# EFFICIENT DIFFUSION POSTERIOR SAMPLING FOR DOSE REDUCED CT RECONSTRUCTION

Anonymous authors

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# ABSTRACT

The clinical efficacy of Computed Tomography (CT) is well-established, yet concerns regarding its radiation exposure persist. To mitigate this risk, a reduction in X-ray photon count or projection views is typically pursued, albeit at the expense of image quality. In this study, we introduce an innovative diffusion posterior sampling approach for CT image reconstruction at reduced radiation doses. This method initiates with a predictive step, leveraging data enhancement on the posterior approximation derived from a pre-trained diffusion model and the measurement data. Subsequently, a forward sampling phase ensues, which maps the output to a noisy timestep, followed by a diffusion estimation process. Additionally, we propose an acceleration strategy that employs superior initialization to significantly curtail the sampling steps required. Our experimental findings indicate that this method not only enhances the quality of reconstructed images by an average of 3.5 db but also accelerates the process to over ten times faster than existing diffusion-based techniques. These outcomes underscore the method's potential in clinical settings.

#### 1 INTRODUCTION

Computed tomography (CT) imaging technology has undergone significant advancements in the past decades, leading to substantial improvements in diagnostic performance. The CT reconstruction problem can be written as the following ill-posed inverse problem:

$$= Ax + n \tag{1}$$

where y, x denote the measured projection and the unknown CT image to be reconstructed; A is the forward projection operator and n is the measurement noise which is often modelled by i.i.d. random variables. However, the increasing use of medical CT has raised concerns about potential radiation risks, including genetic damage and cancer. Consequently, there is a pressing need to reduce radiation doses. The two primary strategies for dose reduction are lowering the X-ray tube current and decreasing the number of scanning views (Slovis, 2002). Nonetheless, these approaches inevitably introduce noise and artifacts in the reconstructed images, which can significantly degrade image quality and complicate accurate clinical diagnosis.

040 In existing literature, sinogram filtering methods, such as structural adaptive filtering (Balda et al., 041 2012), bilateral filtering (Manduca et al., 2009), and penalized weighted least-squares (Wang et al., 042 2006), have been proposed to enhance LDCT image quality by applying filters to sinogram data. 043 However, due to low signal-to-noise ratios, these methods often fail to produce high-quality images. 044 Iterative reconstruction methods address this by optimizing an objective function that incorporates prior knowledge from both the sinogram and image domains. Regularization techniques, such as total variation (TV) regularization (Sidky & Pan, 2008), wavelet-based sparsity priors (Jia et al., 046 2011), nonlocal total variation (Jia et al., 2010), and low-rank patch priors (Cai et al., 2014), are 047 commonly used in these approaches. These sparse regularization models can be efficiently solved 048 using first-order methods like ADMM (Boyd et al., 2011) or the Split Bregman method (Goldstein & Osher, 2009), enhancing CT image quality by leveraging statistical properties and prior knowledge. 050

In recent years, deep learning (DL) methods have attracted significant attention due to their impressive
 capabilities in reconstructing LDCT images. DL-based image postprocessing techniques utilize deep
 neural networks (DNNs) as denoisers to eliminate artifacts in images reconstructed by conventional
 filtered back projection (FBP) methods. Typical networks include FBPConvNet (Jin et al., 2017),

054 REDCNN(Chen et al., 2017), EDCNN (Liang et al., 2020) and so on. As the artifacts in the reconstructed images often cannot be modeled as independent random noise, the performance 056 gain brought by postprocessing method is limited. The optimization unrolling scheme (Adler & 057 Öktem, 2018; Ding et al., 2020; Chen et al., 2018) and plug-and-play methods (Ye et al., 2018) are 058 indicated more effective approaches. Unlike traditional post-processing denoising networks, these methodologies derive their foundation from iterative reconstruction processes. By unrolling iterative algorithms or incorporating pre-trained denoising sub-networks, these methods facilitate the design 060 of advanced deep reconstruction networks. However, these methods heavily depend on paired low 061 and normal dose images for supervised learning, which is both challenging and costly in clinical 062 settings. Acquiring such paired data increases workload, expenses, and raises concerns about patient 063 safety and privacy. Additionally, these approaches often produce over-smoothed or hallucinated 064 images, which can obscure critical details or introduce errors, potentially leading to misdiagnoses by 065 radiologists. 066

Recently, the score-based diffusion model (Ho et al., 2020; Song et al., 2021c) has garnered significant 067 attention due to its innovative approach to generative modeling, which effectively reconstructs data 068 by progressively denoising purely gaussian noise. The properties of the diffusion model, such as 069 its robustness to mode collapse and its capacity for generating high-fidelity outputs, indicate its potential in the field of image generation. Diffusion models have also shown remarkable progress in 071 solving inverse problems, including super-resolution (Saharia et al., 2022), image inpainting (Song 072 et al., 2021c), Magnetic Resonance Image (MRI) reconstruction (Song et al., 2021b), and CT 073 reconstruction (Song et al., 2021b; Chung et al., 2022). Many of these works preserve the original 074 training process but modify the inference procedure to enable sampling from a conditional distribution. 075 This kind of approach utilizes the pre-trained score function as a generative prior for the data distribution, thus avoiding the need for paired data. Such flexibility allows for application across 076 various tasks while maintaining superior reconstruction quality. However, achieving high-quality 077 reconstruction generally requires a large number of diffusion posterior sampling steps, typically 1000 or 2000 steps as noted in (Chung et al., 2022; Song et al., 2021b). This requirement leads to 079 significant computational costs due to repeated forward-backward operator evaluations and inference 080 steps, which limits the efficiency in solving inverse problems. In imaging inverse problems, it is 081 crucial to reduce noise and artifacts in the final image while preserving sharpness. The inherent 082 randomness of diffusion models can introduce unpredictable elements into the reconstructed image if 083 insufficient projection constraints are applied. 084

