Exploring the Boundaries of GPT-4 in Radiology

Anonymous EMNLP submission

Abstract

The recent success of general-domain large language models (LLMs) has significantly changed the natural language processing paradigm towards a unified foundation model across domains and applications. In this paper, we focus on assessing the performance of GPT-4, the most capable LLM so far, on the text-based applications for radiology reports, comparing against state-of-theart (SOTA) radiology-specific models. Exploring various prompting strategies, we evaluated GPT-4 on a diverse range of common radiology 012 tasks and we found GPT-4 either outperforms 014 or is on par with current SOTA radiology models. With zero-shot prompting, GPT-4 already obtains substantial gains ($\approx 10\%$ absolute improvement) over radiology models in tempo-017 ral sentence similarity classification (accuracy) and natural language inference (F_1) . For tasks that require learning dataset-specific style or schema (e.g. findings summarisation), GPT-4 improves with example-based prompting and matches supervised SOTA. Our extensive error analysis with a board-certified radiologist shows GPT-4 has a sufficient level of radiology knowledge with only occasional errors in complex context that require nuanced domain knowledge. For findings summarisation, GPT-4 outputs are found to be overall comparable with existing manually-written impressions.

1 Introduction

004

007

027

032

041

Recently, the emergence of large language models (LLMs) has pushed forward AI performance in many domains; with many GPT-4 (OpenAI, 2023) powered applications achieving and even surpassing human performance in many tasks (Bubeck et al., 2023; Nori et al., 2023). There is a shift in paradigm towards using a unified general-domain foundation LLM to replace domain- and taskspecific models. General-domain LLMs enable a wider range of customised tasks without the need to extensively collect human labels or to perform

specialised domain training. Also, with off-theshelf prompting, applying LLMs is easier than the traditional training pipeline for supervised models. 043

044

045

047

050

051

056

057

060

061

062

063

064

065

067

068

069

071

072

073

074

075

076

077

078

079

081

While contemporary studies (Nori et al., 2023; Ranjit et al., 2023; Bhayana et al., 2023a) have started to explore the use of GPT-4 in the clinical domain, the readiness of GPT-4 in the radiology workflow remains to be rigorously and systematically tested. In this study, we set out the following research questions: (1) How can we evaluate GPT-4 on its ability to process and understand radiology reports? (2) How can we apply common prompting strategies for GPT-4 across different radiology tasks? (3) How does GPT-4 compare against SOTA radiology-specific models?

To answer these questions, we established a rigorous evaluation framework to evaluate GPT-4 on a diverse range of common radiology tasks including both language understanding and generation. The evaluation covers sentence-level semantics (natural language inference, sentence similarity classification), structured information extraction (including entity extraction, disease classification and disease progression classification), and a direct application of findings summarisation. We explored various prompting strategies including zero-shot, few-shot, chain-of-thought (CoT)(Wei et al., 2022), example selection (Liu et al., 2022), and iterative refinement (Ma et al., 2023), and we further experimented with adding self-consistency (Wang et al., 2023) and asking GPT-4 to defer handling uncertain cases to improve the reliability of GPT-4. For each task, we benchmarked GPT-4 with prior GPT-3.5 models (text-davinci-003 and ChatGPT) and the respective state-of-the-art (SOTA) radiology models. Apart from reporting metric scores, we performed extensive qualitative analysis with a board-certified radiologist to understand the model errors by categorising them as ambiguous, label noise, or genuine model mistakes. We highlight the particular importance of qualitative analysis for open-ended

170

171

172

173

174

175

176

131

generation tasks such as findings summariastion where GPT-4 may provide alternative solutions.

086

087

880

090

091

094

099

100

101

102

104

105

106

107

108

109

110

111

112

113

114

115

116

117

118

119

120

121

To sum up, our key contributions and *findings* (*in italics*) are:

1. Evaluation Framework: We proposed an evaluation and error analysis framework to benchmark GPT-4 in radiology. Collaborating with a board-certified radiologist, we pinpointed the limitations of GPT-4 and the current task paradigms, directing future evaluation pursuits to tackle more intricate and challenging real-world cases and to move beyond mere metric scores.

> GPT-4 shows a significant level of radiology knowledge. The majority of detected errors are either ambiguous or label noise, with a few model mistakes requiring nuanced domain knowledge. For findings summarisation, GPT-4 outputs are often comparable to existing manually-written impressions.

 Prompting Strategies: We explored and established good practices for prompting GPT-4 across different radiology tasks.

> GPT-4 requires minimal prompting (zero-shot) for tasks with clear instructions (e.g. sentence similarity). However, for tasks needing comprehension of dataset-specific schema or style (e.g. findings summarisation), which are challenging to articulate in instructions, GPT-4 demands advanced example-based prompting.

3. **GPT-4 vs. SOTA:** We compared GPT-4 performance with task-specific SOTA radiology models for understanding and validating the paradigm shift towards a unified foundation model in the specialised domains.

GPT-4 outperforms or matches performance of task-specific radiology SOTA.

2 Related Work

There have been extensive efforts to benchmark and 122 analyse LLMs in the general-domain. Liang et al. 123 (2022) benchmarks LLMs across broad NLP sce-124 narios with diverse metrics. Hendrycks et al. (2021) 125 measures LLMs' multitask accuracy across disci-126 plines. Zheng et al. (2023) explores using LLMs 127 as judge for open-ended questions. Bubeck et al. 128 (2023) further tests GPT-4's capabilities beyond 129 language processing towards general intelligence 130

(AGI), exploring tasks such as mathematical problem solving and game playing. Many other studies focus on testing specific capabilities such as reasoning from LLMs (Liu et al., 2023b; Espejel et al., 2023).

The evaluation of GPT-4 has also begun to garner interest in the medical field. For example, Lee et al. (2023) discusses the potential advantages and drawbacks of using GPT-4 as an AI chatbot in the medical field. Cheng et al. (2023) investigates possible applications of GPT-4 in biomedical engineering. Nori et al. (2023) evaluates GPT-4 for medical competency examinations and shows GPT-4 performance is well above the passing score. There have also been a few recent studies that evaluate GPT-4 in the radiology domain: Bhayana et al. (2023a,b) show that GPT-4 significantly outperforms GPT-3.5 and exceeds the passing scores on radiology board exams. Other studies have shown great potential from GPT-4 in various radiology applications such as simplifying clinical reports for clinical education (Lyu et al., 2023), extracting structures from radiology reports (Adams et al., 2023), natural language inference (NLI) (Wu et al., 2023b), and generating reports (Ranjit et al., 2023). While most of these studies focus on a specific application, our study aims for an extensive evaluation to compare GPT-4 against SOTA radiology models, covering diverse tasks and various prompting techniques.

Beyond prompting GPT-4, continued efforts are being made to adapt LLMs to the medical domain via fine-tuning. Med-PaLM and Med-PaLM-2 (Singhal et al., 2022, 2023) improve over PaLM (Chowdhery et al., 2022) and PaLM-2 (Anil et al., 2023) with medical-domain fine-tuning. Yunxiang et al. (2023) and Wu et al. (2023a) further fine-tune the open-source LLaMA model (Touvron et al., 2023) with medical-domain data. Van Veen et al. (2023) adapts LLMs to radiology data with parameter efficient fine-tuning. While these models offer lightweight alternatives, our study focuses on GPT-4 as it is still by far the best-performing model across many domains and represents the frontier of artificial intelligence (Bubeck et al., 2023).

3 Evaluation Framework

3.1 Task selection

We benchmark GPT-4 on seven common text-only177radiology tasks (Table 1) covering both understand-178ing and generation tasks. The two sentence sim-179ilarity classification tasks and NLI both require180

the understanding of sentence-level semantics in 181 a radiology context, with NLI additionally requir-182 ing reasoning and logical inference. Structured information extraction tasks (disease classification, disease progression classification, and entity extraction) require both superficial entity extraction 186 and inference from cues with radiology knowledge (e.g. 'enlarged heart' implies 'cardiomegaly'). For entity extraction, the model must further follow the schema-specific categorisation of entities. Finally, 190 we evaluate GPT-4 on an important part of the radiology workflow: findings summarisation, i.e. 192 condensing detailed descriptions of findings into a 193 clinically actionable impression. These tasks cover 194 different levels of text granularity (sentence-level, 195 word-level, and paragraph-level) and different aspects of report processing, and hence give us a holistic view of how GPT-4 performs in processing 198 radiology reports. 199

3.2 Prompting strategies

208

210

211

213

214

215

216

217

218

219

221

222

226

227

Alongside GPT-4 (gpt-4-32k), we evaluated two earlier GPT-3.5 models: text-davinci-003 and ChatGPT (gpt-35-turbo). Model and API details are in Appendix A. For each task, we started with zero-shot prompting and progressively increased prompt complexity to include random few-shot (a fixed set of random examples), and then similaritybased example selection (Liu et al., 2022). For example selection, we use OpenAI's general-domain text-embedding-ada-002 model to encode the training examples as the candidate pool to select n nearest neighbours for each test instance. For NLI, we also explored CoT, as it was shown to benefit reasoning tasks (Wei et al., 2022). For findings summarisation, we replicated ImpressionGPT (Ma et al., 2023), which adopts dynamic example selection and iterative refinement.

To test the stability of GPT-4 output, we applied self-consistency (Wang et al., 2023) for sentence similarity, NLI, and disease classification. We report mean and standard deviation across five runs of GPT-4 with temperature zero¹ and self-consistency results with majority voting (indicated by 'SC'). All prompts are presented in Appendix C.

3.3 Error analysis with radiologist

The authors did a first pass of the error cases to review easy instances requiring only general syntactic and linguistic knowledge (e.g. 'increased pleural effusion' versus 'decreased pleural effusion'). We then surfaced the cases where radiology expertise is required to a board-certified radiologist for a second-round review and feedback. For interpretability, we prompted GPT-4 to give an explanation after its answer. Reviewing both model answer and reasoning, we categorise each error into: ambiguous², label noise³, or genuine mistake. 228

229

230

231

232

233

234

235

236

237

238

239

240

241

242

243

244

245

246

247

249

250

251

252

253

254

255

257

258

259

260

261

262

263

264

265

266

267

269

270

271

4 **Experiments**

4.1 Sentence similarity classification

Task and model setup In this task, the model receives as input a sentence pair and must classify the sentences as having the same, or different meanings. We evaluate the models on two sub-tasks: temporal sentence similarity classification (MS-CXR-T (Bannur et al., 2023b)) and RadNLI-derived sentence similarity classification. Temporal sentence similarity focuses on temporal changes of diseases. For RadNLI, we follow Bannur et al. (2023a) to use the subset of bidirectional 'entailment' and 'contradiction' pairs and discard the 'neutral' pairs to convert RadNLI (Miura et al., 2021) to a binary classification task.

