ATTRIBUTED GRAPH CLUSTERING VIA GENERALIZED QUATERNION REPRESENTATION LEARNING

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ABSTRACT

Clustering complex data in the form of attributed graphs has attracted increasing attention, where appropriate graph representation is a critical prerequisite for accurate cluster analysis. However, the Graph Convolutional Network will homogenize the representation of graph nodes due to the well-known over-smoothing effect. This limits the network architecture to a shallow one, losing the ability to capture the critical global distribution information for clustering. Therefore, we propose a generalized graph auto-encoder network, which introduces quaternion operations to the encoders to achieve efficient structured feature representation learning without incurring deeper network and larger-scale parameters. The generalization of our method lies in the following two aspects: 1) connecting the quaternion operation naturally suitable for four feature components with graph data of arbitrary attribute dimensions, and 2) introducing a generalized graph clustering objective as a loss term to obtain clustering-friendly representations without requiring a pre-specified number of clusters k. It turns out that the representations of nodes learned by the proposed Graph Clustering based on Generalized Quaternion representation learning (GCGQ) are more discriminative, containing global distribution information, and are more general, suiting downstream clustering under different ks. Extensive experiments including significance tests, ablation studies, and qualitative results, illustrate the superiority of GCGQ. The source code is temporarily opened at https://anonymous.4open.science/r/ ICLR-25-No7181-codes.

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1 INTRODUCTION

Learning clustered distributions of data in an unsupervised way is a fundamental data analysis
process in artificial intelligence tasks. As graph data contain richer relational information among
data objects (also called nodes interchangeably), clustering complex data represented in the form of
graphs has attracted increasing attention, where graph representation Shi & Malik (2000); Ng et al.
(2001); Von Luxburg (2007) is critical to clustering accuracy. Some recent works Pan et al. (2018);
Liu (2022) further consider attribute values of graph nodes that reflect their inherent similarity relationship to achieve a more information-comprehensive clustering.

To perform attributed graph clustering, conventional representation learning approaches Ren et al. (2020; Feb. 2021) usually adopt multiple kernel functions for node embedding. However, this type of approach involves the non-trivial selection of kernels and is vulnerable to the curse of dimensionality. Under such circumstances, end-to-end deep graph representation learning based on Graph Convolution Network (GCN) Kipf & Welling (2017) is considered an effective way to enhance the performance of attributed graph clustering. GCN and its variants Bowman et al. (2015); Wang et al. (2019); Zhang et al. (2022); Mrabah et al. (2022) can simultaneously learn the embedding of graph structure and attribute values to achieve a more informative representation.

To explore the cluster distribution of data from a global perspective, relationships among nodes that span far in the graph are also critical. Although stacking more graph convolutional layers may theoretically help extract long-span information, the high overlap of over-hopped nodes will tend to homogenize the node representations, which is known as the widely discussed *over-smoothing* effect Li et al. (2018). Existing solutions for mitigating such effect can be categorized into training tricks Zhou et al. (2021); Rong et al. (2020); Zhao & Akoglu (2020), dynamic hopping strategies Rusch



Figure 1: Vanilla graph encoders (upper) vs. quaternion graph encoders (lower). After the node information aggregation through several hops, nodes represented in real-value space ℝ by vanilla graph encoders tend to be homogeneous due to the "over-smoothing" and "over-dominating" effects. By contrast, the four views of data are flexibly rotated in a hyper-complex space 𝔅 by the quaternion graph encoders to facilitate representation learning with a higher degree of learning freedom.

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et al. (2022); Eliasof et al. (2021), residual connections Chen et al. (2020); Xu et al. (2018), and more powerful representation enhancement paradigms, e.g., contrastive learning Yang et al. (2023a;b).

079 However, most existing over-smoothing solutions originate from processing attribute-free graphs, 080 which naturally overemphasize the topological information of graphs and tend to overlook the at-081 tribute information. That is, the embeddings of topology-adjacent but attribute-dissimilar nodes 082 will be similar due to the information aggregation dominated by the graph topology. Such an *over*-083 *dominating* effect will somewhat lead to the loss of discriminative attribute information that helps distinguish nodes from different clusters. For nodes with inconsistent topological relationships and 084 attribute similarities, this effect will further degrade the clustering performance. Therefore, how to 085 simultaneously cope with the over-smoothing and over-dominating effects to obtain discriminative node representations is the key to attributed graph clustering. 087

088 This paper proposes a novel and concise Quaternion Representation Learning (QRL) model for attributed graph data by introducing the quaternion operation to the encoders. The whole model inherits the framework of graph auto-encoder Kipf & Welling (2016), but projects any dimensional input 090 attributes into four views corresponding to one real and three imaginary parts of the quaternion. 091 Then the quaternion graph encoders efficiently perform structural transformation to the attribute 092 views and aggregate the graph topological information. Figure 1 intuitively compares the principles of linear encoders and quaternion encoders under the scenario of attributed graph clustering. In the 094 quaternion encoding process, since each attribute view is transformed as a whole, the node descrip-095 tion information they contain can be retained to a greater extent to relieve the over-dominating effect. 096 From a more macro perspective, the "wide" four-view projection and the corresponding encoding layers ensure the representation capability with a "shallow" network, which naturally circumvents 098 the over-smoothing problem brought by "deep".

099 To adapt the learned representation to different clustering tasks, we integrate the graph reconstruc-100 tion loss with the graph clustering objective for training. Since clustering does not involve bench-101 mark node labels, users often understand a dataset by performing clustering using different ks to 102 observe the clusters in different granularities. To be compatible with such an actual usage scenario, 103 a generalized clustering objective is integrated into the loss so that the model can be trained without 104 specifying k, and general representations suitable for different clustering granularities can be ob-105 tained. It turns out that the proposed Graph Clustering based on Generalized QRL (GCGQ) can well aggregate the attribute and graph information to produce discriminative and general embeddings. Moreover, thanks to the efficient Hamilton product Zhang (1997) of quaternions, GCGQ does not 107 incur extra computation costs compared to the advanced graph clustering methods. Theoretical

108 analysis and extensive experiments on various real benchmark graph datasets have illustrated the 109 efficiency, efficacy, and superiority of GCGQ. The main contributions are summarized in three-fold: 110 111 • We propose a generalized representation learning framework for attributed graph cluster-112 ing. It bridges the gap between graphs with arbitrary attribute dimensions and the four-part 113 quaternion algebra. It also connects the representation learning to clustering tasks through 114 the design of a general graph clustering objective loss. To the best of our knowledge, this is the first attempt to: 1) introduce QRL to unsupervised learning tasks, and 2) realize 115 clustering-friendly representation learning without requiring a specified k. 116 117 • To simultaneously address the over-smoothing and over-dominating effects, we propose to 118 perform multi-view projection and quaternion graph convolution encoding. Such design 119 allows for a shallower and wider network to circumvent the over-smoothing effect without sacrificing the representation ability, and preserves the attribute information. 121 122 • The proposed GCGQ is efficient and resolves the ill-posed assumption of current deep 123 clustering, i.e., the "true" number of clusters k is known in advance for model training. 124 That is, GCGQ can provide universal representations without repeatedly training the model 125 at different cluster granularities controlled by k. Such characteristic is crucial for practical clustering applications and data distribution understanding. 126 127 128 **RELATED WORK** 2 129 130

2.1 DEEP ATTRIBUTED GRAPH CLUSTERING

133 Deep attributed graph clustering that partitions connected nodes described by attribute values into 134 compact clusters has attracted much attention in recent years. Benefiting from the powerful repre-135 sentation reconstruction ability of Auto-Encoder (AE) Vincent et al. (2008) and Variational Auto-136 Encoder (VAE) Bowman et al. (2015), GAE and VGAE Kipf & Welling (2016) are proposed with graph convolution operator for graph reconstruction. Inspired by the success of GAE, recent works 137 further improve it by introducing the attention mechanism Wang et al. (2019) and the adversarial 138 learning mechanism Pan et al. (2018). To perform more accurate graph clustering, some recent 139 works like EGAE and R-GAE Zhang et al. (2022); Mrabah et al. (2022) propose to customize the 140 representations to be clustering-friendly by optimizing both reconstruction and clustering objectives 141 during the model training. Most recently, contrastive learning Yang et al. (2023a), as a powerful 142 learning capability enhancement paradigm, has also been introduced to graph clustering. It adopts 143 clustering as a proxy task for data augmentation, and generates more discriminative node embed-144 dings. Later, a learnable reversible perturb-recover proxy task is further considered in contrastive 145 graph clustering Yang et al. (2023b). It more reliably preserves semantic information in the aug-146 mentation, and thus achieves more satisfactory clustering performance.

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2.2 QUATERNION REPRESENTATION LEARNING

150 Quaternion is a four-dimensional extension of complex numbers with a completed algebra founda-151 tion. Since the Hamilton product Zhang (1997) efficiently facilitates the interaction between the 152 four parts of quaternions through the quaternion vector rotation upon the three imaginary axes, the 153 quaternion operator is considered promising to enhance the representation learning ability Parcollet 154 et al. (2020), especially for the data with natural relations among its feature tuples, e.g., the three 155 channels of colored image Zhu et al. (Sep. 2018); Zheng et al. (2023); Parcollet et al. (May 2019) 156 and the 3D sound signals Comminiello et al. (2019). The work Zhang et al. (2019) is considered the 157 first attempt to introduce the powerful QRL for knowledge graph embedding. Almost all the existing 158 usage of quaternion is in supervised scenarios. Moreover, the input data are usually with inherent tuple or multiple feature components corresponding to the three imaginary parts of the quaternion, and 159 the feature components are also interdependent, especially suitable for representation learning using 160 quaternion rotation operations. However, how to inherit the merits of quaternion to unsupervised 161 learning tasks has been relatively unexplored.



Figure 2: Overview of GCGQ. Given attributed graph $G = \{\mathbf{A}, \mathbf{X}\}$, the attributes \mathbf{X} are first projected into four views to form a feature quaternion $\mathbf{F} = \mathbf{F}_r + \mathbf{F}_x \mathbf{i} + \mathbf{F}_y \mathbf{j} + \mathbf{F}_z \mathbf{k}$. Then \mathbf{F} is encoded with the local graph structure by a quaternion graph convolutional module. The graph clusteringfriendly embedding Γ learned according to the joint graph reconstruction Kullback-Leibler (KL) loss \mathcal{L}_{kl} and the graph clustering loss \mathcal{L}_{sc} is finally obtained, which is utilized for clustering.

3 PROPOSED METHOD

We first provide the preliminaries, and then introduce the proposed graph clustering method GCGQ. The overview of GCGQ is demonstrated in Figure 2.

190 3.1 PRELIMINARIES

A quaternion is denoted as $Q = r + x\mathbf{i} + y\mathbf{j} + z\mathbf{k}$ where r is the real part and x, y, z are the imaginary parts. The Hamilton product between two quaternions $Q_1 = r_1 + x_1\mathbf{i} + y_1\mathbf{j} + z_1\mathbf{k}$ and $Q_2 = r_2 + x_2\mathbf{i} + y_2\mathbf{j} + z_2\mathbf{k}$ can be denoted as $Q_1 \otimes Q_2$, which follows the laws of association and distribution, but does not follow the law of commutativity. Benefiting from the orthogonality among imaginary axes, the essence of the product is to rotate Q_1 according to Q_2 in the hyper-complex space \mathbb{H} spanned by the three imaginary axes. This is considered a critical characteristic expected by representation learning, especially for the learning of naturally coupled feature components.

