DEEP PROGRESSIVE SEARCH FOR ELECTROMAGNETIC STRUCTURE DESIGN UNDER LIMITED EVALUATION BUDGETS

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Abstract

Electromagnetic structure (EMS) design aims to optimize a material distribution, *e.g.*, metals over a printed circuit board, which is crucial for antenna and metamaterial. This task, however, is inherently a highly non-convex problem with no explicit objective function, making it extremely challenging to solve. The most common approach to addressing this problem relies on evolutionary algorithms (e.g., Genetic Algorithm), where candidate structures are evaluated through electromagnetic simulation using specialized software. However, these methods struggle with inefficiency, especially when dealing with large structural design space and time-consuming simulations. To address this, we propose a Deep Progressive Search method called DPS, which leverages a Deep Neural Network (DNN) as a surrogate model to identify a satisfactory structure within a limited simulation budget. Specifically, we develop a *tree-search-based design space control* strategy that models the design space as a tree and incrementally refines it through node expansions, enabling adaptive exploration of more complex regions while leveraging insights from simpler subspaces. Moreover, we introduce a *consistency-based* sample selection strategy to balance exploration and exploitation. Experiments on two real-world engineering tasks, *i.e.*, Dual-layer Frequency Selective Surface and High-gain Antenna show the effectiveness of the proposed DPS in terms of efficiency under limited evaluation budgets.

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1 INTRODUCTION

Electromagnetic structure (EMS) is designed to interact with electromagnetic waves, which is
crucial for various domains, ranging from telecommunications to 5G antennas, including frequencyselective surface (Zhu et al., 2022), metamaterials (Chen et al., 2023; Deng et al., 2021), photonic
crystals (Peurifoy et al., 2018), and circuit (Cheng et al., 2022; Shahane et al., 2023). Despite its
broad range of applications, EMS design is inherently challenging due to its non-convex nature and
the lack of an explicit objective function. In these cases, optimization problems typically resort to
evolutionary algorithms, like Genetic Algorithms, which can explore the solution space without
relying on gradient information. However, such algorithms are still inefficient in solving this problem
due to two major challenges.

One of the primary challenges in EMS design is the vast problem space. EMS optimization involves 043 an enormous design space with b^d possible candidate solutions, e.g., for a 12×24 grid with each 044 position having only two states (metal or empty), where b = 2, d = 288, leading to $2^{288} \approx 10^{86}$ kinds of possibilities. This vast problem space makes it extremely challenging for both human experts 046 and algorithms to efficiently learn and identify effective patterns. The second major challenge is the 047 Costly Evaluation. Assessing EMS designs necessitates real-time simulations that are computationally 048 expensive, typically involving the solution of complex partial differential equations (PDEs), which cannot be substituted by simpler analytical methods (Koziel & Ogurtsov, 2014). The time required for simulating a single design ranges from 660 seconds to 42,780 seconds (see Table 1), according to 051 a technical report from Inceptra¹. This substantial time investment makes it infeasible to evaluate a 052 large number of candidate designs through trial and error. Consequently, the development of efficient

¹https://www.inceptra.com/how-computer-hardware-impacts-cst-electromagnetic-simulation-speed/



Figure 1: Illustration of the EMS design workflow. Subfigure (a) shows the overall workflow (Forrester et al., 2008), while Subfigures (b) and (c) depict its **Optimizer**, with (b) representing the traditional method and (c) ous.

sample selection strategies becomes essential, as they can significantly reduce the number of samples that need to be evaluated, thus lowering computational costs and speeding up the design process.

Recent advanced methods have attempted to solve the EMS design tasks, which can be broadly 071 divided into two categories. For instance, predictor-based methods (Koziel et al., 2022; Jing et al., 072 2022) train a DNN-based predictor to replace traditional time-consuming evaluation processes and 073 use it for sample selection; conditional generative models based methods (Brookes et al., 2019; 074 Gao et al., 2023) train a generator to craft design schemes aligning with predetermined performance 075 criteria. Unfortunately, these methods face the difficulty of high data-collection costs since they 076 merely shift the time cost from the evaluation phase to the collection of the training data, rendering 077 them of limited practical utility. Specifically, to create high-quality DNN models within a large design 078 space, they often necessitate substantial evaluation costs to simulate enormous structures as training 079 data. For example, Wang et al. (2023) use $10 \sim 20$ thousand data and Majorel et al. (2022) employ $20 \sim 2,000$ thousand for training, meaning an immense time investment in the data collection phase ranging from two months to thirty years. This reliance on vast amounts of training data makes these 081 methods impractical in real-world scenarios, where computational resources and time are limited. 082 Therefore, reducing the evaluation costs is critical for the EMS design task. 083

084 To address these challenges, we propose a Deep Progressive Search (DPS) that focuses on design 085 space management and sample selection. DPS introduces a Tree-Search-based Design Space **Control** (TSS) strategy, which models the design space as a tree and dynamically refines it by expanding and adjusting nodes, enabling efficient discovery of satisfactory designs within a compact search area. Coupled with the TSS, we incorporate an Consistency-based Sample Selection (CSS) 088 strategy to optimize the sample evaluation process. Recognizing the challenges of unreliable model 089 predictions, especially few-shot settings, CSS strategy dynamically adjusts the selection criteria based 090 on the consistency of model outputs over time. This approach ensures that candidates with initially 091 lower predicted performance are not prematurely discarded, reducing the risk of overlooking truly 092 potential designs. By balancing exploration and exploitation, CSS enhances search efficiency and provides a more thorough evaluation of the design space. Together, these two strategies minimize the 094 data collection and evaluation costs typically associated with EMS design, while accelerating the discovery of high-quality designs, even within the constraints of a vast and complex design space. 096

Our contributions are summarized as follows:

• A **Deep Progressive Search** (DPS) paradigm for efficient EMS design. We propose DPS method to reduce the high data dependency and computational costs in EMS design by optimizing both search efficiency and resource usage. By refining the exploration within a compact search space, our method significantly reduces the need for extensive simulations and large training datasets. Empirical results show that DPS not only finds high-performance solutions but also lowers evaluation costs, making it practical for large-scale EMS tasks with limited resources.

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A Tree-Search-based Design Space Control (TSS) strategy for progressive design exploration.
 Our TSS models the design space as a dynamically evolving tree. By progressively expanding and refining nodes, TSS directs the search toward more promising areas, enabling the efficient discovery of satisfactory designs. This strategy accelerates exploration and enhances the predictor's

| 109 | Field | Complexity | Evaluation Method |
|-----|---|---------------------|----------------------|
| 110 | DNA Sequence (Barrera et al., 2016) | $10^4 \sim 10^6$ | Dataset |
| 111 | Drug Discovery (Gaulton et al., 2012) | 10^{6} | Dataset |
| 112 | NAS (Siems et al., 2021; Dong & Yang, 2020) | $10^4 \sim 10^{18}$ | Dataset or Surrogate |
| 113 | EMS Design(ours) | $10^{86}; 10^{90}$ | Costly Simulation |
| 114 | | | |

Table 1: Comparison of different structure design tasks.

generalization across various design regions. Experiments confirm that TSS significantly reduces evaluation costs while guiding the search toward high-quality designs.

• A **Consistency-based Sample Selection** (CSS) mechanism to enhance search reliability and efficiency. Our DPS incorporates a CSS that dynamically adjusts the reliance on model predictions based on their consistency, particularly in few-shot settings. By ensuring that seemingly suboptimal candidates are not prematurely discarded, CSS maintains a balance between exploration and exploitation. This strategy reduces the interference caused by inaccurate model prediction during the search process, accelerating the identification of high-quality solutions from candidate alternatives.

