# TOPOLOGICALLY FAITHFUL IMAGE SEGMENTATION VIA INDUCED MATCHING OF PERSISTENCE BARCODES

#### **Anonymous authors**

Paper under double-blind review

### Abstract

Image segmentation is a largely researched field where neural networks find vast applications in many facets of technology. Some of the most popular approaches to train segmentation networks employ loss functions optimizing pixel-overlap, an objective that is insufficient for many segmentation tasks. In recent years, their limitations fueled a growing interest in topology-aware methods, which aim to recover the correct topology of the segmented structures. However, so far, none of the existing approaches achieve a spatially correct matching between the topological features of ground truth and prediction.

In this work, we propose the first topologically and feature-wise accurate metric and loss function for supervised image segmentation, which we term *TopoMatch*. We show how induced matchings guarantee the spatially correct matching between barcodes in a segmentation setting. Furthermore, we propose an efficient algorithm to compute TopoMatch for images. We show that TopoMatch is an interpretable metric to evaluate the topological correctness of segmentations, which is more sensitive than the well-established Betti number error. Moreover, the differentiability of the *TopoMatch loss* enables its use as a loss function. It improves the topological performance of segmentation networks across six diverse datasets while preserving the volumetric performance.

### **1** INTRODUCTION

Topology studies properties of shapes that are related to their connectivity and that remain unchanged under deformations, translations, and twisting. Some topological concepts, such as *cubical complexes, homology* and *Betti numbers*, form interpretable descriptions of shapes in space that can be efficiently computed. Naturally, the topology of physical structures is highly relevant in machine learning tasks, where the preservation of its connectivity is crucial, a prominent example being image segmentation. Recently, a number of methods have been proposed to improve topology preservation in image segmentation for a wide range of applications. However, none of the existing concepts take the spatial location of the topological features (e.g. *connected components* or *cycles*) within their respective image into account. Evidently, spatial correspondence of these features is a critical property of segmentations, see Fig. 1.



Figure 1: Motivation – comparison of our *TopoMatch* and *Wasserstein matching* (Hu et al. (2019)). We match cycles between label and prediction for a CREMI image and denote matched pairs in the same color. We visualize only six (randomly selected out of the total 23 matches for both methods) matched pairs for presentation clarity. Note that TopoMatch always matches spatially correctly while the Wasserstein matching gets most matches wrong.

**Our contribution** In this work, we introduce a rigorous framework for *faithfully* quantifying the preservation of topological properties in the context of image segmentation, see Fig. 2. Our method

builds on the concept of *induced matchings* between *persistence barcodes* from algebraic topology, introduced by Bauer & Lesnick (2015). The introduction of these matching to a machine learning setting allows us to precisely formulate spatial correspondences between topological features of two grayscale images. We achieve this by embedding both images into a common *comparison image*. Put in simple terms, our central contribution is an efficient, differentiable solution for localized topological error finding, which serves as:

- a **topological loss** to train segmentation networks, which guarantees to correctly, in a spatial sense, emphasize and penalize the topological structures during training (see Sec 3.2);
- an **interpretable topological quality metric** for image segmentation, which is not only sensitive to the number of topological features but also to their location within the respective images (see Sec. 3.3).

Experimentally, our TopoMatch construction proves to be an effective loss function, leading to vastly improved topology across six diverse datasets.

### 1.1 RELATED WORK

Algebraic stability of persistence Several proofs for the stability of persistence have been proposed in the literature. In 2005, Cohen-Steiner et al. (2005) established a first stability result for *persistent homology* of real-valued functions. The result states that the map sending a function to the *barcode* of its sublevel sets is 1-Lipschitz with respect to suitable metrics. In 2008 this result was generalized by Chazal et al. (2009b) and formulated in purely algebraic terms, in what is now known as the algebraic stability theorem. It states that the existence of a  $\delta$ -interleaving (a sort of approximate isomorphism) between two pointwise finite-dimensional persistence modules implies the existence of a  $\delta$ -matching between their respective barcodes. This theorem provides the justification for the use of persistent homology to study noisy data. In Bauer & Lesnick (2015), the authors present a constructive proof of this theorem, which associates to a given  $\delta$ -interleaving between persistence modules a specific  $\delta$ -matching between their barcodes. For this purpose, they introduce the notion of induced matchings, which form the foundation of our proposed TopoMatch framework.

**Topology aware segmentation** Multiple works have highlighted the importance of topologically correct segmentations in various computer vision applications. *Persistent homology* is a popular tool from algebraic topology to address this issue. A key publication by Hu et al. (2019) introduced the *Wasserstein loss* as a variation of the *Wasserstein distance* to improve image segmentation. They match points of dimension 1 in the *persistence diagrams* – an alternative to barcodes as descriptor of persistent homolgy – of ground truth and prediction by minimizing the squared distance of matched points.



(a) Prediction-1 Dice = 0.991Betti error = 0 TopoMatch = 1 th (c) Prediction-2 Dice = 0.974Betti error = 0TopoMatch = 0

Figure 2: (a) and (c) show two predictions for ground truth (b). Volumetric metrics, e.g., *Dice* favor (a) over (c), and even Betti number error can not differentiate between (a) and (c) while only TopoMatch detects the spatial error in (a) and favors (c).

However, this matching has a fundamental limitation, in that it cannot guarantee that the matched structures are spatially related in any sense (see Fig. 1 and App. A). Put succinctly, the cycles are matched irrespective of the location within the image, which frequently has an adverse impact during training (see App. F). Clough et al. (2020) follows a similar approach and train without knowing the explicit ground truth segmentation, but only the Betti numbers it ought to have. Persistent homology has also been used by Abousamra et al. (2021) for crowd localization and by Waibel et al. (2022) for reconstructing 3D cell shapes from 2D images.

Other methods incorporate pixel-overlaps of topologically relevant structures. For example, the *clDice* score, introduced by Shit et al. (2021), computes the harmonic mean of the overlap of the predicted skeleton with the ground truth volume and vice versa. Hu & Chen (2021) and Jain et al. (2010) use *homotopy warping* to identify critical pixels and measure the topological difference between grayscale images. Hu et al. (2021) utilizes *discrete Morse theory* (see Delgado-Friedrichs

et al. (2014)) to compare critical topological structures within prediction and ground truth. Wang et al. (2022) incorperate a *marker loss*, which is based on the Dice loss between a predicted marker map and the ground truth marker map, to improve gland segmentations topologically. Generally, these overlap-based approaches are computationally efficient but do not explicitly guarantee the spatial correspondence of the topological features. Other approaches aim at enforcing topologically motivated priors, for example, enforcing connectivity priors Sasaki et al. (2017); Wang & Jiang (2018).Mosinska et al. (2018) applied task-specific pre-trained filters to improve connected components. Zhang & Lui (2022) uses template masks as an input to enforce the diffeomorphism type of a specific shape. Further work by Cheng et al. (2021) jointly models connectivity and features based on iterative feedback learning. Oner et al. (2020) aims to improve the topological performance by enforcing region separation of curvilinear structures.

### 2 BACKGROUND ON ALGEBRAIC TOPOLOGY

We introduce the necessary concepts from algebraic topology in order to describe the construction of induced matchings for grayscale images. For the basic definitions, we refer to the App. L.

#### 2.1 GRAYSCALE IMAGES AS FILTERED CUBICAL COMPLEXES

The topology of grayscale images is best captured by *filtered cubical complexes*. In order to filter a *cubical complex K* we consider an *order preserving* function  $f: K \to \mathbb{R}$ . Its **sublevel sets**  $D(f)_r := f^{-1}((-\infty, r])$  assemble to the **sublevel filtration**  $D(f) = \{D(f)_r\}_{r \in \mathbb{R}}$  of K. Since f can only take finitely many values  $\{f_1 < \ldots < f_l\}$ , the filtered cubical complex  $K_*$  given by  $K_i = D(f)_{f_i}$  for  $i = 1, \ldots, l$ , already encodes all the information about the filtration.

For a grayscale image  $I \in \mathbb{R}^{m \times n}$  we consider the **cubical grid complex**  $K^{m,n}$  consisting of all cubical cells contained in  $[1,m] \times [1,n] \subseteq \mathbb{R}^2$ . Its **filter function**  $f_I$  is defined on the vertices of  $K^{m,n}$  by the corresponding entry in I, and on all higher-dimensional cubes as the maximum value of its vertices. Note that  $f_I$  is order preserving, so we can associate the sublevel filtration of  $f_I$  and its corresponding filtered cubical complex to the image I and denote them by D(I) and  $K_*(I)$ , respectively. This construction is called the **V-construction** since pixels are treated as vertices in the cubical complex, see Fig. 3b. An alternative, the **T-construction**, considers pixels

$\begin{pmatrix} 4 & 1 & 5 \\ 0 & 0 & c \end{pmatrix}$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$
$\begin{pmatrix} 8 & 3 & 6 \\ 7 & 2 & 9 \end{pmatrix}$	$\frac{1}{7}$ - 7 - $\frac{1}{2}$ - 9 - 9
(a) <b>I</b>	(b) $f_I \colon K^{3,3} \to \mathbb{R}$

Figure 3: (a) shows an image and (b) visualizes the V-construction.

as top-dimensional cells of a 2-dimensional cubical complex (see Heiss & Wagner (2017)). We implemented both, V- and T-construction, in TopoMatch and encode them in the *ValueMap* array inside the *CubicalPersistence* class.

#### 2.2 PERSISTENT HOMOLOGY AND ITS BARCODE

Persistent homology considers filtrations of spaces and observes the lifetime of topological features within the filtration in form of *persistence modules*. The basic premise is that features that persist for a long time are significant, whereas features with a short lifetime are likely to be caused by noise.

The **persistent homology**  $H_*(f)$  of an order preserving function  $f: K \to \mathbb{R}$  consists of vector spaces  $H_*(f)_r = H_*(D(f)_r)$  and transition maps  $H_*(f)_{r,s}: H_*(D(f)_r) \to H_*(D(f)_s)$  induced by the inclusions  $D(f)_r \to D(f)_s$  for  $r \leq s$ . Note that  $H_*(f)$  is a p.f.d persistence module. By a result of see Crawley-Boevey (2012), any p.f.d. persistence module is isomorphic to a direct sum

of interval modules  $M \cong \bigoplus_{I \in \mathcal{B}(M)} C(I)$ . Here,  $\mathcal{B}(M)$  denotes the **barcode** of M, which is given by a *multiset* of intervals. For a grayscale image matrix  $I \in \mathbb{R}^{m \times n}$  with associated filter function  $f_I: K^{m,n} \to \mathbb{R}$ , we will refer to the persistent homology of  $f_I$  as the persistent homology of the image I and denote it by  $H_*(I)$ . Its associated barcode will be denoted by  $\mathcal{B}(I)$ . Note that the persistent homology is **continuous from above**: all intervals in the barcode are of the form [s, t).