The radiology SOTA for this task is BioViL-T (Bannur et al., 2023a) (a radiology-specific visionlanguage model trained with temporal multi-modal contrastive learning). The GPT performance is obtained from zero-shot prompting.

Results As shown in Table 2, all the GPT models outperform BioViL-T, achieving new SOTA. In particular, GPT-4 significantly outperforms both text-davinci-003 and ChatGPT on MS-CXR-T, indicating an advanced understanding of disease progression. Error analysis revealed the majority of the GPT-4 (SC) errors are either ambiguous or label noise with only 1 model mistake in RadNLI (see Appendix B.1), indicating GPT-4 is achieving near-ceiling performance in these tasks.

4.2 Natural language inference (NLI)

Task and model setup We assess GPT on the original RadNLI classification dataset (Miura et al., 2021). The model receives input 'premise' and 'hypothesis' sentences, and determines their relation:

¹The OpenAI API for GPT-4 is non-deterministic even with temperature 0. We also explored varying the temperature parameter and found no improvement.

²An ambiguous case is when both GPT-4 error output and gold label can arguably be correct under different interpretations of the labels. For an example, an uncertain pathology could be labelled as 'presence' or 'absence'.

³the label is wrong and model is correct

Table 1: Results overview. GPT-4 either outperforms or is on par with previous SOTA. New SOTA is established by GPT-4 on sentence similarity and NLI (absolute improvement for accuracy and F_1 are reported). GPT-4 achieves near-ceiling performance in many tasks with < 1% mistake rate (shaded). ImpressionGPT (Ma et al., 2023) requires example selection and iterative example refinement.

Task	Test samples	Prompting GPT-4	GPT-4 performance	Mistake rate
Temporal sentence similarity	361	Zero-shot	New SOTA (^{10%} acc.)	0.0%
Sentence similarity (RadNLI)	145	Zero-shot	New SOTA (³ % acc.)	0.7%
Natural language inference (RadNLI)	480	Zero-shot + CoT	New SOTA ($\uparrow 10\% F_1$)	5.8%
Disease progression	1326	Zero-shot	On par with SOTA	0.4%
Disease classification	1955	10-shot*	On par with SOTA	0.3%
Entity extraction	100	200-shot*	On par with SOTA	-
Findings summarisation	1606 / 576^\dagger	ImpressionGPT	On par with SOTA	_

n-shot*: similarity-based example selection with *n* examples; Mistake rate⁴ = [# genuine mistakes] / [# test samples]; †: [MIMIC] / [Open-i]

Table 2: Zero-shot GPT-4 and GPT-3.5 achieve new SOTA (accuracy) on sentence similarity tasks. To test the consistency of GPT-4, we report mean and std. across five runs, and the self-consistency results ('SC').

Model	MS-CXR-T	RadNLI
text-davinci-003	90.3	91.0
ChatGPT	92.0	95.2
GPT-4	97.3 ± 0.2	94.1 ± 0.4
GPT-4 (SC)	97.2	93.8
BioViL-T (Bannur et al., 2023a)	87.8	90.5

one of 'entailment', 'contradiction', or 'neutral'.

We present GPT performance with zero-shot prompting and CoT. We compare GPT models against the current SOTA, a radiology-adapted T5 model (DoT5) which was trained on radiology text and general-domain NLI data (Liu et al., 2023a).

Results Table 3 shows that GPT-4 with CoT achieves a new SOTA on RadNLI, outperforming DoT5 by 10% in macro F_1 . Whereas NLI has traditionally been a challenging task for earlier GPT models, GPT-4 displays a striking improvement. We also observe that CoT greatly helps in this task especially for GPT-3.5.

We further investigate how GPT-4 performs in cases that require different levels of radiology expertise⁵, and we show that GPT-4 reaches the best performance in both generic and radiology-specific logical inference. CoT seems to help GPT models particularly to understand the radiology-specific cases. This is because CoT pushes the model to elaborate more on the radiology knowledge relevant to the input sentences, therefore giving sufficient context for a correct reasoning assessment (see Table B.4). Finally, we highlight that, even for GPT-4, there is still a gap in performance: the cases that specifically require radiology knowledge are more challenging than the other cases.

Table 3: GPT performance (macro F_1) on RadNLI with domain analysis. GPT-4 + CoT achieves new SOTA. Mean, std., and self-consistency ('SC') results are reported for GPT-4 + CoT across five runs.

	All	need doma	in expertise?
		Yes	No
text-davinci-003	55.9	42.8	60.7
+ CoT	64.9	54.1	68.4
ChatGPT	45.4	31.5	52.3
+ CoT	70.5	65.6	70.2
GPT-4	87.8	74.0	93.1
+ CoT	89.3 ± 0.4	78.9 ± 1.4	93.5 ± 0.4
+ CoT (SC)	89.2	78.8	93.6
DoT5 (Liu et al., 2023a)	79.8	70.1	86.4

4.3 Disease classification

Task and model setup The evaluation dataset is extracted from Chest ImaGenome (Wu et al., 2021) gold attributes on the sentence level. To fairly compare with the SOTA CheXbert (Smit et al., 2020) model, we focus on pleural effusion, atelectasis, pneumonia, and pneumothorax, which are common pathology names between CheXbert findings and Chest ImaGenome attributes. The output labels are 'presence' and 'absence' (binary classification) for each pathology. Detailed description of the label mapping is in Appendix D.

Besides the CheXbert baseline, we also include the silver annotations from Chest ImaGenome, produced by an ontology-based NLP tool with filtering rules (the Chest ImaGenome gold datasets are in fact human-verified silver annotations). To prompt

272

299

300

301

302

303

304

305

306

308

309

310

311

312

313

314

315

295

298

4

⁵Our categorisation is based on Liu et al. (2023a)'s domain expertise annotations.

GPT models, we started with zero-shot prompting,
and then added 10 in-context examples with both
random selection and similarity-based example selection. The example candidates are from the Chest
ImaGenome silver data.

321

322

324

331

333

335

336

339

341

342

348

351

357

361

Results As shown in Table 4, there is progressive improvement from text-davinci-003 to Chat-GPT and then to GPT-4. All the GPT models' zero-shot results outperform CheXbert. We are able to improve GPT-4 zero-shot performance with 10-shot random in-context examples. We achieve a further slight improvement with similarity-based example selection, approaching the performance of silver annotations.

We manually analysed the errors from the GPT-4 (*10) experiment and found that most (20 out of 30) are ambiguous, with the pathology cast as potentially present, rather than being easily labelled as present or not. This is particularly the case for pneumonia whose presence is typically only suggested by findings in the chest X-ray (See examples of such uncertain cases in Table B.6). The rest of the model errors are 5 cases of label noise and 5 model mistakes. With <1% mistake rate, GPT-4 is approaching ceiling performance in this task.

Defer from uncertain cases Given the large amount of uncertain and ambiguous cases in the dataset, we experimented with asking the model to output 'uncertain' alongside the presence and absence labels, and defer from these uncertain cases.⁶ Table 5 shows that GPT-4 achieves very strong performance on those cases for which it is not uncertain. Note that pneumonia classification is dramatically improved and many positive cases of pneumonia are deferred. This aligns with our observation from the dataset that pneumonia is often reported as a possibility rather than a certain presence. We further test the robustness of GPT-4 in this setup and report mean, standard deviation and majority vote results in Table E.1.

4.4 RadGraph entity extraction

Task and model setup This task requires a model to extract observation and anatomy entities from radiology reports and determine their presence (present, absent, or uncertain) following the RadGraph schema (Jain et al., 2021). To evaluate the extraction, we report micro F_1 score counting

Table 4: GPT performance on Chest ImaGenome disease classification.

Model	Micro F ₁	Macro F ₁
text-davinci-003	79.2	79.9
ChatGPT	89.7	85.0
GPT-4	93.0	91.5
GPT-4 (10)	96.6	96.6
GPT-4 (*10)	97.9	97.5
CheXbert	73.6	73.1
Silver	97.8	98.9

(n): number of random shots; *: similarity-based example selection; Silver: Chest ImaGenome silver annotations.

Table 5: Zero-shot GPT-4 performance after deferring from uncertain cases on Chest ImaGenome dataset: GPT-4 (defer). Its performance is significantly improved from zero-shot GPT-4 (with binary output).

	GPT-4 (defer)	GPT-4
Macro F ₁	97.4	93.0
Micro F_1	98.6	91.5
Pleural effusion	98.5 [103]	95.3 [176]
Atelectasis	99.0 [154]	97.8 [233]
Pneumonia	92.3 [16]	75.7 [111]
Pneumothorax	100.0 [17]	97.3 [18]

[n]: number of positive instances for each pathology.

363

364

365

366

367

368

369

370

371

374

375

376

377

378

379

380

381

382

384

385

a true positive when both the extracted entity text and the label are correct. RadGraph provides two datasets: MIMIC (Johnson et al., 2019) with both train and test data, and CheXpert (Irvin et al., 2019) (with only test data).

We compare with the SOTA RadGraph Benchmark model reported in Jain et al. (2021), which is based on DyGIE++ (Wadden et al., 2019) with Pub-MedBERT initializations (Gu et al., 2021). Regarding prompting strategy, we started with a randomly selected 1-shot example,⁷ and then increased the number of random shots to 10. To push the performance, we leveraged the maximum context window of GPT-4, incorporating 200-shot examples with both random selection and similarity-based selection. Additionally, we found it is helpful to perform GPT inference on individual sentences before combining them for report-level output. The in-context examples are also on the sentence level (200-shot sentences roughly corresponds to 40 reports) from the train set.

Results As shown in Table 6, examples are crucial for GPT to learn this task. We observe a mas-

⁶This can be seen as an alternative way to allow for uncertainties compared with using the class logits (Nori et al., 2023) as the class logits are not available via the API endpoints.

