RETRIEVAL-BASED GENERALIZED CROWD COUNTING

Anonymous authors

000

001

003

004

006

008 009

010

011

012

013

014

015

016

017

018

019

021

025

Paper under double-blind review

ABSTRACT

Existing crowd-counting methods rely on the manual localization of each person in the image. While recent efforts have attempted to circumvent the annotation burden through vision-language models or crowd image generation, these approaches rely on pseudo-labels to perform crowd-counting. Simulated datasets provide an alternative to the annotation cost associated with real datasets. However, the use of large-scale simulated data often results in a distribution gap between real and simulated domains. To address the latter, we introduce knowledge retrieval inspired by knowledge-enhanced models in natural language processing. With knowledge retrieval, we extract simulated crowd images and their text descriptions to augment the image embeddings of real crowd images to improve generalized crowd-counting. Knowledge retrieval allows one to use a vast amount of non-parameterized knowledge during testing, enhancing a model's inference capability. Our work is the first to actively incorporate text information to regress the crowd count in any supervised manner. Moreover, to address the domain gap, we propose a pre-training and retrieval mechanism that uses unlabeled real crowd images along with simulated data. We report state-of-the-art results for zero-shot counting on five public datasets, surpassing existing multi-model crowd-counting methods. The code will be made publicly available after the review process.

027 1 INTRODUCTION

Crowd-counting has garnered significant interest owing to its extensive applications in safety and population management (Sindagi & Patel, 2018; Kang et al., 2018). Accurately estimating counts becomes particularly challenging, especially in densely populated areas.

Most prominent crowd-counting methods either estimate a density map (Sindagi & Patel, 2017; 032 Ranasinghe et al., 2024; Han et al., 2023) or localize head positions (Song et al., 2021; Liang et al., 033 2022b) to estimate the count. However, these methods require point-level annotations for human 034 heads, which is an expensive and laborious process. Recently, to relieve the cost of annotation, the field has been moving towards using vision-language models and synthetic images. An illustrative example of this trend is observed in the introduction of the CrowdCLIP (Liang et al., 2023) model, 037 which integrates the CLIP (Radford et al., 2021) architecture for crowd-counting showcasing a con-038 temporary approach in merging vision and language models for this specific task. While CrowdCLIP is positioned as an unsupervised model requiring no explicit count labels, evaluating the test set involves determining the optimal count label structure for performance assessment. In contrast, the 040 AFreeCA (D'Alessandro et al., 2024) model proposes a fully supervised crowd-counting strategy by 041 synthesizing crowd images using stable diffusion and multi-modal supervision. However, a notable 042 challenge arises in AFreeCA, where the actual crowd count in the synthesized images diverges from 043 the count provided as the text condition to the model, introducing inherent noise into the pipeline. 044

However, CrowdCLIP and AFreeCA demonstrate the transferability and generalizability of incorporating text knowledge and a vast amount of data to annotator-free crowd-counting. Consequently, 046 we can address the annotation cost involved in crowd-counting by training a model with simulated 047 data to perform zero-shot crowd-counting on real images. The benefits of using simulated data are 048 two-fold: 1. we can create point annotations without any human labor. 2. We can create a huge amount of data for the model to train. Naturally, models need more capacity to parameterize a large corpus of data, as evidenced by large language models. However, recently developed retrieval aug-051 mented generation (Lewis et al., 2020) for natural language processing demonstrated the advantage of using non-parametric knowledge (external information) for more updated, reliable response gen-052 eration. Following this, RA-CLIP (Xie et al., 2023) illustrated the advantage of using a reference database for zero-shot performance with vision-language models for classification. However, the

Database 060 061 062 Έ 063 ε Retrieval process Tm Tovte \mathcal{D} Knowledge 067 Augmentation Module Crowd ensity 068 location maps 069 071 Count Decoder Fully-parameterized Vision-language knowledge enhanced

073 crowd counting crowd counting Figure 1: (a) The fully parameterized supervised 074 methods require point annotations for real crowd images, which need heavy manual labor to label a largescale dataset. (b) Vision-language contrastive training to learn counting labels. (c) The proposed visionlanguage enhanced training for generalized counting 079 without labeled real crowd data.

In this paper, we propose ReGe-Count, which combines vision-language retrieval of simulated crowd data to estimate the crowd count of real crowd images under the zero-shot scenario. With ReGe-Count, we demonstrate the benefit of using multimodal context for real crowd images to perform regression-based crowd-counting with weak supervision. Specifically, first, we train an image encoder to parameterize visual understanding of the unlabeled real and simulated crowd images under the selfsupervised objective of ranking. Second, we train a knowledge augmentation module to extract information from image-text pairs from the simulated dataset for a given query image as displayed in the right-most figure of figure 1. This retrieved image-text information is combined with the query embeddings to learn the mapping between crowd semantics and crowd count under weak supervision. Furthermore, unlike Crowd-CLIP, we don't need a progressive refinement strategy to remove ambiguous crowd patches, which jettison the necessity to pass the image crops through the image encoder multiple times. Besides that, CrowdCLIP

081 does not utilize language understanding to produce the crowd count; instead, the CrowdCLIP 082 pipeline classifies the image patches into different classes at different stages without the need to 083 understand the class label information.

084 Comprehensive experiments carried out across five datasets in diverse scenarios underscore the effi-085 cacy of our ReGe-Count. Notably, our approach outperforms the current state-of-the-art annotatorfree methods on public crowd-counting datasets, as measured by the MAE metric. Our major contributions in this paper can be summarized as follows: 1) We propose knowledge retrieval for crowd 087 estimation with regression. To the best of our knowledge, this is the first work to utilize external 088 sources at testing to enhance crowd-counting. 2) We introduce combining vision-language infor-089 mation for weakly-supervised crowd-counting. This is one of the first works to utilize and infuse 090 language understanding into crowd-counting. 3) We successfully demonstrate using simulated la-091 beled crowd images for generalized crowd-counting of real crowd images, surpassing the zero-shot 092 performance of other vision-language crowd-counting methods.

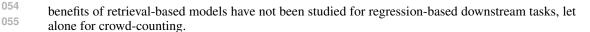
2 **RELATED WORKS**

095 Annotator-free crowd-counting. Existing crowd-counting methods use real-world images with 096 manually annotated ground truth, a labor-intensive and costly process. To mitigate the dependence on these human annotations, recent research has explored annotator-free approaches to crowd-098 counting. For example, CSS-CCNN utilizes self-supervised learning by pretraining the image encoder with a rotation prediction task before fine-tuning the encoder and a density decoder using 100 Sinkhorn matching, completely bypassing ground truth annotations. Similarly, CrowdCLIP lever-101 ages the CLIP architecture to train an image encoder for crowd interval prediction by contrasting 102 image features with count interval labels. In contrast to these methods, AFreeCA performs fully 103 supervised crowd-counting by training its network on synthetic images generated using stable diffusion, enabling the model to learn crowd counts directly from artificially generated data. 104

105

094

Real and simulated crowd images. Text descriptions about images establish the multi-modal re-106 lationship among image-text pairs. However, these text descriptions generally include information 107 about objects present in the image and the context of the image. But specific information like the





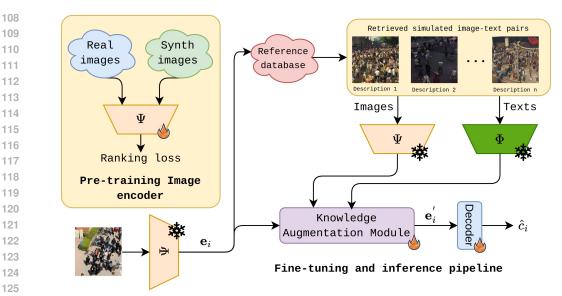


Figure 2: Overall pipeline of ReGe-Count. First, the image encoder (Ψ) is pre-trained using both real and simulated images using the ranking loss. Next, the Knowledge Augmentation Module (KAM) and the count decoder are trained during the fine-tuning stage. During the fine-tuning stage Ψ and the pre-trained text encoder (Φ) are frozen.

126

127

128

actual crowd count is required for crowd-counting. Hence, one must manually annotate the images to get the crowd count, which is a tedious and time-consuming task (D'Alessandro et al., 2024). In contrast, using simulated data (Wang et al., 2019) eliminates the necessity of labor for annotation and caption generation. This is because the context, conditions, and crowd locations are readily available when preparing the simulated images, unlike real crowd images.

