Reverse Engineering a Stateful Reasoning circuit

Anonymous Author(s)
Affiliation
Address
email

Abstract

We study Gemma-2-2B on a controlled role-gated retrieval task where a prepositional gate (`to` or `from`) selects which of two entities is correct. On 60 single-token name pairs the model attains 100% accuracy with a mean flip magnitude ≈ 3.5 (sum of per-condition correctness margins). Using causal tracing, we identify a *Query-Gated Courier* circuit with three stages: (1) a gate token (from/to) writes a role feature at the answer; (2) this feature perturbs late-layer courier queries, shifting their $q{\cdot}k$ preference; (3) couriers attend to the correct name and inject it via OV, raising its logit. Gate-residual swaps flip predictions, and a compact nine-head keep set reproduces the behavior with high fidelity. The circuit gives a potential algorithm for role tracking and aligns with the Paninian Kāraka analysis, mapping `to` to *sampradāna* and `from` to *apādāna*.

1 Introduction

Large language models such as Gemma-2-2B exhibit structured in-context behavior (Elhage et al., 2021; Olsson et al., 2022; Nanda et al., 2023). We probe this with a *role-gated retrieval* task: the model reads a short context containing two names and a preposition and must produce the correct name at the final position. Behaviorally, it achieves 100% accuracy on 60 single-token pairs with a large flip magnitude (≈ 3.5); see Fig. 1. These margins suggest stable control rather than fragile pattern matching, motivating a mechanistic study.

Our goal is to explain how the model implements this behavior. We apply causal tracing (Meng et al., 2022) in a 26-layer decoder-only transformer, localizing control to the prepositional gate and identifying a small set of late attention heads that set the final logit. We then provide causal tests that isolate the medium of control and reconstruct the behavior with a compact subcircuit. The remainder is organized as follows: Sec. 2.1 details the behavioral setup and metrics; Sec. 2 presents the circuit and causal evidence; Sec. A connects the mechanism to the Paninian Kāraka framework (Begum et al., 2007; Bharati et al., 1995); Sec. 2.4 documents sufficiency; Sec. 2.3 and the Appendix provide mathematical details.

2 Reverse-Engineering the Role-Gated Retrieval Circuit

2.1 Behavioral Finding

Stimuli and metric. Two prompts:

P_{to}: "A moved the opal to B. Later, Owen took the opal from ___."

P_{from}: "A moved the opal from B. Later, Owen took the opal from ___."

Let ℓ be logits at the answer position. Define *correctness margins*

$m_{\text{to}} := \ell_B - \ell_A$ (positive iff the expected B wins), $\quad m_{\text{from}} := \ell_A - \ell_B$ (positive iff the expected A wins).

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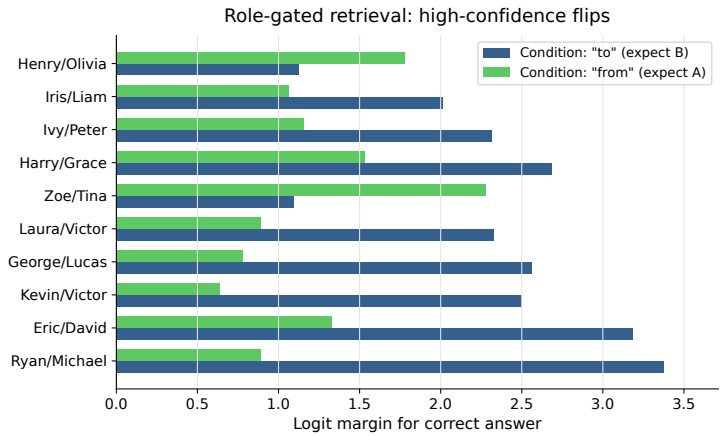

Figure 1: Behavioral result on random pairs: horizontal bars show correctness margins for `to` (expect B) and `from` (expect A).

We summarize gate sensitivity by the *flip magnitude*

$$\Phi \;:=\; m_{\mathrm{to}} + m_{\mathrm{from}}.$$

Result. Accuracy is 100% in both conditions on 60 pairs. Mean per-condition margins are $\mathbb{E}[m_{\mathrm{to}}] \approx 2.190$ and $\mathbb{E}[m_{\mathrm{from}}] \approx 1.314$. The mean flip magnitude is $\mathbb{E}[\Phi] \approx 3.504$ (Fig. 1).

