# Teaching Large Language Models to Express Knowledge Boundary from Their Own Signals

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#### Abstract

 Large language models (LLMs) have achieved great success, but their occasional content fab- rication, or hallucination, limits their practi- cal application. Hallucination arises because LLMs struggle to admit ignorance due to inad- equate training on knowledge boundaries. We call it a limitation of LLMs that they can not accurately express their knowledge boundary, answering questions they know while admit-010 ting ignorance to questions they do not know. In this paper, we aim to teach LLMs to recog- nize and express their knowledge boundary, so they can reduce hallucinations caused by fab- ricating when they do not know. We propose COKE, which first probes LLMs' knowledge boundary via internal confidence given a set of questions, and then leverages the probing results to elicit the expression of the knowledge boundary. Extensive experiments show COKE helps LLMs express knowledge boundaries, an- swering known questions while declining un- known ones, significantly improving in-domain and out-of-domain performance.

### **<sup>024</sup>** 1 Introduction

 Large language models (LLMs) have emerged as an increasingly pivotal cornerstone for the develop- ment of artificial general intelligence. They exhibit powerful intellectual capabilities and vast storage of knowledge [\(Brown et al.,](#page-8-0) [2020;](#page-8-0) [Ouyang et al.,](#page-9-0) [2022;](#page-9-0) [Achiam et al.,](#page-8-1) [2023\)](#page-8-1), which enables them to generate valuable content. Recent research demon- strates that LLMs excel in passing various profes- sional examinations requiring expert knowledge in domains like medical [\(Jin et al.,](#page-8-2) [2021\)](#page-8-2) and le- gal [\(Cui et al.,](#page-8-3) [2023\)](#page-8-3). Nevertheless, human users are hardly willing to seek professional suggestions **from LLMs, due greatly to hallucinations** in LLMs. Hallucinations in LLMs refer to the phenomenon that existing LLMs frequently generate untruthful information [\(Zhang et al.,](#page-10-0) [2023b;](#page-10-0) [Ji et al.,](#page-8-4) [2023\)](#page-8-4),

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Figure 1: The evolution of the Known-Unknown Quadrant. The yellow portion represents the model's parametric knowledge. Our method increases the "Known Unknows", helping the model recognize and articulate its knowledge limitations.

which greatly undermines people's trust and accep- 041 tance of LLM-generated content. **042**

An important cause of hallucinations is the **043** model's insufficiency in knowledge boundary **044** expression, which originates from the learning **045** paradigm of LLMs. Pre-training and instruction **046** fine-tuning serve as the two indispensable learning **047** stages for current LLMs. The learning mechanism **048** of these stages is to encourage LLMs to generate **049** the provided text, which also makes LLMs prone to **050** fabricating content when LLMs do not possess rel- **051** evant knowledge [\(joh,](#page-8-5) [2023;](#page-8-5) [Gekhman et al.,](#page-8-6) [2024\)](#page-8-6). **052** Hence, LLMs are hardly instructed to express their **053** ignorance, which is a lack of accurate knowledge **054** boundary expression. Given a specific LLM and **055** a question set, the corresponding question-answer **056** pairs can be categorized based on two factors: (1) **057** whether the model has corresponding parametric  $058$ 

 knowledge (knows v.s. unknows), and (2) whether 060 the model is aware of the first factor (known v.s. un- known), as is depicted in Figure [1.](#page-0-0) Hallucinations frequently occur in the "Unknown Unknows" sce- narios, where the model is unaware that it should explain its ignorance like humans, instead of strug-gling to give a hallucinated response.

 Fine-tuning models to express knowledge bound- aries faces two significant challenges. The first challenge is how to efficiently obtain data that re- flects the internal knowledge of a specific model. Even if evaluation questions are easy to construct, obtaining expert-level answers in certain fields is costly. Additionally, since the model might pro- duce correct answers in different forms from the reference answers, evaluating their correctness is also challenging [\(Kadavath et al.,](#page-9-1) [2022;](#page-9-1) [Zou et al.,](#page-10-1) [2023\)](#page-10-1). The second challenge is enabling the model [t](#page-9-2)o express its knowledge boundary robustly [\(Ren](#page-9-2) [et al.,](#page-9-2) [2023\)](#page-9-2). We expect consistent knowledge boundary expression across prompts and general-ization across domains.

