# A Comprehensive Study of Gender Bias in Chemical Named Entity Recognition Models

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#### Abstract

 Chemical named entity recognition (NER) models influence numerous downstream tasks, from adverse drug reaction identification to pharmacoepidemiology. However, it is un- known whether these models work the same for everyone. Performance disparities can poten- tially cause harm rather than the intended good. This paper assesses gender-related performance disparities in chemical NER systems. We de- velop a framework for measuring gender bias in chemical NER models using synthetic data and a newly annotated corpus of over 92,405 words with self-identified gender information from Reddit. Our evaluation of state-of-the- art biomedical NER models reveals evident biases. For instance, synthetic data suggests **female-related names are frequently misclas-** sified as chemicals, especially with datasets rich in brand names. Additionally, we ob- serve significant performance disparities be- tween female- and male-associated data in both datasets. Many systems fail to detect contra- ceptives such as birth control. Our findings emphasize the biases in chemical NER models, urging practitioners to be aware of and address these biases in application.

## 027 1 **INTRODUCTION**

 Chemical named entity recognition (NER) is the extraction of chemical mentions (e.g., drug names) from the text. Chemical NER is es- sential in many downstream tasks, from phar-032 macovigilance [\(O'Connor et al.,](#page-9-0) [2014\)](#page-9-0) to facil- itating drug discovery by mining biomedical re- search articles [\(Agarwal and Searls,](#page-8-0) [2008\)](#page-8-0). For in- stance, Chemical NER systems are the first step in pipelines developed to mine adverse drug reactions (ADRs) [\(Farrugia and Abela,](#page-8-1) [2020\)](#page-8-1). However, manually collecting ADRs is challenging due to limitations in clinical trials, such as insufficient par- ticipants for rare ADRs, limited durations, inability to test all drug combinations swiftly, and drug re-[p](#page-9-1)urposing leading to unexpected ADRs [\(Mammì](#page-9-1)

[et al.,](#page-9-1) [2013\)](#page-9-1). Hence, using chemicals to mine ADR **043** mentions at scale can have a positive impact. How- **044** ever, it is unknown whether these systems perform **045** the same for everyone. Who benefits from these **046** systems, and who can be harmed? We present a  $\frac{047}{2}$ comprehensive analysis of gender-related perfor- **048** mance disparities of Chemical NER in this paper. **049**

Performance disparities have recently received **050** substantial attention in the field of NLP. For ex- **051** ample, recent research shows performance drops **052** in text classification models across different sub- **053** populations such as gender, race, and minority di- **054** [a](#page-8-3)lects [\(Dixon et al.,](#page-8-2) [2018;](#page-8-2) [Park et al.,](#page-9-2) [2018;](#page-9-2) [Bad-](#page-8-3) **055** [jatiya et al.,](#page-8-3) [2019;](#page-8-3) [Rios,](#page-10-0) [2020;](#page-10-0) [Lwowski and Rios,](#page-9-3) **056** [2021;](#page-9-3) [Mozafari et al.,](#page-9-4) [2020\)](#page-9-4). Performance dispari- **057** ties can manifest in multiple parts of NLP systems, **058** including training data, resources, pre-trained mod- **059** els (e.g., word embeddings), and their downstream **060** [a](#page-9-5)pplications [\(Zhao et al.,](#page-10-1) [2019;](#page-10-1) [Goldfarb-Tarrant](#page-9-5) **061** [et al.,](#page-9-5) [2021;](#page-9-5) [Zhao et al.,](#page-10-2) [2017\)](#page-10-2). However, while pre- **062** vious research has explored these disparities, the **063** focus has been largely on synthetic data and non- **064** biomedical applications [\(Mehrabi et al.,](#page-9-6) [2020\)](#page-9-6). Our **065** study addresses this literature gap by providing a **066** comprehensive examination of gender-related per- **067** formance disparities in Chemical NER, focusing **068** on both synthetic and real-world data. **069**

This paper studies a similar task like [Mehrabi](#page-9-6) **070** [et al.](#page-9-6) [\(2020\)](#page-9-6) with two primary distinctions. First, **071** our focus is on Chemical NER, a less studied area **072** in Biomedical NLP despite its significant bias im- **073** plications. Second, while [Mehrabi et al.](#page-9-6) [\(2020\)](#page-9-6) **074** uses synthetic data and templates (e.g., NAME in **075** LOCATION) for bias analysis, we delve deeper **076** into the potential biases in chemical naming, espe- **077** [c](#page-9-7)ially how they contribute to false positives. [Lieven](#page-9-7) **078** [et al.](#page-9-7) [\(2015\)](#page-9-7) highlighted a preference for linguisti- **079** cally feminine brand names in the market, leading **080** drug companies to adopt such naming conventions. **081** This can inadvertently cause models to misclassify **082** female names as chemicals. However, only using **083**

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 templates might not capture the diverse writing of different groups. If a model favors certain names, how does it affect real individuals? Do biases from template data affect some groups disproportion- ately? For example, [Sundbom et al.](#page-10-3) [\(2017\)](#page-10-3) shows 089 that women are more frequently prescribed antide- pressants than men. If models struggle to detect these drugs, often mentioned by females, it may cause gender-specific biases in their performance. Other studies, like [Riley III et al.](#page-10-4) [\(1998\)](#page-10-4), reveal gender differences in pain sensitivity and opioid prescriptions, with women receiving opioids twice as often. The template method would not capture these differences in the model in chemical detec-tion performance for certain classes of drugs.

 Therefore, this paper presents a dual approach: we explore template data but also assemble and annotate a real-world dataset with self-identified 02 gender information. <sup>1</sup> Our approach is influenced by the concerns raised by [Blodgett et al.](#page-8-4) [\(2021\)](#page-8-4) regarding many biased studies needing a sufficient understanding of the potential harm posed by the models. While we cannot fully conceptualize all potential harms, this paper moves beyond prior work focused on non-realized or synthetic datasets. We believe exploring data from people who have self-identified their demographic information is bet- ter. This will provide a more realistic understanding of how these models will perform based on how people write and what they write about.