2 Related Work

2.1 ELECTROMAGNETIC STRUCTURE DESIGN

129 Advanced technique are increasingly applied to designing electromagnetic structures, notably in 130 optimizing designs like Frequency Selective Surfaces (FSS) using surrogate models with various 131 algorithms (Naseri et al., 2022; Jia et al., 2023; Zheng et al., 2023). However, creating accurate models in enormous design spaces poses challenges. Researchers have introduced methods like 132 Knowledge-Based Domain-Constrained Deep Learning Surrogates (Koziel et al., 2022), which restrict 133 the model's domain to relevant parameter regions, and MLAO-AGD (Wu et al., 2024), which updates 134 models dynamically during searches. Additionally, generative models like cGAN (An et al., 2021) 135 and cVAE (Lin et al., 2022) facilitate inverse design by generating compliant structures. Techniques 136 combining generative models with heuristic algorithms, such as using VAE (Koziel et al., 2022) and 137 Particle Swarm Optimization, enhance design stability. Moreover, Yin et al. (2024) and Yin et al. 138 (2023) apply Monte Carlo tree search to optimize the design of wireless power transfer systems and 139 inductors for improved efficiency and performance. However, these approaches require large datasets 140 for high-quality models, leading to increased simulation costs.

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2.2 ANALOGOUS STRUCTURE DESIGN

144 In addition to electromagnetic structure design, data-driven techniques are making significant strides 145 in various other fields(Mirhoseini et al., 2021; Sammut et al., 2022). Notably, within the domain of 146 protein structure prediction, a three-track network (Baek et al., 2021) has been devised by researchers to offer valuable insights into the functions of proteins with currently unknown structures. Moreover, 147 AlphaFold (Jumper et al., 2021) merges physical and biological knowledge into protein structure by 148 leveraging multiple sequence alignments within the framework of its deep learning algorithm. In 149 drug discovery, neural networks predict antibacterial molecules (Stokes et al., 2020), with DrugGPS 150 (Zhang & Liu, 2023) enhancing design through a motif-based 3D generation approach. GFlowNets 151 (Madan et al., 2023) excel in generating diverse sequences efficiently, even in sparse or long-action 152 environments. In chip design, RL-based models (Cheng et al., 2022; Lai et al., 2022) optimize macro 153 placement. Notably, fields like drug discovery often rely on offline data for surrogate models, whereas 154 tasks like neural network architecture search (Liu et al., 2018) and electromagnetic design benefit 155 from real-time evaluation, supporting an online optimization framework that continuously updates 156 models during design.

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3 PROBLEM FORMULATION

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161 In this paper, we focus on optimizing the design of EMS under limited computational resources. To formulate this problem, we first give some necessary definitions.

| 162 | Algorithm 1 General scheme of DPS for EMS. | Algorithm 2 EMS Optimization with TSS. |
|-----|---|---|
| 163 | Require: Initial dataset D_0 , maximum of simula- | Require: Maximum of leaf nodes N_{max} , predictor f_{θ} , |
| 16/ | tion runs T_{max} , maximum of tree nodes N_{max} . | size K of Top-K, Maximum iterations M . |
| 107 | Dataset $D \leftarrow D_0$. | Initialize the root node n_{root} , leaf node set L and |
| 165 | Current runs of simulation $T \leftarrow \text{length}(D_0)$. | Top-K list. |
| 166 | while $T \leq T_{\max} \mathbf{do}$ | for each $i \in [1, M]$ do |
| 167 | Train initial predictor f_{θ} . | while $ L < N_{\max}$ do |
| 168 | Conduct optimization under Tree-Search-based | Randomly select a leaf node n from L . |
| 160 | Design Space Control in algorithm 2. | Resample node state $s_n \sim \text{Uniform}(\{0,1\})$ or |
| 109 | Conduct Consistency-based Sample Selection | split the node based on Eqn. (4). |
| 170 | based on Eqn. (10). | Reconstruct the design matrix x based on |
| 171 | Conduct Simulation and obtain feedback | Eqn. (5) and evaluate $O(f_{\theta}(\mathbf{x}))$. |
| 172 | $\{(x,y)\}.$ | Update the Top-K list. |
| 173 | Add $\{(x, y)\}$ to dataset D. | end while |
| 17/ | Update predictor f_{θ} using D. | end for |
| 177 | Update Current runs of simulation T . | Conduct Depth-wise Importance Assignment based |
| 1/5 | end while | on Eqn. (7). |
| 176 | return The satisfactory solutions from \mathcal{D} . | return The Top-K best designs $\{\mathbf{x}_k^*\}_{k=1}^K$. |

Design Space: We denote a design parameter space as $\mathcal{X} \subseteq \{0, 1\}^{m \times n}$, where each sample $\mathbf{x} \in \mathcal{X}$ has an element x_{ij} indicating whether some specific material is utilized in the area at the *i*-th row and *j*-th column of the electromagnetic structure.

Performance Evaluation: The evaluation of electromagnetic structures often involves complex electromagnetic field behaviors, involving the solution to Maxwell's equations (Bondeson et al., 2012), where this process is hard to solve analytically. To remedy this, the evaluation is usually done numerically using simulation software. We denote the simulation process as a function S to map x from the design parameters to a p-dimensional vector, *i.e.*, $S(\mathbf{x}) = (S_1(\mathbf{x}), \dots, S_k(\mathbf{x}), \dots, S_p(\mathbf{x}))$, where each $S_k(\mathbf{x})$ represent a performance criterion corresponding to a specific performance characteristic of the electromagnetic structure. By simulating a set of sample points $\{\mathbf{x}_i\}$, we obtain a dataset $\{(\mathbf{x}_i, \mathbf{y}_i)\}$, where $\mathbf{y}_i = S(\mathbf{x}_i)$.

Optimization Formulation: The aim of solving EMS design problem is to maximize the performance of EMS design under limited evaluation budget, which can be characterized as a non-convex non-differentiable optimization problem. The objective function O is employed to integrate multiple performance criterion, defined as $O : \mathbb{R}^p \to \mathbb{R}$, employing a linear weighted sum method, i.e., $O(S(\mathbf{x})) = \sum_{k=1}^{p} w_k S_k(\mathbf{x})$, where w_k represents the weight of the k-th performance indicator. Consequently, the optimization problem for the design of electromagnetic structures is formulated as:

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 $\max_{\mathbf{x}\in\mathcal{X}} O(S(\mathbf{x})) = \sum_{k=1}^{p} w_k S_k(\mathbf{x}), \text{ s.t. } T \le T_{\max},$ (1)

where T represents the number of simulations performed to evaluate the candidate solutions, and T_{max} is the budget of simulations. This constraint ensures that the optimization process remains feasible within the computational resources and time limits available, as extensive simulations can be both time-consuming and costly.

To reduce the simulations when optimizing (1), it is common to introduce a predictor f_{θ} , defined by parameters θ , which can approximate the simulation result $S(\mathbf{x})$. This optimization problem can thus be approximated as the following equation to accelerate the optimization process:

$$\max_{\mathbf{x}\in\mathcal{X}} O(f_{\theta}(\mathbf{x})).$$
(2)

Usually, since deep neural networks like can achieve a speedup of over 25,000 times compared to traditional simulation software (*e.g.*, 30ms vs 660s), evaluating numerous designs and selecting solutions becomes highly efficient. However, due to approximation errors, these candidates must undergo validation through high-fidelity simulations before being confirmed as satisfactory designs.

