes_{k_i \in k[p]} k_i$$

**Definition 2.18.** The backwards shortest distance  $\beta \subseteq Q \times \mathbb{K}$  is a partial function from a state  $q \in$ Q that gives the  $\oplus$ -sum of the  $\otimes$ -product of the weights of all paths from q to a final state, including the final weight of that final state:

$$\beta(q) = \bigoplus_{f \in F} \left( \bigoplus_{p \in P_{q \to f}} \bigotimes_{k_i \in k[p]} k_i \otimes \omega(f) \right).$$

**Remark 2.6.** For a state q,  $\alpha(q)$  and  $\beta(q)$  are de-176 fined if and only if q is accessible and coaccessible, respectively. 178

Definition 2.19. The total shortest distance of an 179 automaton is  $\beta(s)$ .

## 2.4 Shortest path

Definition 2.20. A path is *complete* if

1. 
$$(s, z_1, k_1, q_1) \in \delta$$

2. 
$$q_n \in F$$
.

That is, a complete path must also begin with an arc from the initial state s to  $q_1$  with label  $z_1$  and weight  $k_1$ , and halt in a final state.

**Definition 2.21.** The weight of a complete path is 188 given by the  $\otimes$ -product of its weight sequence and 189 its final weight: 190

$$\bar{k} = \left(\bigotimes_{k_i \in k[p]} k_i\right) \otimes \omega(q_n).$$
191

192

193

194

196

197

198

199

200

201

202

203

205

206

207

209

210

211

212

213

214

215

216

217

218

219

220

**Definition 2.22.** A *shortest path* through an automaton is a complete path whose weight is equal to the total shortest distance  $\beta(s)$ .

Remark 2.7. Automata over non-idempotent semirings do not necessarily have a shortest path (Mohri, 2002, 322). Consider for example the NFA shown in the left side of Figure 1. Let us assume that  $k \oplus k \preceq k < k'$ . Then, the total shortest distance is  $k \oplus k$  but the shortest path is k. Definitionally, a non-idempotent semiring does not guarantee that these two weights will be equal. Then there is no complete path whose weight is that of the total shortest distance, and thus no shortest path exists.

Remark 2.8. It is not generally impossible to find the shortest path efficiently over non-monotonic semirings.<sup>3</sup>

#### 2.5 Determinization

Definition 2.23. A WFSA is *deterministic* if, for each state  $q \in Q$ , there is at most one transition with a given label  $z \in \Sigma$  from that state, and *non*deterministic otherwise.

Definition 2.24. A zero-sum-free semiring is weakly divisible if

$$\forall a, b \in \mathbb{K} \exists c \in \mathbb{K} : a = (a \oplus b) \otimes c.$$

**Definition 2.25.** A weakly divisible semiring is *cancellative* if c is unique and can thus be denoted by  $c = (a \oplus b)^{-1}a$  (Mohri, 2009, 238).

Remark 2.9. All semirings in Table 1 are zerosum-free, weakly divisible, and cancellative.

<sup>&</sup>lt;sup>3</sup>See Mohri (2002) for general conditions under which the shortest path can be found in polynomial time.

256

257

261 262

263 264

265

267

268

269

270

271

272

273

274

275

276

277

278

280

281

282

283

289

 $\beta_d(q) = \bigoplus k_i \otimes \beta(q_i)$ 

$$\beta_d(q) = \bigoplus_{(q_i,k_i) \in q} k_i \otimes \beta(q_i)$$
266

for any  $q \in Q_d$  (Mohri and Riley, 2002).

Termination is guaranteed for acyclic WFSAs

Figure 1 gives an example of an NFA and an

equivalent DFA. States 0 and 1 in the DFA correspond respectively to the subsets  $(0, \overline{1})$  and  $(1, \overline{1})$ 

**Remark 2.11.** Given a NFA A with backwards

shortest distance  $\beta$ , the backwards shortest distance

 $\beta_d$  over the equivalent DFA  $A_d$  can be computed

(Mohri, 1997).

from  $\beta$ :

and  $\kappa_d(0, a) = k \otimes k$ .