**114** Our main contributions are threefold.

- 115 1. We introduce and will publicly release a novel **116** annotated Chemical NER dataset for social **117** media data. Moreover, the dataset contains **118** self-identified gender information to be used **119** to measure gender bias in Chemical NER mod-**120** els. To the best of our knowledge, this is **121** the first Reddit-based Chemical NER dataset. **122** *Moreover, it is the first Chemical NER dataset* **123** *with self-identified gender information.*
- **124** 2. We introduce a comprehensive testing frame-**125** work for gender bias in Chemical NER using **126** both synthetic and real-world data. To the best **127** of our knowledge, our results are the first to **128** conduct bias analysis for chemical NER in **129** biomedical application. This allows a better **130** understanding of modern chemical NER tech-**131** niques. *Moreover, it spurs a discourse about* **132** *how information extraction methods can be*

*biased, how the biases can be measured, and* **133** *provides a framework for bias mitigation tech-* **134** *nique development.* **135**

3. Finally, we provide a comprehensive error **136** analysis and discussion to better understand **137** *how* Chemical NER models can be biased. **138** The study links biases to both chemical nam- **139** ing conventions and limits in current datasets **140** with regard to gender specific chemical mentions (e.g., contraceptives). **142**

## 2 RELATED WORK **<sup>143</sup>**

Prior work extensively curated labeled data for 144 chemical NER and developed domain-specific **145** models. For example, the CHEMDNER cor- **146** pus [\(Krallinger et al.,](#page-9-8) [2015\)](#page-9-8) was created for the **147** 2014 BioCreative shared task on chemical extrac- **148** tion from text. Researchers recognize the impor- **149** tance of these systems and are working to make **150** them as fair and accurate as possible. Likewise, **151** the CDR [\(Li et al.,](#page-9-9) [2016\)](#page-9-9) dataset was developed **152** to detect chemical-disease relations for the 2015 **153** [s](#page-9-10)hared task. Similar to traditional NER tasks [\(Li](#page-9-10) 154 [et al.,](#page-9-10) [2020\)](#page-9-10), a broad range of approaches have **155** [b](#page-10-5)een proposed to detect Chemicals [\(Rocktäschel](#page-10-5) **156** [et al.,](#page-10-5) [2012;](#page-10-5) [Chiu et al.,](#page-8-5) [2021;](#page-8-5) [Lee et al.,](#page-9-11) [2020;](#page-9-11) [Sun](#page-10-6) **157** [et al.,](#page-10-6) [2021;](#page-10-6) [López-Úbeda et al.,](#page-9-12) [2021;](#page-9-12) [Weber et al.,](#page-10-7) **158** [2021\)](#page-10-7), from traditional conditional random fields **159** to deep learning methods. Many recent neural **160** network-based advances can be broken into three **161** main groups of models, word, character, and con- **162** [t](#page-9-11)extual embedding-based models. For instance, [Lee](#page-9-11) **163** [et al.](#page-9-11) [\(2020\)](#page-9-11) trained a biomedical-specific BERT **164** model that improved on many prior state-of-the-art **165** results. HunFlair [\(Weber et al.,](#page-10-7) [2021\)](#page-10-7) introduced **166** a method that matches the word, contextual, and **167** character embeddings into a unified framework to **168** achieve state-of-the-art performance. In this pa- **169** per, we evaluate several state-of-the-art systems. **170** Particularly, we focus on systems that use word 171 embeddings, sub-word embeddings, and character **172** embeddings, which allows us to understand the **173** impact of morphological features of the chemical **174** names on gender bias. **175** 

Several previous works have measured and high- **176** lighted bias in different NLP tasks. For instance, **177** [Sap et al.](#page-10-8) [\(2019\)](#page-10-8) measures the bias of offensive **178** language detection models on African American **179** English. Likewise, [Park et al.](#page-9-2) [\(2018\)](#page-9-2) measures gen- **180** der bias of abusive language detection models and **181** evaluates various methods such as word embedding **182**

<span id="page-1-0"></span><sup>&</sup>lt;sup>1</sup>The dataset and code will be released publicly upon acceptance.

 debiasing and data augmentation to improve bi- ased methods. [Davidson et al.](#page-8-6) [\(2019\)](#page-8-6) shows racial and ethnic bias when identifying hate speech on- line and that tweets in the black-aligned corpus [a](#page-8-7)re more likely to be assigned hate speech. [Gaut](#page-8-7) [et al.](#page-8-7) [\(2020\)](#page-8-7) creates the WikiGenderBias dataset to evaluate the gender bias in the relation extrac- tion (RE) model, confirming that the RE system behaves differently when the target entities are of different genders. [Cirillo et al.](#page-8-8) [\(2020\)](#page-8-8) demonstrate that biases in biomedical applications can stem from various sources, such as skewed diagnoses re- sulting from clinical depression scales that measure symptoms more prevalent in women, potentially leading to a higher reported incidence of depres- sion among this group [\(Martin et al.,](#page-9-13) [2013\)](#page-9-13). Other sources include the underrepresentation of minor- [i](#page-9-14)ty populations such as pregnant women [\(Organi-](#page-9-14) [zation and for Women's Health in Society,](#page-9-14) [2009\)](#page-9-14), non-representative samples in AI training data, and inherent algorithmic discrimination, all potentially contributing to inaccurate and unfair results.