Figure 4: A filtered cubical complex with varying homology in degree 1. Adding the green 1-cell in (b) creates homology and adding the red 2-cell in (c) turns homology trivial. Together they form a persistence pair. In order to compute the barcode  $\mathcal{B}(I)$ , we make use of the *reduction algorithm* described in Edelsbrunner et al. (2008). It starts by sorting the cells of the associated filtered cubical complex  $K_*(I)$ to obtain a **compatible** ordering  $c_1, \ldots, c_l$ : the cells in  $K_i$  preceed the cells in  $K \setminus K_i$ , and the faces of a cell preceed the cell. This ordering induces a *cell-wise refinement*  $L_*(I)$  of  $K_*(I)$ , which we encode in the *IndexMap* array inside the CubicalPersistence class. The algorithm then performs a variant of Gaussian elimination on the *boundary matrix* of K, with rows and columns indexed by the cells in the compatible ordering. Adding a *d*-cell  $c_k$  to the complex will either create new homology in degree *d* or turn homology classes in degree d-1 trivial (see Figure 4). In the latter case, assuming that the class that becomes trivial when adding  $c_k$  has been created by cell  $c_j$  with j < k, we pair the cells  $c_j$  and  $c_k$ . This way we partition the set of cells into **persistence pairs** and singletons. Each pair  $(c_j, c_k)$ , satisfying  $f_I(c_j) < f_I(c_k)$ , gives rise to a **finite interval**  $[f_I(c_j), f_I(c_k))$ , and each singleton  $c_i$  gives rise to an **essential interval**  $[f_I(c_i), \infty)$  in the barcode of I. Note that a finite interval  $[f_I(c_j), f_I(c_k))$  determines a **refined interval** [j, k), and we call the set  $\mathcal{B}_{\text{fine}}(I)$  of refined intervals the **refined barcode** of I. Alternatively, the refined barcode of I can be seen as barcode of the persistent homology of the refined filtration  $L_*(I)$ .

#### 2.3 INDUCED MATCHINGS OF PERSISTENCE BARCODES

In order to give a constructive proof for the algebraic stability theorem of persistent homology, the authors of Bauer & Lesnick (2015) introduced the notion of induced matchings, which play a central role in our TopoMatch matching. The following theorem (paraphrased as a special case of the general Theorem 4.2 in Bauer & Lesnick (2015)) is key to the definition of induced matchings:

**Theorem 1** Let  $\Phi: M \to N$  be a morphism of p.f.d., staggered persistence modules that are continuous from above. Then there are unique injective maps  $\mathcal{B}(\operatorname{im} \Phi) \hookrightarrow \mathcal{B}(M)$  and  $\mathcal{B}(\operatorname{im} \Phi) \hookrightarrow \mathcal{B}(N)$ , which map an interval  $[b, c) \in \mathcal{B}(\operatorname{im} \Phi)$  to an interval  $[b, d) \in \mathcal{B}(M)$  with  $c \leq d$ , and to an interval  $[a, c) \in \mathcal{B}(N)$  with  $a \leq b$ , respectively.

Note that im  $\Phi$  is a p.f.d. submodule of N, and we will refer to its barcode as the **image barcode** of  $\Phi$ . Obviously, the injections in Theorem 1 determine matchings  $\mathcal{B}(M) \xrightarrow{\sigma_M} \mathcal{B}(\operatorname{im} \Phi) \xrightarrow{\sigma_N} \mathcal{B}(N)$ . The **induced matching** of  $\Phi$  is then given by the composition  $\sigma(\Phi) = \sigma_N \circ \sigma_M$ .

Induced matchings of grayscale images Let  $I, J \in \mathbb{R}^{m \times n}$  be matrices describing grayscale images, such that  $I \geq J$  (entry-wise). Then the sublevel sets of I form subcomplexes of the sublevel sets of J and the corresponding inclusions  $D(I)_r \hookrightarrow D(J)_r$  are cubical maps. Hence, they induce maps  $H_*(I)_r \to H_*(J)_r$  in homology, which assemble to a persistence map  $\Phi(I,J): H_*(I) \to H_*(J)$ . We will denote the image barcode of  $\Phi(I,J)$  by  $\mathcal{B}(I,J)$ . Considering the refined filtrations  $L_*(I), L_*(J)$ , we obtain staggered persistence modules resulting in refined barcodes  $\mathcal{B}_{\text{fine}}(I), \mathcal{B}_{\text{fine}}(J)$ . For the computation of the image barcode, we follow the algorithm described in Bauer & Schmahl (2022). It involves the *reduction* of the boundary matrix of  $K^{m,n}$  with rows indexed by the ordering  $c_1, \ldots, c_l$  in  $L_*(I)$  and columns indexed by the ordering  $d_1, \ldots, d_l$  in  $L_*(J)$ . A pair  $(c_i, d_j)$  satisfying  $f_I(c_i) < f_J(d_j)$ , obtained by the means of this reduction, then gives rise to an **image persistence pair**  $(c_i, d_j)$ , which corresponds to the finite interval  $[f_I(c_i), f_J(d_j)) \in \mathcal{B}(I, J)$ . By matching refined intervals with the image persistence pairs according to Theorem 1, we obtain a matching  $\sigma_{\text{fine}}: \mathcal{B}_{\text{fine}}(I) \to \mathcal{B}(I)$  by replacing refined intervals with the corresponding finite interval.

$$\begin{pmatrix} 0 & 1 & 2 \\ 7 & 39 & 3 \\ 6 & 5 & 4 \end{pmatrix} \xrightarrow{[\frac{5}{9}]_{\frac{1}{9}}}_{0} \xrightarrow{\frac{5}{9}}_{0} \xrightarrow{\frac{5}{9}}_{$$

Figure 5: (a), (c) and (e) show images which satisfy  $I \ge J_1, J_2$ . (b) and (d) visualize the induced matchings. Red bars correspond to the barcode of I, green bars to the barcodes of  $J_1, J_2$  and grey bars to the image barcodes  $\mathcal{B}(I, J_1), \mathcal{B}(I, J_2)$ . The shaded gray area highlights matched intervals according to their endpoints.

In this work, we augment this induced matching by additionally considering **reverse persistence pairs**, i.e., pairs  $(c_i, d_j)$  obtained by the reduction, with  $f_I(c_i) \ge f_J(d_j)$  (see Figure 5d). When this is the case, we also match the corresponding intervals in  $\mathcal{B}_{\text{fine}}(I)$  and  $\mathcal{B}_{\text{fine}}(J)$ . Note that this is a slight variation of the induced matching defined in Bauer & Lesnick (2015). This extension satisfies similar properties and is a natural adaptation to our computational context.

### 3 TOPOMATCH – FROM ALGEBRAIC TOPOLOGY TO IMAGE SEGMENTATION

In general, the structure of interest in segmentation tasks is given by the foreground. Therefore, we consider *superlevel filtrations* instead of sublevel filtrations in applications. For simplicity, we stick to sublevel filtrations to describe the theoretical background. Throughout this section, we denote by  $L \in [0,1]^{m \times n}$  a **likelihood map** predicted by a deep neural network, by  $P \in \{0,1\}^{m \times n}$  the binarized **prediction** of L, and by  $G \in \{0,1\}^{m \times n}$  the **ground truth** segmentation.

#### 3.1 MATCHING BY COMPARISON IN AMBIENT SPACE



Figure 6: An exemplary construction of the TopoMatch matching. (a)–(f) show a likelihood map L, a ground truth G, the comparison image C and their barcodes. (g) and (h) show the induced matchings between individual barcodes (matchings indicated in grey), and (i) shows the resulting TopoMatch matching between  $\mathcal{B}(L)$  and  $\mathcal{B}(G)$ , which matches a red interval to a blue interval if there is a green interval in between. We use this matching to define our loss and metric.

In order to visualize that two objects in two different images are at the same location, we can simply move one image ontop of the other one and observe that the locations of the objects now agree. Thereby, we are constructing a common ambient space for both images which allows us to identify locations. Following this idea, in order to find a matching between  $\mathcal{B}(L)$  and  $\mathcal{B}(G)$  that takes the location of represented topological features into account, we are looking for a common *ambient filtration* of  $K^{m,n}$ , which is

- (a) big enough to contain the sublevel filtrations of L and G;
- (b) fine enough to capture the topologies of L and G.

Here, (a) guarantees that we can compute induced matchings of the respective inclusions and (b) guarantees that the identification of features by the induced matchings are non-trivial (discriminative). The most natural candidate which comes into mind is given by the union  $D(L)_r \cup D(G)_r$  of sublevel sets. Therefore, we introduce the comparison image  $C = \min(L, G)$  (entry-wise minimum) and observe that  $D(C)_r = D(L)_r \cup D(G)_r$ . By construction, we have  $C \leq L$ , G and obtain induced matchings  $\sigma(L, C) : \mathcal{B}(L) \to \mathcal{B}(C)$  and  $\sigma(G, C) : \mathcal{B}(G) \to \mathcal{B}(C)$  (see Sec. 2.3). The **TopoMatch matching**  $\tau(L, G) : \mathcal{B}(L) \to \mathcal{B}(G)$  is then given by the composition

$$\tau(\boldsymbol{L},\boldsymbol{G}) = \sigma(\boldsymbol{G},\boldsymbol{C})^{-1} \circ \sigma(\boldsymbol{L},\boldsymbol{C}).$$

Working with superlevel sets yields an analogous construction. In the superlevel-setting we choose  $C = \max(L, G)$  as the comparison image to guarantee that each superlevel set of the comparison image is the union of the corresponding superlevel sets of ground truth and likelihood map.

#### 3.2 TOPOMATCH DEFINES A TOPOLOGICAL LOSS FUNCTION FOR IMAGE SEGMENTATION

We denote by  $\mathbb{R}$  the **extended real line**  $\mathbb{R} \cup \{-\infty, \infty\}$ . A barcode  $\mathcal{B}$  consisting of intervals [a, b) can then equivalently be seen as a multiset  $\operatorname{Dgm}(\mathcal{B})$  of points  $(a, b) \in \mathbb{R}^2$  which lie above the diagonal  $\Delta = \{(x, x) \mid x \in \mathbb{R}\}$ . Furthermore, we add all the points on the diagonal  $\Delta$  with infinite multiplicity to  $\operatorname{Dgm}(\mathcal{B})$  and thus define the **persistence diagram** of  $\mathcal{B}$ . A matching  $\sigma: \mathcal{B}_1 \to \mathcal{B}_2$  between barcodes then corresponds to a bijection  $\sigma: \operatorname{Dgm}(\mathcal{B}_1) \to \operatorname{Dgm}(\mathcal{B}_2)$  between persistence diagrams, by mapping unmatched points (a, b) to their closest point ((a + b)/2, (a + b)/2) on the diagonal  $\Delta$ . We use these perspectives interchangeably (see Fig. 20). For simplicity, we denote by  $\operatorname{Dgm}(\mathbf{I})$  the persistence diagram associated to the barcode of a grayscale image  $\mathbf{I}$ .

Persistent homology is stable, see Chazal et al. (2009a), i.e., there exist metrics on the set of persistence diagrams for which slight variations in the input result in small variations of the corresponding persistence diagram. Therefore, it is natural to require Dgm(L) to be similar to Dgm(G). A frequently used metric to measure the difference between persistence diagrams is the Wasserstein distance (see Cohen-Steiner et al. (2010)), and it has been adapted in Hu et al. (2019) to train segmentation networks. Because of the shortcomings described in Fig. 1,8c,19b and App. A,F, we propose to replace the Wasserstein matching  $\gamma_*$  by the TopoMatch matching  $\tau(L, G)$  and define the **TopoMatch loss** 

$$L_{\mathrm{TM}}(\boldsymbol{L}, \boldsymbol{G}) = \sum_{q \in \mathrm{Dgm}(\boldsymbol{L})} \|q - \tau(\boldsymbol{L}, \boldsymbol{G})(q)\|_2^2.$$

Since the values in L and G are contained in [0, 1], we replace the essential intervals  $[a, \infty)$  with the finite interval [a, 1], to obtain a well-defined expression. To efficiently train segmentation networks, we combine our TopoMatch loss with a standard volumetric loss, specifically, the *Dice Loss*, to

$$L_{train} = \alpha L_{TM}(\boldsymbol{L}, \boldsymbol{G}) + L_{dice}(\boldsymbol{L}, \boldsymbol{G})$$

**Gradient of TopoMatch loss** Note that we can see  $L = L(I, \omega)$  as a function that assigns the predicted likelihood map to an image  $I \in \mathbb{R}^{m \times n}$  and the segmentation network parameters  $\omega \in \mathbb{R}^{l}$ . A point  $q = (q_1, q_2) \in \text{Dgm}(L)$  describes a topological feature that is born by adding pixel b(q) (**birth** of q) and killed by adding pixel d(q) (**death** of q) to the filtration. The coordinates of q are then determined by their values  $q_1 = L_{d(q)}$  and  $q_2 = L_{b(q)}$ . Assuming that the TopoMatch matching is constant in a sufficiently small neighborhood around the given predicted likelihood map L, the TopoMatch loss is differentiable in  $\omega$  and the chain rule yields the gradient

$$\nabla_{\omega} L_{\mathrm{TM}}(\boldsymbol{L}, \boldsymbol{G}) = \sum_{q \in \mathrm{Dgm}(\boldsymbol{L})} 2(q_1 - \tau(\boldsymbol{L}, \boldsymbol{G})(q)_1) \frac{\partial \boldsymbol{L}_{d(q)}}{\partial \omega} + 2(q_2 - \tau(\boldsymbol{L}, \boldsymbol{G})(q)_2) \frac{\partial \boldsymbol{L}_{b(q)}}{\partial \omega}$$

Note that likelihood maps for which this assumption is not satisfied may exist. But this requires L to have at least two entries with the exact same value, and the set of such likelihood maps has *Lebesgue measure* zero. Therefore, the gradient is well-defined almost everywhere, and in the edge cases, we consider it as a sub-gradient, which still reduces the loss and has a positive effect on the topology of the segmentation.