136 **Non-parametric knowledge retrieval.** Recently, knowledge-enhanced models have been gaining traction in the vision domain after its success with large-language models (Lewis et al., 2020). First, 137 Hu et al. (2023) improves the performance of visual question answering by storing image-text pairs 138 in an external database and training a network to extract relevant knowledge to enhance model re-139 sponses. Then, Xie et al. (2023) improves the zero and few-shot performance of the CLIP model by 140 augmenting the input image embeddings with image-text pair information from an external database. 141 In addition, Chen et al. (2024) and Liu et al. (2023) utilize the knowledge-enhanced models to im-142 prove classification performance with diffusion models and customized visual models. However, 143 the above methods cater to classification for a given image. In our work, we use external knowledge 144 retrieval to improve the performance of crowd-counting in a weakly supervised learning manner. 145

146 3 PROPOSED METHOD

The overall idea of our proposed framework is to retrieve image-text information from an external database for a given query image to enhance the inference performance for crowd counting as shown in figure 2. We first discuss constructing the external database and the image-text data in section 3.1. Next, we discuss the retrieval process in section 3.2, and the knowledge augmentation in section 3.3.

151 152

156

157

3.1 Reference set construction

Text descriptions for simulated images. We utilize the crowd locations, weather conditions, and time conditions available for each crowd image in the GCC dataset. For each crowd image, we construct a text description like,

- "The image has a [weather condition] weather with
 - [crowd count] people in the [time of day]."

For the weather conditions, we use {clear, cloudy, rainy, foggy} as the labels, and for the time of day, we use {morning, evening, night} as the prompts. These text descriptions are only constructed for the images that will be included in the reference database. We use 80% of images from the GCC training set for the reference database. However, including only simulated data in the reference set introduces a domain gap between simulated and real-world test images.

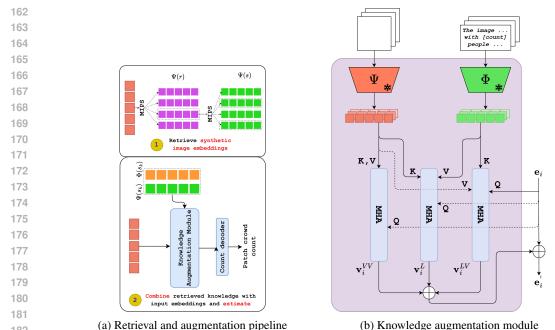


Figure 3: (a) In the retrieval and augmentation pipeline (b) Knowledge augmentation module Figure 3: (a) In the retrieval and augmentation pipeline, we first do maximum inner product search (MIPS) between query image embeddings (e_i) and real image embeddings ($\Psi(r)$). For the most similar $\Psi(r)$, find the closest simulated embeddings ($\Psi(s)$) using MIPS. These retrieved image-text embeddings ($\Psi(s_k)$ and $\Phi(s_k)$) and e_i are passed through the augmentation module to get the count. (b) The network flow of the augmentation module to extract non-parameterized knowledge.

204

210 211 212

Hence, to align the distribution of simulated and real data, we embed the simulated images in thelatent space of real images.

Real crowd image set construction. For the real crowd image set, we combine the existing publicly
available crowd counting datasets except for the dataset of which the performance is evaluated. *e.g.*,
suppose we evaluate the performance on ShanghaiTech Part-A, then the real crowd images in the
reference set will contain crowd images from ShanghaiTech Part-B, JHU-Crowd++, UCF-QNRF,
and NWPU-Crowd. This ensures that the image encoder has not seen any images from the test
distribution, unlike CrowdCLIP and AFreeCA.

Image encoder pre-training. We pre-train the image encoder using both real and simulated crowd images with the ranking loss (Liu et al., 2018), which does not require any labels. Ranking loss has been used to pre-train the image encoder in recent multi-modal crowd counting works like CrowdCLIP and AFreeCA. To construct the ranking crops for the pre-training of the image encoder, we follow the sampling procedure provided in Liu et al. (2018) and moderate it for the simulated and real images separately. We pass the image embeddings of each crop through a linear layer to map it to a count value. To enforce the ranking, we apply the pairwise ranking hinge loss, which for a single pair is defined as:

$$L_r = \max(0, \hat{c}(I_l) - \hat{c}(I_h)),$$
(1)

to penalize incorrect ranking pairs, where $\hat{c}(I_l)$ is lower than $\hat{c}(I_h)$, and I_l and I_h represent two ranking patches from the image. It should be noted that the L_r loss is proportional to the difference between the estimates when the two estimates don't obey the correct ranking order and help embed the real and simulated images into an ordinal space (Li et al., 2022). The image encoder is trained using the gradient updates given as:

$$\nabla L_r = \begin{cases} 0 & \text{if } \hat{c}(I_l) - \hat{c}(I_h) \le 0\\ \nabla \hat{c}(I_l) - \nabla \hat{c}(I_h) & \text{otherwise} \end{cases}$$
(2)

with respect to the image encoder parameters. For a given image, we combine the losses of each pair before taking the gradients. There will be $\binom{M}{2}$ pairs, where M is the crops per image.

Reference vector database. After training the image encoder, we construct image crops of size 224×224 from simulated and real crowd images. Next, we collect the image embeddings of these

crops to create a vector database to perform knowledge retrieval under the maximum inner product.

217 218 219

220

227

216

3.2 IMAGE-TEXT RETRIEVAL

In knowledge retrieval, we extract *K* image-text pairs for an input image I_i . First, we get the image embeddings \mathbf{e}_i of I_i using the pre-trained image encoder. Then, we perform the maximum inner product search (MIPS) (Yu et al., 2017) with the image embeddings of the real crowd image crops in the reference database. The MIPS is formulated such that, given a query vector ($q \in \mathbb{R}^d$) and a set of data vectors ($\mathcal{V} = \{v_1, v_2, \dots, v_n\} \subset \mathbb{R}^d$), to find the nearest *k*-vectors ($\mathcal{V}^* = \{v_1^*, v_2^*, \dots, v_k^*\} \subset \mathcal{V}$) to *q*. \mathcal{V}^* should be found such that:

$$\langle q, v_i^* \rangle \ge \langle q, v_j \rangle, \quad \forall v_j \notin \mathcal{V}^*, \quad \text{and} \quad |\mathcal{V}^*| = k,$$

228 where $\langle \cdot, \cdot \rangle$ and $|\cdot|$ represent the vector inner product and cardinality. From the search, we find the K/2 most similar real image crops. Next, for each real crowd crop, we will find the 2 229 most similar simulated crowd crops without any repetitions. *i.e.*, if any two real crops share a 230 simulated crowd crop, we assign the simulated crop to the real crop that has the highest inner 231 product with I_i and assign the next most similar simulated crop to the remaining real crop. Once we 232 find K most similar simulated crop vectors, we extract their corresponding image crops $\{\mathbf{r}_k^{V_i}\}_{k=1}^K$ 233 and the text descriptions $\{\mathbf{r}_{k}^{L_{i}}\}_{k=1}^{K}$ from our reference set. In the retrieval process, the reason to extract real crops first is to align the simulated crops retrieved for real crowd images during 234 235 testing. Since we train the knowledge augmentation module (see section 3.3) and the count decoder 236 with simulated data, if we directly extract the K most similar simulated crops from the reference 237 set, the strong relationship of being from the same domain will not exist during testing with the 238 real images. However, by using real crops as an intermediary, we can alleviate this issue as the 239 connection between the retrieved simulated crops and input image will be stronger during testing 240 since the test image and intermediary reference crops are from the same domain. This intermedi-241 ary process can be considered as a projection of the input image features onto the real image features. 242

243 244

245

249 250

260

3.3 KNOWLEDGE AUGMENTATION MODULE (KAM)

The overall architecture of the KAM is illustrated in figure 3b. In the KAM, we first extract the image embeddings and the text embeddings for the retrieved image-text pairs $(\{\mathbf{r}_k^{V_i}\}_{k=1}^K \text{ and } \{\mathbf{r}_k^{L_i}\}_{k=1}^K)$ using the pre-trained image encoder (Ψ) and a pre-trained text encoder (Φ) as follows,

$$\mathbf{h}_{k}^{V_{i}} = \Psi\left(\mathbf{r}_{k}^{V_{i}}\right) \text{ and } \mathbf{h}_{k}^{L_{i}} = \Phi\left(\mathbf{r}_{k}^{L_{i}}\right),$$
(3)

where $\mathbf{h}_{k}^{V_{i}}$ and $\mathbf{h}_{k}^{L_{i}}$ represent the image embeddings and text embeddings of the k^{th} image-text pair. Since Ψ and Φ are pre-trained encoders, the embeddings in equation 3 can be pre-computed as these models are frozen during training of the KAM and the count decoder.