To enhance both the efficiency and quality of CT image reconstruction using diffusion model, we 085 introduce an Efficient Diffusion Posterior Sampling (EffiDPSRecon) scheme. This method integrates 086 diffusion sampling as generative priors within the iterative reconstruction process. Unlike previous 087 algorithms that rely on a single-step gradient descent, resulting in an inaccurate approximation of 088 the posterior log-likelihood, our method utilizes the conjugate gradient algorithm, initialized with 089 denoised data, to improve data fidelity and accelerate convergence. Additionally, we introduce a 090 forward resampling step, mapping the denoised data back into the noisy data space before evaluating 091 a diffusion step. To further enhance efficiency, we initialize the process by incorporating prior 092 information from Filtered Backprojection (FBP) images, which reduces the required number of sampling steps. Experiments on dose-reduced CT reconstruction, including both low-dose noisy CT 093 (LDCT) and undersampled (Sparse-view CT) data, demonstrate that our approach reduces the number 094 of diffusion steps from 1000 to just 50, while maintaining high image fidelity, with an average PSNR 095 improvement of 3.5 dB. These results highlight the effectiveness of the proposed EffiDPSRecon 096 method for clinical applications.

The remainder of this paper follows this structure: Section 2 provides a concise overview of prior
literature relevant to the subject. Section 3 outlines the specifics of the proposed methodology.
Section 4 showcases the experimental evaluation and comparison to other methods. Ultimately, our
conclusions are presented in Section 5.

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# 2 BACKGROUND

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106 **Diffusion models** The Denoising Diffusion Probabilistic Model (DDPM) (Ho et al., 2020), com-107 monly referred to as one of the most classic diffusion models, comprises both forward and reverse processes. The forward process defines a transformation path from a clear image  $x_0$  to completely random gaussian noise which is governed by the following Markov chain with N + 1 states: 

$$q\left(\boldsymbol{x}_{1:N} \mid \boldsymbol{x}_{0}\right) = \prod_{t=1}^{N} q\left(\boldsymbol{x}_{t} \mid \boldsymbol{x}_{t-1}\right)$$
(2)

Here,  $q(\boldsymbol{x}_t | \boldsymbol{x}_{t-1})$  is a Gaussian distribution defined by: 

$$q\left(\boldsymbol{x}_{t} \mid \boldsymbol{x}_{t-1}\right) = \mathcal{N}\left(\boldsymbol{x}_{t} \mid \sqrt{1 - \beta_{t}} \boldsymbol{x}_{t-1}, \beta_{t} \boldsymbol{I}\right),$$
(3)

where  $\beta_t \in [0, 1]$  is an increasing schedule which controls the noise level. Using the properties of the Gaussian distribution, one can directly sample  $x_t$  with given  $x_0$  as follows:

$$q\left(\boldsymbol{x}_{t} \mid \boldsymbol{x}_{0}\right) = \mathcal{N}\left(\boldsymbol{x}_{t} \mid \sqrt{\bar{\alpha}_{t}}\boldsymbol{x}_{0}, (1 - \bar{\alpha}_{t})\boldsymbol{I}\right),$$
(4)

for  $\alpha_t = 1 - \beta_t$  and  $\bar{\alpha}_t = \prod_{i=1}^t \alpha_i$ . As t gradually increases,  $x_N$  finally becomes noise following standard Gaussian distribution. The training loss of DDPM is designed for noise prediction in the reverse sampling process: 

$$\mathcal{L} = \mathbb{E}_{\boldsymbol{x}_0} \mathbb{E}_{\boldsymbol{\epsilon} \sim \mathcal{N}(0, \boldsymbol{I}), t} \left\| \boldsymbol{\epsilon} - \boldsymbol{\epsilon}_{\theta} \left( \sqrt{\bar{\alpha}_t} \boldsymbol{x}_0 + \sqrt{1 - \bar{\alpha}_t} \boldsymbol{\epsilon}, t \right) \right\|_2^2.$$
(5)

Starting from random gaussian noise  $x_T$ , the reverse sampling of DDPM can be written as: 

$$\boldsymbol{x}_{t-1} = \frac{1}{\sqrt{\alpha_t}} \left( \boldsymbol{x}_t - \frac{\beta_t}{\sqrt{1 - \bar{\alpha}_t}} \boldsymbol{\epsilon}_{\theta} \left( \boldsymbol{x}_t, t \right) \right) + \sigma_t \boldsymbol{z}.$$
(6)

