#### **000 001 002 003 004** CROSSMODALNET: MULTIMODAL MEDICAL SEG-MENTATION WITH GUARANTEED CROSS-MODAL FLOW AND DOMAIN ADAPTABILITY

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## ABSTRACT

The fusion of multimodal data in medical image segmentation has emerged as a critical frontier in biomedical research, promising unprecedented diagnostic precision and insights. However, the intricate challenge of effectively integrating diverse data streams while preserving their unique characteristics has persistently eluded comprehensive solutions. This study introduces CrossModalNet, a groundbreaking architecture that revolutionizes multimodal medical image segmentation through advanced mathematical frameworks and innovative domain adaptation techniques. We present a rigorous mathematical analysis of CrossModalNet, proving its universal approximation capabilities and deriving tight generalization bounds. Furthermore, we introduce the Cross-Modal Information Flow (CMIF) metric, providing theoretical justification for the progressive integration of multimodal information through the network layers. Our Joint Adversarial Domain Adaptation (JADA) framework addresses the critical issue of domain shift, simultaneously aligning marginal and conditional distributions while preserving topological structures. Extensive experiments on the MM-WHS dataset demonstrate CrossModalNet's superior performance. This work not only advances the field of medical image segmentation but also provides a robust theoretical foundation for future research in multimodal learning and domain adaptation across various biomedical applications.

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# 1 INTRODUCTION

**034 035 036 037 038 039 040 041** The convergence of multiple imaging modalities in medical diagnostics has ushered in a new era of precision medicine, offering unprecedented insights into complex anatomical structures and pathological conditions. Multimodal medical image segmentation, which aims to delineate and classify anatomical regions by integrating information from diverse imaging techniques such as CT, MRI, and PET, has emerged as a cornerstone of this revolution. The potential of this approach is particularly evident in applications like whole-heart segmentation, where the complementary strengths of different modalities can be leveraged to overcome individual limitations and enhance overall accuracy.

**042 043 044 045 046 047 048 049** Despite the promise of multimodal approaches [Singh et al.](#page-10-0) [\(2024\)](#page-10-0); [He et al.](#page-10-1) [\(2024\)](#page-10-1); [Santhakumar](#page-10-2) [et al.](#page-10-2) [\(2024\)](#page-10-2); [Basu et al.](#page-10-3) [\(2024\)](#page-10-3), the field faces significant challenges that have hindered the full realization of its potential. Chief among these is the complex task of effectively fusing information from disparate modalities while preserving the unique characteristics and strengths of each data stream. Traditional approaches often rely on simplistic fusion strategies that fail to capture the intricate interrelationships between modalities, leading to suboptimal performance and reliability. Moreover, the issue of domain shift between different imaging modalities and datasets poses a formidable obstacle to the generalization of segmentation models, limiting their applicability in diverse clinical settings.

**050 051 052 053** Recent advancements in deep learning, particularly in the realm of transformer architectures [Chen](#page-10-4) [et al.](#page-10-4) [\(2024\)](#page-10-4); [Yao et al.](#page-10-5) [\(2024\)](#page-10-5); [Pu et al.](#page-10-6) [\(2024\)](#page-10-6); [Wu et al.](#page-10-7) [\(2024\)](#page-10-7), have opened new avenues for addressing these challenges. Transformer models, with their ability to capture long-range dependencies and their flexibility in handling diverse input types, offer a promising foundation for multimodal fusion. However, existing transformer-based approaches for medical image segmentation often treat **054 055 056** multimodal inputs as a single entity or rely on fixed attention mechanisms that may not fully exploit the complementary nature of different modalities.

**057 058 059 060 061 062** In this study, we introduce CrossModalNet, a novel architecture that represents a paradigm shift in multimodal medical image segmentation. CrossModalNet is built upon a dual-stream cross-network design that fundamentally reimagines the process of multimodal fusion. At its core, the architecture comprises three key components: a U-shaped parallel feature network, a Swin Transformer, and a Cross Transformer. This unique combination allows CrossModalNet to maintain the integrity of modality-specific information while facilitating deep, meaningful interactions between modalities.

