A* shortest string decoding for non-idempotent semirings

Anonymous ACL submission

Abstract

 The single shortest path algorithm is undefined for weighted finite-state automata over non- idempotent semirings because such semirings do not guarantee the existence of a shortest path. However, in non-idempotent semirings admitting an order satisfying a monotonicity condition (such as the plus-times or log semir- ings), the shortest string is well-defined. We describe an algorithm which finds the shortest string for a weighted non-deterministic automa- ton over such semirings using the backwards shortest distance of an equivalent deterministic automaton (DFA) as a heuristic for A* search performed over a companion idempotent semir- ing, which is proven to return the shortest string. There may be exponentially more states in the **DFA**, but the proposed algorithm needs to visit only a small fraction of them if determinization is performed "on the fly".

⁰²⁰ 1 Introduction

 Weighted finite-state automata provide a compact representation of hypotheses in various speech recognition and text processing applications (e.g., [Mohri,](#page-7-0) [1997;](#page-7-0) [Mohri et al.,](#page-7-1) [2002;](#page-7-1) [Roark and Sproat,](#page-7-2) [2007;](#page-7-2) [Gorman and Sproat,](#page-7-3) [2021\)](#page-7-3). Under a wide range of assumptions, weighted finite-state lattices allow for efficient polynomial-time decoding via shortest-path algorithms [\(Mohri,](#page-7-4) [2002\)](#page-7-4).

 The shortest path—and the algorithms that com- pute it—are well-defined when the weights of a lattice are *idempotent* and exhibit the *path property*. These properties are formalized below, but infor- mally they hold that the distance between any two states corresponds to a single path between those states, so that the shortest-path algorithm—having identified this path—does not need to consider the weights of competing paths between those states. However, when the weights of a lattice lack these two properties, there is no guarantee that a shortest path between any two states exists. This situation arises in many speech and language technolo- **041** gies. For instance, generative models for speech **042** recognition and machine translation—and in many **043** unsupervised settings—many require one to learn **044** alignments between sequences using *expectation* **045** *maximization* (EM; [Dempster et al.,](#page-7-5) [1977\)](#page-7-5). EM inference may require one to consider multiple com- **047** peting paths between pairs of states, and this is **048** incompatible with these two properties. Thus, to **049** efficiently decode a lattice constructed using EM, **050** heuristics are required; one can decode approxi- **051** mately by interpreting the lattice weights as if they **052** were idempotent and had the path property, or can **053** construct the lattice itself using the Viterbi approx- **054** imation to $EM¹$ $EM¹$ $EM¹$

In non-idempotent semirings admitting an order **056** satisfying a monotonicity condition, the shortest **057** string is undefined but the closely related notion of **058** *shortest string* is well-defined. We show below that **059** it is still possible to efficiently determine the short- **060** est string for lattices defined over non-idempotent **061** monotonic negative semirings such as the plus- **062** times and log semirings, both used for expecta- **063** tion maximization. We propose a simple algorithm **064** for decoding the shortest string over such semir- **065** ings which combines shortest-path search with the **066** A* queue discipline [\(Hart et al.,](#page-7-6) [1968\)](#page-7-6) and "on the **067** fly" determinization [\(Mohri,](#page-7-0) [1997\)](#page-7-0). After provid- **068** ing definitions and the algorithm, we describe an **069** implementation and evaluate it using word lattices **070** produced by a speech recognizer. The algorithm— **071** in contrast to a naïve algorithm—is observed to **072** scale well as a function of lattice size. **073**

2 Definitions **⁰⁷⁴**

Before we introduce the proposed decoding algo- **075** rithm we provide definitions of key notions. **076**

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¹Both of these strategies are discussed in [Brown et al.](#page-7-7) [1993;](#page-7-7) see §4.3 and §6.2, respectively.

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077 2.1 Semirings

078 Weighted automata algorithms operate with respect **079** to an algebraic system known as a *semiring*, defined **080** by the combination of two *monoids*.

