LANE: LABEL-AWARE NOISE ELIMINATION FOR FINE GRAINED TEXT CLASSIFICATION

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ABSTRACT

In this paper, we propose Label-Aware Noise Elimination (LANE), a new approach that improves the robustness of deep learning models when trained under increased label noise in fine-grained text classification. LANE leverages the semantic relations between classes and monitors the training dynamics of the model on each training example to dynamically lower the importance of training examples that are perceived to have noisy labels. We test the effectiveness of LANE in fine-grained text classification and benchmark our approach on a wide variety of datasets with various number of classes and various amounts of label noise. LANE considerably outperforms strong baselines on all datasets, obtaining significant improvements ranging from an average improvement of 2.4% in F1 on manually annotated datasets to a considerable average improvement of 4.5% F1 on datasets with higher levels of label noise. We carry out comprehensive analyses of LANE and identify the key components that lead to its success.

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1 INTRODUCTION

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028 Deep learning models are increasingly powerful in many NLP applications, but their success is 029 often hindered by data quality. Many existing datasets are annotated by humans on crowdsourcing platforms Demszky et al. (2020) or by automatic approaches such as distant (or weak) supervision 031 Mintz et al. (2009); Wang et al. (2012); Abdul-Mageed & Ungar (2017), and, while weak supervision inherently introduces unwanted mislabeled examples, humans-no matter how careful, are also prone 033 to making labeling errors especially on fine-grained tasks that involve distinguishing between a large 034 number of closely confusable or overlapping classes, e.g., emotion detection Mohammad (2012); Islam et al. (2019); Bao et al. (2009); Strapparava et al. (2012); Liu et al. (2019) or fine-grained topic classification tasks Lewis et al. (2004). The mislabeled training examples are particularly harmful when learning large overparameterized neural networks, since these networks can achieve 037 zero training error on any dataset, with very poor generalization capabilities Zhang et al. (2016).

Several works Li et al. (2023); Karim et al. (2022); Liu & Guo (2020) designed various changes 040 to the training process to learn under label noise. For example, Peer Loss Function Liu & Guo (2020) alters the training loss function to account for label noise, DISC Li et al. (2023) utilizes an 041 instance-specific dynamic thresholding mechanism that blocks access to specific training examples 042 based on the momentum of each instance's memorization strength. Unicon Karim et al. (2022) 043 leverages a semi-supervised learning (SSL) framework that considers potentially noisy labeled data 044 as unlabeled examples in an SSL algorithm. Area Under the Margin (AUM) Pleiss et al. (2020) 045 utilizes an instance-specific average margin that identifies potentially mislabeled examples from 046 the training set according to the model's behavior on these examples and blocks access to these 047 examples through a fixed threshold. AUM measures the average difference between the logit values 048 corresponding to a sample's assigned label and its largest non-assigned label calculated across the training epochs. The AUM for a *mislabeled sample* is expected to be low, likely negative since the model—through generalization from other correctly labeled training samples, tends to predict the 051 sample in its (hidden) true class which is different from the (wrongly) assigned class, and hence, the largest logit (among all logits) no longer corresponds to the assigned (wrong) label Pleiss et al. 052 (2020). After this data characterization by AUM, Pleiss et al. (2020) subsequently remove samples with low AUM from the training set using a fixed rigid AUM threshold (i.e., the 95 percentile).

054 However, we posit that, through this fixed threshold used to remove mislabeled samples, difficult but 055 valuable samples that exist under the threshold are unnecessarily removed from the training set. In 056 addition, the computation of AUM that contrasts two labels (the assigned-potentially wrong-label 057 and the largest non-assigned label) treats labels independently, and thus, ignores semantic similarities 058 that inherently exist between fine-grained classes (e.g., in fine-grained emotion detection tasks, "anger" is semantically more similar to "fear" than it is to "joy"). To this end, we introduce Label-Aware Noise Elimination (LANE), a novel approach that identifies mislabeled or noisy samples from the 060 training data and seamlessly mitigates their harmful effects. Unlike Pleiss et al. (2020) who remove 061 mislabeled or ambiguous samples from the training set using a fixed threshold, we improve the 062 robstness of our model under label noise by retaining all training samples but re-weighting them 063 differently based on the model's behavior on these samples measured against their assigned labels. In 064 re-weighting the samples, we estimate the degree of "noisiness" of the assigned labels by introducing 065 label-aware margins averaged across training iterations that capture inter-class semantic similarities. 066 For example, a sample with true label "anger" but with assigned label "joy" is noisier (has a higher 067 degree of noisiness) than a sample with true label "anger" but with assigned label "fear" since "fear" 068 is semantically closer to "anger" than "joy". Our label-aware margins extend the concept of margins 069 Pleiss et al. (2020) by adaptively weighting samples when the (hidden) true label and the (wrongly) assigned label do not match. Precisely, we capture inter-class semantic similarities and dynamically 070 lower samples' weights if the model perceives them as noisy (the noisier the assigned label the lower 071 the weight). We learn the inter-class semantic similarities using a label-aware supervised contrastive 072 loss to improve the capabilities of the model to distinguish between easily confusable samples by 073 bringing the latent representations of input samples closer together if they belong to semantically 074 similar classes and further apart if they belong to semantically dissimilar classes. 075

We evaluate the effectiveness of LANE on multiple well-established fine-grained datasets: Empathetic 076 Dialogues Rashkin et al. (2019), GoEmotions Demszky et al. (2020), ISEAR Scherer & Wallbott 077 (1994), CancerEMO Sosea & Caragea (2020), RCV1 Lewis et al. (2004), SciHTC Sadat & Caragea (2022), SST-5 Socher et al. (2013a), Amazon Review McAuley & Leskovec (2013), Yelp Review 079 Asghar (2016), and Yahoo Answer Chang et al. (2008). Using these datasets, we show that LANE works well on various tasks and domains (emotion and general text classification; social networks, 081 dialogues, and personal experiences). In all our experiments, automatically scaling down the impor-082 tance of identified noisy samples from the training set shows great potential, improving the overall 083 performance on our original datasets by 2.4% F1 on average over the strong AUM approach Pleiss 084 et al. (2020) and by 4.5% F1 on average on our datasets with higher levels of label noise. 085

We summarize our contributions as follows: 1) We introduce LANE, a new approach that leverages inter-class semantic similarities and monitors the training dynamics of each training example to automatically identify and minimize the harmful effects of ambiguous or mislabeled examples; 2) We evaluate the effectiveness of our approach on ten text classification benchmark datasets from different tasks and domains; 3) We carry out a comprehensive analysis and ablation study of LANE and analyze how it performs on datasets that have different levels of noise.