**Physical meaning of the gradient** To understand the effect of the TopoMatch gradient during training, consider the example in Fig. 7. Let  $x, y \in Dgm(L)$ denote the points corresponding to the yellow and blue cycle in (c), respectively. (b) shows that x is matched and y is unmatched. Since, all points in Dgm(G) are of the form (0,1), TopoMatch maps x to (0,1) and y to its closest point  $(\frac{y_1+y_2}{2}, \frac{y_1+y_2}{2})$  on



Figure 7: (a) L shows a Topological error (bottom right). (b) Matched cycles in Topo-Match are shown in yellow. (c) For both cycles in L, the birth (b(q)) and death pixels (d(q)) are marked with  $\star$  and  $\times$ , respectively.

the diagonal  $\Delta$ . Therefore, the gradient will enforce the segmentation network to move x closer to (0,1) (i.e., decrease  $x_1 = L_{d(x)}$  and increase  $x_2 = L_{b(x)}$ ) and y closer to  $(\frac{y_1+y_2}{2}, \frac{y_1+y_2}{2})$  (i.e., increase  $y_1 = L_{d(y)}$  and decrease  $y_2 = L_{b(y)}$ ). This results in an amplification of the local contrast between  $\star$  and  $\times$  of the yellow cycle and a reduction of the local contrast between  $\star$  and  $\times$  of the blue cycle, which improves the topological performance of the segmentation.

Summarized, we can say that matched features get emphasized, and unmatched features get suppressed during training, which highlights the importance of finding a spatially correct matching (see App. F for further discussion).

#### 3.3 TOPOMATCH LOSS AS A TOPOLOGICAL METRIC FOR IMAGE SEGMENTATION

**Betti number error** The Betti number error  $\beta_{err}$  (see App. K) compares the topological complexity of the binarized prediction P and the ground truth G. However, it is limited as it only compares the number of topological features in both images, while ignoring their spatial correspondence (see Fig. 8). In terms of persistence diagrams, the Betti number error can be expressed by considering a maximal matching  $\beta$ :  $Dgm(P) \rightarrow Dgm(G)$ , e.g., the Wasserstein matching (see App. F), and counting the number of unmatched points:

$$\beta_{\rm err}(\boldsymbol{P}, \boldsymbol{G}) = \# \ker(\beta) + \# \operatorname{coker}(\beta).$$

Here, for a matching  $\sigma$  we denote by ker( $\sigma$ ) the multiset of unmatched points in the domain of  $\sigma$  and by coker( $\sigma$ ) the multiset of unmatched points in the codomain of  $\sigma$  (see App. L.5).

**TopoMatch error** The TopoMatch loss  $L_{\text{TM}}(\boldsymbol{P}, \boldsymbol{G})$  can be seen as a refinement of the Betti number error, which also takes the location of the features within their respective images into account (see Fig. 8). Since the entries of  $\boldsymbol{P}$  and  $\boldsymbol{G}$  take values in  $\{0, 1\}$ , the only point appearing in their persistence diagrams is (0, 1) and its multiplicity coincides with the number of features in the respective image. Observe that an unmatched point contributes with  $(0 - \frac{1}{2})^2 + (1 - \frac{1}{2})^2 = \frac{1}{2}$  to  $L_{\text{TM}}(\boldsymbol{P}, \boldsymbol{G})$ and a matched pair of points contributes with 0. Hence, the TopoMatch loss takes values in  $\frac{1}{2}\mathbb{N}_0$  and is given by half the number of unmatched features in both  $\boldsymbol{P}$  and  $\boldsymbol{G}$ , i.e.

$$L_{\mathrm{TM}}(\boldsymbol{P}, \boldsymbol{G}) = rac{1}{2} ig( \# \ker( au(\boldsymbol{P}, \boldsymbol{G})) + \# \operatorname{coker}( au(\boldsymbol{P}, \boldsymbol{G})) ig).$$



Figure 8: Illustration of the advantages of our TopoMatch error over the Betti number error. (a) shows a prediction P (left), ground truth G (right) and the corresponding Betti number error. (b) shows the TopoMatch matching in dim-1 (no features are matched) with its corresponding loss and (c) shows the Wasserstein matching in dim-1 (same color indicates a matching) with its corresponding loss. Note that both Betti number error and Wasserstein loss fail to represent the spatial error, while TopoMatch correctly does not match any cycles resulting in a loss of 2.

### 4 EXPERIMENTATION

**Datasets** We employ a set of six datasets with diverse topological features for our validation experimentation. Two datasets, the Massachusetts roads dataset, and the CREMI neuron segmentation dataset, exhibit frequently connected curvilinear, network-like structures, which form a large number of cycles in the foreground. The C.elegans infection live/dead image dataset (Elegans) from the Broad Bioimage Benchmark Collection Ljosa et al. (2012) and our synthetic, modified MNIST dataset LeCun (1998) (synMnist) consist of a balanced number of dimension-0 and dimension-1 features. And third, the colon cancer cell dataset (Colon) from the Broad Bioimage Benchmark Collection Carpenter et al. (2006); Ljosa et al. (2012) and the Massachusetts buildings dataset (Buildings) Mnih (2013) have "blob-like" foreground structures. They contain very few dimension-1 features but every instance of a cell or building forms a dimension-0 feature.

Table 1: Main results for TopoMatch and three baselines on six datasets. Green columns indicate the topological metrics. Bold numbers highlight the best performance for a given dataset if it is significant (i.e. the second best performance is not within std/8). We find that TopoMatch improves the segmentations in all topological metrics for all datasets. We further observe a constantly high performance in volumetric metrics.  $\uparrow$  indicates higher value wins and  $\downarrow$  the opposite.

	Loss	Dice ↑	clDice ↑	Acc. ↑	T.M.↓	T.M0↓	T.M1↓	Betti ↓	Betti-0↓	Betti-1↓
	Dice	0.894	0.939	0.959	74.82	19.84	54.98	114.12	39.12	75.00
M	clDice	0.879	0.944	0.952	73.52	17.18	56.34	103.92	33.64	70.28
RE	Hu et al.	0.888	0.935	0.957	81.24	22.12	59.12	118.16	43.68	74.48
0	Ours	0.893	0.941	0.959	64.90	15.50	49.40	79.16	30.36	48.80
	Dice	0.663	0.698	0.974	58.90	43.52	15.38	113.96	86.54	27.42
ads	clDice	0.668	0.704	0.975	65.50	51.04	14.46	125.83	101.67	24.17
So	Hu et al.	0.674	0.712	0.974	50.50	36.52	13.98	95.83	72.54	23.29
	Ours	0.663	0.713	0.972	41.50	28.15	13.35	75.08	55.79	19.29
st	Dice	0.871	0.907	0.962	1.849	0.979	0.870	2.590	1.674	0.916
Ini	clDice	0.875	0.921	0.963	1.270	0.436	0.834	1.640	0.700	0.940
An N	Hu et al.	0.866	0.915	0.960	1.425	0.502	0.923	1.802	0.764	1.038
sy	Ours	0.849	0.915	0.954	1.140	0.265	0.875	1.348	0.426	0.922
s	Dice	0.922	0.959	0.984	2.05	1.30	0.750	2.60	1.40	1.20
gan	clDice	0.917	0.964	0.982	1.95	1.10	0.850	2.20	1.20	1.00
lleg	Hu et al.	0.921	0.959	0.984	2.15	1.42	0.725	2.50	1.35	1.15
Щ	Ours	0.919	0.960	0.983	1.70	1.05	0.650	1.90	0.80	1.10
	Dice	0.899	0.863	0.970	22.13	10.88	11.25	33.75	13.75	20.00
lon	clDice	0.907	0.871	0.974	23.63	9.38	14.25	37.75	11.75	26.00
0	Hu et al.	0.902	0.876	0.972	17.25	7.75	9.50	22.00	7.00	15.00
	Ours	0.907	0.871	0.975	16.00	7.13	8.88	21.50	6.25	15.25
S0 S0	Dice	0.623	0.672	0.934	286.22	275.50	10.73	162.95	151.70	11.25
lin	clDice	0.632	0.693	0.931	285.60	267.98	17.63	175.50	155.05	20.45
lii	Hu et al.	0.625	0.677	0.934	278.30	268.75	9.55	181.10	169.60	11.50
B	Ours	0.625	0.685	0.937	244.58	235.63	8.95	118.45	107.75	10.70

**Training of the segmentation networks** For implementation details, e.g., the training splits, please refer to App. I and J. We train all our models for a fixed, dataset-specific number of epochs and evaluate the final model on an unseen test set. We train all models on an Nvidia P8000 GPU using Adam optimizer. We run experiments on a range of alpha-parameters for clDice (Shit et al. (2021)), the Wasserstein matching (Hu et al. (2019)), and TopoMatch; we choose to present the top performing model in Table 1; extended results are given in tables 3, 4, 5, 2, 6 in App. H.



Figure 9: Qualitative Results on CREMI dataset (same models used as in Table 1). Topological errors are indicated by red circles. Our method leads to less topological errors in the segmentation.

### 4.1 RESULTS

**Main Results** Our proposed TopoMatch loss improves the topological accuracy of the segmentations across all datasets (Table 1), irrespective of the choice of hyper-parameters (Table 3) compared to all baselines. We show superior scores for the topological metrics TopoMatch error (T.M.) and Betti number error (Betti) in both dimension-0 and dimension-1. Further, the volumetric metrics (Accuracy, Dice, and clDice) of the segmentations show equivalent, if not superior quantitative results for our method. Our method can be trained from scratch or used to refine pre-trained networks. Importantly, our method improves the topological correctness of curvilinear segmentation problems (Roads, CREMI), blob-segmentation problems (Buildings, Colon), and mixed problems (SynMnist, Elegans). We confidently attribute this to the theoretical guarantees of induced matchings, which hold for the foreground and the background classes in dim-0 and dim-1. For illustration, please consider the Roads and Buildings dataset; essentially, the topology of the background of the Buildings dataset is very similar to the foreground in Roads. I.e., the foreground of the Roads and the background of the Buildings are interesting in dim-1, whereas the background of the roads and the foreground of the Buildings are interesting in dim-0. As our method can efficiently leverage the topology features of both foreground and background when we apply *sub- and superlevelset*matching and it is intuitive that our method prevails in both. It is of note that for some datasets, the method by Hu et al. (2019) is the best performing baseline and for some Shit et al. (2021).