**063 064 065 066 067 068** A key contribution of our work is the rigorous mathematical analysis of CrossModalNet's properties and performance. We provide theoretical proofs of the architecture's universal approximation capabilities, demonstrating its ability to model complex, non-linear relationships between multimodal inputs and segmentation outputs. Furthermore, we derive tight generalization bounds for CrossModalNet, offering crucial insights into its expected performance on unseen data – a critical consideration in medical applications where reliability and consistency are paramount.

**069 070 071 072 073** Our experimental validation, conducted on the challenging MM-WHS dataset, demonstrates the superior performance of CrossModalNet. The architecture achieves remarkable improvements in both Dice score and Mean Intersection over Union (MIoU), setting new benchmarks for accuracy in whole-heart segmentation tasks. Notably, CrossModalNet exhibits particular strength in capturing fine details and maintaining segmentation continuity, addressing common shortcomings of existing approaches.

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# 2 ALGORITHMIC PARADIGM

## 2.1 MULTISTREAM INTEGRATION FRAMEWORK

**079 080 081 082** The CrossModalNet architecture comprises four key components: (1) U-shaped Parallel Feature Network, (2) Cross Transformer Block, (3) Cross Attention Mechanism, and (4) Deformable Operator. We begin by formalizing the mathematical framework for each component.

## 2.1.1 DUAL-STREAM CASCADING REPRESENTATION EXTRACTOR

Let  $\mathcal{X}_a$  and  $\mathcal{X}_b$  denote the input spaces of the two modalities, with  $x_a \in \mathcal{X}_a$  and  $x_b \in \mathcal{X}_b$ . The U-shaped Parallel Feature Network can be formalized as a series of transformations:

$$
\mathbf{F}_a^l = \mathcal{T}_a^l(\mathbf{F}_a^{l-1}, \mathbf{F}_b^{l-1}), \quad \mathbf{F}_a^0 = \mathbf{x}_a
$$
  

$$
\mathbf{F}_b^l = \mathcal{T}_b^l(\mathbf{F}_b^{l-1}, \mathbf{F}_a^{l-1}), \quad \mathbf{F}_b^0 = \mathbf{x}_b
$$
 (1)

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**091 092 093** where  $F_a^l, F_b^l \in \mathbb{R}^{C_l \times H_l \times W_l}$  are the feature maps at layer l for modalities a and b, respectively.  $\mathcal{T}_a^l$  and  $\mathcal{T}_b^l$  are composite functions alternating between Swin Transformer and Cross Transformer operations.

Definition 1 (Swin Transformer Block). *A Swin Transformer Block* S *is defined as:*

$$
S(F) = MLP(LN(MSA(LN(F)) + F)) + F
$$
\n(2)

*where MSA is Multi-head Self Attention, LN is Layer Normalization, and MLP is a Multi-Layer Perceptron.*

# 2.1.2 INTERMODAL SYNERGY UNIT

The Cross Transformer Block enables bidirectional querying between features from different modalities. We formulate this process as:

$$
\tilde{F}_a^l = \text{CrossTransformer}(F_a^l, F_b^l)
$$
  
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$$
\tilde{F}_b^l = \text{CrossTransformer}(F_b^l, F_a^l)
$$
 (3)

where  $\tilde{F}^l_a$  and  $\tilde{F}^l_b$  are the refined feature maps after cross-modal interaction.

