# Disentangling continuous and discrete linguistic signals in transformer-based sentence embeddings

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#### Abstract

 Sentence and word embeddings encode struc- tural and semantic information in a distributed manner. Part of the information encoded – par- ticularly lexical information – can be seen as continuous, whereas other – like structural in- formation – is most often discrete. We explore whether we can compress transformer-based sentence embeddings into a representation that separates different linguistic signals – in partic- ular, information relevant to subject-verb agree- ment and verb alternations. We show that by compressing an input sequence that shares a tar- geted phenomenon into the latent layer of a vari- ational autoencoder-like system, the targeted linguistic information becomes more explicit. **A latent layer with both discrete and continuous components captures better the targeted phe-** nomena than a latent layer with only discrete or only continuous components. These experi- ments are a step towards separating linguistic signals from distributed text embeddings and linking them to more symbolic representations.

#### **<sup>023</sup>** 1 Introduction

 As deep learning models become more and more powerful, the need grows to move away from black box models to interpretable ones. An important reason for this is that black box models may make good predictions for the wrong reasons. There is a big risk involved with deploying such models in environments where wrong predictions can have dire consequences [\(Rudin et al.,](#page-9-0) [2021\)](#page-9-0).

 Explanations need to be formulated based on the conscious primitives of language. The expressive power of human thought and language are arguably built by compositional processes that operate on objects that, at least at the conscious level, are symbolic.

 At a high level, the discrete, symbolic and com- binatorial nature of language needs to be reconciled with the statistical patterns and the machine encod-ing of language in distributed representations.

At a lower level, understanding the representa- **042** tions of words, sentences, and text produced with **043** deep learning models would help trace the differ- **044** ent syntactic and semantic signals and explain how **045** they are encoded in distributed representations. **046**

Information in the input word or text fragment is **047** encoded into a vector of fixed dimensions with con- **048** tinuous values. Some of the information encoded **049** can be viewed as continuous. For example, our in- **050** tuitive understanding of lexical semantic properties **051** is conceived as a similarity space so that we can **052** judge whether words or text fragments are close **053** or distant. Other types of information – e.g. gram- **054** matical number, gender, roles, verb classes – are **055** more discrete in nature. While the good results in **056** using these representations for various NLP tasks **057** [\(Wang et al.,](#page-9-1) [2019;](#page-9-1) [Rajpurkar et al.,](#page-9-2) [2018\)](#page-9-2) indicate **058** that both discrete and continuous information is **059** encoded in these representations, it is not explicit. **060**

Unlike previous work, we do not aim to show **061** that sentence embeddings encode information per- **062** taining to specific linguistic phenomena, but to de- **063** tect how such information is encoded, and whether **064** we can disentangle different linguistic signals from **065** transformer-based sentence embeddings. Because **066** sentence representations compress a multitude of  $\qquad \qquad 067$ linguistic information, we use datasets that fo- **068** cus on and encode specific linguistic phenomena **069** – in particular, subject-verb agreement and verb **070** [a](#page-9-3)lternations – as commonly done [\(Nikolaev and](#page-9-3) **071** [Padó,](#page-9-3) [2023;](#page-9-3) [Linzen et al.,](#page-9-4) [2016\)](#page-9-4). To test how **072** well we can detect signals relevant to these (implicitly) provided phenomena, we use a variational **074** autoencoder-based system. We show that a latent **075** layer that has a continuous and a discrete part leads **076** to best results. By analysing the kind of errors the **077** system makes when masking different parts of the **078** latent layer, we show that they encode different **079** types of information. The code will be made public **080** upon publication. 081

#### **<sup>082</sup>** 2 Related work

 Neural representations have lead to breakthroughs in multiple tasks, including NLP, but they, and the models used to build them, are quite opaque. Neu- ral systems may produce the correct answer but for the wrong reason, or based on spurious cor- relations in the input. Understanding the neural network blackboxes and the representations they [i](#page-8-0)nduce or learn is a crucial research direction [\(Ben-](#page-8-0) [gio et al.,](#page-8-0) [2013\)](#page-8-0). [Rudin et al.](#page-9-0) [\(2021\)](#page-9-0) provide an overview of interpretable ML, which include disen- tanglement techniques. Disentanglement can also be used to design and select input data such that it covers the targeted interpretable concepts and help improve generalization [\(Locatello et al.,](#page-9-5) [2020\)](#page-9-5).