Given an undirected attributed graph $G = {\mathbf{A}, \mathbf{X}}$ with node attributes $\mathbf{X} \in \mathbb{R}^{n \times d}$ and adjacency 199 matrix $\mathbf{A} \in \mathbb{R}^{n \times n}$, where n and d are the number of nodes and dimensions, respectively. The 200 attribute matrix can also be denoted into the form of n nodes $\mathbf{X} = [\mathbf{x}_1, \mathbf{x}_2, \cdots, \mathbf{x}_n]^\top$, which 201 will be grouped into k clusters by partitioning the graph A into k non-overlapping sub-graphs $\{G_1, G_2, ..., G_k\}$. A degree matrix $\mathbf{D} \in \mathbb{R}^{n \times n}$ is a diagonal matrix reflecting the connectivity of each node, which is formed by $\mathbf{D}_{ii} = \sum_{j=1}^{n} \mathbf{A}_{ij}$. The symmetric normalized Laplacian matrix 202 203 204 of A that actually participates in the representation learning is denoted as $\tilde{A} = D^{-\frac{1}{2}}LD^{-\frac{1}{2}}$, where 205 $\mathbf{L} = \mathbf{I} + \mathbf{A}$ is a self-loop adjacency matrix and \mathbf{I} is a unit matrix. The self-loop and normaliza-206 tion operations are to prevent nodes from ignoring their own information and the nodes with higher 207 degrees from dominating the information passing during the graph convolution.

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3.2 GENERALIZED QUATERNION REPRESENTATION LEARNING

FVP: Four-View Projection Unlike most existing QRL scenarios that the datasets are with tuple feature components (e.g., RGB images), attributed graph data are with different numbers of attributes and various graph structures. To leverage QRL in attributed graph representation learning, we design a learnable projection mechanism to project the attributes X into four views. Such a mechanism acts to lift the tuple restriction of input features in QRL and also leverages the Hamilton product for efficient coupling learning of the attributes.

Specifically, four independent initial MLPs are utilized to project the nodes represented by the at-tributes $\mathbf{X} = [\mathbf{x}_1, \mathbf{x}_2, \cdots, \mathbf{x}_n]^{\top}$ into four views $\mathbf{F}_r, \mathbf{F}_x, \mathbf{F}_y$, and \mathbf{F}_z , which can be written as

$$\mathbf{F}_{\triangleright} = \mathcal{F}_{\triangleright}(\mathbf{X}) = \mathbf{W}_{\triangleright}^{L}\mathbf{X} + \mathbf{B}_{\triangleright}^{L}, \ \triangleright \in \{r, x, y, z\},$$
(1)

where $\mathcal{F}_{\triangleright}(\cdot)$ indicates an MLP opterator, $\mathbf{W}_{\triangleright}^{L}$ and $\mathbf{B}_{\triangleright}^{L}$ are the learnable parameters of an MLP. The generated four views form the feature quaternion $\mathbf{F} \in \mathbb{H}^{n \times (4 \times \hat{d})}$ as

$$\mathbf{F} = \mathbf{F}_r + \mathbf{F}_x \mathbf{i} + \mathbf{F}_y \mathbf{j} + \mathbf{F}_z \mathbf{k},\tag{2}$$

where d is the dimensionality of the features encoded by $\mathcal{F}_{r}(\cdot)$, and each row of F is actually the quaternion representation of the corresponding node. By introducing a learnable weight quaternion $\mathbf{W}^Q \in \mathbb{H}^{n \times (4 \times d)}$ with the same size as **F**, **F** can be projected based on \mathbf{W}^Q by

$$\mathbf{F} \otimes \mathbf{W}^{Q} = \begin{bmatrix} \mathbf{F}_{r} \\ \mathbf{F}_{x} \\ \mathbf{F}_{y} \\ \mathbf{F}_{z} \end{bmatrix}^{\top} \begin{bmatrix} \mathbf{W}_{r}^{Q} & \mathbf{W}_{x}^{Q} & \mathbf{W}_{y}^{Q} & \mathbf{W}_{z}^{Q} \\ -\mathbf{W}_{x}^{Q} & \mathbf{W}_{r}^{Q} & -\mathbf{W}_{z}^{Q} & \mathbf{W}_{y}^{Q} \\ -\mathbf{W}_{y}^{Q} & \mathbf{W}_{z}^{Q} & \mathbf{W}_{r}^{Q} & -\mathbf{W}_{x}^{Q} \\ -\mathbf{W}_{y}^{Q} & -\mathbf{W}_{z}^{Q} & \mathbf{W}_{r}^{Q} & -\mathbf{W}_{x}^{Q} \end{bmatrix}$$
(3)

where \otimes indicates the Hamilton product. The above $\mathcal{F}_{\triangleright}(\cdot)$ and \otimes processes can convert an arbitrarydimensional attribute set into the quaternion field, and associate different parts of the feature quaternion through their shared weights in the weight quaternion \mathbf{W}^Q . By tuning the weights in \mathbf{W}^Q , features in **F** can be efficiently transformed with capturing their couplings.

Remark 1 Degree of Freedom (DoF). According to Eq. (3), learnable parameters of \mathbf{W}^Q , i.e., $\{\mathbf{W}_{r}^{Q},\mathbf{W}_{x}^{Q},\mathbf{W}_{y}^{Q},\mathbf{W}_{y}^{Q}\}$, yield 16 pairs of feature transformation to determine arbitrary rotation of **F** in hyper-complex space \mathbb{H} , while in real-value space \mathbb{R} , realizing the same transformation requires four times of parameters. Therefore, the DoF of quaternion feature transformation is four times higher than the transformation in real-value space (detailed proof is provided in Appendix D.2).

The low parameter scale and high DoF of quaternion transformation allow a representation model to tolerate a wider structure with four-view projection MLPs to preserve more original attribute information. As a result, attributes can be amplified to offset the dominant effects caused by the subsequent graph convolution processes, and more discriminative similarity information of nodes can be embedded to boosting clustering performance.

QGE: Quaternion Graph Encoders To further make a fusion of the feature quaternion F with the graph topology A, F is feed forward to a quaternion graph convolutional module composed of stacked encoders, where the operation of the l-th encoder can be written as

$$H_l = \varphi_l(\tilde{\mathbf{A}} \cdot H_{l-1} \otimes \mathbf{W}_l^Q) \tag{4}$$

where the operation priority of \otimes is higher than the matrix product. Here, $\varphi_l(\cdot)$ is the activation function and $\tilde{\mathbf{A}} \in \mathbb{R}^{n \times n}$ is the Laplacian adjacency matrix. Each encoder aggregates the *l*-hop quaternion representation of nodes according to the graph topology \mathbf{A} , to yield a more abstract-level representation H_l . The output embeddings of the quaternion graph convolutional module with m encoders are integrated into a single matrix Γ by

$$\Gamma = \operatorname{Re}(H_m) \circledast \operatorname{Im}(H_m), \tag{5}$$

where $\operatorname{Re}(\cdot)$ and $\operatorname{Im}(\cdot)$ indicate the fetch of real part and imaginary parts of F, respectively, and the operation \circledast is a quaternion fusion operator that takes an average of the four feature quaternion parts. Finally, we reconstruct the graph as $\hat{\mathbf{A}} \in \mathbb{R}^{n \times n}$ based on the embeddings Γ by

$$\hat{\mathbf{A}} = \boldsymbol{\Gamma} \cdot \boldsymbol{\Gamma}^{\top}.$$
 (6)

The graph reconstruction acts as a decoder to ensure the preservation of the graph topology.

270 3.3 CLUSTERING-ORIENTED LOSS AND OPTIMIZATION271

From a macro perspective of the model, the FVP and QGE modules collaboratively emphasize the attribute information in Γ , and the graph reconstruction acts to adapt Γ to the graph structure to seek balanced attribute and graph consensus. To also make the reconstructed graph sparse to be graph clustering friendly, the joint loss function is designed as a combination of the graph reconstruction term \mathcal{L}_{kl} , spectral clustering term \mathcal{L}_{sc} , and regularization term \mathcal{L}_{reg} , which can be written as

$$\mathcal{L} = \mathcal{L}_{kl} + \alpha \mathcal{L}_{reg} + \beta \mathcal{L}_{sc},\tag{7}$$

where α and β are trade-off hyper-parameters. We adopt \mathcal{L}_{kl} to quantify the reconstruction loss by

$$\mathcal{L}_{kl} = \frac{1}{n^2} \sum_{i=1}^{n} \sum_{j=1}^{n} \tilde{\mathbf{A}}_{ij} \log \frac{1}{\hat{\mathbf{A}}_{ij}},\tag{8}$$

where *n* is the number of nodes, $\tilde{\mathbf{A}}$ and $\hat{\mathbf{A}}$ are the original Laplacian adjacency matrix and the adjacency matrix reconstructed by Eq. (6), respectively. By minimizing \mathcal{L}_{kl} , consensus embeddings Γ can be achieved on the graph topology reflected by $\tilde{\mathbf{A}}$ and the learned embeddings of the node attributes indicated by $\hat{\mathbf{A}}$. The regularization term \mathcal{L}_{reg} is to avoid the over-fitting of the model by restricting its complexity. The spectral clustering loss term is defined as

$$\mathcal{L}_{sc} = \mathrm{Tr}(\mathbf{\Gamma}^{\top} \mathbf{L} \mathbf{\Gamma}), \tag{9}$$

where $\mathbf{L} = \mathbf{D} - \hat{\mathbf{A}}$ is the Laplacian matrix formed based on the degree matrix \mathbf{D} of the original graph structure \mathbf{A} and the learned attributed graph representation $\hat{\mathbf{A}}$. Referring to the spectral clustering objective in Eq. (10), Γ of \mathcal{L}_{sc} can be viewed as a relaxed node-cluster affiliation indicator matrix. The minimization of \mathcal{L}_{sc} prefers a Γ that can reconstruct graph with sparser adjacency (i.e., smaller values in \mathbf{D}) and higher feature similarity of connected nodes (i.e., larger values in $\hat{\mathbf{A}}$), both are consistent with the spectral clustering objective.

When completing the model training, we obtain the clustering-friendly graph representation \hat{A} by Eq. (6), and the corresponding semi-definite Laplacian matrix $\mathbf{L} = \mathbf{D} - \hat{A}$ is prepared to wellsupport the optimization of spectral clustering objective:

$$\underset{\mathbf{H}}{\operatorname{arg\,min}}\operatorname{Tr}(\mathbf{H}^{\top}\mathbf{L}\mathbf{H}) \quad s.t. \ \mathbf{H}^{\top}\mathbf{H} = \mathbf{I}.$$
(10)

Here $\mathbf{H} \in \mathbb{R}^{n \times k}$ is the indicator matrix indicating the node-cluster affiliations, and I is the unit matrix. Spectral clustering solves the above problem by first computing E, which is the *k*-smallest eigenvectors of L. Then K-Means clustering is performed on the $n \times k$ matrix E by treating each of its rows as the representation of the corresponding node. Note that the user only need to give the target cluster number k at this time. Please refer to Von Luxburg (2007) and Ikotun et al. (2023) for more eigenvalue decomposition and K-Means clustering details.