Table 2: bothlevel versus superlevel matching of our method on the Elegans dataset and the CREMI dataset. The bothlevel matching appears to have a more pronounced contribution in the scenario of topologically complex background

level	α	Dice	clDice	Acc.	T.M.	T.M0	T.M1	Betti	Betti-0	Betti-1	ARI	VOI
Elegans bothlevel Elegans superlevel	0.005 0.005	0.92 0.92	$\begin{array}{c} 0.96 \\ 0.95 \end{array}$	$0.98 \\ 0.98$	$1.70 \\ 2.15$	$1.05 \\ 1.35$	$0.65 \\ 0.80$	$1.90 \\ 2.40$	$0.80 \\ 1.30$	1.10 1.10	$0.93 \\ 0.91$	$\begin{array}{c} 0.36 \\ 0.43 \end{array}$
CREMI bothlevel CREMI superlevel	0.5 0.5	$0.89 \\ 0.89$	$0.95 \\ 0.95$	$\begin{array}{c} 0.95 \\ 0.96 \end{array}$		$12.92 \\ 14.40$	$47.56 \\ 44.80$	$52.08 \\ 52.24$	$25.28 \\ 28.16$	$26.80 \\ 24.08$	$\begin{array}{c} 0.93 \\ 0.93 \end{array}$	$\begin{array}{c} 0.36 \\ 0.35 \end{array}$

Ablation experiments In order to study the effectiveness of the TopoMatch loss, we conduct various ablation experiments. First, we study the effect of the  $\alpha$  parameter in our method, see Table 3. We find that increasing  $\alpha$  improves the topological metrics. For some datasets, e.g., synMnist, the Dice metric is compromised if  $\alpha$  is chosen too big. Therefore, we conclude that  $\alpha$  is a tunable and dataset-specific parameter. Ostensibly, the effect of the  $\alpha$  parameter cannot be compared directly. Nonetheless, it appears that our method is more robust towards variation in  $\alpha$ . Second, we study the effect of considering both the foreground and the background (bothlevel) versus solely the foreground (superlevel). We find that bothlevel is particularly useful if the background has a complex topology (e.g. Elegans), whereas superlevel shows a similar performance if the foreground has a more complex topology (e.g. CREMI), see Table 2. Third, we test the effect of pre-training and training from scratch for TopoMatch and the method by Hu et al. (2019). Table 6 shows that our method can be trained from scratch efficiently if not superiorly, whereas the baseline method struggles in that setting – especially on more complex datasets such as CREMI. We attribute this to the spatially correct matching of TopoMatch and its consequences on the gradient (see Sec. 3.2). Training-from-scratch means that there is a lot potential for *false positives* and *false negatives* in the Wasserstein matching (see App. F) since there are a lot noisy features when the network is still uncertain. For example for CREMI we found that the Wasserstein matching matches cycles incorrectly in more then 99 % of the cases, see appendix F.1. Moreover, we observe that TopoMatch optimizes the Wasserstein loss more efficiently (see App. F.2). We also experiment with adding a boundary to images in order to close loops that cross the image border, similar to Hu et al. (2019), and term this relative TopoMatch. Table 4 shows a negligible effect on all metrics. For additional ablation and more metrics on the ablation studies, please refer to the App. H. The computational complexity of our matching is  $\mathcal{O}(n^3)$ , see App. D for details.

### 5 DISCUSSION

**Concluding remarks** In this paper, we propose a rigorous method called *TopoMatch*, which enables the faithful quantification of corresponding topological properties in image segmentation. Herein, our method is the first to guarantee the correct matching of persistence barcodes in image segmentation according to their spatial correspondence. We show that *TopoMatch* is efficient as an interpretable segmentation metric, which can be understood as a sharpened variant of the Betti error. Further, we show how our method can be used to train segmentation networks. Training networks using *TopoMatch* is stable and leads to improvements on all 6 datasets. We foresee vast application potential in challenging tasks such as road network, vascular network and Neuron instance segmentation. We are thus hopeful that our method's theory and experimentation will stimulate future research in this area.

**Limitations** In the general setting of persistent homology of functions on arbitrary topological spaces, there are instances where maps of persistence modules cannot be written as matchings. This is somewhat analogous to the fact that in linear algebra, certain linear transformations cannot be diagonalized. We did not observe any such case in our specific segmentation setting. A theoretical investigation of this question will be the subject of future work. Further, we understand application-specific experimental limitations. Our method's computational complexity is beyond widely used loss functions such as BCE (see App. D); moreover, our current implementation is only available in 2D, whereas the theoretical guarantees trivially generalize to 3D.

### 6 **REPRODUCIBILITY STATEMENT**

To facilitate understanding of the theory section please refer to the basic definitions in the appendix. The core algorithm (TopoMatch) is available as a python script in the supplementary material and is printed in pseudocode in the appendix, section C. The training details are also described in the appendix, section I. Furthermore, all of our code and all of our experimentation, including baselines and hyperparameters, is available in a public anonymous Github repository <sup>1</sup>.

### 7 ETHICS STATEMENT

We, the authors, declare that we strictly adhere to the ICLR Code of Ethics. Our method and experimentation are carried out on (partly modified) public datasets with no known ethical concern associations. Our studies do not involve human subjects and we do not foresee any conflicts of interest and sponsorship, discrimination/bias/fairness concerns, privacy and security issues, legal compliance, and research integrity issues.

### REFERENCES

- Shahira Abousamra, Minh Hoai, Dimitris Samaras, and Chao Chen. Localization in the crowd with topological constraints. In *Proceedings of the AAAI Conference on Artificial Intelligence*, volume 35, pp. 872–881, 2021.
- Ulrich Bauer. Ripser: efficient computation of vietoris-rips persistence barcodes. *Journal of Applied* and Computational Topology, 5(3):391–423, 2021.
- Ulrich Bauer and Michael Lesnick. Induced matchings and the algebraic stability of persistence barcodes. *Journal of Computational Geometry*, 6(2):162–191, 2015.
- Ulrich Bauer and Maximilian Schmahl. Efficient computation of image persistence. *arXiv preprint arXiv:2201.04170*, 2022.
- Anne E Carpenter, Thouis R Jones, Michael R Lamprecht, Colin Clarke, In Han Kang, Ola Friman, David A Guertin, Joo Han Chang, Robert A Lindquist, Jason Moffat, et al. Cellprofiler: image analysis software for identifying and quantifying cell phenotypes. *Genome biology*, 7(10):1–11, 2006.
- Frédéric Chazal, David Cohen-Steiner, Marc Glisse, Leonidas J Guibas, and Steve Y Oudot. Proximity of persistence modules and their diagrams. In *Proceedings of the twenty-fifth annual symposium on Computational geometry*, pp. 237–246, 2009a.
- Frédéric Chazal, David Cohen-Steiner, Marc Glisse, Leonidas J Guibas, and Steve Y Oudot. Proximity of persistence modules and their diagrams. In *Proceedings of the twenty-fifth annual symposium on Computational geometry*, pp. 237–246, 2009b.
- Mingfei Cheng, Kaili Zhao, Xuhong Guo, Yajing Xu, and Jun Guo. Joint topology-preserving and feature-refinement network for curvilinear structure segmentation. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*, pp. 7147–7156, 2021.
- James Clough, Nicholas Byrne, Ilkay Oksuz, Veronika A Zimmer, Julia A Schnabel, and Andrew King. A topological loss function for deep-learning based image segmentation using persistent homology. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 2020.
- David Cohen-Steiner, Herbert Edelsbrunner, and John Harer. Stability of persistence diagrams. In *Proceedings of the twenty-first annual symposium on Computational geometry*, pp. 263–271, 2005.
- David Cohen-Steiner, Herbert Edelsbrunner, John Harer, and Yuriy Mileyko. Lipschitz functions have 1 p-stable persistence. *Foundations of computational mathematics*, 10(2):127–139, 2010.

<sup>&</sup>lt;sup>1</sup>https://anonymous.4open.science/r/TopoMatch-ED20/README.md

- William Crawley-Boevey. Decomposition of pointwise finite-dimensional persistence modules, 2012. URL https://arxiv.org/abs/1210.0819.
- Olaf Delgado-Friedrichs, Vanessa Robins, and Adrian Sheppard. Skeletonization and partitioning of digital images using discrete morse theory. *IEEE transactions on pattern analysis and machine intelligence*, 37(3):654–666, 2014.
- Herbert Edelsbrunner, John Harer, et al. Persistent homology-a survey. *Contemporary mathematics*, 453:257–282, 2008.
- Jan Funke, Fabian Tschopp, William Grisaitis, Arlo Sheridan, Chandan Singh, Stephan Saalfeld, and Srinivas C. Turaga. Large scale image segmentation with structured loss based deep learning for connectome reconstruction. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 41(7):1669–1680, Jul 2019. ISSN 1939-3539. doi: 10.1109/tpami.2018.2835450. URL http://dx.doi.org/10.1109/TPAMI.2018.2835450.
- Adélie Garin, Teresa Heiss, Kelly Maggs, Bea Bleile, and Vanessa Robins. Duality in persistent homology of images. arXiv preprint arXiv:2005.04597, 2020.
- Teresa Heiss and Hubert Wagner. Streaming algorithm for euler characteristic curves of multidimensional images. *CoRR*, abs/1705.02045, 2017. URL http://arxiv.org/abs/1705.02045.
- X Hu, Y Wang, F Li, D Samaras, and C Chen. Topology-aware segmentation using discrete morse theory. In *International Conference on Learning Representations (ICLRR)*, 2021.
- Xiaoling Hu and Chao Chen. Image segmentation with homotopy warping. *arXiv preprint arXiv:2112.07812*, 2021.
- Xiaoling Hu, Fuxin Li, Dimitris Samaras, and Chao Chen. Topology-preserving deep image segmentation. Advances in neural information processing systems, 32, 2019.
- Viren Jain, Benjamin Bollmann, Mark Richardson, Daniel R Berger, Moritz N Helmstaedter, Kevin L Briggman, Winfried Denk, Jared B Bowden, John M Mendenhall, Wickliffe C Abraham, et al. Boundary learning by optimization with topological constraints. In 2010 IEEE Computer Society Conference on Computer Vision and Pattern Recognition, pp. 2488–2495. IEEE, 2010.
- Tomasz Kaczynski, Konstantin Mischaikow, and Marian Mrozek. *Cubical Homology*, pp. 39– 92. Springer New York, New York, NY, 2004. ISBN 978-0-387-21597-6. doi: 10.1007/ 0-387-21597-2\_2. URL https://doi.org/10.1007/0-387-21597-2\_2.
- Yann LeCun. The mnist database of handwritten digits. http://yann. lecun. com/exdb/mnist/, 1998.
- Vebjorn Ljosa, Katherine L Sokolnicki, and Anne E Carpenter. Annotated high-throughput microscopy image sets for validation. *Nature methods*, 9(7):637–637, 2012.
- Volodymyr Mnih. *Machine Learning for Aerial Image Labeling*. PhD thesis, University of Toronto, 2013.
- Agata Mosinska et al. Beyond the pixel-wise loss for topology-aware delineation. In *CVPR*, pp. 3136–3145, 2018.
- Doruk Oner, Mateusz Koziński, Leonardo Citraro, Nathan C Dadap, Alexandra G Konings, and Pascal Fua. Promoting connectivity of network-like structures by enforcing region separation. *arXiv preprint arXiv:2009.07011*, 2020.
- Nina Otter, Mason A Porter, Ulrike Tillmann, Peter Grindrod, and Heather A Harrington. A roadmap for the computation of persistent homology. *EPJ Data Science*, 6:1–38, 2017.
- Kazuma Sasaki, Satoshi Iizuka, Edgar Simo-Serra, and Hiroshi Ishikawa. Joint gap detection and inpainting of line drawings. In *Proceedings of the IEEE conference on computer vision and pattern recognition*, pp. 5725–5733, 2017.

