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# Detection in Progress - A Multimodal Segmentation-based Approach for Predicting Glioblastoma Recurrence

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## Abstract

Radiation therapy planning for patients with glioblastoma requires defining the clinical-target-volume by delineating the tumor and including a margin of healthy tissue to account for microscopic tumor spread post radiation therapy. The current standard-of-care practice for defining the clinical-target-volume still employs an isotropic 1–2 cm expansion of the identified T2-hyperintensity lesion. As a consequence, normal-appearing brain tissue is overtreated, and it also ends up missing progression regions as it overlooks the heterogeneous infiltrative nature of these tumors. We propose incorporating anatomical, metabolic, and diffusion-weighted imaging acquired before surgical resection or between surgery and radiation therapy, using a lesion-size-aware segmentation objective to improve clinical-target-volume definition. The results in multiple metrics demonstrate better prediction of tumor progression than standard of care, as indicated by contrast enhancement and T2-hyperintensity at recurrence. Overall, our approach minimizes treatment of normal-appearing brain and captures progressed voxels beyond the 2 cm expansion.

## 1 Introduction

The current standard-of-care (SOC) for highly infiltrative glioblastoma (GBM) begins with maximal safe surgical resection, followed by external beam radiotherapy (RT) in conjunction with temozolamide chemotherapy [1]. Despite decades of clinical trials incorporating novel systemic and targeted agents and radiation dosing schemes, the only change to SOC treatment of GBM is the inclusion of Tumor-Treating-Fields upon completion of RT, which has resulted in minimal improvements in outcome beyond the typical 12–15 month dismal prognosis [2, 3]. This is in part due to the difficulty in identifying and treating the full extent of these highly infiltrative tumors with RT, while also sparing critical brain tissue to preserve normal brain function [4].

Although recent advances in RT delivery can provide millimeter-scale precision and dose modulation, current RT treatment planning protocols are still based on a uniform 1–2 cm geometric expansion of the gross-tumor volume defined on conventional post-contrast T1-weighted and T2-weighted FLAIR MRI, without considering spatial heterogeneity. This has the unintended consequences of undertreating subclinical disease not yet visible on anatomical MRI, as well as unnecessarily irradiating normal brain tissue, adversely affecting clinical outcome and increasing toxicity. Moreover, recent studies have shown that tumor progression can occur beyond the 2cm expansion of the hyperintensity lesion, from T2-weighted images, for about 10-37% of patients [5, 6, 7, 8, 9, 10]. And

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up to 60% of the irradiated tissue in the high-dose field can be normal-appearing brain [11], which can cause neurotoxicity. This, in turn, can negatively affect a patient’s cognitive function, quality-of-life, and overall survival (OS)[12, 13]. The introduction of anti-angiogenic agents also alters the pattern of tumor recurrence, with non-enhancing tumor progression becoming more prevalent than previously observed, further complicating target planning.

In this work, we propose a novel multimodal approach for defining RT clinical target volumes (CTVs) utilizing deep learning-driven predictions of GBM recurrence patterns from either pre-RT or pre-surgery anatomical, diffusion, and metabolic MR images. We compare the resulting predicted volumes to the SOC 1–2 cm uniform expansion of anatomical lesion CTVs for their ability to cover the extent of the lesion at the time of recurrence. Our results demonstrate that this comprehensive personalized strategy produces a more biologically relevant definition of RT target volumes based on the true extent of infiltrating tumor that more closely overlaps with the region of progression, while minimizing the dose to the normal brain, thus encouraging future work in this area.

Our contributions include: 1) the formulation of planning the CTV for GBM RT as a modified segmentation task that predicts tumor progression, improving upon SOC definition; 2) a novel loss function and tumor segmentation metric that takes into account lesion size; 3) experimental results demonstrating the flexibility of the approach using MR imaging obtained at either pre-surgery or pre-RT time points; 4) an effective pipeline that performs consistently better than SOC that can be directly implemented in a future clinical trial to determine impact on extending survival outcomes.

