

From Prejudice to Parity: A New Approach to Debiasing Large Language Model Word Embeddings

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Abstract

001 Embeddings play a pivotal role in the efficacy
002 of large language models. They are the bedrock
003 on which these models grasp contextual relationships
004 and foster a more nuanced understanding of language
005 and consequently perform complex tasks that require
006 a fundamental understanding of human language. Given
007 that these embeddings themselves often reflect or exhibit
008 bias, it stands to reason that these models may also
009 inadvertently learn this bias. In this work, we build
010 on the aforementioned seminal work of (Bolukbasi et al.,
011 2016) and (Gonen and Goldberg, 2019) and propose
012 *DeepSoftDebias*, an algorithm that uses a neural network
013 to perform ‘soft debiasing’. We exhaustively evaluate
014 this algorithm across a variety of state-of-the-art
015 datasets, accuracy metrics, and challenging NLP tasks.
016 We find that *DeepSoftDebias* outperforms the current
017 state-of-the-art methods at reducing bias across gender,
018 race, and religion.

021 1 Introduction

022 Word embeddings are a foundational element in the
023 architecture of Large Language Models (LLMs). They
024 act as the basis for these models to understand and
025 subsequently, generate human-like language. However,
026 it has been shown that these word embeddings themselves
027 may reflect or exhibit bias (Dev et al., 2020; May et al.,
028 2019; Caliskan et al., 2017). Given the exponential
029 increase in the use of LLMs on a plethora of downstream
030 tasks, these representations can amplify bias and result
031 in discriminatory actions, especially when it comes to
032 the fields of education, healthcare, and justice. Existing
033 work in this field has looked most commonly into gender
034 bias (Kotek et al., 2023; Bordia and Bowman, 2019;
035 de Vassimon Manela et al., 2021), racial bias (Mozafari
036 et al., 2020; Omiye et al., 2023; Tang et al.), and
037 religious bias (Baligudam, 2022; Kirk et al., 2021).
038 In this work, we build on the seminal work of (Gonen
039 and Goldberg, 2019), which brought attention to the
040 inherent biases present in

042 traditional GloVe embeddings (Pennington et al.,
043 2014). This study prompted the NLP community to
044 reevaluate the fundamental choices underlying our
045 word representation models. Specifically, we present
046 *DeepSoftDebias*: an algorithm that furthers the
047 application of their methodology, by diverging from
048 the conventional GloVe embeddings and delving into
049 the word embeddings produced by the best-performing
050 models on the Massive Text Embedding Benchmark
051 (MTEB) (Muennighoff et al., 2022) leaderboard. By
052 employing these advanced embeddings on the same set
053 of words as used in GloVe embeddings, we seek to
054 investigate whether these state-of-the-art (SoTA) models
055 inherently exhibit reduced bias.

057 Our primary objective is twofold: first, to de-bias
058 the embeddings from these selected models, and second,
059 to rigorously assess the effectiveness of the bias
060 removal process. Our proposed approach, *DeepSoftDebias*,
061 is an innovative methodology to de-bias LLM word
062 embeddings which involves integrating a neural network
063 into the soft debiasing approach developed by (Bolukbasi
064 et al., 2016). This novel amalgamation is driven by the
065 aspiration to enhance the debiasing process and contribute
066 to the ongoing discourse on creating fair and ethically
067 sound language models. To this end, our work answers
068 the following research questions:

070 **RQ1:** Compared to traditional methods, does our
071 proposed methodology attain better performance metrics
072 when it comes to debiasing SOTA model embeddings?
073

074 **RQ2:** How do parameters of the model (size, complexity)
075 interact with various SOTA debiasing techniques? What
076 effect do they have on each other?

077 **RQ3:** To what extent do various SOTA debiasing
078 techniques influence the performance of models on
079 different downstream tasks?

080 **RQ4:** How does the type of bias (gender, race, religion)
081 affect the effectiveness of the debiasing

082 process?

083 To answer the above questions, we make the
084 following contributions through this research:

OUR CONTRIBUTIONS

- 085 We provide, to the best of our knowledge, the first comprehensive study of how various debiasing methods work on SoTA LLM word embeddings
- 086 We present a novel methodology, *DeepSoftDebias*, for debiasing LLM word embeddings, which beats SoTA debiasing methods across multiple bias formats including gender, race, and religion.
- 087 We perform an exhaustive quantitative analysis, establishing SoTA baselines and leveraging multiple evaluation metrics to provide a comparison against accessible SoTA baselines.

088 We illustrate our pipeline in Fig. 1. We find
089 that *DeepSoftDebias* not only outperforms the state-
090 of-the-art methods at reducing bias across gender,
091 race, and religion but also does so while preserv-
092 ing the full information of the original embedding
093 (which is an additional improvement on previous
094 methods). Further, we find that model performance
095 on challenging downstream tasks like NER and sen-
timent analysis remains largely unaffected when
we test using our debiased embeddings.

096 2 Related Work

097 **INLP** Iterative Null-space Projection (INLP)
098 (Ravfogel et al., 2020) is a post-hoc debiasing
099 method that operates at the representation level.
100 The INLP methodology debiases representations
101 by iteratively projecting them into a linear clas-
102 sifier’s null space. This technique is particularly
103 effective for handling intersectional groups, which
104 are defined by combinations of sensitive attributes².
105 INLP seeks to learn a hidden representation that
106 is independent of the protected attributes. This ap-
107 proach is beneficial in scenarios where an attempt
108 to make a model fairer towards some group re-
109 sults in increased unfairness towards another group.
110 Therefore, INLP emerges as a robust and effective
111 strategy for mitigating bias in language models,
112 promoting fairness across multiple protected at-
113 tributes.

114 **Self-Debias** Self-Debiasing (Schick et al., 2021)
115 is a novel approach to mitigating bias in language
116 models. The methodology, first coined by Schick et
117 al. (2021), is based on the concept of self-diagnosis.
118 In this approach, pretrained language models rec-
119 ognize their undesirable biases and the toxicity

of the content they produce. Based on this self-
diagnosis, a decoding algorithm is proposed that
reduces the probability of a language model pro-
ducing problematic text. This approach, referred
to as self-debiasing, does not rely on manually cu-
rated word lists, nor does it require any training
data or changes to the model’s parameters. While it
does not completely eliminate the issue of language
models generating biased text, it is an important
step in this direction. The self-debiasing approach
demonstrates the potential of language models to
self-regulate and reduce their inherent biases.

Sentence Debias SentenceDebias (Liang et al.,
2020) is a debiasing methodology that operates at
the sentence level. It is a projection-based method
that identifies a linear subspace associated with a
specific bias. The sentence representations are pro-
jected onto this bias subspace, and the projection is
subtracted from the original representations. This
process effectively debiases the sentence represen-
tations. SDB is particularly useful for mitigating
biases related to gender, race, and religion. It offers
a comprehensive comparison between models that
adjust weights for debiasing and those employing
test-time surgical interventions. The SDB method
signifies a significant advancement in debiasing
strategies, promoting a more equitable representa-
tion in language models.

Counterfactual Data Augmentation Counter-
factual Data Augmentation (CDA) (Yadav et al.,
2023) is a data-based debiasing strategy often used
to mitigate gender bias. The CDA methodology
involves re-balancing a corpus by swapping bias
attribute words (e.g., he/she) in a dataset. This
technique is part of a broader set of debiasing
techniques that also includes Dropout, Self-Debias,
SentenceDebias, and Iterative Nullspace Projection.
CDA has been applied to various language mod-
els, including BERT, with the goal of diminishing
stereotypical biases while maintaining the model’s
performance on downstream tasks. However, it’s
important to note that while CDA has the potential
to improve the fairness of NLP models, it may not
be effective in eliminating all biases and may even
introduce new biases or errors in the model³.