2.2 Circuit Hypothesis: Query-Gated Couriers

We claim the model uses a three-stage algorithm. Gating: a prepositional gate token $t_{\mathrm{gate}} \in \{\texttt{to}, \texttt{from}\}$ writes a directional role feature r_{gate} into the residual stream at the answer position ans. Steering: r_{gate} additively perturbs the query vectors of specific late-layer courier heads at ans. Retrieval: the perturbed queries steer those heads to attend to the correct name and inject its value via OV, which raises the target logit via the unembedding. Fig. 2 presents the full diagram. The remainder of this section provides causal evidence using activation patching and ablations.

2.3 Causal Evidence for the Circuit

We present the evidence in the computational order suggested by the circuit in Fig. 2 and the attention readouts in Fig. 3: *couriers are the final actors*, the *gate is the switch that controls them*, and the *query is the mechanism that connects the switch to the actors*. Metrics are those defined in Sec. 2.1. Behavioral context appears in Fig. 1.

Couriers are the final actors. Late heads L22H4 and L18H6 concentrate causal effect at the answer position. Two lines of evidence support this. (i) **Attention readout.** For a representative pair, each courier's attention at the answer flips to the correct entity under the gate condition: to selects B, from selects A (Fig. 3). This pinpoints where information is retrieved. (ii) **Local necessity.** Head–OV patching at the answer highlights late layers with peaks on these couriers, and zeroing their output at the answer reduces both per–condition correctness margins and the flip magnitude Φ. These findings place the final name injection on a small set of late heads, consistent with standard head-level decompositions.

The gate is the switch that controls them. Let L_c be the first layer where courier effects appear and let T be the answer index. We patch only the residual stream at the gate token, at (L_c, T), from the `from` run into the `to` run. This single-position swap flips the prediction in `to` and moves m_{to} toward the `from` value. We quantify control with a recovery fraction

$$\rho_{\mathrm{gate}}(m) \;:=\; \frac{m(\text{to with gate swap}) - m(\text{to})}{m(\text{from}) - m(\text{to})}.$$