## 2 Related Works

Recent advances in diffusion-weighted and metabolic MRI have enabled voxel-level visualization and characterization of cellular-level measures of tumor involvement [14, 15], yet are largely unused in RT planning outside of a few recent single-arm phase II clinical trials [16, 17, 18, 19, 20, 21].

Increases in apparent diffusion coefficient (ADC) and decreases in fractional anisotropy (FA) using diffusion tensor imaging (DTI) [22, 23, 24] can reflect subclinical tumor invasion, which causes an increase in edema and a decrease in directionality along white matter tracts [25]. Metabolite levels estimated using proton Magnetic Resonance Spectroscopic Imaging (<sup>1</sup>H-MRSI) and the derived Choline-to-NAA index (CNI) can probe underlying cellular metabolism associated with infiltrative tumor [25, 26], hypoxia [27], tumor progression, and survival [14, 28, 29]. Although these MRSI [16, 17, 18, 21, 30, 31, 32] and other imaging methods such as <sup>18</sup>F-FET-PET and <sup>11</sup>C-MET-PET [33, 34, 35, 36] have shown great promise in more accurately predicting tumor infiltration for incorporation into RT planning, these studies have been limited to simulations or retrospective analyses, lacking prospective evaluation in a clinical trial.

More recent prospective single-arm phase II studies have used imaging to either guide dose escalation based on <sup>18</sup>F-DOPA-PET [37] or choline/NAA > 2 from MRSI [17], or boost regions based on elevated relative cerebral blood volume or hypercellularity volume defined on high b-value diffusion images [38, 39]. Although these studies demonstrated significant improvements in outcome (92% 12-month OS rate) compared to historical controls [38], they relied on images describing the current characteristics of the tumor prior to radiation, without modeling where the microscopic infiltrative tumor would ultimately progress.

Recent works in brain lesion segmentation use Swin-like transformer based [40, 41, 42] on multimodal MRI approaches perform strongly on BraTS 2021 challenge [43]. However, they segment the tumor voxels in the input image and hence do not solve for predicting progressed lesion mask post RT.

## 3 Methodology

We model CTV generation as a predictive segmentation task where we generate the mask of voxels at the time of recurrence, given multi-modal MRI scans (metabolic, diffusion-weighted, and anatomical) acquired immediately before surgery or RT.

**Data** As input to the model, we include anatomical T2 FLAIR (FLA) and T1 post-contrast (T1C) images, Apparent Diffusion Coefficient (ADC) and Fractional Anisotropy (FA) maps quantified from diffusion-weighted imaging, and Choline-to-Creatine Index (CCrI) and Choline-to-NAA (CNI)

from MRSI (metabolic imaging). We use this specific combination of 6 images as it performs the best in our ablation study (Appendix Figure 2). At recurrence, we use semi-automated methods to generate anatomical regions of interest (ROIs) – the T1 contrast-enhancing lesion (CEL) and T2 FLAIR hyperintensity lesion (T2L) – to construct the ground truth labels. Appendix B.2 provides the data preparation and processing details.

**Progression Model** We stack 6 pre-surgery or pre-RT 3D volumes of intensity as 6 channels of a 3D image to form the input  $x$ , while we consider the union of both the 3D progression masks as the ground-truth mask  $y$ . Since  $x$  is a 3D image and  $y$  is a 3D image mask, we model this as a segmentation task even though  $y$  is the segmentation mask of  $x$  in the future (at recurrence) to arrive at an approximation ( $\hat{y} = f'(x)$ ) of the true mapping ( $y = f(x)$ ) to determine the progression CTV. We use 2 SOTA encoder-decoder medical segmentation models from different families: EquiUNet [44, 45] from the UNet family, and SegFormer3D [46] from the Vision Transformer (ViT) family.