081 Definition 2.1. A *monoid* is a pair (\mathbb{K}, \bullet) where \mathbb{K} **082** is a set and \bullet is a binary operator over K with the **083** following properties:

084 **1.** *closure*: $\forall a, b \in \mathbb{K} : a \bullet b \in \mathbb{K}$.

- 085 2. *associativity*: $\forall a, b, c \in \mathbb{K} : (a \bullet b) \bullet c =$ 086 $a \bullet (b \bullet c).$
- 087 3. *identity*: $\exists e \in \mathbb{K} : e \bullet a = a \bullet e = a$.

088 Definition 2.2. A monoid is *commutative* in the 089 case that $\forall a, b \in \mathbb{K} : a \bullet b = b \bullet a$.

090 Definition 2.3. A semiring is a five-tuple 091 ($\mathbb{K}, \oplus, \otimes, \overline{0}, \overline{1}$) where:

- 092 1. (K, \oplus) is a commutative monoid with the 093 **identity element** $\overline{0}$.
- 094 2. (K, \otimes) is a monoid with the identity element 095 $\overline{1}.$
- 096 3. $\forall a \in \mathbb{K} : a \otimes \overline{0} = \overline{0} \otimes a = \overline{0}$.

$$
\text{or} \qquad \qquad 4. \ \forall a, b, c \in \mathbb{K}: a \otimes (b \oplus c) = (a \otimes b) \oplus (a \otimes c).
$$

098 Definition 2.4. A semiring is *zero-sum-free* if non-099 **O** elements cannot sum to 0; that is, $\forall a, b \in \mathbb{K}$: 100 $a \oplus b \implies a = b = \overline{0}.$

101 Definition 2.5. A semiring is *idempotent* if ⊕ is 102 **idempotent;** that is, $\forall a \in \mathbb{K} : a \oplus a = a$.

103 Definition 2.6. A semiring has the *path property* 104 **if** $\forall a, b \in \mathbb{K} : a \oplus b \in \{a, b\}.$

105 Remark 2.1. If a semiring has the path property it **106** is also idempotent.

107 Definition 2.7. The *natural order* of an idempotent 108 semiring is a boolean operator \prec such that $\forall a, b \in$ 109 **K** : $a \preceq b$ if and only if $a \oplus b = a$.

110 Remark 2.2. In a semiring with the path property, 111 the natural order is a *total* order. That is, $\forall a, b \in \mathbb{K}$, 112 either $a \preceq b$ or $b \preceq a$.

113 Definition 2.8. A semiring is *monotonic* if and 114 only if $\forall a, b, c \in \mathbb{K}$, $a \prec b$ implies:

- **115 1.** $a \oplus c \preceq b \oplus c$.
- **116 2.** $a \otimes c \preceq b \otimes c$.
- **117 3.** $c \otimes a \preceq c \otimes b$.

Some examples of monotonic negative semirings **122** are given in [Table 1.](#page-2-0) **123**

Definition 2.10. The *companion semiring* of a **124** monotonic negative semiring $(K, \oplus, \otimes, \overline{0}, \overline{1})$ with 125 total order \leq is the semiring ($\mathbb{K}, \widehat{\oplus}, \otimes, \overline{0}, \overline{1}$) where 126
 $\widehat{\oplus}$ is the minimum binary operator for \prec : $\widehat{\Theta}$ is the minimum binary operator for \preceq :

$$
a \,\widehat{\oplus}\, b = \begin{cases} a & \text{if } a \preceq b \\ b & \text{otherwise} \end{cases} \tag{128}
$$

Remark 2.4. The max-times and tropical semir- **129** ings are companion semirings to the plus-times and **130** log semirings, respectively. **131** Remark 2.5. By construction a companion semir- **132**

ing has the path property and natural order \preceq . 133

2.2 Weighted finite-state acceptors **134**

Definition 2.15. A *path* through an acceptor p is a 154 triple consisting of: **155**

²The definition provided here can easily be generalized to automata with multiple initial states, a single final state, initial or final weights, or ϵ -transitions (e.g., [Roark and Sproat,](#page-7-2) [2007,](#page-7-2) ch. 1, [Mohri,](#page-7-8) [2009,](#page-7-8) [Gorman and Sproat,](#page-7-3) [2021,](#page-7-3) ch. 1).

