Graph Convolutional Networks and Text Integration for Recommender Systems

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Abstract

 We present a new model KeywordSage which consists of integration in text data and Graph Convolutional Networks for recommender sys- tems. This model extracts keyword in most ef- ficient way from user reviews text using lan- guage model based on Transformer and then **Graph Convolutaionl Networks is efficiently** trained to learn about user-item interactions by utilizing extracted keywords. This makes it possible to reflect meaningful information from users and utilize it for representing the user-item interaction. We prove that our model is more efficient showing that KeywordSage 014 result in better performance even with signif- icantly fewer learning steps compared to ex- isting models. Our approach is to be a mean- ingful contribution in that it proposes a new recommender systems by combining Natural Language Processing and a graph-based neural networks, suggesting a direction for covering research in both fields.

⁰²² 1 Introduction

 In the field of recommender systems, Collaborative Filtering, Content-based filtering, and Matrix Fac- torization have been studied actively as traditional methodologies. These trends show that recommen- dation systems have been recognized as a very im- portant task in many real-worlds. Recently, as deep learning has been revolutionizing dramatically in the overall field of society, the research on per- sonalized recommender systems based on artificial [n](#page-6-0)eural networks has also been actively studied [\(Sun](#page-6-0) [et al.,](#page-6-0) [2019;](#page-6-0) [He et al.,](#page-6-1) [2017;](#page-6-1) [Sarwar et al.,](#page-6-2) [2001;](#page-6-2) [Hidasi et al.,](#page-6-3) [2015\)](#page-6-3). In this study, we propose a new recommender systems model using artificial neural networks that can provide personalized recommen- dation services by accurately understanding users and items characteristics, and complex interactions.

039 KeywordSage, which we propose, effectively ex-**040** tracts meaningful keywords from user review text

through language model based on Transformer. Af- **041** terwards, the extracted keywords and interactions **042** between users and items are learned using an artifi- **043** cial neural network based on Graph Convolutional **044** Neural Networks. With this structure, our model **045** learned meaningful interactions between users and **046** item characteristics, which resulted in providing **047** more accurate and faster personalized recommen- **048** dation services. 049

Graph Convolutional Networks (GCN), based 050 on graph structure, is one of the key research areas **051** that has recently attracted attention in the field of **052** artificial neural networks. [Hamilton et al.](#page-5-0) [\(2017b\)](#page-5-0) **053** presented that expressing data with a complex con- **054** nection structure in a graph structure consist of **055** nodes and edges, and learning this with a Graph **056** Neural Network (GNN), is a effective methodology **057** for learning the representation. And this increases **058** the applicability of GNNs in various fields such as **059** social networks, molecular structure prediction, etc. **060** [Monti et al.](#page-6-4) [\(2017\)](#page-6-4) present the potential of graph 061 structured artificial neural network showing that **062** multi-graph neural networks can effectively model **063** the geometric characteristics of data. [Berg et al.](#page-5-1) **064** [\(2017\)](#page-5-1) deal with the Matrix Completion using a **065** graph structure and show the results of effectively **066** solving the problem in the recommender systems **067** by implementing a system that predicts the rating **068** a user gives to an item. These research show the **069** potential for development of Graph Neural Net- **070** work for recommendder systems and suggest di- **071** rections for implementing better recommendation **072** algorithms and personalized services. **073**

The field of Natural Language Processing (NLP) **074** is also one of the areas that has recently attracted **075** attention in the field of artificial neural networks. **076** The possibilities of language models are attracting **077** more attention appearing Large Language Models **078** [s](#page-6-6)uch as GPT [\(Radford et al.,](#page-6-5) [2018\)](#page-6-5), LLAMA [\(Tou-](#page-6-6) **079** [vron et al.,](#page-6-6) [2023\)](#page-6-6). From the traditional methodol- **080** ogy, Term Frequency-Inverse Document Frequency **081**

 (TF-IDF), to the artificial neural network models [W](#page-5-2)ord2Vev [\(Mikolov et al.,](#page-6-7) [2013\)](#page-6-7) and FastText [\(Bo-](#page-5-2) [janowski et al.,](#page-5-2) [2017\)](#page-5-2) models, previous methodolo- gies mainly consider only the frequency of words or only the context around words when they learn the representation. On the other hand, a language model based on Transformer [\(Vaswani et al.,](#page-6-8) [2017\)](#page-6-8) understands the entire context and learns repre- sentation much more effectively through Attention mechanism. Therefore, in this paper, we seek to ex- tract meaningful keyword information from user re- view through a Transformer-based language model and implement a recommendation system using **095** this.