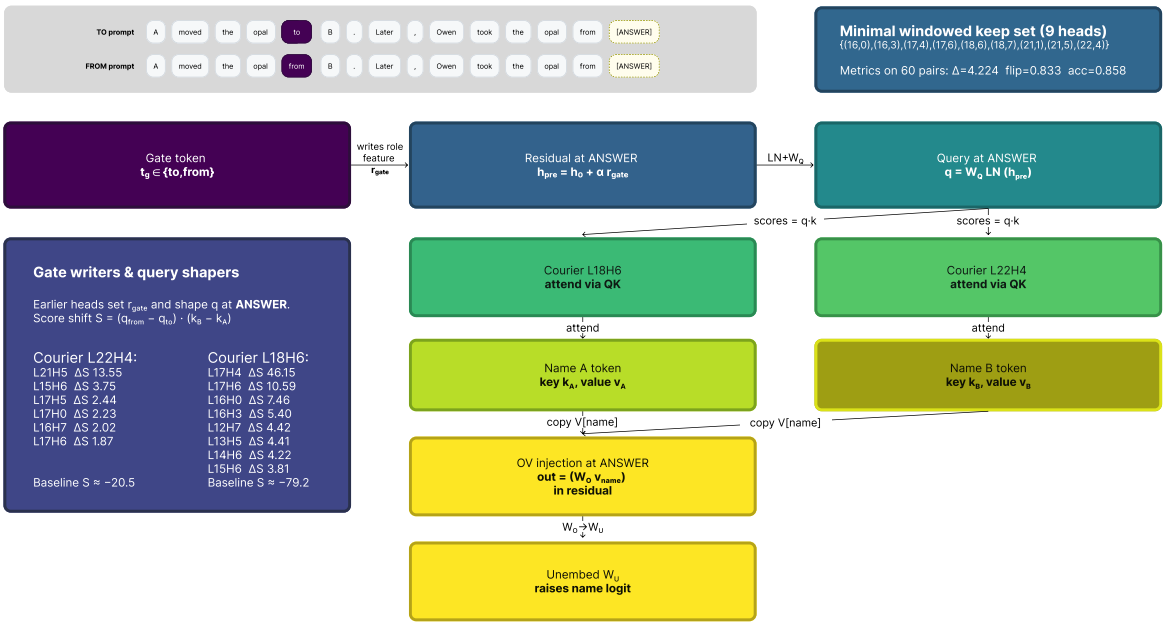

Figure 2: Query-Gated Courier circuit. Gate writes r_{gate} at ans, which steers courier heads (L22H4, L18H6). Couriers attend to the correct name and inject via OV; unembedding raises the correct logit.

Empirically $\rho_{\text{gate}}(m_{\text{to}}) \approx 1$ and $\rho_{\text{gate}}(\Phi) \approx 1$, which localizes the switch to the gate token's residual stream. In the circuit diagram (Fig. 2), this is the edge that writes the role feature r_{gate} at ans.

The query is the mechanism that connects switch to actors. We test whether the gate-written signal influences queries, keys, or values of the couriers at the answer. We patch only the *courier queries* at T from the `from` run into the `to` run and observe large recovery of m_{to} and Φ. Patching keys at the name positions produces near-zero change. Patching values sourced at the gate is negligible. These results isolate a query-centric steering mechanism: the gate writes r_{gate} at the answer, r_{gate} perturbs the courier queries, and the perturbed q shifts the $q \cdot k$ score row toward the correct name. A first-order linearization that connects the gate residual to a query perturbation and then to the name–name score difference appears in App. B.3.

2.4 Sufficiency via a Compact Keep Set

We test sufficiency by restricting attention scores at the answer to a compact set of heads $\mathcal{K}$ from layer L_c onward using a scores–keep mask. With

$$\mathcal{K} = \{(16,0),(16,3),(17,4),(17,6),(18,6),(18,7),(21,1),(21,5),(22,4)\}$$

we retain high accuracy ($\approx 86\%$) and large Φ relative to the baseline run. Within this reconstruction, removing any one courier reduces Φ, with tight bootstrap confidence intervals over pairs. A small set of late heads is therefore sufficient when upstream query formation is intact. This keep-only reconstruction corresponds to the highlighted courier path in Fig. 2.

3 Limitations and Future Work

We present this as a case study in a simplified laboratory setting, and claims should be interpreted within that scope. The primary limitation is the small ($N = 60$) set of controlled prompts, which enabled clean causal analysis but may bias toward one circuit instantiation. We ask: is the "Query-Gated Courier" a tractable, general motif, or an artifact of this setup?

Further limitations include:

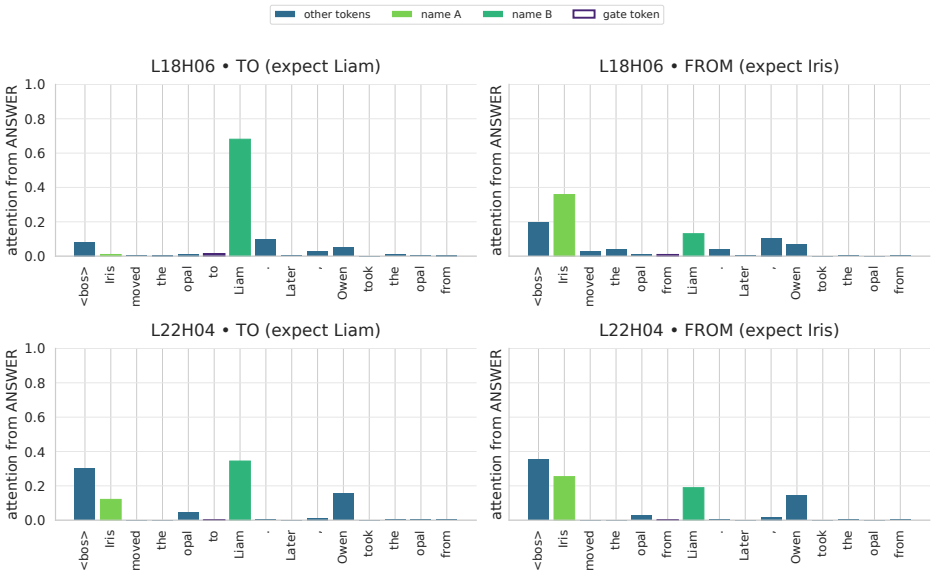

Figure 3: Attention at the answer for courier heads L18H6 and L22H4. Bars show attention from the final token to each source; A and B are colored, the gate token is outlined. Attention shifts to B in "to" and to A in "from," showing gate-controlled retrieval.