⁷We first experimented with zero-shot prompting, which resulted in many output formatting errors. Adding one example resolved the formatting issue.

410

411

412

413

414

415

386

425

426

427

428

429

430

431

432

433

434

435

436

437

438

439

440

441

442

443

444

445

446

447

448

449

450

451

452

453

454

455

456

457

458

Table 6: GPT performance (micro F_1) on RadGraph entity extraction.

Model	MIMIC	CheXpert
text-davinci-003(1)	56.2	49.2
text-davinci-003(10)	83.2	79.5
ChatGPT (1)	47.1	42.2
ChatGPT (10)	70.6	67.5
GPT-4 (1)	36.6	25.3
GPT-4 (10)	88.3	84.7
GPT-4 (200)	91.5	88.4
GPT-4 (*200)	92.8	90.0
RadGraph Benchmark	94.3	89.5

(n): number of random shots; *: similarity-based example selection

sive jump in performance when increasing the number of examples in the context. GPT-4 with 200 selected examples achieves overall on-par performance with RadGraph benchmark: while GPT-4 (*200) underperforms the RadGraph model on the in-domain MIMIC test set, GPT-4 surpasses Rad-Graph Benchmark on the out-of-domain CheXpert dataset. This indicates GPT-4 could be a more robust choice to generalise to out-of-domain datasets. Our error analysis reveals the errors are mostly due to GPT-4 failing to learn the schema specifics (Appendix B.5). For example, GPT-4 may extract the whole compound word ('mild-to-moderate') as the observation term, while the gold annotations break the word down ('mild' and 'moderate').

4.5 Disease progression classification

Task and model setup We evaluate on the temporal classification task from MS-CXR-T (Bannur et al., 2023b), which provides progression labels for five pathologies (consolidation, edema, pleural effusion, pneumonia, and pneumothorax) across three progression classes ('improving', 'stable', and 'worsening'). In this experiment, the input is the radiology report and the outputs are disease progression labels. We report macro accuracy for each pathology due to class imbalance. As MS-CXR-T labels were originally extracted from Chest ImaGenome, we can also use Chest ImaGenome silver annotations as our baseline. We report GPT performance with zero-shot prompting.

416**Results** Table 7 shows that there is again a large417jump of performance from GPT-4 compared with418the earlier GPT-3.5 models. Zero-shot GPT-4419achieves >95% across all pathologies and is com-420parable with Chest ImaGenome silver annotation.

Our error analysis reveals that the majority of model errors are either label noise or ambiguous and the small mistake rate (0.4%) reflects the task is nearly solved.

Table 7: GPT performance on MS-CXR-T disease progression (macro accuracy).

Model	Pl. eff.	Cons.	PNA	РТХ	Edema
text-davinci-003	92.1	91.8	90.0	96.1	93.6
ChatGPT	91.0	84.8	84.5	93.0	89.8
GPT-4	98.7	95.7	96.4	99.4	96.8
Silver	98.1	91.8	96.6	100.0	97.6

PNA: pneumonia; PTX: pneumothorax; Pl. eff.: pleural effusion; Cons.: consolidation; Silver: Chest ImaGenome silver annotations.

4.6 Findings summarisation

Task and model setup The findings summarisation task requires the model to summarise the input findings into a concise and clinically actionable impression section. We evaluate on the MIMIC (Johnson et al., 2019) and Open-i (Demner-Fushman et al., 2016) datasets and follow Ma et al. (2023) to report results on the official MIMIC test set and a random split (2400:576 for train:test) for Open-i. For metrics, we report RougeL (Lin, 2004) and the CheXbert score (Smit et al., 2020) (a radiologyspecific factuality metric). We further conduct a qualitative comparison study on GPT-4 outputs.

For prompting strategies, we started with zeroshot and increased the number of random incontext examples to 10-shot. For GPT-4, we tried adding 100 examples with random selection and similarity-based selection. Examples are drawn from the respective train set for each dataset. We also replicated ImpressionGPT (Ma et al., 2023) with ChatGPT and GPT-4. ImpressionGPT performs dynamic example selection based on CheXbert labels and iteratively selects good and bad examples as in-context examples (The implementation details are found in Appendix G).

We compare with the previous supervised SOTA for this task (Hu et al., 2022) (which adopts a graph encoder to model entity relations from findings), as well as with DoT5 (Liu et al., 2023a), a strong zero-shot summarisation baseline.

Results While zero-shot GPT models all outperform DoT5, we observe that providing examples is crucial for this task: there is consistent and substantial improvement when increasing the number of

in-context examples for all GPT models. A further 459 boost can be achieved when we enable example 460 selection for GPT-4 (*100). The more advanced 461 ImpressionGPT brings the best performance out of 462 GPT-4 and achieves performance comparable with 463 the supervised SOTA. 464

Qualitative comparison To understand the dif-465 ferences between GPT-4 output and the manually-466 written impressions, we chose a random sample of reports and asked a radiologist to compare existing 468 manually-written impressions with GPT-4 (Impres-469 sionGPT) output. Table 9 demonstrates that for the 470 majority of the cases ($\approx 70\%$), GPT-4 output is either preferred or comparable with the manually-472 written impression. Tables B.8 and B.9 show exam-473 ples where GPT-4 outputs are more faithful to the 474 findings than the manually-written impressions. 475

467

471

Table 8: GPT performance on findings summarisation. ImpressionGPT iteratively refines good and bad examples as in-context examples.

	MI	MIC	Op	en-i
Model	R.	CB.	R.	CB.
text-davinci-003	22.9	41.8	14.5	41.9
text-davinci-003(10)	29.1	43.0	40.5	42.0
ChatGPT	20.0	40.5	14.8	39.6
ChatGPT (10)	31.0	42.5	40.6	41.0
GPT-4	22.5	39.2	18.0	39.3
GPT-4 (10)	28.5	44.2	42.5	44.9
GPT-4 (100)	30.9	44.7	44.2	45.0
GPT-4 (*100)	38.4	47.4	59.8	47.3
ChatGPT (ImpressionGPT)	44.7	63.9	58.8	44.8
GPT-4 (ImpressionGPT)	46.0	64.9	64.6	46.5
Hu et al. (2022)	47.1	54.5	64.5	_
DoT5 (Liu et al., 2023a)	-	_	11.7	25.8

(n): number of random shots; *: similarity-based example selection; R.: RougeL; CB.: CheXbert.

Table 9: Percentage (%) with which the GPT-4 (ImpressionGPT) generated impression is equivalent or preferred compared with an existing manually-written one according to a radiologist.

Sample (n)	Manual Imp. preferred	Equiv.	GPT-4 preferred	Ambig.
Open-i (80)	28.8	43.8	26.3	1.3
MIMIC (40)	25.0	10.0	57.5	7.5

Equiv.: equivalent; Ambig.: ambiguous; Manual Imp.: Existing manual impression

5

5.1

Discussion

Moving beyond quantitative scores, we manually reviewed all GPT-4 errors in all the tasks (A detailed analysis is shown in Appendix B). We further analysed the consistency of the errors for a selection of tasks and reported the error breakdown in Table 10. We found the majority of the errors are either ambiguous or label noise. As an example of ambiguity, GPT-4 is extremely strict in identifying paraphrases and argues that one sentence contains minor additional information or slightly different emphasis. In fact, for sentence similarity, disease progression, and disease classification tasks, the model mistakes are < 1% of the test set (Table 1). We believe GPT-4 is achieving near-ceiling performance on these tasks. For entity extraction and findings summarisation, we found that GPT-4 output for many of the error cases is not necessarily wrong, but is offering an alternative to the schema or style in the dataset. This is verified by our qualitative analysis from Appendix B.5 and Section 4.6).

Error analysis and GPT-4 consistency

476

477

478

479

480

481

482

483

484

485

486

487

488

489

490

491

492

493

494

495

496

497

498

499

500

501

502

503

504

505

506

507

508

510

511

512

513

514

515

516

517

518

519

520

521

522

523

It is important to note that GPT-4 in our current study still makes occasional mistakes. Some mistakes are unstable across runs and can be corrected by self-consistency. Table 10 shows that GPT-4 is mostly consistent, and, for the few cases of inconsistent output, self-consistency can correct most of the model mistakes that occur in minority runs.⁸ Another helpful strategy is to ask GPT-4 to defer when it is uncertain, as demonstrated by the disease classification experiments (Appendix B.3).

The remaining model mistakes are mostly cases where nuanced domain knowledge is required. For example, GPT-4 mistakenly equates 'lungs are hyperinflated but clear' with 'lungs are well-expanded and clear' in MS-CXR-T. The former indicates an abnormality while the latter is describing normal lungs. We should point out that this mistake does not mean GPT-4 is fundamentally lacking the knowledge. In fact, when asked explicitly about it in isolation (e.g., difference between 'hyperinflated' and 'well-expanded lungs'), or when we reduce the complexity of the two sentences to 'lungs are hyperinflated' and 'lungs are well-expanded', GPT-4 is able to differentiate the two terms (Table B.3). We interpret it as nuanced radiology knowledge not being guaranteed to always surface for all contexts

⁸Note that the overall scores from self-consistency experiments (Tables 2, 3 and E.1) do not reflect this quantitatively due to the noise from the many ambiguous cases.

Table 10: Self-consistency error analysis for GPT-4. Errors are categorised by whether they are consistent, occurring in minority runs (SC correct) or occurring in majority runs (SC incorrect). We further categorise errors into model mistakes and others (ambiguous or label noise). We observe the majority of the errors are consistent and many errors are not model mistakes. Within the cases of inconsistent output, self-consistency can correct most of the model mistakes. GPT-4 zero-shot performance is reported in this table (disease classification results are after we defer from the uncertain cases). Error breakdown for other single run experiments are in Table F.1.

	Consis	stent	SC correct		SC inco	orrect	
Task	Mistake	Other	Corrected mistake	Other	Mistake	Other	Total
Temporal sentence similarity	0%	72%	10%	0%	0%	18%	11
Sentence similarity (RadNLI)	11%	78%	0%	0%	0%	11%	9
RadNLI	55%	31%	6%	0%	2%	6%	49
Disease classification	22%	67%	11%	0%	0%	0%	9
All	38%	46%	6%	0%	1%	8%	78

with all various prompts. While future prompting strategies might help with these cases, we must acknowledge that potential model mistakes cannot be fully ruled out. Therefore, a human in the loop is still required for safety-critical applications.