		\leftrightarrow	∞		
Plus-times			\times		
Max-times	\mathbb{R}_+	max	\times		
Log	$\mathbb{R}\cup\{-\infty,+\infty\}$			\oplus_{\log} + + ∞ 0	\leq
Tropical	$\mathbb{R} \cup \{-\infty, +\infty\}$ min + $+\infty$ 0				

Table 1: Common monotonic negative semirings; $a \bigoplus_{\text{log}} b = -\ln(e^{-a} + e^{-b}).$

- 156 **1.** a state sequence $q[p] = q_1, q_2, ..., q_n \in Q^n$,
- **157** 2. a weight sequence $k[p] = k_1, k_2, ..., k_n$ 158 **K**ⁿ, and

3. a string
$$
z[p] = z_1, z_2, \ldots, z_n \in \Sigma^n
$$

159

160 such that $\forall i \in [1, n] : (q_i, z_i, k_i, q_{i+1}) \in \delta$; that is, 161 **each transition from** q_i **to** q_{i+1} **must have label** z_i 162 **and weight** k_i **.**

163 **Definition 2.16.** Let $P_{q \to r}$ be the set of all paths **164** from q to r where $q, r \in Q$.

 Definition 2.17. The *forward shortest distance* $\alpha \subseteq Q \times \mathbb{K}$ is a partial function from a state **q** $\in Q$ that gives the \oplus -sum of the \otimes -product of 168 the weights of all paths from the initial state s to q:

$$
\alpha(q) = \bigoplus_{p \in P_{s \to q}} \bigotimes_{k_i \in k[p]} k_i.
$$

 Definition 2.18. The *backwards shortest distance* $\beta \subseteq Q \times \mathbb{K}$ is a partial function from a state $q \in$ Q that gives the ⊕-sum of the ⊗-product of the weights of all paths from q to a final state, including the final weight of that final state:

$$
\beta(q) = \bigoplus_{f \in F} \left(\bigoplus_{p \in P_{q \to f}} \bigotimes_{k_i \in k[p]} k_i \otimes \omega(f) \right).
$$

176 Remark 2.6. For a state q, $\alpha(q)$ and $\beta(q)$ are de-177 **fined if and only if q is accessible and coaccessible, 178** respectively.

179 Definition 2.19. The *total shortest distance* of an **180 automaton is** $\beta(s)$ **.**

181 2.4 Shortest path

182 Definition 2.20. A path is *complete* if

183
$$
1. (s, z_1, k_1, q_1) \in \delta.
$$

$$
184 \qquad \qquad 2. \, q_n \in F.
$$

185 That is, a complete path must also begin with an 186 **arc** from the initial state s to q_1 with label z_1 and 187 weight k_1 , and halt in a final state.

Definition 2.21. The weight of a complete path is 188 given by the ⊗-product of its weight sequence and **189** its final weight: **190**

$$
\bar{k} = \left(\bigotimes_{k_i \in k[p]} k_i\right) \otimes \omega(q_n).
$$

207

Definition 2.22. A *shortest path* through an au- **192** tomaton is a complete path whose weight is equal **193** to the total shortest distance $\beta(s)$. 194

Remark 2.7. Automata over non-idempotent **195** semirings do not necessarily have a shortest path **196** [\(Mohri,](#page-7-4) [2002,](#page-7-4) 322). Consider for example the NFA **197** shown in the left side of [Figure 1.](#page-4-0) Let us assume 198 that $k \oplus k \leq k < k'$. Then, the total shortest distance is $k \oplus k$ but the shortest path is k. Definition- **200** ally, a non-idempotent semiring does not guarantee **201** that these two weights will be equal. Then there is **202** no complete path whose weight is that of the total **203** shortest distance, and thus no shortest path exists. **204**