Since A is assumed to be acyclic,  $\beta$  can be computed in O(|Q|) time (Mohri, 2002, §4.1), and once  $\beta$  has been computed,  $\beta_d(q)$  can also be computed in linear time in  $|q| \leq |Q|$  for any  $q \in Q_d$ . This computation can be performed on-demand ("on-the-fly") as soon as the existence of  $q \in Q_d$ is known, without requiring  $A_d$  to be fully constructed.

## 2.6 Shortest string

**Definition 2.26.** Let  $P_z$  be a set of paths with string  $z \in \Sigma^*$ , and let the weight of  $P_z$  be

$$\sigma(z) = \bigoplus_{p \in P_z} \bar{k}[p].$$
 279

**Definition 2.27.** A *shortest string* z is one such that  $\forall z' \in \Sigma^*, \sigma(z) \preceq \sigma(z')$ .

**Lemma 2.1.** In an idempotent semiring, a shortest path's string is also a shortest string.

*Proof.* Let p be a shortest path. By definition,  $\bar{k}[p] \leq \bar{k}[p']$  for all complete paths p'. It follows that  $\forall z' \in \Sigma^*$ 

$$\sigma(z[p]) = \bigoplus_{p \in P_z} \bar{k}[p] \preceq \sigma(z'[p'])$$
287

$$= \bigoplus_{p' \in P_z} \bar{k}[p']$$
 288

so z[p] is the shortest string.

Lemma 2.2. In a DFA over a monotonic semiring,<br/>a shortest string is the string of a shortest path in<br/>that DFA viewed as an WFSA over the correspond-<br/>ing companion semiring.290<br/>291291<br/>292<br/>293293

We now provide a brief presentation of the determinization algorithm for WFSAs. Proofs can be found in Mohri 1997. Given an WFSA  $A = (Q, s, \Sigma, \omega, \delta)$  over a zero-sum-free, weakly divisible and cancellative semiring  $(\mathbb{K}, \oplus, \otimes, \overline{0}, \overline{1})$ , its equivalent DFA can be defined and constructed as the DFA  $A_d = (Q_d, s_d, \Sigma, \omega_d, \delta_d)$  where  $Q_d$  is a finite set whose elements are subsets of  $Q \times \mathbb{K}$ , recursively defined as follows:

1. 
$$s_d = \{(s, \bar{1})\} \in Q_d$$
.

222

232

233

237

238

239

240

241

242

246

247

2.  $\kappa_d \subseteq Q_d \times \Sigma \times \mathbb{K}$  is the weight transition *function*, defined as

$$\kappa_d(q,z) = \bigoplus_{(q_i,k_i)\in q} k_i \otimes \left( \bigoplus_{(q_i,z,k_j,r_j)\in \delta} k_j \right).$$

3.  $\nu_d \subseteq Q_d \times \Sigma \times Q_d$  is the *next-state transition function*, defined as  $\nu_d(q, z) =$ 

$$\bigcup_{\substack{(q_i,k_i) \in q \\ (q_i,z,k_j,r_j) \in \delta}} \left\{ (r_j, \kappa_d(q,z)^{-1} l_j) \right\}$$

where  $l_j = \bigoplus_{(q_i, z, k_j, r_j) \in \delta} k_i \otimes k_j$ .

4.  $Q_d = \nu_d^*(s_d, \Sigma)$  defines the set of states as the closure of the next-state transition function.