- **Scope and generalization:** Results are for a single model (Gemma-2-2B). Head identities may not transfer across scales or trainings. Behavior is sensitive to prompt structure; minor lexical changes can alter contributing heads.
- **Tokenization and morphology:** We use single-token English names; multi-token entities and inflected forms are untested.
- **Intervention bias:** Activation patching and scores-keep are interventions that can shift distributions; recovery fractions are under intervention, not true counterfactuals.
- **Unquantified components:** We focus on attention heads; MLP contributions and nonlinear effects are not fully quantified.
- **Metrics and reproducibility:** We report flip magnitude $\Phi = m_{\text{to}} + m_{\text{from}}$ (see Sec. 2.1), not average per-condition margins. Exact reproduction may require our seeds, pinned library versions, and cached activations due to floating-point variance.

Future work: (1) cross-model and cross-scale replication (layer timing, OV alignment, minimal keep-set size); (2) stress tests with multi-token entities, inflection, paraphrases, distractors, and long-context ledgers; (3) stronger identification with two-copy interventions and norm-matched control patches; (4) extend to additional Kārakas and multilingual settings.

4 Conclusion

We reverse-engineer a *Query-Gated Courier* circuit for role-gated retrieval: a prepositional gate writes a role feature at the answer, this feature steers late courier queries, and couriers attend to and inject the correct name via OV so the unembedding raises its logit. Behaviorally the model reaches 100% accuracy on 60 pairs with mean $\Phi \approx 3.6$; mechanistically, gate-residual swaps flip predictions, query-only patches recover the effect while key and gate-value patches are negligible, and a nine-head scores-keep subcircuit suffices (Figs. 1, 3, 2). In this microdomain the circuit aligns with the Paninian Kāraka analysis (as described in A); whether this alignment and the motif generalize remains open. The repository with code, seeds and pinned packages has been made available, more details in Appendix C.1.

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A Paninian roles, vibhakti, and our mapping

Kārakas (semantic roles). Pāṇini introduces six core kārakas that relate participants to the action denoted by the verb: *apādāna* 'that from which departure occurs', *sampradāna* 'recipient/beneficiary', *karaṇa* 'instrument', *adhikaraṇa* 'locus', *karman* 'object/goal', and *kartṛ* 'agent'. These are semantic notions and need not map one-to-one to morphological cases (Kak, 1987).

Vibhakti (case/postpositional marking). In the Paninian tradition, surface markers (*vibhakti*) encode the kāraka borne by a noun phrase; the mapping from kāraka to vibhakti is language-specific. For Indian languages, vibhakti comprises case suffixes and postpositions; in fixed-word-order languages like English, positional information can be treated as part of vibhakti for mapping purposes(Bharati and Sangal, 1993; Begum et al., 2007).

Sampradāna and `to`. *Sampradāna* marks the intended recipient/beneficiary of the action. In many languages it aligns with dative marking and is often expressed by a 'to/for' relation in English. Computational treatments adopt this role as a dependency label for recipients. (Kak, 1987)

Apādāna and `from`. *Apādāna* denotes the source or point of separation, canonically glossed as 'from'. Modern Paninian parsers use the apādāna label for ablative/source dependents.

Relation to our mechanism. In our prompts, the preposition `to` selects *sampradāna* (recipient) and `from` selects *apādāna* (source). Mechanistically, the prepositional token functions as a vibhakti-like marker: it writes a role feature at the answer position that steers courier-head queries toward the argument consistent with the selected kāraka; OV then injects that name so the unembedding raises its logit (Figs. 1–2). This matches the Paninian view that surface vibhakti cues the semantic role realized by an NP.