**Objective** Patients with smaller lesions pose the problem of a more imbalanced dataset with larger negative class samples consisting of non-lesion brain tissue. To counteract this imbalance and enhance sensitivity, false negatives require a higher weight, which can be modeled using the flexible Tversky Loss. We propose curating the False Positive weight ( $\alpha$ ) and False Negative weight ( $\beta$ ) based on lesion size to help solve the imbalance problem and determine more optimal decision thresholds for the model. We coin this variation of Tversky Index as the Progression Coverage Coefficient (PCC) in Eq. 1. Our PCC formulation allows balancing the tradeoff between sensitivity and specificity per input sample, whereby patients with large tumors benefit from weighting towards higher specificity (to prevent overtreating normal brain), while patients with small tumors benefit from enforcing a higher sensitivity (to ensure complete coverage of the progressed lesion).

$$PCC = \frac{TP}{TP + \alpha FP + \beta FN} \quad \text{where } \beta = \frac{1}{f+1}, \alpha = 1 - \beta, f = \frac{\# \text{ lesion voxels}}{\# \text{ brain voxels}} \quad (1)$$

Given the ground-truth  $Y$ , and the prediction  $\hat{Y} = f'(X)$ , the PCC Loss can be evaluated as:

$$L_{pcc}(Y, \hat{Y}) = 1 - \left( \frac{\|\hat{Y} \cdot Y\|_1}{\|\hat{Y} \cdot Y\|_1 + \alpha \|\hat{Y} \cdot (1 - Y)\|_1 + \beta \|(1 - \hat{Y}) \cdot Y\|_1} \right) \quad (2)$$

We define the objective function of our task as the combination of PCC Loss (Eq. 2) with the Binary Cross Entropy Loss ( $L_{bce}$ ) weighted by scalar  $\lambda$  (Eq. 3).

$$L(Y, \hat{Y}; \lambda) = L_{pcc}(Y, \hat{Y}) + \lambda L_{bce}(Y, \hat{Y}) \quad (3)$$

**Evaluation** We evaluate model performance using 4 metrics: 1) sensitivity, measured to account for the coverage of the tumor, with high sensitivity being a necessary requirement; 2) specificity, measured to evaluate the amount of normal brain spared; 3) Dice coefficient to get a combined sense of precision and recall, and 4) the newly-derived and individualized PCC (Eq. 1), quantified to take into account the tumor size when weighting FPs and FNs. The importance of using PCC, as an evaluation metric as well, is underscored by the fact that the CTV, which was modeled using only the input anatomical lesions, had the highest value for both mIOU and Dice score (Table 1 – "No Prog") but should be the poorest model as it neglects to cover any infiltrating tumor cells that later progress; This is correctly reflected by the model's extremely low sensitivity. The PCC scores for this CTV were low, capturing its poor performance. In contrast, both SOC CTVs had low mIOU and Dice scores but comparatively higher PCC scores. We compare our approach against the two commonly utilized SOC CTV definitions. 1) The Radiation Therapy Oncology Group (RTOG) recommends a more aggressive treatment CTV, which combines CEL and T2L with a 2 cm uniform expansion [47, 48]; 2) The European Organization for Research and Treatment of Cancer (EORTC) recommends a more conservative CTV, which expands the CEL along with the resection cavity uniformly by 1.5 cm [49] [50], excluding any vasogenic edema observed on the FLA image [51].

## 4 Experiments

We conduct experiments on two different datasets: 1) **pre-surgery data** — 92 patients newly-diagnosed with GBM whose MRI scans were acquired 1-3 days before surgery; 2) **pre-RT data**

Table 1: **Model performance comparison across datasets.** This compares both our deep learning approaches, EquiUNet (here UNet) and SegFormer3D (here ViT), against the SOC baselines and the case where we generate a CTV with no progression (here No Prog). The numbers show the mean across the test patients along with the standard deviation. PCC more accurately captures lesion segmentation performance than traditional metrics like Dice and mIOU as the latter find No Prog the best. Our approaches outperform SOC CTVs on PCC.