Once the reference image embeddings $\{\mathbf{h}_{k}^{V_{i}}\}_{k=1}^{K}$ and the corresponding text embeddings $\{\mathbf{h}_{k}^{L_{i}}\}_{k=1}^{K}$ are extracted, we infuse this external knowledge to the input image embeddings (\mathbf{e}_{i}) using Multihead Attention (MHA) (Vaswani et al., 2017) in the KAM. First, we take \mathbf{e}_{i} , $\{\mathbf{h}_{k}^{V_{i}}\}_{k=1}^{K}$, and $\{\mathbf{h}_{k}^{L_{i}}\}_{k=1}^{K}$ as the *query*, *key*, and *value*, respectively to produce text-knowledge-infused embeddings (\mathbf{v}_{i}^{L}) given by,

$$\mathbf{v}_i^L = \mathrm{MHA}(\mathbf{e}_i, \{\mathbf{h}_k^{V_i}\}_{k=1}^K, \{\mathbf{h}_k^{L_i}\}_{k=1}^K).$$

$$\tag{4}$$

Here, input image embeddings will learn the weight aggregation of $\{\mathbf{h}_{k}^{L_{i}}\}_{k=1}^{K}$ depending on the relationship between \mathbf{e}_{i} and $\{\mathbf{h}_{k}^{V_{i}}\}_{k=1}^{K}$. Similarly, we also produce image-knowledge-infused embeddings from the KAM. However, unlike \mathbf{v}_{i}^{L} , here we can use both $\{\mathbf{h}_{k}^{V_{i}}\}_{k=1}^{K}$ and $\{\mathbf{h}_{k}^{L_{i}}\}_{k=1}^{K}$ as key while \mathbf{e}_{i} and $\{\mathbf{h}_{k}^{V_{i}}\}_{k=1}^{K}$ are kept as *query* and *value*, respectively. Hence, we produce two different image-knowledge-infused embeddings denoted as \mathbf{v}_{i}^{LV} and \mathbf{v}_{i}^{VV} with $\{\mathbf{h}_{k}^{V_{i}}\}_{k=1}^{K}$ and $\{\mathbf{h}_{k}^{L_{i}}\}_{k=1}^{K}$ as key, respectively. The KAM outputs \mathbf{v}_{i}^{LV} and \mathbf{v}_{i}^{VV} is follows,

269

$$\mathbf{v}_{i}^{LV} = \mathrm{MHA}(\mathbf{e}_{i}, \{\mathbf{h}_{k}^{L_{i}}\}_{k=1}^{K}, \{\mathbf{h}_{k}^{V_{i}}\}_{k=1}^{K}),$$

$$\mathbf{v}_{i}^{VV} = \mathrm{MHA}(\mathbf{e}_{i}, \{\mathbf{h}_{k}^{V_{i}}\}_{k=1}^{K}, \{\mathbf{h}_{k}^{V_{i}}\}_{k=1}^{K}).$$
(5)

Then, the outputs of the KAM will be combined with the input image embeddings to produce the augmented image embeddings (\mathbf{e}'_i) as follows,

273

274

279

280

281

282 283

284

286 287 288

289

290

291

292 293

295

296

 $\mathbf{e}_{i}^{'} = \mathbf{e}_{i} + \mathbf{v}_{i}^{L} + \mathbf{v}_{i}^{LV} + \mathbf{v}_{i}^{VV}, \tag{6}$

as shown in figure 3b. Then \mathbf{e}'_i is passed through the count decoder to produce the crowd count \hat{c}_i .

3.4 Loss function

At the pre-training stage of the image encoder, we use the pairwise ranking hinge loss (L_r) as described in equation 1. Then, to train the KAM and the count decoder, we utilize both \mathcal{L}_1 and \mathcal{L}_2 norm between the estimated and the ground truth count as follows,

$$\mathcal{L} = \frac{1}{|\mathcal{B}|} \sum_{I_i \in \mathcal{B}} \left(\mathcal{L}_1(\hat{c}_i, c_i) + \lambda \, \mathcal{L}_2(\hat{c}_i, c_i) \right)$$

$$= \frac{1}{|\mathcal{B}|} \sum_{I_i \in \mathcal{B}} \left(\|\hat{c}_i - c_i\|_1 + \lambda \, \|\hat{c}_i - c_i\|_2^2 \right), \tag{7}$$

where λ is a hyperparameter that is set equal to 0.01. In equation 7 c_i and \hat{c}_i are the ground truth and estimated count of the I_i input image in the batch \mathcal{B} . The KAM and the count decoder are trained only with the remaining 20% of simulated images that are not used to construct the reference database. Therefore, we readily have c_i of each training image, since we know the ground truth for the simulated data.

4 EXPERIMENTAL DETAILS

4.1 IMPLEMENTATION DETAILS

297 We use the Vision Transform (ViT-B/16) (Dosovitskiy et al., 2021) as the image encoder with pre-298 trained weights on ImageNet-21K (Deng et al., 2009) with the hidden dimension size set to 768. 299 The input image size to the image encoder is 224×224 . For the text encoder we use the Sentence 300 Transformer (SentenceT) (Reimers & Gurevych, 2019). The SentenceT architecture has 6 Transformer block layers and outputs a 384 dimensional vector for each sentence. To reconcile the image 301 embeddings with the text embeddings, we project the output of the image encoder from a 768 di-302 mensional vector to a 384 dimensional vector. Moreover, we use an MLP for the count decoder to 303 map the augmented image embeddings to the crowd count following Liang et al. (2022a). 304

We implement our framework with PyTorch (Paszke et al., 2019). All experiments are conducted 305 on 4 NVIDIA RTX A6000 GPUs, and we use a batch size of 32 for pre-training the image encoder 306 and training the KAM. First, the image encoder is trained for 200 epochs with unlabeled real crowd 307 images and simulated crowd images. To pre-train the image encoder with the ranking loss, we use 308 the AdamW optimizer (Loshchilov & Hutter, 2018) with a learning rate of 1e-3 and a weight decay 309 of 0.01 factor and a linear warm-up over ten epochs. We use five ranked crops with a 1:0.75 scaling 310 ratio between consecutive crops on real crowd images following Liu et al. (2018), whereas we use 311 four ranked crops with a 2 : 1 scaling ratio for the simulated crowd images. During pre-training of 312 the image encoder, we perform RandAugment (Cubuk et al., 2020), random horizontal flip, random 313 Gaussian blur, and random color distortions. To train the KAM and the count decoder for generalized crowd-counting, we adopt the same optimizer with a learning rate of 1e-5 and perform training for 314 150 epochs using simulated data. To assess few-shot performance, we fine-tune the image encoder, 315 KAM, and count decoder on real labeled crowd images. 316

317

318 4.2 DATASETS AND METRICS319

For the proposed method, we use the GCC dataset (Wang et al., 2019) to construct the reference
dataset and to train the KAM and the count decoder. We evaluate the proposed method on five
publicly available crowd datasets: JHU-Crowd++ (Sindagi et al., 2020), ShanghaiTech Part A and B
(Zhang et al., 2016), UCF-QNRF (Idrees et al., 2018), and NWPU-Crowd (Wang et al., 2020). The
performance is evaluated with the mean absolute error (MAE) and mean squared error (MSE).

Table 1: Crowd counting performance on JHU-Crowd++, UCF-QNRF, and ShanghaiTech-Part A and B datasets. We compare with other annotator-free methods and missing results are due to unavailable metrics in the corresponding paper. We provide the type of training data used by each method. The data domains are either **Re**al or **Simulated**. Each method uses as **La**beled, **Un**labeled, and **Pseudo** labeled data.