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2 RELATED WORK

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Learning with label noise have started to received substantial attention due to the high risk of deep 095 learning models to overfit Liu & Tao (2015); Goldberger & Ben-Reuven (2016); Ren et al. (2018); 096 Englesson & Azizpour (2021); Zhang & Plank (2021); Margatina et al. (2021); Li et al. (2021); Plank (2022); Karim et al. (2022); Garg et al. (2023); Wei et al. (2023c;b;a). For example, Goldberger & 098 Ben-Reuven (2016) propose adding a noise layer in the neural network architecture, whose parameters can be learned for an accurate label estimation. Saxena et al. (2019) introduce a curriculum-learning 100 approach that uses learnable data parameters to rank the importance of examples in the learning 101 process. These parameters are then leveraged to decide the data to use at different training stages. 102 Liu & Guo (2020) on the other hand propose to alter the loss function to make it more robust in 103 the face of label noise and introduce Peer Loss Functions, which evaluate predictions on both the 104 samples at hand, as well as carefully automatically constructed *peer* samples. Other approaches focus 105 on data quality and design techniques to accurately identify and eliminate potentially mislabeled instances Brodley & Friedl (1999); Pleiss et al. (2020); Swayamdipta et al. (2020). For example, 106 Swayamdipta et al. (2020) introduce data cartography, a model-based tool that separates training data 107 into three (potentially overlapping) regions, easy-to-learn, ambiguous, and hard-to-learn (many of

which are mislabeled), and re-trains on each data region to understand its benefits to learning and
generalization. Pleiss et al. (2020) identify and subsequently remove mislabeled training samples
by monitoring the behavior of the model on each sample and estimating its Area Under the Margin
(AUM) to determine what to remove from the data. Our work builds on this approach: we reformulate
the Area Under the Margin Pleiss et al. (2020) and leverage the inter-class semantic similarities
present in fine-grained tasks to improve training data quality and diminishing the harmful effects of
noisy samples by reweighting the importance of samples during training.

115 The idea of weighting each training example has been well studied in the literature. A classical method 116 in statistics is importance sampling Kahn & Marshall (1953), which assigns weights to samples in 117 order to align one distribution to another. Boosting algorithms such as AdaBoost Freund et al. (1999), 118 select harder samples to train subsequent classifiers. Focal loss Lin et al. (2017) incorporates a soft weighting scheme that puts emphasis on harder samples. Similarly, hard sample mining Shrivastava 119 et al. (2011) reduces samples in the majority class and selects the most difficult samples to perform 120 training on. In contrast to these works, our weighting mechanism exploits the similarities between 121 classes and ensures noisy samples do not play a significant part in model training. 122

123 Supervised contrastive learning is an approach that brings the latent representations of input samples 124 closer together if they belong to the same class (*positives*) and further apart if they belong to different 125 classes (*negatives*). Gunel et al. (2020) use a supervised contrastive loss to improve fine-tuning performance of pre-trained language models in several few-shot learning scenarios. Khosla et al. 126 (2020) introduce a variation of the traditional contrastive loss which aims to produce more samples in 127 the *positive* set. Instead of only considering samples with the same class as belonging to the positive 128 set, they propose to use data augmentation to generate more positive samples. Suresh & Ong (2021) 129 build upon this approach but argue that not all negative samples are equal. To this end, they propose 130 Label-aware Contrastive Loss (LCL) that learns a weight network to infer the relations between 131 classes and weigh samples differently. In contrast, our LANE approach exploits label-aware margins 132 to improve the robustness under label noise.

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3 PROPOSED APPROACH

Here, we first provide background on Area Under the Margin introduced by Pleiss et al. (2020) (§3.1)
and then present Label-Aware Noise Elimination (LANE), our new approach that improves model robustness in the face of label noise (§3.2).

3.1 BACKGROUND

Area Under the Margin (AUM) Pleiss et al. (2020) is a well-established approach that monitors the training dynamics of examples by analyzing their margins during training epochs to automatically identify and remove mislabeled examples from the training data. At training epoch t, the margin M Pleiss et al. (2020); Bartlett et al. (2017); Elsayed et al. (2018); Jiang et al. (2018) of an example x with assigned label y is defined as follows:

$$\mathbf{M}^{(t)}(\mathbf{x}, y) = z_{y}^{(t)}(\mathbf{x}) - max_{i!=y} z_{i}^{(t)}(\mathbf{x})$$
(1)

where $z_y^{(t)}(\mathbf{x})$ is the logit corresponding to assigned label y, and $max_{i!=y}z_i^{(t)}(\mathbf{x})$ is the largest other logit corresponding to label i (from among all non-assigned labels). The margin measures how different the assigned label is compared to a model's *belief* in a label at some epoch. A negative margin likely implies an incorrect prediction, whereas a positive margin implies a correct prediction. The contribution to generalization of an example x is measured by averaging the margins of x across all training epochs T which represents the Area Under the Margin (AUM) Pleiss et al. (2020), defined as follows:

$$AUM(\mathbf{x}, y) = \frac{1}{T} \sum_{t=1}^{T} \mathbf{M}^{(t)}(\mathbf{x}, y)$$
(2)

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Figure 1 shows the AUMs of two examples (one correctly labeled and another incorrectly labeled) from an emotion dataset. In the first example, *Makes me sad how brain damage affects boxers*



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Figure 1: Comparison between the AUM of a correctly labeled example and a mislabeled example.

so much, its assigned (or gold) label is "sadness" which is correct and we observe how the logit
corresponding to the assigned label grows larger in each epoch, resulting in a positive high AUM.
In contrast, in the second example *omg, that's gonna be a hell of a reunion as well*, the assigned
(gold) label is "sadness", which is unarguably incorrect, and we observe how other logits, such as
the logit corresponding to the "excitement" emotion, are consistently larger than the logit of the
"sadness" emotion since the model learns through generalization (from other training examples) that
this example shares characteristics of the "excitement" class. Consequently, this example has a low
AUM, indicating that its assigned (gold) label is noisy.