The transition relation is then defined as

$$\delta_d = \{(q, z, \kappa_d(q, z), \nu_q(q, z)) | (q, z) \in Q_d \times \Sigma\}$$

and the final weight function  $\omega_d \subseteq Q_d \times \mathbb{K}$  as

$$\omega_d(q) = \bigoplus_{(q_i,k_i) \in q} k_i \otimes \omega(q_i).$$

The intuition underlying this construction is that a state  $q \in Q_d$  encodes a set of states in Q that can be reached from s by some common strings. More precisely, let p' be the unique path in  $P_{s_d \to q}$ labeled by some  $z' \in \Sigma^*$ , then for any  $(q_i, k_i) \in q$ :

255 
$$k[p'] \otimes k_i = \bigoplus_{p \in P_{s \to q_i}: z[p] = z'} k[p]$$



Figure 1: State diagrams showing a weighted NFA (left) and an equivalent DFA (right).

294 *Proof.* Determinism implies that for all complete 295 path p',  $\bar{k}[p'] = \sigma(z[p'])$ . Let z be the shortest 296 string in the DFA and p the unique path admitting 297 the string z. Then

$$\bar{k}[p] = \sigma(z) \preceq \sigma(z[p']) = \bar{k}[p']$$

for any complete path p'. Hence

$$\bar{k}[p] = \bigcap_{p' \in P_{s \to F}} \bar{k}[p'].$$

Thus p is a shortest path in the DFA viewed over the companion semiring.

### 2.7 A\* search

298

301

302

305

306

307

310

311

312

313

314

315

316

317

319

321

322

323

324

A\* search (Hart et al., 1968) is a common *shortest-first* search strategy for computing the shortest path in a WFSA over an idempotent semiring. It can be thought of as a variant of Dijkstra's (1959) algorithm, in which exploration is guided by a shortestfirst priority queue discipline. At every iteration, the algorithm explores the state q which minimizes  $\alpha(q)$ , the shortest distance from the initial state s to q, until all states have been visited. In A\* search, priority is instead a function of  $F \subseteq Q \times \mathbb{K}$ , known as the *heuristic*, which gives an estimate of the weight of paths from some state to a final state. At every iteration, A\* instead explores the state q which minimizes  $\alpha(q) \otimes F(q)$ .<sup>4</sup>

**Definition 2.28.** An A\* heuristic is *admissible* if it never overestimates the shortest distance to a state (Hart et al., 1968, 103). That is, it is admissible if  $\forall q \in Q : F(q) \preceq \beta(q)$ .

**Definition 2.29.** An A\* heuristic is *consistent* if it never overestimates the cost of reaching a successor state. That is, it is consistent if  $\forall q, r \in Q$  such that  $F(q) \leq k \otimes F(r)$  if  $(q, z, k, r) \in \delta$ , i.e., if there is a transition from q to r with some label z and weight k.

326

327

328

330

331

332

333

334

335

336

337

338

339

340

341

342

343

344

345

346

348

349

354

355

358

**Remark 2.12.** If F is *admissible* and *consistent*, A\* search is guaranteed to find a shortest path (if one exists) after visiting all states such that  $F[q] \preceq \beta[s]$  (Hart et al., 1968, 104f.).

## 3 The algorithm

Consider an acyclic,  $\epsilon$ -free WFSA over a monotonic negative semiring  $(\mathbb{K}, \oplus, \otimes, \overline{0}, \overline{1})$  with total order  $\preceq$  for which we wish to find the shortest string. The same WFSA can also be viewed as a WFSA over the corresponding companion semiring  $(\mathbb{K}, \widehat{\oplus}, \otimes, \overline{0}, \overline{1})$ , and we denote by  $\widehat{\beta}$  the backward shortest-distance over this companion semiring. We prove two theorems, and then introduce an algorithm for search.

**Theorem 3.1.** The backwards shortest distance of an WFSA over a monotonic negative semiring is an admissible heuristic for the A\* search over its companion semiring.

*Proof.* In a monotonic negative semiring, the  $\oplus$ sum of any n terms is upper-bounded by each of the n terms and hence by the  $\widehat{\oplus}$ -sum of these nterms. It follows that

 $F(q) = \beta(q)$  350

$$= \bigoplus_{p \in P_{q \to F}} \bar{k}[p] \preceq \bigoplus_{p \in P_{q \to F}} \bar{k}[p]$$
35

$$=eta(q),$$
 352

and this shows that  $F = \beta$  is an admissible heuristic for  $\hat{\beta}$ .