FineDeb FineDeb (Saravanan et al., 2023) is a
two-phase debiasing framework for language mod-
els. In the first phase, FineDeb debiases the model
by modifying the embeddings learned by the lan-
guage model. This process involves contextual de-

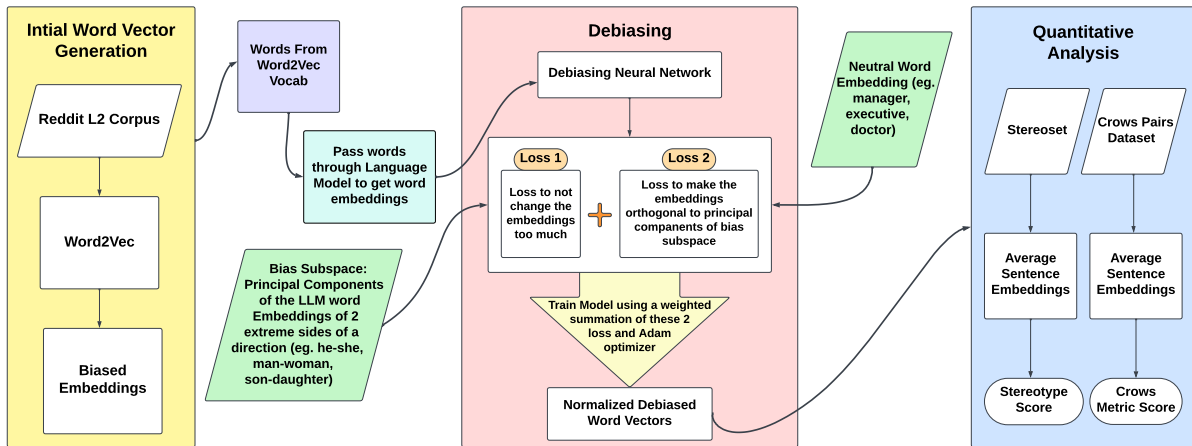


Figure 1: A step-by-step visualization of the pipeline for *DeepSoftDebias*. Our pipeline has 3 major components, Initial Word Vector Generation, Debiasing, and Quantitative Analysis. The Debiasing stage leverages the *DeepSoftDebias* network.

170 biasing of these embeddings. In the second phase, 200
 171 the debiased model is fine-tuned on the language 201
 172 modeling objective. This methodology is effective 202
 173 for demographics with multiple classes. The 203
 174 FineDeb approach demonstrates its effectiveness 204
 175 through extensive experiments and comparisons 205
 176 with state-of-the-art techniques. It offers stronger 206
 177 debiasing in comparison to other methods, which
 178 often result in models as biased as the original lan-
 179 guage model. Thus, FineDeb emerges as a robust
 180 and effective framework for mitigating bias in lan-
 181 guage models.

182 3 Data

183 This study leverages several datasets to examine
 184 and address biases in word embeddings and lan-
 185 guage models, focusing on the representation and
 186 perpetuation of stereotypes within these systems.

187 **L2-Reddit Corpus** We utilize the L2-Reddit¹
 188 (Rabinovich et al., 2018) corpus, a collection of
 189 Reddit posts and comments by both native and non-
 190 native English speakers, featuring approximately
 191 56 million sentences. This dataset serves as the
 192 foundation for training word embeddings, aiming
 193 to capture the nuanced and inherently biased lin-
 194 guistic patterns present in social media discourse.
 195 In our study, we employ the Reddit L2 corpus as
 196 the source for our initial Word2Vec (Mikolov et al.,
 197 2013) word embeddings. Subsequently, we lever-
 198 age the vocabulary derived from these word vectors
 199 to obtain the word embeddings from the LLMs. We

¹<https://github.com/ellarabi/reddit-l2>

207 utilize Word2Vec on the Reddit-L2 corpus to ob-
 208 tain the vocabulary. This vocabulary comprises
 209 the words for which we aim to extract embeddings
 210 from the LLMs. The primary objective of this ap-
 211 proach is to ensure a consistent set of words across
 212 all our LLMs. This consistency allows each of our
 213 LLMs to be tested on the same set of words.

214 **StereoSet** StereoSet (Nadeem et al., 2020) stands
 215 out as a critical dataset for measuring stereotype
 216 bias in language models, containing around 17,000
 217 sentences across demographic dimensions like gen-
 218 der, race, religion, and profession. It introduces
 219 the Context Association Tests (CAT) for evaluating
 220 model preferences and biases, providing a struc-
 221 tured approach to assess and quantify biases in pop-
 222 ular models like BERT (Devlin et al., 2019), GPT-2
 223 (Radford et al., 2019), RoBERTa (Liu et al., 2019),
 224 and XLNet (Yang et al., 2020). In our work, we use
 225 the Stereoset dataset to benchmark our debiasing
 226 method.

227 **CrowS-Pairs** CrowS-Pairs (Nangia et al., 2020),
 228 designed to assess social biases in masked language
 229 models (MLMs), comprises 1,508 examples cover-
 230 ing nine bias types, including race, religion, and
 231 age. It contrasts sentences related to historically
 232 disadvantaged and advantaged groups in the U.S.,
 233 with annotations from crowd workers highlighting
 234 the degree of stereotyping. In our study, we obtain
 235 debiased word embeddings for sentences by com-
 236 puting the average sentence vector for both less and
 237 more stereotypical or anti-stereotypical directions.
 238 We then compare these embeddings against each
 239 other to calculate the Crows Metric score.

4 Methodology

In this section, we delve into the domain of debiasing word embeddings, presenting both an established and a newly proposed methodology for mitigating biases in word vector representations. These biases span across gender, racial, and religious lines and are encoded inadvertently within language models.

4.1 Bias Identification and Data Structure

To quantitatively assess bias in word embeddings, we measure the projection of word vectors onto a gender-specific axis, defined by the vector difference between the terms ‘he’ and ‘she.’ The magnitude of this projection serves as an indicator of bias. We use a structured vocabulary with its associated vector representations from the Word2Vec model to facilitate the identification of biases. For a comprehensive evaluation, we utilize additional data files that include definitive sets of gender-associated word pairs, analogy templates that list occupational roles often linked with specific genders, and a set of neutral terms used as evaluation targets. These resources are crucial for the systematic identification and rectification of biases in word embeddings. The words used for the BiasSpace are present in Appendix A.

4.2 Soft Debiasing: The Baseline Approach

The initial method as seen in (Manzini et al., 2019) leverages a method called soft debiasing. We recap its algorithm in Algorithm 1. Soft debiasing involves learning a projection of the embedding matrix that preserves the inner product between biased and debiased embeddings while minimizing the projection onto the bias subspace of embeddings mentioned in 4.1. Given embeddings W and N which are embeddings for the whole vocabulary and the subset of bias-neutral words respectively, and the bias subspace B obtained in Section 3, soft debiasing seeks a linear transformation A that minimizes the following objective defined in Eq. (1) as follows:

$$\|(AW)^T(AW) - W^T W\|_F^2 + \lambda \|(AN)^T(AB)\|_F^2 \quad (1)$$

Minimizing the first term preserves the inner product after the linear transformation A , and minimizing the second term minimizes the projection onto the bias subspace B of embeddings. λ is a tunable parameter that balances the two objectives. W here refers to the matrix of word embeddings

and N refers to the matrix of the embeddings of the neutral space i.e. words that aren’t influenced by any bias.