 Disentanglement, often implemented using Gen- [e](#page-8-1)rative Adversarial Networks (GANs) [\(Goodfellow](#page-8-1) [et al.,](#page-8-1) [2014\)](#page-8-1) and Variational AutoEncoders (VAEs) [\(Schmidhuber,](#page-9-6) [1992;](#page-9-6) [Kingma and Welling,](#page-9-7) [2013\)](#page-9-7), has found several applications in NLP, as it can help separate the various types of information en- coded in a sentence, such as syntax and semantics [\(Chen et al.,](#page-8-2) [2019a;](#page-8-2) [Bao et al.,](#page-8-3) [2019\)](#page-8-3), text style [\(Fu](#page-8-4) [et al.,](#page-8-4) [2018;](#page-8-4) [John et al.,](#page-8-5) [2019\)](#page-8-5) or morphological information [\(Zhou and Neubig,](#page-10-0) [2017\)](#page-10-0). The repre- sentation on the latent layer can have continuous or discrete variables. Continuous representations can [a](#page-9-8)lso be disentangled [\(Higgins et al.,](#page-8-6) [2017;](#page-8-6) [Mathieu](#page-9-8) [et al.,](#page-9-8) [2019;](#page-9-8) [Chen et al.,](#page-8-7) [2019b\)](#page-8-7), while the discrete one by default separates specific factors.

 [Bao et al.](#page-8-3) [\(2019\)](#page-8-3) and [Chen et al.](#page-8-2) [\(2019a\)](#page-8-2) use two continuous variables to model semantic and syntactic information on the latent layer of a VAE. [Bao et al.](#page-8-3) [\(2019\)](#page-8-3) enforce the encoding of syntactic information in the latent layer by predicting the lin- earized parse tree of the input. [Chen et al.](#page-8-2) [\(2019a\)](#page-8-2) use multi-task training to encourage the separation of information on the latent layer.

 [Mercatali and Freitas](#page-9-9) [\(2021\)](#page-9-9) learn to isolate 9 generative factors using a variational autoencoder (VAE) architecture with Gumbel-softmax sampling [\(Jang et al.,](#page-8-8) [2017\)](#page-8-8). Sentences are encoded (and de- coded) using an LSTM. [Zheng and Lapata](#page-9-10) [\(2022\)](#page-9-10) propose a different method for disentangling re- lations expressed in a sentence which may share arguments. This is implemented as an extension to sequence-to-sequence (seq2seq) models, where at each decoding step the source input is re-encoded by conditioning the source representations on the newly decoded target context. These specialized representations make it easier for the encoder to exploit relevant-only information for each prediction. **133**

[Huang et al.](#page-8-9) [\(2021\)](#page-8-9) disentangle syntactic and se- **134** mantic representation using a sentence encoder and **135** a parse encoder. Learning to produce paraphrases **136** of the input sentence with the given parse structure **137** forces the sentence encoder to produce a semantic **138** representation devoid of syntactic information. **139**

We build on [Dupont](#page-8-10) [\(2018\)](#page-8-10), who shows that 140 a combination of discrete and continuous factors **141** characterizing images can be learned in an unsu- **142** pervised manner. We experiment with different **143** representations on the latent layer of a VAE-like **144** system, to test whether specific grammatical infor- **145** mation can be disentangled from transformer-based **146** sentence embeddings. 147

## 3 Grammatical phenomena to study **<sup>148</sup> sentence representations** 149

We investigate whether specific grammatical information can be accessed from distributed sentence **151** representations. Sentences are combinations of **152** linguistic phenomena, which LLMs compress in **153** fixed-length continuous vectors. Because of this, **154** linguistic phenomena are often studied on specifi- **155** [c](#page-9-3)ally designed or selected datasets (e.g. [\(Nikolaev](#page-9-3) **156** [and Padó,](#page-9-3) [2023;](#page-9-3) [Linzen et al.,](#page-9-4) [2016\)](#page-9-4)), that isolate **157** or emphasize the targeted phenomena.We also use **158** artificially generated datasets, Blackbird Language **159** Matrices (BLMs) [\(Merlo et al.,](#page-9-11) [2022;](#page-9-11) [Merlo,](#page-9-12) [2023\)](#page-9-12), **160** inspired by Raven Progressive Matrices visual pat- **161** tern tests that rely on the solver detecting overlap- **162** ping rules [\(Raven,](#page-9-13) [1938;](#page-9-13) [Zhang et al.,](#page-9-14) [2019\)](#page-9-14). **163**

#### <span id="page-1-0"></span>**3.1 Input data** 164

A Blackbird Language Matrix (BLM) problem **165** [\(Merlo,](#page-9-12) [2023\)](#page-9-12) has an input consisting of a context **166** of S sentences that share the targeted grammatical **167** phenomenon, but differ in other aspects relevant for **168** the phenomenon in question. BLMs are multiple- **169** choice problems, and each input is paired with a **170** set of candidate answers, where the incorrect ones **171** are built by corrupting some of the generating rules **172** of the input sequence. This added dimension of the **173** datasets facilitates the investigation of the kind of **174** information a system is able to disentangle from **175** the sentence embeddings. **176** 

BLM datasets can also vary in lexical complex- **177** ity. The datasets usually comprise three levels of **178** complexity. Type I data is generated based on man- **179** ually provided seeds, and a template for its gen- **180** erative rules. Type II data is generated based on **181**

 Type I data, by introducing lexical variation using a transformer, by generating alternatives for masked nouns. Type III data is generated by combining sentences from different instances from the Type II data. This allows investigations into the impact of lexical variation on the ability of a system to detect grammatical patterns.