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4 PROPOSED METHODS

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In this paper, we propose a Deep Progressive Search (DPS) method, which aims to find satisfactory solutions for EMS design within the constraints of limited computational resources. We achieve

Initial

Sampling

(a) Tree-search-based

Design Space Control

 $\{x\}$

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Goal

(1) Objective y*

(2) Computational

Budget Tma



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Stage 2: Depth-wise Stage 1: Expand Search Space until n = NImportance Assignment Ê 2000 000 R_t *** Predictor **B** Step 6 Step 1 Step 2 Step 3 Step 4 Step 5 n =7 n = 10Depth = 1 Depth = 2 n = 4 R_t Mixed Selection Perform dictor Rt-Ranking Predicto Candidate Training Solution (b) Consistency-based Sample Selection. (a) Tree-search-based Design Space Control. Figure 2: An illustration of the proposed DPS. The top section presents the complete flowchart of the

Expand Dataset with new $\{x, y\}$

Increasing N

(b) Consistency-based

Sample Selection

 $\{x\}$

Server

Simulation

No

Objective Satisfied /

Budget exhausted?

 $\{x, y\}$

Yes

Deliver

 ${x, y}$

233 algorithm. Given an expected objective y^* and a computational budget T_{max} , a Tree-search-based 234 Design Space Control obtains candidate designs $\{x\}$ within dynamical design space. Then, our 235 Consistency-based Sample Selection exploit the consistency of the prediction to choose reliable 236 designs for simulation. When simulated samples $\{x, y\}$ come, we determine whether to continue 237 search with an expanded dataset. The lower sections provide details of specific modules: (a) our Tree-238 search-based Design Space Control is conducted through two stages, first performing a hierarchical 239 tree search strategy space and then refining the designs through Depth-wise Importance Assignment; (b) Consistency-based Sample Selection uses the model's temporal prediction consistency to decide 240 whether to adopt a conservative or greedy strategy. 241

242 this by focusing on reducing the size of design space and minimizing the ineffective utilization of 243 knowledge due to the subpar performance of predictors. As shown in Figure 2, our DPS consists 244 of two parts. 1) Tree-Search-based Design Space Control (c.f. Section 4.1) aims to enhance the 245 management of design space. It models the design space as a controlled search tree, allowing the 246 model to start learning in a simple space and progressively expand to more complex spaces. 2) 247 Consistency-based Sample Selection (c.f. Section 4.2) is developed to enable the search process to 248 accommodate a model with weaker performance. This is achieved by assessing the reliability of the model's historical predictions, which in turn guides the degree to which the model's knowledge is 249 applied. The pseudo-code of DPS is summarized in Algorithm 1. 250

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4.1 TREE-SEARCH-BASED DESIGN SPACE CONTROL

Precise management of the design space is critical for EMS design. An excessively large search space can lead to exponential growth in space complexity. Conversely, a design space that is too small limit the optimizer's ability to find satisfactory solutions. To address this challenge, we propose a method called Tree-Search-based Design Space Control (TSS). TSS consists of a Quadtree-based EMS design representation module, which manages varying resolutions across different regions through recursive subdivision, and a design space tree search module, which progressively refines the design space for efficient search and optimization. The pseudo-code of TSS is summarized in Algorithm 2.

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Representation of EMS Design Based on Quadtree. Traditional pixel matrices apply a uniform resolution across all regions, which leads to significant redundancy when processing large regions of uniform values. Our idea thus starts from allowing for varying resolutions across different regions. To implement this, we employ a quadtree to manage and subdivide these regions. The quadtree allows simple regions to be represented by leaf nodes, while more complex regions undergo further subdivision by expanding the leaf nodes, enabling finer resolution, reducing redundancy, and ultimately enhancing representation efficiency.

Specifically, our quadtree Q is a recursive structure used to provide a simplified representation for EMS design. Each node n in Q corresponds to a subregion of the matrix and holds values that record

270 the row *i* and column *j* ranges of the region: 271

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 $r_n^{\text{start}} \le i \le r_n^{\text{end}}$, $c_n^{\text{start}} \le j \le c_n^{\text{end}}$, (3) where r_n^{start} and r_n^{end} represent the starting and ending rows, and c_n^{start} and c_n^{end} represent the starting 273 and ending columns of the subregion. Each leaf node is a terminal node that can represents a fixed 274 subregion of the matrix. Each leaf node has a additional value $s_n \in \{0, 1\}$, which indicates whether 275 the corresponding subregion is entirely 0 or 1. By using this value, leaf nodes efficiently simplify 276 the representation of matrix subregions without needing to store individual matrix elements. A leaf 277 node can further split into four child nodes, representing the four quadrants of the region: upper-left 278 (n_0) , upper-right (n_1) , lower-left (n_2) , and lower-right (n_3) . These child nodes recursively divide the 279 region associated with their parent node. Each child node's row and column ranges are determined 280 by calculating the midpoints of the parent node's ranges as follows: 281

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$$r_{\rm mid} = \left\lfloor \frac{r_n^{\rm start} + r_n^{\rm end}}{2} \right\rfloor, \quad c_{\rm mid} = \left\lfloor \frac{c_n^{\rm start} + c_n^{\rm end}}{2} \right\rfloor, \tag{4}$$

284 Thus, the row and column ranges for the child node n0 are $[r_n^{\text{start}}, r_{\text{mid}}]$ and $[c_n^{\text{start}}, c_{\text{mid}}]$, respectively, 285 with similar adjustments for the other child nodes. As nodes continue to subdivide, the quadtree grows 286 from the root, and the division process reflects the progressive refinement of the matrix subregions. 287 For each element $x_{i,j}$ in the EMS design matrix, its value is determined by the subregion defined by the corresponding leaf node. Therefore, the design matrix can be reconstructed as follows: 288

$$x_{i,j} = \sum_{n \in L} s_n \cdot \mathbb{I}_n(i,j), \tag{5}$$

where L is the set of leaf nodes, and $\mathbb{I}_n(i, j)$ is an indicator function that determines whether position 292 (i, j) belongs to the subregion associated with node n. 293

294 The entire growth process of the quadtree proceeds by recursively subdividing the matrix regions, 295 gradually refining the simple initial matrix into a more complex structure, with each leaf node determining whether its subregion is entirely 0 or 1. This structure efficiently compresses matrix 296 information and manages different region resolutions via the tree. 297

298 The design space consists of all possible combinations of leaf node values. Let L be the set of leaf 299 nodes in the current quadtree, then the design space is defined as:

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$$S = \{ \mathbf{s} = \{ s_n \}_{n \in L} \mid s_n \in \{0, 1\} \}.$$
(6)

The size of the search space is $2^{|L|}$, where |L| is the number of leaf nodes. This indicates that the 302 complexity of the search space can be increased by expanding the leaf nodes in the quadtree. Thus, 303 we are able to perform progressive search within a well-managed design space. 304

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Tree Search with Well-managed Design Space. We propose a hierarchical search strategy, which 306 starts from a simple design space and gradually increases the complexity of the design. By partitioning 307 the design matrix into smaller subregions, the search process can adaptively explore finer subregions. 308

As shown in Figure 2(a), the tree search process begins with the root node $n_{\rm root}$, which represents a sample in the most simplified design space. Subsequently, based on the current set of leaf nodes L, 310 the design matrix x is reconstructed, and its performance $O(f_{\theta}(\mathbf{x}))$ is evaluated by a predictor f_{θ} . 311 The tree search process maintains the current Top-K best design matrices \mathbf{x}_{k}^{*} and their corresponding 312 performance values O_k^* , where $O_k^* = O(f_\theta(\mathbf{x}_k^*))$ represents the k-th best performance found so far. 313

314 At each iteration, a leaf node n is randomly selected from L, and either its state s_n is resampled or is 315 split into four child nodes with randomly initialized states, with both actions following a Bernoulli distribution with parameter 0.5. Resampling explores alternative configurations without expanding 316 the design space, while splitting increases search granularity. The design matrix \mathbf{x} is reconstructed 317 based on the results of these operations and evaluated by the predictor. If the new performance 318 exceeds the current k-th best performance O_k^* , the Top-K list is updated, replacing the k-th best 319 design matrix \mathbf{x}_{k}^{*} with \mathbf{x} , and recording the corresponding performance value $O_{k}^{*} \leftarrow O(f_{\theta}(\mathbf{x}))$. The 320 iteration repeats until the number of leaf nodes reaches the preset limit N_{max} , at which point the 321 algorithm terminates and returns the final Top-K best design matrices \mathbf{x}_{k}^{*} . 322