Algorithm 1: Transformation Matrix Approach

Input: Biased word embeddings ($\text{emb}_{\text{biased}}$), Bias Subspace (BiasSpace), Neutral word embeddings ($\text{emb}_{\text{neutral}}$)

Output: Debiased word embeddings

Perform Singular Value Decomposition (SVD) on $\text{emb}_{\text{biased}}$ to obtain singular values (s) and left singular vectors (u);
Precompute $t1 = s \cdot u^T$ and $t2 = u \cdot s$;
Compute norm1 as $\|t1 \cdot (T^T \cdot T - I) \cdot t2\|_F$;
Compute norm2 as $\|\text{emb}_{\text{neutral}}^T \cdot T^T \cdot \text{BiasSpace}\|_F$;
Total loss is a weighted combination of norm1 and norm2;
Optimize transformation matrix using SGD;
Output debiased word embeddings after recomputing using T and normalizing;

4.3 DeepSoftDebias: Our Proposed Approach

In the original approach introduced by (Bolukbasi et al., 2016), a transformation matrix is utilized and optimized by an optimizer to enable a direct mapping between input and output embeddings. To enhance performance, we propose *DeepSoftDebias*. In this approach, we replace the transformation matrix with a neural network, leveraging its capability to represent a sequence of transformation matrices. This adaptation enables the algorithm to handle more complex functions mapping between input and output embeddings. We use the same loss functions as mentioned in the section 4.2. Furthermore, we transition from stochastic gradient descent (SGD (Robbins and Monro, 1951)) to the Adam (Kingma and Ba, 2017) optimizer, resulting in enhanced efficiency, speed, and optimization quality. We describe our full algorithm in Algorithm 2. While these modifications were implemented, the fundamental aspects of the method remain unaltered, ensuring minimal alterations in embeddings and preserving orthogonality with the bias space.

Unlike the baseline, which relies on singular value decomposition (SVD) and incurred information loss, *DeepSoftDebias* preserves the full infor-

mation of the original matrix. Moreover, unlike the baseline, *DeepSoftDebias* can handle large embedding dimensions of more than 4.5k. We demonstrate the effectiveness of *DeepSoftDebias* on various datasets and tasks, and show that it outperforms the state-of-the-art methods in terms of accuracy and efficiency. The reason for the need of a fixed *BiasSpace* is that we adopt the methodology proposed by Bolukbasi et al. for the derivation of the bias subspace.

The process of creating the *BiasSpace* commences with the identification of word vectors representing opposing concepts, such as ‘he’ versus ‘she’, or ‘man’ versus ‘woman’. For each pair, we compute the mean vector, which encapsulates the shared semantic space. Subsequently, we subtract this mean vector from the original word vectors, yielding vectors that exclusively represent the bias components. These bias vectors are then concatenated to form a matrix, referred to as the bias subspace. This bias subspace plays a pivotal role in the training of our neural network. Specifically, we ensure that the output of the word embeddings, upon being processed through the neural network, is orthogonal to the bias subspace Fig. 2 presents a visualization of our approach to downstream testing.

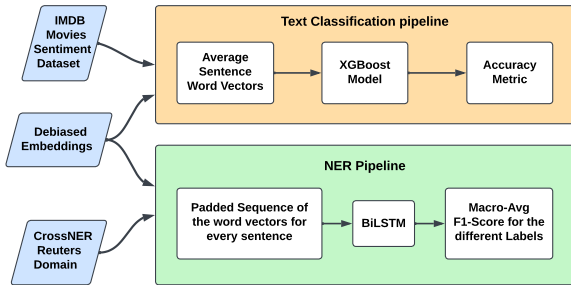


Figure 2: A step-by-step visualization of our downstream testing process to effectively evaluate *DeepSoftDebias*.

5 Effects of LLM Size and Dependency of Network Size

The debiasing performance of word embeddings depends on the size of the embeddings and the depth of the debiasing neural network, rather than the number of parameters of the language model. We observe in 11 Smaller models, such as bge-small (Xiao et al., 2023) and DeBERTa-v3-base (He et al., 2023) or DeBERTa-v3-large, can be debiased effectively by a single-layer neural network.

Algorithm 2: Neural Network Approach

Input: Biased word embeddings ($\text{emb}_{\text{biased}}$), Bias Subspace (BiasSpace), Neutral word embeddings ($\text{emb}_{\text{neutral}}$)

Output: Debiased word embeddings

Initialize neural network NN with input dimension as embedding dimension and output dimension as embedding dimension;

Pass $\text{emb}_{\text{biased}}$ through NN to obtain transformed embeddings;

Compute T^T as the matrix multiplication of the transpose of outputs of NN and the outputs;

Compute norm1 as $\|(T^T \cdot T - I)\|_F$;

Compute norm2 as

$$\|\text{emb}_{\text{neutral}}^T \cdot T^T \cdot \text{BiasSpace}\|_F;$$

Total loss is a weighted combination of norm1 and norm2;

Optimize NN using an Adam optimizer;

Output normalized embeddings obtained after passing $\text{emb}_{\text{biased}}$ through NN ;

Larger models, such as Llama-2 (Touvron et al., 2023), Alpaca (Taori et al., 2023) and Yi-6b (01.ai, 2024) need a more complex debiasing neural network. For embeddings with embedding length of around 2000, a two-layer neural network is sufficient, while for larger embedding dimensions, a three-layer neural network is required to achieve good debiasing results. In addressing the second research question, we delve into the intricacies of neural network complexity necessary for debiasing embeddings of varying sizes. While our discussion highlights the effectiveness of larger neural networks in mitigating bias within Language Model (LM) embeddings with substantial dimensions, it is imperative to substantiate this observation. We would like to point out that we draw inspiration from the conceptual framework of DeepSoftDebias. Building upon the foundational work by Bolukbasi et al., which employed a transformation matrix for word embedding debiasing, our approach replaces this matrix with a neural network. This neural network can be conceptualized as a series of interconnected matrices. Specifically, when de-biasing larger LMs with embedding dimensions exceeding 4096, we augment the neural network by increasing the number of layers and adjusting layer sizes.

This augmentation enables us to model the intricate dependencies inherent in debiasing processes for larger embedding dimensions. Consequently, deeper neural networks emerge as more efficacious tools for addressing bias in such expansive models. Additionally, the debiasing neural network and the optimization algorithm need to be hyperparameter-tuned, such as adjusting the learning rate, to get optimal results. The hyperparameters may vary depending on the model size, the embedding dimension, and the debiasing task. Therefore, a systematic search for the best hyperparameters is necessary to ensure the effectiveness of the debiasing process.

6 Results

In this section, we provide an extensive analysis of our proposed methodology, complete with a comprehensive evaluation against multiple metrics, tasks, and datasets. We provide the results of additional downstream testing and ablation experiments in Appendix D and Appendix F, respectively. We also provide our hypothesis of why there is a variation in bias across LLMs in Appendix E.

6.1 Mean Average Cosine Similarity

Mean Average Cosine Similarity (MAC) (Manzini et al., 2019) is a metric used to quantify semantic associations between word classes and attributes. MAC takes word embeddings, targets (representing classes), and attributes as inputs. By computing the mean cosine distance between target words and attribute sets, MAC offers a concise measure of semantic proximity. This metric provides valuable insights into the contextual semantics encoded within word embeddings. Table 1 shows that the word embeddings debiased in the direction of race and gender have comparable increases in their average MAC of **0.64**, whereas word embeddings debiased in the direction of religion have an increase in MAC of **0.61**. We see that our debiasing procedure categorically moves MAC scores closer to 1.0. This indicates an increase in cosine distance. Further, the associated P-values indicate these changes are statistically significant. This demonstrates that our approach for multiclass debiasing decreases bias in the word embeddings. We provide visual representations of the efficiency of *DeepSoftDebias* at removing gender bias, racial bias, and religion bias in Appendix B.

In our research, we have chosen to utilize Mean

Average Cosine Similarity (MAC) as our primary metric for assessing bias in word embeddings. This decision is informed by the work of (Manzini et al., 2019), who posit that MAC can be viewed as an extension of the Word Embedding Association Test (WEAT), specifically adapted for a multiclass setting. The MAC and WEAT serve distinct, yet complementary purposes. While WEAT is designed to focus on specific associations between word vectors and predefined concepts (such as gender or race), MAC provides a broader perspective by measuring overall similarity patterns across different groups. This makes MAC less sensitive to specific word choices, thereby revealing biases that might be overlooked by WEAT. In essence, both metrics contribute to a comprehensive understanding of bias in word embeddings. However, the use of MAC is particularly beneficial in our research as it complements the findings of WEAT, providing a more holistic view of bias in the data. This approach allows us to capture a wider range of biases, thereby enhancing the robustness of our analysis.

