HOW WELL CANLLMS SYNTHESIZE MOLECULES? AN LLM-POWERED FRAMEWORK FOR MULTI-STEP RETROSYNTHESIS

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ABSTRACT

Predicting retrosynthesis routes is a fundamental challenge in chemistry, involving the design of a sequence of chemical reactions to synthesize a target molecule from commercially available starting materials. With a rapidly growing interest in using large language models for planning, this work introduces an LLM-powered framework for multi-step retrosynthesis. Our framework employs molecularsimilarity-based retrieval-augmented generation (RAG) to generate an initial retrosynthesis route, which is then iteratively refined through expert feedback. The use of molecular-similarity-based RAG improves reaction round-trip validity from 24.42% to 51.64% compared to GPT-4 with representative routes. With further refinement, the validity increases to 89.81%, resulting in an overall route validity of 79.5% with a perfect query success rate, comparable to traditional methods. Our framework offers a flexible, customizable approach to retrosynthesis, and we present a comprehensive analysis of the generated routes along with promising future research directions in LLM-driven multi-step retrosynthesis.

027 1 INTRODUCTION

028 029 030 031 032 033 034 035 In recent years, large language models (LLMs) have demonstrated remarkable capabilities in planning tasks across various domains, including robotics, entertainment, and scientific problem-solving [\(Hu et al., 2024;](#page-10-0) [Prasad et al., 2024;](#page-11-0) [Sun et al., 2023;](#page-12-0) [Zhang & Lu, 2024;](#page-13-0) [Tan et al., 2024;](#page-12-1) [Zhou](#page-13-1) [et al., 2023;](#page-13-1) [Yu et al., 2024a;](#page-12-2) [Shinn et al., 2023;](#page-12-3) [Yao et al., 2023;](#page-12-4) [Lu et al., 2023;](#page-11-1) [Trinh et al., 2024\)](#page-12-5). These approaches leverage LLMs with advanced architectures pretrained on vast datasets, employ well-structured prompts and external expert tools, and integrate them into carefully designed system pipelines to generate coherent plans and strategies. In many cases, LLMs have achieved results comparable to, or even surpassing, traditional methods.

036 037 038 039 040 041 042 043 044 Finding a synthesis route for a given molecule is a pivotal challenge in chemistry with earliest efforts traces back to the 1960s[\(Corey, 1967\)](#page-10-1). Existing approaches address this from a retrosynthesis perspective by framing the problem as a navigating task through an AND-OR tree rooted on the target molecule node, as illustrated in Figure [A1,](#page-14-0) a process known as retrosynthesis planning, which will be discussed in detail in later sections. Similar to planning in other domains, retrosynthesis requires both high-level reasoning to guide the overall process and a deep understanding of chemistry to ensure that each step involves feasible actions. Given the demonstrated success of LLMs in various fields, a compelling question arises: *Can LLMs be effectively applied to discover retrosynthesis pathways for molecules?*

045 046 047 048 049 050 051 052 053 In this work, we introduce a novel methodology for retrosynthesis route generation, where the entire route is generated and refined holistically, rather than being iteratively expanded step-bystep as in traditional approaches. This approach leverages the inherent ability of LLMs to generate complex sequences, allowing for more flexibility and creativity in the initial prediction with guidance from reference routes provided by the molecular-similarity-based RAG module. By incorporating RAG, our method guides LLMs to reference retrosynthesis routes from structurally similar molecules, providing a more informed basis for initial predictions. This is particularly important, as structurally similar molecules often exhibit analogous reactivity patterns, making them valuable references. We propose an iterative refinement framework, ensuring that the generated route can be adjusted and optimized as a whole. The iterative refinement process is driven by expert models, which offer targeted feedback to improve the accuracy and feasibility. **054 055 056 057 058** Furthermore, the use of local knowledge bases ensures that the generated routes remain chemically viable and aligned with existing knowledge, ultimately enhancing the robustness and practicality of the retrosynthesis plan.

059 060 061 062 063 064 065 066 067 068 069 070 071 072 073 074 075 076 Our contributions: We present a novel LLMpowered framework for multi-step retrosynthesis route generation, designed to generate and refine routes holistically rather than through iterative expansion. Using this framework, we evaluate both closed-source and opensource LLMs, including GPT-4-turbo, Claude-3-Haiku, and Deepseek-V2.5. Our study identifies several shortcomings and vulnerabilities across these models, highlighting key design considerations and pointing to promising future research directions. Collectively, this paper offers three main contributions: 1) A new framework for multi-step retrosynthesis powered by LLMs; 2) a comprehensive quantitative evaluation of LLM performance in retrosynthesis route generation; and 3) new insights into LLM behaviours that influence retrosynthesis route generation.

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2 PRELIMINARY

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081 IN-CONTEXT LEARNING FOR CHEMISTRY

083 084 085 086 087 088 089 LLMs pretrained on general knowledge corpora struggle with even basic chemistry tasks, such as converting between the Simplified Molecular Input Line Entry System (SMILES) and International Union of Pure and Applied Chemistry (IUPAC) names [\(Castro Nascimento](#page-10-2) [& Pimentel, 2023\)](#page-10-2), let alone more complex challenges like retrosynthesis route generation.

Figure 1: An example of valid retrosynthesis route generated by our framework.