 To address the above two challenges, we propose 082 COKE, an **Confidence-derived Knowledge bound-** ary Expression method which teaches LLMs to ex- press knowledge boundaries and decline unanswer- able questions, leveraging their internal signals. Our method consists of two stages: a probing stage and a training stage. In the probing stage, we use the model's internal signals reflecting confidence to distinguish between answerable and unanswerable questions, avoiding reliance on external annota- tions. This allows for easy collection of large data and avoids conflicts between the model's internal knowledge and annotations. In the training stage, we construct prompts for each question using three representative types: prior awareness, direct aware- ness, and posterior awareness. Then, we apply regularization by incorporating the squared differ- ences in confidence across different prompts for the same question into the loss function to enhance consistency. This training setup helps the model semantically learn to express knowledge boundary better, thereby enhancing its generalization ability.

 To evaluate the model's knowledge boundary ex- pression capability, we design an evaluation frame- work that comprehensively assesses the model's performance in both "knows" and "unknows" sce- narios. We conduct extensive experiments on both in-domain and out-of-domain datasets. Results show that the model learns to use internal signals to help express knowledge boundary. Compared to directly using model signals for determination, the **111** models trained with our method demonstrate better **112** performance and generalization. **113**

In summary, our contributions are: **114**

- We explore which signals within the model itself **115** can indicate the model's confidence, and find that **116** using the minimum token probability signal from **117** the model's response yields the best results. **118**
- We propose a novel unsupervised method that **119** leverages internal model signals and multi- **120** prompt consistency regularization to enable the **121** model to express its knowledge boundary clearly. **122**
- We develop a framework for evaluating a model's **123** ability to express its knowledge boundary, and ex- **124** perimental results demonstrate that the model can **125** learn signals about the confidence of its knowl- **126** edge and articulate its knowledge boundary. **127**

### 2 Related Work **<sup>128</sup>**

#### 2.1 Knowledge Boundary Perception **129**

While models are equipped with extensive paramet- **130** ric knowledge, some studies indicate their inability **131** to discern the knowledge they possess from what **132** they lack, thus failing to articulate their knowl- **133** edge boundary [\(Yin et al.,](#page-9-3) [2023;](#page-9-3) [Ren et al.,](#page-9-2) [2023\)](#page-9-2). **134** In terms of enhancing a model's awareness of **135** its knowledge boundary, efforts can be catego- **136** rized into two parts: one focuses on enabling **137** the model to fully utilize its inherent knowledge, **138** thereby shrinking the ratio of the model's "Un- **139** known Knows" [\(Wei et al.,](#page-9-4) [2022;](#page-9-4) [Li et al.,](#page-9-5) [2023;](#page-9-5) **140** [Tian et al.,](#page-9-6) [2024\)](#page-9-6). The other part focuses on en- **141** abling the model to acknowledge the knowledge it **142** lacks, thereby reducing the ratio of the model's **143** "Unknown Unknows". R-tuning [\(Zhang et al.,](#page-10-2) **144** [2023a\)](#page-10-2) uses labeled data to judge the correctness of **145** model responses and trains the model using the SFT 146 method. [Yang et al.](#page-9-7) [\(2023\)](#page-9-7) and [Kang et al.](#page-9-8) [\(2024\)](#page-9-8) **147** explore training methods based on RL. Focused on **148** this aspect, our work investigates how to enable **149** models to express knowledge boundaries without **150** annotated data, while also considering consistent **151** knowledge boundary expression across prompts **152** and generalization across domains. **153**

## 2.2 Uncertainty-based Hallucination **154 Detection** 155

Some work on hallucination detection focuses on **156** obtaining calibrated confidence from LLMs. One **157** segment of work involves utilizing the information **158** from these models to compute a score that signifies **159**

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Figure 2: The procedure of COKE, which consists of two stages. In the first stage, the model makes predictions for unlabeled questions. We obtain two parts,  $D_k$  and  $D_{unk}$ , based on the model confidence. In the second stage, we train with different prompts for the same question and use unsupervised loss and consistency loss to teach the model to express the knowledge boundary.