 Overall, several metrics have been proposed to measure gender bias. One of the most commonly used metrics involves measuring bias by examin- ing model performance disparities on male and female data points [\(Kiritchenko and Mohammad,](#page-9-15) [2018\)](#page-9-15). Performance disparities have been observed across a wide array of NLP tasks such as detect- ing virus-related text [\(Lwowski and Rios,](#page-9-3) [2021\)](#page-9-3), language generation [\(Sheng et al.,](#page-10-9) [2019\)](#page-10-9), corefer- ence resolution [\(Zhao et al.,](#page-10-10) [2018\)](#page-10-10), named entity recognition [\(Mehrabi et al.,](#page-9-6) [2020\)](#page-9-6), and machine translation [\(Font and Costa-jussà,](#page-8-9) [2019\)](#page-8-9). Most re- lated to this study, researchers have shown that traditional NER systems (i.e., to detect people, locations, and organizations) are biased concern- ing gender [\(Mehrabi et al.,](#page-9-6) [2020\)](#page-9-6). Specifically, [Mehrabi et al.](#page-9-6) [\(2020\)](#page-9-6) demonstrates that female- related names are more likely to be misidentified as a location than male names. This stream of research underscores the importance of our investi-gation into performance disparities in NLP.

 Finally, while not directly studied in prior NER experiments. It is important to discuss some back- ground about morphological elements of chemical names. Morphological elements often represent- ing masculinity or femininity are frequently used in chemical naming conventions. According to [Lieven et al.](#page-9-7) [\(2015\)](#page-9-7), consumers perceive linguisti-cally feminine brand names as warmer and likelier.

<span id="page-2-0"></span>

	# of Chemical Mentions	# Sentences	# Words
CDR	4.409	14.306	346,001
<b>CHEMDNER</b>	84.355	87.125	2,431,247
<b>AskDoc MALE</b>	1,501	2,862	52,221
<b>AskDoc FEMALE</b>	1,774	2.151	40,184
AskDoc ALL	3.275	5,013	92,405
<b>Synthetic MALE</b>	2,800,000	2,800,000	25,760,000
<b>Synthetic FEMALE</b>	2,800,000	2,800,000	25,760,000
<b>Synthetic ALL</b>	5.600,000	5.600,000	51,520,000

Table 1: Dataset statistics.

For instance, adding a diminutive suffix to the mas- **234** culine form of the name usually feminizes it. The **235** masculine names such as Robert, Julius, Antonio, **236** and Carolus (more commonly Charles today) are **237** feminized by adding the suffixes "a", "ia", "ina", **238** or "ine" to generate Julia, Roberta, Antonia, and **239** Caroline, respectively. The suffixes "ia" and "a" is **240** commonly used for inorganic oxides such as mag- **241** nesia, zirconia, silica, and titania [\(Hepler-Smith,](#page-9-16) **242** [2015\)](#page-9-16). Likewise, "ine" is used as the suffix in many **243** organic bases and base substances such as quinine, **244** morphine, guanidine, xanthine, pyrimidine, and **245** pyridine. Hence, while these practices were not **246** originally "biased" in their original usage, they can **247** potentially impact model performance (e.g., femi- **248** nine names can be detected as chemicals). There- **249** fore, the patterns can cause biased models. As part **250** of our approach to investigate this potential source **251** of bias, we propose using synthetic data to quantify **252** this phenomenon. **253**

#### 3 DATASETS **<sup>254</sup>**

In this section, we describe the four main datasets **255** used in our experiments: two are publicly-released **256** datasets based on PubMed, and two are newly cu- **257** rated datasets, one using social media data and **258** another based on templates. Table [1](#page-2-0) provides their **259** statistics. We selected the PubMed datasets for **260** their prominence in chemical NER research. At **261** the same time, the r/AskDocs subreddit was chosen **262** for its large community, diverse health discussions, **263** and consistent gender identification format, such **264** as "I [25 M]". **265**

CDR [\(Li et al.,](#page-9-9) [2016\)](#page-9-9) We use the BioCreative V **266** CDR shared task corpus. The CDR corpus com- **267** prises 1,500 PubMed articles with 4,409 annotated **268** chemicals, 5,818 diseases, and 3,116 chemical dis- **269** ease interactions. This corpus is designed to ad- **270** dress two distinct tasks: Relation classification and **271** NER. For this study, we focus on the NER for **272** chemical entities. The annotator agreement for this **273**

**274** corpus was .87. Finally, we used the same train, **275** validation, and test splits from the shared task for **276** our experiments.

 CHEMDNER [\(Krallinger et al.,](#page-9-8) [2015\)](#page-9-8) The CHEMDNER corpus includes abstracts from 10000 chemistry-related journals published in 2013 on PubMed. Each abstract was manually annotated for chemical mentions. These mentions were cat- egorized into seven subtypes: abbreviation, fam- ily, formula, identifier, multiple, systematic, and trial. The BioCreative organizers divided the cor- pus into training (3500 abstracts), development (3500 abstracts), and test (3000 abstracts) sets. The BioCreative IV CHEMDNER corpus com- prises 84,355 chemical mention annotations across 10,000 abstracts, with an inter-annotator agreement of .91 [\(Krallinger et al.,](#page-9-8) [2015\)](#page-9-8). For this study, we only use the major Chemical annotations and ig- nore the subtypes for consistency across corpora. Finally, we use the same train, validation, and test splits used in the shared task for our experiments.

 Synthetic (Template) Data We designed a new synthetic dataset to quantify the gender bias in the Chemical NER models. Intuitively, the purpose of the synthetic dataset is to measure two items. First, do gender-related names and pronouns get incorrectly classified as Chemicals (i.e., cause false positives)? Second, does the appearance of gender- related names/pronouns impact the prediction of other words (i.e., cause false negatives)? Specifi- cally, we create templates such as "[NAME] said they has been taking [CHEMICAL] for illness.". In the "[NAME]" column, we filled in the names associated with the male and female genders based on the 200 most popular baby names provided by the Social Security Administration [2](#page-3-0) **309** . We recog- nize that gender is not binary and that names do not equal gender. Hence, we refer to these "gender- related" names in this paper. This is a similar frame- work used by [Mishra et al.](#page-9-17) [\(2020\)](#page-9-17) and other gender bias papers [\(Kiritchenko and Mohammad,](#page-9-15) [2018\)](#page-9-15). The "[CHEMICAL]" field is filled with the chemi- cals listed in the Unified Medical Language System (UMLS) [\(Bodenreider,](#page-8-10) [2004\)](#page-8-10). For example, com- pleted templates include "John said they has been taking citalopram for illness." and "Karen said they has been taking citalopram for illness." We cre- ated examples using five templates, 200 chemicals, and 200 names for each gender for each decade

