FSTs vs ICL: Generalisation in LLMs for an under-resourced language

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Abstract

The effectiveness of LLMs remains uncertain in scenarios where pre-trained models have limited prior knowledge of a language. In this work, we examine LLMs' generalization in 004 005 under-resourced settings through the task of orthographic normalization across Otomi language variants. We develop two approaches: a rule-based method using a finite-state transducer (FST) and an in-context learning (ICL) method that provides the model with string transduction examples. We compare the per-011 formance of FSTs and the neural approach in low-resource scenarios, providing insights into their potential and limitations. Our results show that while FSTs outperform LLMs in zero-shot 015 settings, ICL enables LLMs to surpass FSTs, stressing the importance of combining linguis-017 tic expertise with machine learning in current approaches for low-resource scenarios.¹

1 Introduction

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Large Language Models (LLMs) have been widely adopted to tackle many traditional NLP tasks. Part of their success is attributed to their extensive pretraining, which enables them to generalize well across different domains and diverse linguistic structures. The rapid advancement of the field has led to techniques like in-context learning (ICL), which have further showcased the impressive generalization capabilities of LLMs, allowing them to adapt to new tasks and domains with minimal training data, often requiring only a few examples.

However, the effectiveness of these approaches remains uncertain in scenarios where pretrained models have limited prior knowledge of a language. This is particularly relevant for under-resourced languages, where training data is scarce or highly non-homogeneous. The performance of LLMs in these situations is not yet well understood, and it is

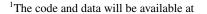




Figure 1: Geographical distribution of Otomi

unclear whether their impressive generalization capabilities can be replicated in all type of scenarios. This raises critical questions about the extent to which in-context learning and other recent innovations can bridge the gap in multilingual coverage.

In this work, we examine LLMs' generalization in under-resourced settings through the task of orthographic normalization across Otomi language variants. We develop two approaches: a rule-based method using a finite-state transducer (FST) and an in-context learning (ICL) approach that provides the model with string transduction examples. We focus on the scenarios that are particularly difficult for a transducer-based approach.

2 Background

In-context learning. A paradigm where language models learn to perform tasks by recognizing patterns from a few provided examples (demonstrations). Unlike supervised learning, which requires explicit parameter updates or a separate training stage. ICL enables models to make predictions by drawing analogies from the given context (Brown et al., 2020).

Although the mechanisms that underlie and influence this type of learning are not fully understood (Dong et al., 2024), it has proved successful in several domains. ICL techniques can be exploited

in domains with minimal training data, requiring only a few examples. For example, multilingual tasks involving under-resourced languages such as machine translation and interlinear glossing (Coleman et al., 2024; Clarke et al., 2024; Aycock et al., 2025).

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Therefore, ICL can be considered a form of generalization, but it has nuances compared to traditional ML.

Orthographic normalization of Otomi. Orthographic normalization is the process of converting written text into a standardized form within a language. For many dominant languages with long-established writing conventions, this task is either well-solved or of limited concern. However, for numerous other languages, particularly those lacking a long tradition of standardization due to sociopolitical factors, normalization remains a significant challenge. These languages often exhibit high internal diversity, making the task even more complex.

Otomi is a group of languages spoken in central Mexico (Fig. 1) that are part of the Oto-Manguean language family. They exhibit around nine dialectal variants (Lewis, 2009; INALI, 2008). This is an endangered language (round 300,000 speakers) that faces a scarcity of NLP tools and digital resources, plus there are several orthographic standards that speakers can use when writing their language.

Automatically converting text across the different standards is a crucial upstream task for developing more advanced language technologies. To our knowledge, no normalizers exist for Otomi. However, in low-resource settings, common approaches include building FSTs based on linguistic expertise (Johny et al., 2021; O'neil et al., 2023) and applying neural models like seq2seq (Lusetti et al., 2018; Lutgen et al., 2025).

3 Data and Methods

3.1 Orthographic norms

Documents written in Otomi exhibit variability, with multiple orthographic standards in use. This study focuses on the most common norms (Table 1).