Song et al. (2021c) further proposed a unified framework which transforms the DDPM from discretetime formulation to continuous-time counterpart through stochastic differential equation (SDE). The corresponding SDE for the forward process of DDPM can be formulated as:

$$d\boldsymbol{x} = -\frac{1}{2}\beta(t)\boldsymbol{x}dt + \sqrt{\beta(t)}d\boldsymbol{w},$$
(7)

where  $\beta(t)$  represents the noise schedule of the forward process, corresponding to the continuous form of  $\beta_t$ , and w is the standard Wiener process. Then the reverse SDE for sampling is:

$$d\boldsymbol{x} = \left[-\frac{\beta(t)}{2}\boldsymbol{x} - \beta(t)\nabla_{\boldsymbol{x}}\log p_t\left(\boldsymbol{x}\right)\right]dt + \sqrt{\beta(t)}d\overline{\boldsymbol{w}}$$
(8)

where  $\overline{w}$  is the Wiener process for the reverse SDE and the term  $\nabla_x \log p_t(x)$  is the score function, which is approximated by a neural network  $s_{\theta}(x_t, t)$  in practice. The connection between score function  $s_{\theta}$  and noise prediction  $\epsilon_{\theta}$  in DDPM can be formulated approximately as  $s_{\theta}(x_t, t) \approx$  $\frac{\boldsymbol{\epsilon}_{\theta}(\boldsymbol{x}_t, t)}{\sqrt{1 - \bar{\alpha}_t}}$ 

**Diffusion Denoising Implicit Model (DDIM)** In order to sample with diffusion models more efficiently, Song et al. proposed DDIM (Song et al., 2021a), where the diffusion process can be extended from Markovian to non-Markovian and (6) can be rewritten as: 

$$\boldsymbol{x}_{t-1} = \sqrt{\bar{\alpha}_{t-1}} \hat{\boldsymbol{x}}_0\left(\boldsymbol{x}_t\right) + \sqrt{1 - \bar{\alpha}_{t-1} - \sigma_{\eta_t}^2} \cdot \boldsymbol{\epsilon}_\theta\left(\boldsymbol{x}_t, t\right) + \sigma_{\eta_t} \boldsymbol{\epsilon}_t, \tag{9}$$

where  $\epsilon_t$  is standard Gaussian noise and  $\hat{x}_0(x_t)$  denotes the predicted  $x_0$  from  $x_t$  with Tweedie's formula: 

$$\hat{\boldsymbol{x}}_{0}\left(\boldsymbol{x}_{t}\right) := E\left[\boldsymbol{x}_{0} \mid \boldsymbol{x}_{t}\right] = \frac{1}{\sqrt{\bar{\alpha}_{t}}}\left(\boldsymbol{x}_{t} + (1 - \bar{\alpha}_{t})\nabla_{\boldsymbol{x}_{t}}\log p_{t}\left(\boldsymbol{x}_{t}\right)\right) \approx \frac{\boldsymbol{x}_{t} - \sqrt{1 - \bar{\alpha}_{t}}\boldsymbol{\epsilon}_{\theta}\left(\boldsymbol{x}_{t}, t\right)}{\sqrt{\bar{\alpha}_{t}}}, \quad (10)$$

and the magnitude  $\sigma_{\eta_t}$  of noise  $\epsilon_t$  controls how stochastic the diffusion process is.  $\sigma_{\eta_t} = 0$  yields fully deterministic sampling while  $\sigma_{\eta_t} = \sqrt{(1 - \bar{\alpha}_{t-1})/(1 - \bar{\alpha}_t)} \sqrt{1 - \bar{\alpha}_t/\bar{\alpha}_{t-1}}$  yields the original sampling pattern of DDPM.

Solving linear inverse problems with diffusion models. Given measurements  $y \in \mathbb{R}^m$  and a forward measurement operator A, diffusion models effectively address inverse problems by substituting the score function in Equation (8) with the conditional score function  $\nabla_{x_t} \log p(x_t \mid y)$ . By applying Bayes' rule, this conditional score can be expressed as:

$$\nabla_{\boldsymbol{x}_t} \log p_t(\boldsymbol{x}_t \mid \boldsymbol{y}) = \nabla_{\boldsymbol{x}_t} \log p_t(\boldsymbol{x}_t) + \nabla_{\boldsymbol{x}_t} \log p_t(\boldsymbol{y} \mid \boldsymbol{x}_t).$$
(11)

This decomposition supports the formulation of a reverse SDE as follows:

$$d\boldsymbol{x} = \left[-\frac{\beta(t)}{2}\boldsymbol{x} - \beta(t)\left(\nabla_{\boldsymbol{x}_t}\log p_t(\boldsymbol{x}_t) + \nabla_{\boldsymbol{x}_t}\log p_t(\boldsymbol{y} \mid \boldsymbol{x}_t)\right)\right]dt + \sqrt{\beta(t)}d\overline{\boldsymbol{w}}.$$
 (12)

With a pre-trained score diffusion model  $s_{\theta}(x_t, t) \approx \nabla_{x_t} \log p(x_t)$ , posterior sampling is achieved by merely modifying the sampling process. However, challenges arise primarily due to the lack of an analytical expression for the likelihood term  $\nabla_{x_t} \log p(y \mid x_t)$ . To address this, researchers have explored two primary strategies: the first involves applying alternating projections onto the measurement subspace to avoid direct use of the likelihood such as Manifold Constraint Gradient (MCG) (Chung et al., 2022), and the second entails approximating the likelihood under reasonable assumptions (Chung et al., 2023). For example, Chung et al. (2023) developed a technique known as diffusion posterior sampling (DPS) with the following update steps: 

$$\boldsymbol{x}_{t-1}' = \sqrt{\bar{\alpha}_{t-1}} \hat{\boldsymbol{x}}_0 \left( \boldsymbol{x}_t \right) + \sqrt{1 - \bar{\alpha}_{t-1}} - \sigma_{\eta_t}^2 \cdot \boldsymbol{\epsilon}_\theta \left( \boldsymbol{x}_t, t \right) + \sigma_{\eta_t} \boldsymbol{\epsilon}_t,$$

$$\boldsymbol{x}_{t-1} = \boldsymbol{x}_{t-1}' - \zeta \nabla_{\boldsymbol{x}_t} \| \boldsymbol{y} - \boldsymbol{A}(\hat{\boldsymbol{x}}_0(\boldsymbol{x}_t)) \|_2^2,$$
(13)

where  $\zeta \in \mathbb{R}$  is a tunable step-size parameter. Despite these advancements, it is crucial to note that these methods sometimes struggle to perform effective posterior sampling and may exhibit slow convergence rates, which limits their practical application in real-world scenarios.