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Table 2: Templates used to create the synthetic dataset.

from 1880 to 2010, generating a total of 200,000 **323** templates for each of the 14 decades. A list of ad- **324** ditional templates is shown in Table [2.](#page-3-1) This dataset **325** is only used for evaluation. **326**

AskDocs We develop a new corpus using data **327** from the Reddit community r/AskDocs. r/AskDocs **328** provides a platform for peer-to-peer and patient- **329** provider interactions on social media to ask **330** medical-related questions. The providers are gener- **331** ally verified medical professionals. We collected all **332** the posts from the community with self-identified **333** gender mentions. To identify self-identified gen- **334** der, we use a simple regular expression that looks **335** for mentions of "I" or "My" followed by gender, **336** and optionally age, e.g., "I [F34]", "My (23F)", **337** "I [M]". Next, following general annotation rec- **338** ommendations for NLP [\(Pustejovsky and Stubbs,](#page-9-18) **339** [2012\)](#page-9-18), the annotation process was completed in **340** two stages to increase the reliability of the labels. **341** First, two graduate students annotated chemicals **342** in the dataset resulting in an inter-annotator agree- **343** ment of .874, achieving a similar agreement score **344** as CDR and CHEMDNER. Second, a graduate **345** student manually reviewed all disagreeing items **346** to adjudicate the label and generate the gold stan- **347** dard. All students followed the same annotation **348** guidelines developed for the CHEMDNER corpus. **349** Contrary to the synthetic dataset, the actual data **350** will allow users to measure biases arising from  $351$ text content differences across posts with different **352** self-identified gender mentions. **353**

## 4 EXPERIMENTAL DESIGN AND **<sup>354</sup>** METHODS **<sup>355</sup>**

The goal of NER is to classify words into a se- **356** quence of labels. Formally, given an input sequence **357**  $\mathcal{X} = [x_1, x_2, \dots, x_N]$  with N tokens, the goal of 358 NER is to output the corresponding label sequence **359**  $\mathcal{Y} = [y_1, y_2, \dots, y_N]$  with the same length, thus 360 modeling the probabilities over a sequence  $p(\mathcal{Y}|\mathcal{X})$ . 361 For this task, we conducted an experiment evaluat- **362** ing out-of-domain models on the AskDoc corpus. **363**

<span id="page-3-0"></span><sup>2</sup><https://www.ssa.gov/oact/babynames/>

 Specifically, models were trained and optimized on the CHEMDNER and CDR datasets and then applied to the AskDoc dataset. All models are evaluated using precision, recall, and F1. To mea- sure bias, we use precision, recall, and F1 differ- ences [\(Czarnowska et al.,](#page-8-11) [2021\)](#page-8-11). Specifically, let m be Males' performance metric (e.g., F1), and f represent the Female metric. The bias is measured using the difference  $f - m$ .

## **373** 4.1 MODELS

 We evaluate three distinct models: Word Embed- ding models [\(Mikolov et al.,](#page-9-19) [2013\)](#page-9-19), Flair embed- ding models [\(Akbik et al.,](#page-8-12) [2018\)](#page-8-12), and BERT-based models [\(Devlin et al.,](#page-8-13) [2019a\)](#page-8-13). While the embed- dings for each model type vary, the sequence pro- cessing component is the same for each method. Specifically, following best practices for state-of- the-art NER models [\(Akbik et al.,](#page-8-14) [2019a\)](#page-8-14), we use a Bidirectional long short-term memory network (Bi- LSTM) [\(Hochreiter and Schmidhuber,](#page-9-20) [1997\)](#page-9-20) due to its sequential characteristics and capability to cap- ture long-term dependencies. Recent research has shown that Bi-LSTM models can produce state-of- the-art performance when combined with contex- tual embeddings and Conditional Random Fields (CRFs) [\(Mueller et al.,](#page-9-21) [2020;](#page-9-21) [Veyseh et al.,](#page-10-11) [2022\)](#page-10-11). Hence, in this paper, we use the Bi-LSTM+CRF [i](#page-8-15)mplementation in the Flair NLP framework [\(Ak-](#page-8-15) [bik et al.,](#page-8-15) [2019b\)](#page-8-15). The Bi-LSTM+CRF model is flexible because it can accept arbitrary embeddings as input. It is not constrained to traditional word embeddings (e.g., Word2Vec). We describe the em-beddings we experiment with in the next Section.

## **397** 4.2 EMBEDDINGS

 We explore three sets of embeddings: Word2Vec, Flair, and BERT. Social media texts are brief and informal. Drugs and chemicals are typically de- scribed in descriptive, nontechnical language with spelling errors. These issues challenge social me- dia NER. Some medications, like "all-trans-retinoic acid", contain morphologically difficult parts. Yet, similar-structured phrases still generally represent similar things [\(Zhang et al.,](#page-10-12) [2021\)](#page-10-12). Hence, how we represent words (i.e., the embeddings we use) can directly impact performance and bias. We describe each embedding we use below:

#### **410** 4.2.1 Word2Vec [\(Pyysalo et al.,](#page-9-22) [2013\)](#page-9-22)

**411** We use Word2Vec embeddings pre-trained on **412** PubMed and PubMed Central. The embeddings are publicly released as part of the FLAIR package. **413** It is important to state that word embeddings have **414** a major limitation. Word embeddings use a distinct **415** vector to represent each word and ignore words' **416** internal structure (morphology). This can result **417** in models not particularly good at learning rare or **418** out-of-vocabulary (OOV) words in the data. The **419** growing number of emerging chemicals/drugs with **420** diverse morphological forms makes recognizing **421** chemical entities on social media platforms partic- **422** ularly challenging. Another challenge posed by **423** user-generated content is its unique characteristics **424** and use of informal language, typically short con- **425** text, noisy, sparse, and ambiguous content. Hence, **426** we hypothesize that word embeddings would per- **427** form worse than other methods. However, it is **428** unclear how these differences can impact bias. **429**