 We use two BLM datasets, which encode two different linguistic phenomena, each in a different language: subject verb agreement in French, and an instance of verb alternations in English.

 BLMs for subject-verb agreement in French Subject-verb agreement is often used to test the [s](#page-9-4)yntactic abilities of deep neural networks [\(Linzen](#page-9-4) [et al.,](#page-9-4) [2016;](#page-9-4) [Gulordava et al.,](#page-8-11) [2018;](#page-8-11) [Goldberg,](#page-8-12) [2019;](#page-8-12) [Linzen and Baroni,](#page-9-15) [2021\)](#page-9-15). While theoretically sim- ple, it can have several complicating factors: e.g. linear or structural distance between the subject and the verb.

<span id="page-2-1"></span>

<b>EXAMPLE OF CONTEXT</b>					
	1 The vase	with the flower		leaks.	
$\mathcal{L}$	The vases	with the flower		leak.	
3	The vase	with the flowers		leaks.	
4	The vases	with the flowers		leak.	
5.	The vase	with the flower	from the garden leaks.		
6	The vases	with the flower	from the garden leak.		
7	The vase	with the flowers	from the garden leaks.		
	8 ???				
EXAMPLE OF ANSWERS					
The vase with the flower and the garden leaks.				Coord	
The vases with the flowers from the garden leak. Correct					
The vase with the flower leaks.				<b>WNA</b>	
The vase with the flower from the garden leak.				AE	
The vases with the flower from the garden leak.				WN1	
	WN2 The vases with the flowers from the gardens leak.				

Figure 1: Subject-verb agreement BLM: a type I data instance (original in French). WNA=wrong nr. of attractors; AE=agreement error; WN1=wrong nr. for  $1^{st}$ attractor (N1); WN2=wrong nr. for  $2^{nd}$  attractor (N2).

[1](#page-2-0) **We use BLM-AgrF** [\(An et al.,](#page-8-13) [2023\)](#page-8-13),<sup>1</sup> illustrated in Figure [1.](#page-2-1) The input for each instance consists of a context set of seven sentences that share the subject-verb agreement phenomenon, but differ in other aspects – e.g. number of intervening attrac- tors between the subject and the verb, different grammatical numbers for these attractors, and dif-ferent clause structures.

**209** BLMs for verb alternations in English The **210** study of the argument-structure properties of verbs **211** and semantic role assignments is also a test-bed

for the core syntactic and semantic abilities of neu- **212** ral networks [\(Kann et al.,](#page-9-16) [2019;](#page-9-16) [Yi et al.,](#page-9-17) [2022\)](#page-9-17). **213** In particular, [Yi et al.](#page-9-17) [\(2022\)](#page-9-17) demonstrates that **214** transformers can encode information on the two al- **215** ternants of the well-studied *spray-load* alternation **216** [\(Rappaport and Levin,](#page-9-18) [1988;](#page-9-18) [Levin,](#page-9-19) [1993\)](#page-9-19). We use **217** the dataset BLM-s/lE [\(Samo et al.,](#page-9-20) [2023\)](#page-9-20), whose **218** structure is exemplified in Figure [2.](#page-2-2) **219** 

<span id="page-2-2"></span>

Figure 2: Verb alternations. The labels indicate which (sub)rules are corrupted to create the error: AgentAct=The agent in the alternant should be an NP in an active sentence; Alt=the alternation consists of a NP and a PP after the verb; NoEmb=the PP should not be embedded in the PP; LexPrep=the argument structure require given prepositions; SSM=syntax/semantic mapping; AASSM=simultaneous violations of Agent Act and SSM.

