

Always-On-Policy Prompts for Efficient RLHF

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Abstract

The alignment problem, ensuring AI systems adhere to human values, remains a significant challenge despite the collection of increasingly high-quality and expensive datasets. Reinforcement Learning from Human Feedback (RLHF) offers a promising solution, leveraging human judgment during training. However, standard RLHF often relies on static prompts, potentially wasting resources and neglecting areas needing improvement. This work proposes a novel approach for efficient and effective RLHF fine-tuning of large language models (LLMs). We introduce a dynamic prompt generation system that adapts based on the model’s intermediate performance. This allows the model to focus on areas requiring the most human guidance, leading to faster and more targeted alignment. We evaluate our method by comparing three models trained with the same resources: a standard RLHF baseline, a Starts-On-Policy (SOP) model with static prompts based on initial performance, and our Always-On-Policy (AOP) model with dynamically generated prompts. Results demonstrate that AOP significantly outperforms all other models showcasing the effectiveness of our approach.

1 Introduction

In the rapidly evolving field of artificial intelligence (AI), ensuring that AI systems act in ways that are aligned with human values and intentions presents a significant challenge, known as the alignment problem. This problem arises from the difficulty in creating models that can reliably understand and adhere to human ethical standards and goals, particularly as these systems become more autonomous and capable.

In recent years, large language models have become all pervasive, and more capable. However, these systems still display varying levels of misalignment, which requires improved alignment algorithms for LLMs that can be used across different

use cases (including those with modest data collections).

Reinforcement Learning from Human Feedback (RLHF) stands out as a promising strategy for addressing the alignment problem. Unlike traditional methods reliant solely on predefined reward functions, RLHF harnesses human feedback to train AI models. This approach leverages human judgment to steer AI behavior, enhancing the likelihood of alignment with human values and intentions. By embedding human feedback directly into the learning process, RLHF serves as a bridge between human preferences/values and the AI’s objective-driven learning system. This method proves especially valuable in situations where crafting an explicit reward function encompassing all facets of desired behavior poses challenges or proves infeasible.

One limitation of standard RLHF model training today is that it often uses static prompts during RL fine-tuning. In this paper, we find that this both wastes iterations on prompts the model may already be good at (which is expensive) and also takes focus away from true failures in alignment.

Here, we fine-tuned a target LLM, in a more effective and efficient way, to align it to human preferences using a modification of RLHF. To this end, we generated a dynamic fine-tuning prompt set based on the model’s intermediate performance. For proving its efficacy, we train three models with the same number of training steps and with the same number of records; a vanilla RLHF with synthetically generated data, a Starts-On-Policy (SOP) model that trains on a *initial*-performance based synthetic dataset, and a final Always-On-Policy (AOP) model, where the performance-based dataset is dynamic and changes with each iteration of RLHF.

In this work, we show that AOP results in significant gains when compared to vanilla RLHF and the SOP models. These results suggest that there is