308 The whole GCGQ algorithm is summarized in Appendix B.1, and its complexity analysis is provided 309 in Appendix B.2. It is noteworthy that L obtained based on A is the key factor to influence the 310 accuracy of clustering. To learn more powerful $\hat{\mathbf{A}}$, the model is designed with a higher Degree 311 of Freedom (DoF) in feature encoding facilitated based on the quaternion product. On such basis, 312 the training process comprehensively takes into account the attribute information by the FVP and 313 QGE, preserves the graph topology by the graph reconstruction decoder, and customizes the general clustering-friendly representation by introducing the clustering-oriented loss \mathcal{L}_{sc} . It turns out that 314 the obtained node representations E for K-Means clustering are with strong cluster discriminability. 315 That is, nodes with close topological relationships and similar attribute values in the input attributed 316 graph G will have shorter Euclidean distances in E, promising to boost clustering accuracy. 317

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4 Experiment

321 4.1 EXPERIMENTAL SETTINGS

Datasets Experiments are conducted on ten real benchmark attributed graph datasets, including CORA Sen et al. (2008), CITESEER Sen et al. (2008), DBLP Bo et al. (Apr. 2020), ACM Bo et al.

(Apr. 2020), WIKI Yang et al. (2015), FILM Liu (2022), and the four, i.e., CORNELL, WISC, UAT, and AMAP, from Liu et al. (2022). The CORA and DBLP datasets are the citation network. ACM and DBLP datasets are paper citation relationships. WIKI and FILM datasets are the relationships of Wikipedia links and films, respectively. CORNELL, WISC, UAT, and AMAP datasets are American university website links. Detailed dataset statistics are sorted in the Appendix in Table A.1.

330 **Training Process** All the experiments are implemented in PyTorch 1.8.0 on NVIDIA A5000 GPU, 331 64GB RAM. We first warm up the model by a 10-epoch training using only the KL loss \mathcal{L}_{kl} and 332 regularization loss \mathcal{L}_{req} . We follow the most recent graph clustering works Yang et al. (2023a); Zhang et al. (2022); Wang et al. (2019); Tu et al. (2021); Yang et al. (2023b) to obtain the clustering 333 performance: Each result is the average performance with standard deviation on ten implementa-334 tions of the compared methods. For each implementation, the model is trained by 50 epochs. In 335 each epoch, we train the model in four iterations and then perform clustering. The best clustering 336 performance of the 50 epochs is chosen to be the performance of the current implementation. 337

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Counterparts Setup 11 clustering methods are compared, including two traditional methods, i.e., 339 K-Means Hamerly & Elkan (2003) and Spectral Clustering (Spectral-C, to distinguish from the in-340 ternal evaluation metric SC) Shi & Malik (2000), two conventional representation learning-based 341 clustering methods, i.e., GAE Kipf & Welling (2016) and VGAE Kipf & Welling (2016), seven 342 state-of-the-art deep clustering methods including ARGAE and ARVGAE Pan et al. (2018), CON-343 VERT Yang et al. (2023b), CCGC Yang et al. (2023a), DFCN Tu et al. (2021), DAEGC Wang et al. 344 (2019), and EGAE Zhang et al. (2022). We let K-Means directly perform clustering on the data 345 attributes. All the other methods obtain node representations first and then implement K-means on 346 the representations. Settings of all the compared methods and GCGQ are reported in Appendix A.2.

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Validity Metrics Six evaluation metrics are utilized. Three external metrics Zhou et al. (2022b): Clustering Accuracy (ACC), Normalized Mutual Information (NMI), and Average Rand Index (ARI), which evaluate performance according to the data labels, are in the intervals [0, 1], [0, 1], and [-1, 1], respectively. Three internal metrics: Silhouette Coefficient (SC) Rousseeuw (1987), Davies-Bouldin Index (DBI) Davies & Bouldin (1979), and Calinski-Harabasz Index (CHI) Caliński & Harabasz (1974), that do not rely on the labels, are in the intervals [-1, 1], $[0, +\infty)$, and $[0, +\infty)$. All these metrics are commonly used by most of the compared state-of-the-art methods, and except for DBI, a higher value indicates a better clustering performance.

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4.2 QUANTITATIVE RESULTS

We conduct four groups of quantitative experiments: 1) Compare clustering performance using external metrics to illustrate the clustering accuracy superiority of GCGQ; 2) Compare clustering performance using internal metrics under different *ks* to verify the separability and universality of the embeddings learned by GCGQ; 3) Compare execution time to validate the efficiency of GCGQ; 4) Compare different ablated versions of GCGQ to prove the effectiveness of its core modules.

364 **Clustering Performance Evaluated by External Metrics** Table 1 reports the clustering perfor-365 mance of all the compared methods by using k provided by the data labels. The significance test 366 described in Appendix A.4 is also conducted, and the results shown in Table A.2 demonstrate that 367 GCGQ passes all the Wilcoxon signed rank tests with a confidence interval of 95% (except for the 368 CONVERT method in terms of ACC), which validates its superiority. From Table 1, it can be ob-369 served that the proposed GCGQ outperforms the compared methods in most cases. Out of the 294 comparisons, GCGQ won 290 times, which generally demonstrates its superiority. Note that six 370 'N/A' cases happened when implementing ARGAE and ARVGAE on the AMAP dataset, as they 371 suffered from gradient explosions. In the following, another three key observations are provided: 372

1) There are four performance groups of the compared methods: Based on the average ranks in the
"AR" row, there are four groups of methods with prominent AR gaps. The K-Means and Spectral Clustering (Spectral-C) with ARs around nine belong to the first group, as they are traditional
methods without representation learning. The second groups would be the GAE, VGAE, ARGAE,
ARVGAE, DFCN, and DAEGC with ARs within [5.7, 7.3]. They are all based on the GAE and the
latter two (i.e., DFCN and DAEGC) further incorporate clustering objectives for training. The third

Table 1: Clustering performance compared with existing methods. The best and second-best results on each dataset are marked in **boldface** and underline, respectively. 'N/A' indicates 'not available' due to gradient explosion. "AR" in the last row reports the average performance rank of each method.