Remark 2.8. It is not generally impossible to find **205** the shortest path efficiently over non-monotonic **206** semirings.^{[3](#page-2-1)}

2.5 Determinization **208**

Definition 2.23. A WFSA is *deterministic* if, for **209** each state $q \in Q$, there is at most one transition 210 with a given label $z \in \Sigma$ from that state, and *non*-
211 *deterministic* otherwise. **212**

Definition 2.24. A zero-sum-free semiring is **213** *weakly divisible* if **214**

$$
\forall a, b \in \mathbb{K} \; \exists c \in \mathbb{K} : a = (a \oplus b) \otimes c. \tag{215}
$$

Definition 2.25. A weakly divisible semiring is 216 *cancellative* if c is unique and can thus be denoted **217 by** $c = (a \oplus b)^{-1}a$ [\(Mohri,](#page-7-8) [2009,](#page-7-8) 238). 218

Remark 2.9. All semirings in [Table 1](#page-2-0) are zero- **219** sum-free, weakly divisible, and cancellative. **220**

³See [Mohri](#page-7-4) [\(2002\)](#page-7-4) for general conditions under which the shortest path can be found in polynomial time.

$$
\overline{a}
$$

286

 Remark 2.10. For every non-deterministic, acyclic WFSA (or NFA) over a zero-sum-free, weakly di- visible and cancellative semiring, there exists an equivalent deterministic WFSA (or DFA). How- ever, a DFA may be exponentially larger than an equivalent NFA [\(Hopcroft et al.,](#page-7-9) [2008,](#page-7-9) §2.3.6).

 We now provide a brief presentation of the determinization algorithm for WFSAs. Proofs can be found in [Mohri](#page-7-0) [1997.](#page-7-0) Given an WFSA $A = (Q, s, \Sigma, \omega, \delta)$ over a zero-sum-free, weakly divisible and cancellative semiring $(\mathbb{K}, \oplus, \otimes, \overline{0}, \overline{1})$, its equivalent DFA can be defined and constructed **as the DFA** $A_d = (Q_d, s_d, \Sigma, \omega_d, \delta_d)$ where Q_d is **a** finite set whose elements are subsets of $Q \times \mathbb{K}$, recursively defined as follows:

236
$$
1. \, s_d = \{(s, \bar{1})\} \in Q_d.
$$

242

237 2. $\kappa_d \subseteq Q_d \times \Sigma \times \mathbb{K}$ is the *weight transition* **238** *function*, defined as

239
$$
\kappa_d(q,z) = \bigoplus_{(q_i,k_i)\in q} k_i \otimes \left(\bigoplus_{(q_i,z,k_j,r_j)\in \delta} k_j\right).
$$

240 **3.** $\nu_d \subseteq Q_d \times \Sigma \times Q_d$ is the *next-state transition* **241** *function*, defined as $\nu_d(q, z) =$

$$
\bigcup_{\substack{(q_i, k_i) \in q \\ (q_i, z, k_j, r_j) \in \delta}} \{(r_j, \kappa_d(q, z)^{-1}l_j)\}
$$

243 **where** $l_j = \bigoplus_{(q_i, z, k_j, r_j) \in \delta} k_i \otimes k_j$.

244 **4.** $Q_d = \nu_d^*(s_d, \Sigma)$ defines the set of states as the **245** closure of the next-state transition function.