#### 3 Methods

In this section, we introduce our proposed method, namely Efficient Diffusion Posterior Sampling (EffiDPSRecon), which aim to improve the sampling speed and reconstruction quality of existing diffusion-based posterior sampling methods for inverse problems. The key idea of EffiDPSRecon is to incorporate measurement information at multiple stages of the diffusion sampling process to enhance data consistency and accelerate the sampling process with a FBP sampled initialization. In the following, we first present our method in steps and then draw connections and difference to existing DPS based methods.

### 3.1 EFFICIENT DIFFUSION POSTERIOR SAMPLING RECONSTRUCTION

Based on a pre-trained diffusion model on CT images, we aim to develop an efficient sampling method from observed projection measurements y. The overall reconstruction procedure is illustrated in Fig. 1. In the following, we explain the procedure from  $x_t$  to  $x_{t-1}$  in detail.

**Posterior Mean Estimation** Considering the DDPM-based forward process  $p_t(x_t | x_0) = \mathcal{N}(x_t; \sqrt{\bar{\alpha}_t} x_0, (1 - \bar{\alpha}_t) I)$ , the posterior mean conditioned on  $x_t$  and y is given by:

$$\hat{\boldsymbol{x}}_{0}(\boldsymbol{x}_{t},\boldsymbol{y}) := E[\boldsymbol{x}_{0} \mid \boldsymbol{x}_{t},\boldsymbol{y}] = \frac{1}{\sqrt{\bar{\alpha}_{t}}} \left(\boldsymbol{x}_{t} + (1 - \bar{\alpha}_{t}) \nabla_{\boldsymbol{x}_{t}} \log p_{t}(\boldsymbol{x}_{t} \mid \boldsymbol{y})\right),$$
(14)

which follows from the properties of Gaussian distributions and the application of Tweedie's formula.

Using the Bayes' rule from Equation (11), and substituting the pre-trained score approximation  $\nabla_{\boldsymbol{x}_t} \log p_t(\boldsymbol{x}_t) \approx -\frac{\boldsymbol{\epsilon}_{\boldsymbol{\theta}}(\boldsymbol{x}_t, t)}{\sqrt{1-\bar{\boldsymbol{x}}_t}}$ , we obtain:

$$\nabla_{\boldsymbol{x}_t} \log p_t(\boldsymbol{x}_t) \approx -\frac{\nabla(\boldsymbol{x}_t)}{\sqrt{1-\bar{\alpha}_t}}, \text{ we of }$$

$$E[\boldsymbol{x}_0 \mid \boldsymbol{x}_t, \boldsymbol{y}] = \frac{1}{\sqrt{\bar{\alpha}_t}} \left( \boldsymbol{x}_t + (1 - \bar{\alpha}_t) \nabla_{\boldsymbol{x}_t} \log p_t(\boldsymbol{y} \mid \boldsymbol{x}_t) - \sqrt{1 - \bar{\alpha}_t} \boldsymbol{\epsilon}_{\boldsymbol{\theta}}(\boldsymbol{x}_t, t) \right).$$
(15)

Assuming Gaussian measurement noise, where  $\boldsymbol{y} \sim \mathcal{N} \left( \boldsymbol{A} \boldsymbol{x}_0, \sigma^2 \mathbf{I} \right)$ , the gradient  $\nabla_{\boldsymbol{x}_t} \log p_t(\boldsymbol{y} \mid \boldsymbol{x}_t)$ can be approximated by:

$$\nabla_{\boldsymbol{x}_{t}} \log p_{t}(\boldsymbol{y} \mid \boldsymbol{x}_{t}) \approx -\frac{1}{\sigma^{2}} \nabla_{\boldsymbol{x}_{t}} \|\boldsymbol{y} - \boldsymbol{A} \, \hat{\boldsymbol{x}}_{0}(\boldsymbol{x}_{t})\|_{2}^{2},$$
(16)



Figure 1: Overall diagram of EffiDPSRecon. The steps within the red box correspond to a detailed breakdown of one iteration from  $x_t$  to  $x_{t-1}$  in the overall procedure. The left section illustrates the transition between different time steps, starting from  $x_{N'}$  to  $x_0$ .

where  $\hat{x}_0(x_t)$  is defined from Tweedie's formula (10). Substituting this approximation back into the expression for the posterior mean estimate, we have:

$$\hat{\boldsymbol{x}}_0(\boldsymbol{x}_t, \boldsymbol{y}) \approx \hat{\boldsymbol{x}}_0(\boldsymbol{x}_t) - \rho_t \, \nabla_{\boldsymbol{x}_t} \left\| \boldsymbol{y} - \boldsymbol{A} \, \hat{\boldsymbol{x}}_0(\boldsymbol{x}_t) \right\|_2^2, \tag{17}$$

where  $\rho_t$  is a step size parameter.