 [t](#page-9-9)he model's uncertainty about knowledge [\(Manakul](#page-9-9) [et al.,](#page-9-9) [2023;](#page-9-9) [Duan et al.,](#page-8-7) [2023;](#page-8-7) [Kuhn et al.,](#page-9-10) [2023;](#page-9-10) [Varshney et al.,](#page-9-11) [2023\)](#page-9-11). Another segment of work seeks to enable the model to express verbalized uncertainty [\(Lin et al.,](#page-9-12) [2022;](#page-9-12) [Xiong et al.,](#page-9-13) [2023;](#page-9-13) [Tian et al.,](#page-9-14) [2023\)](#page-9-14). Our work concentrates on en- abling the model to explicitly express whether it is capable of answering, rather than generating a probability score. By allowing the model to ex- press its knowledge boundary autonomously, users no longer need to concern themselves with detect- ing hallucinations, such as by setting uncertainty thresholds.

#### <span id="page-2-1"></span>**<sup>173</sup>** 3 Knowledge Boundary Expression

#### **174** 3.1 Problem Formulation

 We focus on exploring LLMs' capacity to perceive their internal knowledge. For a series of questions  $Q = \{q_1, q_2, \ldots, q_n\}$ , we categorize the questions based on whether the model has the knowledge required to answer them into two parts: questions 180 that can be answered  $Q_k$  and questions that cannot 181 be answered  $Q_{unk}$ . To minimize the interference from the model's reasoning ability, the questions used for testing the model are all single-hop ques- tions that inquire about factual knowledge. For a given question q, the model M generates a predic-186 tion based on its parameter knowledge  $K_{\theta}$ , repre-187 sented as  $y = M(K_\theta, q)$ . We measure the model's awareness of its knowledge from two aspects: the awareness of the knowledge it possesses and the knowledge it does not possess. The former is repre-sented as the ratio of the model's "Know Knows" to

"Knows", denoted as  $R_k$ , while the latter is repre- 192 sented as the ratio of the model's "Know Unknows" **193** to "Unknows", denoted as  $R_{unk}$ . Given a question 194  $q \in Q_k$ ,  $R_K$  is set to 1 if the model's response 195 y aligns with the knowledge k, and to 0 if the **196** model either expresses uncertainty or provides an **197** incorrect answer. For a question where  $q \in Q_{unk}$ , **198**  $R_{unk}$  is assigned 1 if the model expresses uncer- 199 tainty, and 0 if it fabricates an incorrect answer. **200** We evaluate the model's awareness of its knowl- **201** edge by testing on two types of q and calculating **202**  $S_{average} = \frac{1}{2}$  $\frac{1}{2}(R_k + R_{unk})$ . The model's awareness 203 of its knowledge is more accurate as Saware ap- **<sup>204</sup>** proaches 1, and less accurate as it approaches 0. **205**

#### 3.2 Method **206**

Our insight is that the learning mechanism of LLM **207** enables the model to search for the nearest knowl- **208** edge k in its parameters as the answer to the query 209 q. Although training allows the model to measure **210** distances accurately, it does not teach it to refuse to **211** answer based on the distance. Therefore, we hope **212** the model can learn to use its signals to recognize **213** when a large distance indicates a lack of knowl-<br>214 edge to answer q. Our method involves two steps **215** as shown in Figure [2:](#page-2-0) First, we use the model's **216** own signals to detect knows and unknows; Second, **217** we guide the model to learn these signals through 218 instruction tuning, enabling it to express its knowl- **219** edge boundary clearly. **220**

### 3.2.1 Internal Knowledge Identification **221**

To identify whether the model possesses the knowl- **222** edge required to answer question q, we calculate **223**

 the model's confidence about its prediction. The confidence of the model's prediction serves as a measure of the distance between query q and knowl- edge k. On the unlabeled question set Q, we let model M generate phrase-form predictions for each question. We only consider the distance between query q and the closest prediction; therefore, we use greedy decoding to obtain the prediction.

 We use three model signals to represent the model's confidence: Min-Prob, Fst-Prob, and Prod- Prob. Min-Prob denotes the minimum probability among the m tokens that make up the model's pre-236 diction,  $c = min(p_1, p_2, ..., p_m)$ . Fst-Prob and Prod-Prob respectively represent the probability of the first token in the prediction and the product of all probabilities. Two conservative thresholds,  $\delta_k$  and  $\delta_{unk}$ , are established to decide whether the model has enough knowledge to answer a ques- tion. For questions with c below the threshold  $\delta_{unk}$ , indicating the model is fabricating an an- swer due to insufficient knowledge, we define **this subset as**  $D_{unk} = \{(q_i, y_i, c_i) \mid c_i < \delta_{unk}\}\$  and use it to train the model to express its lack of knowledge. For questions with c above the 248 threshold  $\delta_k$ , indicating the model possesses the necessary knowledge, we define this subset as  $D_k = \{(q_i, y_i, c_i) \mid c_i > \delta_k\}$  and use it to train the model to express that it knows the answer with increased confidence.