Depth-wise Importance Assignment. In the process of expanding the design space, the division 323 of nodes is initially uniform, treating each newly created leaf node as having equal importance 324 within the matrix. However, it is possible that some regions may have a greater impact on overall 325 performance. Therefore, we introduce a further refinement phase for Top-k designs to better optimize 326 the EMS design, where the design space is formulated as: In the process of expanding the design 327 space, the initial division of nodes assumes a uniform distribution, treating each newly created leaf 328 node as having equal importance within the EMS matrix. However, certain regions may contribute more significantly to overall performance. To address this, we introduce a further refinement phase 329 targeting the Top-k designs to better optimize the EMS structure. The optimization problem is 330 formulated as: 331

$$\max_{\mathbf{s}' \in \mathcal{S}'} O(f_{\theta}(\mathbf{x}_{\mathbf{s}'})), \tag{7}$$

where $\mathbf{x}_{s'}$ represents the EMS matrix defined by the quadtree structure, and S' is the search space comprising all possible partition parameters in the quadtree:

$$S' = \{ (r_n^{\text{start}}, r_n^{\text{end}}, c_n^{\text{start}}, c_n^{\text{end}}) \mid n \in Q \}.$$

$$(8)$$

4.2 CONSISTENCY-BASED SAMPLE SELECTION

After the TSS module generates candidate samples, evaluating all of them at once is impractical due to the time-consuming nature of simulations. Therefore, it is crucial to prioritize the most promising samples for performance evaluation through simulation. To achieve this, we propose a **Consistency-based Sample Selection** (CSS) strategy, which optimizes the evaluation process to enhance search efficiency by dynamically adjusting the search process to accommodate predictors with moderate or even low accuracy. The core steps of this strategy are as follows.

Ranking-based Prediction Consistency. Optimizer prioritize ranking accuracy over prediction precision because the goal is to identify the best solution. A model that ranks solutions correctly can still guide the optimization effectively, even with imprecise predictions. Thus, we use Kendall's tau (Kendall, 1938) coefficient to directly measures the consistency of ordering results. Specifically, in each iteration, we calculate the predicted performance $O(f_{\theta_t}(\mathbf{x}))$ of the model at the current time point t for candidate samples \mathbf{x} , and compare these values with the predicted values $O(f_{\theta_{t-1}}(\mathbf{x}))$ at the time point t - 1. The Kendall's tau coefficient τ is calculated as follows:

$$\tau = \frac{2}{n(n-1)} \sum_{i < j} \operatorname{sign}(O(f_{\theta_t}(\mathbf{x}_i)) - O(f_{\theta_{t-1}}(\mathbf{x}_i))) \\ * \operatorname{sign}(O(f_{\theta_t}(\mathbf{x}_j)) - O(f_{\theta_{t-1}}(\mathbf{x}_j))),$$
(9)

where *n* is the number of data points, and x_i, x_j are the candidate samples. A value of τ close to 1 indicates high consistency, while a value close to 0 or negative indicates lower consistency.

Mixed Selection. When the model's predictions show instability or inaccuracy, relying solely on the model's current predictions may not be the optimal choice. To mitigate the bias caused by inaccurate predictions, introducing randomness to increase exploration becomes essential. This is achieved by combining the predictor's selection with random selection. Specifically, we determine the proportion of samples selected by the predictor based on the value of Kendall's tau coefficient τ . For example, if τ is 0.8, then 80% of the samples are selected by the predictor, and the remaining 20% are determined by random selection. The total number of samples R, with the number of best samples selected by the predictor R_p and the number of samples selected randomly R_r , are determined as follows:

$$R_p = \tau \times R, \quad R_r = (1 - \tau) \times R.$$
 (10)

Through this approach, the Consistency-based Sample Selection strategy effectively balances exploration and exploitation.

5 EXPERIMENTS

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We conducted a series of experiments designed to evaluate the effectiveness and robustness of our
 proposed method in real-world optimization tasks. The experiments answers two key questions: 1)
 How does our method compare to state-of-the-art approaches in terms of optimization performance
 and efficiency? 2) How do the individual components of our method contribute to its overall

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Table 2: Detailed setting of Engineering Tasks.

| Problem | х | Design Space Dimension | $\mathbf{S}(x)$ | Objectives1 | Objectives2 |
|----------------|------------------|---------------------------|------------------------------------|---|---|
| DualFSS HGA | 14*14*2 15*20 | $\frac{10^{86}}{10^{90}}$ | S-Parameters S2,1 Realized Gain | $ \max_{\mathbf{x}} \min_{u \in [31.5, 34.5]} -S(\mathbf{x})(u) \\ \max_{\mathbf{x}} \min_{u \in [2.45, 2.55]} S(\mathbf{x})(u) $ | $\begin{array}{c} \max_{\mathbf{x}} \max_{u \in [10.5, 15.5]} -S(\mathbf{x}) \\ \max_{\mathbf{x}} \min_{u \in [5,6]} S(\mathbf{x})(u) \end{array} $ |

Table 3: Comparisons on Dual-layer Frequency Selective Surface and High-gain Antenna.

| Dual-layer Frequency Selective Surface | | | High-gain Antenna | | | ı | | |
|--|-----------|---------|-------------------|--------------|-----------|---------|---------|--------------|
| Method | Agg Obj ↑ | Obj1 ↑ | Obj2↑ | #Simulations | Agg Obj ↑ | Obj1↑ | Obj2↑ | #Simulations |
| RS | 7.2824 | 7.2824 | 36.6861 | 1000 | 0.6314 | 0.6314 | 0.7196 | 1000 |
| Surrogate-RS | 5.8116 | 5.8116 | 30.2771 | 1000 | 3.0857 | 3.0857 | 3.1845 | 1000 |
| Surrogate-GA | 4.1946 | 4.1946 | 32.1198 | 1000 | 1.5802 | 1.5802 | 4.5598 | 1000 |
| cGAN | 10.0891 | 10.0891 | 45.6102 | 7000 | -6.0131 | -6.0131 | 0.0711 | 4000 |
| cVAE | 1.1478 | 1.1478 | 28.9435 | 7000 | -3.1992 | -3.1992 | 1.2794 | 4000 |
| IDN | 4.7335 | 4.7335 | 28.8207 | 7000 | -1.7527 | 4.1657 | -1.7527 | 4000 |
| InvGrad | 2.8941 | 2.8941 | 24.6731 | 7000 | 3.1783 | 3.1783 | 4.4287 | 4000 |
| GenCO | 1.1819 | 3.9466 | 1.1819 | 7000 | -5.3032 | -5.3032 | 0.6394 | 4000 |
| DPS (Ours) | 15.1964 | 15.1964 | 31.0443 | 1000 | 3.4922 | 3.4922 | 7.7311 | 1000 |

performance? Through these investigations, we aim to demonstrate the practical advantages of our
 approach in handling complex real-world optimization problems. Upon acceptance of the paper, the
 source code will be made publicly available for further research and validation.

Task Settings. Our method is applied to two real-world engineering tasks: 1) Dual-layer Frequency
 Selective Surface (DualFSS), used for electromagnetic noise shielding around chips, and 2) High-gain
 Antenna (HGA), commonly used in WiFi routers, both involve two optimization objectives. Details
 of these tasks are provided in Table 2 and Appendix. A.