Data	Model	Sensitivity	Specificity	mIOU	Dice	PCC
	No Prog	$0.60 \pm 0.22$	$1.00 \pm 0.00$	$0.60 \pm 0.22$	$0.73 \pm 0.19$	$0.62 \pm 0.22$
Pre-Surgery	EORTC	$0.77 \pm 0.17$	$0.92 \pm 0.04$	$0.43 \pm 0.12$	<b><math>0.59 \pm 0.12</math></b>	$0.74 \pm 0.16$
	RTOG	$0.90 \pm 0.12$	$0.80 \pm 0.06$	$0.27 \pm 0.10$	$0.42 \pm 0.11$	$0.81 \pm 0.11$
	UNet	$0.82 \pm 0.14$	<b><math>0.93 \pm 0.03</math></b>	<b><math>0.44 \pm 0.17</math></b>	$0.59 \pm 0.17$	$0.80 \pm 0.13$
	ViT	<b><math>0.92 \pm 0.10</math></b>	$0.82 \pm 0.05$	$0.29 \pm 0.11$	$0.44 \pm 0.14$	<b><math>0.82 \pm 0.09</math></b>
Pre-RT	No Prog	$0.46 \pm 0.18$	$1.00 \pm 0.00$	$0.46 \pm 0.18$	$0.61 \pm 0.17$	$0.47 \pm 0.18$
	EORTC	$0.88 \pm 0.11$	<b><math>0.89 \pm 0.05</math></b>	<b><math>0.28 \pm 0.13</math></b>	<b><math>0.43 \pm 0.16</math></b>	$0.82 \pm 0.10$
	RTOG	<b><math>0.96 \pm 0.09</math></b>	$0.78 \pm 0.10$	$0.18 \pm 0.06$	$0.30 \pm 0.09$	$0.83 \pm 0.08$
	UNet	$0.93 \pm 0.08$	$0.88 \pm 0.04$	$0.27 \pm 0.13$	$0.41 \pm 0.16$	<b><math>0.85 \pm 0.07</math></b>
	ViT	$0.90 \pm 0.15$	$0.82 \pm 0.10$	$0.20 \pm 0.06$	$0.34 \pm 0.08$	$0.80 \pm 0.12$

— 101 patients with GBM post-surgical resection and scanned within 1 week of beginning RT. All patients were diagnosed with a pathologically confirmed primary GBM according to WHO 2016 criteria and followed up with clinical MRI scans until progression was confirmed. Appendix B.1 contains further details about data acquisition. Pre-surgery data was split into 54/27/11 (train/val/test) sets, while the pre-RT dataset was split 67/16/18. We carried out experiments for both these datasets with two models, EquiUNet and SegFormer3D, comparing them against the 2 SOC definitions: RTOG CTV and EORTC CTV. Appendix C.1 describes hyperparameters used and selection strategy.

## 5 Results

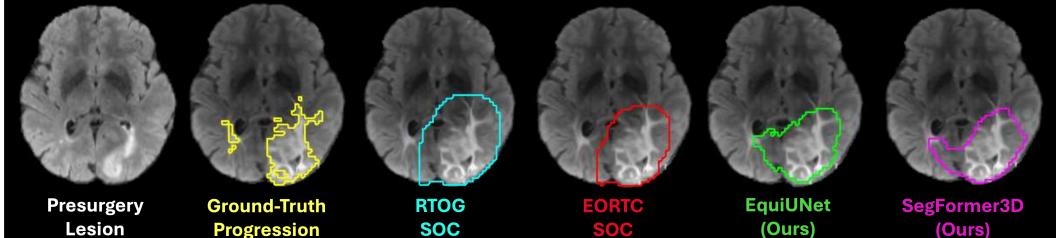
Table 1 compares the results of our models to the SOC CTVs based on the necessary and sufficient metrics for both the pre-surgery and pre-RT data versions. As the RTOG CTV is the most aggressive treatment, it has the highest sensitivity for pre-RT; while EORTC is more conservative in nature and therefore has the highest specificity when generated on the pre-RT dataset. In the case of pre-surgery scans, Segformer3D outperforms both the SOC CTVs in terms of sensitivity and PCC; while EquiUNet has the highest specificity but misses parts of the tumor, as evident by the lower sensitivity and PCC. In the case of pre-RT scans, EquiUNet performs the best overall in terms of having comparably high sensitivity to the RTOG CTV, but with similar specificity to the EORTC CTV, resulting in the highest PCC.