Method	Venue	Training	SHB		JHU		SHA		QNRF	
	(ende	data	MAE	MSE	MAE	MSE	MAE	MSE	MAE	MS
MCNN (Zhang et al., 2016)	CVPR'16	Re La	26.4	41.3	188.9	483.4	110.2	173.2	277.0	426
P2PNet (Song et al., 2021)	ICCV'21	Re La	6.3	9.9	-	-	52.7	85.1	85.3	154
CLTR (Liang et al., 2022b)	ECCV'22	Re La	6.5	10.6	59.5	240.6	56.9	95.2	85.8	14
STEERER (Han et al., 2023)	ICCV'23	Re La	5.8	8.5	54.3	238.3	54.5	56.9	74.3	12
GCC-SFCN (Wang et al., 2019)	CVPR'19	Si La Re Un	19.9	28.3	-	-	123.4	193.4	230.4	38
CSS-CCNN (Babu Sam et al., 2022)	ECCV'22	Re Un	-	-	217.6	651.3	197.3	295.9	437.0	72
CrowdCLIP (Liang et al., 2023)	CVPR'23	Re Ps	69.3	85.8	213.7	576.1	146.1	236.3	283.3	48
SYRAC (D'Alessandro et al., 2023)	arXiv	Re Ps	49.0	60.3	194.0	583.9	196.0	295.2	390.0	69
AFreeCA (D'Alessandro et al., 2024)	ECCV'24	Re Ps	35.0	50.7	173.8	519.4	152.7	219.0	283.1	45
Ours		Si La Re Un	23.0	30.7	142.3	443.6	118.4	186.1	214.9	30

Table 2: Performance on the NWPU-Crowd test dataset. We used the publicly available code bases to evaluate the performance of the annotator-free methods. We provide the type of training data used by each method. The data domains are either **Re**al or **Simulated**. Each method uses as **La**beled, **Un**labeled, and **Ps**eudo labeled data.

Method	Venue	Training	Overall		Scene Level (MAE)					
	data		MAE	MSE	Avg.	S0	S 1	S2	S3	S 4
MCNN (Zhang et al., 2016)	CVPR'16	Re La	232.5	714.6	1171.9	356.0	72.1	103.5	509.5	4818.2
P2PNet (Song et al., 2021)	ICCV'21	Re La	72.6	331.6	510.0	34.7	11.3	31.5	161.0	2311.6
CLTR (Liang et al., 2022b)	ECCV'22	Re La	74.4	333.8	532.4	4.2	7.3	30.3	185.5	2434.8
STEERER (Han et al., 2023)	ICCV'23	Re La	63.7	309.8	410.6	48.3	6.0	25.9	158.3	1814.
CSS-CCNN (Babu Sam et al., 2022)	ECCV'22	Re Un	433.0	868.3	1965.3	368.3	233.7	289.6	689.6	8245.3
CrowdCLIP (Liang et al., 2023)	CVPR'23	Re Ps	374.9	899.4	1646.2	305.7	190.5	237.3	677.2	6820.6
SYRAC (D'Alessandro et al., 2023)	arXiv	Re Ps	344.5	958.5	1540.6	268.5	182.9	215.4	610.8	6425.8
Ours		Si La Re Un	340.1	863.8	1358.0	248.9	153.3	226.4	672.1	5489.3

353 354

341

342

343

344

345

355

356 357

5

5.1 ANNOTATOR-FREE PERFORMANCE

RESULTS AND ANALYSIS

358 As reported in tables 1 and 2, the proposed ReGe-Count surpasses state-of-the-art methods: GCC-359 SFCN, CrowdCLIP, AFreeCA by considerable margins across all evaluated datasets. Moreover, 360 ReGe-Count surpasses state-of-the-art annotator-free methods by considerable margins in terms of 361 MAE for the NWPU-Crowd test dataset. The performance against CSS-CCNN comes from per-362 forming zero-shot on the target distribution under weak supervision, which has been better than 363 self-supervision. Then, actively using language information has aided in surpassing CrowdCLIP, 364 which does not use text information for estimation. The performance across different datasets indicates that the proposed method performs well under different conditions, as these datasets specifi-366 cally represent congested and sparse scenes. Furthermore, we have provided some qualitative results 367 in figure 5 with the individual patch counts to better illustrate the performance of our method. Fur-368 thermore, ReGe-Count method demonstrates highly competitive performance against some widely adopted fully supervised methods like MCNN (Zhang et al., 2016). 369

370371 5.2 ABLATION STUDY

Effectiveness of knowledge retrieval. In figure 4, we provide the top-4 retrieved simulated samples and the corresponding text information for two query images. The first query image is an indoor photo where the individuals are placed in an ordered manner. The first image retrieved by the query resembles the ordered structure in the image, even though the retrieved patch is an outdoor image (the GCC dataset contains only outdoor images.) Though the rest of the samples do not contain the ordered structure, those images mimic other aspects like the orientation of how individuals are placed and size constancy. To quantify the spatial similarity, we considered the density maps.

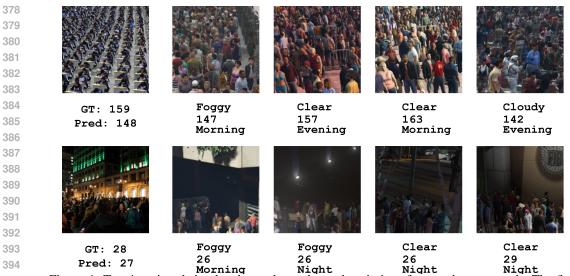


Figure 4: Top-4 retrieved simulated samples and text descriptions for a real query patch. The first query is an indoor image with an ordered placement of people. The retrieved patches resemble the ordered structure of the query, though retrieved images are outdoor. The second query is an outdoor night image. The retrieved samples match the image conditions and the orientation of the location.



Figure 5: Qualitative results from ReGe-Count.

We measured the SSIM of each retrieved image with the query image, where the SSIM values varied between 0.88 and 0.82, which indicates a high spatial similarity of object placement. The second query image is a dark and outdoor sample with random placement. The re-

411 trieved samples for the second query either have a darker background or belong to the night condi-412 tions. Specifically, the first extracted sample also simulates the background of the query image. Like 413 in the first query image, the orientation of human placement is also present in the extracted simulated 414 patches. Also, the SSIM values for the density maps for the second example range from 0.90-0.92, 415 indicating a strong spatial similarity for object placement. However, this high SSIM number could also be driven by the fact that there are fewer people in the second case, and most of the density map 416 is empty. Regardless, figure 4 gives insight as to how retrieving from an external dataset can facili-417 tate the generalized capabilities of the network as the crowd count of the extracted patches provides 418 closer estimates. 419

420 To validate the effectiveness of our proposed

396

397

402

403

404

405

406

407

408

409

410

method for annotator-free crowd counting on 421 the target distribution, we compare ReGe-422 Count with CrowdCLIP, CSS-CCNN, and 423 DGCC (Du et al., 2023). Note that Crowd-424 CLIP is a vision-language-based counting 425 method, CSS-CCNN is a self-supervised 426 counting method, and DGCC is a domain 427 generalization-based counting method. Here, 428 we only consider labeled simulated data and 429 unlabeled real data and follow the training pipeline provided in public codebases. For 430 DGCC, we train the pipeline with only simu-431

Table 3:	Generalized	cross-dataset	performance
comparis	on with simu	lated GCC dat	aset training.

Method	JHU	SHA	QNRF	SHB
DGCC	544.4	351.2	454.8	112.1
CSS-CCNN	234.3	258.8	315.5	77.0
CrowdCLIP	226.7	162.7	325.4	82.9
Ours*	170.2	143.1	223.6	28.6
Ours	142.3	118.4	214.9	23.0

Ours* does not have the retrieval module and count decoder is fine-tuned with labeled synthetic data.

lated data, as DGCC requires labeled data. However, for CrowdCLIP and CSS-CCNN, we pre-train

432 the image encoders using both simulated and real crowd datasets, as the pre-training stage only 433 requires unlabeled images. Also, in the real crowd dataset for pre-training, we don't include the 434 training images of the test distribution in comparison with ReGe-Count training scheme. The 435 results of this ablation are provided in table 3. As observed from table 3, DGCC fails to generalize 436 to real crowd images when purely trained on simulated crowd images. Furthermore, CrowdCLIP and CSS-CCNN performed worse than ReGe-Count by a significant margin. This is because 437 CrowdCLIP operates in the classification scenario instead of our regression-based method. Also, 438 in contrast to our ReGe-Count, CrowdCLIP does not use language understanding to produce the 439 crowd count. Note that CSS-CCNN assumes the crowd counts distribution of patches to follow 440 the power law for simulated images, which might also be invalid. Furthermore, we consider 441 the performance without the KAM in Ours*, which performs worse than the proposed method. 442 We provide an analogy for this observation. In the training stage of Ours*, the network learns 443 multi-modal (vision-language) concepts with the training distribution. Then, given a query image 444 during testing, Ours* attempts to perform a closed-book inference and may return a false prediction 445 if it cannot relate the query (real image) with the learned concepts.