083	a trade-off being largely ignored by RLHF practitioners today: the trade-off between RLHF training	2.2	Aligning Language Models with Offline Learning from Human Feedback.	132
084	compute and prompt selection compute. With these results, we argue that dynamic prompt selection			133
085	should become a standard practice for alignment of LLMs.			
086				134
087				135
088				136
089	This work can also be seen as a novel form of distillation, when training a smaller policy using			137
090	dynamic prompt generation from a larger AI feedback model. While distilling demonstrations for			138
091	SFT is a well-explored technique, this more dynamic form of distillation targeted towards areas			139
092	of relative weakness of a mid-RLHF policy has not yet been well studied.			140
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182	data is scarce (Gholami and Omar, 2023), and help	4	Data	221
183	mitigate privacy and ethical issues related to col-	4.1	Supervised Fine-Tuning Dataset	222
184	lecting real-world personal data (Yoo et al., 2021).			
185	The flexibility provided by the diverse, high-quality			223
186	data tailored for the specific NLP task based on the			224
187	few-shot examples also improves model robustness,			225
188	generalizability, and scalability (Li et al., 2023).			226
				227
				228
189	3 Baselines and Notations	4.2	Reference Dataset	229
190	To prove our hypothesis, we train four types of			230
191	models. The first model is a supervised fine-tuned			231
192	model, trained on the Dolly 15K dataset, using a			232
193	pre-trained GPT-2 Medium (345M parameters) as			233
194	a base. This is referred to as SFT (model). We			234
195	try to improve on this model using three different			235
196	methods.			236
				237
197	While the SFT model is a good baseline to be-			238
198	gin with, we also create an RLHF baseline. Since			239
199	we fine-tune it on a dataset without any on-policy			240
200	prompt calculations, as is usually done in RLHF,			241
201	we name this model vanilla RLHF.			242
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202	Since our hypothesis enlists us to explore how			244
203	significant the continual presence of ‘on-policy’ in-			245
204	put prompts can aid training (keeping everything			246
205	else constant), our vanilla model is trained on the			247
206	exact same number of records that the correspond-			248
207	ing ‘SOP’ and ‘AOP’ models (defined below) are			249
208	trained on, and also synthetically generated by the			250
209	same LLM, with the same stylistic properties of			
210	the those datasets. ¹	4.3	Vanilla Prompt Generation and	251
			Clustering Pipelines	252
211	Next, we create a synthetic prompt dataset based			253
212	on the initial SFT model performance. Hence it is			254
213	initially on-policy, but not necessarily so as training			255
214	continues. Since it starts on policy, we can name			256
215	this model Starts-On-Policy (SOP).			257
216				258
217	The final method under test involves the same			259
218	synthetic generation process, except we ensure that			260
219	each training iteration uses prompts that are on-			261
220	policy. This dynamic prompt-based RLHF model			262
	is called Always-On-Policy (AOP).			263
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¹Given this requirement, we fix the total number of records that would be used to train each of these three models (i.e. vanilla, SOP and AOP) to be 8000, for 4 epochs each (125 steps for each batch of 16, and using each data point twice). This is all in an effort to ensure the exact same training styles are applied, and a fair comparison is made possible. The hyperparameters and training methods for RLHF are described in Section 5.

tions that could be asked based on each of the keywords. The prompting strategy was refined multiple times to ensure (i) the questions are informal, use personal pronouns, or anecdotes like the ones seen on Reddit, (ii) the questions are diverse and non-repetitive for each keyword, (iii) the questions are of varying lengths and could be aimed at asking answers/opinions/sharing experiences, and (iv) the questions follow any syntax/rules of the corresponding subreddit (for example, questions in Explain Like I'm Five subreddit have the abbreviation ELI5 always). The next task was to refine this large dataset further to retain only the best, most diverse questions. It was possible for similar questions to arise because of three reasons: (i) Gemini generated similar questions despite the prompt asking for diverse ones (ii) similar keywords within each subreddit could lead to the generation of similar questions, and (iii) subreddits themselves might have a lot of intersection. To overcome this, we computed the sentence embeddings of each question, and then performed K-Means clustering, after an intermediate step of dimensionality reduction using Principal Component Analysis.² By performing the clustering in such a manner, we not only grouped similar keywords within one subreddit, but also grouped similar questions from different subreddits together. For each of the resulting clusters, we used cosine similarities to eliminate similar sets of questions.³

4.4 Score-Based Prompt Generation

For our SOP and AOP models, we built a pipeline to generate prompts dynamically to fine-tune our model, based on the current model's capabilities, that is, creating on-policy prompts. At the start of every fine-tuning iteration, we used the held-out SHP data to evaluate the performance of the current model. Rewards were computed based on the answers generated for each question in this dataset (see Section 5.1). The held-out set questions, the generated answers, the category (i.e. subreddit) of the questions, and the reward scores were then used to generate a new set of questions, to try and

²We considered $n_clusters = 11$, selected by manually going through the 18 categories and understanding which subreddits could fit together. For example, `ask_baking` and `ask_culinary` subreddits could have a lot of overlap. Similarly, `ask_science` and `ask_physics` or `ask_vet` and `ask_doctors` could potentially have a lot of overlap.

³The cosine similarities were sorted across different clusters (rather than sorting within each cluster) to ensure that not many questions from just one cluster were lost.

refine the model specifically in the parts where it struggled.