Figure 1 visually demonstrates the improvements that the deep learning approaches bring. For the example from the pre-surgery data (Fig.1a), both the SOC CTVs miss part of the contralateral progressed lesion (yellow) that the deep learning approaches were able to capture, while also sparing more normal brain. In the pre-RT example (Fig.1b), the RTOG CTV has the highest sensitivity, but unnecessarily treats a large portion of unaffected occipital lobe tissue. The more conservative EORTC CTV exhibits higher specificity, but misses a part of the progressed lesion in the anterior portion of the temporal lobe that is covered by the deep learning approaches. EquiUNet has better coverage while still being specific and sparing the normal-appearing brain.

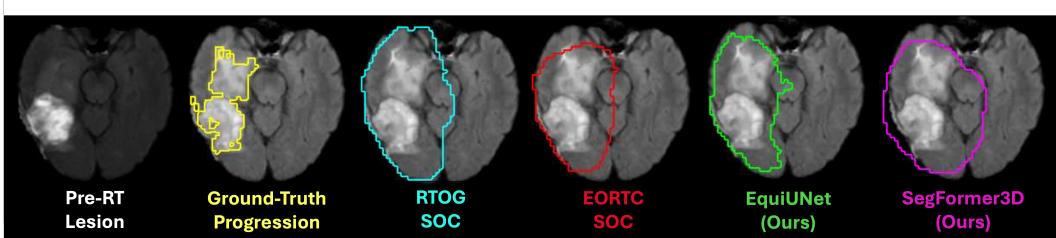
Results from our comparative study between different objective functions (Appendix Figure 3) demonstrate that models trained using our combined objective  $L_{pcc\_bce}$  achieve higher sensitivity.

## 6 Discussion

Our results demonstrate the performance gain achieved by our multimodal segmentation-based approach for CTV generation over the SOC CTV definitions. Comparable coverage of the progressed region was achieved while sparing more normal brain. Depending on which time point MRI scan is



(a) Pre-surgery.



(b) Pre-RT.

Figure 1: T2-FLAIR scans from (a) pre-surgery data and (b) pre-RT data comparing performance of our proposed deep learning approach against the baselines and the ground-truth. The left-most scan in each depicts the input scan. Our approaches capture progressed voxels that are missed in the SOC CTVs, while remaining specific and sparing the normal-appearing brain.

used for treatment planning, a different model is recommended. For the pre-surgery case, we see that SegFormer3D, in general, performs better than EquiUNet, while EquiUNet performs better using pre-RT MRI exams. Given that ViTs tend to perform better when more information is available, it is not surprising that they performed better on pre-surgery data, where there is more tumor available to learn from before it is resected. Achieving at-par performance with Vision Transformers even in our low-resource setting is a strong signal for its potential as available data increases over time. Our experiments demonstrate the effectiveness of incorporating multiple, biologically relevant MRI sequences using deep learning with a lesion-size-aware objective for better RT planning, potentially leading to better outcomes for these patients in the future.