246 The transition relation is then defined as

$$
\delta_d = \{ (q, z, \kappa_d(q, z), \nu_q(q, z)) | (q, z) \in Q_d \times \Sigma \}
$$

248 **and the final weight function** $\omega_d \subseteq Q_d \times \mathbb{K}$ as

$$
\omega_d(q) = \bigoplus_{(q_i,k_i) \in q} k_i \otimes \omega(q_i).
$$

 The intuition underlying this construction is that **a** state $q \in Q_d$ encodes a set of states in Q that can be reached from s by some common strings. **More precisely, let p' be the unique path in** $P_{s_d\rightarrow q}$ **labeled by some** $z' \in \Sigma^*$, then for any $(q_i, k_i) \in q$:

255
$$
k[p'] \otimes k_i = \bigoplus_{p \in P_{s \to q_i}:z[p]=z'} k[p].
$$

Termination is guaranteed for acyclic WFSAs **256** [\(Mohri,](#page-7-0) [1997\)](#page-7-0). **257**

[Figure 1](#page-4-0) gives an example of an NFA and an **258** equivalent DFA. States 0 and 1 in the DFA corre- **259** spond respectively to the subsets $(0, 1)$ and $(1, 1)$ 260 and $\kappa_d(0, a) = k \otimes k$. 261

Remark 2.11. Given a NFA A with backwards **262** shortest distance β , the backwards shortest distance 263 β_d over the equivalent DFA A_d can be computed 264 from β: **265**

$$
\beta_d(q) = \bigoplus_{(q_i,k_i)\in q} k_i \otimes \beta(q_i) \tag{266}
$$

for any $q \in Q_d$ [\(Mohri and Riley,](#page-7-10) [2002\)](#page-7-10). 267

Since A is assumed to be acyclic, β can be computed in $O(|Q|)$ time [\(Mohri,](#page-7-4) [2002,](#page-7-4) §4.1), and 269 once β has been computed, $β_d(q)$ can also be com- **270** puted in linear time in $|q| \leq |Q|$ for any $q \in Q_d$. 271 This computation can be performed on-demand **272** ("on-the-fly") as soon as the existence of $q \in Q_d$ 273 is known, without requiring A_d to be fully con- 274 structed. **275**

2.6 Shortest string **276**

Definition 2.26. Let P_z be a set of paths with string 277 $z \in \Sigma^*$, and let the weight of P_z be **278**

$$
\sigma(z) = \bigoplus_{p \in P_z} \bar{k}[p]. \tag{279}
$$

Definition 2.27. A *shortest string* z is one such 280 that $\forall z' \in \Sigma^*, \sigma(z) \preceq \sigma(z')$). **281**

Lemma 2.1. In an idempotent semiring, a shortest **282** path's string is also a shortest string. **283**

Proof. Let p be a shortest path. By definition, 284 $\bar{k}[p] \preceq \bar{k}[p']$ for all complete paths p'. It follows 285 that $\forall z' \in \Sigma^*$

$$
\sigma(z[p]) = \bigoplus_{p \in P_z} \bar{k}[p] \preceq \sigma(z'[p']) \tag{287}
$$

$$
=\bigoplus_{p'\in P_z} \bar{k}[p'] \qquad \qquad \text{288}
$$

so $z[p]$ is the shortest string. \Box 289

Lemma 2.2. In a DFA over a monotonic semiring, **290** a shortest string is the string of a shortest path in **291** that DFA viewed as an WFSA over the correspond- **292** ing companion semiring. **293**

Figure 1: State diagrams showing a weighted NFA (left) and an equivalent DFA (right).

 Proof. Determinism implies that for all complete **path** p' , $\bar{k}[p'] = \sigma(z[p')]$. Let z be the shortest string in the DFA and p the unique path admitting the string z. Then

298
$$
\bar{k}[p] = \sigma(z) \preceq \sigma(z[p']) = \bar{k}[p']
$$

299 **1209** for any complete path p' . Hence

$$
\bar{k}[p] = \widehat{\bigoplus_{p' \in P_{s \to F}}} \bar{k}[p'].
$$

301 Thus p is a shortest path in the DFA viewed over **302** the companion semiring. \Box

303 2.7 A* search

317

 A* search [\(Hart et al.,](#page-7-6) [1968\)](#page-7-6) is a common *shortest- first* search strategy for computing the shortest path in a WFSA over an idempotent semiring. It can be thought of as a variant of [Dijkstra'](#page-7-11)s [\(1959\)](#page-7-11) algo- rithm, in which exploration is guided by a shortest- first priority queue discipline. At every iteration, the algorithm explores the state q which minimizes $\alpha(q)$, the shortest distance from the initial state s to q, until all states have been visited. In A* search, **priority is instead a function of** $F \subseteq Q \times \mathbb{K}$ **, known** as the *heuristic*, which gives an estimate of the weight of paths from some state to a final state. At every iteration, A* instead explores the state q which minimizes $\alpha(q) \otimes F(q)$.^{[4](#page-4-1)}