6.2 Stereotype Score

Our research focuses on evaluating and mitigating stereotypical bias in NLI tasks using the Stereoset dataset. This dataset comprises pairs of sentences differing only in the substitution of words related to social groups like gender, race, or religion. The objective is to predict their relationship as same, entailment, or contradiction. We introduce a method aimed at reducing bias in word embeddings, with **Stereotype Score** *SS* values closer to 50 indicating decreased bias. Table 2 presents *DeepSoftDebias*'s results alongside existing approaches on the Stereoset dataset. Notably, *DeepSoftDebias* achieves the lowest *SS* across all social groups, demonstrating its effectiveness in bias reduction. Particularly impressive is *DeepSoftDebias*'s performance in the gender and race categories, where it significantly outperforms existing methods. For instance, with the SFR-Embedding-Mistral (Jiang et al., 2023) model, *DeepSoftDebias* achieves an *SS* of 50 for gender and 50.409 for race using the Llama-2-7b model. Additionally, *DeepSoftDebias* attains a score of 51.282 for the Zephyr-7b-beta (Tunstall et al., 2023) or 48.717 for Alpaca-7b (Taori et al., 2023). We present these score in 2 an illustration of these scores in Fig. 6.

Model	Variant	Topic	BMAC	NSMAC	SS	CMS	CSS	CAS
Yi	Yi-6B	Gender	0.148	0.964	55.372	49.620	58.970	37.250
Alpaca	Alpaca-7B		0.612	0.816	53.306	48.850	57.690	37.250
BAAI	bge-base-en-v1.5		0.471	0.997	50.000	48.090	42.310	58.820
BAAI	bge-large-en-v1.5		0.404	0.983	49.174	50.380	50.640	51.960
Zephyr	Zephyr-7B-beta		0.393	0.981	52.893	46.950	59.620	29.410
Mistral	e5-mistral-7b-instruct		0.343	0.971	52.893	48.090	55.770	38.240
Llama 2	Llama-2-7b-hf		0.182	0.964	48.347	44.660	57.690	26.470
Salesforce	SFR-Embedding-Mistral		0.343	0.971	50.000	45.420	50.000	40.200
Falcon	falcon-7b		0.011	0.964	51.240	48.850	60.900	32.350
Gemma	Gemma-2b		0.058	0.971	47.107	48.470	57.690	36.270
Gemma	Gemma-7b		0.553	0.976	49.173	51.53	63.46	35.290
GritLM	GritLM-7B		0.379	0.999	51.239	48.470	57.05	37.250
mxbai	mxbai-embed-large-v1		0.467	0.994	51.652	55.34	60.9	49.020
Yi	Yi-6B		Race	0.111	0.964	46.209	64.150	66.170
Alpaca	Alpaca-7B	0.655		0.938	52.357	41.280	41.540	46.340
BAAI	bge-base-en-v1.5	0.496		0.992	49.590	44.770	46.250	36.590
BAAI	bge-large-en-v1.5	0.404		0.990	50.922	40.890	40.690	51.220
Zephyr	Zephyr-7B-beta	0.419		0.992	49.283	42.250	41.330	60.980
Mistral	e5-mistral-7b-instruct	0.380		0.999	50.922	52.520	52.680	60.980
Llama 2	Llama-2-7b-hf	0.175		0.990	50.410	45.930	46.680	46.340
Salesforce	SFR-Embedding-Mistral	0.381		0.994	51.639	49.030	50.750	39.020
Falcon	falcon-7b	0.010		0.985	50.922	46.710	46.900	53.660
Yi	Yi-6B	Religion		0.147	0.984	52.564	47.620	48.480
Alpaca	Alpaca-7B		0.676	0.823	51.282	80.000	82.830	33.330
BAAI	bge-base-en-v1.5		0.497	0.990	46.154	59.050	61.620	16.670
BAAI	bge-large-en-v1.5		0.406	0.985	51.282	60.000	61.620	33.330
Zephyr	Zephyr-7B-beta		0.465	0.996	51.282	48.570	50.510	16.670
Mistral	e5-mistral-7b-instruct		0.436	0.985	52.564	52.380	51.520	66.670
Llama 2	Llama-2-7b-hf		0.202	1.003	44.872	64.760	66.670	33.330
Salesforce	SFR-Embedding-Mistral		0.437	0.988	51.282	40.950	39.390	66.670
Falcon	falcon-7b		0.009	0.998	48.718	50.480	51.520	33.330

Table 1: Quantitative analysis for *DeepSoftDebias* using BiasedMAC (BMAC), New SoftMAC (NSMAC), StereotypeScore (SS), Crows Metric Score (CMS), Crows Stereotype Score (CSS), Crows Antistereotype Score (CAS). The best performance is highlighted in **bold**.

Stereotype Score (SS)			
Stereoset	Gender	Race	Religion
FineDeb	<u>53.27</u>	<u>50.82</u>	50.39
CDA	59.61	56.73	58.37
INLP	57.25	57.29	60.31
Self-Debias	59.34	54.30	57.26
Sentence Debias	59.37	57.78	58.73
<i>DeepSoftDebias</i>	50.00	50.41	<u>51.28</u>

Table 2: StereoSet evaluation. Closer to 50 is better for SS. The best performance is highlighted in **bold** while the next best is underlined.

6.3 Crows-Pairs Dataset

Our study evaluates social bias in natural language generation tasks using the CrowS Pairs dataset, comprising pairs of sentences differing in their degree of bias. By ranking these sentences according to bias level, we quantify the effectiveness of various methods in reducing bias in word embeddings. But as our work is based on word embeddings instead of getting the log-likelihood of the next token from the language model, we compute

the average sentence vector for the common parts shared between two sentences. Next, we compare the similarity of this average sentence vector with the uncommon part (i.e., the modified tokens) using word embeddings. By doing so, we capture the semantic differences between stereotypical and non-stereotypical components within the sentence pairs. The rest of the metric remains the same.

Table 3 presents *DeepSoftDebias*'s results alongside existing approaches on the CrowS Pairs dataset. Notably, *DeepSoftDebias* achieves scores closest to 50 across all social groups, indicating a significant reduction in social bias. The metric used here is defined in Eq. (2) as follows:

$$\text{Metric score: } \frac{(\text{stereo_score} + \text{antistereo_score}) \times 100}{N} \quad (2)$$

where **Crows Pair Stereotype Score (CSS)** is the number of stereotypical samples that agree with their label direction and **Crows Pairs Anti-stereotype Score (CAS)** is the number of anti-stereotypical samples that agree with their label direction. Label direction refers to the label given

Crows Pairs Metric Score (CMS)			
Crows Pairs Dataset	Gender	Race	Religion
FineDeb	54.58	65.24	44.76
CDA	56.11	56.70	60.00
INLP	51.15	67.96	60.95
Self-Debias	52.29	56.70	56.19
Sentence Debias	52.29	62.72	63.81
<i>DeepSoftDebias</i>	50.38	49.07	50.48

Table 3: Crows Pairs evaluation. Metric score for every demographic. Closer to 50 is better for the metric (**best**; next best).

the pair of sentences whether they are stereotypical or anti-stereotypical. In our evaluation we get the average sentence vector of the context and the more and less (anti-)stereotypical sentence. We then see whether the context vector is closer to the more (anti-)stereotypical sentence or the less (anti-)stereotypical sentence. If it is closer to the more (anti-)stereotypical sentence, then we state that it agrees with the (anti-)stereotype, i.e., the label direction. Particularly noteworthy is *DeepSoftDebias*'s superior performance in the gender and religion categories. For instance, with the Yi-6B model, *DeepSoftDebias* achieves a score of 49.62 for gender and 50.48 for religion with the falcon-7b model. Similarly, using the SFR-Embedding-Mistral model, *DeepSoftDebias* achieves a score of 49.03 for race biasing the SFR-Embedding-Mistral model. These results underscore the effectiveness of *DeepSoftDebias* in mitigating social bias in word embeddings. We present these score in 3 and depict the variation of these scores in Fig. 8.

