Dynamic Beam Enumeration: A Bridge Between Generative Molecular Design and Library Screening

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Abstract

Previous work reporting Beam Enumeration showed that probable substructures 1 extracted from a generative model contains chemically meaningful information 2 and can act as a source of explainability. In this work, we propose Dynamic Beam З **Enumeration** as an extension to extract *larger* substructures. We show that this 4 extracted insight can be made *actionable* and used to filter compounds in ultra-large 5 make-on-demand libraries (10^{9-12}) . The resulting molecules possess properties 6 more aligned with the target objective than random sampling. Importantly, the 7 results suggest that Dynamic Beam Enumeration can act as a bridge between 8 generative design and library screening, such that even if generated molecules 9 cannot be easily synthesized, extracted knowledge from the model can be used to 10 find promising molecules that are make-on-demand. 11

12 **1** Introduction

Molecular generative models have designed *experimentally validated* small molecule inhibitors¹ 13 and catalysts². However, synthesizability remains a challenge as many generative approaches do 14 not explicitly enforce a notion of synthesizability. To this end, existing solutions include manual 15 prioritization by expert chemists, which is not scalable. Algorithmic solutions include synthesizability 16 heuristics based on historic data³⁻⁶ or synthesizability-constrained generation which enforces chemi-17 cally feasible transformation during generation^{7–15}. Alternatively, retrosynthesis models^{16–28}, which 18 predict feasible synthetic routes given a target molecule, can be included as an optimization objective 19 during molecular generation^{29,30} or for *post-hoc* filtering³¹. On the other side of the spectrum (Fig. 20 1b), virtual screening (VS), which aims to identify promising candidate molecules from *fixed* datasets 21 containing synthesizable molecules continues to be a productive approach to molecular discovery in 22 light of ultra-large molecular libraries $(10^{9-12})^{32-37}$. 23

Previous work introduced Beam Enumeration³⁸ which extracts probable molecular substructures 24 during a language-based generative model's optimization trajectory (towards the target objective). 25 These substructures were shown to be aligned with the target property profile. In this work, we 26 propose Dynamic Beam Enumeration (DBE) as an extension of Beam Enumeration to extract 27 28 probable *large* substructures from a model checkpoint. This contrasts Beam Enumeration which uses extracted substructures only *during* optimization. These *large* substructures form a considerable part 29 of a full molecule and can be used to screen make-on-demand molecular libraries, such that molecules 30 with matching substructures are enriched in the properties of interest. These *initial* results suggest the 31 potential for DBE to act as a bridge between generative molecular design and VS, demonstrating 32 how extracted knowledge can be made *actionable*. Importantly, matched compounds are presumably 33 34 synthetically accessible, overcoming potential synthesizability challenges of *de novo* generated 35 molecules.



Figure 1: Dynamic Beam Enumeration. **a.** To enable the extraction of larger substructures, enumerated beams are pruned and only those with the highest probability are kept and further enumerated. **b.** Extracted large substructures can be used to filter make-on-demand libraries to *directly* identify promising molecules, acting as a bridge between generative design and screening.

36 2 Methods

Dynamic Beam Enumeration. We start from the Augmented Memory³⁹ language-based (LSTM⁴⁰ 37 RNN) molecular generative model which generates molecules as SMILES⁴¹. Previous work extended 38 Augmented Memory with Beam Enumeration³⁸ which exhaustively enumerates the top k (2 in this 39 work) tokens for N (18 in this work) beam steps, resulting in k^N token sub-sequences. These are 40 sub-sequences which map to substructures because they are incomplete SMILES and do not map to a 41 full molecule yet. The Beam Enumeration work showed that larger substructures are more meaningful 42 and the maximum size that can be extracted is directly controlled by the number of beam steps. The 43 authors stated that 18 beam steps is the limit (on a 24GB GPU) due to exponentially increasing 44 memory requirements. In this work, we propose DBE to prune the sub-sequences set and enable the 45 remaining beams to continue enumeration (Fig. 1a). Specifically, at the end of the N beam steps, we 46 keep only the top (by probability) N - M beams (M is 12 in this work), resulting in k^{N-M} beams 47 left. These remaining beams are enumerated for M more steps, resulting in sub-sequences N + M48 tokens long, which map to larger substructures (Appendix A). 49