404 **Comparison Methods.** Our study contrasts against a variety of typical approaches, which can be 405 broadly classified into three categories: predictor-based methods, generative methods, and random 406 search. The predictor-based methods include: 1) Surrogate-assisted Genetic Algorithm (Surrogate-407 GA) (Zhu et al., 2020), which adapts a Genetic Algorithm guided by a predictor; 2) Surrogate-assisted Random Search (Surrogate-RS), which employs a random search guided by a predictor; 3) Surrogate-408 assisted Gradient Ascent (InvGrad) (Trabucco et al., 2022), which utilizes a predictor to acquire the 409 gradient regarding performance with respect to design, and employs the gradient ascent optimization. 410 The generative methods include: 1) cGAN (Generative Adversarial Network) (An et al., 2021) and 411 2) cVAE (Conditional Variational Autoencoder) (Lin et al., 2022), designed to generate solutions 412 meeting specified design goals; 3) IDN (Inverse Design Network) (Ma et al., 2020), which achieves 413 direct inverse design prediction to fulfill specified design goals by constructing reverse predictors; 4) 414 GenCO (Ferber et al.) leverages VQ-VAE to generate structures. 415

Evaluation Metrics. 1). Aggregation Value of Objectives (Agg Obj): To evaluate the search 416 or generation capabilities of different methods, we compare their optimal performance using the 417 O(S(x)). For fair comparison, all methods use the same objective function to guide their optimization 418 or generation process. We use the Maximin objective function to focus on maximizing the value 419 of the worst-performing objective, ensuring a balanced optimization of multiple goals. 2). Single 420 Objective Value (Obj1, Obj2): Considering that structures with similar objective function values can 421 still exhibit differences in quality. For instance, consider two solutions with objectives (10,9) and 422 (11,9). Both have a objective value of 9. However, the second solution (11,9) is clearly superior 423 because it performs better in one of the objectives without sacrificing the worst-case performance. Therefore, when the compared methods produce optimal results with closely matched objective 424 function values, we continue to compare the merits of individual objectives. 425

Implementation Details. In the predictor-based approach, we employ ResNet50 as the predictor model, initialized with a dataset of 300 samples. The total number of simulation runs is limited to 1000 to maintain computational efficiency for Surrogate-GA and Surrogate-RS. For methods like Surrogate-Grad and the generative approaches (cGAN, cVAE, and IDN), which require higher model accuracy due to their more complex architectures, larger datasets are necessary. Specifically, we use 6800 and 3800 initial samples for DualFSS and HGA, resulting in final sizes of 7000 and 4000, respectively. More implementation details are in Appendix. B.

| N | Agg Obj↑ | $Obj1(dB)\uparrow$ | Obj2(dB) \uparrow | Kendall's Tau↑ | | TSS | CSS | Agg Obj↑ | $Obj1(dB) \uparrow$ | Obj2(dB)↑ |
|----------------|-----------------------------------|-----------------------------------|-----------------------------------|----------------|---|-----|-----|-----------------------------------|-----------------------------------|-----------------------------------|
| 16 32 64 | 3.0867 3.4922 3.0233 | 3.0867 3.4922 3.0233 | 6.0295 7.7311 5.1847 | | _ | 1 | 1 | 3.0857 3.2209 3.4922 | 3.0857 3.2209 3.4922 | 3.1845 6.3369 7.7311 |

Table 4: Effectiveness of Number of Variables N_{max} .

Table 5: Effectiveness of TSS and CSS.

5.1 COMPARISONS ON DUAL-LAYER FREQUENCY SELECTIVE SURFACE

We report the comparisons on Dual-layer Frequency Selective Surface in Table 3. Our approach
outperforms every baseline methods by a wide margin on aggregation of objective value. It is
worth-noting that the objective values of predictor-based methods are significantly worse than random
search under the few-shot setting of 1000 samples. This confirms that in few-sample situations, the
inferior performance of predictor models indeed affects search capabilities. In contrast, our method
achieved a 109% improvement in the objective value under the same simulation cost, substantially
enhancing the optimization capability.

On the other hand, generative models struggle to complete training with only 1000 simulation samples,
often leading to model collapse. Even when the number of simulation samples is increased to 7000,
among all generative methods, only cGAN exceeds random search, yet it still falls short compared
to our DPS. This reveals that, in comparison to existing generative methods, DPS not only reduces
simulation costs by a factor of seven but also improves performance by at least 50.6%.

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5.2 COMPARISONS ON HIGH-GAIN ANTENNA

Table 3 presents comparisons on High-Gain Antenna. Our DPS method outperforms the baseline, requiring only 1000 samples to achieve an objective function value of 3.49dB, with gains of 3.49dB and 7.73dB in two WiFi bands. While InvGrad and IDN perform better than Random Search, they demand more simulations and fail to meet both objectives for dual-band router antenna design.

The results show that DPS excels across various real-world tasks. Its success is due to TSS, which
enhances structural feature learning for higher prediction accuracy with small samples, and CSS,
which balances exploration and exploitation. In contrast, existing methods struggle with limited data,
hindering their ability to capture the full problem space and achieve cost-efficient designs.

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463 5.3 ABLATION STUDIES

464 Study on the Number of Variables. As outlined in Section 4.1, the variable N_{max} shapes the 465 complexity of the design space and directly influences the challenge of identifying high-quality 466 samples. In this section, we examine the impact of varying N_{max} in the context of the HGA task 467 detailed in the Implementation Details section. We evaluate N_{max} values of 16, 32, 64, and as 468 shown in Table 4, our DPS achieves satisfactory performance with an objective function value of 469 3.49 dB when $N_{max} = 32$. It indicates that a smaller N_{max} is prone to trapping the search in locally 470 sub-optimal regions, while a larger N_{max} makes it difficult to construct accurate models given limited computational resources. 471

472 We computed the mean and variance of Kendall's Tau (KTau) for predictors trained on samples 473 generated with varying N_{max} values, with a fixed total sample size of 1000. Samples were split 474 into validation, test, and training sets, and hyperparameter tuning was performed separately for each 475 dataset. Using optimal parameters, we conducted 10 trials per predictor and calculated KTau. Results 476 showed that N_{max} significantly affects KTau, with $N_{max} = 16$ yielding the best performance. As 477 N_{max} increased, KTau decreased, indicating that predictors map the design space more accurately when it is smaller. This supports TSS, showing that expanding from low to high-dimensional spaces 478 enhances predictor performance with limited samples, improving optimization reliability. 479

Effectiveness of Tree-Search-based Design Space Control. We conduct experiments to further
 demonstrate the effectiveness of our progressive space design. Specifically, we compare our methods
 with a variant which replaces progressive design strategy with random sampling. Our experiments are
 conducted in High-gain Antenna under the same computational budget and the results are reported in
 Table 5. From the results, our method outperform the variant without progressive search, generating
 EMS design with higher objective function value (e.g., 3.22dB vs 3.09dB). These results demonstrate



Figure 5: Satisfactory Electromagnetic Structures of Different Methods on the High-gain Antenna.

Effectiveness of Consistency-based Sample Selection. To verify the effectiveness of the proposed
CSS strategy, we compare our methods with a variant that simply select the top-*M* structures evaluated
by the predictor. Our experiments are conducted in High-gain Antenna and we present the objective
value in Table 5. It is evident that our method outperforms the variant without CSS, yielding EMS
design with higher objective function values (e.g., 3.22 *dB* vs. 3.09 *dB*). These results show that our
method could alleviate the bias induced by inaccurate predictions.

500 To more clearly demonstrate the advantages of our 501 method, we designed an additional experiments. A dataset of 1000 samples was randomly divided into 502 two equal parts. The first part was used as the initial 503 training for the predictor. The labels of the second part 504 were masked. We applied three different sample selec-505 tion strategies—CSS (ours), Top-K, and Random—to 506 identify the actual optimal solution within the set. In 507 each round, 20 samples were selected and added to the 508 training set to update the predictor, with this process 509 continuing until the optimal sample was found. Each 510 experiment was repeated 20 times. The results in Figure 511 3 demonstrate that our method outperforms traditional 512 approaches, improving search efficiency by 50%.