 Definition 2.28. An A* heuristic is *admissible* if it never overestimates the shortest distance to a state [\(Hart et al.,](#page-7-6) [1968,](#page-7-6) 103). That is, it is admissible if $\forall q \in Q : F(q) \preceq \beta(q).$

 Definition 2.29. An A* heuristic is *consistent* if it never overestimates the cost of reaching a successor 324 state. That is, it is consistent if $\forall q, r \in Q$ such that $F(q) \preceq k \otimes F(r)$ if $(q, z, k, r) \in \delta$, i.e., if there is a transition from q to r with some label z and 326 weight k . 327

Remark 2.12. If F is *admissible* and *consistent*, 328 A* search is guaranteed to find a shortest path (if **329** one exists) after visiting all states such that $\mathcal{F}[q] \prec$ 330 $\beta[s]$ [\(Hart et al.,](#page-7-6) [1968,](#page-7-6) 104f.). ³³¹

3 The algorithm 332

Consider an acyclic, ε-free WFSA over a mono-
333 tonic negative semiring $(K, \oplus, \otimes, \overline{0}, \overline{1})$ with total 334 order \leq for which we wish to find the shortest 335 string. The same WFSA can also be viewed as a **336** WFSA over the corresponding companion semir- **337** ing ($\mathbb{K}, \widehat{\Theta}, \otimes, \overline{0}, \overline{1}$), and we denote by $\widehat{\beta}$ the back-
ward shortest-distance over this companion semir-
339 ward shortest-distance over this companion semiring. We prove two theorems, and then introduce an **340** algorithm for search. **341**

Theorem 3.1. The backwards shortest distance of **342** an WFSA over a monotonic negative semiring is **343** an admissible heuristic for the A* search over its **344** companion semiring. **345**

Proof. In a monotonic negative semiring, the ⊕- 346 sum of any *n* terms is upper-bounded by each of 347 the *n* terms and hence by the $\widehat{\oplus}$ -sum of these *n* 348
terms. It follows that 349 terms. It follows that

$$
F(q) = \beta(q) \tag{350}
$$

$$
= \bigoplus_{p \in P_{q \to F}} \bar{k}[p] \preceq \widehat{\bigoplus_{p \in P_{q \to F}} \bar{k}[p]} \tag{35}
$$

$$
=\beta(q),\tag{352}
$$

and this shows that $F = \beta$ is an admissible heuris- 353 tic for β . **354**

Theorem 3.2. The backwards shortest distance of **355** an WFSA over a monotonic negative semiring is **356** a consistent heuristic for the A* search over its **357** companion semiring. **358**

⁴One can view [Dijkstra'](#page-7-11)s algorithm as a special case of A* search with the uninformative heuristic $F = \overline{1}$.

Proof. Let (q, z, k, r) be a transition in δ . Lever- aging again the property that an ⊕-sum of any n terms is upper-bounded by any of these terms, we show that

$$
363 \qquad F(q) = \beta(q)
$$

364
\n
$$
= \bigoplus_{p \in P_{q \to F}} \bar{k}[p]
$$
\n365
\n
$$
= \bigoplus_{(q,z',k',r') \in \delta} k' \otimes \beta(r') \preceq k \otimes \beta(r)
$$
\n366
\n
$$
= k \otimes F(r)
$$

367 showing $F = \beta$ is a consistent heuristic.