5.2 GPT-4 vs SOTA radiology models

Throughout the experiments, we first observed a significant jump of performance of GPT-4 compared with the prior GPT-3.5 (text-davinci-003 and ChatGPT), confirming the findings from previous studies (Nori et al., 2023). We then summarised the overall GPT-4 performance compared with ra-535 diology SOTA in Table 1. The key finding is that 536 GPT-4 outperforms or is on par with SOTA radiology models in the broad range of tasks considered. We further notice that different tasks require dif-539 ferent prompting efforts and strategies. For tasks 540 such as sentence similarity, RadNLI, and disease 541 progression, the task requirements can be clearly defined in the instruction. (For example, there is clear logical definition for 'entailment', 'neu-544 tral', and 'contradiction' in NLI). For such 'learn-545 by-instruction' tasks, a simple zero-shot prompting strategy for GPT-4 can yield significant gains over task-specific baselines or nearly ceiling performance. Disease classification does not fall into this category due to the ambiguity in how to as-550 sign labels for the uncertain cases. Here, GPT-4 551 requires 10 examples to achieve comparable nearceiling performance with previous SOTA. We show 553 that zero-shot GPT-4 can also achieve near-ceiling performance if we defer from uncertain cases (Ta-555 ble 5) in this task. Another key point to note is that 556 GPT-4 is a better choice than the previous SOTA 557 Chest ImaGenome silver annotations for disease and disease progression classification, as the silver

annotations are from rule-based systems that are not available to be re-used for other datasets.

560

561

562

563

564

565

566

567

568

569

570

571

572

573

574

575

576

577

578

579

580

581

583

584

585

586

588

589

590

591

592

593

594

595

Different from the above-mentioned tasks, it is not straightforward to articulate requirements in the instruction for entity extraction and findings summarisation. For entity extraction, the exact definition of observation and anatomy is schemaspecific and in many cases can only be inferred from training examples. For findings summarisation, while there are general rule-of-thumb principles for writing a good impression, it is not possible to write down detailed instructions regarding the exact phrasing and style of the impressions in a particular dataset. We call these 'learn-by-example' tasks. Task-specific supervised models perform competitively on such tasks, as they can explicitly learn an in-domain distribution from all training examples. We found significant improvement of GPT models with increased number of examples compared with zero-shot, and GPT-4 with example selection can match supervised baselines. Future research can explore ways to combine GPT-4 and supervised models (e.g. treating the latter as plugins Shen et al. 2023; Xu et al. 2023).

6 Conclusion

This study evaluates GPT-4 on a diverse range of common radiology text-based tasks. We found GPT-4 either outperforms or is on par with taskspecific radiology models. GPT-4 requires the least prompting effort for the 'learn-by-instruction' tasks where requirements can be clearly defined in the instruction. Our extensive error analysis shows that although it occasionally fails to surface domain knowledge, GPT-4 has substantial capability in the processing and analysis of radiology text, achieving near-ceiling performance in many tasks.

524

525

7 Limitations

596

As GPT-4 with the current prompting strategies in the study already achieves near-ceiling perfor-598 mance in many tasks, we leave an exhaustive exper-599 imentation of all existing prompting strategies for future research. For example, we have not explored the more recently proposed advanced prompting techniques including tree of thought (Yao et al., 2023) and self-critique (Shinn et al., 2023) and we encourage future research to apply techniques to help improve the reliability of GPT-4. Also, due to resource constraint, we did not perform self-607 consistency exhaustively for all tasks and for all GPT models. That being said, we believe the insights from this study will be useful for design-610 ing future prompting strategies for radiology tasks, 611 where particular tasks or error cases will require 612 more prompting efforts. Our error analysis shows 613 that many of the existing radiology tasks contain intrinsic ambiguities and label noise and we call for more quality control when creating evaluation 616 benchmarks in the future. Finally, our qualitative 617 evaluation of the findings summarisation task is limited to a single radiologist. This is a subjective 619 assessment that will be influenced by radiologist's own style and preference. The ideal scenario would 621 be to ask radiologists who participated in the cre-623 ation of the MIMIC or Open-i dataset to perform the assessment so that they have the same styling 624 preference as the dataset.

References

627

631

632

633

634

636

637

641

642

643

645

646

- Lisa C Adams, Daniel Truhn, Felix Busch, Avan Kader, Stefan M Niehues, Marcus R Makowski, and Keno K Bressem. 2023. Leveraging GPT-4 for post hoc transformation of free-text radiology reports into structured reporting: a multilingual feasibility study. *Radiology*, page 230725.
- Rohan Anil, Andrew M Dai, Orhan Firat, Melvin Johnson, Dmitry Lepikhin, Alexandre Passos, Siamak Shakeri, Emanuel Taropa, Paige Bailey, Zhifeng Chen, et al. 2023. PaLM 2 technical report. *arXiv preprint arXiv:2305.10403*.
- Shruthi Bannur, Stephanie Hyland, Qianchu Liu, Fernando Pérez-García, Maximilian Ilse, Daniel C. Castro, Benedikt Boecking, Harshita Sharma, Kenza Bouzid, Anja Thieme, Anton Schwaighofer, Maria Wetscherek, Matthew P. Lungren, Aditya Nori, Javier Alvarez-Valle, and Ozan Oktay. 2023a. Learning to exploit temporal structure for biomedical vision-language processing. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR)*, pages 15016–15027.

Shruthi Bannur, Stephanie Hyland, Qianchu Liu, Fernando Pérez-García, Max Ilse, Daniel Coelho de Castro, Benedikt Boecking, Harshita Sharma, Kenza Bouzid, Anton Schwaighofer, Maria Teodora Wetscherek, Hannah Richardson, Tristan Naumann, Javier Alvarez Valle, and Ozan Oktay. 2023b. MS-CXR-T: Learning to exploit temporal structure for biomedical vision-language processing. *PhysioNet*. 648

649

650

651

652

653

654

655

656

657

658

659

660

661

662

663

664

665

667

668

669

670

671

672

673

674

675

676

677

678

679

680

681

682

684

685

686

687

688

689

690

691

692

693

694

695

696

697

698

699

700

701

702

- Rajesh Bhayana, Robert R Bleakney, and Satheesh Krishna. 2023a. GPT-4 in radiology: Improvements in advanced reasoning. *Radiology*, page 230987.
- Rajesh Bhayana, Satheesh Krishna, and Robert R Bleakney. 2023b. Performance of ChatGPT on a radiology board-style examination: Insights into current strengths and limitations. *Radiology*, page 230582.
- Sébastien Bubeck, Varun Chandrasekaran, Ronen Eldan, Johannes Gehrke, Eric Horvitz, Ece Kamar, Peter Lee, Yin Tat Lee, Yuanzhi Li, Scott Lundberg, et al. 2023. Sparks of artificial general intelligence: Early experiments with GPT-4. *arXiv preprint arXiv:2303.12712*.
- Kunming Cheng, Qiang Guo, Yongbin He, Yanqiu Lu, Shuqin Gu, and Haiyang Wu. 2023. Exploring the potential of GPT-4 in biomedical engineering: the dawn of a new era. *Annals of Biomedical Engineering*, pages 1–9.
- Aakanksha Chowdhery, Sharan Narang, Jacob Devlin, Maarten Bosma, Gaurav Mishra, Adam Roberts, Paul Barham, Hyung Won Chung, Charles Sutton, Sebastian Gehrmann, et al. 2022. PaLM: Scaling language modeling with pathways. *arXiv preprint arXiv:2204.02311*.
- Dina Demner-Fushman, Marc D Kohli, Marc B Rosenman, Sonya E Shooshan, Laritza Rodriguez, Sameer Antani, George R Thoma, and Clement J McDonald. 2016. Preparing a collection of radiology examinations for distribution and retrieval. *Journal of the American Medical Informatics Association*, 23(2):304–310.
- Jessica López Espejel, El Hassane Ettifouri, Mahaman Sanoussi Yahaya Alassan, El Mehdi Chouham, and Walid Dahhane. 2023. GPT-3.5 vs GPT-4: Evaluating ChatGPT's reasoning performance in zero-shot learning. *arXiv preprint arXiv:2305.12477*.
- Yu Gu, Robert Tinn, Hao Cheng, Michael Lucas, Naoto Usuyama, Xiaodong Liu, Tristan Naumann, Jianfeng Gao, and Hoifung Poon. 2021. Domain-specific language model pretraining for biomedical natural language processing. *ACM Transactions on Computing for Healthcare (HEALTH)*, 3(1):1–23.
- Dan Hendrycks, Collin Burns, Steven Basart, Andy Zou, Mantas Mazeika, Dawn Song, and Jacob Steinhardt. 2021. Measuring massive multitask language understanding. In *International Conference on Learning Representations*.

Jinpeng Hu, Zhuo Li, Zhihong Chen, Zhuguo Li, Xiang Wan, and Tsung-Hui Chang. 2022. Graph enhanced contrastive learning for radiology findings summarization. In *Annual Meeting of the Association for Computational Linguistics*.