182 Pleiss et al. (2020) first identify mislabeled samples by learning a threshold of separation between 183 the AUMs of clean and erroneous samples through a new artificial class that mimics the training dynamics of mislabeled data and then remove all samples that fall under this threshold. We identified 185 two limitations of the AUM approach. First, we observed (through manual inspection) that through this fixed threshold elimination, difficult but valuable samples that fall under the threshold are unnecessarily removed, and hence, the model has access to less diverse and challenging samples. 187 Second, the current formulation of AUM considers a uniform penalty for each mislabeled sample, 188 irrespective of the semantic similarity between fine-grained classes. A mislabeled example should 189 have a larger negative margin when the wrongly assigned label is more distant from the (hidden) 190 true label and a smaller negative margin when the wrongly assigned label is closer to the (hidden) 191 true label. For example, a sample expressing "excitement" (hidden true label) should have a larger 192 negative margin if the sample is wrongly annotated as "sadness" and a smaller negative margin if the 193 sample is wrongly annotated as "joy". Thus, we argue that the margin M should take into account 194 the inter-class semantic similarities and incur a higher penalty for semantically distant classes and a 195 lower penalty for closely related classes.

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3.2 OUR PROPOSAL: LABEL-AWARE NOISE ELIMINATION

We now introduce LANE, our new approach that addresses the above limitations and improves
model robustness on fine-grained text classification under label noise. In our approach we redefine
the concept of margin to *label-aware margin* to account for the inter-class semantic similarities.
Moreover, instead of unnecessarily removing difficult but valuable samples from the training set if
they fall under the fixed AUM threshold, we use all samples from the training data, however weighted
according to their label-aware margins to reflect inter-class semantic similarities.

Label-aware Margin (LM) Let θ be a classifier that is trained to predict a task (e.g., sentiment analysis) and Π be a weighting network that learns the semantic similarities between classes. To leverage the inherent semantic similarities between classes for dynamic penalty estimation when the assigned label and the prediction do not match we learn a soft-assignment of input samples into all available classes *C* that accounts for inter-class semantic similarities. Concretely, Π optimizes the following label-aware supervised contrastive loss (learned jointly with our classifier θ):

$$\mathcal{L}_{LSCL} = \sum_{\mathbf{x}\in B} \frac{-1}{|P_{\mathbf{x}}|} \sum_{p\in P_{\mathbf{x}}} \log \frac{w_{\mathbf{x},y_{\mathbf{x}}} \cdot exp(h_{\mathbf{x}} \cdot h_{p})}{\sum_{k\in B\setminus\{\mathbf{x}\}} w_{\mathbf{x},y_{k}} \cdot exp(h_{\mathbf{x}} \cdot h_{k})}$$
(3)

213 where *B* is the current batch, P_x is the set of positives *p* for example x (i.e., in the context of 214 supervised contrastive learning the positives are all examples that belong to the same class as x and 215 its augmentation Gunel et al. (2020); Khosla et al. (2020)). h_x is the embedding of x produced by our 216 model θ . w_{x,y_x} and w_{x,y_k} represent the soft-assignment of example x to its assigned label y_x and all

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217	\mathbf{x}_1	The doctors do not have any options for him.	1.1	0.45	1.2	1.8	0.27	1.56	0.11	$-0.7 \mid -0.6$	-0.67
218	\mathbf{x}_2	I have found so much info and support on this site, and yet they accept me for who I am.	1.1	1.56	1.2	0.45	0.27	0.11	1.8	-0.7 -0.6	-1.15

Table 1: Comparison of Margin (M) and Label-aware Margin (LM) for two examples. The assigned label 220 (fear) is shown in **red bold** and the model predicted label for each example is shown in **blue bold**. For both examples, we observe that M is -0.6 (i.e., 1.2 - 1.8). In the first example, LM is rescaled slightly since the 222 assigned emotion fear is semantically close to the emotion corresponding to the largest other logit (i.e., anger). In contrast, we observe that in the second example, the assigned emotion fear is semantically distant from the 224 emotion corresponding to the largest other logit which is trust, and hence, LM becomes much smaller.

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the other non-assigned labels y_k where $k = 1, ..., C; k \neq y_x$. To obtain these soft-assignments we utilize the weighting network Π applied on top of our model, where Π can be viewed as a regular linear layer that projects $h_{\mathbf{x}}$ into a vector $\pi_{\mathbf{x}}$ of length C, $\pi_{\mathbf{x}} = \Pi(h_{\mathbf{x}})$. Concretely, $w_{\mathbf{x},y} = \frac{exp(\pi_{\mathbf{x},y})}{\sum_{i=1}^{C} exp(\pi_{\mathbf{x},i})}$.

Using these weights, we propose to rescale the margin and introduce the Label-aware Margin (LM):

$$\mathrm{LM}^{(t)}(\mathbf{x}, y) = \begin{cases} \frac{1}{w_{\mathbf{x}, j}} \cdot \mathrm{M}^{(t)}(\mathbf{x}, y) & \text{if } \mathrm{M}^{(t)}(\mathbf{x}, y) < 0 \text{ and } j = \mathrm{argmax}_{i!=y} z_i^{(t)}(\mathbf{x}) \\ \mathrm{M}^{(t)}(\mathbf{x}, y) & \text{otherwise} \end{cases}$$
(4)