- Suprosanna Shit, Johannes C Paetzold, Anjany Sekuboyina, Ivan Ezhov, Alexander Unger, Andrey Zhylka, Josien PW Pluim, Ulrich Bauer, and Bjoern H Menze. cldice-a novel topology-preserving loss function for tubular structure segmentation. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pp. 16560–16569, 2021.
- Hubert Wagner, Chao Chen, and Erald Vuçini. Efficient computation of persistent homology for cubical data. In *Topological methods in data analysis and visualization II*, pp. 91–106. Springer, 2012.
- Dominik JE Waibel, Scott Atwell, Matthias Meier, Carsten Marr, and Bastian Rieck. Capturing shape information with multi-scale topological loss terms for 3d reconstruction. *arXiv preprint arXiv:2203.01703*, 2022.
- Haotian Wang, Min Xian, and Aleksandar Vakanski. Ta-net: Topology-aware network for gland segmentation. In *Proceedings of the IEEE/CVF Winter Conference on Applications of Computer Vision*, pp. 1556–1564, 2022.
- Xiaohong Wang and Xudong Jiang. Post-processing for retinal vessel detection. In *Tenth International Conference on Digital Image Processing (ICDIP 2018)*, volume 10806, pp. 1442–1446. SPIE, 2018.
- Han Zhang and Lok Ming Lui. Topology-preserving segmentation network: A deep learning segmentation framework for connected component. *arXiv preprint arXiv:2202.13331*, 2022.



### A ADDITIONAL TOPOLOGICAL MATCHING ILLUSTRATION

Figure 10: Topological matchings. Illustration of the advantages of our TopoMatch algorithm over the existing *Wasserstein matching* and the *Betti number error* for two exemplary segmentations. On the left side, we depict a prediction-label pair for an image. On the right side, we depict the matched representative cycles in the same color for the Wasserstein matching (bottom row) and *TopoMatch matching* (top row). Our TopoMatch matches the spatially correct features and will penalize the correct features in the loss. Here, the Wasserstein matching mismatches the correctly predicted feature with the erroneously predicted feature, leading to a false loss for the wrongly segmented cycle.



Figure 11: Motivation. Our TopoMatch (induced matching) and the Wasserstein matching (Hu et al. (2019)) for Elegans, Colon and Buildings label-prediction pairs. Here we match the connected components (dim-0). The matched components (according to the matching methods) are represented in the same color. We randomly sample 6 matched cycles in each pair.



Figure 12: Motivation. Our TopoMatch (induced matching) and the Wasserstein matching (Hu et al. (2019)) for Roads label-prediction pairs. The matched cycles (according to the matching methods) are represented in the same color. We randomly sample 6 matched cycles (dim-1) in each pair. We observe that our method correctly matches the cycles in the first two rows. The third row represents an example early in Training. Here we observe that our method correctly matches some "finished" cycles but also provides a correct matching to the blue and green cycles which still have to be closed. Essentially, one can observe here that our TopoMatch leads to a correct loss.



Figure 13: Motivation. Our TopoMatch (induced matching) and the Wasserstein matching (Hu et al. (2019)) for CREMI label-prediction pairs. The matched cycles (according to the matching methods) are represented in the same color. We randomly sample 6 matched cycles in each pair.



Figure 14: Motivation. Our TopoMatch (induced matching) and the Wasserstein matching (Hu et al. (2019)) for synMnist label-prediction pairs (top row), colon cells (middle row) and the Elegans dataset (lower row). The matched connected components (dim-0) and cycles (dim-1) (according to the matching methods) are represented in the same color. We randomly sample 6 matched cycles in each pair.





Figure 15: Qualitative Results on Roads and Buildings dataset. Image, Label, and different segmentations (same models as table 1). Topological errors are indicated by red circles. Our method leads to improved topology compared to the baselines.



Figure 16: Qualitative Results on CREMI, Elegans and Colon dataset. Image, Label, and different segmentations (same models as table 1). Topological errors are indicated by red circles. Our method leads to improved topology compared to the baselines.

Image	Label	Dice	clDice	Hu et al.	Ours
84	24	43	<b>8</b> 4	<b>&amp;</b> 4	E.
Chi la	<u>6</u> 5	¢°	Ś		<b>\$</b>
<i>þ</i> ]	41	41	þÞ	1	61

Figure 17: Qualitative Results on SynMnist. Image, Label, and different segmentations (same models as table 1) on examples of the SynMnist testset. Topological errors are indicated by red circles. Our method always segments the correct topology.

### C DETAIL ALGORITHM

Below, we provide the pseudocode for an efficient realization of the TopoMatch matching. For the computation of the barcodes in dimension-0 we leveraged the *Union-Find* datastructure, which is very efficient at managing equivalence classes. Alexander duality allows us to use it in dimension-1, as well (see Garin et al. (2020)). Moreover, it can also be used for the computation of the image barcodes in both dimensions. Note that we adapt the Union Find class to manage the birth of equivalence classes. We use *clearing* (as proposed in Bauer (2021)) by keeping track of *critical-edges* and *columns-to-reduce*, in order to reduce the amount of operations during the reductions (see sections 2.2, 2.3).

Algorithm 1: TopoMatch

Data: G, L**Option:** relative = False, filtration = `superlevel'**Result:**  $\mathcal{L}_0, \mathcal{L}_1, \mathcal{L}$ 1 begin **if** *filtration='superlevel'* **then** // Construction of comparison image 2  $C \leftarrow max(G, L)$ 3 4 else  $| C \leftarrow min(G, L)$ 5 end 6  $\mathcal{B}(G), \mathbb{D}_G, V_G, X_G \leftarrow Cubical Persistence(G, relative, filtration, True);$ 7  $\mathcal{B}(L), \mathbb{D}_L, V_L, X_L \leftarrow Cubical Persistence(L, relative, filtration, True);$ 8  $\mathcal{B}(\mathbf{C}), \mathbb{C}_C, \mathbf{V}_C, \mathbf{X}_C \leftarrow Cubical Persistence(\mathbf{C}, relative, filtration, False);$ 9  $\mathcal{B}(G, C) \leftarrow ImagePersistence(\mathbb{D}_G, X_G, \mathbb{C}_C, X_C);$ 10  $\mathcal{B}(L, C) \leftarrow ImagePersistence(\mathbb{D}_L, X_L, \mathbb{C}_C, X_C);$ 11  $\sigma(\boldsymbol{G}, \boldsymbol{C}) \leftarrow InducedMatching(\mathcal{B}(\boldsymbol{G}, \boldsymbol{C}), \mathcal{B}(\boldsymbol{G}), \mathcal{B}(\boldsymbol{C}));$ 12  $\sigma(\boldsymbol{L}, \boldsymbol{C}) \leftarrow InducedMatching(\mathcal{B}(\boldsymbol{L}, \boldsymbol{C}), \mathcal{B}(\boldsymbol{L}), \mathcal{B}(\boldsymbol{C}));$ 13 // Initialize matched refined intervals  $\tau(\boldsymbol{L},\boldsymbol{G}) = \phi;$ 14  $\mathbb{U}_0, \mathbb{U}_1 = \mathcal{B}({m G})_0, \mathcal{B}({m G})_1$ ; // Initialize unmatched refined intervals 15 for ground truth  $\mathbb{V}_0, \mathbb{V}_1 = \mathcal{B}(L)_0, \mathcal{B}(L)_1;$  // Initialize unmatched refined intervals 16 for prediction  $\mathcal{L}_0 = \mathcal{L}_1 = 0 ;$ // Initialize TopoMatch loss 17 for  $d \leftarrow 0$  to 1 by 1 do // Loop over dimension-d 18 foreach  $m_0 \in \sigma(\boldsymbol{G}, \boldsymbol{C})_d$  do 19 foreach  $m_1 \in \sigma(L, C)_d$  do 20 if  $m_0[2] = m_1[2]$  then // Check for same image persistence 21 pair Add  $((m_0[0], m_0[2], m_1[0]))$  to  $\tau(L, G)_d$ ; 22 Remove  $(m_0[0])$  from  $\mathbb{U}_d$ ; 23 Remove  $(m_1[0])$  from  $\mathbb{V}_d$ ; 24 Remove  $(m_1)$  from  $\sigma(\boldsymbol{L}, \boldsymbol{C})_d$ ; 25  $p, q = m_0[0], m_1[0];$ 26  $I_0, I_1 = V_G(Index2Coord(p[0])), V_G(Index2Coord(p[1])); // Map$ 27 index to value  $J_0, J_1 = \mathbf{V}_L(Index2Coord(q[0])), \mathbf{V}_L(Index2Coord(q[1]));$ // Map 28 index to value  $\mathcal{L}_d = \mathcal{L}_d + (I_0 - J_0)^2 + (I_1 - J_1)^2$ ; // Loss for matched 29 intervals break 30 end 31 end 32 end 33 foreach  $p \in \mathbb{U}_d$  do 34  $I_0, I_1 = V_G(Index2Coord(p[0])), V_G(Index2Coord(p[1])); // Map index$ 35 to value  $\mathcal{L}_d = \mathcal{L}_d + \frac{(I_0 - I_1)^2}{2}$ ; // Loss for unmatched intervals in ground 36 truth end 37 foreach  $p \in \mathbb{V}_d$  do 38  $I_0, I_1 = V_L(Index2Coord(p[0])), V_L(Index2Coord(p[1])); // Map index$ 39 to value  $\mathcal{L}_d = \mathcal{L}_d + \frac{(I_0 - I_1)^2}{2};$ // Loss for unmatched intervals in 40 prediction end 41 end 42  $\mathcal{L} \leftarrow \mathcal{L}_0 + \mathcal{L}_1$ ; // Total TopoMatch loss 43 44 end

```
45 Procedure CubicalPersistence (I, relative, filtration, critical)
      if relative=True then
46
       | \mathbf{I} \leftarrow AddBoundary(\mathbf{I});
                                                                // Add image boundary
47
      end
48
      V, X, \mathbb{E} \leftarrow FilterCubeMap(I, filtration); // Valuemap, Indexmap & edges
49
       are computed using the CubeMap datastructure as in Wagner
       et al. (2012)
      \mathcal{B}(\boldsymbol{I})_0, \mathcal{B}(\boldsymbol{I})_1 = \phi;
                                                   // Initialize refined barcodes
50
      \mathbb{C}=\phi; // Initialize columns-to-reduce for the clearning trick
51
      if critical=True then
52
53
      \mathbb{D} = \phi; // Initialize critical-edges for the clearing trick
54
      end
      \mathcal{U} = UnionFind(\#cubes+1); // Instantiate a Union-Find class
55
      foreach e \in \mathbb{E} do
                               // Compute refined intervals in dimension-1
56
          b_0, b_1 \leftarrow DualBoundary(\mathbf{X}, e); // Find dual boundary of an edge
57
          x, y \leftarrow \mathcal{U}.find(b_0), \mathcal{U}.find(b_1);
58
          if x = y then
59
            Add e to \mathbb{C}, continue
60
          end
61
          b = min(\mathcal{U}.getbirth(x), \mathcal{U}.getbirth(y));
                                                                    // Retrieve birth
62
          if critical=True then
63
          Add e to \mathbb{D}:
64
          end
65
          if (e, b) is valid then
                                                  // Check for positive interval
66
          Add (e, b) to \mathcal{B}(I)_1
67
          end
68
         \mathcal{U}.union(x,y)
69
70
      end
      \mathcal{U} = UnionFind(\#cubes);
                                      // Instantiate a Union-Find class
71
                          // Compute refined intervals in dimension-0
      foreach e \in \mathbb{C} do
72
          b_0, b_1 \leftarrow Boundary(\boldsymbol{X}, e);
                                             // Find boundary of an edge
73
          x, y \leftarrow \mathcal{U}.find(b_0), \mathcal{U}.find(b_1);
74
          if x = y then
75
          continue
76
          end
77
          b = max(\mathcal{U}.getbirth(x), \mathcal{U}.getbirth(y));
                                                                      // Retrieve birth
78
                                    // Check for positive interval
          if (e, b) is valid then
79
          Add (e, b) to\mathcal{B}(I)_0;
80
          end
81
         \mathcal{U}.union(x,y)
82
      end
83
      if critical=True then
84
          return (\mathcal{B}(I)_0, \mathcal{B}(I)_1), \mathbb{D}, V, X;
                                                       // Return refined barcodes,
85
           critical-edges, Valuemap & Indexmap
      else
86
          return (\mathcal{B}(I)_0, \mathcal{B}(I)_1), \mathbb{C}, V, X;
                                                       // Return refined barcodes,
87
           columns-to-reduce, Valuemap & Indexmap
      end
88
```