096 The contributions of this study are as follows:

 • Proposal of new model for recommender systems based on keyword-centered and graph structure: Effectively extracts keywords from user review through Transformer-based methodology and uses this to propose a model for recommender systems that learns user- item interaction through Graph Convolutional Neural Networks.

 • Combining various research fields: This methodology, developed by combining the lat- est research in natural language processing and graph neural networks in the field of rec- ommender systems, suggests the possibility of convergence between various research fields **and suggests a new direction for recommen-**dation algorithms.

 • Establishing a research foundation for recom- mender systems based on text: By proposing a method to approach a recommender systems using text, it can serve as a cornerstone for fu- ture research on recommender systems using **118** text.

 In Section 2, we review previous research on reccomender systems and Transformer-based lan- guage model. In Section 3, we explain in detail the overall structure and pipeline of our proposed model. In Section 4, we discuss experiments and re-sults and, In Section 5, we discuss future research.

¹²⁵ 2 Related Works

 In this part, we will briefly review previous studies. Topics related to this study include general recom- mender systems, Graph Convolutional Networks for recommender systems, and Transformer-based language model.

2.1 General Recommender Systems **131**

Collaborative Filtering, Content-based Filtering, **132** and Matrix Factorization have traditionally been **133** studied as methodologies in the field of recom- **134** mender systems. Collaborative Filtering analyzes **135** user behavior records to group users with similar **136** preferences and provides recommendations based **137** on group preferences. Content-based filtering ana- **138** lyzes the characteristics of items and recommends **139** new items based on the user's previous preferences. **140** Matrix Factorization decomposes the user-item ma- **141** trix to discover hidden factors and provide recom- **142** mendations to the user. These traditional method- **143** ology mainly analyzes existing data and models it **144** using statistical methodology. **145**

Artificial neural network-based methodologies **146** have attracted attention because they can increase **147** non-linearity and model more complex interactions. **148** [Hidasi et al.](#page-6-3) [\(2015\)](#page-6-3) present Recurrent Neural Net- **149** works (RNN) model using the online session data **150** of users to model the user's session in chronolog- **151** [i](#page-6-1)cal order and uses this to recommend items. [He](#page-6-1) **152** [et al.](#page-6-1) [\(2017\)](#page-6-1) propose that by combining matrix de- **153** composition techniques and neural network models **154** in a collaborative filtering-based recommendation **155** system, recommendation accuracy has improved 156 and a personalized recommendation system can **157** be implemented. Therefore, recommender systems **158** based on artificial neural network have overcame **159** the limitations and limitations of traditional recom- **160** mendation systems and presented new perspectives **161** and possibilities to provide more personalized rec- **162** ommendations to users. **163**

2.2 Graph Convolutional Netowrks for **164 Recommender System 165**

As proven in numerous studies, Graph Convolu- **166** tional Networks show excellent performance in **167** efficiently extracting and representing information **168** using convolutional operations in a graph structure. **169**

[Hamilton et al.](#page-5-0) [\(2017b\)](#page-5-0) present study focusing **170** on efficient representation learning of GNN using **171** graph structure and applicability in various fields, **172** and [Monti et al.](#page-6-4) [\(2017\)](#page-6-4) has proven to effectively **173** model data geometric characteristics through mul- **174** tiple neural networks. [Chen et al.](#page-5-3) [\(2018\)](#page-5-3) announce **175** the FastGCN model, a model based on Graph Con- **176** volutional Networks that introduce the Importance **177** Sampling method, which is a graph synthesis tool **178** that achieves high computational efficiency at a **179** faster speed by selectively selecting the nodes nec- **180** **181** essary for neural network learning.