\_

**Theorem 3.2.** The backwards shortest distance of an WFSA over a monotonic negative semiring is a consistent heuristic for the A\* search over its companion semiring.

<sup>&</sup>lt;sup>4</sup>One can view Dijkstra's algorithm as a special case of A\* search with the uninformative heuristic  $F = \overline{1}$ .

*Proof.* Let (q, z, k, r) be a transition in  $\delta$ . Leveraging again the property that an  $\oplus$ -sum of any nterms is upper-bounded by any of these terms, we show that

363  

$$F(q) = \beta(q)$$
364  

$$= \bigoplus_{p \in P_q \to F} \bar{k}[p]$$
365  

$$= \bigoplus_{(q,z',k',r') \in \delta} k' \otimes \beta(r') \preceq k \otimes \beta(r)$$
366  

$$= k \otimes F(r)$$

367

371

387

390

392

397

400

359

360

361

showing  $F = \beta$  is a consistent heuristic.

Having established that this is an admissible and consistent heuristic for A\* search over the companion semiring, a naïve algorithm then suggests itself, 370 following Lemma 2.2 and Remark 2.12. Given a non-deterministic WFSA over the monotonic negative semiring  $(\mathbb{K}, \oplus, \otimes, \overline{0}, \overline{1})$ , apply determinization to obtain an equivalent DFA, compute  $\beta_d$ , the 374 backwards shortest distance over the resulting DFA over  $(\mathbb{K}, \oplus, \otimes, \overline{0}, \overline{1})$  and then perform A\* search over the companion semiring using  $\beta_d$  as the heuristic. However, as mentioned in Remark 2.10 above, determinization has an exponential worsecase complexity in time and space and is often prohibitive in practice. Yet determinization-and the computation of elements of  $\beta_d$ —only need to be performed for states actually visited by A\* search. Let  $\beta_n$  denote backwards shortest distance over a non-deterministic WFSA over the monotonic negative semiring  $(\mathbb{K}, \oplus, \otimes, 0, 1)$ . Then, the algorithm is as follows:

- 1. Compute  $\beta_n$  over  $(\mathbb{K}, \oplus, \otimes, \overline{0}, \overline{1})$ .
- 2. Lazily determinize the WFSA, lazily computing  $\beta_d$  from  $\beta_n$  over  $(\mathbb{K}, \oplus, \otimes, \overline{0}, \overline{1})$ .
- 3. Perform A\* search for the shortest string over  $(\mathbb{K}, \widehat{\oplus}, \otimes, \overline{0}, \overline{1})$  with  $\beta_d$  as the heuristic.

#### 4 **Evaluation**

We evaluate the proposed algorithm using nonidempotent speech recognition lattices.

## 4.1 Data

We search for the shortest string in a sample of 700 word lattices derived from Google Voice Search traffic. This data set was previously used by Mohri and Riley (2015) and Gorman and Sproat (2021, ch. 4) for evaluating related WFSA algorithms. Each path in these lattices is a single hypothesis transcription produced by a production-grade automatic speech recognizer, here treated as a black box. The exact size of each input lattice size is determined by a probability threshold, so paths with probabilities below a certain threshold have been pruned. These lattices are acyclic,  $\epsilon$ -free, non-deterministic WFSAs over the log semiring, a monotonic non-idempotent semiring.

401

402

403

404

405

406

407

408

409

410

411

412

413

414

415

416

417

418

419

420

421

422

423

424

425

426

427

428

429

430

431

432

433

434

435

436

437

438

439

440

441

442

443

444

445

446

447

#### 4.2 Implementation

The above algorithm is implemented as part of an open-source C++17 library released under the Apache-2.0 license.<sup>5</sup> This toolkit includes a command-line tool which implements the above algorithm over the log semiring, using the tropical semiring as a companion semiring. This implementation depends in turn on implementations of determinization, shortest distance, and shortest path algorithms provided by OpenFst (Allauzen et al., 2007). This command-line tool, along with various OpenFst command-line utilities, were used to conduct the following experiment.