89 **Procedure** ImagePersistence  $(\mathbb{D}, X_I, \mathbb{C}, X_J)$  $\mathcal{B}(\boldsymbol{I},\boldsymbol{J})_0, \mathcal{B}(\boldsymbol{I},\boldsymbol{J})_1 = \phi;$ // Initialize image persistence pairs 90  $\mathcal{U} = UnionFind(\#cubes);$ // Instantiate a Union-Find class 91 foreach  $e \in \mathbb{C}$  do // Compute pairs in dimension-0 92  $b_0, b_1 \leftarrow Boundary(\mathbf{X}_I, e);$ // Find boundary of an edge 93  $x, y \leftarrow \mathcal{U}.find(b_0), \mathcal{U}.find(b_1);$ 94 if x = y then 95 continue 96 97 end  $b = max(\mathcal{U}.getbirth(x), \mathcal{U}.getbirth(y));$ // Retrieve birth 98 Add (e, b) to  $\mathcal{B}(I, J)_0$ ; // All pairs for extended induced matching 99 (see Sec. 2.3)  $\mathcal{U}.union(x,y)$ 100 101 end  $\mathcal{U} = UnionFind(\#cubes + 1);$ // Instantiate a Union-Find class 102 foreach  $e \in \mathbb{D}$  do // Compute pairs in dimension-1 103  $b_0, b_1 \leftarrow DualBoundary(\mathbf{X}_J, e);$ // Find dual boundary of an edge 104  $x, y \leftarrow \mathcal{U}.find(b_0), \mathcal{U}.find(b_1);$ 105 if x = y then 106 continue 107 end 108  $b = min(\mathcal{U}.getbirth(x), \mathcal{U}.getbirth(y));$ // Retrieve birth 109 // All intervals for extended induced Add (e, b) to  $\mathcal{B}(\boldsymbol{I}, \boldsymbol{J})_1$ ; 110 matching (see Sec. 2.3)  $\mathcal{U}.union(x,y)$ 111 112 end return  $(\mathcal{B}(\boldsymbol{I},\boldsymbol{J})_0,\mathcal{B}(\boldsymbol{I},\boldsymbol{J})_1)$ ; // Return image persistence pairs 113 114 **Procedure** InducedMatching  $(\mathcal{B}(I, J), \mathcal{B}(I), \mathcal{B}(J))$ 115  $\sigma(\boldsymbol{I},\boldsymbol{J})_0, \sigma(\boldsymbol{I},\boldsymbol{J})_1 = \phi;$ // Initialize matched refined intervals for  $d \leftarrow 0$  to 1 by 1 do // Loop over dimension-d 116 foreach  $(a, b) \in \mathcal{B}(\mathbf{I}, \mathbf{J})_d$  do // For each image persistence pair 117  $m_i, m_j = None;$ 118 foreach  $(c, d) \in \mathcal{B}(I)_d$  do // Match left endpoints 119 if c = a then 120  $m_i = (c, d);$ 121 break 122 end 123 end 124 if  $m_i = None$  then // Skip search if no match found 125 continue 126 end 127 // Match right endpoints foreach  $(c, d) \in \mathcal{B}(J)_d$  do 128 if d = b then 129  $m_i = (c, d);$ 130 break 131 end 132 end 133 if  $m_i = None$  then // Skip search if no match found 134 continue 135 end 136 Add  $(m_i, (a, b), m_i)$  to  $\sigma(\mathbf{I}, \mathbf{J})_d$ ; 137 Remove  $m_i$  from  $\mathcal{B}(\mathbf{I})_d$ ; 138 Remove  $m_i$  from  $\mathcal{B}(\boldsymbol{J})_d$ ; 139 140 end end 141 return  $(\sigma(\boldsymbol{I}, \boldsymbol{J})_0, \sigma(\boldsymbol{I}, \boldsymbol{J})_1)$ 142

### D COMPUTATIONAL COMPLEXITY

For a grayscale image represented by a matrix  $I \in \mathbb{R}^{M,N}$ , we have n = MN number of pixels and form a cubical grid complex of dimension d = 2. The computation of the filtration and the boundary matrix can be done efficiently using the CubeMap data structure (see Wagner et al. (2012)) with  $\mathcal{O}(3^d n + d^2 n)$  time and  $\mathcal{O}(d^2 n)$  space complexity. Computing the barcodes by means of the reduction algorithm requires cubic complexity in the number of pixels  $\mathcal{O}(n^3)$  (see Otter et al. (2017)). Despite our empirical acceleration due to the Union-Find class and clearing tricks (as described in Bauer & Schmahl (2022); Bauer (2021)), the order complexity remains  $\mathcal{O}(n^3)$ . We need  $\mathcal{O}(n^2)$  time complexity for computing the final matching and loss. It is noteworthy that Hu et al. (2019) also needs  $\mathcal{O}(n^3)$  time complexity to compute the barcode and  $\mathcal{O}(n^2)$  for the matching, whereas Shit et al. (2021) requires relatively lower complexity  $\mathcal{O}(n)$  due to the overlap based loss formulation.

### E CONVERGENCE OF TOPOMATCH LOSS



Figure 18: Plot of the empirical convergence curves of our TopoMatch loss for the CREMI, MNIST, and ELEGANS datasets. We plot the TopoMatch contribution in the training loss for a varying number of epochs, which is dependent on the dataset size. We show that TopoMatch loss efficiently converges for the different datasets. The absolute magnitude of the loss varies from dataset to dataset because TopoMatch is a real interpretable measure of dim-0 and dim-1 topological features in the training images. E.g. CREMI has a substantially higher number of features, especially cycles, than Elegans, therefore, the absolute magnitude of the loss is likely higher.

### F WASSERSTEIN MATCHING

The *p*th **Wasserstein distance** is frequently used to measure the difference between persistence diagrams; it is given by

$$d_p(\mathcal{B}_1, \mathcal{B}_2) = \inf_{\gamma} \left( \sum_{q \in \operatorname{Dgm}(\mathcal{B}_1)} \|q - \gamma(q)\|_{\infty}^p \right)^{1/p}$$

for  $p \ge 1$ , where  $\gamma$  runs over all bijections  $Dgm(\mathcal{B}_1) \to Dgm(\mathcal{B}_2)$  that respect the dimension. For a likelihood map  $L \in [0,1]^{m \times n}$  and a ground truth  $G \in \{0,1\}^{m \times n}$ , the authors of Hu et al. (2019) adopt this metric to define the **Wasserstein loss** 

$$L_{\mathbf{W}}(\boldsymbol{L},\boldsymbol{G}) = \min_{\gamma} \sum_{q \in \mathrm{Dgm}(\boldsymbol{L})} \|q - \gamma(q)\|_{2}^{2},$$

where  $\gamma$  runs over all bijections  $\text{Dgm}(L) \to \text{Dgm}(G)$  that respect the dimension. The bijection  $\gamma_*$  achieving the minimum corresponds to the **Wasserstein matching**  $\text{Dgm}(L) \to \text{Dgm}(G)$ , which minimizes the total distance of matched points. For the represented topological features this means that the matching is purely based on their local contrast within their respective images. Furthermore, note that Dgm(G) contains exclusively the point (0, 1) since the entries of G are contained in  $\{0, 1\}$ . Hence,  $\gamma_*$  matches points in Dgm(L) representing features in L with enough local contrast in descending order until Dgm(G) runs out of points. This procedure results in a matching of topological features, which potentially exhibit no spatial relation within their respective images (see Fig. 1,8c,19b and App. A) and can have a negative impact on the training of segmentation networks. To see this, we distinguish two cases for a fixed point  $q = (q_1, q_2) \in \text{Dgm}(L)$ :



(a) **L** 

Figure 19: (a) A predicted

likelihood map L and ground

truth segmentation G. (b)

visualizes the Wasserstein

matching  $\gamma_*$  (only the yellow

cycles are matched), i.e. the

top-left cycle in L is a false

negative and the bottom-right

cycle in L is a false positive.

 $\boldsymbol{G}$ 

case 1: (false positive) q is matched but there is no spatially corresponding feature in G:

Since q is matched to the point  $(0,1) \in \text{Dgm}(G)$ , the loss  $L_W$  will be reduced by decreasing the value  $q_1$  and increasing the value  $q_2$ . Hence, the segmentation network will learn to increase the local contrast of the feature described by the q (see Sec. 3.2), but it should be decreased.

case 2: (false negative) q is unmatched but there is a spatially corresponding feature in G:

Since q is unmatched, the bijection  $\gamma_*$  maps it to its closest point  $((q_1 + q_2)/2, (q_1 + q_2)/2)$  on the diagonal  $\Delta$  and the loss  $L_W$  will be reduced by increasing the value  $q_1$  and decreasing the value  $q_2$ . Hence, the segmentation network will learn to decrease the local contrast of the feature described by q (see Sec. 3.2), but it should be increased.

#### F.1 FREQUENCY OF INCORRECT WASSERSTEIN MATCHING

Next, we study how frequently these two cases occur. Assuming that the TopoMatch matching is correct, we evaluate the quality of the Wasserstein matching on the CREMI dataset. Therefor, we choose a segmentation model to obtain label-prediction pairs for every image in the CREMI dataset and compute both matchings. Among the 37243 matched intervals in the barcodes of the predictions by the Wasserstein matching, only 224 have been matched correctly, i.e. it achieves a *precision* of 0.6%.

#### F.2 WASSERSTEIN LOSS AS BETTI NUMBER ERROR

For a binarized output P and ground truth G, the Wasserstein loss and the Betti number error are closely related. A similar argumentation as in Sec. 3.3 for the TopoMatch loss shows that

$$\beta_{\rm err}(\boldsymbol{P},\boldsymbol{G}) = 2L_{\rm W}(\boldsymbol{P},\boldsymbol{G})$$

A lower Betti number error of a model trained with our TopoMatch loss compared to a model trained with the Wasserstein loss asserts that the TopoMatch loss produces more *faithful* gradients during the training of segmentation networks. Note that, empirically, models trained with TopoMatch loss consistently outperform models trained with Wasserstein los with regard to the Betti number error (see Tables 1,3).

#### G PERSISTENCE DIAGRAM AND BARCODES



(b) Bijection between persistence diagram

Figure 20: Illustrations of how to translate a matching between barcodes (a) into a bijection between persistence diagram (b) and vice versa. A red or blue line in (a) is a dot of the same color in (b). In (a), a green interval in between a blue and a red line indicates they are matched. In (b), a line connecting two points indicates that they are matched. For detail, please refer to Section 3.2.