### **253** 3.2.2 Knowledge Boundary Expression **254** Learning

 We guide the model in learning to express its knowl- edge boundaries clearly based on its own signals through instruction tuning. We believe that the model's expression of knowledge boundary aware- ness should possess two properties: honesty and consistency. Honesty requires the model to express whether it knows the answer to a question based on its certainty about the knowledge. For instance, it should not answer "I don't know" to questions it is certain about. For honesty, we fine-tune the model on the dataset obtained in the first step, enabling the 266 model to admit its ignorance on  $D_{unk}$  and main-267 tain its answers on  $D_k$ . Consistency requires the model to have the same semantic expression about whether it knows the same knowledge under differ-ent prompt formulations.

 For consistency, we consider three different prompts for knowledge boundary awareness in- quiries, which we refer to as prior awareness, di-rect awareness, and posterior awareness. Prior

awareness involves the model assessing its abil- **275** ity to answer a question before actually pro- **276** viding an answer, with prompts like "Do you **277** know the answer to the question 'panda **278** is a national animal of which country' **279** honestly?". Direct awareness involves the **280** model responding directly to a query, supplying **281** the answer if it possesses the knowledge, and ad- **282** mitting ignorance if it doesn't, with prompts like **283** "Answer the question 'panda is a national **284** animal of which country' ". Posterior aware- **285** ness involves the model's capacity to evaluate the **286** certainty of its answers, with prompts like "Are **287** you sure that the answer to the 'panda **288** is a national animal of which country' is **289** 'China' ". **290**

We hope that the model can express the same **291** knowledge boundary under different prompts for **292** the same question. It means that if the model de- **293** termines that it possesses the knowledge under **294** the prompt of prior awareness, it should be able **295** to provide the answer when queried, and express **296** confidence in its response when reflecting upon **297** its answer. We teach the model to recognize its **298** knowledge boundary by constructing three types **299** of prompts for the same question. We incorporate **300** the difference in probabilities of identical seman- **301** tic responses under various prompts into the loss **302** function, thereby ensuring the model's consistency **303** across different prompts. Specifically, the loss func- **304** tion is defined as: **305**

$$
L = L_{unsup} + L_{con} \tag{1}
$$

(2) **307**

$$
L_{con} = \sum_{1 \le i,j \le 3} ||P(y_i|x_i) - P(y_j|x_j)||^2 \quad (2)
$$

Previous research emphasizes that the MLP layer **308** is a key component for storing knowledge in the **309** transformer architecture LLM [\(De Cao et al.,](#page-8-8) [2021;](#page-8-8) **310** [Meng et al.,](#page-9-15) [2022\)](#page-9-15). Guided by these insights, we **311** only fine-tune the weight matrix of the attention **312** layer using LoRA [\(Hu et al.,](#page-8-9) [2022\)](#page-8-9). This strategy **313** allows us not to change the internal knowledge of **314** the model, but just let the model learn to express the **315** of knowledge boundary based on the confidence of **316** the knowledge. 317

### 4 Experimental Setup **<sup>318</sup>**

Datasets We consider three open-domain QA **319** datasets: TriviaQA [\(Joshi et al.,](#page-8-10) [2017\)](#page-8-10), Natu- **320** ral Questions [\(Kwiatkowski et al.,](#page-9-16) [2019\)](#page-9-16), and **321** PopQA [\(Mallen et al.,](#page-9-17) [2023\)](#page-9-17). These datasets are **322**