## 7 Conclusion

Our study demonstrates the ability to predict regions of tumor progression and automatically generate clinical target volumes for RT planning with improved sparing of the normal-appearing brain as compared to two different SOC recommendations without compromising on the coverage. Our work also demonstrates that using PCC as an objective improves performance. While as a metric, it more accurately captures segmentation performance where traditional metrics such as Dice score and mIOU fail for this spatially imbalanced task. Future studies will prospectively validate these findings in additional cohorts that include patients who were originally treated according to the most recent EORTC and RTOG guidelines before incorporating this approach in a clinical trial.

**Limitations** The primary limitation of our work is the lack of data. Even though we verify our approach on two datasets, they are both low-resource and are inherently different, so while our dataset is much larger than most studies, it is still considered small for deep learning tasks. Although the inclusion of multiple modalities gives us a more informed model, the metabolic MRSI is low-resolution and is not routinely performed in clinical practice, and this could limit widespread adoption. However, since the acquisition of this data, higher resolution MRSI has become more widely available within clinically reasonable scan times, and there has been more interest in developing open-source packages for post-processing [27, 52, 53, 54].

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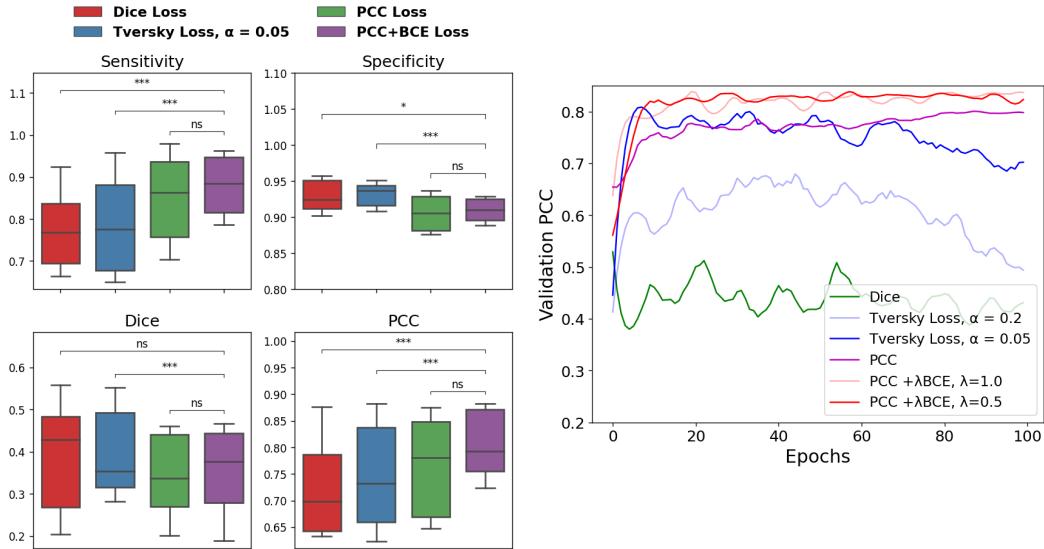
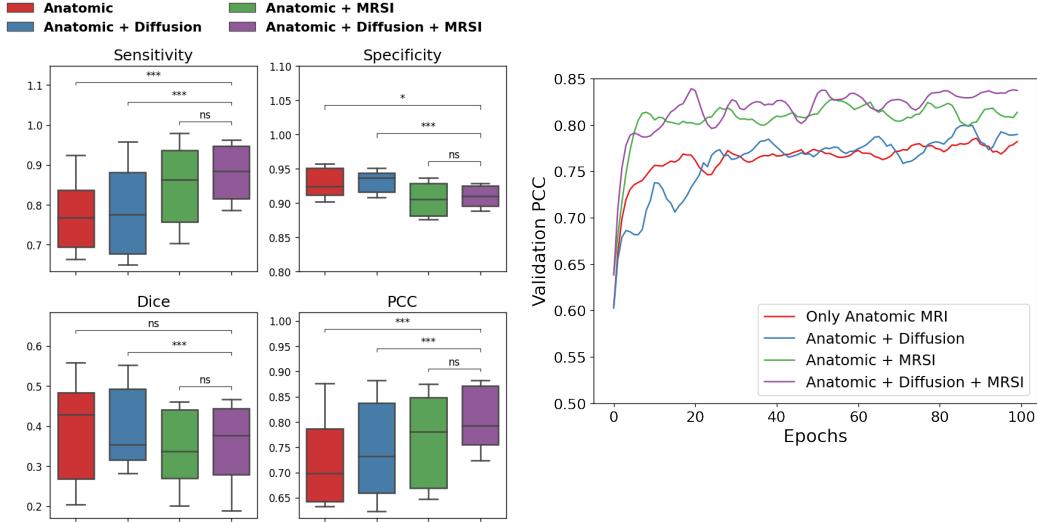
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## A Additional Experiments