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5.4 VISUALIZATIONS

Visualizations of Electromagnetic Structures on 516 High-gain Antennas. We illustrate the satisfactory elec-517 tromagnetic structures from our proposed DPS and some 518 of the compared methods. More visualizations are pre-519 sented in Appendix. C. As illustrated in Figure 5, the 520 structures generated by DPS are more regular and bet-521 ter aligned with the practical manufacturing constraints 522 of engineering applications. By avoiding fragmented 523 designs that are difficult to fabricate in real-world set-524 tings, our approach ensures improved manufacturability, 525 thereby enhancing its practicality for engineering implementation. Besides, the results indicate that the satisfac-526 tory structures obtained through our proposed method 527 exhibit higher values in the frequency ranges [2.45, 2.55]528 and [5.00, 6.00]. This implies that our design demon-529 strates superior performance, providing validation for 530 the effectiveness of our algorithm. 531



Figure 3: Simulation Costs for Optimal Solution Across Sample Selection Strategy.



Figure 4: Simulation Results for Satisfactory Electromagnetic Structures of Different Methods on the High-gain Antenna.

6 CONCLUSION

In this paper, we propose a Deep Progressive Search method under Limited Data. Specifically, we devise a Tree-Search-based Design Space Control method. By progressively searching in the simplified space, the quality of samples is improved, thus reducing dependence on the number of training samples. In addition, we introduce a Consistency-based Sample Selection. With this strategy, the search process can achieve a better balance between exploration and exploitation. Extensive experimental results on real-world engineering tasks demonstrate the effectiveness of our method.

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702 APPENDIX

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In the supplementary, we provide more implementation details and more experimental results of ourDPS. We organize our supplementary as follows.

• In Section A, we provide more details of the considered two real-world challenging electromagnetic structures, dual-layer frequency selective surface and high-gain antenna

• In Section **B**, we depict more implementation details of our DPS and the compared methods.

• In Section C, we give more experimental results to demonstrate the effectiveness of our DPS.



Figure 6: The detailed settings of the Dual-layer Frequency Selective Surface.

756 MORE DETAILS ON ELECTROMAGNETIC STRUCTURES А

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759 Dual-layer Frequency Selective Surface. The dual-layer Frequency Selective Surface (DualFSS) is an electromagnetic structure specifically designed for selectively filtering electromagnetic waves. 760 It consists of two layers of conductive elements, each containing a grid or array of metallic elements 761 exhibiting specific resonant behavior at particular frequencies. This configuration endows the Du-762 alFSS with frequency-dependent transmission and reflection characteristics. Despite its more intricate structure compared to a single-layer FSS, the DualFSS provides higher degrees of freedom, allowing 764 for more flexible performance adjustments across different frequencies. In practical applications, the 765 DualFSS finds common usage in scenarios demanding enhanced performance and broader frequency 766 coverage, such as RF communication, radar systems, and engineering designs within the radio fre-767 quency spectrum. In our experiments, we utilized the proposed methodology to design the structure 768 of the DualFSS, focusing on the two layers of metallic grids. The designed structure aims to meet 769 specific performance criteria, with detailed parameters and expected metrics outlined below.

770 Specifically, the DualFSS under investigation is depicted in Figure 6. The FSS is composed of three 771 layers of boards, each having a thickness of 0.035mm, and features cylindrical elements with a radius 772 of 0.1mm. It is noteworthy that the bottommost layer, representing the grounded metallic surface, 773 remains unaltered throughout the optimization process. In contrast, the upper two layers, initially 774 comprising entirely of air, constitute the optimization space. The objective of the optimization 775 is to strategically convert certain regions within the air layers into metallic elements, adhering to the constraint that each designed metallic block must have a minimum size of 0.2*0.2mm. The 776 optimization encompasses determining the specific configuration of metallic blocks within the upper 777 layers to achieve desired electromagnetic properties. Importantly, the maximum extent of the air 778 region available for optimization corresponds to the footprint of the bottommost layer. 779

780 In terms of optimization objectives, this scenario aims to eliminate electromagnetic noise caused by 781 ultra-high-frequency circuits, preventing such noise from interfering with the operation of mobile phone cameras. The high-frequency noise primarily occurs in two frequency bands: 10.5–15.5 GHz 782 and 31.5–34.5 GHz. We evaluate the suppression capability using the S-Parameter S2,1, which is a 783 parameter that takes only negative values. A smaller magnitude of this parameter indicates stronger 784 suppression performance. We aim to achieve broad absorption capability in the 31.5–34.5 GHz 785 range, while maximizing suppression in the 10.5–15.5 GHz range. To enhance generalization, it is 786 necessary to minimize the maximum value within the 31.5–34.5 GHz band, while minimizing the 787 minimum value within the 10.5–15.5 GHz band to strengthen absorption performance. Accordingly, 788 we define the first objective as minimizing the maximum value of S-Parameters S2,1 over the 789 31.5–34.5 GHz band, represented by the formula $\min_{\mathbf{x}} \max_{u \in [31.5, 34.5]} S(\mathbf{x})(u)$. By taking the 790 inverse, we can transform it into a maximization problem and define it using a new mathematical 791 expression $\max_{\mathbf{x}} \min_{u \in [31.5, 34.5]} - S(\mathbf{x})(u)$, and denote this as Obj1. Similarly, the second objective 792 is to minimize the minimum value of S-Parameters S2,1 over the 10.5–15.5 GHz band, defined by the formula $\min_{\mathbf{x}} \min_{u \in [10.5, 15.5]} S(\mathbf{x})(u)$. We can also transform it into a maximization problem 793 794 and represented by the formula $\max_{\mathbf{x}} \max_{u \in [10.5, 15.5]} -S(\mathbf{x})(u)$, and referred to as Obj2. In this formulation, \mathbf{x} represents the vector of structural design parameters, while u denotes the frequency in 795 GHz, serving as the independent variable across both frequency bands. The term $S(\mathbf{x})(u)$ refers to 796 the S-Parameters S2,1 of the FSS for a given design x at a specific frequency t. 797

798 An aggressive objective function is set to maximize the worst-case performance of the sin-799 gle objective to achieve balanced shielding capabilities. This is mathematically expressed as $\max_{\mathbf{x}} (\min (Obj_1(\mathbf{x}), Obj_2(\mathbf{x})))$, where $Obj_1(\mathbf{x})$ represents $\min_{u \in [31.5, 34.5]} - S(\mathbf{x})(u)$ while 800 $Obj_2(\mathbf{x})$ represents $\max_{u \in [10.5, 15.5]} - S(\mathbf{x})(u)$. 801

802 **High-gain** Antenna. The high-gain antenna is a specialized electromagnetic structure designed 803 to achieve significant directional amplification of radio frequency signals. This type of antenna is 804 characterized by its ability to focus transmitted or received signals in a specific direction, resulting in 805 a concentrated radiation pattern. In the design of it, the integration of a metal array plays a crucial 806 role in shaping the antenna's radiation pattern and achieving enhanced performance. The metal 807 array structure involves a carefully arranged grid or array of metallic elements, such as reflectors and directors, strategically positioned to optimize the antenna's gain and directional characteristics. 808 High-gain antennas find extensive applications in scenarios requiring long-range communication, satellite communication, and situations where a concentrated signal strength is essential.

Specifically, our structure is a rectangular prism with dimensions of 60 mm in length, 40 mm in width, and 4.6 mm in thickness in Figure 7. The prism is then divided into two halves along the midpoint of its length, parallel to the width. One half is designated as the design region, and after the design is completed, it is mirrored across the vertical plane of symmetry.