## 4.2.2 HunFlair [\(Weber et al.,](#page-10-7) [2021\)](#page-10-7) **430**

[Weber et al.](#page-10-7) [\(2021\)](#page-10-7) recently proposed a Flair con- **431** textual string embeddings (a character-level lan- **432** guage model). Specifically, we use the embed- **433** dings in the HunFlair extension of the Flair pack- **434** age [\(Weber et al.,](#page-10-7) [2021\)](#page-10-7), which is pre-trained on **435** a corpus of three million full-text articles from **436** the Pubmed Central BioC text mining collec- **437** tion [\(Comeau et al.,](#page-8-16) [2019\)](#page-8-16) and about twenty-five **438** million abstracts from PubMed. Unlike word em- **439** beddings mentioned above, Flair embeddings are a **440** contextualized character-level representation. Flair **441** embeddings are obtained from the hidden states of **442** a bi-directional recurrent neural network (BiRNN). **443** They are trained without any explicit notion of a  $444$ word. Instead, Flair models a word as sequences **445** of characters. Moreover, these embeddings are de- **446** termined by the text surrounding them, i.e., the **447** same word will have different embeddings depend- **448** ing on its contextual usage. The variant of the **449** Flair embedding used in this study is the Pooled 450 Flair embedding [\(Weber et al.,](#page-10-7) [2021;](#page-10-7) [Akbik et al.,](#page-8-12) **451** [2018\)](#page-8-12). Furthermore, we use the forward and back- **452** ward representations of Flair embeddings returned **453** from the BiRNN. Intuitively, character-level em- **454** beddings can potentially help improve model pre- **455** dictions with better OOV handling. **456**

## 4.2.3 BERT [\(Devlin et al.,](#page-8-17) [2019b\)](#page-8-17) **457**

We also evaluate transformer-based embeddings. **458** Specifically, we use the BERT variant "bert-base- **459** [u](#page-10-13)ncased" available Flair and HuggingFace [\(Wolf](#page-10-13) **460** [et al.,](#page-10-13) [2020\)](#page-10-13). BERT was pre-trained using **461** the BooksCorpus (800M words) and English **462**

<span id="page-5-0"></span>

	Precision	- Recall	F1
$CDR + Word$	.8544	.7989	-8230
$CDR + Flair$	.8793	-8733	-8761
$CDR + BERT$	.8978	.9023	.9000
$CHEMDNER + Word$	.8638	.7916	-8211
<b>CHEMDNER + Flair</b>	.8929	.8652	.8783
CHEMDNER + BERT	-8184	.7363	.7632

Table 3: Overall Results on CDR and CHEMDNER.

 Wikipedia (2,500M words) [\(Devlin et al.,](#page-8-17) [2019b\)](#page-8-17). Furthermore, BERT embeddings are based on sub- word tokenization, so BERT can potentially handle OOV better than word embeddings alone. Intu- itively, it fits somewhere between Flair (generating word embeddings from character representations) and Word2Vec (which independently learns em- beddings for each word). Likewise, each word representation is context-dependent. Hence, BERT is better at handling word polysemy by capturing word semantics in context.

#### **474** 4.2.4 Hyper-Parameter Settings

 In this section, we report the best hyperparameter for each model. Similar to random hyperparameter search [\(Bergstra and Bengio,](#page-8-18) [2012\)](#page-8-18), we generate 100 samples using different parameters for each dataset-model combination (e.g., we generate 100 versions of BERT for the CDR dataset). For the specific hyper-parameters, we used sample dropout from 0.1 to 0.9, hidden layer sizes from {128, 256, 512, 1024}, learning rates selected from 1e-4 to 1e-1 at random, and the option of whether to fine- tune the embedding layers (i.e., True vs. False). In addition, we trained all models for 25 epochs with a mini-batch size set to 32, where only the best model on the validation dataset is saved after each epoch. Finally, all experiments were run on four NVidia GeForce GTX 1080 Ti GPUs.

#### **<sup>491</sup>** 5 RESULTS

**492** In this section, we report the performance of our **493** model on the original CDR and CHEMDNER test **494** datasets and the synthetic and real-data bias results.

#### **495** 5.1 CDR and CHEMDNER Results

 Table [3](#page-5-0) reports the average recall, precision, and F1 scores for each embedding type for the CDR and CHEMDNER datasets. The scores are averaged over the various random seeds and hyperparame- ters used to train the models. The Flair embeddings result in the best performance for the CDR dataset. While in the CHEMDNER corpus, the Flair out- **502** performs the BERT embeddings (.8783 vs. .7632). **503** For the CHEMDNER results, we found that BERT 504 is highly sensitive to hyperparameters, resulting in **505** poorly performing models. The best-performing **506** BERT models can perform similarly to the Flair 507 model. See the supplementary material for details **508** (e.g., max, min, and median scores). **509**

#### 5.2 Synthetic (Template) Results **510**

In Table [4,](#page-6-0) we report the average synthetic dataset **511** results and bias scores for each model trained **512** on three different datasets (CDR, CHEMDNER, **513** and AskDocs) with the three different embeddings **514** (Word, Flair, and BERT). Overall, NER mod- **515** els have a substantial bias against female-related **516** names. Specifically, nine out of nine models  $517$ (1.000) have a lower precision for female-related **518** templates, with an average precision bias of .0204 **519** against female-related names. Likewise, seven out **520** of nine (.7778) dataset-model pairs have lower F1 **521** scores for female-related templates. The recall **522** scores are similar for male- and female-related tem- **523** plates, with an average score near 0. The AskDoc **524** dataset has the largest bias scores against female- **525** related names (e.g., .0555 for precision). Yet, the **526** CDR and CHEMDNER datasets also have sub- **527** stantial biases with differences as high as .0367. **528** These results indicate that most bias differences **529** are caused by female-related names being more **530** likely to be classified as a chemical. This find- **531** ing is consistent with prior research on naming **532** conventions for brands [\(Lieven et al.,](#page-9-7) [2015\)](#page-9-7). To **533** further investigate this, we randomly sample 100 **534** chemicals from all three datasets and measured **535** the number of brand name mentions. Overall, we **536** found one brand name in the CHEMDNER dataset, **537** 19 in the CDR dataset, and 32 in the ASKDOC **538** dataset, which generally matches the bias perfor- **539** mance differences in Table [4.](#page-6-0) Moreover, the Word 540 Embedding (Word2Vec) models have the lowest **541** bias scores. Word2Vec models are not impacted by **542** the morphological structure of the chemical names. **543** Hence, the models using word embeddings do not **544** confuse names for chemicals. We find similar pat- **545** terns for word embeddings on models trained on **546** each dataset. **547**