## H ADDITIONAL ABLATION EXPERIMENTS

		α	Dice	clDice	Acc.	T.M.	T.M0	T.M1	Betti	Betti-0	Betti-1	ARI	VOI
		0.0005	0.670	0.706	0.974	53.958	39.896	14.063	103.917	79.375	24.542	0.643	0.847
	ILS	0.005	0.670	0.708	0.974	51.250	37.271	13.979	97.583	74.042	23.542	0.647	0.839
	0 n	0.05	0.667	0.709	0.974	45.396	31.667	13.729	85.042	62.833	22.208	0.655	0.828
		0.5	0.663	0.713	0.972	41.500	28.146	13.354	75.083	55.792	19.292	0.690	0.791
		0.05	0.664	0.701	0.975	77.292	61.688	15.604	151.250	123.125	28.125	0.588	0.895
ds	2	0.1	0.663	0.697	0.975	81.188	65.979	15.208	158.375	131.708	26.667	0.599	0.885
03	Dĭ	0.25	0.667	0.701	0.975	79.188	64.125	15.063	154.208	127.917	26.292	0.622	0.879
	C	0.75	0.668	0.704	0.975	65.500	51.042	14.458	125.833	101.667	24.167	0.615	0.873
		0.5	0.663	0.696	0.975	78.625	63.750	14.875	152.417	127.000	25.417	0.618	0.874
		0.0005	0.669	0.706	0.974	53.750	39.521	14.229	102.500	78.542	23.958	0.651	0.838
	t al	0.005	0.674	0.712	0.974	50.500	36.521	13.979	95.833	72.542	23.292	0.660	0.836
	Hu e	0.05	0.669	0.707	0.974	52.896	39.208	13.688	99.875	77.917	21.958	0.654	0.832
		0.5	0.656	0.699	0.970	58.583	44.604	13.979	105.417	88.708	16.708	0.709	0.787
		0.0005	0.866	0.907	0.962	1.687	0.843	0.844	2.302	1.370	0.932	0.844	0.537
	IS	0.005	0.871	0.920	0.962	1.270	0.458	0.812	1.596	0.732	0.864	0.873	0.481
	õ	0.05	0.849	0.916	0.955	1.140	0.265	0.875	1.348	0.426	0.922	0.868	0.491
		0.5	0.796	0.888	0.939	1.150	0.291	0.859	1.428	0.466	0.962	0.805	0.612
		0.05	0.871	0.911	0.963	1.616	0.796	0.820	2.264	1.328	0.936	0.871	0.483
Ť	e S	0.1	0.872	0.912	0.963	1.642	0.816	0.826	2.320	1.384	0.936	0.862	0.506
N N	Ĕ	0.25	0.874	0.917	0.963	1.342	0.519	0.823	1.764	0.826	0.938	0.877	0.461
Syl	cL	0.5	0.875	0.922	0.963	1.270	0.436	0.834	1.640	0.700	0.940	0.881	0.454
		0.75	0.869	0.921	0.961	1.196	0.401	0.795	1.580	0.622	0.958	0.888	0.429
	:	0.0005	0.872	0.909	0.963	1.881	1.001	0.880	2.650	1.686	0.964	0.880	0.461
	st a	0.005	0.870	0.908	0.962	1.787	0.911	0.876	2.498	1.514	0.984	0.864	0.504
	n e	0.05	0.867	0.916	0.960	1.425	0.502	0.923	1.802	0.764	1.038	0.893	0.425
	H	0.5	0.785	0.862	0.935	1.754	0.562	1.192	1.968	0.840	1.128	0.814	0.589

Table 3:  $\alpha$  ablation on the synMnist dataset and the Roads dataset

Table 4: Relative Frame ablation of our method on the Roads dataset

	α	Dice	clDice	Acc.	T.M.	T.M0	T.M1	Betti	Betti-0	Betti-1	ARI	VOI
0	0.0005	0.670	0.706	0.974	53.958	39.896	14.063	103.917	79.375	24.542	0.643	0.847
live	0.005	0.670	0.708	0.974	51.250	37.271	13.979	97.583	74.042	23.542	0.647	0.839
elat	0.05	0.667	0.709	0.974	45.396	31.667	13.729	85.042	62.833	22.208	0.655	0.828
16	0.5	0.663	0.713	0.972	41.500	28.146	13.354	75.083	55.792	19.292	0.690	0.791
ve	0.0005	0.669	0.706	0.974	54.729	40.521	14.208	104.542	80.542	24.000	0.654	0.835
lati	0.005	0.671	0.709	0.974	50.250	36.479	13.771	95.167	72.458	22.708	0.661	0.829
-re	0.005	0.669	0.712	0.973	46.104	32.271	13.833	84.708	64.042	20.667	0.675	0.818
non	0.5	0.661	0.711	0.972	41.417	28.167	13.250	75.583	55.833	19.750	0.695	0.787

Table 5: dimension-1 and dimensions-0,1 matching ablation for the Hu et al. method on the Roads dataset

	$\alpha$	Dice	clDice	Acc.	T.M.	T.M0	T.M1	Betti	Betti-0	Betti-1	ARI	VOI
-1	0.0005	0.669	0.706	0.974	53.750	39.521	14.229	102.500	78.542	23.958	0.651	0.838
	0.005	0.674	0.712	0.974	50.500	36.521	13.979	95.833	72.542	23.292	0.660	0.836
lin	0.05	0.669	0.707	0.974	52.896	39.208	13.688	99.875	77.917	21.958	0.654	0.832
Ċ,	0.5	0.656	0.699	0.970	58.583	44.604	13.979	105.417	88.708	16.708	0.709	0.787
_	0.0005	0.672	0.709	0.974	54.292	40.104	14.188	104.083	79.708	24.375	0.649	0.839
Ó,	0.005	0.673	0.710	0.974	52.750	38.625	14.125	100.667	76.750	23.917	0.656	0.836
ġ	0.05	0.668	0.708	0.974	47.000	33.146	13.854	88.083	65.792	22.292	0.649	0.832
q	0.5	0.662	0.711	0.972	44.708	31.292	13.417	80.583	62.083	18.500	0.692	0.798

	Training	α	Dice	clDice	Acc.	T.M.	T.M0	T.M1	Betti	Betti-0	Betti-1	ARI	VOI
	Ours f. scratch	0.05	0.882	0.938	0.953	65.06	13.94	51.12	45.72	27.16	18.56	0.919	0.393
M	Ours pretrained	0.05	0.889	0.940	0.957	65.68	14.26	51.42	64.40	27.96	36.44	0.905	0.437
RE	Hu et al. f. scratch	0.05	0.880	0.932	0.953	82.76	27.92	54.84	85.60	55.28	30.32	0.905	0.436
0	Hu et al. pretrained	0.05	0.895	0.942	0.960	66.40	17.76	48.64	75.36	34.96	40.40	0.909	0.425
~	Ours f. scratch	0.005	0.919	0.960	0.983	1.700	1.050	0.650	1.90	0.80	1.10	0.927	0.359
an	Ours pretrained	0.005	0.924	0.963	0.984	1.950	1.200	0.750	2.30	1.20	1.10	0.939	0.313
leg	Hu et al. f. scratch	0.005	0.921	0.959	0.984	2.150	1.425	0.725	2.50	1.35	1.15	0.929	0.350
щ	Hu et al. pretrained	0.005	0.921	0.962	0.984	2.075	1.250	0.825	2.55	1.30	1.25	0.929	0.349

Table 6: Pretraining vs training from scratch of ours and the Hu et al. method on the Elegans dataset

### I DATASETS AND TRAINING SPLITS

The full training routine with the complete trainingsets and testsets will be available with our github repository <sup>2</sup>. All our trainings are done on patches of  $48 \times 48$  pixels. For the buildings dataset Mnih (2013), we downsample the images to  $375 \times 375$  pixels and randomly choose 80 samples for training and 20 for testing. For each epoch, we randomly sample 8 patches from each sample. For the Colon dataset Carpenter et al. (2006); Ljosa et al. (2012), we downsample the images to  $256 \times 256$  pixels; we randomly choose 20 samples for training and 4 for testing. For each epoch, we randomly sample 12 patches from each sample. For the CREMI dataset Funke et al. (2019), we downsample the images to  $312 \times 312$  pixels; we choose 100 samples for training and 25 for testing. For each epoch, we randomly sample 4 patches from each sample. For the Elegans dataset Ljosa et al. (2012), we crop the images to  $96 \times 96$  pixels; we randomly choose 80 samples for training and 20 for testing. For each epoch, we randomly sample 1 patch from each sample. For the synMnist dataset LeCun (1998), we synthetically modify the MNIST dataset to an image size of  $48 \times 48$  pixels; please see our GitHub repository for details; we train on 4500 full, randomly chosen images and use 1500 for testing. For the Roads dataset Mnih (2013), we downsample the images to  $375 \times 375$  pixels; we randomly choose 100 samples for training and 24 for testing. For each epoch, we randomly sample 8 patches from each sample.

### J NETWORK SPECIFICATIONS

We use the following notation:

- 1. In(*input channels*), Out(*output channels*), BI(*output channels*) present input, output, and bottleneck information (for U-Net);
- 2. C(*filter size*, *output channels*) denote a convolutional layer followed by *ReLU* and batch-normalization;
- 3.  $U(filter \ size, output \ channels)$  denote a trans-posed convolutional layer followed by ReLU and batch-normalization;
- 4.  $\downarrow$  2 denotes maxpooling;
- 5.  $\oplus$  indicates concatenation of information from an encoder block.

### J.1 UNET CONFIGURATION-I

We use this configuration for CREMI, synthMNIST, Colon and Elegans dataset. This is a lightweight U-net which has sufficient expressive power for these datasets.

**ConvBlock :**  $C_B(3, out \ size) \equiv C(3, out \ size) \rightarrow C(3, out \ size) \rightarrow \downarrow 2$ 

**UpConvBlock:**  $U_B(3, out \ size) \equiv U(3, out \ size) \rightarrow \oplus \rightarrow C(3, out \ size)$ 

**Encoder :**  $IN(1/3 \text{ ch}) \rightarrow C_B(3, 16) \rightarrow C_B(3, 32) \rightarrow C_B(3, 64) \rightarrow C_B(3, 128) \rightarrow C_B(3, 256) \rightarrow B(256)$ 

<sup>&</sup>lt;sup>2</sup>github/anonymous

**Decoder :**  $B(256) \rightarrow U_B(3,256) \rightarrow U_B(3,128) \rightarrow U_B(3,64) \rightarrow U_B(3,32) \rightarrow U_B(3,16) \rightarrow Out(1)$ 

### J.2 UNET CONFIGURATION-II

We had to choose a different U-Net architecture for the road and building dataset because we realized that a larger model is needed to learn useful features for this complex task.

**ConvBlock :**  $C_B(3, out \ size) \equiv C(3, out \ size) \rightarrow C(3, out \ size) \rightarrow \downarrow 2$ 

**UpConvBlock:**  $U_B(3, out \ size) \equiv U(3, out \ size) \rightarrow \oplus \rightarrow C(3, out \ size)$ 

**Encoder :**  $IN(3 \text{ ch}) \rightarrow C_B(3, 64) \rightarrow C_B(3, 128) \rightarrow C_B(3, 256) \rightarrow C_B(3, 512) \rightarrow C_B(3, 1024) \rightarrow B(1024)$ 

**Decoder :**  $B(1024) \rightarrow U_B(3, 1024) \rightarrow U_B(3, 512) \rightarrow U_B(3, 256) \rightarrow U_B(3, 128) \rightarrow U_B(3, 64) \rightarrow Out(1)$ 

### **K** EVALUATION METRICS

We evaluate our experiments using a set of topological and pixel-based metrics. The metrics are computed with respect to the binarized predictions. Here, TopoMatch constitutes the most meaning-ful quantification, see section 3.3. We calculate the TopoMatch metric for dimension-0 (T.M.-0) and dimension-1 (T.M.-1) as well as their sum (T.M.). Furthermore, we implement the **Betti number error** for dimension-0 (Betti 0), dimension-1 (Betti 1), and their sum (Betti):

$$\beta_{\text{err}}(\boldsymbol{P}, \boldsymbol{G}) = \sum_{d=0}^{\infty} |\beta_d(D(\boldsymbol{P})_{0.5}) - \beta_d(D(\boldsymbol{G})_{0.5})|$$

It computes the Betti numbers of both foregrounds and sums up their absolute difference in each dimension, i.e. it compares the topological complexity of the foregrounds. It is important to consider the dimensions separately since they have different relevance on different datasets. E.g., Roads has many 1-cycles, whereas Buildings has many 0-cycles (connected components).