Rule-based normalizer. We developed finitestate transducers (FST)² to convert text between different Otomi orthographic standards (norms), using a two-step process: first, mapping source text to a phonetic alphabet (IPA), and then generating

Norm	Description	Ref	
INALI	Norm designed by the Na-	(Inali, 2014)	
	tional Institute of Indige-		
	nous Laguages of Mexico		
OTS	Standard used in some	(De la Vega, 2017)	
	texts from variants in the		
	State of Mexico		
OTQ	Standard proposed mainly	(Hekking and de Jesús, 1989)	
	for Querétaro variants.		
Norm	Example sentence		
INALI	[]bijúgígó escuela pero ndichichithóhó		
OTQ	[]bijúgígó escuela pero nditxitxithóhó		
OTS	[]bikjúgígó escuela pero ndichichitjójó		

Table 1: Otomi orthographic standards

the target orthography. The transduction rules were informed by a linguist's expertise and existing documentation (Hernández-Green, 2016). The system was implemented using the HFST toolkit³. The FST converts text across standards without requiring a specified source norm. 115

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Neural approaches If we already have linguistic rules for converting text across orthographic norms, costly neural network-based methods may be unnecessary. However, transducers have limitations, as they lack flexibility and struggle to adapt to speakers' linguistic realities. We identify two key challenges where this approach falls short:

- Code-switching: Texts often include words from other languages, mainly Spanish and Nahuatl (e.g., *escuela* and *pero* in Table 1). Since FSTs apply rules that were specific for otomi, handling these cases is challenging. Additionally, language identification tools for many indigenous languages are limited.
- Ambiguity: Instances where the same input can be mapped to multiple outputs. A transduction rule may be favored over others, sometimes leading to incorrect mappings. In Otomi, we observed that the same grapheme can be transcribed to different phonemes based on the orthographic norm of the source text. This phonological ambiguity can lead to errors, which can propagate to the target norm realization.

With these challenges in mind, we tried to leverage the generalization and adaptability of neural approaches to tackle these complex cases. Specifically, we focus on using LLMs with ICL.

3.2 Few shot examples and test set

To compare FSTs and neural approaches, we built two test datasets: (a) $OTS \rightarrow INALI$: OTS as

²The tool will be available as an open source normalizer

³https://github.com/hfst/hfst/wiki

	OTS → INALI	OTS+OTQ→INALI
# sentences (test)	191	191
# sentences (few-shot)	10	10

Table 2: Datasets size for each normalization setting

the source norm and INALI as the target, and (b) <u>OTS+OTQ \rightarrow INALI</u>: sentences in OTS or OTQ with INALI as the target⁴.

These sentences⁵ were selected in all cases to ensure coverage of code-switching, ambiguity phenomena, and the typical transformations across the written standards. The initial transduction for obtaining the different normalizations of the test sentences was performed using FSTs, followed by manual correction of errors. The target norm is always INALI. We made this decision as it represents an institutional effort to unify standards; converting text to this standard is a typical case of use for speakers of this language.

Additionally, for each case, we manually selected 10 representative sentences to use as demonstrations for an ICL approach (Table 2).

3.3 LLMs

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For the ICL neural approaches, we used the following base models: *GPT-3.5 Turbo*, *GPT-4o*, *LLaMA 3.1*, and *LLaMA 3.3*.

Zero-shot setting: We prompt the system to generate normalized test sentences without prior examples. For $OTS \rightarrow INALI$, we explicitly specify the source and target norms. For $OTS+OTQ \rightarrow INALI$, we do not specify the source norm, only that input sentences may come from either norm and should be transduced into INALI.

Few-shot setting: We provide models with 10shot examples of orthographic transductions. For OTS→INALI we show 10 examples and specify that test sentences are in OTS, requiring INALI orthographic normalization. For OTS+OTQ→INALI, examples include transductions across INALI, OTS, and OTQ. During testing, the source norm is unspecified (either OTS or OTQ), and the model must generate the INALI normalization.

In all settings, we state the language and alert the system that there might be cases of code-switching and challenging transductions. See Appendix A for example prompts and details about the models.

4 Findings and Interpretation

To compare model performance, we measure the error rate between predicted orthographic normalizations and gold standards using Word Error Rate (WER) and Character Error Rate (CER), the latter being particularly useful for morphologically rich languages (James et al., 2024). Figure 2 shows the overall results.