#### 5.3 AskDoc Results **548**

The AskDoc results are reported in Table [5.](#page-6-1) The 549 results in Table [5](#page-6-1) come from a model trained on **550** PubMed data. As seen in Table [5,](#page-6-1) there is no sig-  $551$ 

<span id="page-6-0"></span>

	Male			Female			<b>Difference</b>		
	<b>Precision</b>	Recall	F1	<b>Precision</b>	Recall	F1	<b>Precision</b>	Recall	F1
$CDR + Word$	1	.8230	.9029	-1	.8230	.9029	.0000	.0000	.0000
$CDR + Flair$	.9711	.9486	.9597	.9344	.9494	.9418	.0367	$-.0008$	.0179
$CDR + BERT$	.9867	.8493	.9128	.9728	.8444	.9041	.0138	.0048	.0087
<b>CHEMDNER + Word</b>	.9990	.8625	.9257	.9968	.8622	.9246	.0021	.0003	.0011
<b>CHEMDNER + Flair</b>	.9982	.8836	.9374	.9885	.8852	.9340	.0097	$-0.007$	.0034
<b>CHEMDNER + BERT</b>	.9913	.8768	.9306	.9680	.8762	.9198	.0233	$-.0006$	.0107
$ASKDOC + Word$	.9739	.9330	.9530	.9739	.9330	.9530	.0000	.0000	.0000
$ASKDOC + Flair$	.8833	.9523	.9164	.8278	.9519	.8852	.0555	.0005	.0312
<b>ASKDOC + BERT</b>	.9394	.9288	.9340	.8967	.9282	.9121	.0427	.0006	.0220
				<b>Aggregate Measures</b>					
<b>AVG</b>							.0204	$-.0002$	.0106

Table 4: Synthetic (Template) Data Results. The smallest bias score for each dataset is marked in blue and the biggest is marked in red . The overall largest scores are in bold. The bottom section reports aggregate result measures, specifically the average differences and the percent of the DATASET + MODEL combinations that are biased against the female-related text.

<span id="page-6-1"></span>

	Male			Female			<b>Difference</b>		
	<b>Precision</b>	Recall	F1	<b>Precision</b>	Recall	F1	<b>Precision</b>	Recall	F1
$CDR + Word$	.8375	.5605	.6548	.8400	.5495	.6499	$-.0025$	$.0110***$	.0049
$CDR + Flair$	.8320	.6557	.7285	.8293	.6256	.7081	.0026	$.0302***$	$0204***$
$CDR + BERT$	.8724	.6582	.7500	.8693	.6215	.7244	.0030	$.0367***$	$.0256***$
<b>CHEMDNER + Word</b>	.8693	.5444	.6609	.8751	.5305	.6521	$-0058$	$.0139***$	$.0089***$
<b>CHEMDNER + Flair</b>	.8791	.6120	.7206	.8611	.5830	.6939	$.0180**$	$.0290**$	$0267***$
<b>CHEMDNER + BERT</b>	.7995	.5942	.6717	.7964	.5648	.6438	.0031	$.0295**$	$.0279***$
<b>Aggregate Measures</b>									
AVG-							$-.0056$	.0251	.0189

Table 5: AskDoc Results. The smallest bias score for each dataset is marked in blue and the biggest is marked in red. The overall largest scores are in **bold**. The bottom section reports aggregate result measures, specifically the average differences and the percent of the DATASET + MODEL combinations that are biased against the female-related text. Statistically, significant differences based on the Wilcoxon Signed Rank test are marked with \*  $(p\text{-value} < .05),$  \*\*  $(p\text{-value} < .01),$  and \*\*\*  $(p\text{-value} < .001).$ 

 nificant difference in precision between male and female datasets for most models, suggesting that precision remains consistent regardless of gender. However, recall displays a consistent bias against the female group. Likewise, F1 scores indicate a bias against the female group, except for the Word Embedding model trained on the CDR cor- pus. In contrast, Table [4](#page-6-0) mirrors the results from Table [5,](#page-6-1) but the biases observed are in precision and F1 scores rather than recall and F1 scores. Overall, we have several major findings. First, again, we find substantial female-related bias in the Chemcial NER system. Here, the bias is based on self-identified posts, not names. For instance, the CDR+BERT model has a recall for the Female posts nearly 4% lower (i.e., .0367) than the Male posts. However, what does this mean in real-world terms? Considering a sample of 1,000,000 chemi-cal mentions across male and female posts (a relatively small number in social media), a 4% recall **571** difference results in an additional 40,000 false neg- **572** atives for the female group. For example, there **573** are well-known health disparities between men and **574** women for depression, with absolute differences **575** of less than 3% [\(Salk et al.,](#page-10-14) [2017\)](#page-10-14). Hence, a 4% **576** recall difference can substantially impact findings **577** if applied researchers or practitioners use out-of- **578** domain models to understand medications for this **579** disease. Such a considerable gap can markedly **580** affect the utility and trustworthiness of these pre- **581** dictive outcomes in practical scenarios. **582**

In summary, these results underline the necessity **583** to acknowledge potential gender bias in informa- **584** tion extraction tasks within biomedical applications. **585** The performance disparity across genders calls for **586** applying bias mitigation techniques to ensure equi- **587** table system performance. Further, the influences **588** of the chosen NLP model and training corpus on **589**

**590** this bias underline the importance of careful model **591** selection and data curation in creating unbiased **592** NLP systems.