703

704

706

707

708

709

710

711

712

713

714

716

717

718

719

720

721

722

723

724

728

729

731

734

735

736

737

739

740

741

742

743

744

745

747

748

750

751

752 753

754

756

759

- Jeremy Irvin, Pranav Rajpurkar, Michael Ko, Yifan Yu, Silviana Ciurea-Ilcus, Chris Chute, Henrik Marklund, Behzad Haghgoo, Robyn Ball, Katie Shpanskaya, et al. 2019. Chexpert: a large chest radiograph dataset with uncertainty labels and expert comparison. In Proceedings of the Thirty-Third AAAI Conference on Artificial Intelligence and Thirty-First Innovative Applications of Artificial Intelligence Conference and Ninth AAAI Symposium on Educational Advances in Artificial Intelligence, pages 590–597.
- Saahil Jain, Ashwin Agrawal, Adriel Saporta, Steven Truong, Tan Bui, Pierre Chambon, Yuhao Zhang, Matthew P Lungren, Andrew Y Ng, Curtis Langlotz, et al. 2021. Radgraph: Extracting clinical entities and relations from radiology reports. In *Thirty-fifth Conference on Neural Information Processing Systems* Datasets and Benchmarks Track (Round 1).
- Alistair EW Johnson, Tom J Pollard, Seth J Berkowitz, Nathaniel R Greenbaum, Matthew P Lungren, Chihying Deng, Roger G Mark, and Steven Horng. 2019. MIMIC-CXR, a de-identified publicly available database of chest radiographs with free-text reports. *Scientific data*, 6(1):1–8.
- Peter Lee, Sebastien Bubeck, and Joseph Petro. 2023. Benefits, limits, and risks of GPT-4 as an AI chatbot for medicine. *New England Journal of Medicine*, 388(13):1233–1239.
- Percy Liang, Rishi Bommasani, Tony Lee, Dimitris Tsipras, Dilara Soylu, Michihiro Yasunaga, Yian Zhang, Deepak Narayanan, Yuhuai Wu, Ananya Kumar, et al. 2022. Holistic evaluation of language models. *arXiv preprint arXiv:2211.09110*.
- Chin-Yew Lin. 2004. ROUGE: A package for automatic evaluation of summaries. In *Text Summarization Branches Out*, pages 74–81, Barcelona, Spain. Association for Computational Linguistics.
- Fangyu Liu, Qianchu Liu, Shruthi Bannur, Fernando Pérez-García, Naoto Usuyama, Sheng Zhang, Tristan Naumann, Aditya Nori, Hoifung Poon, Javier Alvarez-Valle, Ozan Oktay, and Stephanie L. Hyland. 2023a. Compositional zero-shot domain transfer with text-to-text models.
- Hanmeng Liu, Ruoxi Ning, Zhiyang Teng, Jian Liu, Qiji Zhou, and Yue Zhang. 2023b. Evaluating the logical reasoning ability of ChatGPT and GPT-4. *arXiv preprint arXiv:2304.03439*.
- Jiachang Liu, Dinghan Shen, Yizhe Zhang, William B Dolan, Lawrence Carin, and Weizhu Chen. 2022. What makes good in-context examples for GPT-3? In Proceedings of Deep Learning Inside Out (Dee-LIO 2022): The 3rd Workshop on Knowledge Extraction and Integration for Deep Learning Architectures, pages 100–114.

Qing Lyu, Josh Tan, Michael E Zapadka, Janardhana Ponnatapura, Chuang Niu, Kyle J Myers, Ge Wang, and Christopher T Whitlow. 2023. Translating radiology reports into plain language using ChatGPT and GPT-4 with prompt learning: results, limitations, and potential. *Visual Computing for Industry*, *Biomedicine, and Art*, 6(1):9. 761

762

763

764

765

768

769

770

771

774

775

776

778

779

780

781

783

784

785

786

787

788

789

790

791

792

793

794

795

796

797

798

799

800

801

802

803

804

805

806

807

808

809

810

811

812

813

814

815

- Chong Ma, Zihao Wu, Jiaqi Wang, Shaochen Xu, Yaonai Wei, Zhengliang Liu, Lei Guo, Xiaoyan Cai, Shu Zhang, Tuo Zhang, et al. 2023. ImpressionGPT: An iterative optimizing framework for radiology report summarization with ChatGPT. *arXiv preprint arXiv:2304.08448*.
- Yasuhide Miura, Yuhao Zhang, Emily Tsai, Curtis Langlotz, and Dan Jurafsky. 2021. Improving factual completeness and consistency of image-to-text radiology report generation. In *Proceedings of the 2021 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies*, pages 5288–5304.
- Harsha Nori, Nicholas King, Scott Mayer McKinney, Dean Carignan, and Eric Horvitz. 2023. Capabilities of GPT-4 on medical challenge problems. *arXiv preprint arXiv:2303.13375*.

OpenAI. 2023. Gpt-4 technical report.

- Mercy Ranjit, Gopinath Ganapathy, Ranjit Manuel, and Tanuja Ganu. 2023. Retrieval augmented chest X-ray report generation using OpenAI GPT models.
- Yongliang Shen, Kaitao Song, Xu Tan, Dongsheng Li, Weiming Lu, and Yueting Zhuang. 2023. Hugging-GPT: Solving AI tasks with ChatGPT and its friends in hugging face. *arXiv preprint arXiv:2303.17580*.
- Noah Shinn, Beck Labash, and Ashwin Gopinath. 2023. Reflexion: an autonomous agent with dynamic memory and self-reflection. *arXiv preprint arXiv:2303.11366*.
- Karan Singhal, Shekoofeh Azizi, Tao Tu, S Sara Mahdavi, Jason Wei, Hyung Won Chung, Nathan Scales, Ajay Tanwani, Heather Cole-Lewis, Stephen Pfohl, et al. 2022. Large language models encode clinical knowledge. *arXiv preprint arXiv:2212.13138*.
- Karan Singhal, Tao Tu, Juraj Gottweis, Rory Sayres, Ellery Wulczyn, Le Hou, Kevin Clark, Stephen Pfohl, Heather Cole-Lewis, Darlene Neal, et al. 2023. Towards expert-level medical question answering with large language models. *arXiv preprint arXiv:2305.09617*.
- Akshay Smit, Saahil Jain, Pranav Rajpurkar, Anuj Pareek, Andrew Ng, and Matthew Lungren. 2020. Combining automatic labelers and expert annotations for accurate radiology report labeling using BERT. In *Proceedings of the 2020 Conference on Empirical Methods in Natural Language Processing (EMNLP)*, pages 1500–1519, Online. Association for Computational Linguistics.

- 816 817 818
- 8
- 8
- 8
- 8
- 828 829
- 8
- 832 833
- 835

834

- 836 837
- 8
- 839 840

841

- 843 844
- 845
- 8
- 848 849
- 8

852

- 8
- 854 855
- 8
- 3
- 860 861
- 862

- 867 868

870 871

- Hugo Touvron, Thibaut Lavril, Gautier Izacard, Xavier Martinet, Marie-Anne Lachaux, Timothée Lacroix, Baptiste Rozière, Naman Goyal, Eric Hambro, Faisal Azhar, Aurelien Rodriguez, Armand Joulin, Edouard Grave, and Guillaume Lample. 2023. LLaMA: Open and efficient foundation language models. *arXiv preprint arXiv:2302.13971*.
- Dave Van Veen, Cara Van Uden, Maayane Attias, Anuj Pareek, Christian Bluethgen, Malgorzata Polacin, Wah Chiu, Jean-Benoit Delbrouck, Juan Manuel Zambrano Chaves, Curtis P Langlotz, et al. 2023. RadAdapt: Radiology report summarization via lightweight domain adaptation of large language models. *arXiv preprint arXiv:2305.01146*.
- David Wadden, Ulme Wennberg, Yi Luan, and Hannaneh Hajishirzi. 2019. Entity, relation, and event extraction with contextualized span representations. In Proceedings of the 2019 Conference on Empirical Methods in Natural Language Processing and the 9th International Joint Conference on Natural Language Processing (EMNLP-IJCNLP), pages 5784–5789, Hong Kong, China. Association for Computational Linguistics.
- Xuezhi Wang, Jason Wei, Dale Schuurmans, Quoc V Le, Ed H. Chi, Sharan Narang, Aakanksha Chowdhery, and Denny Zhou. 2023. Self-consistency improves chain of thought reasoning in language models. In *International Conference on Learning Representations*.
- Jason Wei, Xuezhi Wang, Dale Schuurmans, Maarten Bosma, Fei Xia, Ed H Chi, Quoc V Le, Denny Zhou, et al. 2022. Chain-of-thought prompting elicits reasoning in large language models. In Advances in Neural Information Processing Systems.
- Chaoyi Wu, Xiaoman Zhang, Ya Zhang, Yanfeng Wang, and Weidi Xie. 2023a. PMC-LLaMA: Further finetuning LLaMA on medical papers. *arXiv preprint arXiv:2304.14454*.
- Joy Wu, Nkechinyere Agu, Ismini Lourentzou, Arjun Sharma, Joseph Paguio, Jasper Seth Yao, Edward Christopher Dee, William Mitchell, Satyananda Kashyap, Andrea Giovannini, Leo Anthony Celi, Tanveer Syeda-Mahmood, and Mehdi Moradi. 2021. Chest imagenome dataset (version 1.0.0). PhysioNet.
- Zihao Wu, Lu Zhang, Chao Cao, Xiaowei Yu, Haixing Dai, Chong Ma, Zhengliang Liu, Lin Zhao, Gang Li, Wei Liu, et al. 2023b. Exploring the trade-offs: Unified large language models vs local fine-tuned models for highly-specific radiology NLI task. *arXiv preprint arXiv:2304.09138*.
- Canwen Xu, Yichong Xu, Shuohang Wang, Yang Liu, Chenguang Zhu, and Julian McAuley. 2023. Small models are valuable plug-ins for large language models. *arXiv preprint arXiv:2305.08848*.
- Shunyu Yao, Dian Yu, Jeffrey Zhao, Izhak Shafran, Thomas L Griffiths, Yuan Cao, and Karthik

Narasimhan. 2023. Tree of thoughts: Deliberate problem solving with large language models. *arXiv* preprint arXiv:2305.10601.

872

873

874

875

876

877

878

879

880

881

882

883

- Li Yunxiang, Li Zihan, Zhang Kai, Dan Ruilong, and Zhang You. 2023. ChatDoctor: A medical chat model fine-tuned on LLaMA model using medical domain knowledge. *arXiv preprint arXiv:2303.14070*.
- Lianmin Zheng, Wei-Lin Chiang, Ying Sheng, Siyuan Zhuang, Zhanghao Wu, Yonghao Zhuang, Zi Lin, Zhuohan Li, Dacheng Li, Eric Xing, et al. 2023. Judging llm-as-a-judge with mt-bench and chatbot arena. *arXiv preprint arXiv:2306.05685*.

884 885

896

900

901 902

903

904

905

906

908

909

910

911

912

913

914

915 916

917

918

919

920

921

922

923

925

927

928 929

931

A GPT model and API details

We evaluated GPT-4 (gpt-4-32k, ver. 0314), and two earlier GPT-3.5 models: text-davinci-003 and ChatGPT (gpt-35-turbo, ver. 0301). We use Azure Cognitive Services API with the version '2023-03-15-preview'. Model names correspond to https://platform.openai.com/docs/ models/overview.