Additional experiments were performed to find the best combination of MRI input modalities and the effectiveness of using the PCC + BCE combination loss function.



## B Data

### B.1 Acquisition

A total of 193 patients who were newly diagnosed with a pathologically confirmed primary GBM according to WHO 2016 criteria were included in this retrospective analysis. All patients received SOC treatment, including surgical resection followed by external beam RT (total dose of 60 Gy in 2 Gy fractions over a course of 6 weeks), concomitant daily temozolomide (75 mg/m<sup>2</sup>), and six cycles of maintenance adjuvant temozolomide chemotherapy (total 150–200 mg/m<sup>2</sup>). All patients gave informed consent to participate in the research according to guidelines established by the Institutional Review Board (IRB) of the organization.

Out of these, 92 patients received a baseline MRI scan pre-surgical resection, and the remaining 101 patients received the scan post-surgical resection but within 1 week prior to initiating radiotherapy and chemotherapy. These included T2-FLAIR imaging, pre- and post-contrast T1-weighted imaging, DWI, and MRSI. After the course of radiotherapy and chemotherapy, patients were followed serially with clinical MRI scans every two months (including at least pre- and post-contrast T1-weighted and T2-FLAIR imaging) until progression.

The MR examinations were performed on a 3 T GE Signa scanner using an eight-channel phased-array head coil. Standard anatomical imaging included T2-weighted FLAIR and 3D T1-weighted IR-SPGR imaging pre- and post-injection of a gadolinium-based contrast agent. For pre-RT MRI scan, diffusion-tensor images were obtained with  $b = 1000 \text{ s/mm}^2$ , 6-directional diffusion-weighted echo-planar imaging sequence, and 4  $b_0$  images (repetition-time[TR]/echo-time[TE] = 1000/108 ms, voxel size=1.7–2.0 × 1.7–2.0 × 2.0–3.0 mm). Lactate-edited 3D <sup>1</sup>H-MRSI was acquired using point-resolved spectroscopy volume localization and very selective saturation bands to avoid chemical shift artifacts as well as to suppress residual lipid signals (excited volume = 80 × 80 × 40 mm, TE/TR = 144/1100–1250 ms, over- PRESS-factor = 1.5, nominal voxel size = 1 × 1 × 1 cm, flyback echo-planar readout in SI, total acquisition time = 9.5 min, sweep-width=988 Hz, and 712 dwell-points).

### B.2 Processing

**Image Construction** Anatomical ROIs included the T1 contrast-enhancing lesion (CEL), T2 FLAIR hyperintensity lesion (T2L), non-enhancing lesion (NEL; defined as CEL subtracted from the T2L) and normal-appearing voxels (NAV; defined as normal brain tissue from a skull-stripped brain mask obtained using the HD-BET brain extraction tool [55] after subtraction of cavity, ventricles, and lesion ROIs). CEL, T2L, and NEL ROIs were semi-automatically delineated on the pre- and post-contrast T1-weighted images (CEL) and T2-weighted FLAIR images (T2L), using in-house software, before manual inspection and editing by a trained senior research specialist in radiology (TLL). All exams in the test set, as well as whenever there was a question on the boundary, were also verified by a study neuroradiologist (JEVM). From the DTI data, maps of ADC and FA were calculated using FMRIB’s Diffusion Toolkit [56] and normalized to the mode of intensities in normal-appearing brain tissue. Spectroscopic data were reconstructed and post processed using in-house software to generate metabolite peak height maps, choline-to-NAA index (CNI), choline-to-creatinine index (CCrI), and creatine-to-NAA index (CrNI) from baseline-subtracted, frequency- and phase-corrected spectra on a voxel-by-voxel basis [27, 52].