446

447 **Qualitative analysis.** To understand the most influential aspects of the pipeline, especially in the Knowledge Augmentation Module, we consider the attention weights by different augmentations. 448 First, we consider the attention maps (see figure 6) in the KAM module for a test image and the 449 closest retrieved image for different keywords in the text description. In both cases, the maps cor-450 responding to the 'count' keyword have high scores compared to the maps of the other keywords. 451 This indicates that the 'count' text features will highly influence the augmented embeddings passed 452 to the decoder. Further, the attention maps highlight the areas of crowds that exist in the scene for 453 the retrieved image, demonstrating the visual understanding of people with the count. In addition, 454 the 'time of day' keyword has provided some background context in the retrieved scene in the first 455 example, whereas the 'weather' keyword features will have a minimal effect on the augmented em-456 beddings compared to the other two. Also, we consider the attention between the test image's image 457 embeddings and the retrieved description's text embeddings. By averaging and normalizing the at-458 tention weights, we could compute attention scores assigned to each word token. For instance, we considered an image crop of an indoor scene with low illumination. For this example, the attention 459 scores produced for each word token were: The (0.000) image (0.002) has (0.000) a (0.000) clear 460 (0.030) weather (0.000) with (0.000) 52 (0.905) people (0.003) in (0.000) the (0.000) night (0.060). 461 The attention scores are high for the crowd count, time of day, and weather, as these three keywords 462 carry information among different images because the remaining text words are common across all 463 text descriptions. Since both test image and retrieval embeddings are generated from the same en-464 coder, these attention scores highlight which feature maps are more influential due to the way the 465 attention mechanism is developed. 466

Effective use of text modality. We compare the change in performance with different text prompts 467 to demonstrate the effective use of text modality for crowd-counting in ReGe-Count compared to 468 CrowdCLIP. While CrowdCLIP has explored text modality for crowd counting first, the setting pro-469 posed in CrowdCLIP uses text embeddings as reference vectors to train the image encoder rather than using text information to produce the count. For example, when we change the text prompt 470 from "The photo contains [count] people" to "There are [count] people 471 in the photo", the performance of CrowdCLIP changed significantly ($283.3 \rightarrow 488.1$) as op-472 posed to ours (214.9->216.4). Hence, CrowdCLIP has underutilized the potential of text informa-473 tion compared to our work. 474

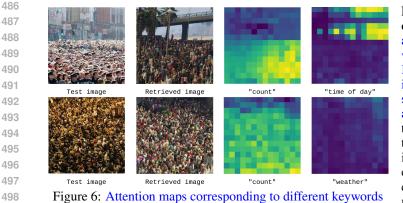
Table 4: Di	fferent augmentations
-------------	-----------------------

Fusion type			K	MAE			
$\overline{\mathbf{v}_i^L}$	\mathbf{v}_i^{LV}	\mathbf{v}_i^{VV}	11	JHU	SHA	QNRF	
X	X	×	-	170.2	143.1	223.6	
1	X	X	32	148.6	123.6	224.4	
1	1	1	32	142.3	118.4	214.9	
1	1	1	16	145.9	121.4	220.4	
✓	1	1	64	143.9	119.7	217.3	

Table 5:	Ablati	on of	keywords
----------	--------	-------	----------

Method	JHU	SHA	QNRF
baseline	142.3	118.4	214.9
count	147.5	117.6	215.8
+ time of day	143.5	118.1	215.1
+ weather	147.8	118.3	216.1

484 485



Different augmentation ar-We conducted chitectures. additional experiments to assess various design options within the KAM architecture, as outlined in table 4 since the KAM is the sole addition to the baseline architecture. For the baseline, the count decoder is trained with the simulated data without any image-text retrieval and knowledge enhancement. Initially, we consider \mathbf{v}_i^L as the ultimate augmented representation. In this scenario, the KAM assimilates

500 relevant information from the reference texts, generating the final embedding. Including textual 501 cues leads to a notable performance improvement compared to the baseline. After that, we augment \mathbf{v}_i^{LV} and \mathbf{v}_i^{VV} , separately. Providing visual cues based on the image-text relation has not seemed 502 effective. Still, it has improved the performance when combined with the remaining augmentations. 503 More details are provided in the supplementary. 504

Different K-value in retrieval. We experiment with different retrieval quantities and their effect 505 on performance. We vary between $K = \{16, 32, 64\}$ for image and text pairs from the external 506 simulated dataset. The results are tabulated from rows 4-6 in table 4. Our module exhibits consistent 507 performance across different K values, with the model achieving slightly superior results when K 508 is set to 32 compared to other configurations. The performance decrease with a higher K value 509 could arise when the count information provided by the least similar retrieved crops is significantly 510 different from the true count.

511 Effect of the text description. The text description adds context to retrieved scenes, but its impact 512 on performance varies. Ablation studies in table 5 show that using all three keywords produces similar results for SHA and QNRF datasets, indicating that additional context keywords do not 513 significantly influence performance. However, for the JHU dataset, the time of day keyword 514 improves performance, unlike the weather keyword, which can be omitted without affecting results. 515 The difference arises because JHU, a larger dataset, includes diverse scene illuminations, while 516 SHA and QNRF primarily feature bright scenes. Thus, the time of day keyword enhances context 517 for JHU by differentiating illumination levels, whereas it has little effect on the other datasets. 518

Few-shot performance. We analyze the few-shot performance of crowd counting with knowledge 519 retrieval. Here, we fine-tune the pre-trained image encoder for a fair comparison with weakly-520 supervised TransCrowd (Liang et al., 2022a). The few shot performance (MAE) of ReGe-Count 521 is tabulated in table 6 for the JHU-Crowd++, ShanghaiTech, and UCF-QNRF datasets. Values 522 reported in table 6 are the average of five realizations for each training data percentage. ReGe-Count 523 delivers state-of-the-art counting results for weakly supervised methods surpassing TransCrowd 524 while operating at 90% of the train data.

525 526

527

499

6 CONCLUSION

528 ReGe-Count introduces novel а 529 knowledge to enhance generalized 530

framework for crowd counting.

transferring language is the first

to

apply knowledge retrieval to improve annotator-531 free crowd-counting accuracy. Notably, ReGe-532 Count achieves state-of-the-art performance in 533 annotator-free crowd counting and addresses the 534 high annotation costs associated with labeling 535 real crowd images. By effectively leveraging 536 large-scale, annotation-free simulated data, our 537 approach underscores the potential of knowledgeenhanced models for crowd counting, paving the 538 way for future research at the intersection of vision and language models.

Table 6: Few-shot and full training performance
with knowledge retrieval.