> **Data Enhancement Projection** To further enhance data consistency, we project the updated posterior mean  $\hat{x}_0(x_t, y)$  onto the data manifold defined by the normal equation:

$$\mathcal{M}_0 = \{ \boldsymbol{x} \mid \boldsymbol{A}^T \boldsymbol{A} \boldsymbol{x} = \boldsymbol{A}^T \boldsymbol{y} \}$$
(18)

i.e.

$$\hat{\boldsymbol{x}}_0(\boldsymbol{y}) := \mathcal{P}_{\mathcal{M}_0}[\hat{\boldsymbol{x}}_0(\boldsymbol{x}_t, y)], \tag{19}$$

where  $\mathcal{P}_{\mathcal{M}_0}$  denotes the projection onto  $\mathcal{M}_0$ . This projection enhances data consistency by ensuring that the estimated  $\hat{x}_0(y)$  satisfies the measurement constraints. For this purpose, we adopted Krylov subspace methods by employing a k-step Conjugate Gradient (CG) algorithm to efficiently approximate this projection, starting from  $\hat{x}_0(x_t, y)$ :

$$\hat{\boldsymbol{x}}_0(\boldsymbol{y}) \leftarrow \operatorname{CG}(\boldsymbol{A}^T \boldsymbol{A}, \boldsymbol{A}^T \boldsymbol{y}, \hat{\boldsymbol{x}}_0(\boldsymbol{x}_t, \boldsymbol{y}), k)$$
 (20)

**Forward Sampling** In the previous step, we considered the data enhanced posterior mean  $\hat{x}_0(y)$ estimation, we now propose to sample to the time t using the forward process of DDPM as shown in (4):

$$\boldsymbol{x}_t(\boldsymbol{y}) := \sqrt{\bar{\alpha}_t} \hat{\boldsymbol{x}}_0(\boldsymbol{y}) + \sqrt{1 - \bar{\alpha}_t} \boldsymbol{z}$$
(21)

where  $z \sim \mathcal{N}(0, I)$ . This step effectively samples  $x_t(y)$  from  $p_t(x_t \mid \hat{x}_0(y))$ , aligning with the forward process of DDPM and ensuring that the sample corresponds to the appropriate noise level at timestep t. 

**Reverse Sampling with DDIM** Finally, we perform one step of DDIM reverse sampling to obtain  $\boldsymbol{x}_{t-1}$  from  $\boldsymbol{x}_t(\boldsymbol{y})$ :

$$\boldsymbol{x}_{t-1} := \sqrt{\bar{\alpha}_{t-1}} \hat{\boldsymbol{x}}_0(\boldsymbol{x}_t(\boldsymbol{y})) + \sqrt{1 - \bar{\alpha}_{t-1}} \cdot \boldsymbol{\epsilon}_{\theta} \left( \boldsymbol{x}_t(\boldsymbol{y}), t \right)$$
(22)

where 

$$\hat{\boldsymbol{x}}_{0}(\boldsymbol{x}_{t}(\boldsymbol{y})) := \frac{\boldsymbol{x}_{t}(\boldsymbol{y}) - \sqrt{1 - \bar{\alpha}_{t}} \boldsymbol{\epsilon}_{\theta} \left(\boldsymbol{x}_{t}(\boldsymbol{y}), t\right)}{\sqrt{\bar{\alpha}_{t}}}$$
(23)

which ensures that all components in the reverse sampling process remain consistent with the measurement y.

Accelerated Sampling with Improved Initialization We observe that the estimate  $\hat{x}_0$  may deviate significantly from the ground truth when t is large (i.e., at early timesteps in reverse process). Data consistency is more beneficial during the later stages of the sampling process when t approaches zero. Therefore, we propose to start the reverse diffusion from a much smaller timestep N' < N with an appropriate initialization, which significantly reducing the number of reverse diffusion steps in practice.

276 Specifically, we initialize  $x_{N'}$  by sampling from the forward process of DDPM conditioned on an 277 initial estimate, such as the FBP result  $x_{FBP}$ : 278

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$$\boldsymbol{x}_{N'} \sim \mathcal{N}(\sqrt{\bar{\alpha}_{N'}} \boldsymbol{x}_{\text{FBP}}, \sqrt{1 - \bar{\alpha}_{N'}} \boldsymbol{I})$$
 (24)

Intuitively, this approach provides a better starting point that incorporates measurement informa tion, which allows to reduce the required number of diffusion sampling steps without sacrificing
 reconstruction performance.

Overall, our EffiDPSRecon algorithm consists of two main components: (1) a forward diffusion sampling up to timestep N' using the FBP result  $x_{N'}$  as a better initialization, and (2) a reverse conditional diffusion down to t = 0 with the data enhancement techniques on posterior mean estimation mentioned earlier. The proposed EffiDPSRecon algorithm is summarized in Algorithm 1.