090 091 092 093 094 095 096 Fine-tuning a pretrained LLM with parameters θ on a domain-specific dataset \mathcal{D}_{domain} = $\{(x_i, y_i)\}_{i=1}^N$, to obtain updated parameters $\theta^* = \arg \min_{\theta} \frac{1}{N} \sum_{i=1}^N \mathcal{L}(\theta; x_i, y_i)$ —where \mathcal{L} is the loss function—can help address this issue, as demonstrated in [\(Fang et al., 2023;](#page-10-3) [Zeng et al., 2022;](#page-13-2) [Liu et al., 2023c\)](#page-11-2). However, this approach presents three significant challenges: (1) it is computationally expensive, requiring substantial resources, (2) it is data-intensive, with a scarcity of high-quality retrosynthesis route datasets in the community, and (3) it lacks flexibility and cannot adapt to new knowledge without the integration of additional continual learning modules.

097 098 099 100 101 102 To overcome these limitations, an alternative approach is to leverage in-context learning (ICL). Opposed to the fine-tuning paradigm, ICL allows LLMs to adapt to specialized tasks by incorporating relevant examples directly into the input prompt at inference time, i.e. $\hat{y}_{ICL} = P(x, \{x_j, y_j\}|\theta)$, where $\{x_j, y_j\} \subset \mathcal{D}_{domain}$. This approach offers a more flexible and efficient solution, without the need for additional training or large volumes of annotated data. [Ramos et al.](#page-11-3) [\(2023\)](#page-11-3); [Li et al.](#page-11-4) [\(2023\)](#page-11-4); [Edwards et al.](#page-10-4) [\(2023\)](#page-10-4) adapted this approach for chemistry-related tasks.

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104 2.2 RETROSYNTHESIS PLANNING

106 107 A retrosynthesis route R_t is a sequence of chemical reactions $[r_1, r_2, \ldots, r_n]$ designed to synthesize a target molecule t . Each reaction must involve chemically feasible molecules, denoted as M , and all intermediate materials used in the synthesis, denoted as I, must be synthesizable from a

108 109 110 111 112 113 114 115 116 set of commercially available building-block materials, denoted as B . Given the vast size of B (23.[1](#page-2-0)M molecules in *eMolecules*¹ as of 2019), forward reasoning from B to t is inefficient. A more commonly adopted methodology is planning-based, which begins with decomposing t with a predicted reaction r_1 to form I_0 , where I_0 is the set or reactants suggested by r_1 . At the *i*th step, planning-based approaches select molecules $m \in I_i$ to decompose with predicted single-step retrosynthesis reaction r_i , thereby expanding both the set of reactions R and the set of intermediates I. This iterative process stops when all intermediates $i \in I$ are decomposed to molecules present in B. This process is typically abstracted as navigating through an AND-OR tree, comprising selection, expansion, and update phases, as illustrated in Figure [A1.](#page-14-0)

117 118 In the planning-based paradigm, explicit value functions $f_V : M \mapsto \mathbf{R}$, which map an intermediate molecule to a score, are necessary to select the appropriate $m \in I$ for further decomposition.

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2.3 RETHINKING MULTI-STEP RETROSYNTHESIS

122 123 124 125 126 127 128 Our vision is to generate complete retrosynthesis routes, R_t , in a single pass, without relying on the iterative selection-expansion phases typical of traditional methods. Although generated R_t may not represent the final route, it can be iteratively refined based on user preferences or expert knowledge as holistically, with the assistance of LLMs. This process allows for flexible adjustments, as modifications can be applied to a complete route rather than to partial sequences. In line with [Strieth-](#page-12-6)[Kalthoff et al.](#page-12-6) [\(2024\)](#page-12-6)'s vision of utilising both experts knowledge and data-intensive models, this approach fosters a more dynamic, user-driven process while improving the reliability and quality of the retrosynthesis route by enabling direct user feedback and simplifying optimization.

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3 UTILIZING LLM FOR RETROSYNTHESIS ROUTE GENERATION

132 133 134 135 136 137 138 139 Pipeline Building on our vision of holistic retrosynthesis route generation, we propose a retrosynthesis route generation framework that employs molecular-similarity-based RAG to generate an initial, possibly flawed retrosynthesis route R_{t_0} . This route is then iteratively refined using feedback from expert models and local knowledge databases to form the final prediction R_t . The overall pipeline is illustrated in Figure [2,](#page-3-0) with pseudocode provided in Algorithm [1.](#page-18-0) Our approach comprises four key components: a molecular-fingerprint-based RAG module, an LLM-backed formatter module, an expert-powered feedback module, and a local knowledge database module. These components will be discussed in detail in the subsequent sections.

140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156 157 Molecular-similarity-based Retrieval-Augmented Generation Unlike RAG applications in the natural language domain where the similarity metric is calculated on the embedding of texts, we utilize the similarity of molecular fingerprints to find similar molecules and provide their synthesis routes to the LLM for an initial generation. More specifically, a vector database that uses the molecular fingerprint as embedding and Tanimoto similarity as a similarity metric is applied for the retrieval. We begin by filtering out routes associated with the top five most similar molecules, each having a Tanimoto similarity greater than 0.5 in the database; these are designated as the retrieved routes. If no similar routes are found, we instead rely on representative routes. To identify these, we calculate the route fingerprint as the sum of the reaction fingerprints, following the method proposed by [Schwaller et al.](#page-12-7) [\(2021\)](#page-12-7). We then apply the Density-based spatial clustering of applications with noise (DBSCAN) clustering algorithm [\(Ester et al., 1996\)](#page-10-5) to these route fingerprints, generating five distinct reaction clusters. Within each cluster, the route corresponding to the molecule nearest to the cluster centroid is selected as the representative route. Since directly restricting the output format may lead to performance degradation as suggested by [Tam et al.](#page-12-8) [\(2024\)](#page-12-8), we provide those reference routes as textual descriptions in the prompt as shown in Figure [3c.](#page-3-1) The textual description is generated using a rule-based method, highlighting route connectivity information. A Chain-of-Thought(CoT)-like [\(Wei et al., 2023\)](#page-12-9) prompt with reference routes and specific instructions to generate textual descriptions of routes is fed into the LLM to guide the generation, the prompt template is included in Table [A3.](#page-16-0)