It

~			
JHU	SHA	QNRF	SHB
95.0	158.3	212.3	22.3
82.7	138.2	183.2	20.3
67.7	107.1	152.9	14.9
55.2	64.8	95.9	9.2
56.8	66.1	97.2	9.3
	95.0 82.7 67.7 55.2	95.0 158.3 82.7 138.2 67.7 107.1 55.2 64.8	95.0 158.3 212.3 82.7 138.2 183.2 67.7 107.1 152.9 55.2 64.8 95.9

540 REFERENCES

551

- Deepak Babu Sam, Abhinav Agarwalla, Jimmy Joseph, Vishwanath A Sindagi, R Venkatesh Babu, and Vishal M Patel. Completely self-supervised crowd counting via distribution matching. In *European Conference on Computer Vision*, pp. 186–204. Springer, 2022.
- Jian Chen, Ruiyi Zhang, Tong Yu, Rohan Sharma, Zhiqiang Xu, Tong Sun, and Changyou Chen.
 Label-retrieval-augmented diffusion models for learning from noisy labels. *Advances in Neural Information Processing Systems*, 36, 2024.
- Ekin D. Cubuk, Barret Zoph, Dandelion Mane, Vijay Vasudevan, and Quoc V. Le. Randaug Ekin D. Cubuk, Barret Zoph, Dandelion Mane, Vijay Vasudevan, and Quoc V. Le. Randaug ment: Practical automated data augmentation with a reduced search space. In *Proceedings of* the IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR), 2020.
- Adriano D'Alessandro, Ali Mahdavi-Amiri, and Ghassan Hamarneh. Syrac: Synthesize, rank, and count. *arXiv preprint arXiv:2310.01662*, 2023.
- Adriano D'Alessandro, Ali Mahdavi-Amiri, and Ghassan Hamarneh. Afreeca: Annotation-free counting for all. *arXiv preprint arXiv:2403.04943*, 2024.
- Jia Deng, Wei Dong, Richard Socher, Li-Jia Li, Kai Li, and Li Fei-Fei. Imagenet: A large-scale hi erarchical image database. In 2009 IEEE conference on computer vision and pattern recognition,
 pp. 248–255. Ieee, 2009.
- Alexey Dosovitskiy, Lucas Beyer, Alexander Kolesnikov, Dirk Weissenborn, Xiaohua Zhai, Thomas
 Unterthiner, Mostafa Dehghani, Matthias Minderer, Georg Heigold, Sylvain Gelly, Jakob Uszko reit, and Neil Houlsby. An image is worth 16x16 words: Transformers for image recognition at
 scale. In *International Conference on Learning Representations*, 2021.
- Zhipeng Du, Jiankang Deng, and Miaojing Shi. Domain-general crowd counting in unseen scenarios. In *Proceedings of the AAAI Conference on Artificial Intelligence*, volume 37, pp. 561–570, 2023.
- Tao Han, Lei Bai, Lingbo Liu, and Wanli Ouyang. Steerer: Resolving scale variations for counting and localization via selective inheritance learning. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*, pp. 21848–21859, 2023.
- Ziniu Hu, Ahmet Iscen, Chen Sun, Zirui Wang, Kai-Wei Chang, Yizhou Sun, Cordelia Schmid, David A Ross, and Alireza Fathi. Reveal: Retrieval-augmented visual-language pre-training with multi-source multimodal knowledge memory. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pp. 23369–23379, 2023.
- Haroon Idrees, Muhmmad Tayyab, Kishan Athrey, Dong Zhang, Somaya Al-Maadeed, Nasir Rajpoot, and Mubarak Shah. Composition loss for counting, density map estimation and localization in dense crowds. In *ECCV*, pp. 532–546, 2018.
- ⁵⁷⁹ Di Kang, Zheng Ma, and Antoni B Chan. Beyond counting: comparisons of density maps for crowd analysis tasks—counting, detection, and tracking. *IEEE Transactions on Circuits and Systems for Video Technology*, 29(5):1408–1422, 2018.
- Patrick Lewis, Ethan Perez, Aleksandra Piktus, Fabio Petroni, Vladimir Karpukhin, Naman Goyal, Heinrich Küttler, Mike Lewis, Wen-tau Yih, Tim Rocktäschel, et al. Retrieval-augmented generation for knowledge-intensive nlp tasks. *Advances in Neural Information Processing Systems*, 33: 9459–9474, 2020.
- Wanhua Li, Xiaoke Huang, Zheng Zhu, Yansong Tang, Xiu Li, Jie Zhou, and Jiwen Lu. Ordinalclip:
 Learning rank prompts for language-guided ordinal regression. *Advances in Neural Information Processing Systems*, 35:35313–35325, 2022.
- Yuhong Li, Xiaofan Zhang, and Deming Chen. Csrnet: Dilated convolutional neural networks for understanding the highly congested scenes. In *CVPR*, pp. 1091–1100, 2018.
- ⁵⁹³ Dingkang Liang, Xiwu Chen, Wei Xu, Yu Zhou, and Xiang Bai. Transcrowd: weakly-supervised crowd counting with transformers. *Science China Information Sciences*, 65(6):1–14, 2022a.

594 Dingkang Liang, Wei Xu, and Xiang Bai. An end-to-end transformer model for crowd localization. 595 In ECCV, 2022b. 596 Dingkang Liang, Jiahao Xie, Zhikang Zou, Xiaoqing Ye, Wei Xu, and Xiang Bai. Crowdclip: Unsu-597 pervised crowd counting via vision-language model. In Proceedings of the IEEE/CVF Conference 598 on Computer Vision and Pattern Recognition, pp. 2893–2903, 2023. 600 Haotian Liu, Kilho Son, Jianwei Yang, Ce Liu, Jianfeng Gao, Yong Jae Lee, and Chunyuan Li. 601 Learning customized visual models with retrieval-augmented knowledge. In Proceedings of the 602 IEEE/CVF Conference on Computer Vision and Pattern Recognition, pp. 15148–15158, 2023. 603 Xialei Liu, Joost Van De Weijer, and Andrew D Bagdanov. Leveraging unlabeled data for crowd 604 counting by learning to rank. In Proceedings of the IEEE conference on computer vision and 605 pattern recognition, pp. 7661–7669, 2018. 606 607 Ilya Loshchilov and Frank Hutter. Fixing weight decay regularization in adam. In International 608 Conference on Learning Representations (ICLR), 2018. 609 Zhiheng Ma, Xing Wei, Xiaopeng Hong, and Yihong Gong. Bayesian loss for crowd count es-610 timation with point supervision. In Proceedings of the IEEE/CVF international conference on 611 computer vision, pp. 6142-6151, 2019. 612 613 Adam Paszke, Sam Gross, Francisco Massa, Adam Lerer, James Bradbury, Gregory Chanan, Trevor 614 Killeen, Zeming Lin, Natalia Gimelshein, Luca Antiga, Alban Desmaison, Andreas Kopf, Edward Yang, Zachary DeVito, Martin Raison, Alykhan Tejani, Sasank Chilamkurthy, Benoit Steiner, 615 Lu Fang, Junjie Bai, and Soumith Chintala. Pytorch: An imperative style, high-performance deep 616 learning library. Advances in Neural Information Processing Systems, 32, 2019. 617 618 Alec Radford, Jong Wook Kim, Chris Hallacy, Aditya Ramesh, Gabriel Goh, Sandhini Agarwal, 619 Girish Sastry, Amanda Askell, Pamela Mishkin, Jack Clark, et al. Learning transferable visual 620 models from natural language supervision. In ICML, pp. 8748–8763. PMLR, 2021. 621 Yasiru Ranasinghe, Nithin Gopalakrishnan Nair, Wele Gedara Chaminda Bandara, and Vishal M 622 Patel. Crowddiff: Multi-hypothesis crowd density estimation using diffusion models. In Pro-623 ceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition, pp. 12809-624 12819, 2024. 625 626 Nils Reimers and Iryna Gurevych. Sentence-bert: Sentence embeddings using siamese bert-627 networks. In Proceedings of the 2019 Conference on Empirical Methods in Natural Language 628 Processing, pp. 3982–3992, 2019. 629 Vishwanath A Sindagi and Vishal M Patel. Cnn-based cascaded multi-task learning of high-level 630 prior and density estimation for crowd counting. In 2017 14th IEEE international conference on 631 advanced video and signal based surveillance (AVSS), pp. 1–6. IEEE, 2017. 632 633 Vishwanath A Sindagi and Vishal M Patel. A survey of recent advances in cnn-based single image 634 crowd counting and density estimation. Pattern Recognition Letters, 107:3–16, 2018. 635 Vishwanath A Sindagi, Rajeev Yasarla, and Vishal M Patel. Jhu-crowd++: Large-scale crowd count-636 ing dataset and a benchmark method. IEEE transactions on pattern analysis and machine intelli-637 gence, pp. 1–1, 2020. 638 639 Qingyu Song, Changan Wang, Zhengkai Jiang, Yabiao Wang, Ying Tai, Chengjie Wang, Jilin Li, Feiyue Huang, and Yang Wu. Rethinking counting and localization in crowds: A purely point-640 based framework. In ICCV, pp. 3365-3374, 2021. 641 642 Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, 643 Łukasz Kaiser, and Illia Polosukhin. Attention is all you need. Advances in neural informa-644 tion processing systems, 30, 2017. 645 Qi Wang, Junyu Gao, Wei Lin, and Yuan Yuan. Learning from synthetic data for crowd counting 646 in the wild. In Proceedings of IEEE Conference on Computer Vision and Pattern Recognition 647 (CVPR), pp. 8198–8207, 2019.