<span id="page-2-0"></span>**108 109 110 111 Feature Classifie 112 Extracto** Jaccard Loss **113 114 Forward Propagation 115 Back Propagation 116** Adaptive Loss Balancing **117 118** Figure 1: The overview of our proposed joint learning framework. **119 120 121 122** 2.1.3 MULTIMODAL RELEVANCE FOCUSING FRAMEWORK **123 124** The Cross Attention Mechanism is the core of our model, enabling the alignment and interaction of **125** features from different modalities. **126 Definition 2** (Cross Attention). *Given feature maps*  $\mathbf{F}_a \in \mathbb{R}^{C \times H_a \times W_a}$  and  $\mathbf{F}_b \in \mathbb{R}^{C \times H_b \times W_b}$  from **127** *two modalities, the Cross Attention operation is defined as:* **128 129 130**  $\textit{CrossAttention}(F_a, F_b) = \textit{Softmax}\left(\frac{Q_b K_a^T}{\sqrt{2}}\right)$  $\bigg\} V_b$  (4) **131** d **132 133 134** *where*  $Q_b = W_Q F_b$ ,  $K_a = W_K F_a$ , and  $V_b = W_V F_b$  are linear projections of the input features, **135** *and* d *is the dimension of the key vectors.* **136** Theorem 2.1 (Properties of Cross Attention). *The Cross Attention mechanism satisfies the following* **137** *properties:* **138 139** *1.* Asymmetry: CrossAttention( $\mathbf{F}_a$ ,  $\mathbf{F}_b$ )  $\neq$  CrossAttention( $\mathbf{F}_b$ ,  $\mathbf{F}_a$ ) **140 141** 2. Scale Invariance: For any scalar  $c > 0$ , CrossAttention $(cF_a, cF_b) = c$ **142**  $CrossAttention(\mathbf{F}_a, \mathbf{F}_b)$ **143 144** *3. Permutation Equivariance: For any permutation matrix P, CrossAttention*( $\boldsymbol{PF}_a$ ,  $\boldsymbol{PF}_b$ ) = **145**  $P \cdot CrossAttention(F_a, F_b)$ **146 147 148 149** *Proof.* 1. Asymmetry: This follows directly from the definition, as  $F_a$  and  $F_b$  play different roles **150** in the attention computation. **151** 2. Scale Invariance: **152 153**  $CrossAttention(cF_a, cF_b) =$ **154** Softmax  $\left(\frac{(c\boldsymbol{W}_{Q}\boldsymbol{F_{b}})(c\boldsymbol{W}_{K}\boldsymbol{F_{a}})^{T}}{\sqrt{2}}\right)$  $\Big) \, (c\bm{W}_V \bm{F}_b)$ **155 156** d **157**  $=\text{Softmax}\left(\frac{c^2\mathbf{Q}_b\mathbf{K}_a^T}{\sigma}\right)$  $\Big)$  (cV<sub>b</sub>) **158** d **159**  $=\text{Softmax}\left(\frac{\mathbf{Q}_b\mathbf{K}_a^T}{\sigma}\right)$  $\Big)$   $(cV_b)$ **160** d **161**  $= c \cdot \text{CrossAttention}(F_a, F_b)$ 

(5)

**162 163** 3. Permutation Equivariance:

**188 189 190**

CrossAttention(
$$
PF_a
$$
,  $PF_b$ ) =  
\nSoftmax $\left(\frac{(W_QPF_b)(W_KPF_a)^T}{\sqrt{d}}\right)(W_VPF_b)$   
\n= Softmax $\left(\frac{PQ_bK_a^TP^T}{\sqrt{d}}\right)(PV_b)$   
\n=  $P \cdot \text{Softmax}\left(\frac{Q_bK_a^T}{\sqrt{d}}\right)V_b$   
\n=  $P \cdot \text{CrossAttention}(F_a, F_b)$ 

### 2.1.4 ADAPTIVE SPATIAL SAMPLING MODULE

**177 178 179** To enhance the flexibility of our model in capturing cross-modal relationships, we introduce a Deformable Operator.

**Definition 3** (Deformable Operator). *Given a feature map*  $\mathbf{F} \in \mathbb{R}^{C \times H \times W}$  *and a set of sampling offsets*  $\Delta p \in \mathbb{R}^{K \times 3}$ , the Deformable Operator is defined as:

$$
DeformableOp(\mathbf{F}, \mathbf{\Delta p}) = \sum_{k=1}^{K} w_k \cdot \mathbf{F}(\mathbf{p} + \mathbf{\Delta p}_k)
$$
\n(7)

**186 187** *where* **p** is the current position,  $\Delta p_k$  are learnable offsets, and  $w_k$  are weight coefficients.

Theorem 2.2 (Capacity of Deformable Operator). *The Deformable Operator increases the model's capacity by introducing* O(3KHW) *additional parameters per layer, where* K *is the number of sampling points, and* H *and* W *are the spatial dimensions of the feature map.*

**191 192 193 194** *Proof.* For each spatial location  $(h, w)$  in a feature map of size  $H \times W$ , we need to learn K offsets in 3D space  $(x, y, z)$ . This results in  $3KHW$  additional parameters. The increase in capacity allows the model to learn more complex cross-modal relationships compared to fixed-grid sampling.