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159 160 LLM-backed Formatter LLM-backed formatter converts the textual description of a retrosynthesis route into a format readable to human experts and compatible with expert models. Particularly,

¹ https://www.emolecules.com/products/building-blocks

Figure 2: Pipeline for generating retrosynthesis routes using LLMs. The process begins with the user inputting a target molecule (Step ①). The system then queries a route database to retrieve similar retrosynthesis routes (Step ②), which guides the LLM in generating an initial prediction (Step ③). The predicted retrosynthesis route is converted to JSON format with help from LLMs (Steps ④ and ⑤). The JSON-formatted route is sent for expert model feedback (Step ⑥) to verify the validity of the route, assessing various criteria such as route validity, reaction validity, and molecule availability. If the route is fully valid, it is added to the route database (Step $\mathcal{O}(i)$). In cases where the route is partially valid, the pipeline queries new frontiers and back-prompts the LLM to refine the prediction (Steps $\mathcal{O}(ii)$ and $\mathcal{O}(iii)$). Steps $\mathcal{O}-\mathcal{O}$ are repeated until a fully valid retrosynthesis route is generated or a predefined budget is reached.

To synthesize OCCclccc(O)ccl, which is a precursor reactant in
the above reaction OCCclccc(O2Ccc(C(P)(F)F)cn2)ccl>>FC(F)
(F)clccc(Cl)ncl.OCCclccc(O)ccl, since it is commercially
available as a building block material, so n

(c) Example route represented as textual descriptions.

(d) Example route represented as a tree in JSON.

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Figure 3: Different sequential representations of retrosynthesis routes

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214 215 the route is formatted into a synthesis tree in JSON format as shown in Figure [3d.](#page-3-1) This formatter is also in charge of completing or proofreading the generated route. For instance, the generated description may contain a category of molecules instead of a specific molecule, the formatter is **216 217 218 219** prompted to provide a concrete example with its knowledge. Moreover, the description may contain IUPAC names while expert models require the SMILES string, hence an external tool is provided to the formatter to query PubChem for this naming conversion.

220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 Feedback from Experts The synthesis tree is evaluated by a series of expert models for feedback, ranging from molecule-wise to reaction-wise, and finally route-wise. Specifically, LLM-generated routes are first analyzed for SMILES validity and node availability. This is done using RDKit and cross-referencing with the molecule-level availability database. Any invalid SMILES or unavailable leaf nodes are flagged in the feedback. Next, forward reaction prediction models are applied to assess whether the proposed reactants can synthesize the target products. If the predicted reactions are infeasible, reaction-level database and retrosynthesis prediction models are employed to generate suggestions for refining the LLM-generated routes. Route-wise feedback focuses on identifying disconnected synthesis pathways or incorrect final products. For forward reaction prediction, we utilize MolecularTransformer [\(Schwaller et al., 2019\)](#page-11-5), a template-free approach, and LocalTransform [\(Chen & Jung, 2022\)](#page-10-6), a template-free approach. Retrosynthesis predictions are performed using LocalRetro [\(Chen & Jung, 2021\)](#page-10-7), a template-based framework, and a one-step retrosynthesis MLP model described in [\(Chen et al., 2020\)](#page-10-8). Finally, a rule-based system integrates input from local expert models to address various types of errors, as outlined in Table [A2.](#page-15-0) Note that those expert models, while performing well on individual datasets, often fail to cope with out-of-distribution datasets as pointed out by [Yu et al.](#page-12-10) [\(2024b\)](#page-12-10). Hence, we also build a web-based interface to take advice from human experts as illustrated in Figure [A3](#page-21-0) While the framework has the capability to incorporate feedback from human experts, this feature has not been tested in our experiments.

237 238 239 240 241 242 243 244 Local Knowledge Databases Following the feedback module, the local knowledge databases are organized into three levels of granularity: molecule level, reaction level, and route level. The molecule-level database contains 23 million commercially available molecules. The reaction-level database is initialized with all retrosynthesis reactions from the training dataset and is expanded using the results from retrosynthesis prediction models. The route database starts with all routes and sub-routes from the training dataset and is further expanded by incorporating LLM-generated routes or sub-routes once they have passed all expert scrutinies. Sub-routes refer to the synthesis pathways of intermediate products.