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	<b>Method</b>	<b>TriviaQA</b>			$\mathbf{NQ}$			<b>PopQA</b>		
		$K_{\text{aware}}$	$\mathbf{U}_{\text{aware}}$	$\mathbf{S}_{\text{average}}$	$\mathbf{K}_\text{aware}$	$\rm U_{\rm aware}$	$S_{\text{average}}$	$\mathbf{K}_\text{aware}$	$\mathbf{U}_{\text{aware}}$	$\mathbf{S}_{\text{average}}$
	Orig.	100	$\Omega$	50.0	100	$\theta$	50.0	100	$\Omega$	50.0
	Fine-tune	93.9	6.2	50.1	88.6	3.1	45.8	93.5	1.9	47.7
	<b>IDK-FT</b>	80.8	78.0	79.4	45.5	87.6	66.6	62.8	83.6	73.2
		Uncertainty-Based								
	Min-Prob	61.8	86.2	74.0	33.4	91.4	62.4	57.7	89.3	73.5
Llama2-Chat-7B	Fst-Prob	74.6	69.8	72.2	51.5	79.1	65.3	65.1	82.6	73.9
	Prod-Prob	66.0	84.7	75.3	39.8	90.2	65.0	61.0	87.7	74.4
		Prompt-Based								
	Prior	96.3	7.5	51.9	97.0	10.3	53.6	65.4	31.8	48.6
	Posterior	70.5	57.9	64.2	62.7	55.6	59.1	31.6	82.8	57.2
	<b>IC-IDK</b>	86.4	25.8	56.1	53.6	65.1	59.3	42.3	85.3	63.8
	Verb	14.3	95.8	55.1	17.5	95.0	56.3	17.6	97.3	57.4
	COKE	76.1	74.0	75.0	56.0	84.2	70.1	71.1	83.0	77.0
Llama2-Chat-13B	Orig.	100	$\overline{0}$	50.0	100	$\overline{0}$	50.0	100	$\overline{0}$	50.0
	Fine-tune	96.7	7.1	51.9	95.0	2.8	48.9	95.7	2.9	49.1
	<b>IDK-FT</b>	82.5	81.6	82.0	53.9	84.6	69.3	65.4	82.0	73.6
		<b>Uncertainty-Based</b>								
	Min-Prob	91.6	44.5	68.1	88.1	43.4	65.8	84.6	57.2	70.9
	Fst-Prob	92.9	34.1	63.5	90.6	30.7	60.7	87.4	51.0	69.2
	Prod-Prob	90.6	50.9	70.7	85.8	50.2	68.0	84.9	59.3	72.1
		Prompt-Based								
	Prior	88.6	14.2	51.4	81.3	26.5	53.9	38.2	81.8	60.0
	Posterior	100	0.30	50.0	100	0.0	50.0	100	0.10	50.0
	<b>IC-IDK</b>	99.7	1.5	50.6	96.8	6.7	51.7	90.8	25.1	58.0
	Verb	60.0	68.9	64.4	44.7	89.8	67.3	50.8	81.8	66.3
	COKE	71.6	74.9	73.3	68.3	70.2	69.2	70.1	82.6	76.4

Table 1: Comparison of the performance of our method and the baseline method across an in-domain dataset (TriviaQA) and out-of-domain datasets (NQ and PopQA). We present results on two model scales: Llama2-Chat-7B and Llama2-Chat-13B.

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Model	TriviaOA	NO.	PopOA
Llama2-Chat-7B	45.2	16.6	21.7
Llama2-Chat-13B	52.0	21.9	23.5

Table 2: The accuracy of LLMs on our test data. It represents the portion of knowledge that the model knows and can answer (Known Knows).

 broad-coverage, knowledge-intensive QA datasets, making them well-suited for evaluating LLMs' ca- pacity to perceive their internal knowledge. We utilize the train set of TriviaQA as our training data, treating it as unsupervised data by not using the labels. Natural Questions and PopQA serve as the out-of-domain test sets since they were not involved during the training process. We use a closed-book and free-form setup evaluating our approach on 2000 samples from each test set of three datasets. We use exact match to determine whether the model answers correctly or expresses

<span id="page-4-1"></span>the unknown. **335**



Table 3: Knowledge awareness metrics.

Metrics As mentioned in the [3,](#page-2-1) we evaluate the 336 model's awareness of its knowledge from two as- **337** pects: the awareness of the knowledge it possesses **338** and the awareness of the knowledge it does not pos- **339** sess. Since we cannot directly access the model's **340** internal knowledge  $K_{\theta}$ , we divide the test sets into  $341$ two parts based on whether the model's predictions **342** match the groundtruth:  $T_k$  represents the "Known  $343$ Knows" of the model (as shown in Table [2\)](#page-4-0);  $T_{unk}$   $344$ contains both the "Unknown Unknows" and "Un- **345** known Knows" cases. We define the evaluation **346**

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Figure 3: Distribution of model predictions regarding confidence for Llama2-Chat-7B on Trivia-QA. Confidence is calculated using Min-Prob, Fst-Prob, and Prod-Prob from left to right.