Algorithm 1 EffiDPSRecon

289 **Require:** Number of steps N', y290 1:  $\boldsymbol{x}_{N'} \sim \mathcal{N}(\sqrt{\bar{\alpha}_{N'}}\boldsymbol{x}_{\text{FBP}}, \sqrt{1-\bar{\alpha}_{N'}}\boldsymbol{I})$ 291 2: for t = N' to 0 do 292 3:  $\hat{\boldsymbol{\varepsilon}}_t = \boldsymbol{\varepsilon}_{\theta}(\boldsymbol{x}_t, t)$  $\hat{x}_0 \leftarrow \frac{1}{\sqrt{\bar{lpha}_t}} \left( \hat{x}_t - \sqrt{1 - \bar{lpha}_t} \hat{arepsilon}_t \right) \quad \triangleright \text{ Tweedie's formula}$ 293 4:  $\hat{\boldsymbol{x}}_0(\boldsymbol{x}_t, \boldsymbol{y}) \leftarrow \hat{\boldsymbol{x}}_0 - \rho_t \nabla_{\boldsymbol{x}_t} \| \boldsymbol{y} - \boldsymbol{A} \hat{\boldsymbol{x}}_0 \|_2^2 \quad \triangleright \text{Posterior mean}$ 5: 295  $\hat{\boldsymbol{x}}_0(\boldsymbol{y}) \leftarrow \operatorname{CG}(\boldsymbol{A}^T\boldsymbol{A}, \boldsymbol{A}^T\boldsymbol{y}, \hat{\boldsymbol{x}}_0(\boldsymbol{x}_t, \boldsymbol{y}), k)$ 6: 296  $oldsymbol{z}\sim\mathcal{N}(oldsymbol{0},oldsymbol{I})$ 7: 297 8:  $\boldsymbol{x}_t(\boldsymbol{y}) \leftarrow \sqrt{\bar{lpha}_t} \hat{\boldsymbol{x}}_0(\boldsymbol{y}) + \sqrt{1 - \bar{lpha}_t} \boldsymbol{z} \quad \triangleright \text{ Forward sampling}$ 298  $\hat{m{x}}_0(m{x}_t(m{y})) \leftarrow rac{m{x}_t(m{y}) - \sqrt{1 - ar{lpha}_t}m{\epsilon}_ heta(m{x}_t(m{y}), t)}{\sqrt{ar{lpha}_t}}$ 9: 299  $\boldsymbol{x}_{t-1} \leftarrow \sqrt{\bar{\alpha}_{t-1}} \hat{\boldsymbol{x}}_0(\boldsymbol{x}_t(\boldsymbol{y})) + \sqrt{1 - \bar{\alpha}_{t-1}} \cdot \boldsymbol{\epsilon}_{\theta}(\boldsymbol{x}_t(\boldsymbol{y}), t) \quad \triangleright \text{DDIM sampling}$ 10: 300 11: end for 301 12: return  $\boldsymbol{x}_0$ 302

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#### 3.2 CONNECTION TO DPS AND MCG

By choosing  $\rho_t = \frac{\zeta}{\sqrt{\alpha_{t-1}}}$  and setting  $\sigma_{\eta_t} = 0$  in Equation (13), the reverse sampling iteration of DPS can be rewritten as:

$$\boldsymbol{x}_{t-1} = \sqrt{\bar{\alpha}_{t-1}} \, \hat{\boldsymbol{x}}_0 \left( \boldsymbol{x}_t, \boldsymbol{y} \right) + \sqrt{1 - \bar{\alpha}_{t-1}} \, \boldsymbol{\varepsilon}_{\theta} \left( \boldsymbol{x}_t, t \right). \tag{25}$$

This equation shows that DPS performs reverse sampling by adding noise from the score function approximation to the measurement-consistent posterior mean  $\hat{x}_0(x_t, y)$ . The MCG method enhances this approach by applying a projection onto the measurement subspace through a one-step gradient update after the DPS update, aiming to boost data consistency.

314 The workflow of one-time iteration of our EffiDPSRecon method compared with DPS and MCG 315 is shown in Figure 2. The concept of our method relies on a data enhancement on a "predicted" 316 posterior mean and use the reverse DDIM as a "corrector" after re-sampling to appropriate noise 317 levels through the forward process. Intuitively, the estimation of our algorithm aligns better with both 318 forward and backward process as well as the inverse problems. The process is further improved by 319 initializing from a better estimate (e.g., the FBP result) instead of starting from a zero-mean Gaussian, providing a starting point closer to the true data manifold, which potentially beneficial for solving 320 inverse problems. 321

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- **Computational Cost Analysis** In diffusion-based CT reconstruction, computational costs mainly arise from two factors: (1) the number of function evaluations (NFE) of the neural network  $\epsilon_{\theta}$ , and



Figure 2: Diagrams of one iteration in DPS (a), MCG (b), compared to EffiDPSRecon Fig. 1.

(2) the number of Radon transform operations involving A or its adjoint  $A^T$ . For each iteration, DPS and MCG require one NFE each, while our method requires two occurred in the first posterior mean step and the last DDIM step. Regarding A and  $A^T$  operations, DPS requires two per iteration, MCG requires four, and our method requires 2k + 2, where k is the number of CG iterations in the projection step, which is typically set from 2 to 5.

Although our method incurs a higher per-iteration cost due to the CG computations, it achieves effective posterior sampling in significantly fewer iterations than DPS and MCG (1000 *vs* 50) with data enhancement strategy. This reduction in total iterations offsets the increased per-iteration cost, resulting in overall computational efficiency.