 GraphSAGE [\(Hamilton et al.,](#page-5-4) [2017a\)](#page-5-4) and Pin- Sage [\(Ying et al.,](#page-6-9) [2018\)](#page-6-9) models are notable studies that implemented a personalized recommendation system considering user-item interactions using Graph Convolutional Neural Networks. PinSage follows the basic structure of GraphSAGE and pro- poses a method of learning large-scale graph struc- tures and optimize GPU using effective sampling and learning techniques such as Importance Sam-pling and Curriculum Training.

 LightGCN [\(He et al.,](#page-5-5) [2020\)](#page-5-5)is a model that made meaningful contributions by proposing a graph- structured recommendation system with good per- formance while simplifying the graph convolu- tional neural networks. By using a single layer to consider only user-item interaction and implement- ing an embedding learning method without scaling, LightGCN simplified the model and showed simi- lar performance to other complex models. Also the self-connection effect is derived through an embed- ding parameter learning method and the possibility of improving the over-smoothing problem is pre-**204** sented.

205 The model proposed in this paper follows a basic **206** structure to the Graph Convolutional Networks of **207** the GraphSage and PinSage models.

208 2.3 Transformer in Natural Language **209** Processing

 [L](#page-6-8)anguage models based on Transformer[\(Vaswani](#page-6-8) [et al.,](#page-6-8) [2017\)](#page-6-8) have brought innovation to the field of Natural Language Processing (NLP). Starting with Bidirectional Encoder Representations from Transformers (BERT) [\(Devlin et al.,](#page-5-6) [2018\)](#page-5-6), vari- ous Transformer-based language models such as DistilBert [\(Sanh et al.,](#page-6-10) [2019\)](#page-6-10), Roberta [\(Liu et al.,](#page-6-11) [2019\)](#page-6-11), and sentence–Bert (?) have emerged. The efficiency and accuracy of the language model have been proven through a lot of research. These mod- els effectively calculate word embedding within sentences through Token Embedding, Segment Em- bedding, and Positioning Embedding that take con- text into account. Additionally, through Attention mechanism, interactions between words within a sequence of sentence are understood and important information is extracted. Therefore, in this paper, Sentence-Bert, which is a Transformer-based lan- guage model, is used to calculate keywords from **229** text.

3 Method **²³⁰**

In this Section, we introduce the overall structure **231** and pipeline of the KeywordSage model, which we **232** suggest. The structure of KeywordSage consists of **233** a total of two stages. Keyword extraction based on **234** Transformer is preformed in Stage-I, and Graph **235** Convolutional Networks is trained based on the **236** bipartite graph structure of the data reflecting the **237** extracted keyword in Stage-II. **238**

3.1 Keyword Extraction (Stage-I) **239**

The Stage-I of the KeywordSage model which is **240** the first stage of the methodology is described in **241** this section. This includes the process of efficiently **242** extracting keywords from customer review text **243** data. **244**

In order to extract meaningful keywords from **245** text data, keywords are selected by considering the **246** similarity between words in a sentence. Based on **247** the N-gram, trigram is set as the basic unit of text **248** and the most frequent trigram in the review text **249** is calculated. It is assumed that frequently appear- **250** ing keywords are representative of whole review. **251** One set is created with the most frequent keywords, **252** and another set is created by dividing the entire **253** text review into trigram units. These two sets are **254** used as encoder input values for Sentence-Bert, **255** and the similarity is compared. Sentence-Bert has a **256** Siamese Network structure and it help that allows **257** different sentences A and B to pass through each **258** encoder layer and then calculates the similarity of **259** the results. The output of the encoder layers pass **260** through the mean pooling layer to calculate sen- **261** tence embedding, and the similarity is calculated **262** using cosine similarity for each of these values u 263 and v. Cosine Similarity is defined as the following **264** equation (1) and Table [1](#page-3-0) shows sample keyword **265** extraction for a customer review. **266**

$$
CosineSimilarity = \frac{u \cdot v}{\|u\| \|v\|}
$$
 (1)