Goal-directed Generative Design. In this work, the use case of DBE is to extract large substructures from a model checkpoint and then use it to filter a make-on-demand library. Therefore, the first step is to task Augmented Memory³⁹ with optimizing a target objective. The case study is to generate molecules with good QuickVina2-GPU-2.1⁴²⁻⁴⁴ docking scores to ATP-dependent Clp protease proteolytic subunit (ClpP) which is implicated in cancer⁴⁵. The objective function is:

$$R(x) = \left(Docking \ Score(x)^5 \times QED(x) \right)^{\frac{1}{6}} \in [0, 1]$$
(1)

where x is a generated SMILES and the exponential terms apply more weighting to docking, i.e., its contribution to the reward is greater than QED score⁴⁶ which is an empirical measure of "druglikeness" (see Appendix C for more details). All experiments were run across 10 seeds (0-9 inclusive) with 3.000 oracle calls.

Ultra-large Make-on-demand Library. WuXi GalaXi⁴⁷ contains billions of make-on-demand molecules and the sheer size makes screening (even with active learning^{48,49}) computationally expensive. We consider two pseudo-randomly constructed subsets which we call WuXi (84,243,879) and WuXi-Large (756,642,169). See Appendix B for details. Extracted substructures from DBE are used to find match WuXi compounds that contain the substructure. The rationale for considering subsets differing by an order of magnitude is to increase the chances of a sufficient number of matches (1,000 in this work), as *large* substructures are necessarily specific.

Experimental Setup. Augmented Memory was tasked to generate molecules satisfying the objective 66 function (Eq. 1) across 10 replicates (10 seeds, 0-9 inclusive). DBE was run on all 10 model 67 checkpoints, extracting substructures with either a minimum token length of 20 or 25. These 68 substructures were then used to find 1,000 matching compounds in the WuXi subsets, which were 69 then assessed according to the same objective function (Eq. 1) and compared to random sampling. 70 We note that experiments that did not match 1,000 compounds could be rescued by screening a larger 71 WuXi subset, as WuXi-Large, despite containing >700M molecules, is a small fraction of WuXi 72 GalaXi. 73

74 **3** Results and Discussion



Figure 2: Docking scores distribution and example extracted substructures. **a.** Docking scores distribution of matched compounds vs. random sampling across WuXi and Wuxi-Large. **b.** Example extracted substructures using Dynamic Beam Enumeration and also showing the best and worst (by reward) and randomly matched compounds.

Ouantitative Results. Fig. 2a shows the distribution of pooled (all runs that successfully matched 75 1,000 compounds) docking scores comparing DBE-matched compounds vs. random sampling. The 76 docking scores of the matched compounds possess better docking scores, on average, than random 77 sampling (statistically significant). For plots of QED and reward, see Appendix D. Next, Table 78 1 shows the mean and standard deviation of all properties. Note that reward is the aggregated 79 "goodness" of a molecule, calculated based on Eq. 1. We make the following observations: firstly, 80 on the WuXi subset, DBE outperforms random sampling and extracting *larger* substructures (25 81 vs. 20) further improves property values. Secondly, *both* docking scores and QED are improved 82 because both properties are part of the objective function (Eq. 1). Thirdly, matched compounds 83 maintain diversity which is often desirable in screening campaigns (Table 2). However, we note that 84 this improvement is inconsistent as matching compounds on the WuXi-Large subset can result in 85 worse QED. For future work, it would be straightforward to add additional physico-chemical property 86 checks to guard against this by discarding matched molecules with poor QED, since it is cheap to 87 compute. We further note that the reason for inconsistent results between WuXi and WuXi-Large 88 may be due to the significantly decreased diversity of WuXi-Large (Table 2). Consequently, matched 89 compounds may be *too* similar, rather than being a set of more distinctive compounds that simply 90 share common substructures. The straightforward solution is to further increase the subset size and 91 diversity. This would also increase the chances of matching 1,000 compounds. 92