Metric K-Means Spectral-C GAE VGAE ARVGAE CONVERT CCGC DFN DAECC EGAE CCQQ (0ur) 383 ACM ACC 36.789.001 52.459.01 52.459.01 44.224.11 58.842.17 78.565.10 80.942.13 80.532.291 80.6442.18 74.614.00 85.549.36.2 90.770.41 384 Mit 00.224.001 52.459.01 14.674.43 15.791.13 53.042.60 31.046.43 31.046.03 11.0561.37 63.944.79 48.702.11.03 56.0194.21 73.571.106 386 Mitki ACC 26.104.82 31.734.075 23.111.41 44.473.26 51.304.60 31.012.01 73.571.06 386 CTESEER ACC 26.104.13 19.5640.01 23.941.01 51.012.19 44.013.64 25.304.17 73.740.87 73.740.87 386 CTESEER ACC 26.104.13 19.5640.01 29.294.30 53.114.23 54.446.00.2 21.041.35 64.941.43 83.154.29 14.443.441.44 14.342.16	301														
ACC ACC 3.67.80.01 7.421e0.00 44.224.11 59.881.57 78.565.10 80.342.29 89.260.60 86.042.18 74.61e1.00 85.542.36 90.37e0.41 383 ACM NII 00.242.001 14.674.35 15.59e1.18 55.361.20 55.361.20 55.362.12 55.964.15.1 75.964.17 75.924.18 75.924.12 75.944.14 7	380	Dataset	Metric	K-Means	Spectral-C	GAE	VGAE	ARGAE	ARVGAE	CONVERT	CCGC	DFCN	DAEGC	EGAE	GCGQ (Ours)
S84 MKI ACC 25.819.38 17.460.33 33.11±2.08 31.73±0.75 28.11±1.47 44.473±6.65 51.41±1.15 51.29±0.84 33.01±2.07 28.38±3.54 74.49±1.13 52.59±0.82 385 MKI 22.69±1.21 08.84±0.16 31.61±2.07 28.39±1.33 25.50±2.72 17.17±3.75 07.68±2.25 33.37±0.83 33.7±0.87 386 ACC 26.10±1.33 19.56±0.01 32.93±3.01 55.10±2.19 44.44±3.24 26.84±1.78 46.36±2.68 23.09±1.83 87.93±5.85 33.15±1.87 40.66±1.21 387 MII 06.29±1.36 00.31±0.00 20.11±2.63 27.54±2.85 33.64±1.68 30.12±3.66 34.69±1.88 39.12±3.66 34.64±1.61 41.43±0.84 388 MII 0.29±0.21 00.08±0.00 0.14±0.02 27.54±2.85 34.69±1.88 39.12±3.68 38.14±3.44 39.12±3.66 34.64±1.61 41.43±0.84 39.12±3.68 38.14±0.47 39.12±3.68 38.14±0.47 39.12±3.68 38.14±0.47 39.12±3.68 38.14±0.47 30.12±2.27 33.14±2.34 </td <th>383</th> <td>ACM</td> <td>ACC NMI ARI</td> <td>36.78±0.01 00.82±0.01 00.24±0.01</td> <td>74.21±0.00 52.45±0.01 47.65±0.00</td> <td>44.22±4.11 14.67±4.53 03.66±2.39</td> <td>59.88±1.57 18.78±1.13 15.59±1.86</td> <td>78.56±5.10 44.88±7.13 46.36±11.01</td> <td>86.94±1.37 58.20±3.29 64.94±3.29</td> <td>80.53±2.91 47.45±4.35 51.30±6.03</td> <td>$\frac{89.26 \pm 0.60}{65.36 \pm 1.21}$ $\frac{71.06 \pm 1.37}{71.06 \pm 1.37}$</td> <td>86.04±2.18 59.66±4.51 63.94±4.79</td> <td>74.61±10.00 47.92±10.35 48.70±12.59</td> <td>85.54±3.62 56.09±8.26 62.10±8.21</td> <td>90.37±0.41 67.50±1.17 73.57±1.06</td>	383	ACM	ACC NMI ARI	36.78±0.01 00.82±0.01 00.24±0.01	74.21±0.00 52.45±0.01 47.65±0.00	44.22±4.11 14.67±4.53 03.66±2.39	59.88±1.57 18.78±1.13 15.59±1.86	78.56±5.10 44.88±7.13 46.36±11.01	86.94±1.37 58.20±3.29 64.94±3.29	80.53±2.91 47.45±4.35 51.30±6.03	$\frac{89.26 \pm 0.60}{65.36 \pm 1.21}$ $\frac{71.06 \pm 1.37}{71.06 \pm 1.37}$	86.04±2.18 59.66±4.51 63.94±4.79	74.61±10.00 47.92±10.35 48.70±12.59	85.54±3.62 56.09±8.26 62.10±8.21	90.37±0.41 67.50±1.17 73.57±1.06
ACC 26.0 ACC 26.0 32.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.94.02.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.93.01 33.94.02.01 33.94.02.01 33.94.02.01 33.94.02.01 33.94.02.01	384	WIKI	ACC NMI ARI	25.81±0.89 22.69±1.21 02.54±0.32	17.46±0.35 08.84±0.16 -00.30±0.09	33.11±2.08 31.62±1.51 05.61±0.89	31.73±0.75 27.25±0.38 15.63±0.79	28.11±1.47 23.15±1.94 06.23±1.13	44.47±3.66 44.13±2.65 24.44±3.24	$\begin{array}{r} \underline{51.41 \pm 1.15} \\ \underline{48.46 \pm 0.62} \\ 28.39 \pm 1.33 \end{array}$	51.29±0.84 46.19±1.01 25.50±2.72	43.10±3.67 38.33±2.91 17.17±3.75	25.38±3.35 15.15±2.63 07.68±2.25	47.49±1.13 43.33±1.99 28.99±1.58	52.95±0.88 49.26±1.32 33.77±0.87
ABC ACC 3274±0.0c 2992±1.01 46.10±1.43 47.07±2.43 55.31±4.93 54.97±6.88 54.52±2.37 54.78±1.97 38.91±0.04 43.36±4.72 35.41±1.43 73.246±2.24 388 MMI 00.29±2.00 00.28±0.22 19.11±1.83 77.22±11 23.31±4.33 54.97±6.88 54.52±2.37 54.78±1.97 38.91±0.04 43.36±4.72 35.74±1.04 71.41±3.55 18.19±1.07 31.22±2.77 41.24±2.55 06.63±0.02 10.40±3.70 15.07±2.02 41.24±2.55 389 MI 00.00±0.00 00.11±0.01 00.09±0.01 00.71±0.01 00.84±0.05 00.23±0.39 00.23±0.30 00.23±0.03	386	CITESEER	ACC NMI ARI	26.10±1.33 06.92±1.36 00.31±1.93	19.56±0.01 00.31±0.00 00.08±0.00	32.93±3.01 20.11±2.63 04.64±2.01	55.10±2.19 27.92±0.86 26.78±1.68	44.64±7.66 19.07±6.89 16.07±7.15	54.37±2.96 27.54±2.85 25.11±3.66	62.14±1.53 34.68±1.78 34.69±1.88	66.31±2.27 40.45±2.68 39.12±3.36	42.37±2.05 23.90±1.83 19.19±2.43	42.66±4.74 18.79±3.56 16.81±4.38	58.71±3.68 33.15±2.99 31.46±4.61	66.57±1.14 40.36±1.21 41.43±1.94
ACC 24/21±001 24/05±004 25/64±002 21/40±07 23/84±047 24/31±132 27/41±023 26/32±011 25/91±164 24/01±033 22/79±025 26/81±065 389 MRI 0.00±000 0.01±000 0.00±000 0.00±000 0.01±001 0.01±0	387	DBLP	ACC NMI ARI	32.74±0.06 02.98±0.01 15.31±1.87	29.92±1.01 00.28±0.22 00.20±2.80	46.10±1.43 19.71±1.83 05.78±0.87	47.07±2.43 17.72±2.11 14.39±1.95	55.31±4.93 20.63±3.63 18.14±4.36	54.97±6.88 22.61±5.44 17.70±5.12	54.52±2.37 22.33±1.93 17.81±1.17	54.78±1.97 <u>23.81±2.53</u> <u>18.64±1.28</u>	38.91±0.04 08.11±0.04 06.63±0.02	43.36±4.72 11.41±3.55 10.40±3.70	53.64±1.46 18.19±1.07 15.07±2.02	72.46±2.24 39.12±2.27 41.24±2.55
390 ACC 42.40±0.65 37.81±2.34 38.0±1.09 26.66±1.16 36.99±2.54 36.5±2.45 41.86±2.98 39.61±2.09 39.72±1.09 36.28±2.11 39.23±0.33 38.25±1.84 391 ARI 402.14±0.10 03.69±0.62 63.35±0.36 63.25±0.37 66.19±3.25 02.14±0.14 00.15±0.55 02.11±0.48 40.05±0.55 02.11±0.48 40.05±0.54 02.43±1.96 60.38±1.18 06.19±3.25 02.07±1.06 01.10±1.23 02.15±2.08 03.64±0.21 03.64±1.22 03.95±0.41 66.34±1.80 72.00±1.07 45.94±5.80 45.30±5.92 72.11±1.33 75.82±1.51	389	FILM	ACC NMI ARI	24.21±0.01 00.01±0.00 00.00±0.01	24.05±0.04 00.11±0.01 -00.14±0.02	25.64±0.02 00.09±0.01 00.13±0.01	21.40±0.79 00.07±0.01 00.01±0.02	23.84±0.47 00.16±0.05 00.11±0.03	24.31±1.32 00.22±0.39 00.31±0.51	27.43±0.23 00.79±0.07 01.34±0.17	26.36±0.11 00.15±0.01 00.24±0.05	25.91±1.64 00.28±0.03 00.27±0.04	24.61±0.33 00.09±0.03 00.15±0.10	22.79±0.25 00.21±0.08 00.17±0.08	26.81±0.65 01.47±0.22 01.78±0.26
ACC 31 (4±37.6 24.47±0.03 49.47±5.76 63.47±0.69 65.95±1.12 66.7±2.10 66.7±2.8 14.5±0.6 75.82±1.51 75.82±1.51 392 ARI 0.67±2.8 0.18±0.01 49.47±5.76 63.47±0.69 65.95±1.12 66.7±2.81.04 66.34±1.80 72.00±1.07 45.94±5.80 45.30±5.92 72.11±1.83 75.82±1.51 75.81±1.61 44.44±0.188 70.01±0.58 15.15±2.63 11.02±1.16 16.35±2.52 70.01±0.58 15.15±2.63 11.02±1.16 16.35±2.52 70.01±0.58 15.15±2.63 11.02±1.16 16.35±2.52 70.01±0.58 15.15±2.63 11.02±2.16 16.35±2.45±3 70.71±0.28 </td <th>390 301</th> <td>CORNELL</td> <td>ACC NMI ARI</td> <td>42.40±0.65 02.71±0.17 -02.14±0.10</td> <td>37.81±2.34 03.69±0.62 -00.15±0.55</td> <td>38.03±1.09 05.35±0.36 02.11±0.48</td> <td>26.66±1.16 03.25±0.97 -00.06±0.54</td> <td>36.99±2.54 06.01±1.22 02.43±1.96</td> <td>36.55±2.65 03.19±0.56 00.85±1.18</td> <td>41.86±2.98 09.80±2.68 06.19±3.25</td> <td>39.61±2.09 04.89±1.04 02.07±1.06</td> <td>39.72±1.90 03.25±0.37 -01.10±1.23</td> <td>36.28±2.11 06.83±1.36 02.15±2.08</td> <td>39.23±0.53 06.49±0.73 03.17±0.91</td> <td>38.25±1.84 08.86±2.51 05.48±1.32</td>	390 301	CORNELL	ACC NMI ARI	42.40±0.65 02.71±0.17 -02.14±0.10	37.81±2.34 03.69±0.62 -00.15±0.55	38.03±1.09 05.35±0.36 02.11±0.48	26.66±1.16 03.25±0.97 -00.06±0.54	36.99±2.54 06.01±1.22 02.43±1.96	36.55±2.65 03.19±0.56 00.85±1.18	41.86±2.98 09.80±2.68 06.19±3.25	39.61±2.09 04.89±1.04 02.07±1.06	39.72±1.90 03.25±0.37 -01.10±1.23	36.28±2.11 06.83±1.36 02.15±2.08	39.23±0.53 06.49±0.73 03.17±0.91	38.25±1.84 08.86±2.51 05.48±1.32
393 MC ACC 42.03±2.04 30.31±0.11 42.11±1.73 25.77±1.34 36.01±2.18 37.17±2.58 47.61±1.91 44.14±0.86 40.95±3.44 25.38±3.53 7.01±2.01 44.64±1.86 394 MII 06.25±1.13 03.31±0.01 08.09±0.63 02.60±1.40 10.02±2.61 05.35±3.26 09.70±3.35 08.39±0.45 07.01±0.58 15.15±2.63 11.02±1.16 16.31±1.66 394 ARI -03.02±1.68 00.02±0.01 02.89±0.54 00.34±0.48 05.56±1.86 02.02±1.61 03.60±0.90 04.45±0.99 07.68±2.25 60.00±1.12 09.92±1.38 395 VAT NMI 20.69±0.12 29.25±0.48 05.56±1.86 02.0±1.61 04.85±0.97 33.38±1.27 53.98±3.37 01.12±1.15 53.84±3.37 396 NMI 20.64±0.41 01.57±0.00 11.61±5.99 47.56±0.95 23.38±1.71 15.86±2.38 17.91±8 20.63±2.64 13.95±1.67 21.42±1.29 21.89±0.85 24.4±0.91 396 ARI 0.64±2.0±4.40 01.57±0.00 11.61±5.90 <th>392</th> <td>CORA</td> <td>ACC NMI ARI</td> <td>31.14±3.76 06.67±5.28 07.83±1.69</td> <td>24.47±0.03 01.48±0.01 -00.08±0.01</td> <td>49.47±5.76 40.86±4.81 22.49±7.27</td> <td>63.47±0.69 45.45±0.59 39.01±0.85</td> <td>65.96±4.12 44.75±3.69 39.52±4.55</td> <td>66.72±3.04 48.96±2.62 42.80±2.69</td> <td>66.34±1.80 46.84±1.68 40.13±1.67</td> <td>72.00±1.77 55.02±1.91 49.17±2.40</td> <td>45.94±5.80 36.46±3.44 23.95±5.52</td> <td>45.30±5.92 25.88±4.35 20.09±5.99</td> <td>$\begin{array}{r} \underline{72.11 \pm 1.35} \\ 52.89 \pm 1.17 \\ 48.49 \pm 2.16 \end{array}$</td> <td>75.82±1.51 59.02±1.20 55.64±3.06</td>	392	CORA	ACC NMI ARI	31.14±3.76 06.67±5.28 07.83±1.69	24.47±0.03 01.48±0.01 -00.08±0.01	49.47±5.76 40.86±4.81 22.49±7.27	63.47±0.69 45.45±0.59 39.01±0.85	65.96±4.12 44.75±3.69 39.52±4.55	66.72±3.04 48.96±2.62 42.80±2.69	66.34±1.80 46.84±1.68 40.13±1.67	72.00±1.77 55.02±1.91 49.17±2.40	45.94±5.80 36.46±3.44 23.95±5.52	45.30±5.92 25.88±4.35 20.09±5.99	$\begin{array}{r} \underline{72.11 \pm 1.35} \\ 52.89 \pm 1.17 \\ 48.49 \pm 2.16 \end{array}$	75.82±1.51 59.02±1.20 55.64±3.06
ACC 32.69±0.12 32.52±0.01 44.55±0.07 37.45±3.46 49.56±1.03 41.85±1.63 55.18±1.34 47.88±2.69 39.33±4.72 52.49±1.25 53.10±0.79 53.84±0.27 395 NMI 20.63±0.63 03.43±0.00 18.61±9.44 17.68±0.95 23.33±1.71 15.86±2.38 27.31±1.18 20.63±2.64 13.95±1.67 21.42±1.29 21.80±0.85 24.24±0.91 396 ARI 06.42±0.44 01.57±0.00 11.61±5.90 14.35±0.84 16.76±0.08 10.34±2.82 19.46±1.90 12.95±1.80 07.28±1.61 20.74±1.02 20.74±0.04 22.74±0.91 24.15±1.16 20.63±2.64 13.95±1.67 21.42±1.29 21.80±0.85 24.24±0.91 24.15±1.16 20.74±0.04 22.74±0.91 12.95±1.80 07.28±1.61 20.77±0.64 22.54±0.91 24.15±1.61 20.74±0.91 20.74±0.91 20.74±0.91 20.74±0.91 20.74±0.91 20.74±0.91 20.74±0.91 20.74±0.91 20.74±0.91 20.74±0.91 20.74±0.91 20.74±0.91 20.74±0.91 20.74±0.91 20.74±0.91 20.74±0.91 20.74±0.91 20.7	393 394	WISC	ACC NMI ARI	42.03±2.04 06.25±1.13 -03.02±1.68	30.31±0.11 03.73±0.01 00.02±0.01	42.11±1.73 08.09±0.63 02.85±0.54	25.77±1.34 02.60±1.40 00.03±0.48	36.01±2.18 11.02±2.61 05.56±1.86	37.17±2.58 05.35±3.26 02.02±1.63	47.61±1.91 09.70±3.35 04.76±2.69	44.14±0.86 08.39±0.45 03.60±0.90	40.95±5.44 07.01±0.58 04.45±0.99	25.38±3.35 <u>15.15±2.63</u> <u>07.68±2.25</u>	37.01±2.01 11.02±1.16 06.00±1.12	44.46±1.58 16.31±1.66 09.92±1.38
396 ACC 22.66±0.31 17.24±0.01 60.47±0.87 68.61±0.51 N/A N/A 66.28±1.86 77.07±0.38 58.51±3.96 47.45±3.36 76.37±1.32 66.02±1.80 397 NMI 00.37±0.03 00.00±0.01 33.31±1.02 46.55±0.420 N/A N/A 52.57±0.79 67.06±0.72 55.95±1.13 38.83±2.42 65.44±1.61 66.47±1.50 - AR 9.9 10.7 7.8 8.2 7.0 6.4 3.5 3.6 7.0 7.6 4.4 1.5	395	UAT	ACC NMI ARI	32.69±0.12 20.63±0.63 06.42±0.44	32.52±0.01 03.43±0.00 01.57±0.00	44.55±0.07 18.61±9.44 11.61±5.90	37.45±3.46 17.68±0.95 14.35±0.84	49.36±1.30 23.33±1.71 16.76±0.68	41.85±1.63 15.86±2.38 10.33±2.82	55.18±1.34 27.31±1.18 19.46±1.90	47.88±2.69 20.63±2.64 12.95±1.80	39.33±4.72 13.95±1.67 07.28±3.16	52.49±1.25 21.42±1.29 21.07±1.11	53.10±0.79 21.80±0.85 20.77±0.64	53.84±0.27 24.15±1.16 22.54±0.91
- AR 9.9 10.7 7.8 8.2 7.0 6.4 3.5 3.6 7.0 7.6 4.4 1.5	396 397	AMAP	ACC NMI ARI	22.66±0.31 02.37±0.09 00.37±0.03	17.24±0.01 00.53±0.00 00.00±0.01	60.47±0.87 58.01±0.56 33.31±1.02	68.61±0.51 55.04±0.46 46.55±0.67	N/A N/A N/A	N/A N/A N/A	66.28±1.86 52.57±0.79 42.89±1.49	77.07±0.38 67.06±0.72 57.55±0.44	58.51±3.96 55.95±1.13 41.76±1.58	47.45±3.36 38.83±4.24 25.03±4.68	76.37±1.32 65.44±1.61 57.51±1.74	76.02±0.94 66.47±1.50 57.73±1.50
	200	-	AR	9.9	10.7	7.8	8.2	7.0	6.4	3.5	3.6	7.0	7.6	4.4	1.5