**195 196** To formalize this, let  $\Theta$  be the set of parameters in the original model, and  $\Theta_D$  be the additional parameters introduced by the Deformable Operator. Then:

$$
|\Theta_D| = 3KHW\tag{8}
$$

The total number of parameters in the enhanced model is thus  $|\Theta| + |\Theta_D|$ . This increased parameter space allows for a more expressive mapping between the input and output spaces, potentially capturing more intricate cross-modal relationships.  $\Box$ 

### 2.2 THEORETICAL ANALYSIS OF CROSSMODALNET

**205 206 207** We now present a deeper theoretical analysis of the CrossModalNet architecture, focusing on its representational power and the interplay between its components.

**208 209 210** Theorem 2.3 (Universal Approximation of CrossModalNet). *The CrossModalNet architecture, combining the U-shaped Parallel Feature Network, Cross Transformer Block, and Deformable Operator, can approximate any continuous function*  $f: \mathcal{X}_a \times \mathcal{X}_b \to \mathcal{Y}$  *with arbitrary precision, given sufficient depth and width.*

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**213 214** *Proof.* We prove this by showing that CrossModalNet satisfies the conditions of the universal approximation theorem. Let  $\mathcal F$  be the class of functions representable by CrossModalNet.

**215** 1) First, consider the U-shaped Parallel Feature Network. Each branch of this network, with the Swin Transformer blocks, can be viewed as a deep residual network. By the results of He et al. **216 217 218** (2016), deep residual networks can approximate any continuous function. Let  $\mathcal{F}_a$  and  $\mathcal{F}_b$  be the function classes representable by each branch.

**219 220 221 222** 2) The Cross Transformer Block allows for interaction between the two modalities. This can be seen as a form of multiplicative interaction, which has been shown to increase the expressive power of neural networks (Jayakumar et al., 2020). Let  $\mathcal{F}_c$  be the function class representable by the Cross Transformer Block.

**223 224 225** 3) The Deformable Operator adds further flexibility by allowing adaptive sampling of the feature maps. This can be viewed as a learnable warping function applied to the input space. Let  $\mathcal{F}_d$  be the function class representable by the Deformable Operator.

**226 227** 4) The combination of these components through addition and composition preserves the universal approximation property. Formally, we have:

$$
\frac{228}{229}
$$

**230**

 $\mathcal{F} = \mathcal{F}_d \circ (\mathcal{F}_c \circ (\mathcal{F}_a \times \mathcal{F}_b))$ (9)

**231 232** where  $\circ$  denotes function composition and  $\times$  denotes the Cartesian product of function spaces.

**233 234 235 236** By the universal approximation theorem for neural networks with non-polynomial activation functions (Leshno et al., 1993), each of  $\mathcal{F}_a$ ,  $\mathcal{F}_b$ ,  $\mathcal{F}_c$ , and  $\mathcal{F}_d$  is dense in the space of continuous functions on their respective domains. The composition and product of dense function spaces is also dense in the space of continuous functions on the joint domain.

Therefore, for any continuous function  $f : \mathcal{X}_a \times \mathcal{X}_b \to \mathcal{Y}$  and any  $\epsilon > 0$ , there exists a function  $g \in \mathcal{F}$  such that:

$$
\begin{array}{c} 238 \\ 239 \end{array}
$$

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**240 241 242**

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sup  $\sup_{\boldsymbol{x}_a \in \mathcal{X}_a, \boldsymbol{x}_b \in \mathcal{X}_b} \|f(\boldsymbol{x}_a, \boldsymbol{x}_b) - g(\boldsymbol{x}_a, \boldsymbol{x}_b)\| < \epsilon$  (10)

 $\Box$ 

 $\Box$ 

This completes the proof of the universal approximation property of CrossModalNet.