Minimal glossary. *Kāraka* = semantic role linked to the verb's action; *vibhakti* = overt marking that encodes a kāraka; *sampradāna* = recipient/beneficiary (≈ dative/'to'); *apādāna* = source/separation (≈ ablative/'from').

B Name Inventory and Tokenization Constraints

Scope. This appendix lists the exact single-token names used to form the 60 evaluation pairs. Each name satisfies the tokenizer constraint that both the no-space and leading-space variants tokenize to a single id in Gemma-2-2B, and that the leading-space id is used for next-token prediction at the answer position.

B.1 Full name list (sorted)

- Ada
- Alan
- Alice
- Amy
- Anna
- Ava
- Ben
- Bella
- Carol
- Cindy
- Clara
- David
- Donna
- Ella
- Emily
- Emma
- Eric
- Ethan
- Eva
- Frank
- George
- Grace
- Hank
- Ivy
- Jack
- Jacob
- James
- Jane
- Jason
- John
- Julia
- Kevin
- Lara
- Laura
- Leah
- Leo
- Liam
- Linda
- Lisa
- Mark
- Megan
- Michael
- Nick
- Noah
- Nora
- Oscar
- Owen
- Paula
- Peter
- Rita
- Ryan
- Sara
- Sarah
- Sean
- Susan
- Tina
- Tom
- Vera
- Victor
- Zoe

Pairs used. The 60 evaluation pairs (A, B) were sampled without replacement from the above inventory subject to $A \neq B$ and single-token constraints. The exact list of pairs used in the figures is:

A	B	A	B	A	B
Liam	Rita	James	Susan	Peter	Sara
James	Ivy	Noah	Linda	Michael	Mark
Tom	Oscar	Nick	Tina	George	Paula
Kevin	Megan	Nick	Ava	John	Tina
Emma	Sara	Grace	Ryan	Peter	Ivy
Rita	David	Nick	Michael	George	Linda
Kevin	Sara	Amy	Leo	Anna	Eric
Bella	Frank	Carol	Oscar	Cindy	Jacob
Clara	Sean	Donna	Victor	Ella	Mark
Emily	Nora	Eva	Jack	Alan	Jane
Laura	Ryan	Leah	Peter	Leo	Grace
Lisa	Noah	Lara	James	Megan	David
Michael	Amy	Nora	Kevin	Oscar	Emma
Paula	John	Rita	Tom	Ryan	Susan
Sara	George	Sean	Bella	Susan	Alan
Tina	Eric	Tom	Laura	Vera	Nick
Victor	Anna	Zoe	Kevin	Ava	Peter
Ivy	Frank	John	Sara	Emma	David
Grace	Oscar	Kevin	Linda	Mark	Paula
Noah	Jane	Peter	Megan	Sara	Ryan

Reproducibility. The inventory above is sufficient to regenerate the pairs deterministically using the random seed documented in the code release C.1. Names not conforming to the single-token constraint were excluded during preprocessing.

B.2 Model and runtime details

All experiments use Gemma-2-2B in float16 inference with a 26-layer decoder-only architecture. We use a single GPU. We disable dropout. We prepend BOS for all prompts. We use the model's native tokenizer.

B.3 Mathematical details for Sect. 2.3

Gate-to-query linearization. Let $r_{\text{gate}} = h^{\text{from}}_{L_c,T} - h^{\text{to}}_{L_c,T}$. A first-order expansion of the courier query at the answer gives

$$\delta q_h \;:=\; q^{\text{from}}_{L_c,T,h} - q^{\text{to}}_{L_c,T,h} \;\approx\; W^Q_{L_c,h}\, J_{\text{LN}}(h_{L_c,T})\, r_{\text{gate}}.$$

The induced change in the score difference between the two name positions (i_B, i_A) is

$$\Delta s_h \;\approx\; \frac{\langle \delta q_h,\; k_{L_c,i_B,h} - k_{L_c,i_A,h} \rangle}{\sqrt{d_h}}.$$