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### 4 EXPERIMENTS

4.1 DATASETS

351 The simulated data from human abdomen images provided by Mayo Clinic for the AAPM Low 352 Dose CT Grand Challenge (Moen et al., 2021) are used for evaluation. The dataset contains 2588 353 NDCT images of thickness 3mm from ten patients resized to  $256 \times 256$  resolution. For training, 354 1923 images from eight patients were used, while the imaging performance was tested on 50 images 355 randomly selected from the remaining two patients. The simulated geometry for projection data 356 includes a flat-panel detector with the source-to-center distance of 535 mm, and the source-to-detector 357 distance of 1024 mm, pixel size of 0.5 mm and 768 detector bins for each projection. The LDCT 358 projections are simulated with both Poisson noise and electronic noise on the corresponding normal 359 dose projection data as follows:

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 $\bar{\boldsymbol{y}}_i \sim \text{Poisson}\{I_i \exp(-[\boldsymbol{A}\boldsymbol{x}]_i)\} + \text{Normal}(0, \sigma_e^2),$ (26)

where x denotes the attenuation map with  $x_j$  being the linear attenuation coefficient in the j-th pixel for j = 1, ..., n and n is the total number of pixels. The matrix A is the  $m \times n$  system matrix with entries  $a_{ij}$ , and  $[Ax]_i = \sum_{j=1}^n a_{ij}x_j$  denotes the line integral of the attenuation map x along the i-th X-ray with i = 1, ..., m.  $I_i$  is the intensity of incident X-ray incorporating X-ray source illumination and the detector efficiency.  $\sigma_e^2$  denotes the electronic noise variance. To reconstruct the attenuation map x, we take the logarithm transform on the noisy measurements  $\bar{y}$  to generate the noisy sinogram y.

We conducted an evaluation of our EffiDPSRecon algorithm under two dose reduction scenarios: (1) Fully-sampled LDCT reconstruction: This scenario involved varying radiation dose levels, specifically  $I_i = 10^4, 5 \times 10^4, 10^5$ , as outlined in (26); (2) Sparse-view CT reconstruction: In this case, the number of projection views was varied, with configurations set at 32, 64, and 96 views respectively.

In the execution of DDPM framework in our EffiDPSRecon algorithm, we employed a linear sequence for the variance schedule, with the starting and ending values of  $\beta_1 = 10^{-4}$  and  $\beta_T = 0.02$ respectively. The training is performed using PyTorch interface on a NVIDIA A100 SXM4 80GB GPU. An Adam optimizer is used with the momentum parameter  $\beta = 0.99$ , mini-batch size set to be 8 and, the learning rate set to be  $10^{-4}$ . For reconstruction, we choose N' = 50 for all the tasks.

#### 378 4.2 METHODS FOR COMPARISON 379

380 The performance of the proposed methods is evaluated in comparison to FBP; ADMM-TV; FBPConvNet (Jin et al., 2017) (a DL-based image postprocessing method) and two diffusion based models 382 MCG (Chung et al., 2022), DPS (Chung et al., 2023) (Conditional diffusion model). For the task of LDCT, the regularization parameter  $\lambda$  is configured as  $5 \times 10^{-4}$  for  $I_i = 10^5$  and  $I_i = 5 \times 10^4$ , and  $10^{-3}$  for  $I_i = 10^4$ . For sparse-view reconstruction tasks, it is set to  $10^{-4}$  for 64-view and 384 96-view, and  $5 \times 10^{-3}$  for 32-view. FBPConvNet employs a residual U-Net (Ronneberger et al., 385 2015) architecture to denoise images reconstructed by the FBP method. The network is trained using 386 the Adam optimizer with a momentum parameter  $\beta = 0.99$ , a mini-batch size of 4, a learning rate 387 of  $10^{-4}$ , and for 200 epochs. For MCG and DPS, we use the same hyperparameter and pre-trained 388 checkpoints for diffusion model as our method. The step-size parameter in MCG and DPS is chosen 389 to be  $0.1/\nabla_{\boldsymbol{x}_t} \|\boldsymbol{y} - \boldsymbol{A}(\hat{\boldsymbol{x}}_0(\boldsymbol{x}_t))\|_2^2$ . The number of diffusion steps is set to be 1000 in order to obtain 390 a high quality sampling results, as also adopted in the original paper of MCG (Chung et al., 2022) 391 and DPS (Chung et al., 2023). 392

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4.3 RESULTS

Quantitative assessments with two metrics Peak Signal to Noise Ratio (PSNR) and Structural 397 Similarity (SSIM) of various reconstruction methodologies for LDCT and sparse-view CT datasets are systematically detailed in Table 1. These tables present the mean values of PSNR and SSIM for images reconstructed across varying dose levels and view counts, demonstrating that our method consistently 399 outperforms others across all conditions. Corresponding visualizations of the predicted reconstructed 400 images, generated using these distinct approaches for 32-views and  $I_i = 10^4$  LDCT reconstruction 401 are illustrated in Figure 4. Visualizations for other cases are provided in the Appendix (Section A). 402 The display window is set to be [-160,240] HU for all windows with  $\mu_{air} = -1000 HU$ . These figures 403 also incorporate difference maps which highlight discrepancies between the reconstructed images 404 and the ground truth data. To provide a closer examination, zoomed-in versions of the images are 405 presented in Figure 5 corresponding to the green zoomed-in box in Figure 4 respectively. 406

It can be observed that FBP and ADMM-TV methods significantly distort images, severely degrading 407 fine structures and informative features. In contrast, the supervised FBPConvNet method substantially 408 improves the recovery of prominent structures and edges but tends to omit fine details and present 409 blurry boundaries due to missing data in sparse-view projections and noisy data in LDCT projections. 410 Diffusion-based methods like DPS, MCG, and our proposed EffiDPSRecon excel in generation 411 capabilities; however, DPS and MCG struggle to accurately reconstruct structures and fail to capture 412 fine details, as shown in Figure 5. Our EffiDPSRecon method overcomes these challenges, enhancing 413 image fidelity with increased sharpness and detail resolution. This demonstrates the robustness of 414 our algorithm in handling variations in LDCT and sparse-view CT imaging. Visual and quantitative 415 metrics clearly show that our method surpasses other competing CT reconstruction methods in accuracy and image fidelity. 416