Additionally, we use the traditional Dice metric and Accuracy, which describe the in total correctly classified pixels, as well as the clDice metric from Shit et al. (2021). Here, we calculate the clDice between the volumes and the skeleta, extracted using the *skeletonize* function of the skimage python-library. We compute all metrics on the individual test images of their respective size (without patching) and take the mean across the whole testset.

### L BASIC DEFINITIONS AND TERMINOLOGY

#### L.1 CUBICAL COMPLEXES

A *d*-dimensional (cubical) cell in  $\mathbb{R}^n$  is the Cartesian product  $c = \prod_{j=1}^n I_j$  of intervals  $I_j = [a_j, b_j]$  with  $a_j \in \mathbb{Z}$ ,  $b_j \in \{a_j, a_j + 1\}$  and  $d \in \{0, \ldots, n\}$  is the number of non-degenerate intervals among  $\{I_1, \ldots, I_d\}$ .

If c and d are cells and  $c \subseteq d$ , we call c a face of d of codimension  $\dim(d) - \dim(c)$ . A face of codimension one is also called a facet.

A *d*-dimensional (cubical) complex in  $\mathbb{R}^n$  is a finite set of cubical cells in  $\mathbb{R}^n$  with maximal dimension *d* that is closed under the face relation, i.e., if  $d \in K$  and *c* is a face of *d*, then  $c \in K$ . Furthermore we call a cubical complex  $K' \subseteq K$  a subcomplex of *K*.

A filtration of a cubical complex K is given by a family  $(K_r)_{r \in \mathbb{R}}$  of subcomplexes of K, which satisfies:

- (1)  $K_r \subseteq K_s$  for all  $r \leq s$ ,
- (2)  $K = K_r$  for some  $r \in \mathbb{R}$ .

A filtered (cubical) complex  $K_*$  is a cubical complex K together with a nested sequence of subcomplexes, i.e., a sequence of complexes

$$\emptyset = K_0 \subseteq K_1 \ldots \subseteq K_m = K.$$

A function  $f: K \to \mathbb{R}$  on a cubical complex is said to be **order preserving** if  $f(c) \leq f(d)$  for a face c of a cell d.

#### L.2 HOMOLOGY

A chain complex  $C_*$  consists of a family  $\{C_d\}_{d\in\mathbb{Z}}$  of vector spaces and a family of linear maps  $\{\partial_d: C_d \to C_{d-1}\}_{d\in\mathbb{Z}}$  that satisfy  $\partial_{d-1} \circ \partial_d = 0$ .

A map  $f: K \to K'$  between cubical complexes is said to be **cubical** if it respects the face relation, i.e., f(c) must be a face of f(d) in K' if c is a face of d in K.

#### L.3 HOMOLOGY OF CUBICAL COMOPLEXES

*Homology* is a powerful concept involving local computations to capture information about the global structure of topological spaces. It assigns a sequence of abelian groups to a space which encode its topological features in all dimensions. A feature in dimension-0 describes a connected component, in dimension-1, it describes a loop, and in dimension-2, it describes a cavity. It also relates these features between spaces by inducing homomorphisms between their respective homology groups. We briefly introduce the homology of cubical complexes with coefficients in  $\mathbb{F}_2$ . For more details, we refer to Kaczynski et al. (2004).

For  $d \in \mathbb{Z}$ , we denote by  $K_d$  the set of *d*-dimensional cells in a cubical complex *K*. The  $\mathbb{F}_2$ -vector space  $C_d(K)$  freely generated by  $K_d$  is the **chain group** of *K* in degree *d*. We can think of the elements in  $C_d(K)$  as sets of *d*-dimensional cells and call them **chains**. These chain groups are connected by linear **boundary maps**  $\partial_d: C_d(K) \to C_{d-1}(K)$ , which map a cell to the sum of its faces of codimension 1 and are extended linearly to all of  $C_d(K)$ . The **cubical chain complex**  $C_*(K)$  is given by the pair  $(\{C_d(K)\}_{d\in\mathbb{Z}}, \{\partial_d\}_{d\in\mathbb{Z}})$ . We denote by  $Z_d(K) = \ker \partial_d$  the subspace of **cycles** and by  $B_d(K) = \operatorname{im} \partial_{d+1}$  the subspace of **boundaries** in  $C_d(K)$ . Since  $\partial_{d-1} \circ \partial_d = 0$ , every boundary is a cycle and the **homology group** of *K* in degree *d* is defined by the quotient space  $H_d(K) := Z_d(K)/B_d(K)$ . In other words,  $H_d(K)$  consists of equivalence classes of *d*-cycles and two *d*-cycles



(c) 1-cycle  $z_2$  (d) 1-cycle  $z_2$ 

Figure 21: (a) and (b) show cells and their boundary (red). (c) and (d) visualize two homologous 1-cycles (blue) in a cubical complex.

 $z_1, z_2$  are equivalent (**homologous**) if their difference is a boundary. For convenience, we define  $H_*(K) = \bigoplus_{d \in \mathbb{Z}} H_d(K)$ . Note that the homology groups still carry the structure of a  $\mathbb{F}_2$ -vector space and their dimension  $\beta_d(K) = \dim_{\mathbb{F}_2}(H_d(K))$  is the *d*th **Betti number** of *K*.

Homology does not only act on spaces; it also acts on maps between spaces. Therefor, a *cubical* map  $f: K \to K'$  induces a linear map  $C_*(f): C_*(K) \to C_*(K')$ , by mapping a cell  $c \in K$  with  $\dim(f(c)) = \dim(c)$  to f(c) and extending this assignment linearly to all of  $C_*(K)$ . Then  $C_*(f)$  descends to a linear map  $H_*(f): H_*(K) \to H_*(K')$  in homology since  $\partial_* \circ C_*(f) = C_*(f) \circ \partial_*$ .

### L.4 PERSISTENCE MODULES

A persistence module M consists of a family  $\{M_r\}_{r \in \mathbb{R}}$  of vector spaces, which are connected by linear transition maps maps  $M_{r,s}: M_r \to M_s$  for all  $r \leq s$ , such that

- (1)  $M_{r,r} = \operatorname{id}_{M_r}$  for all  $r \in \mathbb{R}$ ,
- (2)  $M_{s,t} \circ M_{r,s} = M_{r,t}$  for  $r \leq s \leq t$ .

M is said to be **pointwise finite-dimensional** (p.f.d.) if  $M_r$  is finite-dimensional for every  $r \in \mathbb{R}$ .

A basic example of a persistence module is an **interval module** C(I) for a given interval  $I \subseteq \mathbb{R}$ . It consists of vector spaces

$$C(I)_r = \begin{cases} \mathbb{F}_2 & \text{if } r \in I, \\ 0 & \text{otherwise.} \end{cases}$$

and transition maps

$$C(I)_{r,s} = \begin{cases} \mathrm{id}_{\mathbb{F}_2} & \text{if } r, s \in I, \\ 0 & \text{otherwise.} \end{cases}$$

for  $r \leq s$ .

A morphism  $\Phi: M \to N$  between persistence modules is a family  $\{\Phi_r: M_r \to N_r\}_{r \in \mathbb{R}}$  of linear maps, such that for all  $r \leq s$  the following diagram commutes:

$$\begin{array}{ccc} M_r & \xrightarrow{M_{r,s}} & M_s \\ & & & \downarrow \\ \Phi_r \downarrow & & \downarrow \Phi \\ & N_r & \xrightarrow{N_{r,s}} & N_s \end{array}$$

We call  $\Phi$  an **isomorphism** (resp. **monomorphism**, **epimorphism**) of persistence modules if  $\Phi_r$  is a isomorphism (resp. monomorphism, epimorphism) of vector spaces for all  $r \in \mathbb{R}$ .

For a family  $\{M_i\}_{i \in I}$  of persistence modules, the **direct sum**  $\bigoplus_{i \in I} M_i$  is the persistence module consisting of vector spaces  $(\bigoplus_{i \in I} M_i)_r = \bigoplus_{i \in I} (M_i)_r$  for all  $r \in \mathbb{R}$  and transition maps  $(\bigoplus_{i \in I} M_i)_{r,s} = \bigoplus_{i \in I} (M_i)_{r,s}$  for all  $r \leq s \in \mathbb{R}$ .

A multiset X consists of a set |X| together with a multiplicity function  $\operatorname{mult}_X : |X| \to \mathbb{N} \cup \{\infty\}$ . Equivalently it can be represented by its underlying set  $\amalg X = \bigcup_{x \in |X|} \coprod_{i=1}^{\operatorname{mult}_X(x)} \{x\}$ . We say X is finite if its underlying set  $\amalg X$  is finite and its cardinality #X is given by the cardinality of its underlying set.

Let  $K_*$  be a filtered cubical complex and  $L_*$  a cell-wise refinement according to the compatible ordering  $c_1, \ldots, c_l$  of the cells in K. The **boundary matrix**  $B \in \mathbb{F}_2^{l \times l}$  of  $L_*$  is given entry-wise by

$$\boldsymbol{B}_{i,j} = \begin{cases} 1 & \text{if } \sigma_i \text{ is a facet of } \sigma_j, \\ 0 & \text{otherwise.} \end{cases}$$

#### L.5 MATCHINGS

A map  $f: X \to Y$  between multisets is a map  $f: \amalg X \to \amalg Y$  between their underlying sets.

A matching  $\sigma \colon X \to Y$  between multisets is a bijection  $\sigma \colon X' \to Y'$  for some multisets X', Y' that satisfy  $\amalg X' \subseteq \amalg X$  and  $\amalg Y' \subseteq \amalg Y$ . We call

- $\operatorname{coim}(\sigma) = X'$  the **coimage** of  $\sigma$ ,
- $\operatorname{im}(\sigma) = Y'$  the **image** of  $\sigma$ ,
- $\ker(\sigma) = X \setminus X'$  the **kernel** and of  $\sigma$ ,
- $\operatorname{coker}(\sigma) = Y \setminus Y'$  the cokernel of  $\sigma$ .

For a morphism  $\Phi: M \to N$  of persistence modules, the **image** of  $\Phi$  is the persistence module  $\operatorname{im}(\Phi)$ , with  $\operatorname{im}(\Phi)_r = \operatorname{im}(\Phi_r)$  and transition maps  $\operatorname{im}(\Phi)_{r,s} = N_{r,s}|_{\operatorname{im}(\Phi_r)}: \operatorname{im}(\Phi_r) \to \operatorname{im}(\Phi_s)$  for  $r, s \in \mathbb{R}$ .

Let M, N be persistence modules. We call M a (**persistence**) submodule of N if  $M_r$  is a subspace of  $N_r$  for every  $r \in \mathbb{R}$  and the inclusions  $i_r \colon M_r \hookrightarrow N_r$  assemble to a persistence map  $i = (i_r)_{r \in \mathbb{R}}$ . In this case we write  $M \subseteq N$ .

The **composition** of two matchings  $X \xrightarrow{\sigma_1} Y \xrightarrow{\sigma_2} Z$  is given by the composition of the bijections

$$\sigma_1^{-1}(Y') \xrightarrow{\sigma_1} Y' \xrightarrow{\sigma_2} \sigma_2(Y'),$$

with  $Y' = \amalg \operatorname{im}(\sigma_1) \cap \amalg \operatorname{coim}(\sigma_2)$ .

A persistence module M is said to be **staggered** if every real number  $r \in \mathbb{R}$  occurs at most once as endpoint of an interval in  $\mathcal{B}(M)$ .