As can be seen, a BLM instance consists of a **220** context set comprising one alternant of the *spray-* **221** *load* alternation and other sentences that provide **222** the syntactic properties of the arguments (e.g. pas- **223** sivization strategies). The target sentence is the **224** other alternant (whose arguments share common **225** properties with the first sentence) to be chosen from **226** an answer set of superficially minimally, but, syn- **227** tactically and semantically deeply, different candi- **228** dates. (See [Samo et al.](#page-9-20) [\(2023\)](#page-9-20) for more detail.) **229**

There are two groups within this dataset, one for **230** each of the two alternates. *Group 1* (ALT-ATL) has **231** the alternant AGENT-LOCATIVE-THEME (e.g. *The* **232** *girl sprayed the wall with the paint)* in the con- **233** text and the correct answer is the alternant whose **234** configuration is AGENT-THEME-LOCATIVE (e.g. **235** *The girl sprayed paint onto the wall*), while the **236** the template of *Group2* (ATL-ALT) starts with **237** AGENT-THEME-LOCATIVE and the target answer **238**

<span id="page-2-0"></span><sup>&</sup>lt;sup>1</sup>The data is publicly available at  $https://github.com/$ [CLCL-Geneva/BLM-SNFDisentangling](https://github.com/CLCL-Geneva/BLM-SNFDisentangling)

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#### **239** is AGENT-LOCATIVE-THEME.

 Datasets statistics Table [1](#page-3-0) shows the datasets statistics. Each subset is split 90:10 into train:test subsets. 20% of the train data is used for develop-**243** ment.

<span id="page-3-0"></span>

<span id="page-3-2"></span>Table 1: Types I, II, III correspond to different amounts of lexical variation within a problem instance.

## **244** 3.2 Sentence representations

 We investigate sentence embeddings obtained from [t](#page-9-21)wo transformer-based systems: RoBERTa [\(Liu](#page-9-21) [et al.,](#page-9-21) [2019\)](#page-9-21) and Electra [\(Clark et al.,](#page-8-14) [2020\)](#page-8-14), with a FFNN baseline and an encoder-decoder archi- tecture inspired by variational autoencoders, repre-sented schematically below.



**252** For all of these systems we use as sentence em-**253** bedding the encoding of the *[CLS]* or the *<s>* char-**254** acter read from the last layer of the model.

## **255** 3.3 Detecting linguistic signals in sentence **256** embeddings

 We explore sentence embeddings using a baseline FFNN and variations of a system based on the vari-59 **ational autoencoder architecture.**<sup>2</sup> The system's hy- perparameters – parameters of the CNN and FFNN layers in the encoder and decoder – were estab- lished using development data on the subject-verb agreement problem, using type I data for training and testing. It was then deployed on the other train/test configurations and the verb alternation problem. We add to the encoder-decoder architec- ture different sampling methods on the latent layer of the encoder-decoder – continuous, discrete and joint sampling – to test whether separating discrete and continuous components makes the targeted phe-nomena more explicit.

## **3.3.1 FFNN baseline 272**

The FFNN baseline is a three-layer feed-forward **273** neural network, that maps an input sequence of **274** sentence embeddings into a vector representing **275** the answer. The learning objective is to maxi- **276** mize the probability of the correct answer from 277 the candidate answer set and is implemented **278** through the max-margin loss function. This **279** function combines the scores of the correct and **280** erroneous sentences in the answer set relative **281** to the sentence embedding predicted by the system: **282**

$$
loss_a(x) = \sum_{e_i} [1 - score(e_c, e_{pred}) + score(e_i, e_{pred})]^{+}
$$

**283**

**286**

**322**

where  $e_i$  is the embedding of a sentence  $a_i$  in the 287 answer set  $A$ ,  $e_{pred}$  is the embedding produced by 288 the system for input x, and *score* is the cosine of  $289$ the angle between the given vectors. **290**

For prediction, the answer  $a_i$  with the highest 291 score from a candidate set w.r.t. the produced **292** sentence embedding is taken as the correct answer. **293**

## 3.3.2 Encoder-decoder **294**

This system is similar to a variational autoencoder **295** (VAE) [\(Kingma and Welling,](#page-9-7) [2013;](#page-9-7) [Kingma et al.,](#page-9-22) **296** [2015\)](#page-9-22), but the decoder does not reconstruct the **297** input, rather it constructs an answer. **298**

A variational autoencoder encodes an input se- **299** quence into a compressed representation, and then **300** attempts to reconstruct it, while modeling the com- **301** pressed representation of the input as a distribution **302** over the latent space, rather than a single point. **303** This procedure avoids overfitting and ensures that **304** the latent space is structured and thus has good **305** properties that enable the generative process. **306**

The input is a stack of 2D-ed sentence embed- **307** dings. The encoder consists of a 3D CNN layer 308 with a  $3x15x15$  kernel for the input SxNxM where 309 S is the length of the input sequence (7) and NxM **310** is the shape of the 2D sentence representation ar- **311** ray (we use  $32x24$ ). This is followed by a linear  $312$ layer that compresses the output of the CNN to **313** the dimension set for the latent layer. The decoder **314** consists of a linear layer followed by a CNN (with **315** a 1x15x15 kernel) that produces a 2D array repre- **316** senting the embedding of the predicted answer. **317**

The objective function of the VAE captures **318** the modeling (reconstruction of the input) and **319** regularization constraints placed on the latent **320** space through two factors: **321** 

<span id="page-3-1"></span><sup>&</sup>lt;sup>2</sup>The code will be made publicly available upon publication.