group consists of CONVERT, CCGC, and EGAE, all with an AR of around 4. The proposed GCGQ surely belongs to the fourth group with an AR close to one.

402 2) GCGQ vs. CCGC/CONVERT: The proposed GCGQ achieves great performance improvements 403 compared to the best-performing counterparts, which is usually the CCGC and CONVERT. Specif-404 ically, GCGQ outperforms the best-performing counterparts by 16.5%, 121.2%, 13.2%, and 29.2% 405 on WIKI, DBLP, CORA, and WISC datasets, respectively, in terms of ARI. On most other datasets, 406 our GCGQ also achieves considerable improvements of around 5% in comparison with the rivals. 407 Compared to our GCGQ, the CCGC and CONVERT methods adopt a contrastive learning paradigm 408 by treating K-Means as the proxy task. Their data augmentation effectiveness relies on the selec-409 tion of proper cluster number k, which is a non-trivial task, because the original k provided by the dataset labels is not necessarily the 'true' k. Accordingly, the performance of CCGC and CONVERT 410 is relatively unstable on different datasets. 411

412 3) GCGQ vs. EGAE: EGAE adopts a relaxed K-Means to optimize the representation, which also 413 requires a proper cluster number k, and thus achieves satisfactory clustering performance. Even 414 though the training process of our GCGQ is not guided by k, it still stably performs the best in 415 most cases. The reason would be that even the 'true' k provided by the original dataset may still be unsuitable for the fusion of inconsistent attributes and graph topology. The use of a given k416 can be viewed as introducing a strong hypothesis that may implicitly restrict the fitting ability of 417 representation learning. By contrast, GCGQ adopts a relaxed clustering objective without restricting 418 the node representations to be concentrated on k potential clusters. As a result, GCGQ fosters a high 419 DoF learning, and thus universal clustering-friendly representations can be obtained. 420

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Separability and Universality Evaluation of Representations For clustering, the separability of 422 learned representations is often evaluated by internal metrics. To also verify the effectiveness of 423 the generalized loss of GCGQ, we compare the clustering performance under different ks of GCGQ 424 with EGAE, CCGC, and CONVERT, which are the state-of-the-art counterparts that performed 425 better in Table 1. The comparison results are shown in Figure 3, and it can be seen that the proposed 426 GCGQ always outperforms the state-of-the-art counterparts under different ks w.r.t. all the metrics. 427 Such results simultaneously prove the outstanding separability and universality of the embeddings 428 learned by the GCGQ in general. More specific observations are as follows: 1) As the value of k429 increases, the performance of GCGQ gradually degrades. This is reasonable because the internal metrics mainly measure the separation between clusters and the compactness within clusters. When 430 there are many clusters (large k), the ability of the metrics to discriminate the capabilities of different 431 representations will naturally weaken. A more extreme case is that when k = n, all the compared



Figure 3: Clustering performance comparison using internal metrics under different ks. For the SC and CHI metrics, the higher the better. For the DBI metric, the lower the better.

methods will have similar performance; 2) The ks in Figure 3 all cover the ks provided by the dataset labels in Table A.1. At the ks provided by the labels, GCGQ performs better than the other methods, proving that its representation has better separability for more accurate clustering.

456 **Efficiency Evaluation** Corresponding to the four datasets and three advanced methods in the 457 previous experiment as shown in Figure 3, we 458 also compare their execution time with the pro-459 posed GCGQ averaged on the six different k460 values. The execution time comparison is vi-461 sualized in Figure 4. It can be observed that 462 the execution time of the compared methods 463 is higher than that of GCGO. This is because 464 GCGQ can learn general representations to sup-465 port the clustering under different ks without 466 retraining the model, and thus its model train-467 ing time averaged on the six runs of the clustering is relatively lower. By contrast, the other 468 three methods need to train the model accord-469 ing to the specified k, which causes more train-470 ing overhead. 471

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pares the clustering performance of 474 GCGQ with: 1) Baseline: A model 475 composed of one MLP and two 476 stacked GCN encoders, 2) GCGQ 477 w/o FVP: GCGQ with a frozen FVP 478 module, 3) GCGQ w/o QGE: GCGQ 479 with its QGE module replaced by 480 the conventional GCN encoders. By 481 comparing GCGQ with GCGQ w/o 482 FVP, GCGQ w/o QGE, and Baseline, the effectiveness of the designed 483 FVP, the necessity of introducing 484

best and second-best results in terms of each validity metric are marked in **boldface** and <u>underline</u>, respectively.

Dataset	Baseline			GCGQ w/o FVP			GCGQ w/o QGE			GCGQ		
	ACC	NMI	ARI	ACC	NMI	ARI	ACC	NMI	ARI	ACC	NMI	ARI
ACM	89.34	64.54	70.92	89.90	<u>65.80</u>	<u>72.27</u>	84.53	56.38	60.85	90.37	67.50	73.57
WIKI	51.60	49.15	32.43	51.57	47.91	31.99	51.64	48.66	<u>32.45</u>	52.95	49.26	33.77
CITESEER	65.73	40.24	40.72	66.21	40.44	41.28	66.57	40.38	<u>41.35</u>	66.57	40.36	41.43
DBLP	67.89	35.20	35.48	71.41	38.15	39.73	67.26	36.59	35.71	72.46	39.12	41.24
FILM	26.95	1.12	1.76	27.41	1.28	1.97	27.70	1.51	2.01	26.81	<u>1.47</u>	1.78
CORNELL	35.85	6.87	3.69	36.99	6.52	4.51	36.61	6.52	3.93	38.25	8.86	5.48
CORA	72.73	55.84	51.44	73.12	55.13	50.61	72.11	55.35	49.68	75.82	59.02	55.64
WISC	40.92	13.54	8.03	43.03	16.09	9.37	44.74	17.21	10.45	44.46	16.31	<u>9.92</u>
UAT	53.68	23.69	21.87	53.71	23.77	22.36	54.37	23.26	22.19	53.84	24.15	22.54
AMAP	73.23	61.13	53.81	74.69	63.65	55.53	74.98	<u>64.34</u>	<u>56.37</u>	76.02	66.47	57.73

QGE, and the adaptability of FVP and QGE, can be validated, respectively. Three observations are 485 provided below: 1) GCGQ performs better than the Baseline in 29 out of 30 comparisons, clearly

2.5 ACM WIKI CITESEER 2.0 DBLP ິ<u>ທ</u> 1.5 Time 1.0 0.5 0.0 EGAE ccˈsc CONVERT GCGC Methods

Figure 4: Average execution time on different k values. Different colors indicate the average execution time on different datasets. Deep and shallow colors indicate the execution time of model



Figure 5: t-SNE visualization of ACM dataset represented by different methods.

illustrating the adaptability of FVP and QGE. 2) GCGQ performs better than GCGQ w/o FVP in 27 out of 30 comparisons. This evidently indicates that FVP is a necessary pre-phase of QGE. GCGQ w/o FVP makes the four MLPs unlearnable, and thus FVP degrades to a random projection of the input attributes, which surely loses the ability to provide more suitable feature quaternions for QGE. 3) GCGQ outperforms GCGQ w/o QGE in 22 out of 30 comparisons. This generally indicates that QGE is effective in aggregating the node information and preventing the over-dominating effect. Without the Hamilton product in QGE, GCGQ w/o QGE cannot facilitate a high DoF learning of attribute information, and thus the graph topology may dominate the node information aggregation. That is, the embeddings of two very dissimilar connected nodes may be homogeneous in the final embeddings, which may severely hamper the clustering accuracy.