Qualitative Results. Fig. 2b shows the best and worst (by reward) and two random examples 93 of matched compounds. We make the following observations: firstly, the best randomly sampled 94 molecule is comparable to the best DBE molecules, but on average, they are worse (Table 1). Secondly, 95 96 the substructures extracted from different model checkpoints via DBE can converge, as evidenced by identical substructures highlighted (Fig. 2) Thirdly, molecules with poor docking scores can be 97 particularly large or contain undesirable atoms, e.g., Si, and it would be straightforward for future 98 work to add a filter for these when matching. Moreover, it is well known that docking scores can 99 be artificially inflated for molecules with high molecular weight and logP⁵⁰. Consequently, we 100 analyzed select physico-chemical property distributions of the DBE matched vs. randomly sampled 101 compounds (Fig. D5). Interestingly, DBE-matched compounds may not only possess better docking 102 and QED values, but can also be smaller with less heavy atoms and polar surface area. This supports 103 the efficacy of our workflow and shows promise for future development. Finally, the results show that 104 information extracted from a generative model can be made *actionable* and that the substructures 105 themselves are chemically meaningful, otherwise, such heavily biased matching would not result in a 106 statistically significant improvement over random sampling. 107

Table 1: Extracted substructures are used to find compounds with the matching substructure in the WuXi datasets. The numbers in parenthesis denote the number of replicates out of 10 that were successful in matching 1,000 compounds. All compounds were pooled and the mean and standard deviation for docking, QED, and reward are reported.

Method	WuXi (84,243,879)			WuXi-Large (756,642,169)		
	Docking	QED	Reward	Docking	QED	Reward
Random (10, 10)	-7.13 ± 1.23	0.55 ± 0.19	0.47 ± 0.06	-7.17 ± 1.29	0.50 ± 0.16	0.46 ± 0.06
Dynamic Beam Enumeration 20 Tokens (9, 9) 25 Tokens (5, 1)	-7.52 ± 1.15 -7.96 ± 0.83	$0.60 \pm 0.22 \\ 0.74 \pm 0.16$	0.49 ± 0.06 0.53 ± 0.04	-7.82 ± 1.04 -7.56 ± 1.08	$0.41 \pm 0.15 \\ 0.36 \pm 0.15$	$0.47 \pm 0.05 \\ 0.45 \pm 0.05$

108 4 Conclusion

In this work, we introduced Dynamic Beam Enumeration (DBE) as an extension to Beam Enumer-109 ation ³⁸. By extracting *larger* substructures from a generative model checkpoint, they can be used to 110 find matching compounds in ultra-large make-on-demand datasets. Our results show that matched 111 compounds have properties more aligned with the desired property profile than random sampling 112 (Table 1), while maintaining diversity (Table 2). Future work will investigate different beam pruning 113 methods, add filtering checks to discard matched compounds with poor physico-chemical properties, 114 and extract larger substructures (>25 tokens). Our method demonstrates the potential for DBE to 115 act as a bridge between generative design and library screening, showing how extracted chemical 116 insights can be made actionable. 117

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268 Appendix

The Appendix contains details on how Dynamic Beam Enumeration extracts substructures, the WuXi GalaXi⁴⁷ make-on-demand library, reward shaping details, and additional results.

271 A Dynamic Beam Enumeration: How are Substructures Extracted?

In this section, we discuss more in detail the formulation of the original Beam Enumeration³⁸, how 272 Dynamic Beam Enumeration extends the method, and finally, how substructures are extracted from 273 token sequences. Beam Enumeration exhaustively enumerates the top k highest probability tokens 274 from autoregressive language-based molecular generative models. When k = 2, this results in 2^N 275 sub-sequences, where N (18 in this work) is the number of beam steps. As the generative model 276 is autoregressive, every sub-sequence has an associated probability given by the product of the 277 individual token probabilities. For example, the sub-sequence "CN" has a probability of P(C) * P(N | A)278 C). Dynamic Beam Enumeration stores these probabilities for all enumerated sub-sequences, sorts 279 them, then prunes the set and keeps only the highest 2^{N-M} subsequences. M is 12 in this work, 280 therefore $2^6 = 64$ were kept. Next, these 64 sub-sequences were further enumerated for 12 steps, 281 resulting in token sub-sequences of length M + N tokens = 30. Substructures are then extracted 282 exactly as formulated in the original Beam Enumeration work: every consecutive sub-sub-sequence 283 is considered and those that map to valid RDKit⁵¹ molecules are stored. For example, consider the 284 sub-sequence ABCDEF. The set of consecutive sub-sub-sequences are A, AB, ABC, ABCD, ABCDE, 285 ABCDEF. Every single sub-sequence undergoes this process and the substructure frequencies are 286 summed up. The top-4 most frequently appearing substructures are the set of extracted substructures. 287 Finally, Dynamic Beam Enumeration enforces the minimum token length of the substructures to be 288 either 20 or 25 in this work. This ensures that the subtructures are *larger* than the original Beam 289 Enumeration work which only considered substructures with minimum token length as long as 15. 290