4 BENCHMARKING LLMS FOR RETROSYNTHESIS ROUTE GENERATION

- 4.1 EXPERIMENTAL SETUP
- Dataset We perform experiments on retro* dataset introduced by [Chen et al.](#page-10-8) [\(2020\)](#page-10-8) and evaluate the performance of our framework on a slightly harder subset of its test set as shown in Table [A1.](#page-14-1) Experiments on routes extracted from the Pistachio dataset 2 are presented in [A.10.](#page-22-0)
- **253 254 255 256 257** • Baseline We select Retro* [\(Chen et al., 2020\)](#page-10-8) and EG-MCTS [\(Hong et al., 2023\)](#page-10-9) as our baselines. We limit the number of expansions for baseline methods to 5 as only 5 suggested reactions are given to LLMs and the iteration budget is set to 500 as in [\(Chen et al., 2020\)](#page-10-8). We also finetuned ChemDFM-V1.5-8B [\(Zhao et al., 2024\)](#page-13-3) to generate retrosynthesis routes as the baseline of fine-tuning approach, more details on the fine-tuning process can be found in Table [A6.](#page-19-0)
- **258 259 260 261 262 263 264 265 266 267 268** • Metrics We employ a diverse set of both text-based and chemistry-based metrics to evaluate the quality of the generated retrosynthesis routes, considering their similarity to reference routes as well as their chemical feasibility, including: 1) *Query Success Rate*, the percentage of instances where a route is successfully generated for a target molecule, regardless of quality; 2) *ROUGE* (Recall-Oriented Understudy for Gisting Evaluation), introduced by [Lin](#page-11-6) [\(2004\)](#page-11-6), measures the overlap of textual elements between generated and reference outputs. Specifically, we report the ROUGE-1 score between the string representation of the ground truth retrosynthesis tree and the generated retrosynthesis tree, both formatted in JSON, as illustrated in Fig. [3d.](#page-3-1) 3) *BLEU* (Bilingual Evaluation Understudy), proposed by [Papineni et al.](#page-11-7) [\(2002\)](#page-11-7), evaluates the precision of n-gram overlaps between the generated and reference outputs. Similar to ROUGE, we calculate the BLEU score using the string representations of the retrosynthesis trees. 4) *Exact Match* evaluates the percentage of instances where the generated retrosynthesis tree is identical to the reference tree. To

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²<https://www.nextmovesoftware.com/pistachio.html>

270 271 272 273 274 275 276 277 278 279 280 281 ensure consistency, retrosynthesis trees are canonicalized by standardizing molecule SMILES and sorting precursor molecules alphabetically. 5) *Molecular Validity*, the percentage of chemically feasible molecules in the generated routes; 6) *Route Validity*, where a route is valid if the final product is the target molecule, all molecules are chemically feasible, all reactions are round-trip (RT) valid, and all leaf nodes are commercially purchasable; 7) *Avg. Route Length*, the average number of reactions used in valid routes to synthesize target molecules. We extend the original definition of round-trip (RT) validity from [Schwaller et al.](#page-11-8) [\(2020\)](#page-11-8), defining it as the ability to synthesize the same products from the given reactants. We use an ensemble approach combining database, template-free, and template-based models to evaluate RT accuracy. A reaction is considered RT valid if it exists in the reaction database (of reaction from all splits) or is classified as top-5 RT valid by either a template-free or template-based model. Though several methods are applied for RT validation, it remains flawed without experimental verification.

- LLMs We conducted experiments using the following models: GPT-4-turbo, Claude-3.5-haiku, and Deepseek-V2.5^{[3](#page-5-0)}. Deepseek-V2.5 is a representative of open-sourced LLM but we use its API version. The pricing for these models varies significantly: GPT-4-turbo costs \$10 per million input tokens and \$30 per million output tokens, Claude-3-Haiku is priced at \$0.25 per million input tokens and \$1.25 per million output tokens, and Deepseek-V2.5 charges \$0.14 per million input tokens and $$0.25$ per million output tokens^{[4](#page-5-1)}.
- **287 288 289 290 291** • **Configuration** We set the iteration budget to 5. In each iteration, local experts can provide up to 5 valid single-step reactions for the LLMs to consider when no matching reaction is found in the database. Unless otherwise specified, the same set of LLMs is used for both generation and formatting tasks. In case of an unexpected error, the LLM is allowed up to 3 retry attempts.

4.2 MAIN RESULTS

302 303 304 305 Table 1: Comparison of our proposed methods backed by different LLMs with the baseline. Molecule validity for Retro* is measured based on their predicted routes, while in our proposed framework, it is measured on the final predicted routes. The same applies to product similarity and route round-trip validity. The average route length is reported only for round-trip valid routes.

306 307 308 We report the analysis on the route-level metrics of our proposed framework using different LLMs against baseline and ground truth as shown in Table [1.](#page-5-2) We report several key findings as follows.

309 310 311 312 313 314 315 Our method is capable of generating round-trip valid retrosynthesis routes. In our proposed framework, specifically, the one backed by GPT-4-turbo, achieves a route round-trip validity of 79.5%, which is comparable to the baseline Retro* method at 83.0%. Since our feedback and suggestions primarily target round-trip validity, this demonstrates the effectiveness of our proposed refinement scheme, which could be extended to other metrics with proper suggestions provided. Additionally, the GPT-4-turbo-backed approach successfully identified several round-trip valid routes that Retro* was unable to generate as shown in case analysis in Section [A.6.](#page-18-1)

316 317 318 319 320 321 Finetuned LLMs Understand Formatting but Struggle with Retrosynthesis Planning Nuance. While finetuned LLMs achieve competitive Rouge and Bleu scores compared to our proposed GPT-4-turbo-based approach (0.6692 vs. 0.7649 for Rouge and 0.6724 vs. 0.6742 for Bleu), they fail to generate as many valid retrosynthesis routes. This suggests that while these models effectively learn the structure and formatting of retrosynthesis trees during supervised fine-tuning, they lack the deeper understanding required to capture the nuanced decision-making processes involved in

³²² 323 ³We use gpt-4-turbo-2024-04-09, claude-3-haiku-20240307 and refer them as gpt-4-turbo and claude-3haiku for brevity.

⁴As of September 2024.

324 325 326 retrosynthesis planning. Even chemistry-aware models, such as ChemDFM, demonstrate limited ability to fully emulate these subtleties, emphasizing the added value of our approach.