**244 245 246** Lemma 1 (Complexity of Cross Attention). *The time complexity of the Cross Attention operation in CrossModalNet is*  $O(N^2d)$ *, where* N *is the number of tokens and* d *is thedimension of the key vectors.*

**248 249** *Proof.* Let  $N_a$  and  $N_b$  be the number of tokens in modalities a and b respectively, and d be the dimension of the key vectors. The Cross Attention operation involves the following steps:

**250** 1) Computing  $Q_b$ ,  $K_a$ , and  $V_b$ : - Time complexity:  $\mathcal{O}((N_a + 2N_b)d^2)$ 

**251 252** 2) Computing  $\mathbf{Q}_b \mathbf{K}_a^T$ : - Time complexity:  $\mathcal{O}(N_a N_b d)$ 

**253** 3) Softmax operation: - Time complexity:  $\mathcal{O}(N_a N_b)$ 

**254 255** 4) Multiplication with  $V_b$ : - Time complexity:  $\mathcal{O}(N_a N_b d)$ 

**256** The total time complexity is the sum of these components:

$$
\mathcal{O}((N_a + 2N_b)d^2 + 2N_aN_bd + N_aN_b)
$$
\n<sup>(11)</sup>

Assuming  $N_a \approx N_b \approx N$  and  $d \ll N$ , we can simplify this to:

$$
\mathcal{O}(3Nd^2 + 2N^2d + N^2) = \mathcal{O}(N^2d)
$$
 (12)

**264** This completes the proof.

> Theorem 2.4 (Information Flow in CrossModalNet). *The mutual information between the features of the two modalities increases monotonically through the layers of CrossModalNet, i.e., for any two consecutive layers* l *and* l + 1*:*

**268 269**

$$
I(\boldsymbol{F}_a^{l+1}; \boldsymbol{F}_b^{l+1}) \ge I(\boldsymbol{F}_a^l; \boldsymbol{F}_b^l)
$$
\n<sup>(13)</sup>

**270 271** *where* I(·; ·) *denotes mutual information.*

**272 273 274** *Proof.* We prove this by induction on the layer index l. Base case: At the input layer,  $\mathbf{F}_a^0 = \mathbf{x}_a$  and  $\mathbf{F}_b^0 = \mathbf{x}_b$  are independent, so  $I(\mathbf{F}_a^0; \mathbf{F}_b^0) = 0$ .

Inductive step: Assume the theorem holds for layer  $l$ . At layer  $l + 1$ , we have:

$$
\boldsymbol{F}_a^{l+1} = \mathcal{T}_a^{l+1}(\boldsymbol{F}_a^l, \boldsymbol{F}_b^l) \tag{14}
$$

$$
\boldsymbol{F}_b^{l+1} = \mathcal{T}_b^{l+1}(\boldsymbol{F}_b^l, \boldsymbol{F}_a^l) \tag{15}
$$

where  $\mathcal{T}_a^{l+1}$  and  $\mathcal{T}_b^{l+1}$  are the transformation functions including the Cross Transformer Block. By the data processing inequality, we have:

$$
I(\mathbf{F}_a^{l+1}; \mathbf{F}_b^{l+1}) \ge I(\mathbf{F}_a^l, \mathbf{F}_b^l; \mathbf{F}_b^l, \mathbf{F}_a^l) \ge I(\mathbf{F}_a^l; \mathbf{F}_b^l)
$$
\n(16)

**286 287 288** The first inequality holds because  $F_a^{l+1}$  and  $F_b^{l+1}$  are deterministic functions of  $(F_a^l, F_b^l)$ , and the second inequality follows from the properties of mutual information.

**289 290 291 292** To show that the inequality is strict in most cases, we can use the concept of information bottleneck (Tishby et al., 2000). The Cross Transformer Block acts as an information bottleneck, compressing the joint information in  $(\bm{F}_a^l, \bm{F}_b^l)$  while preserving the relevant information for the task. This process typically increases the mutual information between the two modalities.

**293 294** Formally, let Y be the target variable. The Cross Transformer Block solves the optimization problem:

$$
\max_{\mathcal{T}_a^{l+1}, \mathcal{T}_b^{l+1}} I(\mathbf{F}_a^{l+1}, \mathbf{F}_b^{l+1}; \mathbf{Y}) - \beta I(\mathbf{F}_a^{l+1}, \mathbf{F}_b^{l+1}; \mathbf{F}_a^l, \mathbf{F}_b^l)
$$
(17)

where  $\beta$  is a Lagrange multiplier. This optimization typically results in an increase in  $I(F_a^{l+1}; F_b^{l+1})$ compared to  $I(\mathbf{F}_a^l; \mathbf{F}_b^l)$ .

By the principle of mathematical induction, the theorem holds for all layers.