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4.3 QUALITATIVE RESULTS

510 To intuitively show the representation effectiveness of GCGQ, we visualize the distributions of em-511 beddings generated by the state-of-the-art EGAE, CCGC, CONVERT, and our GCGQ on the ACM 512 dataset in Figure 5. The 2-D plots are generated using t-SNE Van der Maaten & Hinton (2008) and 513 we use different colors to mark the label-provided clusters. Intuitively, CCGC, CONVERT, and our 514 GCGQ perform better with more separable clusters against the EGAE. The reason would be that 515 CCGC and CONVERT adopt contrastive augmentation, and GCGQ adopts quaternion rotation, to 516 effectively enhance the learning capability of their representation models. Since GCGQ performs 517 structural rotation of the four views of attributes, the global distribution of nodes is better preserved, 518 and thus the embedding clusters of GCGQ are even more separable compared to that of CCGC and CONVERT. The GAE-based EGAE method is probably over-dominated by the graph topology as it 519 does not specifically emphasize the preservation of attribute information. 520

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5 CONCLUDING REMARKS

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This paper proposes a novel attributed graph clustering method called GCGQ. It leverages the advan-526 tages of the efficient Hamilton product of quaternions to simultaneously tackle the over-smoothing 527 and over-dominating issues that bottleneck the clustering performance. Through generalized design, 528 a representation learning model composed of learnable FVP and QGE is formed for clustering-529 friendly representation learning. The FVP module bridges the gap between any dimensional at-530 tributes and the four-part quaternion operation of QGE, and these two modules collaboratively en-531 hance: 1) the learning capability of the model, and 2) the preservation of attribute information. The generalized clustering objective loss guides the model to learn universal representations with high 532 DoF without restricting the embeddings to concentrate on a pre-specified number of clusters. As a 533 result, GCGQ can obtain more discriminative and clustering-friendly node representations that are 534 consistent for different ks. This is considered to be an important advantage for real applications and 535 data understanding. Extensive experiments show the superiority of GCGQ. 536

537 While GCGQ proves effective, it is not exempt from limitations. That is, the generality and ef-538 ficiency of GCGQ are for different sought numbers of clusters k on static data. Our future re-539 search will focus on improving the proposed quaternion representation learning for the adaptation of streaming data or even data with concept drift.

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A EXPERIMENTAL SETTINGS

A.1 DATASET SUMMARY

Table A.1: The statistics of ten graph datasets. n is the number of nodes, d is the dimension of attributes, and k is the number of clusters provided by the labels of datasets.

No.	Dataset	$\mid n$	d	$\mid k$
1	ACM	3025	1870	3
2	WIKI	2405	4973	17
3	CITESEER	3327	3703	6
4	DBLP	4057	334	4
5	FILM	7600	932	5
6	CORNELL	183	1703	5
7	CORA	2708	1433	7
8	WISC	251	1703	5
9	UAT	1190	239	4
10	AMAP	7650	745	8

Table A.1 shows the statistical summary of used attributed graph datasets.

724 A.2 COUNTERPARTS AND GCGQ SETTINGS

In Comparison approaches, we follow their original settings. For traditional methods, the K-Means cluster the features without graph structure, and the Spectral clustering cluster the graph structure without feature matrix. They are executed 10 times for average scores. For conventional methods, we perform 200 epochs of unsupervised training of the GAE and VGAE, then use K-Means to cluster the generated embedding. For advanced and state-of-the-art clustering approaches, we reproduce their source code by following the original parameter setting in the source codes.

There are some hyper-parameters and settings of our method, i.e., the layer number, pre-training learning rate, pre-training iteration number, learning rate, iteration number, model regularization trade-off α , and representation embedding loss trade-off β . We set Adam optimizer during exper-iments. The activation function of the graph encoder is ReLU for each layer. \mathcal{L}_{reg} in the loss is the regularization of model, and L1 regularization is utilized. In the pre-training process, the hyper-parameter β is set to 0.0001. For ten datasets, the neuron number of layer, the pre-training learning rate, pre-training iteration number, learning rate, and iteration number are set to [512, 256, 128], 10^{-4} , 10, 10^{-16} , and 4, respectively. The α is set to 10^{-5} for CORA and DBLP, 5×10^{-4} for CITESEER, FILM, and WISC, 10^{-6} for ACM, WIKI, AMAP, 10^{-4} for CORNELL and UAT. The β is set to 2^{-10} for CORA, CORNELL, DBLP, WIKI, FILM, and CORNELL, 2^{-12} for CITESEER, 2^{-20} for ACM and UAT, 0 for AMAP.

743 A.3 DESCRIPTION OF VALIDITY METRICS

We provide a more detailed description of validity metrics, which are Accuracy (ACC), Normalized
 Mutual Information (NMI), and Adjusted Rand Index (ARI) Zhou et al. (2022a).

ACC is a straightforward measure that calculates the percentage of correctly classified data points in the clustering results compared to ground truth. A higher accuracy indicates better performance. Given ground truth labels $Y = \{y_i | 1 \le i \le n\}$ and the predicted clusters $\hat{Y} = \{\hat{y}_i | 1 \le i \le n\}$, ACC is computed as

$$ACC(\hat{Y}, Y) = \max \frac{1}{n} \sum_{i=1}^{n} 1\{y_i = \hat{y}_i\}.$$
 (1)

NMI quantifies the amount of shared information between two clusters. It ranges from 0 to 1, where 1 indicates perfect agreement and vice versa. Higher NMI values indicate better clustering

	Method	ACC	NMI	ARI
	K-Means	+	+	+
	Spectral-C	+	+	+
	GAE	+	+	+
	VGAE	+	+	+
	ARGAE	+	+	+
	ARVGAE	+	+	+
	CONVERT	_	+	+
	CCGC	+	+	+
	DFCN	+	+	+
	DAEGC	+	+	+
_	EGAE	+	+	+

Table A.2: The Wilcoxon signed rank test with 95% confidence interval. The symbols "+" and "-"
 indicate the rejection and acceptance of the null hypothesis.

performance. The NMI can be computed by

$$NMI(\tilde{Y},Y) = \frac{T(\tilde{Y};Y)}{\frac{1}{2} \left[H(\tilde{Y}) + H(Y) \right]},$$
(2)

where H(Y) is entropy of Y and $T(\tilde{Y}; Y)$ is mutual information between \tilde{Y} and Y.

ARI measures the similarity between two clusters, taking into account both true positive and true negative matches while correcting for chance. It produces a value between -1 and 1. An ARI value close to 1 suggests strong agreement, close to 0 indicates random agreement, and negative values indicate disagreement. A higher ARI value indicates better clustering performance, and the ARI can be computed as

$$ARI = \frac{RI - \mathbb{E}(RI)}{\max(RI - \mathbb{E}(RI))},$$
(3)

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$$RI = \frac{TP + TN}{C_n^2}.$$
(4)

Here, TP and FP respectively denote the number of true positive pairs and true negative pairs, and C_n^2 is the number of possible object pairs.

A.4 SETTINGS OF THE WILCOXON SIGNED-RANKS TEST

Here, we provide experimental settings of the Wilcoxon signed-ranks test for the results in Table A.2 of the submitted paper.

The Wilcoxon signed-ranks test is a non-parametric alternative to the paired t-test. It ranks the differences in performances of two classifiers for each dataset, ignoring the signs, and compares the ranks for the positive and the negative differences Demsar (2006). In general, the Wilcoxon signedranks test is used when we have paired data and try to observe if there is a significant change. If the test statistic is smaller than the critical value from a table (or if the p-value is below a chosen significance level), we can reject the null hypothesis, which suggests a significant difference between the paired data.

The procedures of the Wilcoxon signed-ranks test are: 1) Calculate the differences between paired observations. 2) Rank these differences in absolute rank values. 3) Assign positive or negative signs to the ranks based on the direction of the differences. 4) Sum the ranks of positive and negative differences separately. The smaller of the two sums is utilized for the test. If the smaller value is smaller than the critical value, we will reject the null hypothesis.

In our experiment, the Wilcoxon signed-ranks test is conducted to compare our method with other methods under different validity metrics on all the ten datasets. The procedures are as follows: 1) Formulate the hypothesis where the null hypothesis is that GCGQ does not exhibit a significant

Alg	orithm 1 GCGQ: Graph Clustering based on Generalized QRL.
Inp	put : Attributed graph $G = \{\mathbf{A}, \mathbf{X}\}$; Cluster number k; Loss weights α and β .
Ou	tput : k non-overlapping sub-graphs $\{G_1, G_2,, G_k\}$.
1:	Convert the adjacency matrix A into symmetric normalized Laplacian matrix \tilde{A} ;
2:	repeat
3:	Project X into four views $\mathbf{F}_{\triangleright}$ by Eq. (1) and form a feature quaternion F as shown in Eq. (2)
4:	Encode \mathbf{F} using quaternion graph encoders defined by Eqs. (3) and (4);
5:	Obtain the output embeddings Γ by the quaternion fusion operator defined in Eq. (5);
6:	Reconstruct the adjacency matrix $\hat{\mathbf{A}}$ from Γ according to Eq. (6);
7:	Compute the value of objective function \mathcal{L} according to Eqs. (7) - (9);
8:	Update learnable parameters $\mathbf{W}_{\triangleright}^{L}, \mathbf{B}_{\triangleright}^{L}$ and \mathbf{W}_{l}^{Q} .
9:	until maximum iterations reached
10:	Perform spectral clustering to solve Eq. (10) based on $\hat{\mathbf{A}}$ reconstructed from the final Γ .

difference, or perform equally, compared to other models under a specific validity metric. The alternative hypothesis is that GCGQ significantly outperforms other models. 2) Set the significance level at 0.01. 3) Calculate the p-value of the compared model performance. 4) Obtain the test results. If the p-value is less than the chosen significance level, we reject the null hypothesis, and vice versa, where a rejection suggests that GCGQ significantly outperforms the compared model.

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B Algorithm and Complexity Analysis of the GCGQ

B.1 ALGORITHM OF GCGQ

The algorithm process of GCGQ is shown in Algorithm 1.