323 
$$
\mathcal{L}(x) = \mathbb{E}_{q_{\Phi}(z|x)}[log\ p_{\Theta}(x|z)] - KL(q_{\Phi}(z|x)||p(z))
$$

**325** This is implemented through the corresponding 326 **loss function, where x is the input and**  $x'$  **is output, 327** i.e. the reconstructed input.

$$
loss(x) = \|x - x'\|^2 + KL(q_{\Phi}(z|x) \| p(z))
$$

**331** Because our system does not reconstruct the **332** input but rather outputs a sentence embedding, the **333** loss function becomes:

$$
loss(x) = loss_a(x) + KL(q_{\Phi}(z|x)||p(z))
$$

**337** where  $loss_a$  is the max-margin loss function used **338** by the baseline FFNN.

 We can enforce different assumptions on the la- tent layer, and sample a vector accordingly from the output of the encoder. In particular, we can consider the latent layer to be a continuous vector, a discrete one, or a combination. For each variation the KL divergence factor will change accordingly, 345 and  $loss_a(x)$  will remain the same.

 Continuous In this setting, the assumption is that the vector on the latent layer is a vector of continuous values, with a standard Gaussian prior 349 distribution  $p(z) = \mathcal{N}(0, 1)$ . The output of the **encoder** is a vector interpreted as  $[\mu_x; \sigma_x]$  mod- eling a normal distribution from which the vec-**tor** z is sampled:  $z \sim q_{\Phi}(z|x) = \mathcal{N}(\mu_x, \sigma_x)$  [\(Kingma and Welling,](#page-9-7) [2013\)](#page-9-7). The KL factor be-354 comes  $KL(\mathcal{N}(\mu_x, \sigma_x) || \mathcal{N}(0, 1)).$ 

 Discrete To model data that may have discrete structure, [Jang et al.](#page-8-8) [\(2017\)](#page-8-8) introduce the Gumbel- Softmax distribution, which can approximate categorical samples. If c is a categorical variable 359 with class probabilities  $\pi_1, \ldots, \pi_k$ , drawing a sample c from a categorical distribution with class **probabilities**  $\pi$  would be:

$$
363 \t\t c \sim one\_hot(\text{argmax}_{i}[g_i + log \pi_i])
$$

365 where  $g_i \sim$  Gumbel(0, 1), and the nondiffer-**366** entiable argmax funtion is approximated using **367** sof tmax:

$$
\operatorname*{argmax}_{i}[g_{i} + log\pi_{i}] \approx \operatorname*{softmax}[g_{i} + log\pi_{i}] =
$$
\n
$$
= \frac{\exp((g_{i} + log\pi_{i})/\tau)}{\sum_{j=1}^{k} \exp((g_{j} + log\pi_{j})/\tau)}
$$

where  $\tau$  is a *temperature* that controls the  $\infty$  369 softmax distribution: higher values result in **370** more uniform distributions, whereas for values **371** closer to 0 the expected value approaches the **372** expected value of a categorical random variable **373** with the same logits. The KL factor becomes 374  $KL(q_{\Phi}(c|x)||Gumbel(0, 1))$  375

Joint A latent vector with a discrete and continu- **376** ous part can also be used [\(Dupont,](#page-8-10) [2018\)](#page-8-10). In this **377** case the encoder models a distribution with con- **378** tinuous latent z and discrete latent c as  $q_{\Phi}(z, c|x)$  379 with prior  $p(z, c)$  and likelihood  $p_{\Theta}(x|z, c)$ . 380 Because the continuous and discrete channels **381** can be assumed to be conditionally independent, **382**  $q_{\Phi}(z, c|x) = q_{\Phi}(z|x)q_{\Phi}(c|x); p(z, c) = p(z)p(c)$  383 and  $p_{\Theta}(x|z, c) = p_{\Theta}(x|z)p_{\Theta}(x|c)$ , where each 384 of the probabilities and samplings will be done **385** according to the continuous or the discrete **386** sampling respectively. The KL factor becomes **387**