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where $w_{\mathbf{x},i}$ is the weight obtained using the weighting network Π , which produces higher values 236 if the (potentially wrong) assigned label y of x is semantically close to the (hidden) true label j 237 predicted by the model, and lower values otherwise (i.e., if the potentially wrong assigned label is 238 semantically distant from the model prediction). Note that we scale the margins only if the margins 239 are negative, since these are the potentially problematic examples that may be overly ambiguous or 240 mislabeled. To showcase the difference between our proposed label-aware margin LM and the vanilla 241 margin M, we show in Table 1 two examples from an emotion dataset alongside the logits produced 242 by the model as well as the margin M and label-aware margin LM. Both of these examples have the 243 assigned label the *fear* emotion—while \mathbf{x}_1 can be viewed as ambiguous, \mathbf{x}_2 is clearly mislabeled. 244 However, although the margin of both examples is the same M = -0.6, we notice that the assigned 245 label fear is semantically close to the label corresponding to the largest other logit (i.e., anger)—the model prediction in the first example, whereas in the second example, it is semantically distant from 246 the label corresponding to the largest other logit (i.e., trust)—the model prediction. We emphasize 247 that our LM captures this semantic difference between labels. Specifically, we observe that the LM 248 of the first example, where the prediction and the assigned label are semantically close, i.e., anger 249 and fear, is larger than the LM of the second example where the prediction and the assigned label are 250 semantically distant, i.e., trust and fear. 251

Average Label-aware Margin (ALM) At an arbitrary iteration t we measure the contribution of training examples to learning and generalization by averaging the LMs across the training process, 253 from the beginning up until the current iteration t and obtain the Average Label-aware Margin (ALM) 254 as follows: $ALM^{(t)}(\mathbf{x}, y) = \frac{1}{t} \sum_{r=1}^{t} M^{(r)}(\mathbf{x}, y).$ 255

256 Mitigating the harmful effect of mislabed examples To mitigate the harmful effect of mislabeled 257 or noisy examples, we propose to use a weighted cross entropy loss during training and assign higher 258 weights for high-ALM examples and lower weights otherwise. Let $N^t = \{\mathbf{x}_i \mid ALM^{(t)}(\mathbf{x}_i, y_i) < 0\}$ be the set of examples that have negative ALMs up until training iteration t and ALM (N^t) be the 259 distribution of their ALMs. At t, we propose to scale down the loss on examples from N^t for those 260 examples whose ALM is below the mean of the ALM distribution $ALM(N^t)$. Specifically, we 261 propose to dynamically fit a truncated Gaussian distribution of mean μ_t and variance σ_t at training 262 iteration t. We assign a weight for each example x_i at iteration t as follows: 263

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$$\lambda_{CE}^{t}(\mathbf{x}_{i}, y_{i}) = \begin{cases} \exp\left(-\frac{(\mathrm{ALM}^{(t)}(\mathbf{x}_{i}, y_{i}) - \mu_{t})^{2}}{2\sigma_{t}^{2}}\right) & \text{if } \mathbf{x}_{i} \in N^{t} \text{ and } \mathrm{ALM}^{t}(\mathbf{x}_{i}, y_{i}) < \mu_{t} \\ 1 & \text{otherwise} \end{cases}$$
(5)

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During training, we estimate the mean μ_t and variance σ_t using the historical predictions of the 269 model:

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$$\mu_t = \frac{1}{|N^t|} \sum_{(\mathbf{x}, y) \in N^t} \operatorname{ALM}^{(t)}(\mathbf{x}, y) \tag{6}$$

(7)

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Intuitively, a low weight for an example indicates that the example produced an ALM that is consistently below the mean of the negative ALM distribution. As we have shown, such examples are potentially mislabeled and may hurt generalization. To mitigate this effect, at each training iteration twe simply rescale the cross entropy loss, assigning lower weight to potentially mislabeled examples:

 $\sigma_t = \frac{1}{|N^t|} \sum_{(\mathbf{x}, y) \in N^t} (ALM^{(t)}(\mathbf{x}, y) - \mu_t)^2$

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$$\mathcal{L}_{CE} = \sum_{i=1}^{|B|} \lambda_{CE}^{t}(\mathbf{x}_{i}, y_{i}) \cdot H(\theta(\mathbf{x}_{i}), y_{i})$$
(8)

286 where $\theta(\mathbf{x})$ is the probability ditribution of the model θ on example \mathbf{x} , |B| is the batch size, and H is the cross-entropy.

The final loss in LANE is a combination of the weighted cross entropy loss and the contrastive loss:

$$\mathcal{L} = \alpha \cdot \mathcal{L}_{CE} + (1 - \alpha) \cdot \mathcal{L}_{LSCL}$$
(9)

In our experiments we set $\alpha = 0.5$.

4 EXPERIMENTS

4.1 LABEL NOISE

We evaluate the effectiveness of LANE on ten datasets under various amounts of label noise. We employ three setups: 1) Original datasets, where the label noise comes from annotation errors in the dataset collection process, 2) 20% noise, where we randomly shuffle the labels of 20% of the training data, and 3) 40% noise, where we perform the same process for 40% of the training examples.

303 4.2 EXPERIMENTAL SETUP 304

305 We carry out all our experiments using an Nvidia A5000 GPU. We use the HuggingFace Transformers 306 Wolf et al. (2020) library for our BERT implementation. The datasets we consider make their 307 train/validation/test splits available, hence, we use the provided splits in our experiments. Similar 308 to Khosla et al. (2020), to expand the positive set of examples in the contrastive loss, we augment our data using synonym replacement Kolomiyets et al. (2011), SwitchOut Wang et al. (2018), and 309 backtranslation Tiedemann & Thottingal (2020). In backtranslation we translate from English to 310 German and back to English. For each batch, we generate 7 augmentations. For all datasets we 311 follow the evaluation metrics used in the works introducing the datasets. The initial batch size is set 312 to 32, hence the total batch size (i.e., including augmentations) is 256. In our training setup, we only 313 scale down the importance of examples during training if their ALM is below a threshold that we 314 set as the ALM mean of examples with negative ALMs (Eq. 6). We also experimented with different 315 ALM thresholds such as 0, but observed slightly worse performance than using the mean. 316

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4.3 DATASETS 318

319 The datasets used to evaluate LANE are: 1. Empathetic Dialogues Rashkin et al. (2019), a dataset 320 composed of conversations between a speaker and a listener annotated with 32 emotions. We consider 321 solely the first turn of the conversation in our experiments, resulting in 22,000 total examples. 2. GoEmotions Demszky et al. (2020), a sentence-level dataset created using Reddit comments that 322 contains more than 58,000 sentences annotated with 27 emotions. **3. ISEAR** (International Survey 323 on Emotion Antecedents and Reactions) Scherer & Wallbott (1994), a dataset of 7,700 personal