**Image Alignment** All images from the pre-surgery and pre-RT timepoints were rigidly aligned to their respective post-contrast T1-weighted image using Slicer’s BRAINSFit tool with B-spline warping [50], or FMRIB’s FLIRT [57, 58], before being resampled to 3×3×3 mm resolution to mitigate any potential errors due to any residual misalignment. In order to allow for accurate matching of voxels between the input (pre-RT or pre-surgery) and the corresponding progression scans, a deep learning method specifically trained on serial post-resection glioma data with tissue shift [59] as part of the BraTS-Reg 2022 challenge was utilized to align anatomical images at progression to the corresponding input scan, and the resulting transformation matrix was applied to all images and ROI files from the progression scan. This software, which ranked 1st place in the 2022 MICCAI BraTS-Reg challenge, utilized a 3-step deep-learning-based approach to match voxels between pre-treatment and progression scans that consists of: (1) multi-level affine pre-alignment, (2) a conditional deep Laplacian pyramid image registration network (cLapIRN) with forward-backward

Table 2: **Model hyperparameters.** Optimal values that were used to produce the results in Section 5 for EquiUNet, and SegFormer3D for both the datasets.

Data	Model	Epochs	LR	$\lambda$	Optim	Warmup
Pre-Surgery	EquiUNet	150	$5 \times 10^{-5}$	0.6	ranger	-
	SegFormer3D	300	$1 \times 10^{-4}$	0.9	adamw	20
Pre-RT	EquiUNet	150	$5 \times 10^{-5}$	0.5	ranger	-
	SegFormer3D	300	$1 \times 10^{-4}$	1.2	adamw	20

consistency constraints, and (3) a non-linear instance optimization with inverse consistency. The resulting transformation matrix was then applied to all images and ROI files from the progression scan. All outputs were visually inspected by a senior research scientist (TLL) with over 20 years’ experience in verifying serial alignments. In the few cases where the quality of alignment was deemed not sufficient (<5%), non-rigid registration with B-spline warping using Slicer’s BrainsFit [60] and intermediate scans was first applied, followed by the deep learning model. We found that this process was able to adequately handle alignment and any tissue shift from the shrinkage of the cavity, visually.

### B.3 Release

The imaging dataset used in this study cannot be shared publicly due to patient privacy and ethical restrictions. However, the results generated from this study can be made available from the corresponding author upon reasonable request.

## C Training

### C.1 Hyperparameters

Hyperparameter optimization was performed separately for each architecture and data time point using 3-fold cross-validation with patient-level splitting to prevent leakage. All images were first normalized using min-max normalization, which resulted in better performance compared to z-score normalization. Data augmentation included random flipping and rotation per batch, adding Gaussian noise, as well as channel shuffling and channel dropping. Hyperparameter searching was performed to identify the optimal values of the number of training epochs, learning rate (LR), BCE multiplier  $\lambda$  from Eq. 3, optimizer (optim), and number of epochs used for warmup before training for training epochs as listed in Table 2.

### C.2 Compute Resources

All models were trained using a single Nvidia TITAN Xp GPU with 12GB VRAM for 12 hours.

### C.3 Release

Code and trained model weights will be made available upon reasonable request according to the guidelines established by the organization and agencies that provided funding for this study, once all studies using the data have been published.