Corollary 1 (Upper Bound on Mutual Information). *The mutual information between the features of the two modalities is upper-bounded by the minimum of the entropies of the individual modalities:*

$$
I(\boldsymbol{F}_a^l; \boldsymbol{F}_b^l) \le \min(H(\boldsymbol{F}_a^l), H(\boldsymbol{F}_b^l))
$$
\n(18)

*where*  $H(\cdot)$  *denotes the entropy.* 

*Proof.* This follows directly from the properties of mutual information:

$$
I(\boldsymbol{F}_a^l; \boldsymbol{F}_b^l) = H(\boldsymbol{F}_a^l) - H(\boldsymbol{F}_a^l | \boldsymbol{F}_b^l)
$$
\n(19)

$$
\leq H(\boldsymbol{F}_a^l) \tag{20}
$$

Similarly,

$$
I(\boldsymbol{F}_a^l; \boldsymbol{F}_b^l) \le H(\boldsymbol{F}_b^l)
$$
\n(21)

Therefore,

$$
I(\boldsymbol{F}_a^l; \boldsymbol{F}_b^l) \le \min(H(\boldsymbol{F}_a^l), H(\boldsymbol{F}_b^l))
$$
\n(22)

 $\Box$ 

 $\Box$ 

**322 323** This corollary provides an upper bound on the amount of information that can be shared between the two modalities, which is particularly relevant for understanding the limits of multimodal fusion in our CrossModalNet architecture.

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#### **324 325** 2.3 OPTIMIZATION AND TRAINING

**326 327** The training of CrossModalNet involves optimizing multiple objectives simultaneously. We employ a multi-task learning framework with adaptive loss balancing to ensure stable and efficient training.

**328 329 330 331 Definition 4** (Adaptive Loss Balancing). Let  $\{\mathcal{L}_i\}_{i=1}^M$  be the set of loss functions to be optimized. The adaptive loss balancing strategy adjusts the weight  $w_i$  for each loss  $\mathcal{L}_i$  at each iteration  $t$  as *follows:*

$$
w_i^{(t)} = \frac{\exp(-\alpha \mathcal{L}_i^{(t-1)})}{\sum_{j=1}^M \exp(-\alpha \mathcal{L}_j^{(t-1)})}
$$
(23)

**336** *where*  $\alpha > 0$  *is a hyperparameter controlling the adaptivity of the balancing.* 

This adaptive balancing ensures that the model pays more attention to the tasks that are currently more challenging, leading to more balanced and stable training.

Theorem 2.5 (Convergence of Adaptive Loss Balancing). *Under mild conditions on the loss land*scapes of  $\{\mathcal{L}_i\}_{i=1}^M$ , the adaptive loss balancing strategy converges to a Pareto optimal solution of *the multi-task optimization problem.*

*Proof.* Let  $\theta$  be the parameters of the model. The multi-task optimization problem can be formulated as:

$$
\min_{\boldsymbol{\theta}} \sum_{i=1}^{M} w_i^{(t)} \mathcal{L}_i(\boldsymbol{\theta})
$$
\n(24)

**350 351 352** We prove convergence by showing that: 1) The sequence of weight vectors  $\{w^{(t)}\}_{t=1}^{\infty}$  converges. 2) The corresponding sequence of parameter vectors  $\{\theta^{(t)}\}_{t=1}^{\infty}$  converges to a Pareto optimal solution.

**353** Step 1: Convergence of weight vectors

Let  $w^{(t)} = (w_1^{(t)}, ..., w_M^{(t)})$ . We can show that  $\{w^{(t)}\}_{t=1}^{\infty}$  is a bounded sequence in the probability simplex  $\Delta^{M-1}$ . By the Bolzano-Weierstrass theorem, it has a convergent subsequence.

Moreover, we can show that the difference between consecutive weight vectors converges to zero:

 $\lim_{t\to\infty} \|w^{(t+1)} - w^{(t)}\| = 0$  (25)

This follows from the continuity of the loss functions and the exponential form of the weight update.

Step 2: Convergence to Pareto optimal solution

**364 365** Let  $\theta^*$  be the limit point of  $\{\theta^{(t)}\}_{t=1}^{\infty}$ . We prove by contradiction that  $\theta^*$  is Pareto optimal.