We also report the CSS and CAS score which refer to the CrossNER Stereotype score, i.e., the number of times the model agrees with the more stereotypes statement when the label direction is stereotype, and the CrossNER Anti-stereotype score, which refers to the number of times the model agrees with the more anti-stereotyped statement when the label direction was anti-stereotype.

7 Discussion

In this section, we summarise the answers to our research questions.

RQ1 We find that *DeepSoftDebias* outperforms state-of-the-art methods, and does so without negatively affecting downstream task performance. We make this conclusion after exhaustive testing on several models, and datasets and evaluating several

metrics.

RQ2 We find that *size and complexity do affect the ability of debiasing models*. Specifically, we make the following observations about *DeepSoftDebias*:

- A single layer neural network can effectively de-bias embeddings with $\text{dim} \leq 1024$.
- A two-layer neural network can effectively debias embeddings with $\text{dim} \leq 2048$.
- A two-layer neural network with an increased layer size can effectively de-bias embeddings with $\text{dim} \leq 4096$.
- A three-layer neural network can effectively debias embeddings with $\text{dim} \leq 4450$.

As a step for future work, we are curious to investigate scaling patterns to a further extent. A visualization of this is provided in Fig 11

RQ3 While debiasing techniques in general can affect the downstream performance of models, we test *DeepSoftDebias* on multiple challenging downstream tasks and report that *our proposed approach, to a large extent, does not negatively influence the performance of different downstream tasks*. Remarkably, we see an improvement when using our debiased embeddings for some downstream tasks.

RQ4 We find that while *DeepSoftDebias* is effective at reducing bias across gender, race, and religion. We conclude this after testing on multiple embeddings, and multiple datasets and evaluating on multiple performance metrics. As a step for future work, we are curious to investigate whether our proposed approach works towards other forms of bias as well.

8 Conclusion

In this paper, we propose *DeepSoftDebias*, an approach that leverages neural networks to reduce bias in large language model embeddings. We perform an exhaustive series of tests using multiple performance metrics, state-of-the-art datasets, and downstream tasks to ensure that our debiasing technique is robust, efficient, and accurate. In the future, it would be interesting to see how this method translates to multilingual datasets since bias is language and culture-specific. We hope that this research paves the way for future endeavors that look to make LLMs fair, ethical, and bias-free.

9 Limitations

While we do perform exhaustive analysis to test our proposed methodology, our study is monolingual and covers datasets only in English. Consequently, our downstream tasks are also tested only in English. Further, we were unable to conduct test on API-based models at this time. Our testing was also constrained by the limitations of GPU VRAM, which prevented us from extending our testing to larger models such as Llama-65B. These models could not be accommodated within the GPU VRAM, even after applying quantization to 8 bits. Consequently, the largest model that we were able to test was the Gemma-7B model.

10 Ethics Statement

We understand that bias can be defined in various ways, and it's not necessarily ideal for a language model to treat all users exactly the same without considering demographics. There are situations where certain topics require careful handling to avoid perpetuating harmful stereotypes against marginalized communities. Using specific bias metrics might suggest they encompass all negative social impacts across different groups, but we recognize that existing metrics may not capture all nuances in treatment across demographics. Therefore, any benchmark for bias needs to continually evolve to better understand and address these issues as they affect different communities.

The definitions of morality and bias are shaped by cultural perspectives, resulting in diverse interpretations among individuals. Consequently, we do not claim that this work provides an objective or exhaustive measure of any of these concepts.

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Frequently Asked Questions (FAQs)

1. Is this method effective at removing all kinds of bias?

We acknowledge that bias has multiple forms that vary by different social factors, language, culture, and various other factors. We evaluated *DeepSoftDebias* on gender bias, racial bias, and religious bias and it has proved effective at reducing all of them. We hope that in the future, this method will prove effective in reducing other kinds of biases as well.

2. Why isn't GPT analyzed in this paper?

Given that GPT is an API-based model, we were unable to test it at this time. We hope that one day, this method can be tested even on API-based LLMs.

3. Is the proposed approach open-sourced?

Yes, we plan to make all our code available on a GitHub repository.

Appendix

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This section provides supplementary material in the form of additional examples, implementation details, etc. to bolster the reader’s understanding of the concepts presented in this work.

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A Table of words and bias they represent

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Bias Direction		Biased Words
Gender	Male	"manager", "executive", "doctor", "lawyer", "programmer", "scientist", "soldier", "supervisor", "rancher", "janitor", "firefighter", "officer"
	Female	"secretary", "nurse", "clerk", "artist", "homemaker", "dancer", "singer", "librarian", "maid", "hairstylist", "stylist", "receptionist", "counselor"
Race	Black	"slave", "musician", "runner", "criminal", "homeless"
	Asian	"manager", "executive", "redneck", "hillbilly", "leader", "farmer"
	Caucasian	"doctor", "engineer", "laborer", "teacher"
Religion	Jew	"greedy", "cheap", "hairy", "liberal"
	Christian	"judgemental", "conservative", "familial"
	Muslim	"violent", "terrorist", "dirty", "uneducated"

Table 4: List of Words related to sub-categories in the bias directions explored

B MAC Scores of DeepSoftDebias

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Figures 3, 4, and 5 illustrate how *DeepSoftDebias* reduces bias in LLM embeddings.

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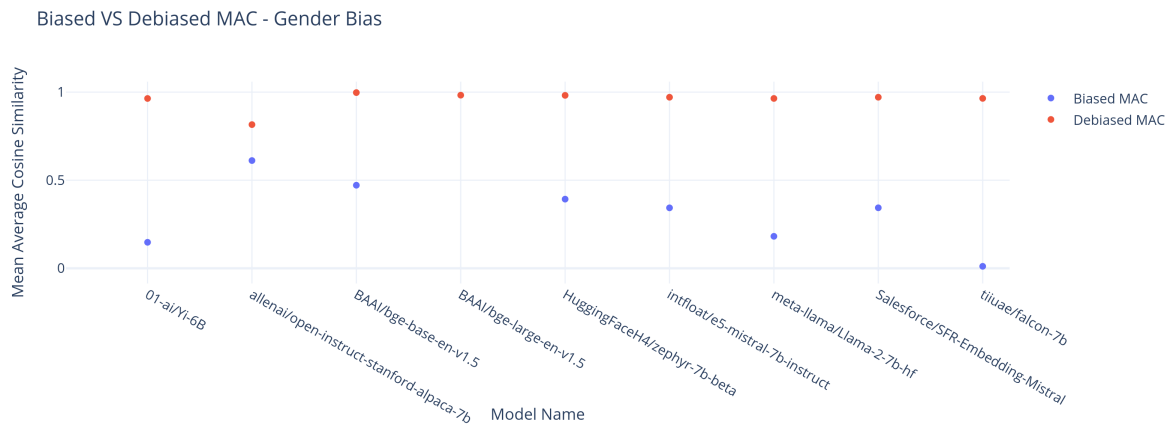


Figure 3: A visual representation of how *DeepSoftDebias* reduces gender bias in large language model embeddings.

C Stereoset Scores of DeepSoftDebias

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Figures 6 and 8 provide an illustration of word vectors debaised using *DeepSoftDebias* and their stereoset scores and Crows Metric scores respectively.