Both settings, OTS \rightarrow INALI and OTS+OTQ \rightarrow INALI, exhibit a similar trend: the rule-based FST approach outperforms state-of-the-art LLMs in orthographic normalization of Otomi text when they are prompted in a zero-shot setting. This is notable since FSTs are computationally lightweight compared to the extensive resources (data and infrastructure) required for training large neural models. Despite their robustness across many tasks, these LLMs struggle to generalize to orthographic variations in an under-resourced language like Otomi

Surprisingly, providing neural models with fewshot examples drastically improves their performance. Models like GPT-40 show some of the worst performances in a zero-shot setting. Still, with just 10 examples provided, the error rate decreases, outperforming FSTs and becoming the best model for orthographic normalization. See for example, GPT-40_zero (*WER: 31.5% CER: 10.1%*) vs. GPT-40_few (*WER: 11.2% CER: 2.6%*) in OTS→INALI.

The plots show a clear trend: LLMs make more errors than FSTs but surpass them in a few-shot setting, highlighting the effectiveness of ICL. However, further exploration is needed, as some studies suggest LLMs' sensitivity to input and target probabilities may affect their emergent capabilities, especially in rare languages and text sequences (McCoy et al., 2024).

Interestingly, the most recent models perform worst in zero-shot, contrary to expectations given their sophistication. We conjecture that they may prioritize specialized reasoning capabilities over multilingual flexibility. However, their ICL capability remains remarkable.

Despite expectations, the OTS+OTQ→INALI setting yielded lower error rates, suggesting it was easier. We anticipated greater difficulty since neural models lacked source orthography information at test time. A possible reason is that OTQ and IN-ALI are similar, with few character transformations, making sentences in this norm relatively easy to normalize, even without source orthography information at test time.

 $^{^{4}}$ We omitted the OTQ \rightarrow INALI case since both standards are similar. Instead, we created a more challenging setup

⁵Our dataset is built from a small online Otomi corpus that gathers different sources and varieties https://tsunkua.elotl.mx/

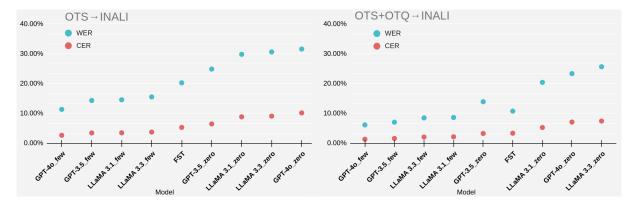


Figure 2: Performance of different models for Otomi orthographic normalization

4.1 Error analysis

We know that FSTs make mistakes when trying to normalize cases of code-switching and rule ambiguities since our approach has limited strategies to deal with that. But what errors are neural models most prone to? We analyzed test sentences with the highest CER and WER to answer this.

A key finding is that both zero-shot and fewshot neural models handle code-switching well, easily recognizing non-Otomi words and avoiding unnecessary transformations. This good handling performed by LLMs is expected for dominant languages like Spanish and English but also extends to proper names, place names, and loanwords of Nahuatl, an under-resourced language.

Errors in the few-shot setting mainly stemmed from failing to infer transduction rules (especially the ambiguous ones) and mixing up norms when trying to generate the target norm. In zeroshot, there were additional errors that introduced noise, e.g., difficulty in handling the graphemes that correspond to vowels and tones of Otomi, the systems often modified them or added accent marks even though this was not required for the normalization (e.g., $\ddot{a} \rightarrow aa, \underline{o} \rightarrow o$, umbabihe $\rightarrow \bar{u}mbabihe$), and hypercorrections that treated Otomi words as Spanish.

Original sentences vs gold standard: As a sanity check, we calculated error rates (WER, CER) between the source text and its INALI-standardized form (gold standard). These rates are typically high due to differences in written forms and should decrease when a normalization tool is applied. However, our case is unique since many test sentences contain code-switching, where several words should remain unchanged. This results in lower-than-expected error rates, making it unsuitable as a baseline. Still, few-shot models outperformed this measure. See Appendix B for details.

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5 Conclusions

Our work investigates the generalization limits of LLMs in an orthographic normalization task where the models likely have little prior knowledge of the language. To do this, we developed the first rulebased system for converting Otomi text across different norms and compared its performance against neural approaches, particularly in zero-shot and few-shot settings.

The test set was designed to assess the model's capacity to normalize cases that are difficult for a rule-based approach, i.e., code-switching and ambiguous orthographic rules.

One of the main takeaways is that when working in a limited resource scenario, one can leverage knowledge of the language to build an FST, and this can be more effective than simply doing zeroshot prompting with sophisticated LLMs. However, once you have a FST you can use it to generate demonstrations of orthographic transductions across orthographic norms and use them to improve a neural model. Our results showed that LLMs surpass FSTs with just 10 examples in fewshot settings, they were particularly good in codeswitching cases.