²⁹¹ B WuXi GalaXi: Ultra-large Make-on-demand Library

WuXi GalaXi⁴⁷ contains billions of make-on-demand molecules. Dynamic Beam Enumeration 292 extracted substructures are used to *match* compounds with these corresponding substructures. As 293 searching through such a large database can be computationally prohibitive, we create two smaller 294 sub-sets of WuXi in a pseudo-random manner. In this section, we detail the construction process 295 296 of the two datasets which we call **WuXi** and **WuXi-Large**. Note that WuXi GalaXi is provided in **Phases** which can contain multiple **Parts**, each composed of a set of SMILES. Construction of the 297 datasets involved extracting the raw zip files from WuXi GalaXi. Due to the size of the files, we 298 performed the extraction for an arbitrary amount of time (not extracting the full file). This is why 299 every file extraction as described below contains a seemingly completely random number of SMILES. 300

301 B.1 WuXi

WuXi was constructed by taking the entire Phase 1 (24,093,421 SMILES) and part of Phase 2 Part 3 (the first 60,150,458 SMILES). This was done arbitrarily and resulted in 84,243,879 total SMILES.

304 B.2 WuXi-Large

- 305 WuXi-Large was constructed by taking some SMILES from all six parts of WuXi Phase 2.
- Part 1: First 125,821,918
- Part 2: First 122,345,617
- Part 3: First 133,357,788
- Part 4: First 132,610,455
- Part 5: First 120,735,841
- Part 6: First 121,770,550
- ³¹² This was done arbitrarily and resulted in **756,642,169 total SMILES.**



Figure C3: Reward shaping function for QuickVina2-GPU-2.1.

313 C Reward Shaping

This section contains details on the reward shaping functions used during Augmented Memory³⁹ goal-directed generation. Fig. C3 shows the function for QuickVina2-GPU-2.1^{42–44} docking scores. For QED⁴⁶, raw values were used. Subsequently, the reward-shaped docking scores and raw QED values were aggregated to a single scalar following the equation below:

$$R(x) = \left[\prod_{i} p_i(x)^{w_i}\right]^{\frac{1}{\sum_i w_i}}$$
(2)

where x is a SMILES⁴¹, i is the index of an oracle given many oracles (MPO objective), p_i is an oracle, and w_i is the weight assigned to the oracle. In this work, the oracles were QuickVina2-GPU-2.1⁴²⁻⁴⁴ docking and QED⁴⁶ with weights of 5 and 1, respectively.

321 D Additional Results

This section contains supplementary plots comparing Dynamic Beam Enumeration matched compounds vs. random sampling in WuXi and WuXi-Large. Fig. D4 shows the distributions of docking scores, QED, and reward. Fig. D5 shows the distributions of molecular weight, topological polar surface area (tPSA), and number of heavy atoms. Table 2 contains statistics on the internal diversity (IntDiv1)⁵² and #Circles⁵³ with threshold = 0.75 of the matched vs. randomly sampled compounds. We note that WuXi-Large is notably less diverse than the smaller WuXi.



Figure D4: Distributions of oracle values.



Figure D5: Distributions of property values.

Table 2: Diversity of WuXi matched compounds vs. randomly sampled. The numbers in parenthesis denote the number of replicates out of 10 that were successful in matching 1,000 compounds. All compounds were pooled and the mean and standard deviation for IntDiv1 and #Circles are reported.

Method	Wu	Xi (84,243,879)	WuXi-Large (756,642,169)		
	IntDiv1	#Circles (Threshold = 0.75)	IntDiv1	#Circles (Threshold = 0.75)	
Random (10, 10)	0.826 ± 0.001	90 ± 0.7	0.773 ± 0.002	5 ± 1	
Dynamic Beam Enumeration					
20 Tokens (9, 9)	0.781 ± 0.035	20 ± 10	0.630 ± 0.039	1 ± 1	
25 Tokens (5, 1)	0.743 ± 0.027	8 ± 3	0.741 ± 0.000	4 ± 0	