### 4.3 Methods

We compare the proposed algorithm to the naïve algorithm mentioned in  $(\S3)$ . The naïve algorithm first exhaustively constructs the equivalent DFA by applying weighted determinization-as implemented by OpenFst's fstdeterminize command-line tool-then performs A\* search on the DFA over the companion semiring. Its complexity is bounded by the number of states in the full DFA. In contrast, the complexity of the proposed algorithm is bounded by the number of DFA states dynamically constructed—i.e., when they are visited-during search. As an additional measure, we also compare the number of states visited by the proposed algorithm to the number of states in the original NFA lattice.

### 4.4 Results

Figure 2 compares the proposed algorithm to the naïve algorithm. One can see that the naïve algorithm may in some cases have to construct upwards of 100,000 states for word lattices where the proposed algorithm need only construct hundreds of states. This demonstrates that the proposed algorithm is substantially more efficient than the naïve

```
<sup>5</sup>https://redacted.org
```

451

452

453

454

455

456

457

458

459

460

461

462

463

464

465

466

467

468

469

470

471

472

algorithm. Figure 3 visualizes the number of states visited by the proposed algorithm as a function of the size of the input NFA.



Figure 2: Comparison of word lattice decoding with the proposed algorithm vs. the naïve algorithm. The x-axis shows the number of states in the full DFA; the yaxis shows the number of states visited by the proposed algorithm. Both axes are in logarithmic scale.

## 5 Related work

Several prior studies use A\* search for decoding speech lattices over idempotent semirings. For example, Mohri and Riley (2002) describe a related algorithm for computing n-best lists over an idempotent WFSA. Like the algorithm proposed here, they use A\* search and on-the-fly determinization; however, they do not consider decoding over nonidempotent semirings. We note that the algorithm proposed here could, in a generalization of Mohri and Riley's algorithm, be easily used to compute the n shortest strings over a non-monotonic WFSA. Specifically, one would perform A\* search over the companion semiring using  $\beta_d$  as the heuristic just as described in  $\S3$ , but would solve for the nshortest strings (Mohri, 2002, §6) rather than the single shortest string.<sup>6</sup>

## 6 Conclusions

We propose an algorithm which allows for efficient shortest string decoding of weighted automata over non-idempotent semirings using A\* search and onthe-fly determinization. We find that A\* search



Figure 3: Comparison of word lattice decoding with the proposed algorithm to the size of the input NFA. The x-axis shows the number of states in the input NFA; the y-axis shows the number of states visited by the proposed algorithm. Both axes are in logarithmic scale.

results in a substantial reduction in the number of DFA states visited during decoding, which in turn minimizes the degree of determinization required to find the shortest path.

We envision several possible applications for the proposed algorithm. It could be used to exactly decode noisy channel "decipherment" models (e.g., Knight et al., 2006) of the form

$$\hat{P}(p \mid c) \propto P(p)P(c \mid p)$$

473

474

475

476

477

478

479

480

481

482

483

484

485

486

487

488

489

490

491

492

493

494

495

496

497

498

estimated with expectation maximization, as well as training scenarios which mix ordinary and Viterbi EM (e.g., Spitkovsky et al., 2011).

The decoding algorithm could also be used for exact decoding of lattices scored with interpolated language models (e.g., Jelinek and Mercer, 1980) of the form

$$\hat{P}(w \mid h) = \lambda_h \tilde{P}(w \mid h) + (1 - \lambda_h) \hat{P}(w \mid h')$$

where  $\lambda_h$  is estimated using ordinary EM.

## 7 Limitations

While the evaluation (§4) finds the proposed algorithm to be substantially more efficient than the naïve algorithm on real-world data, it has the same exponential worst-case complexity as exhaustive determinization of acyclic WFSAs. This worst case dominates the linear-time operations used to compute  $\beta_n$ , and  $\beta_d$  and to solve for the single shortest

<sup>&</sup>lt;sup>6</sup>We thank an anonymous reviewer for drawing our attention to this point.