838 B.2 COMPUTA 839

B.2 COMPUTATIONAL COMPLEXITY ANALYSIS

840 The time complexity of the proposed GQRL model is $\mathcal{O}(T[nd\hat{d} + n^2\hat{d}^2])$. We analyze it below. The 841 training process of the model is composed of three parts: (1) quaternion projection, (2) quaternion graph convolution, and (3) graph reconstruction. For the quaternion projection, the dimensions of 842 the input and projected features of each projector are d and d, respectively. Since four MLP layers 843 844 are paralleled to project the attribute values of the n nodes, the time complexity is thus $\mathcal{O}(4ndd)$. 845 For the quaternion graph convolution, the feature quaternion in size $n \times (4 \times d)$ will be processed by l 846 stacked quaternion graph encoders. The parameters \mathbf{W}_{l}^{Q} of each encoder are with the same scale as 847 the feature quaternion. Hence, the time complexity of quaternion graph convolution is $\mathcal{O}(l(n4d)^2)$. 848 For the graph reconstruction, the inner product is conducted on the matrix Γ with size $n \times \hat{d}$, which 849 consumes $\mathcal{O}(n^2 \hat{d})$. Assume the training of GQRL iterates T times, the overall time complexity is 850 $\mathcal{O}(T[4nd\hat{d}+l(n4\hat{d})^2+n^2\hat{d}])$. By omitting the small constants and the terms with lower magnitude, 851 the final complexity is nearly $\mathcal{O}(T[nd\hat{d} + n^2\hat{d}^2])$. 852

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C COMPLEMENTARY EXPERIMENTAL RESULTS

C.1 THE RESULTS OF WILCOXON SIGNED RANK TEST ON COMPARATIVE EXPERIMENTS

Table A.2 is the Wilcoxon signed rank test of comparative experiments results.

C.2 BONFERRONI-DUNN TEST OF COMPARISON EXPERIMENT

In order to comprehensively demonstrate the superiority of our model compared to other methods, we conduct the Bonferroni-Dunn Test (BD test) Demsar (2006) based on the average rank (i.e., the 'AR' row) of the comparative experimental results in Table 1 of the main paper.



Figure A.1: Visualization of Bonferroni-Dunn (BD) test at confidence intervals 90% and 95%.

The Bonferroni-Dunn test is used to compare an algorithm with the remaining k - 1 counterparts. It involves comparing the differences in average ranks of various methods with a certain threshold value called Critical Difference (CD). The CD is defined as:

$$CD = q_{\lambda} \sqrt{\frac{p(p+1)}{6N}},\tag{5}$$

where q_{λ} is critical values for the BD test, p is the number of compared methods, and N is the number of dataset. If the rank difference between the two methods is higher than the CD, it indicates that the method with the higher average rank is statistically superior to the one with the lower average rank. Conversely, if the difference is lower than the CD, it suggests that there is no significant performance difference between the two methods.

Our BD test conduction procedures are as follows. 1) We obtain the ranks of the methods under all three validity metrics on all ten datasets. 2) The ranks under the three metrics are averaged to an overall rank of the corresponding method w.r.t. each certain dataset. 3) The average ranks on ten datasets are further averaged to an overall average rank of the methods, which are shown in Table 1 of the main paper.

According to Demsar (2006), we set the confidence intervals to 90% and 95%, and compute the CD
by

$$CD_{0.10} = 3.4378,$$
 (6)

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$$CD_{0.05} = 3.7546,$$
 (7)

where the $q_{0.10}$ and $q_{0.05}$ of ten classifiers are 2.539 and 2.773 according to Table 5(b) in reference Demsar (2006), the number of datasets N is 10, and the number of compared methods p is 10. Overall, it can be observed that GCGQ performs significantly better than the seven methods, as shown in Figure A.1.

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C.3 TRAINING CONVERGENCE EVALUATION

To demonstrate the convergence of our model, we show its convergence curves on all the ten benchmark datasets in Table A.2.

The overall trend of the loss convergence curves indicates a steady decrease in loss, which suggests that the model can effectively learn from the training data. Although there are minor fluctuations in the loss curves on some datasets, the loss decreasing tends stable when approaching the pre-set 50 epoch of training. In summary, the training convergence evaluation illustrates that our model can be effectively trained for learning representation and clustering.

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C.4 SENSITIVITY EVALUATION OF HYPER-PARAMETERS

The sensitivity of GCGQ to the trade-off hyper-parameters α and β is evaluated on the datasets as shown in Figure A.3. Note that when evaluating sensitivity to one parameter, another one is fixed at the corresponding settings in Appendix A.2. From the results, it is not surprising that a toolarge value of α or β leads to generating objective biased representations such that GCGQ obtains undesired clustering performance. The results also confirmed that GCGQ is insensitive to α and β in the value range around the parameter settings adopted for the aforementioned experiments.



C.5 VISUAL RESULTS

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The supplementary t-SNE visualization results of the representations learned by different methods 971 on the ACM and DBLP datasets are shown in Fig A.4.



Figure A.3: Sensitivity analysis of the trade-off parameters of the loss terms, i.e., α for \mathcal{L}_{reg} (the upper row) and β for \mathcal{L}_{sc} (the lower row), on all the ten datasets (marked in lines with different colors). *x*-axes indicate the values of α and β .



Figure A.4: *t*-SNE visualization on ACM datasets. The first and second rows correspond to ACM and DBLP, respectively.

To intuitively compare the ablated versions of GCGQ, the representations learned by them and GCGQ are also compared using *t*-SNE on the CORA dataset in Figure A.5.

For all the visualization results in this section, the observations and conclusions are consistent with the corresponding results in the main paper, so we do not provide redundant discussions here.

D DISCUSSION ABOUT REMARK AND PROOF

1015 D.1 DETAILED REMARK OF LEARNING ABILITY

We provide a more detailed analysis of "Remark 1" in Section 3.2 of the submitted paper. The more detailed Remark 1 is given below.

Remark 1 Degree of Freedom. According to Eq. (3) in main paper, learnable parameters in our 1021 model, i.e., $\mathbf{W}_{\mathbb{H}}^{Q} = {\mathbf{W}_{r}^{Q}, \mathbf{W}_{x}^{Q}, \mathbf{W}_{y}^{Q}, \mathbf{W}_{z}^{Q}}$, yields 16 pairs of feature interaction. In contrast, re-1022 alizing the same scale interaction in real-value space requires 4 times of parameters. This illustrates 1023 the learning efficiency of the proposed model. Detailed analysis is given below.

Given model input

$$\mathbf{F} = \{\mathbf{F}_r, \mathbf{F}_x, \mathbf{F}_y, \mathbf{F}_z\},\tag{8}$$



Figure A.5: t-SNE visualization of the ablated variants of GCGQ on CORA dataset.

where $\mathbf{F} \in \mathbb{H}^{n \times (4 \times \hat{d})}$, \hat{d} indicates the dimension of input. Then, we define the learnable parameters of quaternion representation as $\mathbf{W}_{\mathbb{H}}^{Q} \in \mathbb{H}^{(4 \times \hat{d}) \times (4 \times \hat{d})}$, which contains four part of parameters $\{\mathbf{W}_{r}^{Q}, \mathbf{W}_{x}^{Q}, \mathbf{W}_{y}^{Q}, \mathbf{W}_{z}^{Q}\}$, where \tilde{d} is the output dimension, and $\mathbf{W}_{i}^{Q} \in \mathbb{H}^{\tilde{d} \times \tilde{d}}$ with $i \in \{r, x, y, z\}$.

1040 According to the Hamilton product in the quaternion system, the learnable parameters let the fea-1041 tures in \mathbf{F} interact by

$$\mathbf{F}^{Q} = \mathbf{F} \otimes \mathbf{W}^{Q} = \mathbf{W}_{r}^{Q} \mathbf{F}_{r} - \mathbf{W}_{x}^{Q} \mathbf{F}_{x} - \mathbf{W}_{y}^{Q} \mathbf{F}_{y} - \mathbf{W}_{z}^{Q} \mathbf{F}_{z} + \mathbf{W}_{x}^{Q} \mathbf{F}_{r} + \mathbf{W}_{r}^{Q} \mathbf{F}_{x} - \mathbf{W}_{z}^{Q} \mathbf{F}_{y} + \mathbf{W}_{y}^{Q} \mathbf{F}_{z} + \mathbf{W}_{y}^{Q} \mathbf{F}_{r} + \mathbf{W}_{z}^{Q} \mathbf{F}_{x} + \mathbf{W}_{r}^{Q} \mathbf{F}_{y} - \mathbf{W}_{x}^{Q} \mathbf{F}_{z}^{'} + \mathbf{W}_{z}^{Q} \mathbf{F}_{r} - \mathbf{W}_{y}^{Q} \mathbf{F}_{x} + \mathbf{W}_{x}^{Q} \mathbf{F}_{y} + \mathbf{W}_{r}^{Q} \mathbf{F}_{z}$$

$$(9)$$

where $\mathbf{F}^Q \in \mathbb{H}^{n \times (4 \times \tilde{d})}$. It is intuitive that such an operation yields learning with a 16-Degree of *Freedom (DoF)*.

In the following, we design a real-value model with the same DoF, and observe how many parameters are required for comparison. For intuitive comparison, we define the parameters of the real-value model in a similar form as that of the quaternion model, i.e., $\mathbf{W}_i^R \in \mathbb{R}^{4 \times (\hat{d} \times \tilde{d})}$ with $i \in \{r, x, y, z\}$. The superscript R indicates that these are the parameters of the real-value model. Accordingly, all the features in **F** interact through the parameters by

$$\mathbf{F}^{R} = [\mathbf{F}_{r} \mathbf{W}_{r}^{R}, \mathbf{F}_{x} \mathbf{W}_{x}^{R}, \mathbf{F}_{y} \mathbf{W}_{y}^{R}, \mathbf{F}_{z} \mathbf{W}_{z}^{R}],$$
(10)

where $\mathbf{F}^R \in \mathbb{R}^{n \times (4 \times \tilde{d})}$ is the output matrix, and $\mathbf{W}_r^R, \mathbf{W}_x^R, \mathbf{W}_y^R, \mathbf{W}_z^R$ can be written as

$$\begin{aligned} \mathbf{W}_{r}^{R} &= [\mathbf{W}_{1}^{R}, \mathbf{W}_{2}^{R}, \mathbf{W}_{3}^{R}, \mathbf{W}_{4}^{R}] \\ \mathbf{W}_{r}^{R} &= [\mathbf{W}_{1}^{R}, \mathbf{W}_{2}^{R}, \mathbf{W}_{3}^{R}, \mathbf{W}_{4}^{R}] \\ \mathbf{W}_{x}^{R} &= [\mathbf{W}_{5}^{R}, \mathbf{W}_{6}^{R}, \mathbf{W}_{7}^{R}, \mathbf{W}_{8}^{R}] \\ \mathbf{W}_{x}^{R} &= [\mathbf{W}_{5}^{R}, \mathbf{W}_{6}^{R}, \mathbf{W}_{7}^{R}, \mathbf{W}_{8}^{R}] \\ \mathbf{W}_{y}^{R} &= [\mathbf{W}_{9}^{R}, \mathbf{W}_{10}^{R}, \mathbf{W}_{11}^{R}, \mathbf{W}_{12}^{R}] \\ \mathbf{W}_{z}^{R} &= [\mathbf{W}_{13}^{R}, \mathbf{W}_{14}^{R}, \mathbf{W}_{15}^{R}, \mathbf{W}_{16}^{R}]. \end{aligned}$$
(11)