327 328 329 330 331 332 333 334 335 336 Traditional planners still excel in identifying more reliable routes. While our framework shows promising results in some metrics, traditional planners such as Retro* still hold a clear advantage in terms of molecule validity and route efficiency. Retro* achieves 100% molecule validity, meaning that every molecule in the generated routes is chemically valid, whereas GPT-4-turbo lags slightly behind at 99.21%. Additionally, Retro* consistently produces shorter routes, with an average valid route length of 2.58, compared to the longer average of 3.30 steps in GPT-4-turbo. This suggests that traditional planners not only maintain better accuracy in generating valid molecules but also find more concise and efficient retrosynthesis routes. It is worth noting that while our current framework primarily focuses on route validity, introducing explicit feedback regarding route length could further enhance the performance of our framework—a direction we reserve for future work. A detailed analysis of the source of the performance differences will be presented in the following text.

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4.3 INSIGHTS FROM THE EXPERIMENTS

339 340 342 343 344 345 346 347 348 RAG Quality Matters. We plot the reaction-level top-5 round-trip validity of each route generated in the first iteration against two factors: the synthesis difficulty of the molecule, measured by its SA score, and the quality of the retrieved examples, measured by the average molecular similarity between the target molecule and the retrieved examples, as shown in Figure [4a.](#page-6-0) Additionally, we compute the correlation coefficient and p-value using the Spearman rank correlation test. The results indicate a weak but statistically significant positive correlation between the round-trip validity of the generated routes and the quality of retrieval. However, no meaningful relationship is observed between the round-trip validity and the synthesis difficulty during the first iteration. This suggests that the performance of our proposed approach could be further enhanced by expanding the route database with additional relevant examples for retrieval. We also conducted an ablation experiment

³⁶⁷ 368 (a) Reaction-level RT validity by molecule with respect to retrieval quality and synthesis difficulty.

369 370 Figure 4: Details of LLM-generated RT valid retrosynthesis routes with respect to synthesis difficulties or the number of iterations.

371 372 373 374 375 376 377 in which only representative routes were fed into GPT-4-turbo as reference routes to guide the generation process, and we report the performance in the initial guess, as shown in Table [2.](#page-7-0) Compared to our current setting, where routes from structurally similar molecules are used as references, this approach fails to capture the dynamics of chemical reactions and struggles to generate round-trip valid reactions or routes (24.42% vs 51.64%). However, the molecule validity remains relatively high (80.6% vs. 87.29%), suggesting that the LLM can understand the syntax of SMILES from those example routes given. An experiment of RAG based on a chiral-aware molecular fingerprint [\(Orsi & Reymond, 2024\)](#page-11-9) is presented in Table [A5.](#page-19-1)

⁽b) No. of iterations it takes for LLMs to generate an RT-valid route.

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384 Table 2: Ablation study of solely using representative routes as references to the LLMs for initial route generation.

386 392 393 LLMs "Cheat" but Iterative Refinement and Formatter Can Intervene. LLMs often "cheat" during retrosynthesis route generation when faced with difficult-to-synthesize molecules or complex chemical structures. They may improperly split a SMILES string into two halves, disregarding the chemical validity of the resulting fragments and the reaction itself. Additionally, they might prematurely halt the process, falsely claiming that these challenging molecules are commercially available to avoid further synthesis planning. As shown in Figure [5a,](#page-7-1) the LLM generates $C\text{Co}(\text{C}(=0)\text{OH})c(\text{O})c1\text{O}'$ in its response with an extra hydrogen atom, rendering it an invalid SMILES. The LLM also falsely claims that the molecule 'COc1ccc($C(=O)Cl$) $c(O)$ c1O' is commercially available, despite it not being found in any database of purchasable molecules. Another common issue is the erroneous placement of the product, either final or intermediate, back into the reactants, indicative of a faulty or incomplete synthesis route as shown in Figure [5c.](#page-7-1) With the use of feedback and a formatter, those 'cheated' responses can be corrected as demonstrated in Figure [5b](#page-7-1) and Figure [5d.](#page-7-1)

(a) LLM produces molecule against SMILES grammar, claims commercial availability wrongly and stops retrosynthesis prematurely.

(b) With proper feedback, LLM may replace the reaction containing invalid molecules with a round-trip valid reaction.

(c) LLM includes the product as a reactant in its predicted retrosynthesis route.

(d) Duplicate products can be identified and removed by the LLM-backed formatter.

Figure 5: LLMs 'cheat' in initial responses but are later corrected within our proposed framework.

424 425 426 427 428 429 430 431 Routes Are Indeed Refined During Iterative Refinement Process. The iterative refinement process functions more than correcting the formats of LLM-generated routes and addressing errors, it is also the key factor in ensuring the generation of round-trip valid routes. The distribution of the number of iterations required for LLMs to produce a round-trip valid route is shown in Figure [4b.](#page-6-0) Although LLMs can generate a round-trip valid route using RAG without refinement in some cases, a significant number of valid routes are discovered only after several iterations of refinement. On average, GPT-4-turbo requires 1.90 iterations to generate a round-trip valid route, compared to 1.92 for Claude-3-haiku and 1.55 for Deepseek-V2.5. At the reaction level, the iterative refinement process significantly increases the reaction-level top-5 round-trip validity, as shown in Table [3.](#page-8-0) For

432 433 434 routes generated with GPT-4-turbo, reaction-level round-trip validity improves from 51.64% in the first iteration to 89.81% in the final iteration.