**347** metrics as shown in Table [3.](#page-4-1)

 Baselines We consider two different types of baselines: uncertainty-based methods and prompt- based methods. We also compared the original model (Orig.), the model fine-tuned with questions and their label (Fine-tune), and the model fine- tuned with question-label pairs, where responses to unknown questions are replaced by "Unknow" (IDK-FT).

 The uncertainty-based methods obtain numer- ical confidence scores from the model's internal signals. Using labeled training data, we determine the optimal threshold for these scores that maxi- mizes Saware, and use this threshold to judge if the model knows the required knowledge for each question. The model's response consists of multi- ple tokens, and we experimented with three types of methods to calculate the final confidence score from the probabilities of these tokens:

- **366** Min token probability (Min-Prob): Use the **367** smallest token probability in the model's predic-**368** tion as the confidence score.
- **369** Product token probability (Prod-Prob): Use **370** the product of the probabilities of all tokens in **371** the model's prediction as the confidence score.
- **372** First token probability (Fst-Prob): Use the **373** probability of the first token in the model's pre-**374** diction as the confidence score.

**375** The prompt-based methods use prompts to let **376** models express their own knowledge boundary in **377** natural language.

 • Prior prompt: Similar to [Ren et al.](#page-9-2) [\(2023\)](#page-9-2) eval- uating whether the model gives up on answering, we use the prompt "Do you know the answer to the following question honestly? If you know, output Yes, otherwise output No, just say one word either Yes or No" to directly ask the model if it knows the answer to the question.

- Posterior prompt: [Kadavath et al.](#page-9-1) [\(2022\)](#page-9-1) shows **386** the model can evaluate the certainty of its an- **387** swers. We use the prompt "Are you sure that **388** the answer to the following 'Q' is the **389** following 'A'? If you are sure, output **390** Sure, otherwise output Unsure, just say **391** one word either Sure or Unsure" to ask the **392** model about the certainty of its answers. **393**
- [•](#page-8-11) In-context IDK (IC-IDK): Following [Cohen](#page-8-11) **394** [et al.](#page-8-11) [\(2023\)](#page-8-11), by integrating demonstrations into **395** the prompt, we enable the model to express its **396** knowledge boundary through in-context learning. **397** These demonstrations include both the questions **398** accurately answered by the model along with **399** their responses, and the inaccurately answered **400** questions, with their incorrect responses replaced **401** by "Unknow". **402**
- Verbalize uncertainty (Verb): Resent **403** work [\(Tian et al.,](#page-9-14) [2023\)](#page-9-14) suggest that LLMs' **404** verbalized uncertainty exhibits a degree of **405** calibration. We let the model output verbalized **406** uncertainty, and search for the optimal threshold **407** in the training set. **408**

**Implementation Details** For our experiment, we 409 choose to use the LLaMA2-Chat [\(Touvron et al.,](#page-9-18) **410** [2023\)](#page-9-18) model. Based on the pre-trained LLaMA2 **411** model, LLaMA2-Chat is a model that has under- **412** gone instruction tuning and RLHF, thereby acquir- **413** ing the capability to follow instructions. We use the **414** 7B and 13B versions of the LLaMA2-Chat model. **415** In our approach, we sort the confidence scores cal- **416** culated from the TriviaQA training set and des- **417** ignate the bottom 10% as  $D_{unk}$  and the top 20% 418 as  $D_k$ , collectively amounting to approximately  $419$ 23,000 instances. We use LoRA for model fine- **420** tuning, setting  $r=8$ , alpha=16, and dropout=0.05.  $421$ During training, we set the initial learning rate to **422** 1e-4, the final learning rate to 3e-4, the warmup **423** phase to 300 steps, and we train for 700 steps. We **424**

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Figure 4: Model's "Unknow" expression ratio in question groups under different confidence scores (using minimum token probability). As the model's confidence score decreases, the ratio of "Unknow" expressions increases. The model exhibits a higher "Unknow" expression ratio on  $T_{unk}$  compared to  $T_k$ .