We randomly sampled 15 questions from each category and presented Gemini-1.5 Pro with the domain-question-answer-reward quadruple. This random sampling was performed 40 times to get different sets of samples. For each sample, we prompted Gemini to identify the type of questions that were being answered well (high reward scores) and those that weren't being answered well (low reward scores). For those with low reward scores, we prompted Gemini to identify (i) the subreddits in which the model performed poorly and (ii) common properties in the questions. These common properties could include question length, frequently occurring words, use of proper nouns/world knowledge, etc. The same analysis was done on the questions which were answered well. We then prompted Gemini to use the properties in questions that obtained a high score to generate more questions (with similar properties) in the subreddit classes that performed poorly. By doing so, both common patterns and common domains in questions that performed poorly were being addressed specifically. By explicitly guiding Gemini to leverage properties of high scoring prompts, we create new prompts where performance improvements in the policy are attainable.

The prompt we queried Gemini with is as follows:

You are a writing expert. You are given a set of questions and answers, along with the domain of the question, and the helpfulness score for the answer with respect to the question. A higher score means that the answer is helpful with respect to the question. First, identify the domains in which the answers have received a poor score. Among these questions check for common patterns. For example, these questions could be fact-related, might use proper nouns, etc. Next, check for similar patterns in domains with a high score. Come up with a set of 50 questions, primarily focused on domains that have received a low score. Use common patterns from the high-performing questions while framing these questions, so that the generated questions would receive a high score despite being from the low-scoring do-

364 mains. Make the questions informal, like
365 the ones seen on Reddit, and provide a
366 mixture of long and short length ques-
367 tions.

368 For the SOP prompt set, we ran the process on
369 the SFT model, and generated 8000 prompts, to
370 be used over four iterations of PPO-based RLHF.
371 And for AOP, this process was performed across
372 four iterations to generate a total of 8000 AOP data
373 points/prompts in total. Note that the AOP iteration
374 1 model is the same as the SOP iteration 1 model,
375 since both stipulate prompts to be based on the SFT
376 model performance.

377 This pipeline was initially built with Gemini-1.5
378 Pro, but we also experimented with using GPT4
379 (OpenAI et al., 2024) in its stead. Our results hold
380 when swapping the model for AI feedback.

381 4.5 Test Data

382 Apart from general alignment and instruction fol-
383 lowing abilities, our primary goal in this paper is
384 creative a more *helpful* model, that is capable of
385 answering human-like diverse queries in a natural
386 way. To this end, we select a 2000 record subset of
387 the helpful-base split of the Anthropic HH-RLHF
388 dataset (Bai et al., 2022).⁴

389 5 Methodology

390 5.1 Reward Model Selection

391 A reward model is crucial in RLHF as it defines
392 the criteria for evaluating the quality of model out-
393 puts based on human preferences. It guides the
394 training process by providing feedback that helps
395 the model learn to produce responses that align
396 with human expectations, ultimately improving
397 the relevance and helpfulness of its outputs. In
398 this work, we picked six high-performing mod-
399 els from the RewardBench Leaderboard (Lambert
400 et al., 2024) - SteamSHP - FlanT5 L/XL (Etharajh
401 et al., 2022a), Llama3 (AI@Meta, 2024),
402 Gemma2B (Dong et al., 2023), Mistral7B (Xiong
403 et al., 2024), and DeBERa V3 (He et al., 2021). We
404 then evaluated each of these models specifically
405 for our use case by picking 50 questions at random
406 from each of the 18 categories of the SHP dataset,
407 resulting in an evaluation of 900 data points for
408 each model. Since the SHP dataset consists of
409 questions along with two answers and data about

⁴Random split from HuggingFace (Wolf et al., 2020) ‘HuggingFaceH4/h4-anthropic-hh-rlhf-helpful-base-gen’ is used.

410 which answer is preferred by humans, this served
411 as our ground truth. For each reward model and
412 each category from SHP, we calculated the number
413 of times (out of 50) the reward model’s preferences
414 matched the ground truth human preference. While
415 the Steam models performed great on the helpful-
416 ness criteria, manual inspection suggested that they
417 weren’t as effective on other aspects such as toxic-
418 ity, actuality, and brevity, and seemed more likely
419 to give a more positive reward. Hence, we chose
420 DeBERTa as the reward model in this work. These
421 results are explained in Table 1.