B GPT-4 detailed error analysis

B.1 Sentence similarity

We manually reviewed all GPT-4 errors and found that the errors are mostly ambiguous or label noise and these two tasks can be seen as nearly solved by GPT-4. For MS-CXR-T, the majority of the errors required identifying that 'improvement' was synonymous with 'decrease' for cases such as edema and lung opacity (all were ground truth paraphrase pairs) (See Table B.1). Among these cases, GPT-4 does recognise 'improvement' and 'decrease' describe positive change, but argues that 'improvement' and 'decrease' describe different aspects of the change. Confirmed with our radiologist, GPT-4 reasoning here is pedantic but is understandable.

In one error however, GPT-4 reasons that 'improved' and 'decrease' indicate opposite direction of change, which is a clear error showing the radiology-specific knowledge does not surface from GPT-4 in this case. Fortunately, this error case is only the minority across all runs (2 out of 5 cases) and is corrected by SC.

The finding is similar for the RadNLI sentence similarity task where the majority of the errors are ambiguous cases where GPT-4 flagged that one sentence contained slightly more information than the other, hence were not strict paraphrases. There is one genuine error where GPT-4 mistakenly equates 'lungs are hyperinflated but clear' with 'lungs are well-expanded and clear' (See Table B.2). The former indicates an abnormality while the latter is normal. We should point out that this behaviour does not mean GPT-4 is fundamentally lacking the knowledge. In fact, if we reduce the complexity of the two sentences to 'lungs are hyperinflated' and 'lungs are well-expanded', GPT-4 is able to differentiate between the two terms. Therefore, we interpret the error as the domain knowledge has not being surfaced in a complex context.

Self-consistency does not improve the overall results and most errors are consistent across runs.

For MS-CXR-T, 8 out of the 11 error cases are con-
sistent. For RadNLI sentence similarity, 8 out of 9933error cases are consistent across runs. For the in-
consistent cases, apart from one genuine error from
MS-CXR-T which is corrected by self-consistency,
all inconsistent cases are ambiguous.933

Sentence 1	interval improvement in mild pulmonary edema
Sentence 2	interval decrease in mild pulmonary edema
GPT-4 reasoning	The first sentence describes an improvement in the mild pulmonary edema, which means the condition has gotten better. The second sentence describes a decrease in the mild pulmonary edema, which means the amount or severity of the edema has reduced. Although both sentences indicate a positive change, they describe different aspects of the change in the pulmonary edema.
Error category	ambiguous
Sentence 1	opacities in the left base have minimally improved.
Sentence 2	opacities in the left base have minimally decreased.
GPT-4 reasoning	The first sentence states that the opacities have improved, which means they have become less severe or less noticeable. The second sentence states that the opacities have decreased, which means they have reduced in size or number. Although both sentences describe a positive change, they convey different aspects of the change in opacities.
Error category	ambiguous
Sentence 1	left basal consolidation has slightly improved.
Sentence 2	left basal consolidation has slightly decreased.
GPT-4 reasoning	The first sentence states that the left basal consolidation has slightly improved, mean- ing the condition has gotten better. The second sentence states that the left basal consolidation has slightly decreased, which implies that the size or extent of the consolidation has reduced. Although both sentences indicate a positive change, they describe different aspects of the change.
Error category	ambiguous
Sentence 1	mild improvement in right upper lung opacity, possibly a hematoma, status post right upper lobe resection.
Sentence 2	mild decrease in right upper lung opacity, possibly a hematoma, status post right upper lobe resection.
GPT-4 reasoning	The two sentences describe different changes in the right upper lung opacity. Sentence 1 mentions a "mild improvement," while Sentence 2 mentions a "mild decrease." These changes are opposite in nature, with improvement suggesting a positive change and decrease suggesting a reduction in opacity.
Error category	genuine mistake

Table B.1: Examples of GPT-4 errors on the MS-CXR-T temporal sentence similarity dataset. Note that all pairs are labelled (ground truth) as paraphrases, but were classified as different by GPT-4. In the first three examples, GPT-4 gives sound reasoning. The last example shows erroneous reasoning where the model thinks improvement and decrease indicate opposite directions of change, but fortunately the last example comes from 2 out of 5 runs and can be corrected by self-consistency.

Sentence 1	Osseous structures are without an acute abnormality.
Sentence 2	The osseous structures are grossly unremarkable, although evaluation is limited sec- ondary to body habitus.
GPT-4 output and reasoning	different. While both sentences describe the osseous structures as not having any sig- nificant issues, Sentence1 states that there are no acute abnormalities, while Sentence2 mentions that the evaluation is limited due to the patient's body habitus, implying that there might be some limitations in the assessment.
True label	paraphrase
Error category	ambiguous
Santanca 1	Lange and here and developed
Semence I	Lungs are hyperinflated but clear.
Sentence 2	Lungs are well-expanded and clear without focal consolidation concerning for pneu- monia.
Sentence 1 Sentence 2 GPT-4 output and reasoning	Lungs are nyperinnated but clear. Lungs are well-expanded and clear without focal consolidation concerning for pneu- monia. paraphrase. Both sentences describe lungs that are clear and without any signs of pneumonia. The first sentence uses the term "hyperinflated" while the second uses "well-expanded," but both essentially convey the same meaning of lungs being expanded and clear.
Sentence 1 Sentence 2 GPT-4 output and reasoning True label	Lungs are nyperinnated but clear. Lungs are well-expanded and clear without focal consolidation concerning for pneu- monia. paraphrase. Both sentences describe lungs that are clear and without any signs of pneumonia. The first sentence uses the term "hyperinflated" while the second uses "well-expanded," but both essentially convey the same meaning of lungs being expanded and clear. different

Table B.2: Examples of GPT-4 errors on the RadNLI-derived sentence similarity dataset. In the first example, the label is ambiguous and GPT-4 highlights the additional information in the second sentence. In the second example, GPT-4 erroneously equates hyperinflated (a pathological state) with 'well-expanded' lungs.

Sentence 1	Lungs are hyperinflated but clear.			
Sentence 2	Lungs are well-expanded and clear without focal consolidation concerning for pneu monia.			
GPT-4 output and reasoning	paraphrase. Both sentences describe lungs that are clear and without any sign of pneumonia. The first sentence uses the term "hyperinflated" while the secon uses "well-expanded," but both essentially convey the same meaning of lungs bein expanded and clear.			
True label	different			
Sentence 1	Lungs are hyperinflated.			
Sentence 2	Lungs are well-expanded.			
GPT-4 output and reasoning	different. Although both sentences describe the state of the lungs, they convey different meanings. "Hyperinflated" implies that the lungs are excessively inflated, which could indicate a medical issue. On the other hand, "well-expanded" suggests that the lungs are in a healthy state, functioning properly with adequate inflation.			
True label	different			

Table B.3: An example to show that domain knowledge is surfaced from GPT-4 when we reduce the complexity of the context as in the second example.

B.2 RadNLI

939

941

942

945

947

951

952

953

957

961

962

963

964

965

966

968

969

972

973

974

975

976

977

978

981

982

985

986

We observe a higher error rate in NLI compared to sentence similarity. This can be explained by the subtle nature of the task that requires precise logical reasoning in order to correctly recognise textual entailment from neutral examples and contradictions. Out of the 45 model "errors" from GPT-4 + CoT (SC), we identified 13 logical errors where the model's reasoning indicated a lack of understanding of entailment as it appeared to look for paraphrases 'the hypothesis does not provide all information in the premise' or it confused the directionality of entailment 'the premise is part of the hypothesis'. Additionally, we found 18 label-noise examples for which GPT-4 was arguably right. An extra set of 14 errors were domain specific such as 'unchanged' does not imply 'normal' and 'enlarged' suggests 'not top normal' in a radiology context (See Table B.5). While most of the errors are consistent across run, there are 7 cases of inconsistent output across runs. For 4 cases, majority run does not align with the gold label but these cases are mostly label noise. For 3 cases, majority corrects inconsistent output and all 3 cases are genuine errors from minority runs.

We also show in Table B.4 the examples where CoT improves GPT-4 performance on RadNLI.

B.3 Disease Classification

We analyse the errors for the GPT-4 (defer) setup after we defer from uncertain cases. We collect a total of 8 error cases for all pathologies from a single run: there are two cases of label noise, while the other errors are largely ambiguous and uncertain cases where GPT-4 should output 'uncertain' but outputs 'absence' instead. For example, in 'alternatively, could be due to infection, a toxic or allergic drug reaction or hemorrhage.', GPT-4 should output uncertain for pneumonia rather than absence. There are two genuine errors where GPT-4 fails to identify 'pleural effusion' from 'fluid did not accumulate acutely', and mistakenly assigns the absence label for pleural effusion in the sentence 'pleural effusion is nearly resolved'. When we compare the outupt before and after we add the 'uncertain' option to the model, we observe an overall improvement in F_1 , many of the ambiguous errors from binary setup are now assigned with 'uncertain' label. In addition, some of the genuine errors from binary setup (e.g., not understanding 'linear opacities' indicates atelectasis) are assigned with 'uncertain' as well as GPT-4 reasons that 'linear opacities' can possibly reflect atelectasis. This indicates that the model is able to defer in the case of an obvious erroneous output.

989

990

991

992

993

994

995

996

997

998

999

1000

1001

1002

1003

1004

1005

1006

1007

1008

1009

1010

1011

1012

1013

1014

1015

1016

1017

1018

1019

We test self-consistency for the GPT-4 (defer) set up across five runs, the 8 identified errors are consistent. There is an extra error from 1 out of 5 runs where the model makes a genuine mistake: GPT-4 thinks pleural effusion is present based on "there is no pneumothorax, large effusion, or congestion." Fortunately, this obvious error can be corrected by majority voting from self-consistency.

B.4 Disease Progression

The error analysis reveals that GPT-4 is achieving ceiling performance on this task. We manually reviewed a total of 34 errors from all the pathologies and found most of the model mismatches are either due to label noise (7) or the case being ambiguous (21). Many of the ambiguous cases appeared where the pathologies mentioned are uncertain in the first place and therefore harder to assess for change. The genuine errors are either because the model fails to recognise pathologies (e.g. 'hemorrhage' will indicate consolidation) or failed to associate the change word with the pathology due to unfamiliarity with radiology-specific phrasing (e.g., not able to recognise edema is worsening from 'increased opacities consistent with mild pulmonary edema').