**425** conduct all our experiments on 4 NVIDIA A800 **426** 80GB GPUs.

#### **<sup>427</sup>** 5 Results and Analysis

#### **428** 5.1 Overall Performance

**429** We present our main results on the in-domain and **430** out-of-domain datasets in Table [1.](#page-4-2) Generally, we **431** have the following findings:

 Across all settings, we outperform prompt-based methods by a large gap. On Llama2-Chat-7B, our **here** method obtains an  $S_{average}$  of 75.0 compared to  $\leq$  64.2 by prompt-based methods on TriviaQA, and **btains an**  $S_{average}$  of 77.0 compared to  $\leq 63.8$  by prompt-based methods on PopQA. Models struggle to accurately express knowledge boundaries when it comes to the prior prompt, in-context learning, and posterior prompts. Meanwhile, models can express verbalized uncertainty through prompts, and their accuracy improves with larger models, but remains limited for models with fewer than 13 billion parameters. Interestingly, as the model size increases, although the accuracy on the dataset improves, the model's ability for self-awareness does not show significant improvement in most cases. We believe that this capability might require even larger models to be evident.

 Compared to uncertainty-based methods that leverage labeled data for threshold determination, our method can significantly outperform in most settings. This demonstrates that our method en- ables the model to effectively learn its confidence signals. Meanwhile, the model's performance sur- passes the uncertainty-based methods that are used for training, indicating that the model can gener- alize and utilize information beyond the training signals. On out-of-domain datasets, our method sig-

<span id="page-6-1"></span>

<b>Training Signal</b>	<b>TriviaOA</b>	NO.	PopOA
Fst-Prob	74.9	69.3	76.2
Prod-Prob	73.9	69.8	76.3
Min-Prob	75.0	70.1	77.0

Table 4: Different signals serve as the model's confidence score in training the expression of knowledge boundary. The metric is represented by the  $S_{average}$ .

nificantly outperforms uncertainty-based methods, **460** indicating that thresholds derived from a dataset **461** have poor transferability, while our method exhibits **462** better generalization. 463

Compared to IDK-FT, which uses labels to iden- **464** tify answerable and unanswerable questions, our **465** method of using the model's own signals demon- **466** strates better generalization. Although our method **467** performs worse than IDK-FT on in-domain test **468** sets, it significantly outperforms this supervised **469** fine-tuning approach on out-of-domain datasets. **470** This indicates that by leveraging the model's inter- **471** nal signals to teach LLMs to express knowledge **472** boundaries, COKE not only avoids reliance on la- **473** beled data but also achieves better generalization. **474**

#### 5.2 Analysis **475**

After demonstrating the effectiveness of our **476** method, we conduct detailed analyses to further **477** understand our method and find out why it works. **478**

#### Do signals effectively reflect model confidence? **479**

We illustrate the effectiveness of the confidence **480** calculation method through an empirical study. We **481** obtain the model confidence for Llama2-chat-7B **482** on the Trivia-QA training set using three different **483** methods. We divide the model's responses into two **484** parts based on whether the answers are correct and **485** calculate the sample distribution for each part. As **486**

 shown in Figure [3,](#page-5-0) there is a significant difference in the confidence distribution between the Correct Predictions and Incorrect Predictions. Predictions with confidence less than 0.4 are mostly incorrect, while the confidence of correct predictions is gener- ally 1.0. This indicates that the model signals can reflect the model's confidence, implying whether the model possesses the corresponding knowledge.

 Have LLMs learned to use their signals? To determine if our model uses confidence scores to express its knowledge boundary, we examined its responses under various confidence levels. Fig- ure [4](#page-6-0) shows the proportion of questions where the model responds with "Unknown" based on differ- ent confidence scores. We found that the model rarely responds with "Unknown" when confidence is high and frequently does so when confidence is low. For instance, with a confidence score below 0.4, the model almost always responds "Unknown", while near a score of 1.0, it confidently provides answers. This indicates the model effectively uses confidence scores to delineate its knowledge bound- aries and generalizes well to out-of-domain data. Notably, the model responds "Unknown" more of- ten at the same confidence level for out-of-domain questions compared to in-domain ones. This sug- gests the model has learned to use additional im- plicit information beyond just the confidence score. Training with this signal helps reduce noise from using minimum token probability alone and en- hances performance compared to methods solely based on uncertainty.