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D Downstream Testing Results

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In our research, we primarily focus on the debiasing of word embeddings derived from Language Learning Models (LLMs). We aim to investigate the impact of this debiasing on the performance of these embeddings when subjected to identical training and testing methodologies. Our objective

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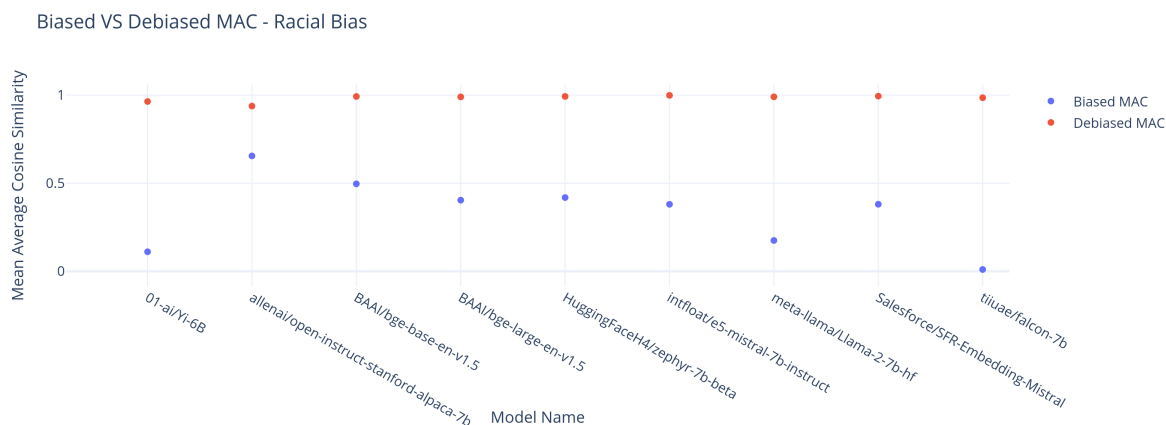


Figure 4: A visual representation of how *DeepSoftDebias* reduces racial bias in large language model embeddings.

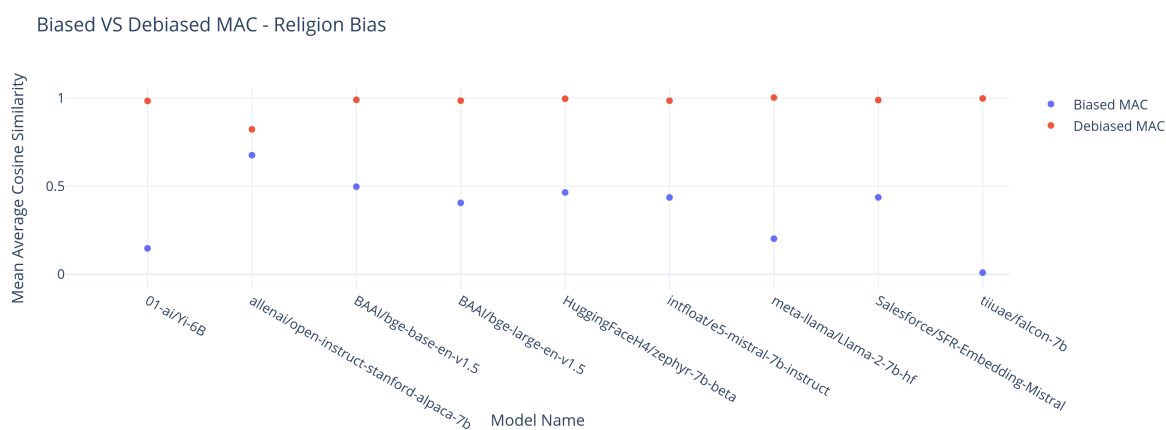


Figure 5: A visual representation of how *DeepSoftDebias* reduces religion bias in large language model embeddings.

Model	Variant	Baseline Debaised Text Class. Acc.	DSB Debaised Text Class. Acc.	Baseline Debaised NER Macro F1 Avg.	DSB Debaised NER Macro F1 Avg.
Gemma	gemma-2b	0.7655	0.7964	0.469	0.484
BAAI	bge-base-en-v1.5	0.8296	0.822	0.458	0.421
Mistral	SFR-Embedding-Mistral	0.8297	0.821	0.404	0.428
Gemma	gemma-7b	0.516	0.8032	0.198	0.475
Zephyr	zephyr-7b-beta	0.7997	0.81	0.403	0.429
mxbai	mxbai-embed-large-v1	0.8366	0.7903	0.461	0.455

Table 5: Downstream testing results comparison with embeddings debaised using *DeepSoftDebias* and the baseline SoftDebais Method. The first two columns represent results for downstream performance on sentiment analysis. The second two columns represent results for downstream performance on NER.

is to quantitatively measure any performance fluctuations (increase or decrease) on the downstream tasks that we test. For this purpose, we trained simple models on top of these word embeddings. For instance, we used an XGBoost model without any hyperparameter tuning for the classification task, and a straightforward bidirectional LSTM for the Named Entity Recognition (NER) task. It is important to note that our goal in presenting our results on these two tasks is not to establish a benchmark for debaised embeddings. Instead, we aim to demonstrate the effect of debiasing on the performance of word embeddings in downstream tasks, as seen in the seminal work of (Gonen and Goldberg, 2019). This approach allows us to provide a more comprehensive understanding of the implications and potential benefits of debiasing word embeddings.

Stereoset Debaised Word Vectors StereoType Score



Figure 6: A visual representation of word vectors debaised using *DeepSoftDebias* and their stereotype scores across gender, race and religion respectively.

Stereoset Debaised Word Vectors Crows Metric Score

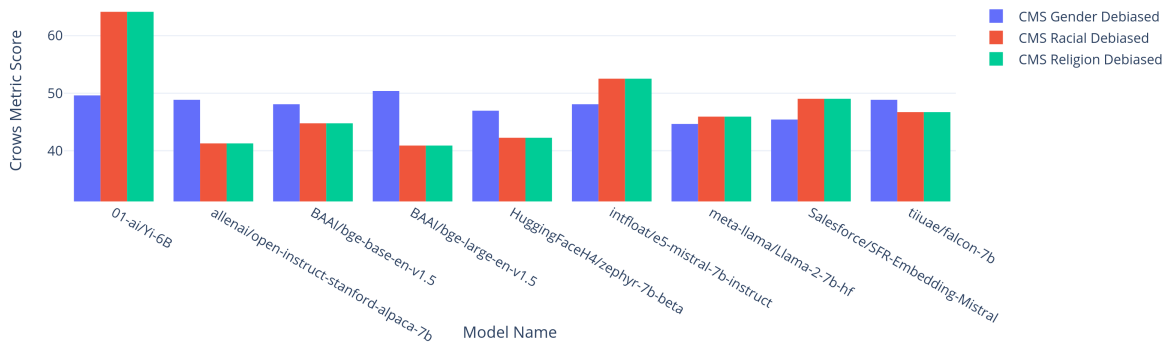


Figure 7: A visual representation of word vectors debaised using *DeepSoftDebias* and their Crows Metric score across gender, race and religion respectively.

D.1 Sentiment Classification

In our study, we employ downstream testing to assess the utility of embeddings debaised using *DeepSoftDebias* across two key natural language processing tasks: text classification and named entity recognition (NER). Utilizing the IMDB Sentiment Classification dataset (İlhan Tarmer et al., 2019) and Stanford TreeBank Dataset for text classification, featuring labeled movie reviews as positive or negative, we compute the average sentence vectors using both original and debaised embeddings. Training XGBoost (Chen and Guestrin, 2016) classifiers on these vectors, we compare their accuracy on the test set, recognizing accuracy as a straightforward metric for binary classification tasks like sentiment analysis. Notably, our results reveal a performance improvement when debaising in the gender and religion directions, whereas a slight decrease in performance is observed in the case of race debaising. We provide these results in Table:6 for IMDB Sentiment classification and Table:7 for Stanford Sentiment Treebank. A visual representation of these results in Fig. 9.