(1) **267**

3.2 Graph Convolutional Networks (Stage-II) **268**

In Stage-II, The structure and learning process of **269** Graph Convolutional Networks is explained. **270**

The Stage-II begins by importing the keywords **271** finally output in the previous Stage-I as attributes **272** of the user node. This forms a complete bipartite **273** graph structure to be used as input to the convo- **274** lution layer. The formed graph passes through the **275** projection layer and becomes the input of the Graph **276** Convolutional Layer. **277**

Figure 1: This is the first figure.

Table 1: This shows the examples extracted keyword from review. we use three keywords in trigram units for each review in our experiment.

The purpose of Graph Convolutional Networks **278** is to produce high-quality embedding results for **279** users and items and to use them in recommenda- **280** tion systems. The graph structure is consisted as a **281** bipartite graph with the user node set U and item 282 node set I. An interaction edge exists only between **283** two different types of nodes u and i . The node set 284 of entire graph is denoted as $V = U \cup I$. 285

Graph Convolutional Networks is trained about **286** node embedding by repeatedly aggregating feature **287** information from each node's neighbors. By stack- **288** ing multiple convolution layers, it becomes pos- **289** sible to expand the receptor field to extract wider **290** neighborhood information and reflect the structural **291** information of the graph. The input of each con- **292** volution layer depends on the representation out- **293** put from the previous layer. The first layer has the **294** same characteristics as the input node. Model pa- **295** rameters are shared between nodes, but the values **296**

for the query vector $q(z_q)$ to be predicted is de- 345 fined as the following equation (2). In this study, **346**

we follow the loss function proposed in PinSage **347** model. **348**

(2) **³⁴⁹**

$$
JG(z_q, z_i) = E_{n_k \sim P_{n(q)}} max\{0, z_q \cdot z_{n_k}\}
$$

$$
-z_q \cdot z_i + \Delta
$$
 (2)

To efficiently perform Graph Convolutional Net- **350** works training, a producer-consumer mini-batch **351** construction distributed processing pipeline is built **352** in the experiments.Training is performed in a mini- **353** batch using a predefined computational graph to **354** efficiently perform SGD learning. In addition, train- **355** ing is performed using the Efficient MapReduce **356** method and the curriculum training is used during **357** the learning process to enable progressively more **358** difficult learning data to be trained. These tech- **359** niques help improve the computational efficiency **360** and performance of learning. **361**

4.3 Results **362**

To evaluate the experimental results, we use the **363** evaluation metrics Recall, Precision, and Hit-ratio **364** which are widely used in recommender system re- **365** search. Recall is indicator of how well the model **366** recommended items are included among actual re- **367** lated items. Precision measures the proportion of **368** actually relevant items among the items recom- **369** mended by the model. Hit-ratio is an indicator **370** that measures how many items a user actually pur- **371** chased are included in a specific recommendation **372** list. These three evaluation indicators can comple- **373** ment each other to evaluate the model's perfor- **374** mance. For example, Recall can identify whether **375** the model found many related items, but there is **376** a lot of noise among them, also when precision **377** is high, most of the items recommended by the **378** model are highly relevant, but in reality, there are **379** items missed. In this study, we use these indicators **380** to evaluate and analyze the performance of model **381** which we proposed. **382**

4.4 Implementation Details **383**

The experiment is run in an NVIDIA Telsa K80 384 GPU and Cuda 11.4 version. ADAM Optimizer is **385** set as the optimization. To prevent over-smoothing, **386** where the embedding vector excessively converges 387 to the average of local information when multi- **388** ple convolution layers are stacked, dropout is set **389** to 0.5. The experimental results introduced in the **390**

 have different values for each layer. The output of the last k-th convolution layer passes through a fully-connected neural network to generate the final embedding.