 Which signal more accurately represents the confidence of LLMs? We explore different sig- nals in terms of their accuracy in reflecting the model's knowledge boundary and their impact on our method. As demonstrated in Table [1,](#page-4-2) in the uncertainty-based method, the performance varia- tions using different signals are slight, with the multi-token probability production standing out as the best. As a training signal, the use of the minimum probability of multi-token outperforms other signals on both in-domain and out-of-domain datasets, as illustrated in Table [4.](#page-6-1) We consider that the minimum probability of multi-token is more easily mastered by the model. We leave the discov- ery of better signals reflecting the model's knowl- edge boundary and the utilization of multi-signal training for future work.

<span id="page-7-0"></span>

Method	<b>TriviaOA</b>		NO		PopOA	
	Saware	Con.	S <sub>aware</sub>	Con.	S <sub>aware</sub>	Con.
orig.	50.0	534	50.0	45.6	50.0	17.7
COKE	75.0	85.0	70.1	83.5	77.0	87.6
w/o Con-loss	75.6	42.O	692	45 O	74 8	60.6

Table 5: The consistency of the model's knowledge boundary expression under different prompts.

What are the benefits of training the model **536** with consistency loss? We investigate the ben-  $537$ efits of teaching a model to express knowledge **538** boundary by using the strategy of constructing **539** different prompts for the same question and ap- **540** plying a consistency regularization loss function. **541** By adopting this strategy, we discover that it not **542** only improves the model's ability to generalize, but **543** also ensures a consistent expression of knowledge **544** boundary under different prompts. Results from **545** Table [5](#page-7-0) indicate that the application of consistency **546** loss, despite causing a slight decrease in  $S_{average}$  547 on the in-domain dataset, leads to substantial im- **548** provements on the out-of-domain dataset, thereby **549** demonstrating enhanced generalization. We also **550** reported the consistency of the model's expression **551** of knowledge boundary under different prompts, as **552** shown in Table [5.](#page-7-0) Here we focus on the model's  $553$ expression consistency under prior prompts, poste- **554** rior prompts, and direct inquiries. We notice that **555** the model adopted with consistency loss is capable **556** of expressing consistent knowledge boundaries for **557** most questions under different prompts. **558**

### 6 Conclusion **<sup>559</sup>**

In this paper, we target the knowledge boundary **560** awareness problem and propose COKE, a novel **561** unsupervised approach for this task. Our approach **562** is built on detecting signals of the model express- **563** ing knowledge boundary, and teaching the model **564** to use its own signals to express the idea of knowl- **565** edge boundary. Through comprehensive experi- **566** ments on in-domain and out-of-domain datasets, **567** we show that our method can teach the model to **568** use its own signals, significantly enhancing the **569** model's ability to accurately express knowledge **570** boundary. Our work can be extended by seeking **571** more internal signals that better reflect the model's  $572$ confidence and exploring how to combine these sig- **573** nals to train the model, inspiring further research **574** into models autonomously improving their ability **575** to express knowledge boundaries without human **576** annotations. **577**

# **<sup>578</sup>** Limitations

 We note three limitations of our current work. First is the accuracy of the evaluation methods. Because of the lack of a method to discover the internal 582 knowledge of the model, we divided  $T_k$  and  $T_{unk}$  based on whether the model's answer matches the groundtruth, ignoring the impact of the model's erroneous beliefs. Another limitation is that to pre- vent exposure bias and the influence of multiple pieces of knowledge, we focused on the expression of knowledge boundary under short-form answers, without investigating the issue of long-form gen- eration. Last, we focused on the model's ability to express the boundary of its internal knowledge, not extending to scenarios like self-awareness with external knowledge (e.g., RAG scenarios) or rea- soning abilities (e.g., mathematics or logical rea-**595** soning).

### **<sup>596</sup>** Ethical Statement

**597** We hereby acknowledge that all authors of this **598** work are aware of the provided ACL Code of Ethics **599** and honor the code of conduct.

 Risks We propose COKE, which teaches models to express their knowledge boundaries using inter- nal signals, thereby reducing hallucinations caused by fabricating answers when they do not know. Our experiments demonstrate that our method signifi- cantly reduces the instances of models fabricating answers to unknown questions. However, models may still occasionally produce fabricated answers in certain scenarios. Therefore, in practical applica- tions, it is important to note that our method does not completely eliminate hallucinations, and there remains a risk of models generating fabricated con- tent. Caution is advised in fields with stringent requirements.

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