324 experiences annotated with 7 emotions. 4. CancerEMO Sosea & Caragea (2020), a dataset of 325 8,500 examples collected from a cancer forum annotated at sentence level with the 8 basic Plutchik-8 326 Plutchik (1980) emotions. 5. RCV1 Lewis et al. (2004), a large scale dataset composed of news 327 stories labeled with a total of 105 different topics. 6. SciHTC Sadat & Caragea (2022), a dataset 328 from 186, 160 scientific papers, annotated with 80 possible topics, 7. SST5 Socher et al. (2013b), a dataset composed of 11,855 sentences from movie reviews, annotated with five sentiment labels: negative, somewhat negative, neutral, somewhat positive, and positive. 8. Amazon Review McAuley 330 & Leskovec (2013), a sentiment classification dataset composed of 600,000 training and 130,000 331 test Amazon reviews annotated with 5 sentiment classes. 9. Yelp Review Asghar (2016), a sentiment 332 classification dataset with 130,000 training and 10,000 test samples annotated with the same 5 333 classes, and 10. Yahoo Answer Chang et al. (2008), a topic classification dataset with 10 topic 334 classes, composed of 140,000 training and 6,000 test samples.

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4.4 **BASELINE MODELS**

338 We use BERT Devlin et al. (2019) base uncased model in all experiments (denoted by BASE). We 339 compare LANE against methods that use training dynamics to assess the data quality, as well as 340 approaches focused on exploiting the relationships between classes and approaches aimed at learning 341 under label noise:

342 Data Cartography Following Swayamdipta et al. (2020), we identify three types of training 343 examples: easy-to-learn (E2L), hard-to-learn (H2L), and ambiguous (AMG) and analyze the 344 importance of each type to the training process by removing the other two types.

345 **Noise Layer** Following Goldberger & Ben-Reuven (2016), we introduce a noise layer to the BERT 346 model which we train for correct label estimation. We denote this model by NSE in our experiments. 347

Peer Loss Function We also compare our method against Peer Loss Function (PLF) Liu & Guo 348 (2020), a method that alters the training loss function to account for label noise. 349

350 Area Under the Margin We consider the AUM method Pleiss et al. (2020) as one of our baselines. 351 This method computes Area Under the Margin metric for each training example and eliminates 352 low-AUM examples that are potentially noisy, using a fixed threshold for elimination.

353 **Contrastive Learning:** We compare LANE to the label-aware supervised contrastive learning (LCL) 354 method proposed by Suresh & Ong (2021) and the traditional supervised contrastive learning (SCL) 355 Khosla et al. (2020). 356

DISC Li et al. (2023) proposes an instance-specific dynamic thresholding mechanism that blocks 357 access to specific training examples based on the momentum of each instance's memorization 358 strength. Additionally, DISC proposes to correct the labels of potentially noisy examples. 359

360 UNICON Karim et al. (2022) leverages semi-supervised learning (SSL) to mitigate the harmful effects of noisy labels by considering the potentially noisy labeled data as unlabeled examples in 361 an SSL algorithm. UNICON also proposes a new selection mechanism for these unlabeled examples 362 during training. 363

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RESULTS

367 **Results on Original Datasets** We show the results on our datasets in Table 2. We make the 368 following observations. LANE outperforms the baselines in all setups. We observe improvements of 1.6% weighted F1 on ISEAR, 1.4% weighted F1 on RCV1, 1.5% accuracy on Amazon Review 369 and 1.3% accuracy on Yahoo over the best performing baseline. Notably, over the base BERT model, 370 we see a 2.9% weighted F1 improvement on GoEmotions and 3% improvement on Yahoo. We note 371 that LCL, which leverages inter-class relations through the label-aware contrastive learning loss is the 372 best performing baseline in 5 out of the 10 datasets. Since LANE utilizes similar inter-class relations 373 during training, we postulate improvements over LCL arise from correctly identifying mislabeled or 374 ambiguous examples and eliminating their harmful effect during training. 375

Results on 20% Noise Datasets The results obtained on the 20% noise (20N) datasets where 20% of 376 the labels are intentionally flipped are shown in Table 3. We observe that this setup is significantly 377 more challenging for the model. For instance, on Empathetic Dialogues the weighted F1 of the BASE