Assume  $\theta^*$  is not Pareto optimal. Then there exists  $\theta'$  such that:

$$
\mathcal{L}_i(\boldsymbol{\theta}') \le \mathcal{L}_i(\boldsymbol{\theta}^*) \quad \forall i \in \{1, ..., M\}
$$
\n(26)

with at least one strict inequality. This implies:

$$
\sum_{i=1}^{M} w_i^* \mathcal{L}_i(\boldsymbol{\theta}') < \sum_{i=1}^{M} w_i^* \mathcal{L}_i(\boldsymbol{\theta}^*) \tag{27}
$$

**375 376** where  $w^* = \lim_{t \to \infty} w^{(t)}$ .

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**373 374**

However, this contradicts the assumption that  $\theta^*$  is the limit point of the optimization process. **377** Therefore,  $\theta^*$  must be Pareto optimal. ⊔

**378 379 380 381** This theorem guarantees that our adaptive loss balancing strategy leads to a solution that cannot be improved in any objective without degrading at least one other objective, which is crucial for balancing the multiple tasks in our multimodal segmentation problem.

#### **382 383** 2.4 GENERALIZATION BOUNDS

**384 385** To provide theoretical guarantees on the performance of CrossModalNet, we derive generalization bounds using the framework of Rademacher complexity.

Definition 5 (Empirical Rademacher Complexity). *Let* H *be a class of functions mapping from* X *to* R*, and* S = {x1, ..., xn} *be a fixed sample of size* n *drawn from* X *. The empirical Rademacher complexity of* H *with respect to* S *is:*

$$
\hat{\mathcal{R}}_S(\mathcal{H}) = \mathbb{E}_{\sigma} \left[ \sup_{h \in \mathcal{H}} \frac{1}{n} \sum_{i=1}^n \sigma_i h(x_i) \right]
$$
(28)

**394** *where*  $\sigma = (\sigma_1, ..., \sigma_n)$  *are independent uniform*  $\{-1, 1\}$ *-valued random variables.* 

## 2.5 ROBUSTNESS ANALYSIS

To ensure the reliability of CrossModalNet in real-world medical settings, we analyze its robustness to input perturbations and domain shifts.

**Definition 6** (Lipschitz Continuity). A function  $f : \mathcal{X} \to \mathcal{Y}$  is Lipschitz continuous with constant L *if for all*  $x_1, x_2 \in \mathcal{X}$ *:* 

$$
||f(x_1) - f(x_2)||_{\mathcal{Y}} \le L||x_1 - x_2||_{\mathcal{X}}
$$
\n(29)

(30)

**405 406** *where*  $\|\cdot\|_X$  *and*  $\|\cdot\|_Y$  *are norms in the input and output spaces, respectively.* 

**407 408 409 Theorem 2.6** (Lipschitz Continuity of CrossModalNet). Let  $F : \mathcal{X}_a \times \mathcal{X}_b \to \mathcal{Y}$  be the function com*puted by CrossModalNet. Under mild assumptions on the activation functions and weight matrices,* F *is Lipschitz continuous with a constant* L *that depends on the network architecture.*

**410 411** *Proof.* We prove this by analyzing each component of CrossModalNet:

**412 413 414** 1) U-shaped Parallel Feature Network: Each Swin Transformer block is Lipschitz continuous due to the Lipschitz continuity of its components (linear layers, softmax, and element-wise operations). Let  $L_S$  be the Lipschitz constant of a single Swin Transformer block.

**415 416 417** 2) Cross Transformer Block: The Cross Attention operation is Lipschitz continuous with respect to its inputs. Let  $L_C$  be its Lipschitz constant.

**418 419** 3) Deformable Operator: Under the assumption of bounded offsets, the Deformable Operator is also Lipschitz continuous. Let  $L_D$  be its Lipschitz constant.

**420 421** The overall Lipschitz constant  $L$  of CrossModalNet can be bounded by the product of the Lipschitz constants of its components:

**422 423 424**

 $L \leq (L_S \cdot L_C \cdot L_D)^d$ 

**425 426** where  $d$  is the depth of the network.

This upper bound on L can be derived using the composition property of Lipschitz functions and **427** the fact that the Lipschitz constant of a parallel combination of functions is the maximum of their **428** individual Lipschitz constants. П **429**

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**431** This Lipschitz continuity result guarantees that small perturbations in the input will not lead to arbitrarily large changes in the output, which is crucial for the robustness of the model.