This highlights the potential of ICL to generalize from limited data, reducing the reliance on extensive labeled datasets while reaffirming the value of linguistically informed data. This could be promising for many practical applications, including developing technologies for under-resourced languages.

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6 Limitations

In this work, we examine the limits of LLMs' generalization through an orthographic normalization task using ICL approaches. While our conclusions are based on experimental results, a more comprehensive understanding of these limits may require testing across additional languages and tasks.

Although we cover the main orthographic norms used for this language, we excluded some lesserused variants and phonological transcriptions. Phonological transcriptions were used for building the FSTs but not for the LLM approach. Additionally, our experiments kept the target norm constant, potentially simplifying the task for LLMs. Future work could explore different normalization directions across multiple norms.

Finally, while we demonstrated that combining LLMs with ICL and linguistic knowledge is a promising approach for orthographic normalization in under-resourced languages, practical considerations remain. The cost-benefit of using large models for relatively simple tasks should be evaluated, especially regarding accessibility for speakers and researchers working with these languages. Additionally, concerns about data handling in commercial systems must be addressed to ensure ethical and practical deployment.

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A Models and prompts

GPT-3.5 Turbo, GPT-40, LLaMA 3.1, and *LLaMA 3.3* were used with default hyperparameters, setting a temperature of 0.2 via the API.

The LLaMA models were trained with 70B parameters, while the exact parameter count for GPT models is not publicly available.

Below are examples of orthographic conversions of strings from the OTS standard (State of Mexico Otomi) to the INALI standard for the Otomi language. Note that some loanwords retain their original orthography, and certain linguistic phenomena may affect the transformations.

Examples:

 OTS: r'atsa noya ra sahagún: ndxkjua k'oi florentino. jem'i xiii, xeni xiii (versión del náhuatl por ángel ma. garibay k.).
 INALI: r'atsa noya ra sahagún: ndxjua k'oi florentino. hem'i xiii, xeni xiii (versión del náhuatl por ángel ma. garibay k.).

2. [...]

Task:

- Using these examples as a guide, predict the INALI orthographic standardization for the following sentence. Return only the standardized sentence without any explanation.
- OTS: (test sentence)
- INALI: [Your prediction here]
- Prompt for OTS+OTQ→INALI (few-shot)

Below are examples of orthographic conversions of strings from the OTS standard (State of Mexico Otomi) and the OTQ standard (Otomi of Queretaro) to the INALI standard for the Otomi language. Note that some loanwords retain their original orthography, and certain linguistic phenomena may affect the transformations. 476

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Examples:

 OTS: r'atsa noya ra sahagún: ndxkjua k'oi florentino. jem'i xiii, xeni xiii (versión del náhuatl por ángel ma. garibay k.).
 OTQ: r'atsa noya ra sahagún: ndxjua k'oi florentino. hem'i xiii, xeni xiii (versión del náhuatl por ángel ma. garibay k.).

INALI: r'atsa noya ra sahagún: ndxjua k'oi florentino. hem'i xiii, xeni xiii (versión del náhuatl por ángel ma. garibay k.).

2. [...]

- Task:
- Using these examples as a guide, predict the INALI orthographic standardization for the following sentence. The sentence originates from either the OTS or OTQ standards, but its source is unspecified. Return only the standardized sentence without any explanation.

Sentence: (test sentence)

- INALI: [Your prediction here]
 - Prompt for OTS→INALI (zero-shot)
- Predict the INALI orthographic standardization for the following Otomi sentence written in the OTS standard (State of Mexico Otomi) (please return only the normalized sentences, no explanations). Note that some loanwords retain their original orthography, and certain linguistic phenomena may affect the transformations.
- OTS: (test sentence)
- INALI: [Your prediction here]
 - Prompt for OTS+OTQ \rightarrow INALI (zero-shot)
- predict the INALI orthographic standardization
 for the following sentence. The sentence
 originates from either the OTS (State of
 Mexico Otomi) or OTQ (Queretaro Otomi)
 standards, but its source is unspecified.
 Return only the standardized sentence
 without any explanation.
 Sentence: (test sentence)

INALI: [Your prediction here]

B Original sentences vs gold standard

The following plots show the WER and CER for the different models. We have added a dotted line that indicates the error rate when comparing the source text and its INALI standardized form (gold standard), i.e., how dissimilar the source and target sentence are when no normalizer has been applied.