378	Dataset	Empathetic Dialogues (wF1)	GoEmotions (wF1)	ISEAR (wF1)	CancerEmo (wF1)	RCV1 (wF1)
379	BASE	58.5 ± 1.2	63.6 ± 1.2	71.5 ± 0.6	75.8 ± 0.8	56.8 ± 0.8
	E2L	57.6 ± 0.8	63.2 ± 1.2	71.3 ± 0.7	75.9 ± 0.9	54.3 ± 1.1
380	H2L	58.9 ± 1.4	64.2 ± 0.7	72.0 ± 0.6	76.3 ± 1.3	55.8 ± 1.4
0.01	AMG	59.0 ± 0.6	64.8 ± 0.6	73.4 ± 0.5	76.1 ± 0.8	52.3 ± 1.1
381	NSE	58.1 ± 1.9	63.8 ± 1.1	72.2 ± 0.8	76.2 ± 0.7	55.7 ± 1.3
200	PLF	58.4 ± 1.1	63.4 ± 0.8	71.9 ± 1.2	75.9 ± 0.6	56.7 ± 2.2
302	AUM	58.4 ± 0.6	63.1 ± 1.3	71.8 ± 0.8	76.0 ± 0.9	56.3 ± 0.6
202	LCL	59.1 ± 1.0	64.8 ± 0.7	72.4 ± 0.5	76.5 ± 0.9	57.9 ± 0.6
303	SCL	58.9 ± 0.7	62.8 ± 1.1	71.5 ± 0.9	76.2 ± 0.6	56.9 ± 1.7
38/	DISC	59.4 ± 0.9	63.2 ± 1.4	72.3 ± 1.3	76.4 ± 1.1	56.5 ± 1.4
30-	UNICON	58.4 ± 0.7	63.1 ± 0.9	72.5 ± 1.1	76.6 ± 1.3	56.9 ± 1.1
385	LANE	60.8 ± 0.9	66.5 ± 0.5	74.3 ± 0.4	78.2 ± 0.7	59.3 ± 0.9
226	DATASET	SciHTC (MF1)	SST-5 (Acc)	Amazon Review (Acc)	Yelp (Acc)	Yahoo (Acc)
300	BASE	32.5 ± 1.75	56.3 ± 0.6	67.5 ± 0.6	65.9 ± 0.6	75.4 ± 0.6
387	E2L	31.6 ± 1.5	55.7 ± 1.1	62.9 ± 0.9	62.8 ± 2.3	70.4 ± 1.5
	H2L	32.2 ± 1.1	56.6 ± 1.4	67.9 ± 0.8	62.3 ± 1.7	74.1 ± 1.8
388	AMG	30.6 ± 1.1	55.1 ± 1.3	67.4 ± 1.1	65.1 ± 1.5	72.3 ± 1.7
	NSE	32.8 ± 1.5	54.1 ± 1.1	65.8 ± 1.7	65.1 ± 1.3	74.6 ± 1.1
389	PLF	32.2 ± 1.4	55.7 ± 1.1	67.4 ± 2.1	65.8 ± 1.8	74.8 ± 1.6
	AUM	31.2 ± 2.63	56.4 ± 0.9	66.4 ± 0.6	68.1 ± 0.6	72.9 ± 0.6
390	LCL	33.1 ± 1.42	57.6 ± 0.9	68.2 ± 0.6	66.8 ± 0.6	76.8 ± 0.6
0.01	SCL	32.7 ± 1.1	56.8 ± 1.5	67.8 ± 1.3	66.1 ± 1.7	75.3 ± 1.1
391	DISC	32.8 ± 1.5	56.7 ± 1.3	67.8 ± 2.4	66.4 ± 2.2	75.1 ± 1.7
200	UNICON	32.7 ± 1.1	56.5 ± 1.6	67.5 ± 1.4	67.9 ± 1.3	77.1 ± 1.5
392	LANE	34.1 ± 0.87	58.9 ± 0.4	69.7 ± 0.6	69.2 ± 0.6	$\mathbf{78.4 \pm 0.6}$

Table 2: Results of LANE on the fine-grained text classification datasets. The reported results are averaged across five runs and standard deviations are provided. Best results are shown in **bold blue** and second best are underlined.

Dataset	Empathetic Dialogues (wF1)	$\textbf{GoEmotions} \ (wF1)$	ISEAR (wF1)	$\pmb{CancerEmo}~(wF1)$	RCV1 (wF1)
BASE	11.6 ± 3.4	21.5 ± 2.8	37.6 ± 3.0	46.7 ± 1.9	44.4 ± 3.8
E2L	10.3 ± 0.8	22.6 ± 1.2	37.1 ± 0.7	47.5 ± 0.9	44.3 ± 1.5
H2L	10.6 ± 1.4	21.8 ± 0.7	37.3 ± 0.6	47.9 ± 1.3	45.8 ± 2.4
AMG	11.4 ± 1.2	22.1 ± 0.6	36.9 ± 0.5	48.4 ± 0.8	45.9 ± 2.7
NSE	10.2 ± 1.9	15.6 ± 1.1	36.4 ± 0.8	44.2 ± 0.7	44.9 ± 1.8
AUM	14.5 ± 0.6	23.5 ± 1.3	38.6 ± 0.8	49.8 ± 0.9	47.6 ± 2.7
SCL	10.4 ± 1.4	21.4 ± 1.3	37.3 ± 0.9	46.4 ± 1.1	45.2 ± 1.5
LCL	10.8 ± 3.24	22.1 ± 5.1	38.3 ± 1.5	46.6 ± 1.2	47.2 ± 2.2
DISC	11.3 ± 1.0	22.5 ± 0.7	40.5 ± 0.5	50.3 ± 0.9	47.1 ± 2.2
UNICON	10.4 ± 1.4	21.9 ± 1.2	39.5 ± 0.9	42.3 ± 0.9	49.2 ± 2.3
LANE	15.9 ± 1.3	24.3 ± 1.2	40.4 ± 0.8	52.5 ± 0.9	$\mathbf{49.4 \pm 2.1}$
DATASET	SciHTC (MF1)	SST-5 (Acc)	Amazon Review (Acc)	Yelp (Acc)	Yahoo (Acc)
BASE	24.5 ± 4.6	48.9 ± 3.7	61.5 ± 1.5	60.7 ± 1.3	64.8 ± 1.7
E2L	24.1 ± 2.4	48.2 ± 2.7	60.7 ± 2.4	62.3 ± 2.9	64.9 ± 3.1
H2L	26.7 ± 2.3	48.7 ± 1.9	60.9 ± 2.3	62.6 ± 2.1	65.7 ± 1.8
AMG	26.9 ± 1.4	49.4 ± 1.5	61.3 ± 2.4	62.9 ± 2.3	66.5 ± 1.8
NSE	26.7 ± 4.3	50.4 ± 4.1	61.7 ± 3.5	63.5 ± 3.3	67.2 ± 2.5
AUM	27.4 ± 4.2	50.4 ± 2.5	62.4 ± 1.7	63.3 ± 1.4	65.9 ± 2.4
LCL	24.2 ± 3.9	48.5 ± 5.7	$\overline{61.7 \pm 2.4}$	63.1 ± 3.1	65.9 ± 3.0
SCL	24.1 ± 3.4	51.5 ± 3.2	62.3 ± 3.5	63.7 ± 3.9	66.8 ± 2.5
DISC	27.5 ± 2.1	51.7 ± 2.6	62.1 ± 2.7	$\overline{63.2 \pm 2.5}$	67.3 ± 2.1
UNICON	28.9 ± 3.4	50.8 ± 3.1	61.5 ± 3.7	62.3 ± 3.9	$\overline{64.2 \pm 3.7}$
LANE	30.5 ± 2.97	53.1 ± 1.6	63.1 ± 2.3	65.2 ± 3.1	68.9 ± 2.5

Table 3: Performance of LANE on the ten fine-grained classification datasets in 20% noise setting. The reported results are averaged across five runs and standard deviations are provided. Best results are shown in **bold blue** and second best are underlined.

model drops from 58.5% on the original dataset to 11.6% on the 20N dataset, with a similar trend on all the other datasets. However, even in this more challenging setup, LANE still outperforms all baselines in all setups. For example, on SST5, LANE outperforms AUM in accuracy by 2.7%, DISC by 1.4%, UNICON by 2.3%, and SCL by 1.6%. The improvements over the base model are larger, with an average performance increase of 4.5%.