Accordingly, the output feature \mathbf{F}^R is written as

$$\mathbf{F}^{R} = \begin{bmatrix} \mathbf{W}_{1}^{R}\mathbf{F}_{r} + \mathbf{W}_{2}^{R}\mathbf{F}_{r} + \mathbf{W}_{3}^{R}\mathbf{F}_{r} + \mathbf{W}_{4}^{R}\mathbf{F}_{r} \\ \mathbf{W}_{5}^{R}\mathbf{F}_{x} + \mathbf{W}_{6}^{R}\mathbf{F}_{x} + \mathbf{W}_{7}^{R}\mathbf{F}_{x} + \mathbf{W}_{8}^{R}\mathbf{F}_{x} \\ \mathbf{W}_{9}^{R}\mathbf{F}_{y} + \mathbf{W}_{10}^{R}\mathbf{F}_{y} + \mathbf{W}_{11}^{R}\mathbf{F}_{y} + \mathbf{W}_{12}^{R}\mathbf{F}_{y} \\ \mathbf{W}_{13}^{R}\mathbf{F}_{z} + \mathbf{W}_{10}^{R}\mathbf{F}_{z} + \mathbf{W}_{15}^{R}\mathbf{F}_{z} + \mathbf{W}_{16}^{R}\mathbf{F}_{z} \end{bmatrix}$$
(12)

1071 Obviously, there are 16 parameter matrices that are of the same size of $\mathbf{W}_i^R \in \mathbb{R}^{4 \times (\hat{d} \times \tilde{d})}$, and 1072 it can be concluded that if a real-value model is adopted to realize the same DoF as that of the 1073 quaternion-value model, a four-time model parameters scale will be involved. In other words, with 1074 the same number of parameters, the quaternion-value model can achieve a higher DoF than real-1075 value models for more informative representation and cluster learning.

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1077 D.2 PROOF OF DEGREE OF FREEDOM

According to above intuitive discussions, the learnable parameters $\mathbf{W}^{\mathbb{H}} = \{\mathbf{W}_{r}^{\mathbb{H}}, \mathbf{W}_{x}^{\mathbb{H}}, \mathbf{W}_{y}^{\mathbb{H}}, \mathbf{W}_{z}^{\mathbb{H}}\}$ can generate 16 features learning pairs, which realize the same ability of 16 Degree of Freedom 1080 (DoF) feature learning in real-value field. In the same DoF representation, the number of real-value parameters is $4 \times$ of the quaternion-value model. Thus, with the same number of parameters, the quaternion-value model can achieve a higher DoF that helps to explore features for more informative representation and cluster learning.

Now, we prove the DoF in mathematics. We follow the parameter initialization method in Parcollet et al. (2019), and use W instead of $\mathbf{W}^{\mathbb{H}}$ to prove the Degree of Freedom (DoF) of GCGQ here. The initialization equations are derived from the polar form of a weight w of W, and w has a polar form defined as:

$$w = |w|e^{q_{img}\theta} = |w|(\cos(\theta) + q_{img}^{\triangleleft}\sin(\theta)), \tag{13}$$

with $q_{\text{img}}^{\triangleleft} = 0 + x\mathbf{i} + y\mathbf{j} + z\mathbf{k}$ a purely imaginary and normalized quaternion. Therefore, w can be computed following:

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$$w_{\mathbf{i}} = \varphi q_{\text{img-i}}^{\triangleleft} \sin(\theta),$$
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 $w_{\mathbf{k}} = \varphi q_{\text{img-j}}^{\triangleleft} \sin(\theta),$
(14)
 $w_{\mathbf{k}} = \varphi q_{\text{img-k}}^{\triangleleft} \sin(\theta).$

1099 However, φ represents a randomly generated variable with respect to the variance of the quaternion 1100 weight and the selected initialization criterion. The initialization process follows Glorot & Ben-1101 gio (2010) and He et al. (2015) to derive the variance of the quaternion-valued weight parameters. 1102 Indeed, the variance of W has to be investigated:

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1112 1113 $\operatorname{Var}(W) = \mathbb{E}\left(|W|^2\right) - [\mathbb{E}(|W|)]^2,\tag{15}$

1107 $[\mathbb{E}(|W|)]^2$ equals to 0 since the weight distribution is symmetric around 0. Nonetheless, the value 1108 of Var $(W) = \mathbb{E}(|W|^2)$ is not trivial in the case of quaternion-valued matrices. Indeed, W follows 1109 a Chi-distribution with four degrees of freedom (DoFs) and $\mathbb{E}(|W|^2)$ is expressed and computed as 1110 follows:

$$\mathbb{E}\left(|W|^2\right) = \int_0^\infty x^2 f(x) \mathrm{d}x.$$
(16)

(19)

1114 With f(x) is the probability density function with four DoFs. A four-dimensional vector $X = \{A, B, C, D\}$ is considered to evaluate the density function f(x).X has components that are normally distributed, centered at zero, and independent. Then, A, B, C and D have density functions:

$$f_A(x;\sigma) = f_B(x;\sigma) = f_C(x;\sigma) = f_D(x;\sigma) = \frac{e^{-x^2/2\sigma^2}}{\sqrt{2\pi\sigma^2}}.$$
 (17)

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The four-dimensional vector X has a length L defined as $L = \sqrt{A^2 + B^2 + C^2 + D^2}$ with a cumulative distribution function $F_L(x; \sigma)$ in the 4 -sphere (n-sphere with n = 4) S_x :

$$F_L(x;\sigma) = \iiint \int_{S_x} f_A(x;\sigma) f_B(x;\sigma) f_C(x;\sigma) f_D(x;\sigma) dS_x,$$
(18)

where $S_x = \{(a, b, c, d) : \sqrt{a^2 + b^2 + c^2 + d^2} < x\}$ and $dS_x = da \ db \ dc \ dd$. The polar representations of the coordinates of X in a 4-dimensional space are defined to compute dS_x :

$$a = \rho \cos \theta$$

$$b = \rho \sin \theta \cos \phi$$

$$c = \rho \sin \theta \sin \phi \cos \psi$$

 $d = \rho \sin \theta \sin \phi \sin \psi,$

where ρ is the magnitude ($\rho = \sqrt{a^2 + b^2 + c^2 + d^2}$) and θ , ϕ , and ψ are the phases with $0 \le \theta \le \pi$, $0 \le \phi \le \pi$ and $0 \le \psi \le 2\pi$. Then, dS_x is evaluated with the Jacobian J_f of f defined as:

$$\begin{array}{ccc} 1137 \\ 1138 \\ 1139 \\ 1139 \\ 1140 \\ 1141 \\ 1142 \\ 1142 \\ 1143 \\ 1144 \\$$

And,

$$J_f = \rho^3 \sin^2 \theta \sin \phi. \tag{21}$$

Therefore, by the Jacobian J_f , we have the polar form:

$$da \ db \ dc \ dd = \rho^3 \sin^2 \theta \sin \phi d\rho d\theta d\phi d\psi.$$
(22)

Then, writing Eq. (18) in polar coordinates, we obtain:

Then,

$$F_L(x,\sigma) = \frac{1}{2\sigma^4} \int_0^x \rho^3 e^{-\rho^2/2\sigma^2} \,\mathrm{d}\rho.$$
 (24)

The probability density function for X is the derivative of its cumulative distribution function, which by the fundamental theorem of calculus is:

$$f_L(x,\sigma) = \frac{\mathrm{d}}{\mathrm{d}x} F_L(x,\sigma)$$

= $\frac{1}{2\sigma^4} x^3 e^{-x^2/2\sigma^2}$ (25)

The expectation of the squared magnitude becomes:

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$$\mathbb{E}\left(|W|^2\right) = \int_0^\infty x^2 f(x) dx$$

$$= \int_0^\infty x^2 \frac{1}{2\sigma^4} x^3 e^{-x^2/2\sigma^2} dx$$

$$= \frac{1}{2\sigma^4} \int_0^\infty x^5 e^{-x^2/2\sigma^2} dx$$
(26)

With integration by parts we obtain:

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$$\mathbb{E}\left(|W|^{2}\right) = \frac{1}{2\sigma^{4}} \left(-x^{4}\sigma^{2}e^{-x^{2}/2\sigma^{2}}\Big|_{0}^{\infty} + \int_{0}^{\infty}\sigma^{2}4x^{3}e^{-x^{2}/2\sigma^{2}} \,\mathrm{d}x\right)$$

$$= \frac{1}{2\sigma^{2}} \left(-x^{4}e^{-x^{2}/2\sigma^{2}}\Big|_{0}^{\infty} + \int_{0}^{\infty}4x^{3}e^{-x^{2}/2\sigma^{2}} \,\mathrm{d}x\right).$$
(27)

The expectation $\mathbb{E}\left(|W|^2\right)$ is the sum of two terms. The first one:

$$-x^{4}e^{-x^{2}/2\sigma^{2}}\Big|_{0}^{\infty} = \lim_{x \to +\infty} -x^{4}e^{-x^{2}/2\sigma^{2}} - \lim_{x \to +0} x^{4}e^{-x^{2}/2\sigma^{2}}$$
$$= \lim_{x \to +\infty} -x^{4}e^{-x^{2}/2\sigma^{2}}$$
(28)

1194 Based on the L'Hôopital's rule, the undetermined limit becomes:

$$\lim_{x \to +\infty} -x^4 e^{-x^2/2\sigma^2} = \lim_{x \to +\infty} \frac{x^4}{e^{x^2/2\sigma^2}} = \lim_{x \to +\infty} \frac{1}{(24/\sigma^2)(P(x)e^{x^2/2\sigma^2})}$$
(29)

With P(x) is polynomial and has a limit to $+\infty$. The second term is calculated in a same way (integration by parts) and $\mathbb{E}(|W|^2)$ becomes from Eq. (27):

= 0.

$$\mathbb{E}(|W|^{2} = \frac{1}{2\sigma^{2}} \int_{0}^{\infty} 4x^{3} e^{-x^{2}/2\sigma^{2}} dx$$

$$= \frac{2}{\sigma^{2}} \left(x^{2} \sigma^{2} e^{-x^{2}/2\sigma^{2}} \Big|_{0}^{\infty} + \int_{0}^{\infty} \sigma^{2} 2x e^{-x^{2}/2\sigma^{2}} dx \right).$$
(30)

The limit of first term is equals to 0 with the same method than in Eq. (29). Therefore, the expectation is:

 $\mathbb{E}(|W|^2) = 4\left(\int_0^\infty x e^{-x^2/2\sigma^2} \,\mathrm{d}x\right)$ = $4\sigma^2$. (31)

1214 And finally, the variance is: 1215

$$Var(|W|) = 4\sigma^2. \tag{32}$$

This proof demonstrates that the DoF of quaternion weights in the encoders is four times higher than the weights in the conventional graph encoders.