 Second, the models with the most bias on the synthetic data do not correlate with the findings on real data. For example, the models trained on CDR corpus have the largest bias on the synthetic data but have the smallest bias when evaluating real data. Likewise, the synthetic data suffered from precision bias, while much of the bias on real data is related to recall. These findings are important given the reliance on synthetic data in bias analysis papers. In comparison, synthetic data allows us to target specific types of biases, synthetic data alone does not provide an accurate estimate of bias in practice.

 Third, a pivotal question often raised is, "Does increasing model accuracy inherently lead to de- creased bias?" From our observations on the AskDoc and synthetic datasets, there is no direct correlation. Intriguingly, Word Embedding-based models, which were the least accurate among the models tested, exhibited the least bias. This un- derscores the idea that accuracy and fairness are both essential axes of model evaluation. Relying solely on improving accuracy will not automati- cally address bias or fairness concerns. Hence, the results suggest that performance disparities need to be directly addressed; simply developing more "accurate" models will not suffice. Finally, note that the biases can range higher than .0367. See the supplementary material for median, max, and min scores. Furthermore, it's important to mention that these biases can exceed a measure of .0367. For more detailed results including median, maximum, and minimum scores, refer to the supplementary material.

## **<sup>627</sup>** 6 Conclusion

 In this paper, we evaluate the gender bias of Chem- ical NER systems. Moreover, we compare bias measurements from synthetic data with real-world self-identified data. We make two major findings. First, Chemical NER systems are biased with re- gard to gender for synthetic data. Specifically, our study found that female name-like patterns fea- ture prominently in chemical naming conven- tions. This characteristic leads to a notable bias in NER systems, where female-related names are disproportionately identified as chemicals, inadver-tently escalating the gender bias in these systems.

<span id="page-7-0"></span>

Figure 1: Ratio of false negatives for various drug categories. The ratio is represented next to each bar. For female-leaning errors, the female false negative count  $(FN_f^k)$  is in the numerator. For male-leaning errors, the male false negative count  $(FN_m^k)$  is in the numerator.

Furthermore, we explored the performance of **640** these models in real-world scenarios and found that **641** most models perform better on male-related data **642** than female-related data. A striking revelation **643** was the system's poor performance when iden- **644** tifying chemicals frequently found in female- **645** related data, such as mentions of contraceptives. **646** This result further compounds the concern of bias, **647** bringing attention to the potential real-world impli- **648** cations of such inaccuracies. **649**

Additionally, our analysis exposed the limita- **650** tions of synthetic data in estimating gender bias. **651** While synthetic data serves as a useful tool for **652** identifying specific types of biases, it fails to pro- **653** vide a comprehensive reflection of the bias in **654** real-world applications. This discovery under- **655** scores the need for real-world bias analyses along- **656** side synthetic data investigations. 657

Our study also drew attention to the non- **658** correlation between model accuracy and bias. We **659** discovered that the least accurate models, based **660** on Word Embeddings, exhibited the least bias. **661** This finding reiterates that enhancing model ac- **662** curacy alone will not suffice in addressing these **663** biases; instead, it is necessary to explicitly tackle **664** the disparities in performance. **665**

In conclusion, the results of our study empha- **666** sise the urgent need for deliberate bias mitigation 667 strategies in Chemical NER systems. Our findings **668** spotlight the necessity for incorporating both synthetic and real-world data considerations to develop **670** models that are both fair and reliable. **671**

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## A Appendix **<sup>991</sup>**

#### A.1 Error Analysis and Discussion **992**

Our experiments show that Chemical NER sys- **993** tems are biased. However, what specifically is **994** causing the errors? For the synthetic data, the an- **995** swer is gender-related names. To understand the **996** errors in the AskDoc data, we analyzed the errors **997** made by the best NER models trained on the out- **998** of-domain corpus (CHEMDNER and CDR) and **999** tested the male and female splits of the AskDocs **1000** corpus. In Figure [1,](#page-7-0) we report the ratio of false neg- **1001** atives for different categories of drugs/chemicals. **1002** Specifically, for every false negative made by the **1003** top models of each dataset-model combination, we **1004** manually categorized them into a general chemical **1005** class (e.g., Contraceptives, Analgesics/Pain Killers, **1006**

**and Stimulants). Formally, let**  $FN_m^k$  represent the total number of false negatives for chemical types *k* and male data *m*. Let  $FN_f^k$  represent the female **false negatives.** If  $FN_m^k$  is larger than  $FN_f^k$ , we 1011 define the ratio as  $-(1 - FN_m^k/FN_f^k)$ . Likewise, 1012 if  $FN_f^k$  is greater than  $FN_m$ , then we define the **a** matio as  $1 - (FN_f^k / FN_m^k)$ . Hence, when male ra- tios are higher, the score is negative; otherwise, it is positive.

 Overall, we make several important findings. First, we find that the models make slightly more false negatives on the chemicals categories Contra- ceptives (e.g., birth control and Plan B One-Step), Hormones (e.g., Megace used to treat the symp- toms of loss of appetite and wasting syndrome in people with illnesses such as breast cancer), Anal- gesics (i.e., Pain Killers such as Tylenol) and An- tibiotics on the female dataset. In contrast, the models make slightly more errors in the chemical categories Anxiolytics (e.g., drugs used to treat anxiety), Antipsychotics (e.g., chemicals used to manage psychosis, principally in schizophrenia), and sexual function drugs (e.g., Viagra). Further- more, while the ratio for the most male- and female- related errors (Contraceptives and Sexual Function) are similar, the absolute magnitudes are substan- tially different. For instance, there are 397 Con-1034 traceptive *FNs* in the female dataset, but only 75 Sexual Function F Ns appear in the male dataset. This provides an explanation for the large differ- ences in recall on the AskDoc corpus between the male and female datasets.