574

499 path. However, we conjecture the worst case is un-500 likely to arise for topologies encountered in speech501 and language processing applications.

### 8 Broader impacts

We are aware of no ethical issues raised by the proposed algorithm beyond issues of dual use, bias, etc., which are inherent to all known speech and language technologies.

## References

502

503

506

511

512

513

514

516

517

518

519

520

521

523 524

525

528

530

531

532

534

535

536

538

539

541

543

544

545

546

547

549

- Cyril Allauzen, Michael Riley, Johan. Schalkwyk, Wojciech Skut, and Mehryar Mohri. 2007. OpenFst: a general and efficient weighted finite-state transducer library. In *Implementation and Application of Automata: 12th International Conference (CIAA 2007)*, pages 11–23.
- Peter F. Brown, Vincent J. Della Pietra, Stephen A. Della Pietra, and Robert L. Mercer. 1993. The mathematics of statistical machine translation: parameter estimation. *Computational Linguistics*, 19(2):263–312.
- Arthur Dempster, Nan Laird, and Donald Rubin. 1977. Maximum likelihood from incomplete data via the EM algorithm. *Journal of the Royal Statistical Society*, 39(1):1–38.
- Edsger W. Dijkstra. 1959. A note on two problems in connexion with graphs. *Numerische Mathematik*, 1(1):269–271.
- Kyle Gorman and Richard Sproat. 2021. *Finite-State Text Processing*. Morgan & Claypool.
- Peter E. Hart, Nils J. Nilsson, and Bertram Raphael. 1968. A formal basis for the heuristic determination of minimal cost paths. *IEEE Transactions on Systems Science and Cybernetics*, 4(2):100–107.
- John E. Hopcroft, Rajeev Motwani, and Jeffrey D. Ullman. 2008. *Introduction to Automata Theory, Languages, and Computation*, 3rd edition. Pearson.
- Frederick Jelinek and Robert L. Mercer. 1980. Interpolated estimation of Markov source parameters from sparse data. In *Proceedings of the Workshop on Pattern Recognition in Practice*, pages 381–397.
- Kevin Knight, Anish Nair, Nishit Rathod, and Kenji Yamada. 2006. Unsupervised analysis for decipherment problems. In Proceedings of the COLING/ACL 2006 Main Conference Poster Sessions, pages 499–506.
- Mehryar Mohri. 1997. Finite-state transducers in language and speech processing. *Computational Linguistics*, 23(2):269–311.
- Mehryar Mohri. 2002. Semiring frameworks and algorithms for shortest-distance problems. *Journal of Automata, Languages and Combinatorics*, 7(3):321– 350.

- Mehryar Mohri. 2009. Weighted automata algorithms. In Manfred Droste, Werner Kuich, and Heiko Vogler, editors, *Handbook of Weighted Automata*, pages 213– 254. Springer.
- Mehryar Mohri, Fernando Pereira, and Michael Riley. 2002. Weighted finite-state transducers in speech recognition. *Computer Speech and Language*, 16(1):69–88.
- Mehryar Mohri and Michael D. Riley. 2015. On the disambiguation of weighted automata. In *Implementation and Application of Automata 20th International Conference (CIAA 2015)*, pages 263–278.
- Mehyar Mohri and Michael Riley. 2002. An efficient algorithm for the n-best-strings problem. In 7th International Conference on Spoken Language Processing, pages 1313–1316.
- Brian Roark and Richard Sproat. 2007. *Computational Approaches to Morphology and Syntax*. Cambridge University Press.
- Valentin I. Spitkovsky, Hiyan Alshawi, and Daniel Jurafsky. 2011. Lateen EM: unsupervised training with multiple objectives, applied to dependency grammar induction. In *Proceedings of the 2011 Conference on Empirical Methods in Natural Language Processing*, pages 1269–1280.