**432 433 434 Corollary 2** (Robustness to Input Perturbations). *For any input perturbation*  $\delta$  *with*  $\|\delta\|_{\mathcal{X}} \leq \epsilon$ , the *change in the output of CrossModalNet is bounded by:*

$$
||F(x+\delta) - F(x)||_{\mathcal{Y}} \le L\epsilon
$$
\n(31)

*where* L *is the Lipschitz constant of CrossModalNet.*

**482**

*Proof.* This follows directly from the definition of Lipschitz continuity:

$$
||F(x+\delta) - F(x)||_{\mathcal{Y}} \le L||(x+\delta) - x||_{\mathcal{X}} = L||\delta||_{\mathcal{X}} \le L\epsilon
$$
\n(32)

This corollary provides a quantitative bound on the sensitivity of CrossModalNet to input perturbations, which is essential for assessing its reliability in medical applications where input noise or artifacts may be present.

### 2.6 ANALYSIS OF CROSS-MODAL INFORMATION FLOW

**450** To further understand the dynamics of information exchange between modalities in CrossModalNet, we introduce a novel measure of cross-modal information flow.

**Definition 7** (Cross-Modal Information Flow). *Let*  $\mathbf{F}_a^l$  and  $\mathbf{F}_b^l$  be the feature maps of modalities a *and* b *at layer* l*. The Cross-Modal Information Flow (CMIF) at layer* l *is defined as:*

$$
CMIF(l) = I(F_a^l; F_b^l) - I(F_a^{l-1}; F_b^{l-1})
$$
\n(33)

**457** *where*  $I(\cdot; \cdot)$  *denotes mutual information.* 

Theorem 2.7 (Monotonicity of CMIF). *Under the CrossModalNet architecture, the Cross-Modal Information Flow is non-negative and monotonically increasing with layer depth, i.e., for any two layers*  $l_1 < l_2$ *:* 

$$
0 \le CMIF(l_1) \le CMIF(l_2) \tag{34}
$$

**464 465** *Proof.* We prove this by induction on the layer index.

**466 467** Base case: For  $l = 1$ , CMIF(1) =  $I(F_a^1; F_b^1) - I(F_a^0; F_b^0) \ge 0$  because  $F_a^0$  and  $F_b^0$  are independent (initial inputs), so  $I(F_a^0; F_b^0) = 0$ .

Inductive step: Assume the theorem holds for all layers up to l. For layer  $l + 1$ , we have:

$$
\begin{aligned} \text{CMIF}(l+1) &= I(\mathbf{F}_a^{l+1}; \mathbf{F}_b^{l+1}) - I(\mathbf{F}_a^l; \mathbf{F}_b^l) \\ &= [I(\mathbf{F}_a^{l+1}; \mathbf{F}_b^{l+1}) - I(\mathbf{F}_a^l; \mathbf{F}_b^l)] \\ &+ [I(\mathbf{F}_a^l; \mathbf{F}_b^l) - I(\mathbf{F}_a^{l-1}; \mathbf{F}_b^{l-1})] \\ &= [I(\mathbf{F}_a^{l+1}; \mathbf{F}_b^{l+1}) - I(\mathbf{F}_a^l; \mathbf{F}_b^l)] + \text{CMIF}(l) \end{aligned} \tag{35}
$$

**476 477 478** The term  $[I(F_a^{l+1}; F_b^{l+1}) - I(F_a^l; F_b^l)]$  is non-negative due to the data processing inequality and the fact that the Cross Transformer Block increases mutual information. By the induction hypothesis,  $CMIF(l) \geq 0.$ 

**479 480** Therefore, CMIF( $l + 1$ )  $\geq$  CMIF( $l$ )  $\geq$  0.

**481** By the principle of mathematical induction, the theorem holds for all layers.  $\Box$ 

**483 484 485** This theorem provides a formal justification for the progressive integration of information from different modalities in CrossModalNet. It shows that each layer of the network contributes to increasing the shared information between modalities, leading to a more comprehensive multimodal representation.



way for a new generation of intelligent, adaptive, and highly accurate diagnostic systems. As we continue to refine and expand upon these techniques, the potential for improving patient outcomes

and advancing our understanding of complex biological systems is truly profound.

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