**388**

$$
KL(q_{\Phi}(z, c|x)||p(z, c)) =
$$
  
\n
$$
KL(q_{\Phi}(z|x)||p(z)) + KL(q_{\Phi}(c|x)||p(c)).
$$

#### 4 Experiments **<sup>391</sup>**

We hypothesize that we can separate different types **392** of linguistic information, specifically lexical from **393** structural information, in transformer-based sen- **394** tence representations. We test this hypothesis **395** through two types of analysis. **396**

- A1 Through the performance on the BLM **397** multiple-choice problems that encode differ- **398** ent linguistic phenomena, in two different lan- **399** guages. **400**
- A2 Through error analysis, which will reveal: **401**
	- A2.1 what kind of information is accessed in **402** sentence embeddings to solve the prob- **403 lems;** 404
	- A2.2 whether different types of information **405** is captured in the discrete and continuous **406** parts of the latent layer. **407**

Should our hypothesis be correct, we expect anal- **408** ysis A1 to show higher performance for joint sam- **409** pling on the latent layer of our encoder-decoder **410** system, compared to either discrete or continuous **411** sampling alone. The different range of lexical vari- **412** ation of the three dataset subsets (type I, II, III) **413** adds another dimension to the investigation: lexi- **414** cal variation, a source of continuous information **415**

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 in neural networks, allows us to assess the impact of such information on the differentiation of the input into discrete and continuous signals. We mea- sure performance in terms of F1 score, and report averages over 5 runs.

 Analyses A2.1 and A2.2 investigate the kind of errors the system makes when using different variations of the system. The erroneous candidate answers represent different types of errors – struc- tural or lexical – and changes in the frequency of such types of errors provide additional clues regard- ing the information encoded in the different parts of the latent vector.

 Data We use the data described in Section [3.1,](#page-1-0) and sentence embeddings generated using [R](#page-8-14)oBERTa [\(Liu et al.,](#page-9-21) [2019\)](#page-9-21) and Electra [\(Clark](#page-8-14) [et al.,](#page-8-14) [2020\)](#page-8-14) pretrained models<sup>[3](#page-5-0)</sup>.

 For space reasons, we show here results when training on type I, II and III – increasing lexical variation – and test on type III – maximal lexical variation. This is the most difficult learning set-up, and will allow us to test whether the system can discover robust patterns, or rather it picks up on lexical regularities.

 System We analyze the effects of compressing these embeddings into low-dimensional represen- tations, with discrete and continuous components, using the system described in Section [3.2.](#page-3-2) Unlike previous work on disentangling syntax and seman- [t](#page-8-9)ics [\(Chen et al.,](#page-8-2) [2019a;](#page-8-2) [Bao et al.,](#page-8-3) [2019;](#page-8-3) [Huang](#page-8-9) [et al.,](#page-8-9) [2021\)](#page-8-9), the targeted grammatical information is only implicitly provided.

 Previous work (anonymous) explored how the subject-verb agreement information can be ac- cessed in BERT [\(Devlin et al.,](#page-8-15) [2019\)](#page-8-15) sentence em- beddings. Experiments with various architectures have shown that this information seems to be reg- ularly distributed in the sentence embedding (the embedding of the [CLS] special token), such that reshaping the one-dimensional array corresponding to the sentence embedding into a 2D-array makes the grammatical pattern more easily accessible.

 We adopt a similar experimental set-up, using a VAE-based architecture, and aim to determine whether we can separate different types of linguis- tic information in sentence embeddings, in a gen- eral framework. For this reason we do not tune hyperparameters for each dataset and system setup. We use the hyperparameters tuned using BERT **464** [\(Devlin et al.,](#page-8-15) [2019\)](#page-8-15) sentence embeddings, with **465** sentence embeddings reshaped as  $32x24$  arrays, 466 trained and tested with type I subject-verb agree- **467** ment data. The size of the latent layer for con- **468** tinuous sampling is 5. For the joint sampling we **469** use  $1x2+5$  (7) and  $2x2+5$  (9) sized vectors (1 and  $470$ 2 binary categories, continuous portion length 5). **471** We include experiments using a continuous latent **472** that matches the length of the vector on the latent **473** layer for the joint sampling (7 and 9) to show that **474** the increase in performance is not due to a longer **475** vector on the latent layer. **476** 

All systems used a learning rate of 0.001 and **477** Adam optimizer, and batch size 100. The training **478** was done for 120 epochs. The experiments were **479** run on an HP PAIR Workstation Z4 G4 MT, with **480** an Intel Xeon W-2255 processor, 64G RAM, and **481** a MSI GeForce RTX 3090 VENTUS 3X OC 24G **482** GDDR6X GPU. **483**