The target of this scenario is to design a dual-band router antenna operating at both 2.4 GHz and 5 GHz, ensuring optimal communication performance in both frequency bands simultaneously, rather than having one band perform well while the other lags. Consequently, our two objective functions represent the minimum Realized Gain for the 2.4 GHz and 5 GHz bands, respectively. An Aggregation Value of Objective is set to maximize the worst-case performance across both objectives, aiming to achieve effective dual-band communication.

820 Specifically, since the minimum value within a given range dictates the weakest communication 821 capability of that band, to meet the communication requirements of the 2.4 GHz band, the first 822 objective is defined as maximizing the minimum Realized Gain in the 2.45–2.55 GHz frequency 823 range. This is mathematically expressed as $\max_{\mathbf{x}} \min_{u \in [2,45,2,55]} S(\mathbf{x})(u)$ and denoted as Obj1. 824 In this formulation, x represents the vector of structure design, while u denotes the frequency in 825 GHz, acting as the independent variable across both frequency bands. The term $S(\mathbf{x})(u)$ denotes the 826 Realized Gain of the antenna for a given design x at a specific frequency u. Similarly, to ensure robust 827 communication in the 5 GHz band, the second objective is defined as maximizing the minimum Realized Gain in the 5.0–6.0 GHz frequency range, expressed as $\max_{\mathbf{x}} \min_{u \in [5,6]} S(\mathbf{x})(u)$ and 828 denoted as Obj2. 829

To achieve strong communication performance across both bands, the smaller of the two objective values is chosen as the Aggregation Value of Objective. This is mathematically expressed as $\max_{\mathbf{x}} \min(\min_{u \in [2.45, 2.55]} S(\mathbf{x})(u), \min_{u \in [5,6]} S(\mathbf{x})(u))$. This formulation ensures that the antenna design is optimized for both frequency bands by focusing on improving the worst-case communication performance across the two bands, thereby achieving balanced and robust dual-band communication.

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B MORE IMPLEMENTATION DETAILS

839 **DPS** (ours). For the proposed DPS, we maintain a consistent setup across both experimental 840 scenarios. In both cases, the initial dataset comprises 300 samples, derived from a progressive design strategy, which allows for more adaptive and efficient sampling. The design variable N_{max} 841 is set to 32. This consistency across scenarios highlights the flexibility and robustness of DPS, as 842 it maintains performance while adapting to different experimental conditions. Additionally, we 843 also utilize ResNet50 with the same network architecture as the predictor, ensuring consistency in 844 model structure across different approaches and facilitating a fair comparison of performance. We set 845 maximum iteration M = 10000000 and K = 10. In CSS, the total number of samples R is 10. 846

Compared Methods. We re-implement the following state-of-the-art electromagnetic structures
 design methods in our two challenging task, dual-layer frequency selective surface and high-gain
 antenna. More details of the baseline design methods are provided in the subsequent discussion.

- **Random Search (RS)**. In this approach, we randomly generate 1000 electromagnetic structures, evaluating them with the simulation software.
- 852 • Surrogate-assisted Random Search (SRS). This approach adopts the ResNet50 architecture as 853 the surrogate model in both scenarios, consistent with the setup in DPS, to ensure a fair comparison 854 between the methods. The surrogate model is designed to provide prediction of the objective 855 function given the input of the electromagnetic structures and is ultilized to guide the random 856 search. Specifically, we begin by randomly sampling 300 electromagnetic structures to train an initial surrogate model. In each subsequent iteration, the surrogate model guides the selection of the Top-K samples from M randomly sampled candidates (where $M \gg K$), which are then evaluated 858 through simulation. The surrogate model is updated accordingly, and this process is repeated until 859 the total number of simulated samples reaches the predefined limit of 1000. Finally, we select the 860 best one as optimized result. In practice, we set M = 200000 and K = 10 for our experiments in 861 both two real-world tasks. 862
- Surrogate-GA (Zhu et al., 2020). This method exploit a surrogate model to accelerate the evolutionary algorithm. Specifically, this method fit a DNN-based surrogate model with a simulated

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Figure 7: Designs of the High-gain Antenna.

dataset to assist fitness evaluation during the evolution process. In particular, we have adapted the mutation operator to suit our electromagnetic structural scenario by modifying it to perform transformations between 0 and 1 in the matrix elements. In our experiments, we use the ResNet50 model as the surrogate model. The model's batch size is set to 256, trained for 200 epochs, with a learning rate of 0.01. First, 300 samples were obtained through random sampling, and simulations were performed on these samples to train the surrogate model. Based on the surrogate model, we set K=10 for our experiments, meaning that in each generation, the top 10 samples are selected using a Top-K strategy for simulation verification. The surrogate model is then updated with these results, and a new population is generated. This process continues until the total number of simulated samples reaches 1000.

907 • InvGrad (Trabucco et al., 2022). Trabucco et al. introduces a simple baseline method based 908 on gradient ascent. In this approach, a ResNet50-based surrogate model is initially trained on a 909 dataset of electromagnetic structures, which is designed to establish an accurate mapping between 910 the electromagnetic structure and the objective function. Specifically, the dataset consists of 911 6800 randomly sampled simulation samples for DualFSS and 3800 for HGA, which represent the 912 minimum number of samples required to ensure the stability and reliability of the model without 913 compromising its performance. Subsequently, the method performs multiple gradient updates on 914 the input electromagnetic structure based on the surrogate model output, ultimately yielding an 915 satisfactory electromagnetic structure that satisfies the specified criteria. The gradient update could be formulated as $x_{t+1} \leftarrow x_t + \alpha \nabla_x f(x)$, where t represents the update step and α denotes the 916 learning rate. In pratice, we set T = 1000, $\alpha = 0.01$ for two design tasks. We randomly sampled 917 10 electromagnetic structures, input them into the surrogate model for optimization, and forwarded

the optimized results to the simulation software for evaluation. The surrogate model is then updated with these results, and this process is repeated until the total number of simulated samples reaches the predefined limit of 7000 for DualFSS and 4000 for HGA.