Results on 40% Noise Datasets We show the results in this high-noise setup in Appendix A.

> **ANALYSIS**

Ablation Study Here, we analyze the effectiveness of various components of our method. To this end, we first design a version of LANE that uses averaged margins instead of ALMs so that the semantic relations are not incorporated into the model. We achieve this by replacing the ALM term in Eq. 5 with AUM and denote this method by LANE^{-sim}. Second, we investigate the performance of our approach when completely removing the ALM-based weighting. Specifically, we remove the λ weight in Eq. 8 (or set it to 1 always) and train our model to optimize the combination of the contrastive loss and the traditional cross-entropy. We denote this second approach by LANE^{-alm}. Finally, we compare LANE against the vanilla AUM Pleiss et al. (2020), which completely removes

DATASET:	Empathetic Dialogues (wF1)	GoEmotions~(mF1)	ISEAR (Acc)	CancerEmo (mF1)	RCV1 (mF1)
LANE ^{-sim}	14.7 ± 1.1	22.9 ± 0.4	39.6 ± 0.5	50.1 ± 0.8	45.2 ± 0.8
$LANE^{-alm}$	$\overline{13.8 \pm 0.9}$	21.6 ± 0.5	37.2 ± 0.8	46.1 ± 0.8	46.2 ± 1.4
AUM	14.5 ± 0.6	23.5 ± 1.3	38.6 ± 0.8	49.8 ± 0.9	47.6 ± 2.7
LANE	15.9 ± 1.3	24.3 ± 1.2	40.4 ± 0.8	52.5 ± 0.9	49.4 ± 2.1
DATASET	SciHTC (MF1)	SST-5 (Acc)	Amazon Review (Acc)	Yelp (Acc)	Yahoo (Acc)
$LANE^{-sim}$	28.5 ± 0.8	50.6 ± 0.8	61.2 ± 0.8	62.4 ± 0.8	67.1 ± 0.8
$LANE^{-alm}$	29.3 ± 1.2	50.2 ± 1.2	61.3 ± 1.2	64.2 ± 1.2	66.3 ± 1.2
AUM	27.4 ± 4.2	50.4 ± 2.5	62.4 ± 1.7	$\overline{63.3 \pm 1.4}$	65.9 ± 2.4
LANE	30.5 ± 2.97	53.1 ± 1.6	63.1 ± 2.3	65.2 ± 3.1	68.9 ± 2.5

Table 4: Ablation study: comparison between LANE, LANE $^{-sim}$, LANE $^{-alm}$ and vanilla AUM on the datasets using 20% noise. Best results are shown in **bold blue** and second best are <u>underlined</u>.

DATASET:	Empathetic Dialogues (wF1)	GoEmotions (mF1)	ISEAR (ACC)	CancerEMO (mF1)	RCV1 (mF1)
CHATGPT LLAMA-2 LANE	$\frac{12.8 \pm 3.1}{10.9 \pm 3.7}$ 15.9 \pm 1.3	$\frac{21.4 \pm 2.5}{20.4 \pm 2.7}$ $\mathbf{24.3 \pm 1.2}$	$\frac{37.3 \pm 1.1}{35.4 \pm 1.6}$ 40.4 ± 0.8	$\begin{array}{c} 48.9 \pm 1.9 \\ \underline{50.2 \pm 1.7} \\ \mathbf{52.5 \pm 0.9} \end{array}$	$\begin{array}{c} \underline{42.9 \pm 4.6} \\ \overline{39.7 \pm 1.8} \\ \mathbf{49.4 \pm 2.1} \end{array}$
DATASET:	SciHTC (MF1)	SST-5 (Acc)	Amazon Review (Acc)	Yelp (Acc)	Yahoo (Acc)
CHATGPT LLAMA-2 LANE	$\frac{28.3 \pm 5.0}{15.1 \pm 5.2}$ 30.5 \pm 2.97	$\begin{array}{c} 49.6 \pm 0.6 \\ \mathbf{54.2 \pm 0.4} \\ \underline{53.1 \pm 1.6} \end{array}$	$\frac{62.6 \pm 0.9}{61.3 \pm 2.3}$ 63.1 \pm 2.3	$\frac{64.5 \pm 0.9}{62.3 \pm 1.4}$ 65.2 \pm 3.1	$\frac{64.9 \pm 0.9}{61.1 \pm 2.3}$ 68.9 \pm 2.5

Table 5: Performance of LANE on the ten benchmark datasets compared with LLMs. Best results are shown in **bold blue** and second best are <u>underlined</u>.

examples in the training set that have low AUMs. We show the results obtained on 20N datasets 454 in Table 4. We observe that LANE outperforms $LANE^{-sim}$, $LANE^{-alm}$ and AUM in all setups. 455 Notably, we see a large improvement on SST-5, where LANE pushes the accuracy score by 2.5%456 over LANE^{-sim}, by 2.9% over LANE^{-alm} and by 2.6% over AUM. On RCV1, which has a large 457 number of classes, LANE improves the micro F1 score significantly, obtaining 49.4%, a boost of 458 4.2% over LANE^{-sim}, 3.2% over LANE^{-alm} and 1.8% over AUM. These results show that our 459 proposed Average Label-aware Margin and semantics-aware contrastive loss play an important role in 460 the success of LANE. To gain further insights into LANE we show in Appendix B an error analysis 461 of LANE predictions on the 20% noise ISEAR dataset.