Table B.7 shows the example errors from GPT-4 on disease progression.

B.5 RadGraph

We examine the GPT-4 (*200) output on MIMIC 1020 and collect in total 137 error cases. We categorise these errors into 31 cases where gold entities are 1022 not identified from predictions, and 73 cases where 1023 predicted entities are not found in gold, and 33 1024 cases where the entity tokens are extracted but the 1025 labels are not correct. For the missing gold enti-1026 ties, we found that most of the cases (22 out of 1027 33) are due to GPT breaking up the word differ-1028 ently than the gold annotations: e.g., While GPT-4 1029 extracts the whole phrase 'mild-to-moderate' as 1030 one observation entity, the gold annotation extracts 1031 'mild' and 'moderate' separately. For the 73 over-1032 predicted entities, we found that the majority of 1033 the mismatch is due to inconsistency in the annota-1034 tion. For example, in the sentence 'an esophageal 1035 drainage tube passes into the stomach and out of 1036 view' GPT extracts 'out of view' as the observa-1037 tion but the gold annotation does not. However, in

Premise	Mediastinal and hilar contours are grossly unremarkable.			
Hypothesis	Bony structures are unremarkable.			
GPT-4 + CoT	REASON: The premise talks about the mediastinal and hilar contours being unre- markable, which refers to the structures in the chest area. The hypothesis talks about bony structures being unremarkable, which refers to the bones. These two statements are not directly related to each other, as one talks about chest structures and the other talks about bones. Therefore, there is no clear evidence to support or contradict the hypothesis based on the premise. Hence, the relation between the premise and hypothesis is neutral. ANSWER: neutral			
GPT-4	ANSWER: entailment			
True label	neutral			
Premise	The lungs are clear.			
Hypothesis	The lungs are well expanded.			
GPT-4 + CoT Both the premise and hypothesis are related to the lungs, but they exp information. The premise states that the lungs are clear, which means the signs of disease or infection. On the other hand, the hypothesis states are well expanded, which means that they are functioning properly and flow. These two statements are not contradictory, but they do not nec each other either. It is possible for the lungs to be clear but not well for the lungs to be well expanded but not necessarily clear. Therefor between the premise and hypothesis is neutral. ANSWER: neutral				
GPT-4	ANSWER: entailment			
True label	neutral			

_

Table B.4: Examples where CoT improves GPT-4 performance on RadNLI.

Premise	The heart is mildly enlarged, unchanged.			
Hypothesis	The heart is top normal in size, which is exaggerated by the low lung volumes.			
GPT-4 output and reasoning	REASON: The premise states that the heart is mildly enlarged and unchanged, while the hypothesis suggests that the heart is top normal in size and appears larger due to low lung volumes. These two statements provide different information about the heart size and do not support or contradict each other directly. ANSWER: neutral			
True label	contradiction			
Premise	The pulmonary vasculature is normal.			
Hypothesis	There is no pulmonary edema.			
GPT-4 output and reasoning	REASON: The premise states that the pulmonary vasculature is normal, which implies that there are no abnormalities in the blood vessels of the lungs. The hypothesis states that there is no pulmonary edema, which is a condition where fluid accumulates in the lungs due to issues with the blood vessels or heart. Since a normal pulmonary vasculature would not typically result in pulmonary edema, the hypothesis can be inferred from the premise. ANSWER: entailment			
Error category	genuine mistake			
True label	neutral			
Error category	genuine mistake			
Premise	Opacities involving the right middle lobe and left lower lobe are again demonstrated and may represent atelectasis or infection in the appropriate setting.			
Hypothesis	Minimal left basilar opacity suggests minor atelectasis.			
GPT-4 output and reasoning	output and REASON: The premise mentions opacities in the right middle lobe and left lower which could represent atelectasis or infection. The hypothesis, on the other hand, about minimal left basilar opacity suggesting minor atelectasis. While both sente discuss opacities and atelectasis, they refer to different parts of the lungs. There the relation between the premise and hypothesis is undetermined. ANSWER: neutral			
True label	entailment			
Error category	genuine mistake			

Table B.5: GPT-4 errors on RadNLI. In the first example, GPT-3 misses that 'enlarged' entails 'not top-normal' for hear size. In the second example, GPT-4 fails to understand that normal pulmonary vasculature does not necessarily always indicates no normal pulmonary edema. In the last example, GPT-4 fails to understand left basilar opacity and opacities in the left lower lobe refer to the same location.

Text	scattered vague opacities bilaterally are consistent with clinical history of se emboli, unknown whether active or scar.			
Gold	(pneumonia, absent)			
GPT-4 answer and (pneumo reasoning are cons pneumo	(pneumonia, present). The text mentions "scattered vague opacities bilaterally" which are consistent with the clinical history of septic emboli. This suggests the presence of pneumonia.			
Error category	ambiguous			
Text	no large pleural effusions are seen, although trace effusions will be difficult to exclude.			
Gold	(pleural effusion, absent)			
GPT-4 answer and (pleural effusion, present). The text mentions that no large pleural effusion but trace effusions are difficult to exclude, which implies that small pleur might be present.				
Error category	ambiguous			

Table B.6: Examples of ambiguous GPT-4 errors on the disease classification binary classification task from Chest ImaGenome Gold dataset.

Text	Small focus of hemorrhage or atelectasis at the base of the postoperative right lung has cleared
Gold	(consolidation, improving)
GPT-4 answer and reasoning	(consolidation, stable). Consolidation is not mentioned in the input text. The findings discussed include persistent moderate right pneumothorax, small bilateral pleural effusions, small focus of hemorrhage or atelectasis, and mild generalized pulmonary edema.
Error category	genuine mistake
Text	Increased interstitial opacity with mediastinal vascular fullness and Kerley B lines is consistent with mild to moderate pulmonary edema
Gold	(edema, worsening)
GPT-4 answer and reasoning	(edema, stable). The text does not provide any information about the change in the edema's condition compared to a previous state. Therefore, we cannot determine if it is improving or worsening, and we assume it is stable.
Error category	genuine mistake

Table B.7: Examples of GPT-4 genuine mistakes on the disease progression classification task. In the first example, GPT-4 fails to identify that hemorrhage is a type of consolidation. In the second example, GPT-4 fails to understand the radiology-specific phrasing that the change 'consistent with edema will also apply to the edema.

another gold example in the training data: 'right 1039 internal jugular line ends in the right atrium and 1040 an upper enteric drain passes into a non-distended 1041 stomach and out of view .', 'out of view' was anno-1042 tated as the observation. This reflects the intrinsic 1043 ambiguity of annotating what counts as observa-1044 tion and anatomies in the schema. In another 25 1045 cases of over-predicted entities, GPT over-extracts 1046 words such as 'probable', 'definite' as observa-1047 tions. Finally, we found 33 cases where GPT-4 1048 extracts the correct entities but assigns the wrong 1049 label, and they are mostly due to the confusion of 1050 uncertain labels. Many of these are ambiguous, for 1051 example, for the sentence 'No definite focal con-1052 solidation identified', the gold annotation assigns 1053 an 'observation-uncertain' label for 'consolidation' 1054 but GPT assigns 'observation-absent'. 1055

> This error analysis reveals the intrinsic challenge of learning schema-specific annotations in this task, as there may not be a single clear-cut standard in some cases. GPT output often is not wrong but is offering an alternative solution.

B.6 Findings summarisation

1056

1057

1058

1059

1060

1061

1062

1063

1064

Table B.9 and Table B.8 show examples where GPT-4 (ImpressionGPT) outputs are favoured than existing manually-written impressions.

Findings	There is mild cardiomegaly. Mediastinal contours appear within normal limits. The are small bilateral pleural effusions, left greater than right with left basilar opacitie.			
Existing impression	Cardiomegaly with small bilateral pleural effusions and left basilar atelectasis.			
GPT-4 impression	Mild cardiomegaly and small bilateral pleural effusions with left basilar opacities.			
Findings Cardiomegaly is noted. No pleural effusions. No pneumothorax. There prominence and interstitial opacification.				
Existing impression	n Cardiomegaly and mild interstitial pulmonary edema.			
GPT-4 impression	Stable cardiomegaly with prominent perihilar opacities which may represent scarring or edema.			

Table B.8: Examples where GPT-4 (ImpressionGPT) impressions are favoured over the existing manually-written impressions on Open-i dataset. In both examples, GPT-4 outputs have more faithful and more complete details to the findings.

Findings	Single AP upright portable view of the chest was obtained. The patient's overlying chin obscures the medial bilateral upper lobes. The cardiac silhouette remains enlarged. Prominence of the pulmonary arteries is partially imaged and again seen. Evidence of diaphragmatic/pleural plaques is seen bilaterally suggesting prior asbestos exposure.		
Existing impression	The patient's chin overlies the bilateral medial upper lobes, obscuring the view. Given this, the cardiac silhouette is persistently enlarged. There is again prominence of the pulmonary arteries. Pulmonary vascular congestion appears improved.		
GPT-4 impression	on Cardiomegaly and prominence of pulmonary arteries. Bilateral diaphragmatic/p plaques suggestive of prior asbestos exposure.		
Findings	AP upright and lateral views of the chest provided. Vascular stent is seen in the region of the right brachiocephalic vein. The heart is moderately enlarged. There is mild interstitial pulmonary edema. Previously noted ET and NG tubes have been removed. No large pleural effusion. Mediastinal contour is stable. Bony structures are sclerotic which could reflect renal osteodystrophy.		
Existing impression	Mild cardiomegaly with mild interstitial pulmonary edema.		
GPT-4 impression	Moderate cardiomegaly with mild interstitial pulmonary edema.		

Table B.9: Examples where GPT-4 (ImpressionGPT) impressions are favoured over the existing manually-written impressions on MIMIC dataset The first example shows that the existing manually-written impressions written by radiologist are not always perfect and may contain irrelevant information (in orange). In the second example GPT-4 gives the correct detail ('Moderate cardiomegaly') based on the findings whereas the existing impression incorrectly concludes with 'mild cardiomegaly'.