D.2 Named Entity Recognition (NER)

In our research, we examine the performance of debaised embeddings in the domain of named entity recognition (NER) using the Reuters subset of the CrossNER (Liu et al., 2020) dataset. This dataset comprises news domain sentences annotated with four entity types: person, location, organization, and

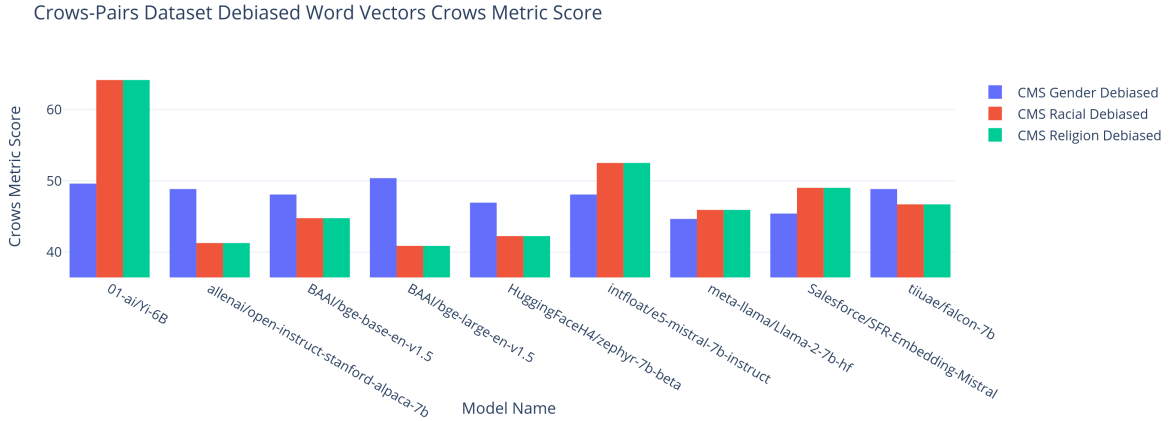


Figure 8: A visual representation of word vectors debaised using *DeepSoftDebias* and their Crows Metric scores across gender, race and religion respectively.

product. Employing a simple BiLSTM model, we input padded arrays of embeddings for each sentence and trained the model on the dataset. We evaluate the models’ performance on the test set using the macro-averaged F1-score, a metric that balances precision and recall, crucial for accurate entity identification and classification. To mitigate potential bias towards more frequent entity types, we adopt macro-averaging, allotting equal importance to each entity type. Remarkably, our findings indicate a slight performance boost when using debaised embeddings in all three directions compared to biased embeddings. We provide these results in Table:6 and a visual representation of these results in Fig. 10.

D.3 Semantic Textual Similarity

In our research, we evaluate the performance of debaised embeddings for the Semantic Textual Similarity (STS) task using the STS-B dataset. This dataset, a component of the General Language Understanding Evaluation (GLUE) benchmark, is a valuable resource for the STS task. The task aims to quantify the semantic similarity between two sentences, assigning a score from 1 to 5 based on their degree of semantic equivalence. The STS-B dataset, comprising examples from diverse sources, includes human annotations for sentence pair similarity, contributing significantly to the broader field of natural language understanding by facilitating the measurement of meaning equivalence across sentences. To utilize the embeddings for the task, we train a dual-head neural network. We perform cosine similarity after passing the average sentence vector of the two sentences through the network, followed by a Fully Connected layer to obtain the actual score. The performance of our approach is evaluated using Pearson’s correlation and Spearman’s correlation as metrics. This methodology allows us to develop and evaluate models’ ability to understand nuanced semantic relationships in text effectively. We provide our results in this task in Table:7

Figures 9 and 10 present an illustration of the results of various downstream tasks and their performance evaluation.

E Variation of Bias in the Different LLMs

The presence of biases in has drawn significant attention from researchers and practitioners. These biases can inadvertently emerge during the training process due to the characteristics of the initial training data. In this study, we explore the factors contributing to bias variation among LLMs, focusing on three prominent models: Llama, Mistral, and Gemma. Our analysis reveals that biases, including those related to gender, race, and culture, are often inherited from the training data. For instance, historical texts may perpetuate gender stereotypes or racial prejudices present in their source material. Llama and Mistral, trained on diverse corpora containing web documents, source code, and mathematical text, exhibit varying degrees of bias. Gemma, released by Google, further demonstrates the impact of training data size, with

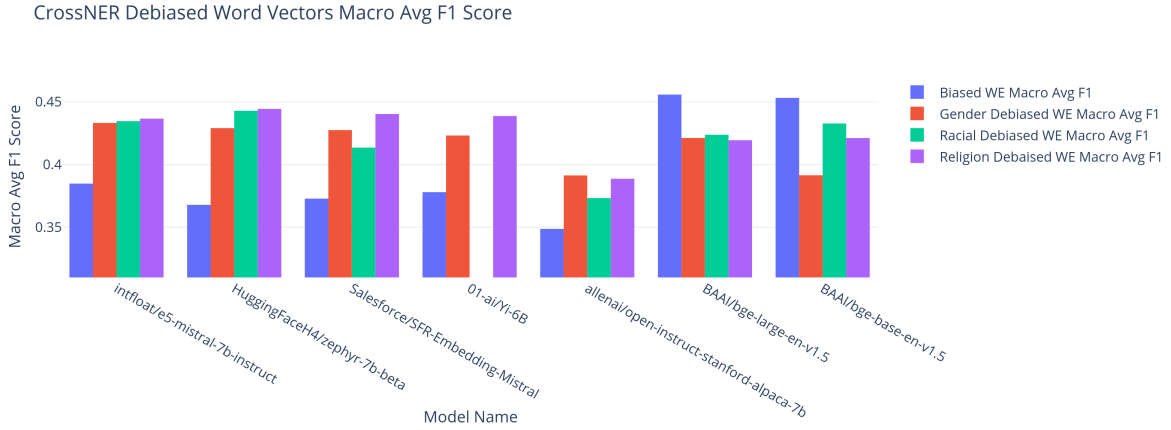


Figure 9: An illustration of the results of downstream testing on NER. We compare the performance of biased and debaised embeddings in the directions of gender, race, and religion respectively.

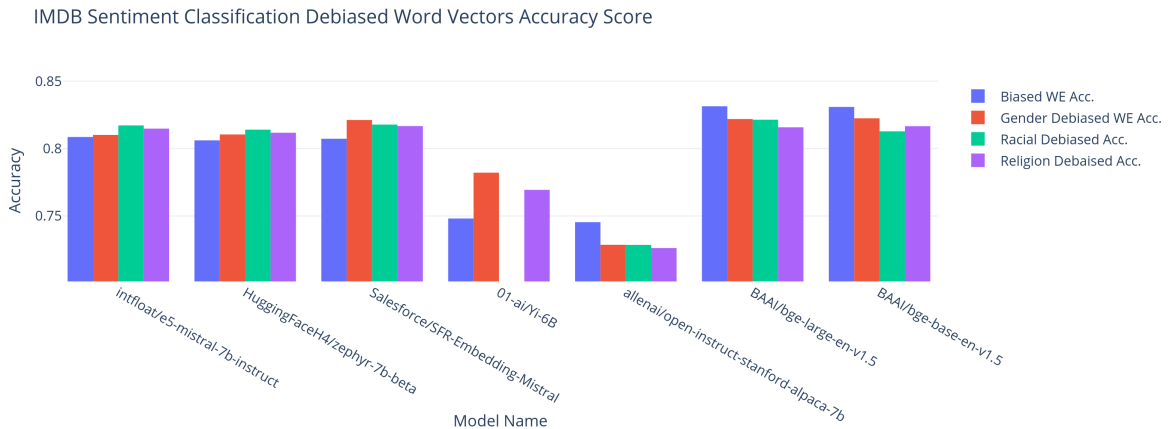


Figure 10: An illustration of results of downstream testing on sentiment analysis. We compare the performance of biased and debaised embeddings in the directions of gender, race, and religion respectively.

both 2B and 7B variants drawing from an extensive pool of up to 6 trillion tokens. 882

F Ablation Experiments 883

In our study, we conduct ablation experiments to assess the effectiveness of various debiasing techniques 884
in the realm of natural language processing. These techniques encompassed five distinct scenarios: the 885
utilization of debaised embeddings, the application of the original soft debiasing method, the original 886
debiasing method with the Adam optimizer, *DeepSoftDebias* with the SGD optimizer, and finally, *Deep-* 887
SoftDebias with the Adam optimizer. These experiments were gauged based on MAC as the evaluation 888
metric. 889