Head-level decomposition and OV alignment. Let $u_X = W_U e_X$. At the answer index T,

$$m_{\text{to}} \;\approx\; \sum_{\ell,h} \langle W^O_{\ell,h} z^{\text{to}}_{\ell,T,h},\, u_B - u_A \rangle \;+\; \text{MLP terms}, \quad m_{\text{from}} \;\approx\; \sum_{\ell,h} \langle W^O_{\ell,h} z^{\text{from}}_{\ell,T,h},\, u_A - u_B \rangle.$$

Define the per–head flip contribution $\phi_{\ell,h}$ by summing these two inner products, so that $\Phi \approx \sum_{\ell,h} \phi_{\ell,h}$. OV alignment at a name position i^* is

$$\alpha_{\ell,h} \;:=\; \langle W^O_{\ell,h} v_{\ell,i^*,h},\, u_{\text{correct}} - u_{\text{other}} \rangle.$$

Scores–keep mask. Let $S_{\ell,h} \in \mathbb{R}^{T\times T}$ be attention scores. The answer-row keep mask is

$$\tilde{S}_{\ell,h}[T,:] = \begin{cases} S_{\ell,h}[T,:], & (\ell,h) \in \mathcal{K}, \\ -10^9 \mathbf{1}, & \text{otherwise}, \end{cases} \qquad \ell \geq L_c.$$

Define Φ_{keep} under this intervention and $\eta = \mathbb{E}[\Phi_{\text{keep}}]/\mathbb{E}[\Phi_{\text{base}}]$. Per–head importance within $\mathcal{K}$ is estimated by bootstrapping pairs.

C Compute Resources and Reproducibility

Hardware. All experiments ran on a single GPU: NVIDIA GeForce RTX 2080 Ti (11 GB VRAM). No multi-GPU, no distributed training, no gradient updates. Inference-only.

Software. Python 3.11, PyTorch 2.7.1 with CUDA 12.8, TransformerLens (commit hash provided in the code release), NumPy, Matplotlib, Seaborn. Default inference in fp16. Analysis steps that require exact dot products cast tensors to fp32. uv.lock file provided contains the exact versions of packages which can be used to reproduce the results.

Model and I/O. Gemma-2-2B decoder-only transformer. Batch size 1. Sequence length per prompt ≤ 32 tokens. Name inventory and random seeds are included in the release to reproduce the exact pairs.

Per-experiment resources. Table 1 reports approximate wall-clock times on the 2080 Ti, peak GPU memory, and input sizes. Times vary with driver and library versions. Each entry is the median of three runs after warmup.

Experiment	Input size	Wall clock
Behavioral evaluation (60 pairs, 2 prompts)	120 prompts	≈ 0.5 min
Attention patterns for L18H6, L22H4	2 heads $\times$ 2 prompts	≈ 0.5 min
Head OV patch heatmap at ANSWER	26 layers $\times$ 8 heads	≈ 4 min
Gate residual swap at gate token	60 pairs	≈ 0.5 min
Query-only patches for couriers	2 heads $\times$ 60 pairs	≈ 2 min
Scores-keep reconstruction (keep set of 9)	60 pairs	≈ 2 min
Greedy keep-set search windowed	up to 60 steps	≈ 3 min
OV alignment and per-head sums	2 heads	≈ 1 min

Table 1: Approximate compute on a single RTX 2080 Ti. No caching across rows except where noted in the release scripts.

Total compute. End-to-end reproduction of all figures and tables requires ≈ 15 to ≈ 25 minutes of GPU time on the 2080 Ti. Peak disk use for activation caches and figures is ≤ 1 GB.

Disclosure. Preliminary and failed variants, including prompt ablations and alternative ranking heuristics for keep sets, consumed an additional ≈ 3 to ≈ 5 GPU hours on the same hardware.

Determinism. We provide seeds for name sampling and pair ordering, pinned package versions, and optional precomputed caches. Floating-point nondeterminism can cause small changes in margins and head rankings. Reported metrics aggregate over the fixed 60 pairs.

C.1 Reproducibility

The repo can be accessed here: `https://anonymous.4open.science/r/mechinterp-nips-1360/`. The *README.md* has instructions on how to reproduce the results mentioned in this paper.

D Gemma License

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