1065	C Prompts
1066	For each task, We write the prompt to describe the
1067	task requirements with the input and output format,
1068	and we assign GPT the role of a radiologist in the
1069	system message. The prompts are written in one
1070	go without tweaking and tuning wording for each
1071	task.
1072	C.1 Sentence Similarity
1073	The chat prompt for zero-shot sentence similarity
1074	classification is shown in Fig. C.1.
1075	C.2 RadNLI
1076	Figure C.2 presents the zero-shot chat prompt for
1077	RadNLI.
1078	C.3 Disease Classification
1079	Figure C.3 presents the zero-shot chat prompt for
1080	disease classification.
1081	C.4 RadGraph Entity Extraction
1082	Figure C.4 shows the zero-shot chat prompt for
1083	RadGraph Entity Extraction.
1084	C.5 Disease Progression Prompt
1085	Figure C.3 presents the zero-shot chat prompt for
1086	disease progression classification.
1087	C.6 Summarisation Prompt
1088	Figure C.6 presents the zero-shot chat prompt for
1089	findings summarisation.

22

System You are a radiologist. Assess whether two sentences are describing the same meaning (paraphrase) or different meaning (different) regarding the change information. Reply with 'paraphrase' or 'different' first and then explain.
User - - INPUT Sentence1: Left lower lobe collapse stable. Sentence2: Persistent left lower lobe collapse.<|endofprompt|> ANSWER:

Figure C.1: Zero-shot Chat Prompt for Sentence Similarity

System You are a radiologist performing natural language inference on 2 sentences: premise and hypothesis. You need to judge which following three relations hold for the premise and hypothesis: entailment: The hypothesis can be inferred from the premise. contradiction: The hypothesis can NOT be inferred from the premise. neutral: The inference relation of the premise and the hypothesis is undetermined. Given the input, compare premise and hypothesis and reply with the following structure: REASON: <Text explaining the decision step by step> ANSWER: <entailment | neutral | contradiction> User - - TNPUT Premise: There is no pleural effusion pneumothorax. Hypothesis: No focal consolidation, pleural effusion, pneumothorax, or pulmonary edema. What is the relation between premise and hypothesis? Explain your reason first and then answer entailment, neutral or contradiction.<|endofprompt|> **REASON:**

Figure C.2: Zero-shot Chat Prompt for RadNLI

System You are a radiologist that identifies findings from radiology reports on chest X-rays. Given a piece of radiology text in the following input format:

<INPUT> <text> </INPUT> Assess the following

User

Assess the following findings from the input text: "Pleural Effusion", "Atelectasis", "Pneumonia", "Pneumothorax". Answer "present" if the finding is present; Answer "absent" if the finding is absent. Answer "not mentioned" if the finding is not mentioned from text. Reply with a list of tuples and then briefly explain following the format:

```
<OUTPUT>
ANSWER:
[
("Pleural Effusion", "present"|"absent"|"not mentioned"),
("Atelectasis", "present"|"absent"|"not mentioned"),
("Pneumonia", "present"|"absent"|"not mentioned"),
("Pneumothorax", "present"|"absent"|"not mentioned"),
]
EXPLANATION: <explanation>
</OUTPUT>
</INPUT>
</INPUT>
</INPUT>
```

Assess the requested findings from the above input text. Answer "present", "absent" or "not mentioned" for each finding. Reply with a list of tuples first and then briefly explain.<|endofprompt|> <OUTPUT> ANSWER:

Figure C.3: Zero-shot Chat Prompt for Disease Classification

System	You are a radiologist performing clinical term extraction from the FINDINGS and IMPRESSION sections in the radiology report. Here a clinical term can be either anatomy or observation that is related to a finding or an impression. The anatomy term refers to an anatomical body part such as a 'lung'. The observation terms refer to observations made when referring to the associated radiology image. Observations are associated with visual features, identifiable pathophysiologic processes, or diagnostic disease classifications. For example, an observation could be 'effusion' or description phrases like 'increased'. You also need to assign a label to indicate whether the clinical term is present, absent or uncertain. Given a piece of radiology text input in the format:
	<input/> <text> </text>
	reply with the following structure:
	<pre><output> ANSWER: tuples separated by newlines. Each tuple has the format: (<clinical term="" text="">, <label: observation-present="" observation-absent observation-uncertain anatomy-present="">). If there are no extraction related to findings or impression, return () </label:></clinical></output></pre>
Example user	<input/> No convincing evidence of pneumothorax or pneumomediastinum .
	What are the clinical terms and their labels in this text? Discard sections other than FINDINGS and IMPRESSION: eg. INDICATION, HISTORY, TECHNIQUE, COMPARISON sections. If there is no extraction from findings and impression, return ().
	<output> ANSWER:</output>
Example assistant	('pneumothorax', 'observation-absent') ('pneumomediastinum', 'observation-absent')

Figure C.4: 1-shot Chat Prompt for RadGraph Entity Extraction

System You are a radiologist that identifies the progression of pathologies from radiology text. Given a radiology report in the following input format:

<INPUT> <text> </INPUT>

Assess the following findings from the input text: "Edema". Answer "improving" if the finding is improving. Answer "worsened" if the finding is worsened. Answer "stable" if the finding is stable.Reply with a prediction and then briefly explain in the following format:

```
<OUTPUT>
ANSWER:
[
("Edema", "worsened"|"improving"|"stable"),
]
EXPLANATION: <explanation>
</OUTPUT>
```

User <INPUT>

FINAL REPORT
INDICATION: Chest pain and bradycardia. Evaluate for pneumonia.
COMPARISONS: Chest radiograph from ____.
TECHNIQUE: A single AP upright view of the chest was obtained.
FINDINGS: Since prior exam, there are new interstitial opacities and vascular
congestion, most consistent with moderate pulmonary edema. There is no focal
airspace opacity, pleural effusion, or pneumothorax. The mediastinal contours
are normal. The heart size is mildly enlarged.
IMPRESSION: New moderate pulmonary edema.
<//NPUT>
Assess the above input text. Answer "improving" if the finding is improving.
Answer "worsened" if the finding is worsened. Answer "stable" if the finding

is stable. Reply with a prediction and then briefly explain:<|endofprompt|>

<OUTPUT> ANSWER:

Figure C.5: Zero-shot Chat Prompt for Disease Progression Classification

System You are a radiologist that can write an impression section in a radiology report. Given the findings section of the report as the input:

<INPUT> <findings> </INPUT>

generate impression section:

<OUTPUT> IMPRESSION:<impression> </OUTPUT>

User <INPUT>

Left PICC tip is seen terminating in the region of the distal left brachiocephalic vein. Tracheostomy tube is in unchanged standard position. The heart is moderately enlarged. Marked calcification of the aortic knob is again present. Mild pulmonary vascular congestion is similar. Bibasilar streaky airspace opacities are minimally improved. Previously noted left pleural effusion appears to have resolved. No pneumothorax is identified. Percutaneous gastrostomy tube is seen in the left upper quadrant. </INPUT> Generate the impression section based on the input findings:<|endofprompt|> <OUTPUT> IMPRESSION:

Figure C.6: Zero-shot Chat Prompt for Findings Summarisation

D Label mapping details for disease classification

1090

1091

1104

1105

The attributes in Chest ImaGenome are labelled 1092 as 'yes' or 'no'. When there are no such labels, 1093 we assign the CheXbert 'missing' class. When 1094 calculating the scores, we collapse 'missing' and 1095 'no' labels into the negative class, and the 'yes' 1096 label is treated as the positive class. CheXbert 1097 predicts four labels for each pathology: 'present', 1098 'absent', 'not mentioned/missing', and 'uncertain'. 1099 To conform with the Chest ImaGenome dataset, we 1100 combine 'present' and 'uncertain' into the positive 1101 class, and 'absent' and 'missing' into the negative 1102 class. 1103

> E Self-consistency Results for Disease Classification

1106Table E.1 shows the self-consistency results for1107disease classification after deferring from uncertain1108cases.

	GPT-4 (SC)	GPT-4 (mean)
Macro F ₁	97.44	97.46 ± 0.12
Micro F_1	98.56	98.56 ± 0.12
Pleural Effusion	98.47	98.38 ± 0.21
Atelectasis	98.99	98.38 ± 0.21
Pneumonia	92.30	92.42 ± 0.25
Pneumothorax (18)	100.0	100.0

Table E.1: mean, standard deviation and the selfconsistency results for Zero-shot GPT-4 after deferring from uncertain cases

1109 1110

1113

1114

F Error breakdown for single-run experiments

1111Table F.1 shows the error breakdown for single-run1112experiments.

G Implementation details for ImpressionGPT

We replicated the latest ImpressionGPT (Ma 1115 et al., 2023) framework using both Chat-1116 GPT(as proposed in the original work) 1117 and GPT-4. We reproduced this work 1118 based on the publicly available code https: 1119 //github.com/MoMarky/ImpressionGPT. We 1120 set the hyperparameter values to match the optimal 1121 settings reported in the ablation study from the 1122 paper (Ma et al., 2023) which are different from 1123 the default values hard-coded in the repository. We 1124 therefore select $N_s = 15$ most similar in-context 1125 examples in the dynamic prompt. Additionally, we 1126 iteratively inject as many bad examples $B_d = n$ 1127 and update the single good example $G_d = 1$ with 1128 highest Rouge-1 score using Rouge-1 threshold 1129 T = 0.7. Finally, the iterative process is run for 1130 I = 17 iterations. We evaluate on the same test 1131 split shared in https://github.com/MoMarky/ 1132 radiology-report-extraction for Open-i 1133 dataset and the official test split for MIMIC-CXR. 1134 We note a performance drop for ChatGPT (Im-1135 pressionGPT) baseline (Rouge-L=44.7 vs 47.93 1136 for MIMIC-CXR and Rouge-L=58.8 vs 65.47 1137 for Open-i) compared to the results reported in 1138 (Ma et al., 2023). The default hyperparameters in 1139 the repository also did not produce the expected 1140 results. 1141

Task	Mistake	Other	Total	Mistake Rate
Disease Classification	17%	83%	30	5 / 1955 (0.3%)
Disease Progression Classification	18%	82%	34	13 / 1326 (0.4%)

Table F.1: GPT-4 Error breakdown for single-run classification experiments: disease classification (*10) and disease progression (zero-shot). Errors are categories into mistakes and other (ambiguous or label noise).