Through rigorous experimentation across three biasing directions, we systematically analyze the 890
performance of each method. Our results reveal a consistent trend of incremental improvements as we 891
transitioned from one method to the next. Notably, *DeepSoftDebias*, emerged as the standout performer, 892
boasting the highest mean average cosine similarity score across all evaluated scenarios. In addition, our 893
analysis revealed that substituting the transformation matrix with our neural network approach resulted in 894
the most significant enhancement in the efficacy of the debiasing method. This observation underscores 895
the pivotal role played by neural networks in maximizing the effectiveness of the debiasing techniques. 896
Table 8 presents a visualization of the results of our ablation experiments. 897

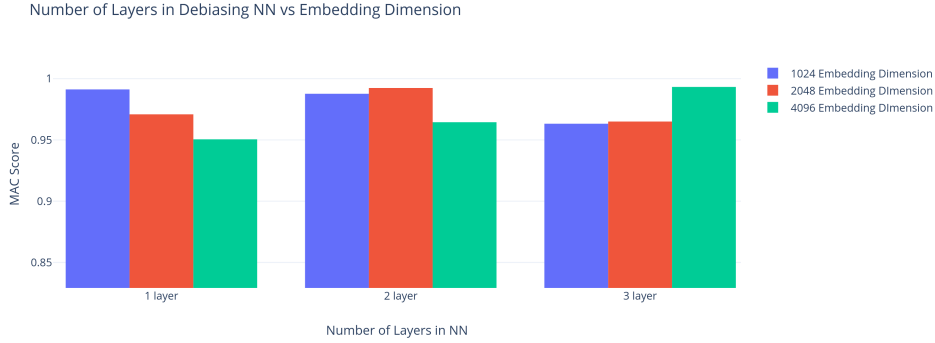


Figure 11: An illustration analysis of number of layers in debiasing neural network vs. embedding dimension. We can see the varying performance of the 3 different sizes according to the embedding dimension of the LM it is used with.

Model	Variant	Bias Direction	Biased Text Class. Acc.	Debiased Text Class. Acc.	Biased NER Macro F1 Avg.	Debiased NER Macro F1 Avg.
Mistral	e5-mistral-7b-instruct	Gender	0.809	0.810	0.385	0.433
Zephyr	Zephyr-7B-beta		0.806	0.810	0.368	0.429
Salesforce	SFR-Embedding-Mistral		0.807	0.821	0.373	0.428
Yi	Yi-6B		0.748	0.782	0.378	0.423
Alpaca	Alpaca-7B		0.745	0.729	0.349	0.391
BAAI	bge-large-en-v1.5		0.831	0.822	0.456	0.421
BAAI	bge-base-en-v1.5		0.831	0.822	0.453	0.392
Mistral	e5-mistral-7b-instruct		Race	0.811	0.817	0.362
Zephyr	Zephyr-7B-beta	0.806		0.814	0.393	0.443
Salesforce	SFR-Embedding-Mistral	0.810		0.818	0.382	0.413
Yi	Yi-6B	0.748		0.782	0.378	0.423
Alpaca	Alpaca-7B	0.751		0.729	0.358	0.373
BAAI	bge-large-en-v1.5	0.832		0.821	0.473	0.424
BAAI	bge-base-en-v1.5	0.830		0.813	0.457	0.433
Mistral	e5-mistral-7b-instruct	Religion		0.808	0.815	0.385
Zephyr	Zephyr-7B-beta		0.805	0.812	0.389	0.444
Salesforce	SFR-Embedding-Mistral		0.808	0.817	0.389	0.440
Yi	Yi-6B		0.750	0.769	0.384	0.439
Alpaca	Alpaca-7B		0.751	0.726	0.364	0.389
BAAI	bge-large-en-v1.5		0.830	0.816	0.457	0.419
BAAI	bge-base-en-v1.5		0.830	0.817	0.459	0.421

Table 6: Downstream testing results with embeddings debiased using *DeepSoftDebias*. The first two columns represent results for downstream performance on sentiment analysis. The second two columns represent results for downstream performance on NER. The best performance is highlighted in **bold**.

898 This empirical evidence underscores the robustness and efficacy of our proposed approach in mitigating
899 bias within natural language processing systems. By combining state-of-the-art debiasing techniques with
900 advanced optimization strategies, we have unlocked a powerful methodological framework for enhancing
901 the fairness and accuracy of language models.

Model Name	Topic	STS-B \uparrow Baseline Debiased PCC	STS-B \uparrow <i>DeepSoftDebias</i> Debiased PCC	SST Biased Acc.	SST Baseline Debiased Acc.	SST <i>DeepSoftDebias</i> Debiased Acc.
BAAI/bge-base-en-v1.5	Gender	0.088	0.001	0.730	0.725	0.693
BAAI/bge-large-en-v1.5		0.159	0.105	0.727	0.710	0.705
google/gemma-2b		-0.060	0.154	0.686	0.677	0.678
google/gemma-7b		-0.059	0.017	0.675	0.544	0.691
GritLM/GritLM-7B		-0.125	0.044	0.711	0.702	0.697
HuggingFaceH4/zephyr-7b-beta		-0.129	0.097	0.706	0.687	0.699
intfloat/multilingual-e5-large-instruct		-0.037	0.096	0.729	0.720	0.724
meta-llama/Llama-2-7b-hf		0.009	-0.032	0.701	0.692	0.686
openai-community/gpt2-large		0.042	-0.038	0.664	0.665	0.669
openai-community/gpt2-xl		0.041	0.071	0.666	0.667	0.669
tiiuae/falcon-7b		-0.116	0.066	0.686	0.672	0.694
BAAI/bge-base-en-v1.5		Race	0.094	0.092	0.730	0.709
BAAI/bge-large-en-v1.5	0.104		0.099	0.727	0.727	0.695
google/gemma-2b	-0.041		0.164	0.686	0.665	0.686
google/gemma-7b	-0.055		0.133	0.675	0.549	0.678
GritLM/GritLM-7B	-0.133		-0.057	0.711	0.714	0.690
HuggingFaceH4/zephyr-7b-beta	-0.127		0.062	0.706	0.687	0.697
intfloat/multilingual-e5-large-instruct	0.053		0.120	0.729	0.730	0.730
meta-llama/Llama-2-7b-hf	-0.058		0.113	0.701	0.699	0.705
openai-community/gpt2-large	-0.019		0.024	0.664	0.670	0.680
openai-community/gpt2-xl	0.149		0.180	0.666	0.665	0.692
tiiuae/falcon-7b	-0.192		-0.027	0.686	0.664	0.693
BAAI/bge-base-en-v1.5	Religion		0.054	0.078	0.730	0.716
BAAI/bge-large-en-v1.5		0.153	0.175	0.727	0.718	0.697
google/gemma-2b		0.118	0.278	0.686	0.679	0.682
google/gemma-7b		0.127	0.194	0.675	0.548	0.685
GritLM/GritLM-7B		-0.002	0.077	0.711	0.702	0.703
HuggingFaceH4/zephyr-7b-beta		-0.130	0.118	0.706	0.693	0.686
intfloat/multilingual-e5-large-instruct		0.201	0.194	0.729	0.728	0.735
meta-llama/Llama-2-7b-hf		-0.103	0.032	0.701	0.679	0.710
openai-community/gpt2-large		0.247	0.251	0.666	0.671	0.679
openai-community/gpt2-xl		0.126	0.265	0.686	0.671	0.703

Table 7: Downstream testing results on Stanford Sentiment Treebank and STS-B Semantic Similarity Dataset. PCC here refers to the Pearson’s Coefficient and we report the gain in positive PCC from the Biased embeddings to the debiased embeddings. SST is Stanford Sentiment Treebank and STS-B is the Semantic Textual Similarity Benchmark

Debiasing Direction	Biased	Baseline	Baseline + Adam	DeepSoftBias + SGD	DeepSoftBias + Adam
Gender	0.390	0.623	0.799	0.893	0.982
Race	0.404	0.656	0.824	0.984	0.987
Religion	0.406	0.623	0.812	0.966	0.983

Table 8: Ablations to characterize various design decisions in the development of *DeepSoftDebias*. We start with the transformation matrix, then make incremental additions till we reach the proposed architecture of the *DeepSoftDebias* network.