435 436 437 438 439 440 441 442 443 444 445 Balance Between Prior Chemical Knowledge and General Ability As shown in Figure [4b,](#page-6-0) Deepseek generates the highest number of round-trip valid routes in the first iteration, suggesting a stronger capability in handling chemistry-specific tasks. However, the reaction validity of Deepseekgenerated routes declines as the process continues as shown in Table [3.](#page-8-0) Upon manual inspection of the routes across iterations, it was found that the Deepseek-backed formatter may not properly convert routes from textual descriptions to retrosynthesis trees in JSON format, revealing a limitation in its general instruction following capabilities. Ablation experiments using the Deepseek-backed generator and GPT-4-turbo-backed formatter are presented in Table [4.](#page-8-1) With the GPT-4-turbo-backed formatter, Deepseek shows significantly improved results. Molecule validity increases from 86.76% to 93.45%, and reaction round-trip validity improves from 52.44% to 75.42%, resulting in a 5% increase in overall route round-trip validity. This introduces another design consideration, balancing capabilities between the chemistry domain and the general domain.

Table 3: Reaction-level top-5 RT validity before and after five rounds of refinements.

Table 4: The overall performance hinges on the performance of the formatter.

5 RELATED WORKS

5.1 RETROSYNTHESIS PLANNING

465 466 467 468 469 470 471 472 473 474 475 476 477 478 479 480 481 482 483 484 In the early days, from the proposal of retrosynthesis by [Corey](#page-10-1) [\(1967\)](#page-10-1) in the 1960s to the first decade of this century, chemists relied heavily on their expertise and rule-based methods to navigate the complex space of chemical transformations. This approach, while effective for certain problems, was limited by the scope and scalability of human intuition and manually curated rules. The landscape began to shift with the pioneering work of [Segler et al.](#page-12-11) [\(2018\)](#page-12-11), who introduced Monte Carlo Tree Search (MCTS) augmented with neural networks. This method represented a significant breakthrough, using machine learning to predict reaction outcomes and guide the search process, marking one of the first instances where computational intelligence illuminated retrosynthetic planning. Building on this foundation, several advanced algorithms have since been developed to explore the vast space of chemical transformations further. [Hong et al.](#page-10-9) [\(2023\)](#page-10-9) further improves the efficiency and performance of MCTS by replacing rollouts with an experience-guided neural network. Retro* [\(Chen et al., 2020\)](#page-10-8) and RetroPrim[eWang et al.](#page-12-12) [\(2021\)](#page-12-12) employ a trained value function to steer the expansion with A* algorithm [\(Hart et al., 1968\)](#page-10-10). [Schwaller et al.](#page-11-8) [\(2020\)](#page-11-8) employs a hyper-graph exploration strategy backed by forward reaction prediction models and synthesis difficulty scores. Reinforcement learning-based methods, such as those proposed by [Yuan et al.](#page-13-4) [\(2024\)](#page-13-4); [Yu et al.](#page-12-13) [\(2022\)](#page-12-13); [Schreck et al.](#page-11-10) [\(2019\)](#page-11-10), update the scoring function during self-play, allowing the model to learn and improve its decision-making by simulating retrosynthetic pathways. updates the score function during self-play. [Yu et al.](#page-12-13) [\(2022\)](#page-12-13) applied a goal-driven actor-critic reinforcement-learning agent to guide the expansion and [Yuan et al.](#page-13-4) [\(2024\)](#page-13-4) utilized a critic model of route quality based on yields. Aligned with previous efforts in route-aware retrosynthesis planning, [Liu et al.](#page-11-11) [\(2023b\)](#page-11-11) represented the current synthetic route as graphs to facilitate single-step retrosynthesis predictions.

485 Despite significant advancements in machine learning-based retrosynthesis planning, leading commercial software like SYNTHIA™ (formerly Chematica [\(Grzybowski et al., 2018\)](#page-10-11)) continues to

486 487 488 489 490 integrate expert-encoded chemical rules with sophisticated algorithms. This approach underscores the importance of combining human expertise with data-driven models to enhance the accuracy and reliability of synthetic pathway predictions. As highlighted by [Strieth-Kalthoff et al.](#page-12-6) [\(2024\)](#page-12-6), the future of retrosynthesis planning lies in the tight cooperation between data-intensive models and human expertise, ensuring that computational predictions are both feasible and scalable.

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5.2 LLM FOR PLANNING

495 496 497 498 499 Recent advances in large language models (LLMs) have revolutionized the way complex planning processes are approached on diverse tasks from internet browsing to robotics [\(Hu et al., 2024;](#page-10-0) [Prasad](#page-11-0) [et al., 2024;](#page-11-0) [Sun et al., 2023;](#page-12-0) [Zhang & Lu, 2024;](#page-13-0) [Tan et al., 2024;](#page-12-1) [Zhou et al., 2023;](#page-13-1) [Yu et al., 2024a;](#page-12-2) [Shinn et al., 2023;](#page-12-3) [Yao et al., 2023;](#page-12-4) [Lu et al., 2023;](#page-11-1) [Trinh et al., 2024\)](#page-12-5).

500 501 502 503 504 505 One diversion between LLMs and traditional planners is that LLMs may produce plans that include impossible actions. To ground LLMs to the feasible action spaces, previous approaches provide available actions in different granularity as external tools to agent-like planners [\(Prasad et al., 2024;](#page-11-0) [Hu et al., 2024\)](#page-10-0) or as functions to code-style planner [Sun et al.](#page-12-0) [\(2023\)](#page-12-0); [Zhang & Lu](#page-13-0) [\(2024\)](#page-13-0). Some approaches [\(Liu et al., 2023a\)](#page-11-12) also use LLMs as a format converter to translate actual problem text into sequences compatible with traditional planners and use them to solve the planning problem.