 Interestingly, we find that the prevalence of chemicals across gender-related posts matches the prevalence found in traditional biomedical studies. Previous research report that women have been prescribed analgesics (e.g., pain killers such as opi- oids) twice as often as men [\(Chilet-Rosell,](#page-8-19) [2014;](#page-8-19) **[Serdarevic et al.,](#page-10-15) [2017\)](#page-10-15). While there is still lim-** ited understanding about whether men are under- prescribed or women are over-prescribed, the dis- parities in prescriptions are evident. Thus, the find- ing in Figure [1](#page-7-0) that we receive twice as many anal- gesics F Ns for female data is important. Depend- ing on the downstream application of the Chemical NER system, these performance disparities may potentially increase harm to women. For exam- ple, if more varieties of drugs are prescribed to women, but our system does not detect them, then an ADR detection system will not be able to detect important harms.

Figure [1.](#page-7-0) There have also been medical studies 1059 showing gender differences in Antibiotic prescrip- 1060 tions. For example, a recent meta-analysis of pri- **1061** mary care found that women received more an- **1062** tibiotics than men, especially women aged 16–54, 1063 receiving 36%–40% more than males of the same **1064** age [\(Smith et al.,](#page-10-16) [2018\)](#page-10-16). Again, if we do not de- **1065** tect many of the antibiotics prescribed to women, **1066** this can cause potential health disparities in down- **1067** stream ADR (and other) systems. **1068** Next, in Table [6,](#page-12-0) we report the false negative 1069

We also find differences in Antibiotic FNs in **1058** 

rate (FNR) for each category along with the gen- **1070** eral frequency of each category. Using the Pearson **1071** correlation coefficient, we relate the frequency of **1072** each category with the false negative rate for the **1073** male and female groups, respectively. Intuitively, 1074 we would expect the false negative rate to go down 1075 as the frequency increases, which matches our find- **1076** ings. However, we find that the correlation is much **1077** stronger for the male group than the female group. **1078** 

In Table [7,](#page-12-1) we report the FNR for the female and **1079** male groups, respectively. We also introduce a new 1080 metric, weighted FNR, which assigns importance **1081** scores for each of the FNRs shown in to create a **1082** macro-averaged metric. Intuitively, the distribution **1083** of categories is different for both the male and **1084** female groups. So, we want to test whether the **1085** FNR scores are distributed uniformly across all **1086** categories, irrespective of, or if the errors are more **1087** concentrated for gender-specific categories. More **1088** errors in gender-specific categories can adversely **1089** impact a group that is not captured with the global **1090** FNR metric. Formally, we define wFNR for the 1091 female group as **1092**

$$
wFNR^{f} = \sum_{i}^{N} w_{i}^{f} FNR_{i}^{f}
$$

where  $FNR_i^f$  represents the female false negative  $1094$ rate for category *i*. Likewise,  $w_i^f$  $i_i^J$  is defined as **1095** 

 $\boldsymbol{\eta}$ 

$$
v_i^f = \frac{1}{\sum_i w_i^f} \cdot \frac{N_i^f / N^f}{N_i^m / N^m}
$$

where  $N_i^f$  $i_j^f$  and  $N_f^m$  represent the total number of **1097** times a category  $\vec{i}$  appears for the female and male  $1098$ groups, respectively. Intuitively, we are diving the **1099** ratio of each category for female and male groups. **1100** So, if a category appears more often for females **1101** than males, proportionally, then the score will be **1102**

<span id="page-12-0"></span>

	<b>Total Male</b>	<b>FNR Male</b>	<b>Total Female</b>	<b>FNR Female</b>	
<b>Contraceptives</b>	33	1.0000	408	.9730	
<b>Hormones</b>	170	.0882	230	.1565	
<b>Analgesics</b>	571	.1489	952	.2048	
<b>Antibiotics</b>	326	.2454	347	.4438	
<b>Antihistamines</b>	270	.5593	295	.6780	
<b>Stimulants</b>	522	.3065	390	.5051	
<b>Antidepressants</b>	781	.4110	1043	.3365	
<b>Minerals</b>	605	.3983	785	.3312	
<b>Opioids</b>	43	.5814	95	.2316	
<b>Organic Chemical</b>	441	.3764	346	.3902	
<b>Illicit drug</b>	353	.5666	311	.5048	
<b>Vaccine</b>	108	1.0000	78	1.0000	
<b>Stomach Drug</b>	55	.5455	44	.4545	
<b>Antipsychotics</b>	47	.6170	95	.1368	
<b>Anxiolytics</b>	126	.5603	100	.2300	
<b>Sexual Function Drug</b>	78	.9615	8	1.0000	
<b>PCC</b> between Total and FNR	$-.58$		$-.26$		

<span id="page-12-1"></span>Table 6: False negatives rate (FNR) for female and male-related AskDoc datasets. The pearson correlation coefficient (PCC) between the frequency of each chemical type and the FNR for teach group is marked in the last row.



tices, a more multi-faceted approach involving nu- **1122** merous annotators and adjudicators might offer im- **1123** proved accuracy and consistency in future datasets. **1124**

Table 7: FNR and weighted FNR (wFNR) results.

 higher. We normalize these scores for each group so they sum to one. Overall, we find an absolute 1105 gap of more than 1% (3% relative difference) be- tween the FNR for male and female groups. But, even worse, there is a much larger gap (.1213 vs .0116) when using wFNR. This result suggests that many of the false negatives are concentrated for gender-specific categories (e.g., contraceptives) for the female group more than the male group.

## **1112** A.2 Limitation

 There were several limitations to our study. First, the adjudication of disagreeing items was depen- dent on the judgment of a single graduate student, potentially introducing human error and bias com- pared to a multi-adjudicator approach. Second, the vast volume of data from the active r/AskDoc sub- reddit community makes the feasibility of one per- son's comprehensive review debatable. Although our annotation method is in line with standard prac-