422 5.2 Codebase and Setup

423 For all the experiments in this paper, we use the
424 Transformer Reinforcement Learning (TRL) li-
425 brary (von Werra et al., 2020), by HuggingFace
426 (Wolf et al., 2020). This provided compute and
427 memory efficient implementations of various parts
428 of the RLHF pipeline, from SFT to RLHF trainers.
429 To establish a proof of concept and to prevent over-
430 fitting, we ran the SFT training for 4 epochs (about
431 4 hours on the GPU). Past this, the validation loss
432 stopped improving. This is a reasonable estimate
433 since the Dolly dataset has around 15000 records
434 only. Hence, we trained each of our models for
435 four epochs, with identical training configurations,
436 all improving the SFT model.

437 5.3 RLHF Experiments

438 As alluded to in Section 3, we want to prove that
439 keeping prompts on-policy *during* training will
440 help us train more effectively and efficiently than
441 without such considerations. To establish this, we
442 first build the Vanilla RLHF model, trained on a
443 synthetic dataset for 4 iterations, using a total of
444 8000 records (see Section 4), where each data point
445 is used twice. During PPO, the optimization is con-
446 strained by the reference policy. Having a small
447 batch size led to jerkier updates. Hence, we used a
448 batch size of 16 with a mini-batch size of 8. This
449 allowed us to run larger batch sizes since it could
450 accumulate gradients across the mini-batches and
451 apply it to a batch.

452 5.3.1 Using SteamSHP

453 Initial experimentation on the vanilla model was
454 conducted using the SteamSHP model as the re-
455 ward model. However, this failed to train well and
456 resulted in very high policy ratios. A high policy
457 ratio meant that the probability of generating a par-
458 ticular token was much greater in the new policy

	SteamL	SteamXL	Gemma2B	Mistral 7B	Llama3	DeBERTa
askdocs_train	42	42	24	39	26	41
explainlikeimfive_train	41	42	24	36	24	43
askphysics_train	42	42	31	40	25	39
askengineers_train	40	43	25	37	23	41
askcarguys_train	36	47	22	34	30	40
askphilosophy_train	38	39	28	39	26	37
askhistorians_train	37	39	34	38	27	39
asksicencefiction_train	44	41	26	31	31	40
askbaking_train	31	35	26	30	23	39
askacademia_train	42	43	23	46	29	47
askanthropology_train	41	41	30	34	30	40
asksocialscience_train	44	41	29	42	29	39
askhr_train	36	38	28	41	27	47
askculinary_train	33	40	31	39	30	42
askvet_train	38	39	28	32	26	41
changemyview_train	34	37	28	36	23	38
askscience_train	37	40	32	30	24	43
legaladvice_train	42	39	29	37	27	30
No. of matches (/900)	698	728	498	661	480	730
Avg. matches (/50)	38.78	40.44	27.67	36.72	26.67	40.56

Table 1: Reward Model Performance

than in the reference policy.

$$Ratio = \frac{Probability\ Under\ Current\ Policy}{Probability\ Under\ New\ Policy} \quad (1)$$

Used as a way to stop the model from deviating too much from the reference policy, this implementation of PPO adds a ratio threshold, where batches having ratios greater than 10.0 are skipped and their updates/gradients are not considered. Initially, this scenario was frequently encountered, prompting a closer examination of the training dynamics as depicted in the training graphs (Figures 1, 2). Analysis suggested that while the value loss showed improvements, the policy loss remained stagnant, and the KL divergence exhibited considerable fluctuations.

After exploring different RLHF hyperparameters, we swapped out the SteamSHP reward model with the DeBERTa model. This resulted in improved training and a reduced policy ratio (Figure 3).