 \Box

 Having established that this is an admissible and consistent heuristic for A* search over the compan- ion semiring, a naïve algorithm then suggests itself, following Lemma [2.2](#page-3-0) and Remark [2.12.](#page-4-2) Given a non-deterministic WFSA over the monotonic neg- ative semiring $(\mathbb{K}, \oplus, \otimes, \overline{0}, \overline{1})$, apply determiniza-374 tion to obtain an equivalent DFA, compute β_d , the backwards shortest distance over the resulting DFA **over** $(\mathbb{K}, \oplus, \otimes, \overline{0}, \overline{1})$ and then perform A^{*} search 377 over the companion semiring using β_d as the heuristic. However, as mentioned in Remark [2.10](#page-3-1) above, determinization has an exponential worse- case complexity in time and space and is often pro- hibitive in practice. Yet determinization—and the **computation of elements of** β_d **—only need to be** performed for states actually visited by A* search. 384 Let β_n denote backwards shortest distance over a non-deterministic WFSA over the monotonic nega-386 tive semiring $(K, \oplus, \otimes, \overline{0}, \overline{1})$. Then, the algorithm is as follows:

- **388 1. Compute** β_n over $(\mathbb{K}, \oplus, \otimes, \overline{0}, \overline{1})$.
- **389** 2. Lazily determinize the WFSA, lazily comput-**390** ing β_d from β_n over $(\mathbb{K}, \oplus, \otimes, 0, 1)$.
- **391** 3. Perform A* search for the shortest string over 392 **(K,** $\widehat{\oplus}$ **,** \otimes **,** $\overline{0}$ **,** $\overline{1}$ **)** with β_d as the heuristic.

³⁹³ 4 Evaluation

394 We evaluate the proposed algorithm using non-**395** idempotent speech recognition lattices.

396 4.1 Data

 We search for the shortest string in a sample of 700 word lattices derived from Google Voice Search [t](#page-7-12)raffic. This data set was previously used by [Mohri](#page-7-12) [and Riley](#page-7-12) [\(2015\)](#page-7-12) and [Gorman and Sproat](#page-7-3) [\(2021,](#page-7-3) ch. 4) for evaluating related WFSA algorithms. **401** Each path in these lattices is a single hypothesis 402 transcription produced by a production-grade au- **403** tomatic speech recognizer, here treated as a black **404** box. The exact size of each input lattice size is **405** determined by a probability threshold, so paths **406** with probabilities below a certain threshold have **407** been pruned. These lattices are acyclic, ϵ -free, 408 non-deterministic WFSAs over the log semiring, a **409** monotonic non-idempotent semiring. **410**

4.2 Implementation **411**

The above algorithm is implemented as part of **412** an open-source C++17 library released under the **413** Apache-2.0 license.^{[5](#page-5-0)} This toolkit includes a 414 command-line tool which implements the above **415** algorithm over the log semiring, using the tropical **416** semiring as a companion semiring. This implemen- **417** tation depends in turn on implementations of de- **418** terminization, shortest distance, and shortest path **419** algorithms provided by OpenFst [\(Allauzen et al.,](#page-7-13) **420** [2007\)](#page-7-13). This command-line tool, along with vari- **421** ous OpenFst command-line utilities, were used to **422** conduct the following experiment. **423**

4.3 Methods **424**

We compare the proposed algorithm to the naïve **425** algorithm mentioned in ([§3\)](#page-4-3). The naïve algo- **426** rithm first exhaustively constructs the equivalent **427** DFA by applying weighted determinization—as **428** implemented by OpenFst's fstdeterminize **⁴²⁹** command-line tool—then performs A* search on **430** the DFA over the companion semiring. Its com- **431** plexity is bounded by the number of states in the **432** full DFA. In contrast, the complexity of the pro- **433** posed algorithm is bounded by the number of DFA **434** states dynamically constructed—i.e., when they are **435** visited—during search. As an additional measure, **436** we also compare the number of states visited by 437 the proposed algorithm to the number of states in **438** the original NFA lattice. **439**

4.4 Results **440**

[Figure 2](#page-6-0) compares the proposed algorithm to the 441 naïve algorithm. One can see that the naïve algo- **442** rithm may in some cases have to construct upwards **443** of 100,000 states for word lattices where the pro- **444** posed algorithm need only construct hundreds of **445** states. This demonstrates that the proposed algo- **446** rithm is substantially more efficient than the naïve **447**

⁵<https://redacted.org>

448 algorithm. [Figure 3](#page-6-1) visualizes the number of states **449** visited by the proposed algorithm as a function of **450** the size of the input NFA.