A1: Performance analysis We analyze first the **484** performance of different variations of the encoder- **485** decoder system in terms of F1 score averages over **486** 5 runs<sup>[4](#page-5-1)</sup>. Should having a discrete part of the la- **487** tent layer be useful, we expect the set-up using **488** joint sampling to have the highest performance. To **489** control for alternative explanations of the improve- **490** ment, we set up pairwise comparisons with two **491** control models: we compare joint models to sim- **492** ple models with latent vectors of equal size, and **493** with the simple model that has overall highest per-  $494$ formance. We verify the robustness of the results **495** across two word embedding representations. **496**

The comparative results of selected set-ups are **497** shown in Figure [3.](#page-6-0) They cover: the FFNN base- 498 line; VAE\_5, the system with continuous sampling **499** and latent size 5 (the best variation when using 500 continuous sampling); VAE 7, the system that has  $501$ the latent layer size equal to the one used in joint **502** sampling; VAE\_5\_1x2, the system using joint sam-  $503$ pling, with a continuous part of length 5, and 1 **504** binary category for capturing discrete signals. All **505** results were obtained for Electra sentence embed- **506** dings. The results on all configurations and both 507 sentence embedding types are shown in Figure [6](#page-11-0) in  $508$ the Appendix. 509

Joint sampling leads to highest performance on **510** all datasets and sentence embeddings, and particu- **511** larly in the more difficult set-up of using maximal **512**

<span id="page-5-0"></span><sup>3</sup>RoBERTa: *xml-roberta-base*, Electra: *google/electrabase-discriminator*

<span id="page-5-1"></span><sup>&</sup>lt;sup>4</sup>The standard deviation for all set-ups is lower than 1e-03, so we do not include it.

<span id="page-6-0"></span>

Figure 3: F1 (avg. over 5 runs): continuous and joint sampling, Electra sentence embeddings.

 lexical variation data (type III), as expected. Us- ing a bigger continuous latent layer leads to lower performance, showing that the increase in perfor- mance when using joint sampling is indeed due to having a discrete portion. This indicates that this configurations captures more, or more explicitly, linguistic information that is relevant to the two phenomena represented in the datasets.

 A2.1: Error analysis To understand better the kind of information the system accessed in the sentence representations, we use the answer sets, which have been constructed to include specifi- cally built erroneous answers. Using two differ- ent problems with different properties allows us some interesting controlled pairwise comparisons. In the agreement problems, basically all incorrect answers violate structural rules. In the verb alter- nation problem, NoEmb and LexPrep are lexical rules, while the others are structural.

 Results are shown in Figure [4,](#page-7-0) for sentence em- beddings obtained using Electra, which had overall 34 better performance<sup>5</sup>. For the agreement data, the main sources of error are WNA, WN1 and WN2. These mistakes indicate a lack of understanding of the structural aspect of agreement, preferring a linear interpretation. These are mistakes that show that the global pattern of agreement over the whole BLM, which is purely formal, has not been learnt. For all, the highest drop (compare the red and the blue bars) is obtained for the configuration that in- cludes a discrete part in the latent layer, and most obviously for the WN2 error – the closest NP car-ries the number that allows it to agree with the verb– which humans also make. This indicates that using joint sampling allows the system to find longer **547** distance patterns, and not be tricked by proximity. **548**

Most of the errors specific to Alternations are **549** in the syntax-semantic mapping (SSM), for both **550** groups. Group 2 also shows some structural mis- **551** takes if not enough lexical variation is seen in train- **552** ing. This pattern of mistakes suggests that the **553** syntax-semantic mapping, the core of argument **554** structure, has not been fully mastered. When us- **555** ing joint sampling, the most affected mistakes (as  $556$ shown in comparing the blue and red bars in Type I 557 and type III, which reveal the clearest patterns) are **558** the lexical ones, LexPrep, as expected. **559**

A2.2: Discrete vs. continuous analysis To get 560 closer to understanding what kind of information **561** is encoded in the discrete and continuous portions **562** of the latent layer, we mask these one by one (set **563** it to 0), and perform error analysis. To analyze **564** the change in error patterns we compare the sys- **565** tem predictions before and after masking through **566** Cohen's κ. Pairwise agreement between the nor- **567** mal system setting, and masked discrete, and 1-5 568 continuous layer units are presented in Figure [5.](#page-7-1) **569** Absolute error plots are shown in Figure [8](#page-13-0) in the **570** appendix. **571**

The lower the agreement the more different in- **572** formation the two settings encode. The heatmaps **573** indicate that for the verb alternation problem, the **574** discrete part of the latent encodes information that **575** is most different from the base setup and all the **576** continuous units. The distinction grows with lexi- **577** cal variation in the data – it is highest when training **578** on type III data. Masking the discrete part leads to **579** a big increase in SSM errors (the syntax-semantic **580** mapping), as shown in Figure [8](#page-13-0) in the Appendix, 581 which plots the absolute errors for the masked sys- **582** tem variations. **583**