 For sampling process, the on-the-fly convolu- tion method is used to sample neighboring nodes, and the Importance-Based Neighborhoods tech- nique is used to sample them using distance in- formation. On-the-fly convolution technique helps the graph structure learn quickly by implement- ing localized graph convolution that samples lo- cally nearby nodes rather than processing the en- tire graph node at once. The Importance-Based Neighborhoods, which samples important neigh- bors by considering connectivity and weights be- tween nodes, helps select and learn items that users interact with or meaningful neighbors of those **314** items.

³¹⁵ 4 Experiments

 In this chapter, we describe the experiments per- formed to verify our research. We will explain the datasets used in the experiment, the experimental environment, and the experimental results.

320 4.1 Datasets

 [T](#page-5-7)he Amazon Product Review datasets[\(He and](#page-5-7) [McAuley,](#page-5-7) [2016;](#page-5-7) [McAuley et al.,](#page-6-12) [2015\)](#page-6-12) is used for this experiments. This datasets categorizes prod- ucts into 29 detailed categories, and the data from the Grocery and Gourmet category is used for this study. This data consists of user information, in- formation about the items the user purchased, text reviews written by the user, product ratings, and information about the time the review was written. We only use the data when the user interacted with at least 10 items.

 When this datasets is converted to a bipartite graph, there are 7,323 user nodes, 27,251 item nodes, and a total of 137,696 interactions between users and items.

336 4.2 Settings Evaluation Metrics

 The Max-Margin Ranking Loss is used as loss func- tion for training. This is learned to minimize the inner product of query q and positive samples, and 340 maximize the inner Positive sample (z_i) refers to the interaction with an item that has a purchase record from an actual user, negative sample (z_n) refers to interactions with items for which the actual user has no purchase record. Max-Margin Ranking Loss

Model		$Recall@20$ $Precision@20$ $Recall@30$ $Precision@30$		
PinSage	0.605	0.448	0.676	0.344
KeywordSage* 0.624		0.462	0.677	0.345

Table 2: Comparison table of experiment results. Our proposed model KeywordSage is marked with *.

391 next section are the results of staking two Graph **392** Convolutional Layers.

 Table [2](#page-5-8) shows a comparison of the results of the PinSage model and our proposed model, the KeywordSage model. What is important in this table is that the results of the PinSage model pro- posed in existing research took a much longer learn- ing period. The results of the PinSage model were achieved by running more than 20,000 learning epochs, and the results of the KeywordSage model were achieved by running the learning epochs 3000 times. This verifies that the model we propose is showing better results by significantly reducing the learning time. Additionally, when comparing the evaluation metrics, it can be seen that there is an improvement of 0.019 in Recall@20, 0.014 in Pre- cision@20, and 0.003 in HR@20 of KeywrodSage model. In particular, it is figured out that the perfor- mance difference between the two models widens in the Recall score.

⁴¹¹ 5 Conclusion and Future Work

 In this study, we propose a model called Keyword- Sage that provides recommender services using text data based on Graph Convolutional Networks. This successfully models user-item interaction by uti- lizing Transformer-based keyword extraction and Graph Convolutional Networks. Our experimental results show that this model leads to improved re- sults at a faster rate compared to the existing model **420** PinSage.

 Additionally, this study proposes the conver- gence of various fields such as recommender sys- tems, natural language processing, and graph neu- ral networks. Therefore, we expect that research on the convergence of Natural Language Process- ing and Graph Neural Networks will be able to expand into broader and more effective collabo- rative research in the future based on this study. Future research will especially need to study rec- ommendation systems based on text data. With the emergence of Large Language Models(LLM), the speed of language model development is growing rapidly, and the potential to utilize text data and expand application areas in line with these changes

is endless. Many industries currently have an enor- **435** mous amount of text data accumulated. This data **436** exists in many forms, such as consumer reviews, **437** product descriptions, social media opinions, and **438** more. In comparison, the amount of data we cur- **439** rently use is just fragments of a vast amount of **440** information. Therefore, in the future, we expect to **441** be able to propose a model that can increase the **442** accuracy and quality of personalized services by fo- **443** cusing on research that can maximize the value of **444** such data and the application potential of language **445** models. **446**

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