417 Table 2 displays the computation time required for CT reconstruction using different diffusion 418 methods. Notably, the DPS and MCG methods take at least 5 minutes per slice. However, our 419 algorithm only requires almost 10% computation time of the other two diffusion-based algorithms, 420 while achieving a much better reconstruction quality as shown in Table 1. 421

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423	Task	Sparse view CT			Low dose CT		
424	Methods	32-views	64-views	96-views	$I_i = 10^4$	$I_i = 5 \times 10^4$	$I_i = 10^5$
125		PSNR/SSIM	PSNR/SSIM	PSNR/SSIM	PSNR/SSIM	PSNR/SSIM	PSNR/SSIM
423	FBP	22.05/0.3307	26.93/0.5186	29.58/0.6501	28.48/0.5901	34.56/0.8495	36.52/0.9115
426	ADMM-TV	27.09/0.7997	31.09/0.8420	32.10/0.8495	30.69/0.8503	35.07/0.9253	36.80/0.9436
427	FBPConvnet	31.94/0.8301	34.82/0.8611	35.75/0.9051	37.55/0.9108	39.33/0.9236	40.26/0.9545
100	DPS (1000)	37.88/0.9222	41.67/0.9618	42.33/0.9648	33.93/0.8155	37.72/0.9050	38.53/0.9190
420	MCG (1000)	35.80/0.8777	40.56/0.9466	42.28/0.9620	34.57/0.8085	38.51/0.9150	40.51/0.9451
429	EffiDPSRecon (50)	39.88/0.9635	45.45/0.9863	46.19/0.9883	40.39/0.9668	43.31/0.9807	44.24/0.9838
430	EIIIDI SKecoli (50)	2.00^/0.0413^	3.78^/0.0245^	3.86↑/0.0235↑	2.841/0.05601	4.80^/0.0554^	3.73↑/0.0293↑

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Table 1: Quantitative evaluation of Sparse-view CT and LDCT reconstruction. Bold: best.

	Methods	Computation cost / s					
		32-views	64-views	96-views	$I_i = 10^4$	$I_i = 5 \times 10^4$	$I_i = 10^5$
	DPS (1000)	310.18	444.95	586.25	623.28	622.19	625.14
	MCG(1000)	474.68	754.08	1042.13	1108.32	1110.28	1111.41
	EffiDPSRecon (50)	45.26	71.48	85.21	58.09	59.81	58.56

Table 2: Computation cost for different diffusion methods. <b>Bold</b> : be	est.
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Additionally, we implemented a consistent acceleration strategy across our method and the diffusionbased DPS and MCG methods by setting an identical number of reverse sampling steps, N', as defined in Equation 24. This strategy was evaluated in scenarios such as sparse-view reconstruction with 32 angles and LDCT imaging, where  $I_i = 10^4$ , illustrated in Figures 3. Our objective was to assess whether the acceleration strategy is equally effective for MCG and DPS as it is for our method. The results indicate that while our method retains its performance robustly with reductions in N' to 10, 20, 50, and 100, the performance of both DPS and MCG significantly deteriorates.



Figure 3: Impact of Sampling Steps (N') on 32-View (left) and LDCT with  $I_i = 10^4$  (right) Reconstruction Using Diffusion-Based Methods with the same FBP initialization.



Figure 4: Reconstruction results and their associated absolute difference map for Sparse-view CT and LDCT. The display window is [-160, 240] HU.



Figure 5: Zoomed-in results of Sparse-view CT and LDCT reconstruction in Figure 4

Mathada	CG	Forward sampling	32-views		$I_i = 10^4$	
Methous			PSNR	SSIM	PSNR	SSIM
$\mathcal{S}_1$	$\checkmark$	×	37.41	0.9465	37.45	0.9541
$\mathcal{S}_2$	X	$\checkmark$	33.37	0.8645	37.55	0.9576
Ours	$\checkmark$	$\checkmark$	39.88	0.9635	40.39	0.9668

Table 3: Ablation study for the effect of CG and forward sampling step with 32 views and  $I_i = 10^4$  using EffiDPSRecon.

# 4.4 ABLATION STUDY

In the ablation study, we assess the impact of CG and the forward sampling step, specifically in scenarios of sparse-view CT reconstruction with 32 angles and LDCT where  $I_i = 10^4$ . We establish two baselines,  $S_1$  and  $S_2$ , to compare models lacking either CG or the forward sampling step. Table 3 quantitatively contrasts performances, revealing that incorporating both CG and the forward sampling step significantly enhances EffiDPSRecon. Notably, improvements in both PSNR and SSIM metrics highlight the benefits of this integration.

# 5 CONCLUSION

In this paper, we proposed an efficient diffusion posterior sampling scheme for CT image reconstruction (EffiDPSRecon). Experimental results demonstrate that our EffiDPSRecon method can effectively produce high-quality reconstructions from both LDCT and Sparse-view CT. Future work will aim to explore the theoretical property of the proposed method and explore its application in other imaging inverse problems for a broader range of applications.

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ADDITIONAL EXPERIMENTS



Figure 6: Reconstruction results and their associated absolute difference map for LDCT reconstruction. The display window is [-160, 240] HU.



Figure 7: Reconstruction results and their associated absolute difference map for Sparse-view CT reconstruction. The display window is [-160, 240] HU.

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