463 **Comparison with Large Language Models** We test our approach against few-shot large language models: ChatGPT and Llama-2 13B Touvron et al. (2023) to compare the robustess to label noise of 464 LANE with that of popular LLMs in 20% noise setup. For all datasets except SciHTC we fit a large 465 number of examples in the prompt and set the number of few-shot examples to 100. We use only 10 466 few-shot examples for SciHTC since the examples (i.e., paper abstracts) are much longer and exceed 467 the context window. Similar to the original 20% noise setup, 20% of the few-shot examples are 468 purposefully mislabeled. To account for the variance produced by the particular few-shot examples 469 selected, we run ChatGPT 10 times with different few-shot examples in the prompt and report average 470 values. Similarly, we run Llama-2 20 times with different few-shot examples and show results in 471 Table 5. We observe that LANE outperforms the LLMs on all datasets except SST5. Notably, LANE 472 improves upon Llama-2 by 15.4% on SciHTC and by 9.7% on RCV1 and improves the performance 473 over ChatGPT by 3.1% accuracy on ISEAR and 6.5% micro F1 on RCV1. Among the LLMs, 474 ChatGPT obtains the best results, outperforming Llama-2 especially in complex tasks such as RCV1 and SciHTC. Concretely, ChatGPT obtains 28.3% macro F1 on RCV1, a 13.2% improvement over 475 Llama-2. 476

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7 CONCLUSION

In this work, we introduced LANE, a new approach that boosts the capabilities of deep learning models
 when learning under increased label noise. LANE leverages the inter-class semantic similarities and
 utilizes training dynamics to boost the performance in fine-grained text classification. We tested
 LANE on ten fine-grained text classification datasets where it obtained improvements in performance
 over strong baselines and prior works. In the future, we plan to extend our approach to other domains
 and data types, e.g., image classification and the legal domain. We make our code available to further

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702	Dataset	Empathetic Dialogues (wF1)	GoEmotions (wF1)	ISEAR (wF1)	CancerEmo (wF1)	RCV1 (wF1)
703	BASE	-	-	-	_	-
704	E2L H2L	_	_	_	_	_
705	AMG NSE				_	-31.4 ± 1.7
706	AUM LCL	10.4 ± 0.6	17.5 ± 1.3	27.8 ± 0.8	41.8 ± 0.9	32.5 ± 1.3
707	SCL	-14.1 + 1.7	19.6 ± 0.7	-31.4 ± 0.5	47.6 ± 0.9	-33.7 ± 1.5
708	UNICON	$\frac{11.7 \pm 1.4}{13.7 \pm 1.4}$	$\frac{17.4 \pm 1.2}{17.4 \pm 0.9}$	$\frac{33.1 \pm 0.9}{35.1 \pm 0.7}$	$\frac{1000}{46.5 \pm 0.9}$ 50.1 ± 0.6	34.6 ± 1.5 38.2 ± 1.7
709	DATASET	SciHTC (MF1)	SST-5 (Acc)	Amazon Review (Acc)	Yelp (Acc)	Yahoo (Acc)
710	BASE E21	_	_	_	_	_
711	H2L	-	-	-	-	_
712	NSE	14.8 ± 1.5	41.6 ± 2.3	-	44.7 ± 2.6	-
713	AUM LCL	17.2 ± 1.4 -	42.6 ± 1.5 -	51.4 ± 1.1	52.6 ± 1.8 -	42.7 ± 1.9 -
714	SCL DISC	-18.5 ± 2.3	-43.8 ± 1.8	52.9 ± 1.9	-53.8 ± 2.3	-44.7 ± 2.1
715	UNICON	19.6 ± 1.5 20.5 ± 1.5	43.1 ± 1.6 45.7 ± 1.3	55.2 ± 1.3 56.8 ± 2.2	53.9 ± 1.7 56.2 + 2.3	44.7 ± 2.1 46.3 ± 2.5
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Table 6: Performance of LANE on the ten benchmark datasets under 40% label noise. The reported results are averaged across five runs and standard deviations are provided. Best results are shown in **bold blue** and second best are underlined. Results marked with - indicate that the model did not converge.

Datasets with 40% label noise А

723 We show in Table 6 results on the 40% noise (40N) datasets. Results marked with - indicate that the 724 model did not convege. We notice that LANE stays effective across the ten datasets, and we observe 725 that AUM yields poor results on this dataset with very high amounts of noise, indicating that it may 726 not work in high-noise setups. For example, AUM outperforms DISC by an average of 1.5% on 20N across the datasets whereas DISC outperforms AUM on 40N by a significant 2.9%. Critically, LANE 727 outperforms both DISC and AUM on 40N by an average of 2.2% and 6.2%, respectively. 728

730 В **ERROR ANALYSIS**

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732 To provide additional insights into our method, we show in Figure 2 a confusion matrix of our 733 LANE approach compared with LANE^{-alm} and a base BERT model on the 20N ISEAR dataset. 734 We make a few observations. First, we note that $LANE^{-alm}$ improves the capabilities of the model over the plain BERT to distinguish between closely related emotions. For example, we see that 735 there are significantly fewer prediction errors confusing disgust and anger or sadness and anger. 736 This result aligns with the purpose of the contrastive loss in LANE^{-alm}, which tries to produce 737 language representations that are useful for distinguishing between confusable classes such as anger, 738 disgust, and sadness. Interestingly, we notice that while the performance on closely confusable 739 classes improves, the performance of the model on opposite or more dissimilar classes degrades. For 740 instance, we observe that the model predicts significantly more examples with disgust as true label in 741 the joy class. However, our LANE solves this drawback and we note that the confusability between 742 opposite classes is considerably improved, outperforming the base BERT model as well substantially. 743 Thus, the combination of contrastive learning with our label-aware approach for learning under 744 label noise is extremely effective, denoting that the two components are complementary by nature: while LANE^{-alm} improves the capabilities of the model of distinguishing between easily confusable 745 746 classes, our full LANE model improves on both highly confusable/overlapping classes and distant classes. 747

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Figure 2: Confusion matrices on the ISEAR dataset created using 20% noise. We compare LANE with a vanilla BERT base model and $LANE^{-alm}$ ablation.