- IDN (Ma et al., 2020). Ma et al. introduces a baseline method for inverse design based on 922 Convolutional Autoencoder Network (CAN) and Inverse Design Network (IDN). In this approach, 923 the authors utilized CAN to compresses input spectrums with the dimension of 1*1000 into low-924 dimensional spectrums with the dimension of 1*50. Subsequently, the compressed latent space 925 values were fed into the IDN with the expectation of generating structures that conform to the 926 input spectrum. In our experiments, we set the target values as either the maximum or minimum 927 values within a specific frequency range, eliminating the involvement of high-dimensional inputs. 928 Consequently, we exclusively adopted the IDN component of the method for our purposes. In 929 terms of network architecture, we introduced an additional fully connected layer before the first 930 convolutional layer of the Inverse Design Network. This layer elevates the input target values to 931 a 50-dimensional space to align with subsequent dimensions. The model's batch size is set to 128, trained for 200 epochs, with a learning rate of 0.0001. Adam optimizer is employed, and 932 MAE(Mean Absolute Error) is used for loss computation. Initially, we performed random sampling 933 to generate 6800 samples for DualFSS and 3800 samples for HGA. These samples were simulated 934 to calculate their respective objective values, which were subsequently used to train the initial 935 models. In the next stage, the models were utilized to generate 10 additional samples, which 936 underwent simulation-based validation. The validated samples were then added to the dataset, and 937 the models were retrained iteratively. This process was repeated until the simulation budget of 938 7000 and 4000 was reached for DualFSS and HGA, respectively. Ultimately, the sample with the 939 best simulation performance during this process was selected as the final result. 940
- cGAN (An et al., 2021) An et al. presents a generative adversarial network that can generate 941 metasurface designs to meet design goals . Generative adversarial nets can be extended to a 942 conditional model if both the generator and discriminator are conditioned on some extra information. 943 It could be any kind of auxiliary information, such as class labels or data from other modalities. 944 We can perform the conditioning by feeding extra information into the both the discriminator and 945 generator as additional input layer. Consequently, cGAN introduces extra information as conditions 946 in both the encoder and decoder inputs to confer the ability to generate pecific structures based on 947 varying conditions. The model's batch size is set to 64, trained for 200 epochs, with a discriminator 948 learning rate of 0.00005 and generator learning rate of 0.0002. In addition, the latent dimension 949 is set to 100, Adam optimizer is employed. We began by randomly sampling 6800 instances for DualFSS and 3800 instances for HGA, followed by simulations to derive their objective values 950 for training the initial models. Using these models, 10 new samples were generated and validated 951 through simulations. These validated samples were incorporated into the dataset, and the models 952 were updated iteratively. This iterative procedure continued until the simulation budgets-7000 for 953 DualFSS and 4000 for HGA—were exhausted. The final result was determined by selecting the 954 sample exhibiting the optimal performance during simulations. 955
- 956 • cVAE (Lin et al., 2022). Lin et al. introduces an approach utilizing Conditional Variational 957 Autoencoder (cVAE) to generate metasurface retroreflectors (MRF) structures satisfying specified 958 performance criteria. cVAE represents a variant incorporating both Variational Autoencoder (VAE) and Autoencoder (AE) principles. While VAE extends the encoding-decoding training paradigm of 959 AE by transforming it from encoding inputs into a single point in latent space to encoding inputs 960 into a distribution in latent space, endowing it with generative capabilities, the generated content 961 is inherently uncontrollable. Consequently, cVAE introduces conditions in both the encoder and 962 decoder inputs to confer the ability to generate specific structures based on varying conditions. The 963 model's batch size is set to 128, trained for 200 epochs, with a learning rate of 0.0005. In addition, 964 the latent dimension is set to 20, Adam optimizer is employed, and the loss function is obtained 965 through linear summation of Mean Squared Error (MSE) and 0.00000001 times the Kullback-966 Leibler (KL) divergence. An initial random sampling of 6800 samples for DualFSS and 3800 967 samples for HGA was conducted, with simulations performed to compute the corresponding 968 objective values for initial model training. The trained models then produced 10 new samples, which were subjected to simulation validation. These validated samples were appended to the 969 dataset, and the models were retrained iteratively until the simulation budgets of 7000 for DualFSS 970 and 4000 for HGA were fully utilized. The final output was chosen as the sample demonstrating 971 the highest simulation performance during the process.

Table 6: Effect of Importance Assignment.

| Method | Objective Function Value↑ | Objective1(dB) \uparrow | Objective2(dB)↑ |
|------------|---------------------------|---------------------------|-----------------|
| DPS w/o IA | 2.32 | 2.32 | 5.57 |
| DPS | 3.49 | 3.49 | 7.73 |

• **GenCO** (Ferber et al.) GenCO utilizes VQ-VAE (Variational Quantized Autoencoders) to generate a variety of designs that account for specific constraints, such as those encountered in nanophotonic materials. Following the approach outlined in the original paper, we integrate electromagnetic structure performance as a constraint objective into the model's training loss function. GenCO requires computing the gradient of the objective function with respect to the design variables. However, in our case, obtaining such gradient information directly through simulation is unavailable. To overcome this challenge, we use a surrogate model to approximate the gradient. The surrogate model, implemented using ResNet50, serves to predict the objective function's gradient efficiently. The training parameters of the surrogate model, such as the network architecture and optimization procedure, are consistent with those used in similar works. Since the original paper does not provide detailed hyperparameter settings for VQ-VAE, we made reasonable choices based on standard practices for training generative models. We use a four-layer convolutional and transposed convolutional network architecture for the VQ-VAE model. The specific training parameters for our implementation are as follows: latent dimension = 256, number of embeddings = 512, learning rate = 1e-3, and the number of epochs = 100.

C MORE EXPERIMENTAL RESULTS





1014 Effect of the Number of Variables N_{max} . To further illustrate the effect of the parameter N_{max} , we 1015 present kernel density estimation (KDE) plots of the sample performance distribution under different 1016 parameter settings in Figure 8. We sampled and simulated 1000 samples for each parameter setting 1017 and random sampling. The experimental results demonstrate that our method is more likely to sample 1018 higher-performing instances across various parameter configurations, whereas most of the samples 1019 generated through random sampling tend to cluster in the lower performance range.

Effect of Depth-wise Importance Assignment. We investigate the effect of the depth-wise importance assignment. For a fair comparison, we conduct this experiment under the same simulation budget in high-gain antenna design task. From Table 6, without importance assignment, the DPS tends to find sub-optimal electromagnetic structure. When equipped with the proposed importance assignment, the searched structure consistently outperforms that without importance assignment (e.g., 3.49*dB* vs 2.32*dB*). These findings illustrate the essential nature and efficacy of the introduced depth-wise importance assignment.



Figure 9: Satisfactory Electromagnetic Structures of Different Methods on Dual-layer Frequency Selective Surface.

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Comparisons of electromagnetic structures on Dual-layer Frequency Selective Surface. In
 Figure 9, we visualize the satisfactory electromagnetic structures searched by our proposed method
 and the baseline methods in the task of dual-layer frequency selective surface. It can be observed that,
 compared to the baseline methods, the structures designed by our approach exhibit a better adherence
 to physical priors, showcasing a more regular and manufacturable design.

Comparisons of Simulated Results on Dual-layer Frequency Selective Surface. In Figure 10,
 We present the simulated results of the optimize electromagnetic structures for our methods and
 all baseline methods. From the results, it is evident that the satisfactory electromagnetic structures
 obtained through our proposed method exhibit lower values in the frequency ranges [31.5, 34.5] and
 [10.5, 15.5]. This indicates that our dual-layer frequency-selective surface performs better, providing
 empirical evidence for the effectiveness of our optimization algorithm.

Comparisons of electromagnetic structures on High-gain Antenna. In Figure 11, we visualize the satisfactory electromagnetic structures designed by our proposed method and the baseline methods in the task of high-gain antenna. It can be observed that, compared to the baseline methods, the structures designed by our approach also exhibit a better adherence to physical priors, showcasing a more regular and manufacturable design. This further demonstrates the strong generalization ability and robustness of our proposed method, proving its effectiveness across multiple real-world tasks.

1071 Comparisons of Simulated Results on High-gain Antenna. In Figure 12, We further present the simulated results of the satisfactory electromagnetic structures for our methods and all baseline methods. The experiments further demonstrate that in the frequency ranges [2.45, 2.55] and [5.00, 6.00], the structures designed by our method significantly outperform all baseline methods, achieving substantial performance improvements in the target frequency bands.

1076 Visualizations of Satisfactory Dual-layer Frequency Selective Surface.We illustrate the satis 1077 factory electromagnetic structures obtained through our proposed methodology and the reference
 1078 methods in the High-gain Antenna task. In Figure 13, in contrast to the reference methods, the struc 1079 tures formulated by our approach demonstrate a heightened conformity to physical priors, presenting
 a more regular and manufacturable design.



Figure 10: Simulated Results of Satisfactory Electromagnetic Structures on Dual-layer Frequency Selective Surface.



Figure 13: (a) Satisfactory Electromagnetic Structures of Different Methods on Dual-layer Frequency
 Selective Surface. (b) Simulated Results of Satisfactory Electromagnetic Structures on Dual-layer
 Frequency Selective Surface.

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Visualizations of Satisfactory High-gain Antenna. In Figure 14, the results indicate that the satisfactory electromagnetic structures obtained through our proposed method exhibit higher values in the frequency ranges [2.45, 2.55] and [5.00, 6.00]. This implies that our high-gain antenna demonstrates superior performance, providing validation for the effectiveness of our algorithm.



Figure 12: Simulated Results of Satisfactory Electromagnetic Structures on High-gain Antenna.