5.4 Implementation Details

In this project, we trained all the models with uniform training configurations, in order to ensure fairness in comparison. In this implementation using

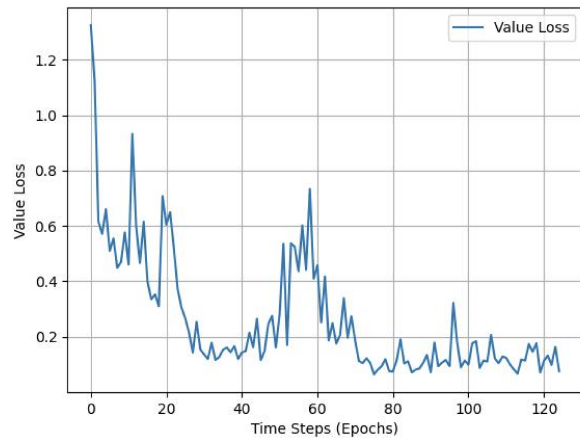


Figure 1: Value Loss Improvement - No Training

TRL library, we used a batch size of 16 (and a mini-batch size of 8), all applied with the CausalLM PPO Trainer. The inputs were all padded to a fixed length of 512 tokens, and the length of output generation fixed to 64 tokens for quick PPO learning (took about 1.5 hours on an A100 GPU per epoch). During PPO, we set generation arguments in a way that incited the model to explore better; namely, we used nucleus sampling (top-p) set to 1 and temperature 0.9. We disabled top-k sampling to ensure that the chance of unexpected outputs (measured using KL divergence between reference and current LM)

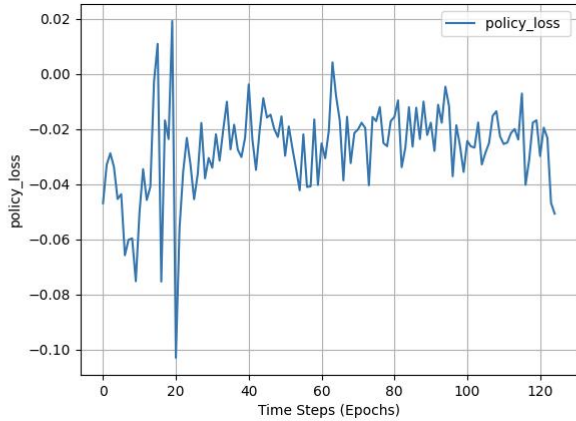


Figure 2: No Improvement in Policy Loss with SteamSHP

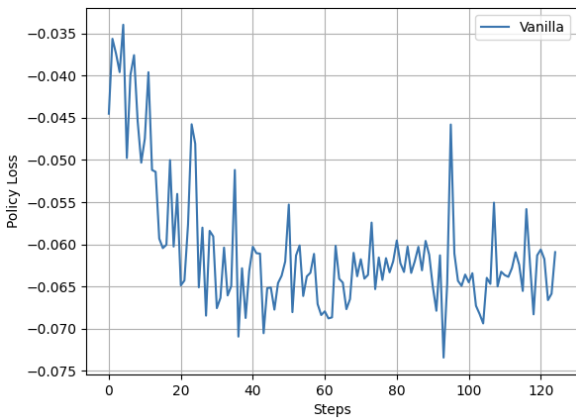


Figure 3: Policy Loss in Vanilla

is minimized.⁵

5.5 Training Observations

Note that the prompts AOP receives would cause it to see the kind of questions that it gets wrong a lot. So, while training proceeds, and these facets improve, the reward will remain fairly steady. In each iteration of AOP, each training point is seen twice, and the same is done for SOP, where it sees each of its initially curated 4000 ‘AOP’ records twice, once in each iteration. Hence this is a fair comparison that proves that using on-policy prompts during RLHF shows better, more efficient training that learns more effectively. Section 6 shows an in-depth comparison of these models.

⁵Top-k sampling can make the model pick less likely words even if they’re within the top options for that particular model, leading to a higher difference between the models, causing KL-divergence to become negative.

6 Results

In this work, we attempt to show gains of using on-policy prompt data, which is updated across iterations of AOP. Though we could simply focus on testing gains of the AOP model against the Vanilla RLHF with a static dataset, our main focus is to show gains of using AOP versus SOP, a stronger baseline.