Figure 2: Comparison of word lattice decoding with the proposed algorithm vs. the naïve algorithm. The x-axis shows the number of states in the full DFA; the yaxis shows the number of states visited by the proposed algorithm. Both axes are in logarithmic scale.

⁴⁵¹ 5 Related work

 Several prior studies use A* search for decoding speech lattices over idempotent semirings. For ex- ample, [Mohri and Riley](#page-7-10) [\(2002\)](#page-7-10) describe a related algorithm for computing n-best lists over an idem- potent WFSA. Like the algorithm proposed here, they use A* search and on-the-fly determinization; however, they do not consider decoding over non- idempotent semirings. We note that the algorithm [p](#page-7-10)roposed here could, in a generalization of [Mohri](#page-7-10) [and Riley'](#page-7-10)s algorithm, be easily used to compute the n shortest strings over a non-monotonic WFSA. Specifically, one would perform A* search over 464 the companion semiring using β_d as the heuristic just as described in [§3,](#page-4-3) but would solve for the n shortest strings [\(Mohri,](#page-7-4) [2002,](#page-7-4) §6) rather than the single shortest string.^{[6](#page-6-2)}

⁴⁶⁸ 6 Conclusions

467

 We propose an algorithm which allows for efficient shortest string decoding of weighted automata over non-idempotent semirings using A* search and on-the-fly determinization. We find that A* search

Figure 3: Comparison of word lattice decoding with the proposed algorithm to the size of the input NFA. The x -axis shows the number of states in the input NFA; the y -axis shows the number of states visited by the proposed algorithm. Both axes are in logarithmic scale.

results in a substantial reduction in the number of **473** DFA states visited during decoding, which in turn **474** minimizes the degree of determinization required **475** to find the shortest path. **476**

We envision several possible applications for the **477** proposed algorithm. It could be used to exactly **478** decode noisy channel "decipherment" models (e.g., **479** [Knight et al.,](#page-7-14) [2006\)](#page-7-14) of the form **480**

$$
\hat{P}(p \mid c) \propto P(p)P(c \mid p) \tag{481}
$$

) **489**

estimated with expectation maximization, as well **482** as training scenarios which mix ordinary and **483** Viterbi EM (e.g., [Spitkovsky et al.,](#page-7-15) [2011\)](#page-7-15). **484**

The decoding algorithm could also be used for **485** exact decoding of lattices scored with interpolated **486** language models (e.g., [Jelinek and Mercer,](#page-7-16) [1980\)](#page-7-16) **487** of the form **488**

$$
\hat{P}(w \mid h) = \lambda_h \tilde{P}(w \mid h) + (1 - \lambda_h) \hat{P}(w \mid h')
$$

where λ_h is estimated using ordinary EM. 490

7 Limitations **⁴⁹¹**

While the evaluation ([§4\)](#page-5-1) finds the proposed algo- 492 rithm to be substantially more efficient than the **493** naïve algorithm on real-world data, it has the same **494** exponential worst-case complexity as exhaustive **495** determinization of acyclic WFSAs. This worst case **496** dominates the linear-time operations used to com- **497** pute β_n , and β_d and to solve for the single shortest 498

⁶We thank an anonymous reviewer for drawing our attention to this point.

 path. However, we conjecture the worst case is un- likely to arise for topologies encountered in speech and language processing applications.

8 Broader impacts

 We are aware of no ethical issues raised by the proposed algorithm beyond issues of dual use, bias, etc., which are inherent to all known speech and language technologies.

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