506 507 508 509 510 511 Another important designing factor in LLM planning is the utilization of feedback provided by the underlying systems. Methods like Chain-of-thought [\(Wei et al., 2023\)](#page-12-9), Least-to-most [\(Zhou](#page-13-1) [et al., 2023\)](#page-13-1) generate plans in a single pass without taking any feedback. ReAct [\(Yao et al., 2023\)](#page-12-4) decouples one planning step into a dedicated reasoning stage and an acting stage, where observations in previous steps are utilized in the reasoning stage of the current step. Adaplanner [\(Sun et al., 2023\)](#page-12-0) further refines the entire plan with feedback on the execution results of the current plan from LLMs.

512 513 514 515 516 517 Albeit the rapid development of LLMs in the field of planning, [Kambhampati et al.](#page-11-13) [\(2024\)](#page-11-13) advocated that LLMs themselves cannot perform planning due to the underlying n-gram-like generation mechanism. Instead, [Kambhampati et al.](#page-11-13) [\(2024\)](#page-11-13) suggested that LLMs can be utilized in the overall planning process as auxiliary parts like format translators, summarizers whereas the soundness of the entire planning pipeline is bounded by the experts (human beings or models) involved. Our method aligns with this philosophy where the local experts back the soundness of generated retrosynthesis routes, and also with the philosophy suggested by [Strieth-Kalthoff et al.](#page-12-6) [\(2024\)](#page-12-6).

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6 CONCLUSION & FUTURE DIRECTIONS

523 524 525 526 527 528 In this work, we have introduced a new methodology for retrosynthesis route generation and proposed a novel framework for leveraging LLMs with RAG and iterative refinement through expert feedback. Our approach demonstrates the ability of LLMs to successfully generate retrosynthesis routes with high query success rates and competitive route quality compared to traditional methods. Notably, the iterative refinement process enhances the feasibility of generated routes, addressing the challenges associated with round-trip validity and retrieval quality.

529 530 531 532 533 534 535 536 Despite promising results, challenges remain in balancing LLM generalization with domain-specific chemical knowledge. Expanding the route database and enhancing feedback mechanisms could improve performance and enable advanced expert systems with human-in-the-loop strategies. Our experiments also indicate that instruction-based fine-tuning struggles to fully capture retrosynthesis complexities, highlighting the need for improved tokenization or training objectives. Additionally, the scalability of our approach is limited by latency of token generation. While we avoided sampling for reproducibility, future work could explore sampling multiple routes in a single pass to address this limitation.

537 538 539 Overall, our findings suggest that LLMs hold significant potential for automating complex retrosynthesis tasks, paving the way for more efficient and scalable approaches in chemical synthesis planning. Future directions will explore the integration of dynamic knowledge updates and optimization of LLM prompt structures to further enhance performance in out-of-distribution scenarios.

540 541 REPRODUCIBILITY STATEMENT

Weights for all models are downloaded from their official repositories respectively^{[5](#page-10-12)}. We set LLMs' sampling temperature to 0 during route generation for reproducibility and set the temperature to 0.2 during formatting.

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⁵Hypertext references: [Retro*;](https://github.com/binghong-ml/retro_star) [LocalRetro;](https://github.com/kaist-amsg/LocalRetro) [LocalTransform;](https://github.com/kaist-amsg/LocalTransform) [MolecularTransformer.](https://github.com/pschwllr/MolecularTransformer)

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A APPENDIX

A.1 AND-OR TREE ABSTRACTION USED IN PRIOR APPROACHES

Previous retrosynthesis planning approaches abstract the problem as navigating through an AND-OR tree as shown in [Figure A1.](#page-14-0)

 Figure A1: The traditional paradigm of planning-based retrosynthesis route generation represents the process as an AND-OR tree, where molecule nodes serve as OR nodes and reaction nodes as AND nodes. First, the planner selects a node to expand based on estimated cost. Next, a single-step retrosynthesis reaction is applied to the molecule nodes, expanding them into an AND-OR subtree. Finally, the cost along the pathway is updated in preparation for the next selection. The AND-OR tree is rooted on the target molecule node and has commercially purchasable materials as leaf nodes.

A.2 DATASET STATISTICS

We report the dataset statistics in Table [A1.](#page-14-1) The test subset consists of the first 200 routes from the test set and is slightly more challenging to synthesize compared to the overall test set.

Table A1: Statistics of the dataset used in the experiments. SA (synthetic accessibility) Score [\(Ertl](#page-10-13) [& Schuffenhauer, 2009\)](#page-10-13) is a heuristic metric to evaluate the difficulty of synthesis, with 10 being the hardest and 1 being the easiest.

A.3 SUGGESTION AND FEEDBACK SCHEMA

We summarize various scenarios where the LLM may generate incorrect routes, along with corresponding descriptions and suggestions for refinement, as shown in Table [A2.](#page-15-0)

A.4 PROMPTS USED

 We provide the prompts used in our experiments in Table [A3](#page-16-0) and Table [A4.](#page-17-0) Placeholders enclosed by $\{\{\}\}\$ are used and will be filled with corresponding text during inference. While we manually refined our prompts, there remains room for improvement, and further refinement could enhance overall performance. We leave tasks such as automatic prompt construction for future work.

Table A2: Feedback templates for different scenarios are provided. The text in the "description" and "suggestion" columns will be fed to the LLM to assist in refining the route. Placeholders {} are used, with specific molecules or reaction SMILES provided in the actual suggestions.

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A.5 PSEUDOCODE OF PROPOSED APPROACH

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prediction in the first trial and successfully generates a fully valid round-trip route.