648 649 650	Qi Wang, Junyu Gao, Wei Lin, and Xuelong Li. Nwpu-crowd: A large-scale benchmark for crowd counting and localization. <i>IEEE transactions on pattern analysis and machine intelligence</i> , 43 (6):2141–2149, 2020.
651 652 653 654	Chen-Wei Xie, Siyang Sun, Xiong Xiong, Yun Zheng, Deli Zhao, and Jingren Zhou. Ra-clip: Retrieval augmented contrastive language-image pre-training. In <i>Proceedings of the IEEE/CVF</i> <i>Conference on Computer Vision and Pattern Recognition</i> , pp. 19265–19274, 2023.
655 656	Hsiang-Fu Yu, Cho-Jui Hsieh, Qi Lei, and Inderjit S Dhillon. A greedy approach for budgeted maximum inner product search. <i>Advances in neural information processing systems</i> , 30, 2017.
657 658 659	Yingying Zhang, Desen Zhou, Siqin Chen, Shenghua Gao, and Yi Ma. Single-image crowd counting via multi-column convolutional neural network. In <i>CVPR</i> , pp. 589–597, 2016.
660 661	
662	
663	
664	
665	
666	
667	
668	
669	
670	
671	
672	
673	
674 675	
676	
677	
678	
679	
680	
681	
682	
683	
684	
685	
686	
687	
688	
689	
690	
691	
692	
693	
694	
695	
696	
697 609	
698 699	
700	
701	

702 APPENDIX 703 This appendix is organized as follows. 704 705 • In section A, we illustrate the simulated image embedding retrieval process, including the inter-706 mediate processes. 707 • In section **B**, we provide results and explanations for additional ablation studies. 708 709 • In section C, examples of the retrieved simulated samples in the case of negative samples and 710 congested scenes.

- In section D, we compare the inference performance of the proposed method with other annotatorfree crowd counting methods.
- In section E, we provide details of the datasets and metrics we used.
- In section **F**, the computational efficiency of the image retrieval process is analyzed.
- In section **G**, the computational cost and inference performance against counting performance are discussed.
 - In section H, we provide a theoretical explanation for the improvement from the knowledge augmentation.
 - A IMAGE RETRIEVAL PROCESS

711

712

713

714 715

716

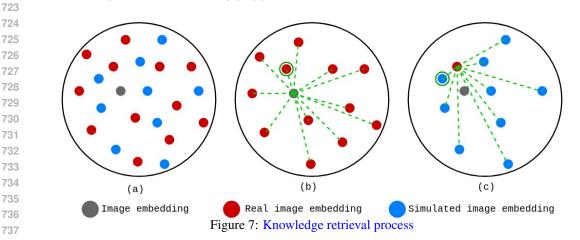
717

718 719

720

721 722

738



In this section, we elaborate on the knowledge retrieval process described in section 3.2 using il-739 lustrations. In knowledge retrieval, we extract K image-text pairs for an input image I_i . First, we 740 get the image embeddings \mathbf{e}_i of I_i using the pre-trained image encoder (Ψ). Since \mathbf{e}_i is produced 741 from Ψ and Ψ is trained using real images and simulated images, we assume \mathbf{e}_i lies on the same 742 embeddings space as the image embeddings of the real and simulated images. This is demonstrated 743 in figure 7a where the gray color image embedding is in the same manifold as the red color real im-744 age embeddings and blue color simulated image embeddings. Next, we perform the maximum inner 745 product search (MIPS) with the image embeddings of the real crowd image crops in the reference 746 database.

⁷⁴⁷ In figure 7b, we demonstrate the retrieval of the closest embedding. In MIPS, first, we compute the ⁷⁴⁸ distance between \mathbf{e}_i and real image embeddings under the vector inner product. Then, we find the ⁷⁴⁹ real image embedding closest to or the most similar to \mathbf{e}_i . Then, we perform MIPS between the ⁷⁵⁰ selected real image embedding and the simulated image embeddings. In figure 7c, we demonstrate ⁷⁵¹ the retrieval of the closest simulated embedding.

Furthermore, in figure 8, we provide an illustration of the two-stage retrieval process with examples
for the 2-nearest neighbors. First, the input image embedding will perform MIPS to find the closest
embeddings from the real image dataset. Then, for each real image embedding (outlined in red),
MIPS will find the closest embeddings from the simulated image dataset. These simulated image
embeddings (outlined in blue) are passed to the KAM for knowledge augmentation.



Figure 8: Two-stage retrieval with examples with 2-nearest neighbors. First, we find the nearest real image embeddings (outlined in red). Then, for each real image embedding we find the nearest simulated image embeddings (outlined in blue).

B ADDITIONAL ABLATION STUDIES

781
 782
 783
 783
 784
 784
 785
 786
 786
 787
 788
 788
 789
 789
 780
 780
 781
 781
 781
 781
 781
 782
 783
 784
 784
 785
 786
 786
 787
 788
 788
 789
 789
 780
 781
 781
 781
 781
 781
 784
 785
 786
 787
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788
 788

The performance gain by each augmentation type is provided for only \mathbf{v}_i^L in table 4. Therefore, to understand which augmentation types improve the performance, we provide the counting per-formance for each augmentation type and their combinations compared against the baseline per-formance in the table 7. The most performance gain has come from the components \mathbf{v}_i^L and \mathbf{v}_i^{VV} compared to the baseline method. The two augmentations deliver text information and visual infor-mation, respectively, but the cross-attention is taken between the image embeddings and retrieved patch embeddings. However, the performance gain from \mathbf{v}_i^{LV} is marginal compared to the other two augmentations where the cross-attention is taken between the image and retrieved text embeddings.

Fusion type		K	MAE				
$\overline{\mathbf{v}_i^L}$	\mathbf{v}_i^{LV}	\mathbf{v}_i^{VV}		JHU	SHA	QNRF	
X	X	X	-	170.2	143.1	223.6	
1	X	X	32	148.6	123.6	215.4	
X	1	X	32	152.8	128.3	219.8	
X	X	1	32	145.3	125.8	216.5	
1	1	X	32	149.3	122.3	221.7	
1	X	1	32	142.8	118.8	215.7	
1	1	1	32	142.3	118.4	214.9	

Table 7: Detailed ablation of different augmentations

Different retrieval processes. We consider the effect of not using real crowd images in the re trieval process and directly retrieving from the simulated dataset. However, the image encoder is
 pre-trained with real crowd images in the mix. When directly retrieving from the simulated dataset,
 we observed an MAE of 243.8 for JHU-Crowd++, which is poorer than the CrowdCLIP and CSS CCNN performances. This is because, even though the image encoder is trained to embed real and simulated images in the same space, the training of the KAM and the decoder disregards the domain

810 gap between real and simulated images.
811 Different emount of reference date. W

Different amount of reference data. We evaluate the effect of the reference set size on the performance for five cardinalities by randomly sampling 10%, 25%, 50%, 75%, and 80% image-text pairs from GCC dataset. The ablation study recorded an average MAE of 224.2, 210.6, 174.0, 144.7, and 142.8, respectively, on JHU-Crowd++ for five trials. The performance was higher for larger reference set sizes. This is because in larger reference databases, for a given test image crop, a positive simulated crop is closer than in smaller databases, providing more accurate information retrieval.

C QUALITATIVE RESULTS



Figure 9: Retrieved synthetic crops for a negative sample (top row) and congested sample (bottom row).

We provide qualitative results to demonstrate the performance of the retrieval process in the proposed method in figure 9. In the first row, we have a negative test image. Most of the retrieved test images for the negative sample had zero crowd counts and had similar backgrounds. Nonetheless, some retrieved patches had smaller counts (< 4) where the background was similar to the test image. In the second row, we have a congested test image. The retrieved patches for the congested scene are of similar crowd density patterns, even though most of the images do not fill up the entire image. This validates the idea that the retriever searches for simulated images that resemble the crowd density pattern of the test image, as first mentioned in section 5.2 with figure 4.