For the subject-verb agreement data, the contin- **584** uous units encode the most distinct information, **585** and this also becomes more pronounced with the **586** increase in lexical variation. The error analysis **587** in Figure [8](#page-13-0) shows a high increase in WN2 errors **588** when masking the units in the continuous part. This 589 indicates a loss in long distance view of the model. **590**

Discussion The performance on the multiple- **591** choice problems and the error analysis show that **592** including a discrete part for the latent layer in an **593** encoder-decoder architecture leads to better results. **594** The error analyses indicate that important infor- **595**

<span id="page-6-1"></span> $5$ Average 0.95 vs. 0.91 for verb alternations, 0.866 vs 0.871 for subject-verb agreement.

<span id="page-7-0"></span>

Figure 4: Error analysis for continuous and joint sampling using Electra sentence embeddings.

<span id="page-7-1"></span>

Figure 5: Errors when masking the latent vector in the joint sampling 1x2\_5 setting using Electra.

 mation is captured in the discrete and continuous sections of the latent layer. Depending on the prob- lem, either the discrete or the continuous latent sections contain more distinct information from each other. This shows that linguistic signals could be separated with such an architecture. We plan future work to enforce stronger disentanglement of the signals from the sentence embeddings, that can be linked to specific symbolic information.

#### **<sup>605</sup>** 5 Conclusion

**606** Sentence embeddings combine a multitude of se-**607** mantic and syntactic information in a continuous

vector. We presented work that aims to disentangle **608** such different linguistic signals from the sentence 609 representation. We used diagnostic datasets, that **610** focus on specific phenomena and encode them in a **611** variety of contexts. The phenomena to discover are **612** not explicitly provided, but are implied by the cor- **613** rect answer to a problem instance. We combined **614** this data with a VAE-based system, and showed **615** that we can induce a representation on the latent **616** layer that captures linguistic signals relevant to the **617** targeted phenomena. Error analysis shows that the **618** different parts of the latent layer captures slightly **619** different signals. 620

The consistent results of the same experimental **621** set-up on different transformer-based sentence em- **622** beddings, on two different linguistic phenomena **623** in two different languages supports our hypothesis **624** that linguistic information is regularly distributed in **625** the sentence embedding, and is retrievable and pos- **626** sibly ultimately mappable onto a more symbolic **627** representation. We plan future work that forces **628** more disentanglement of the signals encoded in the **629** latent layer of the VAE-based system. **630**

#### Limitations **631**

We performed experiments on an artificially gen- 632 erated dataset, that presents a grammatical phe- **633** nomenon in a particular way – as a sequence of **634** sentences with specific properties. In future work **635** we plan to separate the distillation of rules from a **636**

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**637** sentence representation from the processing of the **638** sequence.

 We adopted the hyperparameters of the tested systems from previous experiments using sentence embeddings from a pretrained BERT model. This was a deliberate choice, as our goal was to inves- tigate general properties of sentence embeddings with respect to different grammatical phenomena through the same systems. Specifically optimiz- ing each architecture for each problem may lead to better individual results.

# **<sup>648</sup>** Ethics Statement

**649** To the best of our knowledge, there are no ethics **650** concerns with this paper.

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## 864 **A** Supplementary Materials

# **865** A.1 Detailed results

<span id="page-11-0"></span>**866** Figure [6](#page-11-0) shows the complete results, in terms of F1 averages over 5 runs (the standard deviation is less 867 than 1e-03, so we do not include it), for all settings considered, and Electra and RoBERTa sentence **868** embeddings.



Figure 6: F1 (avg. over 5 runs): continuous and joint samp., Electra and RoBERTa sentence embeddings.

<span id="page-12-0"></span>

Figure [7](#page-12-0) shows the error percentages for all settings, and both types of sentence embeddings. **870**

**871**

 Figure [8](#page-13-0) shows the plot of absolute errors for a base system – encoder decoder with joint sampling – 1x2 (one binary category) + 5 (continuous units). The discrete part and each continuous unit are separately masked (set to 0), and the test data is then used to generate predictions. The plots shows the errors for the base system (black), and each masked variation.

<span id="page-13-0"></span>

Figure 8: Errors when masking the latent vector in the joint sampling  $1x2_5$  setting using Electra.

<span id="page-14-0"></span>

Figure [9](#page-14-0) shows the inter annotator agreement in terms of Cohen's κ, when the base system and each **876** masked variation is considered an annotator. **877** 

Figure 9: Errors when masking the latent vector in the joint sampling  $1x2_5$  setting using Electra.