1026 1027 1028 1029 1030 1031 1032 1033 It is also possible for Retro* to exhaust its entire iteration budget (500 iterations), as demonstrated in Figure [A2b.](#page-20-0) In this case, Retro* spends excessive resources on subroutes that lead to dead ends, such as 'ClP(Cl)(Cl)(Cl)Cl' and 'O=S(Cl)Cl', for which no expert can provide any valid single-step retrosynthesis suggestion. However, the LLM overcomes this challenge by leveraging expert advice to generate a valid route. Upon manually examining the suggestions that contributed to the valid route, we found that all of them came from the same single retrosynthesis model used by Retro*. This suggests that the LLM can effectively select a single-step model leading to overall success, even without relying on an explicit value function.

1034 1035 1036 1037 The LLM may also encounter dead ends, as shown in Figure [A2c,](#page-20-0) where several attempts are made to synthesize 'CCOC(=O)c1c(O)c2cc(Br)c(C)c(C)c2oc1=O'. However, it is worth noting that, compared to the 500 iteration budget used by Retro*, we only utilized 5 iterations to refine the route. With additional iterations, the issue may potentially be resolved.

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1039 1040 A.7 USER INTERFACE FOR THE RETROSYNTHESIS AGENT

1041 1042 1043 1044 1045 We showcase the user interface of our proposed framework, hosted on a local server, as illustrated in Figure [A3.](#page-21-0) The user inputs the SMILES representation of the target molecule, and RAG is performed implicitly. Once the LLM generates a route prediction, the user can either provide feedback directly or opt for 'surrogate' feedback generated by local expert models. This process continues until a configurable iteration budget is reached or a valid route is found.

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A.8 USING 3D-AWARE MOLECULAR FINGERPRINTS FOR RETRIEVAL

1049 1050 1051 1052 1053 We rebuilt our route database using MinHashed Atom-Pair for Chiral (MAP4C), a chiral-aware molecular fingerprint described by [Orsi & Reymond](#page-11-9) [\(2024\)](#page-11-9) and evaluated its impact on Retrieval-Augmented Generation (RAG) performance. Using DeepSeek, we tested this approach on the Retro* dataset after a single iteration, presenting empirical results highlighting molecular fingerprints' influence on RAG efficacy.

1059 1060 Table A5: Ablation study of using chiral-aware molecular fingerprints for similarity calculation in retrieval.

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1063 A.9 EVALUATION ON CHEMISTRY-AWARE LLMS

1065 1066 1067 1068 1069 1070 1071 1072 1073 We evaluated ChemDFM-v1.5-8B [\(Zhao et al., 2024\)](#page-13-3) for retrosynthesis route generation under four configurations: (1) Vanilla, (2) fine-tuned, (3) RAG-enhanced, and (4) fine-tuned with RAG. Finetuning was performed on the Retro* training dataset to generate JSON-formatted routes from product SMILES, using low-rank adapters to reduce trainable parameters. In RAG settings, reference routes were extracted as described in the main text and provided only during inference. We report the evaluation results in Table [A7.](#page-21-1) It is worth noting that while fine-tuned LLMs achieve similar results in text-related metrics like BLEU and Rouge, meaning fine-tuned LLMs possess the ability to produce retrosynthesis trees similar to the ground truth as provided for supervised fine-tuning, they struggle to generate valid routes, even pretrained with chemistry knowledge. Compared to our approach without refinement,

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Table A6: Details of supervised fine-tuning baseline.

1188 1189 A.10 EVALUATION ON PISTACHIO DATASET

1190 1191 1192 1193 1194 1195 1196 1197 1198 1199 1200 1201 1202 1203 1204 1205 1206 We extract synthesis routes from the Pistachio dataset after applying rule-based filtering to remove reactions that are either incomplete or uninformative. Following the approach of [Chen et al.](#page-10-8) [\(2020\)](#page-10-8), we construct the training dataset of routes using reactions from the training set, the testing dataset of routes using reactions from both the training and testing sets, and the validation dataset of routes using reactions from both the training and validation sets. We further remove duplicate routes in testing or validation datasets that appear in the training set, and filter out routes that are directly synthesised by starting materials. We assess our model on 50 routes in the testing dataset. The statistical details of the Pistachio dataset are provided in Table [A8.](#page-22-1) One thing to note that our filtering includes removing non-necessary reactants from the reactions (reactants with molecular similarity compared to the product less than a threshold) and also breaking multi-products reaction into several reactions, together with the distribution shifts from USPTO to Pistachio, expert models may not perform well on the new route dataset. We present the results on the Pistachio dataset in Table [A9.](#page-22-2) Our proposed method, using Deepseek, achieves a higher ROUGE score but a lower BLEU score. Upon careful examination, the low BLEU score is attributed to hallucinations by the LLM, which generate unwanted lengthy sequences for certain molecules. Additionally, our method achieves a higher exact match rate, primarily due to the overlapping reactions used in constructing different dataset splits. Lastly, we observe a comparable route validity between our approach and EG-MCTS.

1214 1215 1216 Table A8: Statistics of Pistachio dataset used in the experiments. SA (synthetic accessibility) Score [\(Ertl & Schuffenhauer, 2009\)](#page-10-13) is a heuristic metric to evaluate the difficulty of synthesis, with 10 being the hardest and 1 being the easiest.

1218 1219	Metric Model	Ouerv Success Rate ⁺	Rouge [†]	BLEU [↑]	Exact Match ⁺	Molecule Validity \uparrow	Route Validity ^{\uparrow}	Average Length of Valid Route ↓
1220	$Retro*$	94.00%	0.7177	0.5281	6.00%	100.0%	72.00%	3.28
1221	EG-MCTS	92.00%	0.6985	0.5285	6.00%	100.0%	56.00%	1.96
1222	Deepseek	100.00%	0.7479	0.2682	46.00%	92.59%	60.00%	2.57

Table A9: Performance on pistachio route dataset.

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