D INFERENCE SPEED

847 We present a comparison of inference speeds, as outlined in table 8. The runtime of our proposed 848 annotator-free method is significantly higher than other annotator-free methods, such as CrowdCLIP 849 and CSS-CCNN. CrowdCLIP gives an interval of FPS values as it uses progressive filtering for 850 crowd patches with people, whereas the proposed work only has one forward pass through the 851 image encoder. Then, CSS-CCNN utilizes a larger decoder to estimate the density map to predict 852 the count, whereas we only use a linear layer to estimate the count directly. Additionally, the use of a vector database to retrieve samples improves inference time as the retrieval operation is simply 853 the vector inner product. Notably, fully supervised methods necessitate maintaining high-resolution 854 features to produce quality density maps. For instance, in CSRNet Li et al. (2018), features are 855 1/8 the size of the input, while in BL Ma et al. (2019), they are 1/16 the size, resulting in slower 856 inference speeds. 857

858 859

817 818

835

836 837

838

839

840

841

842

843

844

845 846

E DATASETS

JHU-Crowd++Sindagi et al. (2020) contains 2,722 training images, 500 validation images, and
 1,600 testing images, collected from diverse scenarios. The total number of people in each image
 ranges from 0 to 25,791.

ShanghaiTechZhang et al. (2016) contains 1, 198 crowd images with 330, 165 annotations. The images of the dataset are divided into two parts: Part A and Part B. In particular, Part A contains 300

Table 8: The comparisons of Frames Per Second (FPS) between our method and other methods. The
 results are conducted on an NVIDIA A6000 GPU

Method	Annotated data	Label	Resolution	FPS
CSRNetLi et al. (2018)	Real	density	1024×768	18.4
BL Ma et al. (2019)	Real	density	1024×768	21.3
CSS-CCNN Babu Sam et al. (2022)	×	×	1024×768	37.4
CrowdCLIP Liang et al. (2023)	Real	count text	1024×768	[24.0, 50.8]
Ours	Synthetic	count	1024×768	42.8

⁸⁷³

892

893 894

895

training images and 182 testing images, and Part B consists of 400 training images and 316 testingimages.

876 UCF-QNRFIdrees et al. (2018) contains 1,535 images captured from unconstrained crowd scenes
877 with about one million annotations. It has a count range of 49 to 12,865, with an average count
878 of 815.4. Specifically, the training set consists of 1,201 images and the testing set consists of 334
879 images.

NWPU-CrowdWang et al. (2020), a large-scale and challenging dataset, consists of 5, 109 images,
 2, 133, 375 instances annotated elaborately. To be specific, the images are randomly split into three
 parts, including training, validation, and testing sets, which contain 3, 109, 500, and 1, 500 images,
 respectively.

GCCWang et al. (2019) dataset consists of 15, 212 images, with a resolution of 1080×1920, containing 7, 625, 843 persons. Compared with the existing datasets, GCC is a larger-scale crowd counting dataset in terms of both the number of images and the number of persons.

Metrics we used for evaluate the counting performance were MAE and MSE as defined below:

$$MAE = \sum_{n=1}^{N} \frac{1}{N} |c_n - \hat{c}_n| \text{ and } MSE = \sqrt{\sum_{n=1}^{N} \frac{1}{N} |c_n - \hat{c}_n|^2},$$
(8)

where c_n and \hat{c}_n are the groundtruth and predicted crowd count of the n^{th} image out the the N images tested.

F EFFICIENCY ANALYSIS

For the retrieval process, we use the naive maximum inner product search. This involves computing
the similarity between image embeddings and crop embeddings in the reference database and sorting
the similarity scores to find the closest neighbors.

Suppose the reference database is of size N, the embedding dimensionality is of size d, and we need to find the nearest k neighbors. Then, the computational efficacy of the whole process is $O(N \cdot d + N \cdot \log k)$. Accordingly, as the retrieval space scales, the time it takes for the retrieval process will increase. However, for larger reference databases, using approximation methods such as the k-d tree, the computational complexity can be reduced to $O(\log N)$ for smaller dimensional sizes, but still, the time consumed will increase with the size of the reference database.

904 905 906

G COMPUTATIONAL COST AND COMPLEXITY

We provide a comparison for the inference speed in table 8 in supplementary material. However, we will itemize the inference time and the computational complexity for the model with and without the KAM, along with the accuracy. For the proposed method, the inference time and computational complexity are influenced by three components: Image encoder and count decoder, knowledge retrieval process, and KAM. We tabulate the computational complexity in the following table.

912 The MAE performance for the JHU public dataset is given in the table 9. The baseline corresponds 913 to the network without the KAM and the minimum model latency without the proposed improve-914 ments. The MIPS corresponds to the retrieval process with the inner product search to find the 16 915 nearest neighbors for a given image embedding. In table 9, GFLOPS measures the rate at which a 916 computing system can execute floating-point operations. This rate is influenced by the number of 917 retrieved data we feed into the KAM module. Which is why the GLOPS is higher than the baseline. 918 However, for a single retrieved image-text pair, the GLOPS is 4.368. As a fellow transformer-based

Table 9: Computational efficiency of the architecture

GFLOPS	Time (ms)	MAE
70.564	8.55	170.2
-	3.51	-
151.196	18.32	142.3
	70.564	70.564 8.55 - 3.51

model, crowd clip has a higher number of transformer modules compared to our architecture since CrowdCLIP uses two ViT-B/16 for visual encoding in addition to the transformer-based text encoder.

H THEORETICAL ANALYSIS

To explain the contribution of knowledge augmentation to improving zero-shot crowd-counting, we use a probabilistic approach.

The goal is to predict the crowd-count c_i for the target embedding \mathbf{e}_i . Using a probabilistic framework, the prediction can be expressed as:

 $P_{source}(c_i|\mathbf{e}_i) = P_{source}(c_i|\mathbf{e}'_i),$

938 where the augmented embedding is:

 $\mathbf{e}_{i}^{'} = \mathbf{e}_{i} + \mathbf{v}_{i}^{L} + \mathbf{v}_{i}^{LV} + \mathbf{v}_{i}^{VV}.$

941 Using Bayes' rule, we can rewrite the probability as follows:

$$P_{source}(c_i | \mathbf{e}_i) \propto P(\mathbf{e}_i | c_i) P_{source}(c_i),$$

where $P(\mathbf{e}'_i|c_i)$ and $P(c_i)$ denote the likelihood of the augmented embedding given the count and the prior probability of the count derived from the source distribution.

946 Then, the likelihood can be decomposed as

$$P(\mathbf{e}_i'|c_i) \propto P(\mathbf{e}_i|c_i) \prod_n P(\mathbf{v}_i^n|c_i)$$

where \mathbf{v}_i^n is each individual augmentation type from the KAM. However, each individual augmentation is computed from the KAM using the retrieved embeddings from the reference database. Therefore, the likelihood can be updated as:

$$P(\mathbf{e}_{i}^{'}|c_{i}) \propto P(\mathbf{e}_{i}|c_{i}) \prod_{n} \prod_{k=1}^{K} P(r_{ik}^{n}|c_{i})$$

where r_{ik}^n denotes the retrieved embedding augmented with multi-head attention (MHA), and k is the index of the retrieved embedding. Each \mathbf{v}_i^n thus encodes the aggregated likelihood information from its corresponding patches, ensuring that \mathbf{e}_{aug} effectively aligns with the count c_i as MHA behaves as a projection of the query embedding to the key embedding. Consequently, the retrieved embeddings \mathbf{v}_i^n encode domain-specific patterns, improving the likelihood estimation.

960 Without the retrieved embeddings, the likelihood distribution will only depend on \mathbf{e}_i , and as augmentations are introduced, the likelihood distribution is influenced by the source domain information. The influence of the source likelihood increases with the number of retrieved embeddings. In return, the posterior distribution $P_{source}(c_i|\mathbf{e}'_i)$ becomes a sharper posterior distribution. As the posterior distribution becomes sharper, the uncertainty involved with the prediction reduces, improving the prediction accuracy.