6.1 LLM-based Evaluation

Since it is notoriously unreliable to get quantitative scores from other LLMs to judge the quality of output generations, we opted for a standard pairwise model evaluation scheme. We prompted an LLM judge to compare the generations of two models for identical prompts, and selected the preferred response in terms of helpfulness. We manually inspected random samples of the LLM judgments to ensure quality.

$$Winrate(A) = \frac{Frequency\ of\ Preference\ A}{Total\ Number\ of\ Test\ Records} \quad (2)$$

In this, we take our 2000 test data prompts (Section 4.5) and pass them to Gemini Pro 1.5 (Team et al., 2024), along with the instructions below, where it should return one of three values: ‘A’ (response A preferred), ‘B’ (response B preferred) or ‘C’ (tie - both responses A and B are similar in quality). Prompt:

Imagine you are an evaluator who is evaluating answers. You need to evaluate two potential responses to determine whether any one is more helpful in resolving your issue or following the guidance provided. Consider which response provides the most practical, informative, and supportive guidance for your situation. Return C if both the responses are similar in quality and helpfulness. Question/task: {} Response A: {} Response B: {}. Return answer as single letter: A or B or C. Do not add any additional text to the answer.

The win rate of a model A over model B (and over and above equally good generations) is calculated using Equation 2. For this project, we compare all the epochs of the SOP model with the AOP model (epochs 2, 3 and 4) and all of Vanilla with AOP models (epochs 1, 2, 3 and 4). The results are shown in Table 2 and Table 3 respectively.

Iteration	AOP Wins	SOP Wins	Ties
2	67.85	3.05	29.00
3	73.15	3.30	23.45
4	59.50	6.00	34.50

Table 2: Win Rates (in %) of AOP versus SOP across 4 epochs of training. Note: At epoch 1, SOP and AOP are the same. Also note, rows are meant to sum to 100%. There is a very small fraction of prompts for which Gemini failed to return a judgment; these were dropped from the results tables.

Iteration	AOP Wins	Vanilla Wins	Ties
1	72.45	2.35	25.15
2	77.45	2.05	20.45
3	80.75	0.45	18.75
4	61.85	0.80	37.30

Table 3: Win Rates (in %) of AOP versus Vanilla RLHF across 4 epochs of training.

6.2 Human Evaluation

In this work, we also perform human evaluation, collecting preference judgements from multiple raters, judging helpfulness on a random subset of 100 records from the test dataset. In this, we compare generations across iterations 2, 3 and 4 of SOP versus AOP.

To ensure there is no bias in the preference judgements, we not only mask the model and iteration names, but also shuffle the order in which the choices are presented to the annotators, and only tell them to mark the response (A or B) they felt was more helpful to the prompt passed, or mark ‘C’ if they both were equal. These are then further processed by shuffling back to the original order, combining and voting on the preferences. Based on this, the win rates are calculated according to Equation 2. The results from the human evaluation are shown in Table 4.

7 Conclusion

This work demonstrates the efficacy of dynamic on-policy prompt data in fine-tuning large language models through Reinforcement Learning from Human Feedback (RLHF). By comparing the Always-

Iteration	AOP Wins	SOP Wins	Ties
2	44	30	26

Table 4: Win Rates (in %) of AOP versus SOP at epoch 2, according to voting-based human evaluation.

On-Policy (AOP) model with the Starts-On-Policy (SOP) and Vanilla RLHF models, we have shown significant improvements in alignment with human values. The AOP model, which continuously updates its training data based on intermediate performance, outperforms other models in terms of reward efficiency and effectiveness. This approach not only optimizes the use of human feedback but also ensures that the model focuses on its areas of weakness, leading to more efficient training. Note that while SOP and vanilla RLHF start to make up some of the lost ground on AOP over time, this takes far more iterations.

Future work can explore the distillation view-point of this work. As mentioned before, AOP can be viewed as a novel form of distillation, which may provide even tighter feedback loops when compared to vanilla distillation-for-SFT-demonstration-data methods used today. AOP’s wins over SOP and vanilla RLHF also suggest that the field should invest more effort in building prompt curriculums.

8 Limitations

We acknowledge some limitations inherent to this study. Firstly, the dataset used for testing comprises 2,000 entries. Testing our models with larger data will make them more robust and improve their generalizability. Secondly, our experiments were conducted on the GPT-2 medium model. It is possible that larger models, which exhibit emergent properties, might respond differently in terms of rewards. Lastly, conducting additional iterations on these larger models could potentially yield improved outcomes.

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